

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street)

75 State Street

24th Floor

Check if different
than previously
reported. (ACC)

Boston

MA

02109-1827

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☒ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

MA

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Bakke, Kathy, , ,

Type or Print Name of Treasurer

Signature of Treasurer

Bakke, Kathy, , ,

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office
Use
Only**FEC FORM 3X**
Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y 10 / 18 / 2018 To: M M / D D / Y Y Y Y Y 11 / 26 / 2018

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y 2018		95420.47
(b) Cash on Hand at Beginning of Reporting Period.....	19631.19	
(c) Total Receipts (from Line 19)	45264.79	337895.92
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	64895.98	433316.39
7. Total Disbursements (from Line 31)	3540.00	371960.41
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	61355.98	61355.98
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		1	8		2	0	1	8

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	1		2	6		2	0	1	8

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	40911.06	257725.26
(ii) Unitemized	4353.73	74170.66
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	45264.79	331895.92
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	45264.79	331895.92
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	6000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	45264.79	337895.92
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	45264.79	337895.92

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	40.00	1318.75
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	40.00	1318.75
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	3500.00	370500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	141.66
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	141.66
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	3540.00	371960.41
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	3540.00	371960.41

DETAILED SUMMARY PAGE
of Disbursements

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Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	45264.79	331895.92
34. Total Contribution Refunds (from Line 28(d))	0.00	141.66
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	45264.79	331754.26
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	40.00	1318.75
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)▶	40.00	1318.75

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MORAN, THOMAS, W, ,

Mailing Address 137 Bank St

City
WaterburyState
CTZip Code
06702-2205FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 13 / 2018

Transaction ID : A4E37728350B54D8CAD6

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Abel, Bryon S, S, ,

Mailing Address 9566 Numenore Dr

City
CharlotteState
NCZip Code
28269-6992FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
SVP, Business Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : AA3B1EFA44D6C46448B3

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Alcorn, Randy, , ,

Mailing Address 4707 Executive Dr

City
San DiegoState
CAZip Code
92121-3091FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : ACC41EF3456F941C5A19

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

177.07

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Audette, Matt J, J, ,

Mailing Address 8183 Doug HI

City
San Diego

State
CA

Zip Code
92127-2568

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.13

Date of Receipt

11 / 16 / 2018

Transaction ID : A7902C789D9D24145A33

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Bakke, Kathy, , ,

Mailing Address 13928 Rocky Gap Ln

City
Charlotte

State
NC

Zip Code
28278-8615

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A74108E748D0E4C73A18

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Ballard, Zachary, , ,

Mailing Address 358 Windell Dr

City
Fort Mill

State
SC

Zip Code
29708-6526

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Information Requested

Occupation (for Individual)
SVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : AB57A1C29F56B4303899

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

687.51

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Ballew, Christa N., N., ,

Mailing Address 2028 Garnet Ave

City
San DiegoState
CAZip Code
92109-3524FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
AVP, Regional Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A599254919CA34F23A24

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Barhorst, Matt, , ,

Mailing Address 990 Wessington Manor Ln

City
Fort MillState
SCZip Code
29715-7837FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
VP, Growth Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : ACBB3FE771B284EFCBB6

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Basore, Dawn, , ,

Mailing Address 3456 Flat Creek Rd

City
LancasterState
SCZip Code
29720-7429FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LlcOccupation (for Individual)
VP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A77F838FE0D134DDF865

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

95.52

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Bohlen, Adam Rean, , ,

Mailing Address 626 N Graham St

City
CharlotteState
NCZip Code
28202-1409FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

AVP, Product Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A7392F1BCC20345428D7

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Bohli, Harry, , ,

Mailing Address 5650 Morris Hunt Dr

City

Fort Mill

State

SC

Zip Code

29708-6571

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Information Requested

Occupation (for Individual)

VP, Compliance, Legal & Risk

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A171C6886D98D4A5996F

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Calder, Tracy Ellen, , ,

Mailing Address 1109 Berwick Ct

City

Marvin

State

NC

Zip Code

28173-6547

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial, LLC

Occupation (for Individual)

MD, Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A7D4B5E46D515426FB06

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

281.28

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Carter, Heather, , ,

Mailing Address 2026 E 9th St

City
Charlotte

State
NC

Zip Code
28204-2712

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

EVP, Corporate Communication

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : AFB1CC5F618D14859A56

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Carter, Tim, , ,

Mailing Address 430 Sylvia St

City

Encinitas

State

CA

Zip Code

92024-3244

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial, LLC

Occupation (for Individual)

SVP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.48

Date of Receipt

11 / 16 / 2018

Transaction ID : A584549AB469142D98C5

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Cornell, Bradford, , ,

Mailing Address 5718 Providence Country Club Dr

City

Charlotte

State

NC

Zip Code

28277-2621

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.48

Date of Receipt

11 / 16 / 2018

Transaction ID : A251577D9DF7B4BCE9D3

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

156.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Cox, Patrick E., , ,

Mailing Address 2283 Caminito Preciosa Sur

City
La Jolla

State
CA

Zip Code
92037-7233

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
EVP, Advice, Lit. & Reg. Strat

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 16 / 2018

Transaction ID : AE7AC3B70454749EDB29

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Cronin, John R., R., ,

Mailing Address 85 Hunting Ridge Ln

City
Milton

State
VT

Zip Code
05468-4171

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
VP, Government Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A92D0C0176367445DB22

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Ehlers, Marc, , ,

Mailing Address 1258 Oak Ave

City
Carlsbad

State
CA

Zip Code
92008-1929

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.48

Date of Receipt

11 / 16 / 2018

Transaction ID : A3A7C1A89E5074CDD99B

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

156.27

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Engle, Robert, , ,

Mailing Address 13995 W Deer Park Dr

City
New Berlin

State
WI

Zip Code
53151-8315

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : AB8B53CB3B77444EC8F0

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Enyedi, Matthew, , ,

Mailing Address 15497 Tanner Ridge Rd

City
San Diego

State
CA

Zip Code
92127-3654

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Natl Consulting & Mktg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.48

Date of Receipt

11 / 16 / 2018

Transaction ID : A1FA31FFE3E1B4DA992D

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Estridge, Tommy, , ,

Mailing Address 2301 Sonoma Ct

City
Matthews

State
NC

Zip Code
28105-2362

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Compliance, Legal & Risk

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A8D326330C2FA42F5B5E

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

125.04

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Fasone, Kenneth J., M, Mrs.,

Mailing Address 1414 Smoketree Ct

City
Waxhaw

State
NC

Zip Code
28173-7481

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
EVP, Business Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 16 / 2018

Transaction ID : AAE7B4F973D924413ACF

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Galli, Logan, , ,

Mailing Address 2575 Ingleton Ave

City
Carlsbad

State
CA

Zip Code
92009-3060

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Regional Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A4C4634DE6FCB44208DB

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Gilchrist, Tara, , ,

Mailing Address 7601 Berryfield Ct

City
Waxhaw

State
NC

Zip Code
28173-9817

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Compliance Legal & Risk

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 16 / 2018

Transaction ID : A778533C5F95148A49D5

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

187.47

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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PAGE 14 OF 105

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Gombar, Gina M., , ,

Mailing Address 151 Tremont St

City
Boston

State
MA

Zip Code
02111-1125

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
VP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

510.40

Date of Receipt

11 / 16 / 2018

Transaction ID : A59CB989A02BC48C2B2D

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Goodgold, Leia, , ,

Mailing Address 105 Topsail Ct

City
Weddington

State
NC

Zip Code
28104-8070

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Information Requested

Occupation (for Individual)
SVP, Portfolio Mgmt Office

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A1E36D0F8E04C464DBB8

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Hannah, Michael, , ,

Mailing Address 7348 Corte Hortensia

City
Carlsbad

State
CA

Zip Code
92009-8962

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Technology & Busn Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A5BF970A78ED74D178E8

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Hearn, Brad, , ,

Mailing Address 7293 Calle Conifera

City
Carlsbad

State
CA

Zip Code
92009-8699

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

924.00

Date of Receipt

11 / 16 / 2018

Transaction ID : AA2A5EB6055204849A1E

Amount of Each Receipt this Period

126.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Hecht, Matthew, , ,

Mailing Address 7208 Brice Knoll Ln

City
Charlotte

State
NC

Zip Code
28269-2699

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
VP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2208.20

Date of Receipt

11 / 16 / 2018

Transaction ID : A8AF6F7BCA5BC466285C

Amount of Each Receipt this Period

312.48

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Herndon, Kristin, , ,

Mailing Address 1416 Wilbur Ave

City
San Diego

State
CA

Zip Code
92109-2137

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : AD1D1D10C29604A4BB83

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

469.74

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 105

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Hoidal, Kyle, , ,

Mailing Address 3446 Windsor Dr

City
Charlotte

State
NC

Zip Code
28209-3356

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
VP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 16 / 2018

Transaction ID : AC94E7B610D7C47C3A10

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Ho, Peggy, , ,

Mailing Address 9 Donizetti St

City
Wellesley

State
MA

Zip Code
02482-4822

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

11 / 16 / 2018

Transaction ID : A127EBCBBD8345D79A1

Amount of Each Receipt this Period

312.51

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Jacobs, Brad, , ,

Mailing Address 5 Beacham Pl

City
Hingham

State
MA

Zip Code
02043-3331

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

550.00

Date of Receipt

11 / 16 / 2018

Transaction ID : AD805B44360684F35AC2

Amount of Each Receipt this Period

75.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

512.52

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Johnson, Daniel, , ,

Mailing Address 4335 Arborway

City
Charlotte

State
NC

Zip Code
28211-3813

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Service

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A8E86DE84B2D74F9F991

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Kalbaugh, Andy, , ,

Mailing Address 6713 Seton House Ln

City
Charlotte

State
NC

Zip Code
28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
MD, Divisional President NSC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

11 / 16 / 2018

Transaction ID : A231BDB17BE494D6BA09

Amount of Each Receipt this Period

312.51

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

c. LaChance, Steve, , , Sr.

Mailing Address 245 Trotter Run

City
Milton

State
GA

Zip Code
30004-3737

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Regional Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : AB030BFE2522E4CE9BFD

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

375.03

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Levine, Taylor D, , ,

Mailing Address 773 E 6th St

City
South Boston

State
MA

Zip Code
02127-4308

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Sr Project Mgr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.48

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A8ED9A8A10AA149DEA41

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. McCaffrey, Scott E, , ,

Mailing Address 1112 S Canterbury Ct

City
Dallas

State
TX

Zip Code
75208-2742

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Advisory Sales Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A072B1E0B14D1440CBD0

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. McClain, Ryan, , ,

Mailing Address 3125 Eastwood Dr

City
Charlotte

State
NC

Zip Code
28205-2107

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Busn Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A9685B6D867DD47CEB7F

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

250.02

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Mochal, Jeff R, , ,

Mailing Address 133 Forsythia Ln

City
Tega CayState
SCZip Code
29708-0027FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
SVP, Corporate Communication

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 16 / 2018

Transaction ID : AEB16A40CC49A4B04996

Amount of Each Receipt this Period

312.51

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Nguyen, Nikkita Samona, Samona, ,

Mailing Address 312 Hawks Creek Pkwy

City
Fort MillState
SCZip Code
29708-0040FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
AVP, Product Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.48

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 16 / 2018

Transaction ID : AB259F6B477F34EB3831

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Oroschakoff, Michelle B, , ,

Mailing Address PO Box 9216

City
Rancho Santa FeState
CAZip Code
92067-4216FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
MD, Chief Legal Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 16 / 2018

Transaction ID : AC007506E587C49F0B2A

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1000.02

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Osman, Arthur, , ,

Mailing Address 9300 Tresanton Dr

C

City
Charlotte

State
NC

Zip Code
28210-8305

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : AFF084C7A52BF455C9F0

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Paul, Christopher J., , ,

Mailing Address 2318 Beechwood Dr

City
Marvin

State
NC

Zip Code
28173-9358

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial, LLC

Occupation (for Individual)

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A5CA97DC5CE1A4D38844

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Petrosino, Nicole, , ,

Mailing Address 2328 Champlain St NW

City
Washington

State
DC

Zip Code
20009-8601

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial, LLC

Occupation (for Individual)

SVP, Government Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 16 / 2018

Transaction ID : A23B4ED6C20844379A0C

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

125.01

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Pettman, Robert, , ,

Mailing Address 9210 Unbridle Ln

City
Waxhaw

State
NC

Zip Code
28173-6784

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Product & Platform Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 16 / 2018

Transaction ID : AD0C247E6BB6544BA9E9

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Ring, Katherine, Katherine, ,

Mailing Address 17127 Sulky Plough Rd

City
Charlotte

State
NC

Zip Code
28277-4222

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Compliance Legal & Risk

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A506C514280F443E7986

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Rittreiser, Robert J., , ,

Mailing Address 915 Cecil Rd

City
Wilmington

State
DE

Zip Code
19807-2817

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
VP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : A877051862D6D48A4B3C

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

125.01

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER: PAGE 22 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Schaffer, Peter, , ,

Mailing Address 4941 Pearlman Way

City
San DiegoState
CAZip Code
92130-2789FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
SVP, Compliance Legal & Risk

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

325.12

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A7A6B1CB299CA4045809

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Seese, Scott, , ,

Mailing Address 1125 Trinity Ridge Pkwy
Apt 301City
Fort MillState
SCZip Code
29715-7091FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPLOccupation (for Individual)
MD, Chief Information Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A5F776E717E454393972

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Sharma, Rajesh kumar, , ,

Mailing Address 8815 Sir Barton Ln

City
WaxhawState
NCZip Code
28173-6635FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Information RequestedOccupation (for Individual)
SVP, Chief Information Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 16 / 2018

Transaction ID : AF8037AE0C1E04D94A25

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

718.77

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Sweet, Kimberly, , ,

Mailing Address 11153 Gatemoore Way

City
San Diego

State
CA

Zip Code
92131-3645

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Business Transitions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : ABFA9B9AB6C7C4B11AFI

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Toppin, Lisa McGinty, , ,

Mailing Address 8602 Edgewood Grove Trl

City
Charlotte

State
NC

Zip Code
28226-8587

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
VP, Learning & Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.28

Date of Receipt

11 / 16 / 2018

Transaction ID : A5C33BAC71C5F4ADC8D0

Amount of Each Receipt this Period

62.52

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Valente, Daniel, , ,

Mailing Address 2414 La Pluma Ln

City
Carlsbad

State
CA

Zip Code
92009-9129

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 16 / 2018

Transaction ID : AB797937FF4B941569F9

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

125.04

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Wallace, Richard, , ,

Mailing Address 1004 Whimbrel Ct

City
Carlsbad

State
CA

Zip Code
92011-4860

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
SVP, Compliance Legal & Risk

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 16 / 2018

Transaction ID : A6661EE95B7024CFC8DA

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Warren, Jeffrey, , ,

Mailing Address 7137 Sherbourne Ln

City
San Diego

State
CA

Zip Code
92129-4655

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, IAS Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

11 / 16 / 2018

Transaction ID : AF75FDAD128974147B15

Amount of Each Receipt this Period

90.00

☐ Memo Item

Payroll Deduction: \$30.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Waterfield, Brett, , ,

Mailing Address 2533 Stonehenge Dr

City
Aurora

State
IL

Zip Code
60502-4707

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

11 / 16 / 2018

Transaction ID : A588509AA5BCC4E1ABA2

Amount of Each Receipt this Period

300.00

☐ Memo Item

Payroll Deduction: \$100.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

515.01

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Woods, Greg, , ,

Mailing Address 116 Pembroke St

City
BostonState
MAZip Code
02118-1208FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 16 / 2018

Transaction ID : A89FA0EAA04EA430EA5E

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ALLEN, DAVID, R, ,

Mailing Address 18 Lake St

City
OwegoState
NYZip Code
13827-1830FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LlcOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 13 / 2018

Transaction ID : A82E9FDAD517945E5A34

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CATALANO, ANNE, CECILIA, ,

Mailing Address 18 Lake St

City
OwegoState
NYZip Code
13827-1830FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 13 / 2018

Transaction ID : AFFE4F41AA81549A6B43

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

281.22

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MILLER, EDWARD, BRUCE, ,

Mailing Address 481 Munn Rd E
Ste 225

City
Fort Mill

State
SC

Zip Code
29715-8462

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 13 / 2018

Transaction ID : A6FFF67DE6E6E4E09960

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SIMONE AIF, JOHN, EMMANUEL, ,

Mailing Address 100 Witmer Rd
Ste 170

City
Horsham

State
PA

Zip Code
19044-2648

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 13 / 2018

Transaction ID : A049BBCAB1B144FF9806

Amount of Each Receipt this Period

75.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SKAGGS, CYNTHIA, LYNN, ,

Mailing Address 200 Ashford Ctr N
Ste 400

City
Atlanta

State
GA

Zip Code
30338-2669

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 25 / 2018

Transaction ID : ABA70B5DA27BB48BE996

Amount of Each Receipt this Period

500.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional).....▶

1199.99

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. AARON, VERONICA, MARIE, ,

Mailing Address 10 Pointe Dr
Ste 100

City
Brea

State
CA

Zip Code
92821-7621

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A13F94A3231D14E8C8AD

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Adducci, Alan, Frank, ,

Mailing Address 1717 N Naper Blvd
Ste 200

City

Naperville

State

IL

Zip Code

60563-8838

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : AB120E97E192245B3BF1

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ANGELO CFP, MARK, JOSEPH, ,

Mailing Address 616 Washington St

City

Toms River

State

NJ

Zip Code

08753-6720

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : A40C73ADFEA654D32B17

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

189.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. APOSTOLIDIS, ANTHONY, JAMES, ,

Mailing Address 10100 Trinity Pkwy
Ste 430

City
Stockton

State
CA

Zip Code
95219-7241

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : ABE1AAADBC43840BC9C

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ARMSTRONG, DAVID, BRUCE, ,

Mailing Address 1701 Duke St
Ste 425

City
Alexandria

State
VA

Zip Code
22314-3429

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Monument Wealth Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1833.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A0C0E0119491340959B1

Amount of Each Receipt this Period

249.99

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ASTORINO, FRANCIS, XAVIER, ,

Mailing Address 155 Passaic Ave
Ste 400

City
Fairfield

State
NJ

Zip Code
07004-3566

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Astorino Financial Group

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A0AFD4FFEDFC5479E98C

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

374.97

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BERK CFP, HARVEY, STUART, ,

Mailing Address 244 N Main St

City

New City

State

NY

Zip Code

10956-5302

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Berk Wealth Management

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1833.26

Date of Receipt

11 / 26 / 2018

Transaction ID : ACE5C6A281B8C4182917

Amount of Each Receipt this Period

249.99

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BLAKELY, JERRY, S., ,

Mailing Address 650 Commerce Ave
Ste A

City

Palmdale

State

CA

Zip Code

93551-3884

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Householder Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A597FB12F704E434C9C5

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BLEASE, ANDREW, CLARK, ,

Mailing Address 7358 N La Cholla Blvd
Ste 100

City

Tucson

State

AZ

Zip Code

85741-2305

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Blease Financial Services

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : ADB6883F201A04221912

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

499.95

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BOTTE CFP, PAUL, WILLIAM, ,

Mailing Address 7777 Alvarado Rd
Ste 320

City
La Mesa

State
CA

Zip Code
91942-8247

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A3A8CD385D3DA47318B5

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BOYER CFP, MICHAEL, , ,

Mailing Address 107 Georgian Pl

City

Somerset

State

PA

Zip Code

15501-1600

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Branch Manager / OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A66B751CA8B4D47BF937

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BRADY, MICHAEL, S, ,

Mailing Address 780 Park Way

City

Broomall

State

PA

Zip Code

19008-4212

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2750.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A4E7A10661FC04BD9A3F

Amount of Each Receipt this Period

375.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

562.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BRIDGES, J. CHRISTIAN, , ,

Mailing Address 1200 Summit Ave
Ste 780

City
Fort Worth

State
TX

Zip Code
76102-4477

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Self-Employed

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

11 / 12 / 2018

Transaction ID : AF859D8E5A95A4D85A8A

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BROWN CFP, DAVID, KEITH, ,

Mailing Address 1605 4th St

City

Santa Rosa

State

CA

Zip Code

95404-4036

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Encore Wealth Management

Occupation (for Individual)

Registered Principle

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

231.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A8860E1999E9C47BD952

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BROWNING, LANCE, ALLEN, ,

Mailing Address 3200 Troup Hwy
Ste 150

City

Tyler

State

TX

Zip Code

75701-8397

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A9963813625044C4EB59

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

178.81

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BUBB, KERRICK, WALTER, ,

Mailing Address 1782 Orange Tree Ln

City
RedlandsState
CAZip Code
92374-2856FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
KWB WEALTH MANAGERS GROUPOccupation (for Individual)
Wealth Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4576.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : ADB8C6F38859B4C04AE2

Amount of Each Receipt this Period

624.00

☐ Memo Item

Payroll Deduction: \$208.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BUDIN, STEVEN, ANDREW, ,

Mailing Address 3145 E Warm Springs Rd
Ste 400City
Las VegasState
NVZip Code
89120-3140FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Budin GroupOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A0774C415BDDC44DA955

Amount of Each Receipt this Period

312.48

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BURGOYNE JR., W.CARR, , ,

Mailing Address 17725 John F Kennedy Blvd
FI 2City
HoustonState
TXZip Code
77032-6013FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPLOccupation (for Individual)
OSJ Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : AC689F577E5724EDDAC5

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

967.71

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BYERS, J. PRESTON, , ,

Mailing Address 7000 Central Pkwy
Ste 1760

City
Atlanta

State
GA

Zip Code
30328-4599

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
ClearBridge Welath Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.99

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AC395B7055051446C9B8

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BYRNE, JOSEPH, GERARD, ,

Mailing Address 11 East St

City

Franklin

State

MA

Zip Code

02038-2110

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ADD34246621DD4C2E8F5

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BYRNE, STEPHEN, PLATT, ,

Mailing Address 368 Courthouse Rd
Ste A

City

Gulfport

State

MS

Zip Code

39507-1854

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ACF9C49912A5743C594D

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

189.21

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CALDWELL, BILLY RAY, , ,

Mailing Address 5210 Maryland Way
Ste 202

City
Brentwood

State
TN

Zip Code
37027-5065

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Caldwell Advisors, LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A500C9995A4314CEABB6

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. CARMAN, RICHARD, LLOYD, ,

Mailing Address 6800 Poplar Ave
Ste 105

City
Memphis

State
TN

Zip Code
38138-7404

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Carman Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AFF0C4D13D34B4DFB899

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CARPENTER, MICHAEL, DALE JOSEPH, ,

Mailing Address 3027 E Warm Springs Rd
Ste 100

City
Las Vegas

State
NV

Zip Code
89120-3765

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

875.06

Date of Receipt

11 / 26 / 2018

Transaction ID : A7637AE7BE55B47ACB0A

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

374.97

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CARTOLANO, JOHN, MICHAEL, ,

Mailing Address 9059 E Bahia Dr

City
Scottsdale

State
AZ

Zip Code
85260-1554

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4374.93

Date of Receipt

11 / 26 / 2018

Transaction ID : A571812BC83F543FCB83

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. CASSERLY, JULIE, M, ,

Mailing Address 1017 W Washington Blvd
Unit 3E

City
Chicago

State
IL

Zip Code
60607-2107

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
JMC Wealth Management, Inc.

Occupation (for Individual)
Business Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A4E972CB5ABFF44A5866

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CASTILLO, THOMAS, EDWARD, ,

Mailing Address 2300 E Katella Ave
Ste 400

City
Anaheim

State
CA

Zip Code
92806-6049

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Castillo Wealth Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A3EAB33234B6D424DACB

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

812.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CHRISTIAN, SUZANNE, H., ,

Mailing Address 419 Yale Ave

City
Claremont

State
CA

Zip Code
91711-4340

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A61CA8150FA0B42EDAF3

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. CLARK, DON, , ,

Mailing Address 7007 College Blvd
Ste 270

City

Overland Park

State
KS

Zip Code
66211-2440

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1749.86

Date of Receipt

11 / 12 / 2018

Transaction ID : A278D48D238E6435E9DA

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. COLEGROVE CFP, JOHN, D., ,

Mailing Address 4485 Tench Rd
Ste 2510

City

Suwanee

State
GA

Zip Code
30024-6746

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 26 / 2018

Transaction ID : AA927CEBAC1214090905

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

364.56

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. COLLINS, ROBERT, SMITH, ,

Mailing Address 751 Cool Springs Blvd
Ste 100

City
Franklin

State
TN

Zip Code
37067-2740

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AB3C2B6DD435846CDABE

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Compton, Christopher, PATRICK, ,

Mailing Address 403 Allegheny Ave

City
Towson

State
MD

Zip Code
21204-4214

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Compton Financial Group

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1416.61

Date of Receipt

11 / 26 / 2018

Transaction ID : AD70FDE9E6D4546E5A86

Amount of Each Receipt this Period

249.99

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. COX III, JAMES, A, ,

Mailing Address 9100 Arboretum Pkwy
Ste 255

City
North Chesterfield

State
VA

Zip Code
23236-5408

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Harris Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

11 / 12 / 2018

Transaction ID : A47D171621750456397B

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

791.63

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CRAIG, GERALD, GRANT, ,

Mailing Address 323 Coddle Market Dr NW
 Ste 130

City
 Concord

State
 NC

Zip Code
 28027-2415

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 Carolina Planning Consultants, LLP

Occupation (for Individual)
 Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A00824C92CAEF42F4AC7

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. CUMIFORD, WALTER, , ,

Mailing Address 311 Bullard Ave
 Ste 101

City
 Clovis

State
 CA

Zip Code
 93612-1063

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 LPL Financial LLC

Occupation (for Individual)
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A066F661E5F44489DA9F

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DALEY, URSULA, ROBINSON, ,

Mailing Address 1211 W 22nd St
 Ste 400

City
 Oak Brook

State
 IL

Zip Code
 60523-2110

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 LPL Financial LLC

Occupation (for Individual)
 Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : AF5BE6702D46B49C8976

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

95.46

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DANIELSON CFP, DEBORAH, L., ,

Mailing Address 3027 E Warm Springs Rd
Ste 100

City
Las Vegas

State
NV

Zip Code
89120-3765

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Danielson Financial Group

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A40BADA71073A4E28B80

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DANIELS, RICHARD, , ,

Mailing Address 16 Computer Dr W

City
Albany

State
NY

Zip Code
12205-1686

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Daniels Financial Partners, Inc.

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A20BD3DF5D2F84E0EA70

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DAVENPORT CFP, ROBERT, ALAN, ,

Mailing Address 9300 Shelbyville Rd
Ste 1310

City
Louisville

State
KY

Zip Code
40222-5170

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
KPP Advisory Services

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

333.28

Date of Receipt

11 / 26 / 2018

Transaction ID : AE9DC9C21F3474117B41

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

189.21

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DAVIS, JOHN, ANDREW, ,

Mailing Address 1005 S 6th St

City
Springfield

State
IL

Zip Code
62703-2403

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Davis Financial Group

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ADE56AF6DC10F4C49B92

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DEKKO, ERICA, DEE, ,

Mailing Address 751 E North St

City

Kendallville

State

IN

Zip Code

46755-1225

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Dekko Investment Services

Occupation (for Individual)

financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A32F676AE9BD04A43A9B

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DELPOZZO CHFC, ANTHONY, ANGELO, ,

Mailing Address 8585 E Hartford Dr
Ste 103

City

Scottsdale

State

AZ

Zip Code

85255-5472

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Vista Financial Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

453.81

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A77B18D44C24244969A3

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

249.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Dendy, William, Edward, ,

Mailing Address 5420 Lbj Fwy
Ste 275

City
Dallas

State
TX

Zip Code
75240-6266

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A76A1B3C93C7843F88C1

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DENOYIOR, JOSEPH, FREDRICK, ,

Mailing Address 1410 Spring Hill Rd
Ste 425

City
McLean

State
VA

Zip Code
22102-3032

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AEF03FEAA4B5040E1BCE

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DEPROSPERO, KIMBERLY, JOAN, ,

Mailing Address 1525 N Park Dr
Ste 104

City
Weston

State
FL

Zip Code
33326-3225

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Financial Strategies Group

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2288.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A7B5CB6488F8045398FF

Amount of Each Receipt this Period

312.00

☐ Memo Item

Payroll Deduction: \$104.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

499.47

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DIX, DIANE, A, ,

Mailing Address 100 Witmer Rd
Ste 140

City
Horsham

State
PA

Zip Code
19044-2648

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

366.52

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AB96D59DD80684EF2A40

Amount of Each Receipt this Period

49.98

☐ Memo Item

Payroll Deduction: \$16.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DIXON, DAVID, STAPLEY, ,

Mailing Address 7472 W Sahara Ave
Ste 101

City

Las Vegas

State

NV

Zip Code

89117-2748

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Dixon Financial Group, LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A3C1A24E025814BB89B1

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DOLLAR KURETICH, DORIS, ANN, ,

Mailing Address 19311 FM 2252

City

Garden Ridge

State

TX

Zip Code

78266-2584

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Self-Employed

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A4D5DAB10EBEF42328C0

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

299.94

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DORVILLIER, G.MICHAEL, , ,

Mailing Address 7777 Fay Ave
Ste 315

City
La Jolla

State
CA

Zip Code
92037-4336

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Symbio Financial Partners

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A9454632AF0EA41239DC

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DOUGHERTY CFP, PERRY, DAVID, ,

Mailing Address 4611 Bluebonnet Blvd
Ste A

City

Baton Rouge

State

LA

Zip Code

70809-9651

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lee, Dougherty and Ferrara

Occupation (for Individual)
Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ABefd239163544D18AD3

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DRAGOTTA, RICHARD, GASPARE, ,

Mailing Address 461 From Rd
Ste 385

City

Paramus

State

NJ

Zip Code

07652-3526

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A69970E0A47554DF0A36

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

187.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DREBENSTEDT, HEATHER, MARIE, ,

Mailing Address 7912 Carnegie Blvd

City

Fort Wayne

State

IN

Zip Code

46804-5781

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AA4DED6091D274A2EB26

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DUDASH CFP, STEVEN, , ,

Mailing Address 123 N Wacker Dr
Ste 2300

City

Chicago

State

IL

Zip Code

60606-1872

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A6520E49315094687A0C

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ELDRED, ROBERT, JOHN, ,

Mailing Address 3240 Lone Tree Way
Ste 102

City

Antioch

State

CA

Zip Code

94509-5559

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AAF099E1CDADB4ADEA7E

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

126.72

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ELMORE CFP, DAVID, LEE, ,

Mailing Address 230 S Wayne Ave

City

Waynesboro

State

VA

Zip Code

22980-4622

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A4F4DE9ACA07E4AA5BAI

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ENGEL, ROBERT, PATRICK, ,

Mailing Address 9841 Irvine Center Dr
Ste 130

City

Irvine

State

CA

Zip Code

92618-4314

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Wealth Planning Group

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A1AC1EA7F555A4429B50

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ERRICO CFP AIF, ROBERT, L, ,

Mailing Address 3991 MacArthur Blvd
Ste 250

City

Newport Beach

State

CA

Zip Code

92660-3039

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2750.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A2D42DBDDBD54489D9EE

Amount of Each Receipt this Period

375.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

470.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. FINN, JOHN, MICHAEL, ,

Mailing Address 294 Valley Rd

City
Middletown

State
RI

Zip Code
02842-5531

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A1C6E1AD125C645F0974

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. FISHER JR., RICHARD, NORTHRUP, Mr.,

Mailing Address 1205 S Main St

City
Burlington

State
NC

Zip Code
27215-5762

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Fisher Wealth Management

Occupation (for Individual)
Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A36B48000F9F4480F81A

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Fisher, Charles, RUSH, ,

Mailing Address 100 Witmer Rd
Ste 140

City
Horsham

State
PA

Zip Code
19044-2648

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A3229E3E9D663477691A

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

249.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. FLYNN, TIMOTHY, PATRICK, ,

Mailing Address 56 Harrison St
Ste 203

City
New Rochelle

State
NY

Zip Code
10801-6559

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A7151F39A4F2B488EAAB

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. FORBES, JOHN, , ,

Mailing Address 1160 Cedar Ct

City
Carbondale

State
IL

Zip Code
62901-5300

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Forbes Financial Group

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A0BFE770923FE46D4AC9

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. FOUSEK, PAUL, ALAN, ,

Mailing Address 22 W Calendar Ave
FI 2

City
La Grange

State
IL

Zip Code
60525-2373

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Horizon Wealth Management

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A666D0289AC4B4324856

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

97.23

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. FREEDMAN CFP, MARC, STUART, ,

Mailing Address 8 Essex Center Dr
FL 3

City
Peabody

State
MA

Zip Code
01960-2911

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Freedman Financial

Occupation (for Individual)
President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3666.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AFB21D5070DC946BD89E

Amount of Each Receipt this Period

499.98

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. GARRETT, D. WAYNE, , ,

Mailing Address 1207 Santa Fe Dr

City

Weatherford

State

TX

Zip Code

76086-5819

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Snow Garrett Wealth Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

11 / 26 / 2018

Transaction ID : A684114899C89461A974

Amount of Each Receipt this Period

69.24

☐ Memo Item

Payroll Deduction: \$23.08/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. GILBERT, LOREEN, MICHELLE, ,

Mailing Address 9070 Irvine Center Dr
Ste 230

City

Irvine

State

CA

Zip Code

92618-4687

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
WealthWise Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A6EAE9D6179B04BDC87A

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

694.20

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. GOODMAN, BRUCE, NEIL, ,

Mailing Address 200 Broadway
Ste 305

City
Lynnfield

State
MA

Zip Code
01940-2349

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : A09FB3C0F8B624807A4F

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Graf, Brian, Scott, ,

Mailing Address 14150 S Route 30
Unit 205

City
Plainfield

State
IL

Zip Code
60544-5536

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A3600B6F34EC94BA59E9

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. GRAFF, BONITA, LEE, ,

Mailing Address 2391 Enterprise Dr

City
Oshkosh

State
WI

Zip Code
54904-6320

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Provident Financial Consultants, LLC

Occupation (for Individual)
Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A5FD9AFDBF930427586A

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

218.73

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. GREEN, GRAHAM, CHARLES, ,

Mailing Address 48 Gold St

City

San Francisco

State

CA

Zip Code

94133-5103

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A43791125765945FDA77

Amount of Each Receipt this Period

69.24

☐ Memo Item

Payroll Deduction: \$23.08/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. GRIGGERS, WILLIAM, ALVA, ,

Mailing Address 314 Corder Rd

City

Warner Robins

State

GA

Zip Code

31088-3606

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
GPST Wealth ManagementOccupation (for Individual)
Wealth Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A6C26C138FF304B379CA

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HAMBLLEN, JEFFREY, DANIEL, ,

Mailing Address 1410 Spring Hill Rd
Ste 425

City

McLean

State

VA

Zip Code

22102-3032

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Washington Financial GroupOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

924.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A70B92F75E1F542CB9EE

Amount of Each Receipt this Period

126.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

226.47

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HAMM, WILLIAM, E, ,

Mailing Address 3030 N Rocky Point Dr W
Ste 700

City
Tampa

State
FL

Zip Code
33607-5907

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
IFP

Occupation (for Individual)
CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A8CF0E0CA10D74CB199B

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HAPANOWICZ AIF, ROBERT, EDWIN, ,

Mailing Address 301 Grant St
Ste 300

City

Pittsburgh

State
PA

Zip Code
15219-6401

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

11 / 12 / 2018

Transaction ID : A47144C25583F4898BD1

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HARRIS, EDWARD, JAMES, ,

Mailing Address 4160 Washington Rd
Ste 1

City

Mc Murray

State
PA

Zip Code
15317-2533

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AC01AA1AA068C4891885

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

833.29

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HASSELL, JOHN, THOMAS, ,

Mailing Address 300 Lafayette St
Ste 200

City
Houma

State
LA

Zip Code
70360-4450

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Hassell Wealth Management

Occupation (for Individual)
Owner/Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

882.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 12 / 2018

Transaction ID : AEB8DD910812E49D6A89

Amount of Each Receipt this Period

84.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HATCH, MICHAEL, PATRICK, ,

Mailing Address 225 S Lake Ave
Ste 600

City

Pasadena

State
CA

Zip Code
91101-4860

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Sterling Group

Occupation (for Individual)
Financial Advisor & Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 12 / 2018

Transaction ID : A61E8B98FF62D4136882

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HERNANDEZ, MICHAEL, ANGEL, ,

Mailing Address 9550 Warner Ave
Ste 300

City

Fountain Valley

State
CA

Zip Code
92708-2843

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A6F62F56BC91D4352A6F

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

281.89

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HILLIS, JOHN, L, ,

Mailing Address 333 W SANTA CLARA #604 - BR#2

City
SAN JOSE

State
CA

Zip Code
95113

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Hillis Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2750.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A34B92ADB6B214416BBE

Amount of Each Receipt this Period

375.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HILL, JAMES, MICHAEL, ,

Mailing Address 424 N Main St

City
Garden City

State
KS

Zip Code
67846-5428

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Hill Wealth Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 26 / 2018

Transaction ID : AAD9A2289BBDB4655B0C

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HOWARD, DAVID, L, ,

Mailing Address 319 N GRATIOT AVE

City
Harrison Township

State
MI

Zip Code
48045

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
David Howard, CPA, PFS

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

262.41

Date of Receipt

10 / 30 / 2018

Transaction ID : A80F906F22EEC4F92B9E

Amount of Each Receipt this Period

8.32

☐ Memo Item

Payroll Deduction: \$4.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

414.58

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HOWELL, MICHAEL, RAY, ,

Mailing Address 1101 N Market St

City
SpartaState
ILZip Code
62286-1017FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AF3BB82B0FF1A4839A06

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HURLEY III, WILLIAM, CREED, ,

Mailing Address 310 Eastdale Cir

City
MontgomeryState
ALZip Code
36117-2112FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Legacy Advisors, Inc.Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A42FFD6146F0E4132A3F

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. JACOBSON CRPC, WAYNE, ALLAN, ,

Mailing Address 411 Clay St

City
Cedar FallsState
IAZip Code
50613-2837FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Jacobson Financial Services, LLCOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AA8E755EFA33A4D35A1C

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

156.21

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. JACOBSON, DON, ARTHUR, ,

Mailing Address 3112 W 4th St

City
Fort Worth

State
TX

Zip Code
76107-2113

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Dean, Jacobson Financial Services

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

924.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A8F1B99239FD4443EB45

Amount of Each Receipt this Period

126.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. JARIWALA, FALGUN, , ,

Mailing Address 30 Old Kings Hwy S

City
Darien

State
CT

Zip Code
06820-4551

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : AD3E28E17F3854369A26

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. JOWDY, MICHAEL, WILLIAM, ,

Mailing Address 7777 Center Ave
Ste 120

City
Huntington Beach

State
CA

Zip Code
92647-3017

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1749.93

Date of Receipt

11 / 26 / 2018

Transaction ID : AFCA0824061B04ECA83C

Amount of Each Receipt this Period

249.99

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

407.22

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KAPLAN, SUSAN, CAROL, ,

Mailing Address 2227 WASHINGTON ST STE 300
2 NEWTON EXECUTIVE PARK

City
NEWTON

State
MA

Zip Code
02462-1417

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4523.76

Date of Receipt

11 / 26 / 2018

Transaction ID : ADAE8CA8CBEA547FAB9

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. KARLINSKI CFP, ANDREW, C, ,

Mailing Address 6 Venture
Ste 390

City
Irvine

State
CA

Zip Code
92618-7367

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
AK Financial Group

Occupation (for Individual)
President/Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A401E78B7ABD24D52B64

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. KEEVER IV, G. WARD, , ,

Mailing Address 15 Middleton Dr

City
Wilmington

State
DE

Zip Code
19808-4320

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A975C5154E94F4D36A86

Amount of Each Receipt this Period

312.48

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1062.45

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KEIFER, JOSEPH, MICHAEL, ,

Mailing Address 7417 Mexico Rd
Ste 104

City
Saint Peters

State
MO

Zip Code
63376-1373

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 26 / 2018

Transaction ID : A4C701016EFC4A01A59

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Kerr, John, STEVEN, ,

Mailing Address 130 Turner St
Ste 230

City
Waltham

State
MA

Zip Code
02453-8945

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Bay Financial Associates

Occupation (for Individual)
Managing Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : A08C0C7AB0AD64BF29F6

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. KIRKLAND, BEDFORD 'TAB', FORREST, ,

Mailing Address 1032 W Main St

City
Lebanon

State
TN

Zip Code
37087-3345

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A940CD43ED0D64303936

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

281.25

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KNIGHT CFP, DIANE, M, ,

Mailing Address 116 Henshaw Ave

City
ChicoState
CAZip Code
95973-7211FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
RedRock FinancialOccupation (for Individual)
Registered Representative

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

462.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AC5085067486A4BEC8A9

Amount of Each Receipt this Period

63.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. KNOUS, NANCY, LYNN, ,

Mailing Address 5855 Ridge Bend Rd

City
MemphisState
TNZip Code
38120-9412FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Benchmark Wealth ManagementOccupation (for Individual)
Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A2E2B112D53CF4B22B2E

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. KUHLENBECK, SUSAN, CHRISTINE, ,

Mailing Address N19W24200 Riverwood Dr
Ste 150City
WaukeshaState
WIZip Code
53188-1193FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

924.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A0AB611CC7CBE4A9494C

Amount of Each Receipt this Period

126.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

222.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KUPRINA, KRISTINA, P, ,

Mailing Address 99 Almaden Blvd
Ste 925

City
San Jose

State
CA

Zip Code
95113-1614

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Sequoia Wealth Advisors & Investment M

Occupation (for Individual)

Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A81629907B5504C7490F

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LA POINTE, DAVID, BLANDIN, ,

Mailing Address 28544 Old Town Front St
Ste 201

City
Temecula

State
CA

Zip Code
92590-2756

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

La Pointe Wealth Management

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4166.60

Date of Receipt

11 / 12 / 2018

Transaction ID : A62AA3F07673B41BE9C2

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LEDEBUHR, PETER, E, ,

Mailing Address 290 Springfield Dr
Ste 200

City
Bloomington

State
IL

Zip Code
60108-2241

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Ledebuhr Wealth Management

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

281.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A7DD0EF04C4EF4152901

Amount of Each Receipt this Period

75.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

554.15

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LEE CFP, KAREN, JUDITH, ,

Mailing Address 200 Ashford Ctr N
Ste 400

City
Atlanta

State
GA

Zip Code
30338-2669

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Karen Lee and Associates

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AA85FEA74B10A470895A

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LEIBMAN, MARK, EDWARD, ,

Mailing Address 228 Main St

City

Louisville

State

NE

Zip Code

68037-6032

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A011139B63FC54F59A6A

Amount of Each Receipt this Period

312.48

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LEITCH, LAWRENCE, NELSON, ,

Mailing Address 401 Washington Ave
FI 7

City

Towson

State

MD

Zip Code

21204-4821

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Synergy Financial Group

Occupation (for Individual)
Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2750.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AA4CB9029164040EB862

Amount of Each Receipt this Period

375.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

749.97

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LEVERONI CFP, TIMOTHY, W, ,

Mailing Address 50 Braintree Hill Park
Ste 205

City
Braintree

State
MA

Zip Code
02184-8735

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : AE4050EE239D344BB8EB

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LITTON, WILLIAM, LEE, ,

Mailing Address 4225 Executive Sq
Ste 1030

City
La Jolla

State
CA

Zip Code
92037-1486

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Litton Financial

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A912F940006E244819DA

Amount of Each Receipt this Period

75.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LONE, STEVEN, ANDRE, Mr.,

Mailing Address 28411 Northwestern Hwy
Ste 1200

City
Southfield

State
MI

Zip Code
48034-5521

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial Corporation

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

833.20

Date of Receipt

11 / 26 / 2018

Transaction ID : A1F67E2DF18BB4563A28

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

262.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LONG CFP, GREG, DEATS, ,

Mailing Address 280 S Prospect Ave
Ste A

City
Tustin

State
CA

Zip Code
92780-1513

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL

Occupation (for Individual)
financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : AEE882A797F9E4C6F926

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LYMAN JR., ROBERT, D, ,

Mailing Address 2500 W Higgins Rd
Ste 360

City

Hoffman Estates

State
IL

Zip Code
60169-7207

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
SEQUOIA FINANCIAL NETWORK

Occupation (for Individual)
MANAGING PARTNER

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A4749EA88E8374FC8ADC

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LYONS CFP, JOSEPH, A, ,

Mailing Address 1 Executive Ct
Ste 5

City

South Barrington

State
IL

Zip Code
60010-9533

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Joe Lyons LLC

Occupation (for Individual)
Registered Representative

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : AA490E452575D4071BBE

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

128.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MALAKOFF, ERNY, GLENN, ,

Mailing Address 20444 Redwood Rd

City
Castro Valley

State
CA

Zip Code
94546-4316

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

289.63

Date of Receipt

11 / 12 / 2018

Transaction ID : A708A7DC3B3AD4186B8B

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MALAKOFF, JOEL, WARREN, ,

Mailing Address 20444 Redwood Rd

City
Castro Valley

State
CA

Zip Code
94546-4316

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

708.22

Date of Receipt

11 / 26 / 2018

Transaction ID : A40AC5D425F7F4786BA6

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Mann, Wilbur, Salsbury, ,

Mailing Address 54 Flagler Ave

City
Cheshire

State
CT

Zip Code
06410-2202

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A7428E07DA61A4BAD842

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

197.87

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MARSHALL-PENCE, LAILA, SOBHI, ,

Mailing Address 5000 Birch St
Ste 8000

City
Newport Beach

State
CA

Zip Code
92660-2158

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Pence Wealth Management

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A1C9F2ADD5DEE44C39AC

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MARTIN, MICHAEL, KELLEY, ,

Mailing Address 7505 NW Tiffany Springs Pkwy
Ste 120

City
Kansas City

State
MO

Zip Code
64153-1389

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Martin Financial Group

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A8452790401904296A3F

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Marzano, Jon, MICHAEL, ,

Mailing Address 2625 Neudorf Rd
Ste 400

City
Clemmons

State
NC

Zip Code
27012-7848

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Information Requested

Occupation (for Individual)
Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A04E21C3A01044290876

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

687.45

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MASSARO CHFC, THEODORE, ROCCO, ,

Mailing Address 57 S Maple Ave

City
Marlton

State
NJ

Zip Code
08053-2031

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
M Financial Planning Services, Inc.

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2750.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A89C8732EB01641B1A0F

Amount of Each Receipt this Period

375.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MATSON, ROBERT, ARTHUR, ,

Mailing Address 333 Orchard St

City
Millis

State
MA

Zip Code
02054-1025

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Robert A Matson CLU, ChFC

Occupation (for Individual)
Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AB1C4A5F1285446D7823

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MCDERMOTT CFP, SEAN, PATRICK, ,

Mailing Address 3065 Centre Pointe Dr
Ste 2

City
Roseville

State
MN

Zip Code
55113-1118

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AD3DD197D8FC64A13946

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

624.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MCRAE, JOE, SCOTT, ,

Mailing Address 505 Highway 169 N
Ste 260

City
Plymouth

State
MN

Zip Code
55441-6444

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A4EC7C9CA786B4548857

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MILLER, SHARI, DIANE, ,

Mailing Address 7777 Fay Ave
Ste 315

City
La Jolla

State
CA

Zip Code
92037-4336

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Symbio Financial Partners

Occupation (for Individual)
manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A51E9B4A5A4FF4FAAB8D

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MILLIGAN, DAVID, MARTIN, ,

Mailing Address 92 Elm St

City
Pittsfield

State
MA

Zip Code
01201-6532

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A1084FC0CF08B47D78E8

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.44

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MONROE, STEVEN, R, ,

Mailing Address 758 Fulton St W

City

Grand Rapids

State

MI

Zip Code

49504-6320

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

11 / 26 / 2018

Transaction ID : A3AAEDD30EA8C42D7833

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MOONEY, KEITH, B, ,

Mailing Address 1 Executive Ct
Ste 5

City

South Barrington

State

IL

Zip Code

60010-9533

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Sequoia Wealth Management

Occupation (for Individual)

Registered Representative

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.64

Date of Receipt

11 / 26 / 2018

Transaction ID : A47F9304C5DA64D48890

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MORRONE CFP(R), EMILIO, JOHN, ,

Mailing Address 1605 N Cedar Crest Blvd
Ste 515

City

Allentown

State

PA

Zip Code

18104-2351

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
One Financial Services

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

749.88

Date of Receipt

11 / 12 / 2018

Transaction ID : A64368E9F331A42BD981

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

770.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MOTTA CFP, KRISTY, A., ,

Mailing Address 1915 NE Stucki Ave
 Ste 150

City
 Beaverton

State
 OR

Zip Code
 97006-6909

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 Consolidated Financial

Occupation (for Individual)
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A35FBFCD1FDE04C96B03

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. NEAL, BRADLEY, EUGENE, ,

Mailing Address 303 S Kickapoo St

City
 Lincoln

State
 IL

Zip Code
 62656-2864

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 LPL Financial

Occupation (for Individual)
 Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A4462C95F26EA4130BA6

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. NOAH, RICHARD, DANIEL, ,

Mailing Address 1200 W 18th St
 Ste 1

City
 Spencer

State
 IA

Zip Code
 51301-2822

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 Legacy Financial Services

Occupation (for Individual)
 Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A8E26EA5A6DCE4707B8A

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

95.46

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. OLSEN, DAVID, PAUL, ,

Mailing Address 4809 State Highway 30

City
AmsterdamState
NYZip Code
12010-7436FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Olsen Investment ManagementOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A21A8487C18844215A8B

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. PARKS CFP, JAMES, T., ,

Mailing Address 216 E Ridgewood Ave
Ste 2City
RidgewoodState
NJZip Code
07450-3849FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Parks Wealth ManagementOccupation (for Individual)
Wealth Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1390.08

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A2BFADB1A6A064370A54

Amount of Each Receipt this Period

312.48

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. PATRICK, DONALD, WARREN, ,

Mailing Address 200 Ashford Ctr N
Ste 400City
AtlantaState
GAZip Code
30338-2669FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Integrated Financial GroupOccupation (for Individual)
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

874.86

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A1186356160CF4E389A6

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

468.69

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. PAU, HYONG SUK, CHOE, ,

Mailing Address 21070 Homestead Rd
Ste 210

City
Cupertino

State
CA

Zip Code
95014-0200

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Pau & Tran Wealth Mgmt.

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A5082DE1FE4074C98AB8

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. PAUL, ROBERT, ALLEN, ,

Mailing Address 70 Bridge St
Unit 9

City
Pelham

State
NH

Zip Code
03076-3419

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : AE69511779050448BB8A

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. PETERSON CFP, PAUL, A, ,

Mailing Address 1 Fishers Rd
Ste 180

City
Pittsford

State
NY

Zip Code
14534-9502

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 26 / 2018

Transaction ID : ABF6F65DA1E104F5EA4C

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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187.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Peterson, Thomas, Lee, ,

Mailing Address N19W24200 Riverwood Dr
Ste 150

City
Waukesha

State
WI

Zip Code
53188-1193

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Investors Advisory Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A6294D0475B334EE1A81

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. PETIX-REICHERT, VICKIE, LINN, ,

Mailing Address 7777 Alvarado Rd
Ste 320

City
La Mesa

State
CA

Zip Code
91942-8247

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A5BC503E435EA49A1976

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. POWELL CIMA, GREGORY, R., ,

Mailing Address 5825 Feldspar Way

City
Hoover

State
AL

Zip Code
35244-4503

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

fi-Plan Partners

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

11 / 26 / 2018

Transaction ID : A135E11A1BB8C4BEAB8E

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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718.71

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. PRICE, LORI, RESNICK, Mrs.,

Mailing Address 43 Danbury Rd

City
Wilton

State
CT

Zip Code
06897-4400

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Price Financial Group

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A41DFE2EAE6144FBA94B

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. PULTRO, MICHAEL, FRANCIS, ,

Mailing Address 926 Town Ctr

City

New Britain

State

PA

Zip Code

18901-5182

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

First Financial Planners

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : AB1F8B260F8454E87B7B

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. REED, MICHAEL, ALAN, ,

Mailing Address 707 Sable Oaks Dr
Ste 390

City

S Portland

State

ME

Zip Code

04106-6902

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

TRSS Wealth Management, LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 26 / 2018

Transaction ID : A9CBB526B3FBA4C59A16

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

126.75

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. REICH, STEPHEN, , ,

Mailing Address 5 N 3rd Ave W

City
Duluth

State
MN

Zip Code
55802-1614

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Investment Planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A801FD7AED24A49DCBC2

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. REID, BARRY, JONATHAN, ,

Mailing Address 27604 Marine View Dr S

City
Des Moines

State
WA

Zip Code
98198-9347

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A5B7D1CFA174A4FE8AC3

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. RIGNEY, T. WAYNE, , ,

Mailing Address 3358 S 2nd St
Ste E

City
Cabot

State
AR

Zip Code
72023-7876

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Rigney Financial Services

Occupation (for Individual)
Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

336.00

Date of Receipt

11 / 12 / 2018

Transaction ID : A0043BEABE36349CAA85

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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104.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ROTH JR., ERIC, DONALD, ,

Mailing Address 420 TRUMAN BLVD SUITE C

City
CRYSTAL CITYState
MOZip Code
63019-1726FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 12 / 2018

Transaction ID : A37750BE7DB74401C8AD

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. RUSH, THOMAS, MCDONALD, Mr.,

Mailing Address 182 E 16th St
Ste DCity
YumaState
AZZip Code
85364-4738FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Yuma Investment GroupOccupation (for Individual)
Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ABD9B1BE7E69047C3A35

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. RUSSO, JOSEPH, ROY, ,

Mailing Address 230 2nd St SE
Ste 200City
Cedar RapidsState
IAZip Code
52401-1426FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial CorporationOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A649ED8D3C0254342BC8

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

241.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. RYAN JR. CFP, WILLIAM, EDWARD, ,

Mailing Address 490 Schooleys Mountain Rd
Ste 9

City
Hackettstown

State
NJ

Zip Code
07840-4002

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Ryan Wealth Management Services

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A8D0DC50BD9C74A25B21

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SACHS, MICHAEL, AUBREY, ,

Mailing Address 1700 West Loop S
Ste 255

City
Houston

State
TX

Zip Code
77027-3029

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Wallstreet Texas

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AE5E7BC825E9240D8BA6

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SAGEER, DOMINICK, JUDE, ,

Mailing Address 231 Salina Meadows Pkwy

City
Syracuse

State
NY

Zip Code
13212-4559

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ACCC26384F9584E79A99

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

126.75

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SALAS, NOEMI, XOCHITL, ,

Mailing Address 1600 Ruben Torres Sr Blvd

City
Brownsville

State
TX

Zip Code
78521-1104

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

11 / 26 / 2018

Transaction ID : AECEC821C6399482D8F5

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SALOMON, ARTHUR, R., ,

Mailing Address 3217 Summit Square Pl
Ste 250

City
Lexington

State
KY

Zip Code
40509-2638

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Salomon & Co.

Occupation (for Individual)
Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A2182696D27F0445BB22

Amount of Each Receipt this Period

150.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SCHMELTEKOPF, JEFFREY, JAMES, ,

Mailing Address 3112 W 4th St

City
Fort Worth

State
TX

Zip Code
76107-2113

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Dean, Jacobson Financial Services, LLC

Occupation (for Individual)
Owner / Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A2619FE8F86764A44A7B

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

274.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SCHMIDT, KENT, , ,

Mailing Address 231 Salina Meadows Pkwy

City
Syracuse

State
NY

Zip Code
13212-4559

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

11 / 26 / 2018

Transaction ID : AE733BD39933944F49F4

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SEIBERT, JERRY, ALAN, ,

Mailing Address 1124 Rickard Rd
Ste A

City
Springfield

State
IL

Zip Code
62704-6365

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Troxell Financial Advisors

Occupation (for Individual)
Owner/Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : AD5F8F60388514BD2ACE

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SEPEDE, GARREN, JOSEPH, ,

Mailing Address 10785 W Twain Ave
Ste 100

City
Las Vegas

State
NV

Zip Code
89135-3027

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Strategic Wealth Mgt, Inc.

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A1F848F35F2214D2D993

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

93.72

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SHANAHAN, MICHAEL, DENNIS, ,

Mailing Address 12520 High Bluff Dr
Ste 120

City
San Diego

State
CA

Zip Code
92130-7002

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A159C9BE695A64D35BFB

Amount of Each Receipt this Period

312.48

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Shaw, Charlotte, NANETTE, ,

Mailing Address 2901 S Bryant Ave

City
Edmond

State
OK

Zip Code
73013-6167

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Information Requested

Occupation (for Individual)
Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A5754B3CF1C044326B9B

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SHREWSBURY, JOHN, FRED, ,

Mailing Address 612 W Commerce Dr
Ste 2

City
Bryant

State
AR

Zip Code
72022-7517

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
GenWealth Financial Advisors

Occupation (for Individual)
Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : AD5A4AA87C3D8490FACF

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

406.20

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SHUMATE BROWN, TAMARA, LYNN, ,

Mailing Address 4890 W Kennedy Blvd
Ste 320

City
Tampa

State
FL

Zip Code
33609-1869

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

11 / 26 / 2018

Transaction ID : AB4D3F237F6994F548B3

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SPOLTMAN, STEPHEN, JOHN, ,

Mailing Address 7912 Carnegie Blvd

City

Fort Wayne

State

IN

Zip Code

46804-5781

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A1E2CD9FD24AE4ACFB08

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SPURRIER, STEPHEN, MICHAEL, ,

Mailing Address 401 Washington Ave
FI 7

City

Towson

State

MD

Zip Code

21204-4821

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A9CC0D5F73E894298B2E

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. STANISLAWSKI, GARY, M, ,

Mailing Address 7134 S Yale Ave
Ste 700

City
Tulsa

State
OK

Zip Code
74136-6354

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Regent Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1833.26

Date of Receipt

11 / 26 / 2018

Transaction ID : AA441612A05FC47CF9A8

Amount of Each Receipt this Period

249.99

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. STEICHEN, DANIEL, EDWARD, ,

Mailing Address 1550 American Blvd E
Ste 640

City

Bloomington

State
MN

Zip Code
55425-3100

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Pakola, Steichen & Company

Occupation (for Individual)
Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : AB7B40C0DCDFA4D72A1C

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. STEPHENSON, HAROLD, DAVID, ,

Mailing Address 1220 Diamond Way
Ste 260

City

Concord

State
CA

Zip Code
94520-5270

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Stephenson Co./LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : AD9DBF51FED154D7F95B

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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345.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. STEVENS, JOHN, P, ,

Mailing Address 8194 Liberty Way

City
West Chester

State
OH

Zip Code
45069-2517

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Stevens Investment Group, Inc

Occupation (for Individual)
Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AF14542BD46594C9CA72

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. STONE, MICHAEL, LOUIS, ,

Mailing Address 551 Newnan St

City
Carrollton

State
GA

Zip Code
30117-3335

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A408B20B33E1C42DBB34

Amount of Each Receipt this Period

75.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SULLIVAN, JAMES, , ,

Mailing Address 65 Madison Ave
Ste 300

City
Morristown

State
NJ

Zip Code
07960-7368

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Private Advisor Group

Occupation (for Individual)
LPL Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : A08772205EBD84050B81

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

324.99

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SUPKA, KEVIN, PETER, ,

Mailing Address 100 Witmer Rd
Ste 140

City
Horsham

State
PA

Zip Code
19044-2648

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

11 / 26 / 2018

Transaction ID : A31DEAC4C0FD14D75B13

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SWIFT, SHANE, CONROY, ,

Mailing Address 615 35th Ave

City
Moline

State
IL

Zip Code
61265-6107

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : AB535C2E06D324305B28

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. THIESEN, JEFFREY, DONALD, ,

Mailing Address 7050 N Fresno St
Ste 105

City
Fresno

State
CA

Zip Code
93720-2975

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Thiesen Dueker Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

11 / 26 / 2018

Transaction ID : ACAD9AF7F5D9541E8952

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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718.71

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. TILLMAN, KEITH, ROBERT, ,

Mailing Address 6021 S Syracuse Way
Ste 310

City
Greenwood Village

State
CO

Zip Code
80111-4746

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A964AC1F277D240348D9

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. TIPTON, TREENA, LAYNE, ,

Mailing Address 1200 Harris Ave
Ste 409

City
Bellingham

State
WA

Zip Code
98225-7148

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

441.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A4C95C5F3C6D74145AE4

Amount of Each Receipt this Period

63.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. TOCCO CFP, JUDITH, ANN, ,

Mailing Address 1647 N Swan Rd

City
Tucson

State
AZ

Zip Code
85712-4046

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A965FD06928064DFBB4B

Amount of Each Receipt this Period

62.49

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

156.75

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. TOCK, STEPHEN, JOHN, ,

Mailing Address 310 S Prairie Ave

City
Dwight

State
IL

Zip Code
60420-1326

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A160B419A9ED44D8CBEE

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Todd, Ryan, C, ,

Mailing Address 1200 Pennsylvania Ave
Ste 202A

City
Wilmington

State
DE

Zip Code
19806-4316

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A43CA523D299A4F0DA78

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. TRAPP, CHRISTINE, D, ,

Mailing Address 550 E Devon Ave
Ste 190

City
Itasca

State
IL

Zip Code
60143-2637

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Trapp Financial

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AFE79DE29A8254049950

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. TYE CFP, TOM, MICHAEL, ,

Mailing Address 141 N 3rd St

City
DanvilleState
KYZip Code
40422-1655FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Tye Financial group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3666.74

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ABE62507719B24C07B62

Amount of Each Receipt this Period

500.01

☐ Memo Item

Payroll Deduction: \$166.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. URIBE, DANIEL, OSCAR, ,

Mailing Address 3919 Old Lee Hwy
Ste 81CCity
FairfaxState
VAZip Code
22030-2430FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Good Wealth ManagementOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.20

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AF24AFCC078BC4D618AC

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Valenti, Joan, MARIE, ,

Mailing Address 3 Forest Park Dr

City
FarmingtonState
CTZip Code
06032-1468FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Valenti Wealth ManagementOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

4608.29

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : ABD339E3994774FF591F

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1249.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. VAN HOUTEN, STEVEN, LEE, ,

Mailing Address 2210 Encinitas Blvd
Ste C

City
Encinitas

State
CA

Zip Code
92024-4359

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3541.61

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AEAFF444F29A4C1D98A

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. VANARSDALE, JUDY, ANN, ,

Mailing Address 21925 W Field Pkwy
Ste 245

City
Deer Park

State
IL

Zip Code
60010-7208

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
enRich Private Wealth Management

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2115.30

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A485B9947A903462F98E

Amount of Each Receipt this Period

288.45

☐ Memo Item

Payroll Deduction: \$96.15/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. VICKERS III, THOMAS, HENRY, ,

Mailing Address 1215 W Baltimore Pike
Ste 4

City
Media

State
PA

Zip Code
19063-5540

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Financial Planning Associates, LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

242.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AF87141E376984F1C9E6

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

946.44

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. VINCENT, JEFFREY P., , ,

Mailing Address 308 E Main St

City
Riverton

State
WY

Zip Code
82501-4338

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Vincent Financial Services

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A41F61A6F403A4EC7AD8

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. VON BORSTEL CFP, WAYNE, , ,

Mailing Address 308 E 3rd St

City
The Dalles

State
OR

Zip Code
97058-2204

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LLC

Occupation (for Individual)
financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

11 / 26 / 2018

Transaction ID : AC415BB1206334E14B94

Amount of Each Receipt this Period

624.99

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WAGLE, SANJAY, , ,

Mailing Address 45 S Park Blvd
Ste 205

City
Glen Ellyn

State
IL

Zip Code
60137-6286

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

11 / 12 / 2018

Transaction ID : AC75978221E7B4A5794B

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

741.31

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WALKER, JANET, ELISE, ,

Mailing Address 612 W Commerce Dr
Ste 2

City
Bryant

State
AR

Zip Code
72022-7517

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
GenWealth Financial Advisors

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : AEF56F48E66174C108CB

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WAYBRIGHT, JASON, ROBERT, ,

Mailing Address 1314 Trinity St

City
Redding

State
CA

Zip Code
96001-0703

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : A025F66A43B4D4F118B5

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WHYTE, THOMAS, WARD, ,

Mailing Address 361 US Route 1
Ste 3

City
Falmouth

State
ME

Zip Code
04105-1376

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A8F66A89270194F8F888

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WILBURN, DAN, , ,

Mailing Address 554 Vale Park Rd

City
Valparaiso

State
IN

Zip Code
46385-2505

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : AEACD5B6814C34F64AFC

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WILLIAMS CFP, MICHAEL, C. F., ,

Mailing Address 224 Valley Creek Blvd
Ste 330

City
Exton

State
PA

Zip Code
19341-2386

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

First Financial Networks. Inc

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3666.52

Date of Receipt

11 / 26 / 2018

Transaction ID : A2090E4E601634C62807

Amount of Each Receipt this Period

499.98

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WINDAWI, LOUIS, B, ,

Mailing Address 1422 Edinger Ave
Ste 220

City
Tustin

State
CA

Zip Code
92780-6298

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Windawi & Associates, Inc.

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 26 / 2018

Transaction ID : AA4B7C704F796408E868

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

657.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WITEK, STEVEN, SCOTT, ,

Mailing Address 613 1st St

1-B

City

La Salle

State

IL

Zip Code

61301-2433

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : A3E57C0486000455795B

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WONG CFP, CARY, ANN, ,

Mailing Address 16201 E Indiana Ave

Ste 5220

City

Spokane Valley

State

WA

Zip Code

99216-2842

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Wong Financial Services, Inc.

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1375.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A25C354755C224323BE9

Amount of Each Receipt this Period

187.50

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Woon, Simon, VAY, ,

Mailing Address 3761 Westerre Pkwy

Ste H

City

Richmond

State

VA

Zip Code

23233-1331

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

PARAGON FINANCIAL SERVICES

Occupation (for Individual)

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

229.02

Date of Receipt

11 / 26 / 2018

Transaction ID : A1F1D2D34D8AC4F0B85D

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

343.74

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WORKMAN, DAVID, JOHN, Mr.,

Mailing Address 1529 E Market St

City
Logansport

State
IN

Zip Code
46947-3227

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.74

Date of Receipt

11 / 26 / 2018

Transaction ID : A6C83DC17B50244F39F2

Amount of Each Receipt this Period

125.01

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. YANKER, SCOTT, W, ,

Mailing Address 13611 Barrett Office Dr
Ste 100

City
Manchester

State
MO

Zip Code
63021-7833

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Yanker Group

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

462.00

Date of Receipt

11 / 26 / 2018

Transaction ID : AB10F6EDB775943F09D3

Amount of Each Receipt this Period

63.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ZIMMER, KEVIN, , ,

Mailing Address 1233 Redlands Way

City
Concord

State
CA

Zip Code
94521-4732

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
FRG

Occupation (for Individual)
VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

242.00

Date of Receipt

11 / 26 / 2018

Transaction ID : A48A48E875BA3496BB16

Amount of Each Receipt this Period

33.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

221.01

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 92 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ZITZELBERGER, MARK, G, ,

Mailing Address 118 W Michigan St

City
Mt PleasantState
MIZip Code
48858-2508FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Total Investment Planners, Inc.Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

667.75

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A36FD5130F39B4AF39FB

Amount of Each Receipt this Period

124.98

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Arnold, Dan, , , Jr.

Mailing Address PO Box 1474

City
Rancho Santa FeState
CAZip Code
92067-1474FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2018

Transaction ID : A6B35DA99E67D4661887

Amount of Each Receipt this Period

5000.00

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Kamis, Craig, , ,

Mailing Address 4746 Binfords Ridge Rd

City
CharlotteState
NCZip Code
28226-4007FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
EVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 16 / 2018

Transaction ID : A874FE3D0DA644484A9F

Amount of Each Receipt this Period

30.00

☐ Memo Item

Payroll Deduction: \$10.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

5154.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ABOOD CFP, JOHN, M, ,

Mailing Address 20 Montchanin Rd
Ste 270

City
Greenville

State
DE

Zip Code
19807-2174

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.45

Date of Receipt

11 / 26 / 2018

Transaction ID : A401C0C7D05374A21820

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BELLIS CFP CLU, MICHAEL D., , ,

Mailing Address 2001 Butterfield Rd
Ste 1000

City

Downers Grove

State
IL

Zip Code
60515-5476

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Certified Financial Planner?

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 26 / 2018

Transaction ID : AD7F3BE4A0A224B3D968

Amount of Each Receipt this Period

30.00

☐ Memo Item

Payroll Deduction: \$10.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BICKLING, DOROTHY, INEZ, ,

Mailing Address 35 Bedford St
Ste 15

City

Lexington

State
MA

Zip Code
02420-4439

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Bickling Financial Services, Inc.

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

625.05

Date of Receipt

11 / 26 / 2018

Transaction ID : AA1F979530B3D4CDE89A

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

155.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BRUESKE, SCOTT, ALAN, ,

Mailing Address 11235 SE 6th St
 Ste 220

City
 Bellevue

State
 WA

Zip Code
 98004-6448

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)

B3 Wealth Strategies

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.99

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 12 / 2018

Transaction ID : ADE69DA4E24448599CB

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. CALDER CEP, JAMES, WILSDON, ,

Mailing Address 4485 Tench Rd
 Ste 2510

City

Suwanee

State
 GA

Zip Code
 30024-6746

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)

Calder & Colegrove Investment Group, L

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

371.03

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : AA0E0BA0955FE49639F1

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CAVALIERE (III), THOMAS, A., ,

Mailing Address 9200 Indian Creek Pkwy
 Ste 500

City

Overland Park

State
 KS

Zip Code
 66210-2017

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)

Nvision Wealth Group Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 12 / 2018

Transaction ID : A082ACAD1C51E4FDD9F3

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

291.65

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DI VINCENZO, JAYNE, W., ,

Mailing Address 2110 William Styron Sq S

City
Newport NewsState
VAZip Code
23606-2690FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lions Bridge Financial Advisors Inc.Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A586A4B1EB1C2433F9B9

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DICKSON, RICHARD, DEAN, ,

Mailing Address 1700 West Loop S
Ste 255City
HoustonState
TXZip Code
77027-3029FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 12 / 2018

Transaction ID : A52E25877B84F46F6F8D4

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DUBOSE, TONY, EDWARD, ,

Mailing Address 1250 S Pine Island Rd
Ste 350City
PlantationState
FLZip Code
33324-4440FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Legacy Wealth ManagementOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

4166.60

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : A97ADF773C3B44DF1B18

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

458.89

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. GAROFALO, DEVIN, JOSHUA, ,

Mailing Address 1 Park West Cir
Ste 101

City
Midlothian

State
VA

Zip Code
23114-4518

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Colonial River Wealth Management

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AB6F4268ED68D4E7B8C7

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. GOTTLIEB, JEREMY, D, ,

Mailing Address 2173 Salk Ave
Ste 250

City
Carlsbad

State
CA

Zip Code
92008-7383

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A9D7518C1B1E34940AE0

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. GRAHAM, DAVID, C, ,

Mailing Address 1615 Hurffville Rd

City
Deptford

State
NJ

Zip Code
08096-6406

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AAEC6DACA4A9B41F69B1

Amount of Each Receipt this Period

30.00

☐ Memo Item

Payroll Deduction: \$10.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

196.64

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KOBERT CFP, CAIN, BENJAMIN, ,

Mailing Address 14143 Denver West Pkwy
Ste 510

City
Lakewood

State
CO

Zip Code
80401-3269

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Rocky Mountain Wealth Management

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 12 / 2018

Transaction ID : A825559B3FA8C4DF79A8

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. NG, SALLY, WOO, ,

Mailing Address 1350 Treat Blvd
Ste 390

City
Walnut Creek

State
CA

Zip Code
94597-7960

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : AFEA23EA7C00348F09A9

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. NIDA, CHARLES, EDMOND, ,

Mailing Address 162 W Main St
Ste 107

City
Cartersville

State
GA

Zip Code
30120-3573

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Nida Financial Group

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

354.11

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2018

Transaction ID : A453EFFB935AB4AFC8F5

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

104.49

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. PANACCIONE CFP, CARLO, ANTHONY, ,

Mailing Address 3 Lagoon Dr
Ste 110

City
Redwood City

State
CA

Zip Code
94065-1566

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Navigation Group

Occupation (for Individual)
Private Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.90

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 12 / 2018

Transaction ID : A79D3F6FDEBCA47EF9D1

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. PETERS, P. MARTIN, , ,

Mailing Address 215 S Highway 101
Ste 206

City
Solana Beach

State
CA

Zip Code
92075-1849

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

541.58

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 12 / 2018

Transaction ID : ACE4A86E11FED4E7BAFA

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SHEPHERD AIF, R. MARK, , ,

Mailing Address 1004 Main St

City
Winchester

State
MA

Zip Code
01890-1929

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Shepherd Financial Partners

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2174.85

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 12 / 2018

Transaction ID : A4D7313A11620497281B

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

416.65

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. STEWARD CFP(R), MARION, PAXSON, Mrs.,

Mailing Address 737 29th St
 Ste 100

City
 Boulder

State
 CO

Zip Code
 80303-2395

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : AE4C435CD7E8E42EC87D

Amount of Each Receipt this Period

30.00

☐ Memo Item

Payroll Deduction: \$10.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. VAN LEEUWEN, KENNETH, WILLIAM, ,

Mailing Address 281 Witherspoon St
 Ste 220

City

Princeton

State

NJ

Zip Code

08540-3210

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)

Van Leeuwen & Company

Occupation (for Individual)

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2334.09

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 12 / 2018

Transaction ID : A9F43ECF65AB34E6F931

Amount of Each Receipt this Period

42.46

☐ Memo Item

Payroll Deduction: \$42.46/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WATT CFP, LORI, ANN, ,

Mailing Address N19W24200 Riverwood Dr
 Ste 150

City

Waukesha

State

WI

Zip Code

53188-1193

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 26 / 2018

Transaction ID : AD587ED56CD9C4BEE849

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

103.72

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WINSHIP, DONNYE, EVANS, ,

Mailing Address 7616 Lbj Fwy
Ste 724

City
Dallas

State
TX

Zip Code
75251-1111

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.45

Date of Receipt

11 / 12 / 2018

Transaction ID : AB46F079D766C44A39F6

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ZINS CFP CHFC, JERRY, J, ,

Mailing Address 400 Camarillo Ranch Rd
Ste 202

City
Camarillo

State
CA

Zip Code
93012-5902

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Summit Wealth Management Group

Occupation (for Individual)
Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

11 / 26 / 2018

Transaction ID : A1068FFB23D604D69B2D

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DAVIS, JAMES, F, ,

Mailing Address 10024 Skokie Blvd
Ste 225

City
Skokie

State
IL

Zip Code
60077-9989

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Information Requested

Occupation (for Individual)
Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

208.20

Date of Receipt

11 / 26 / 2018

Transaction ID : AEBBECE6E65BD4028981

Amount of Each Receipt this Period

31.23

☐ Memo Item

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

93.72

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DEESE CLTC, GARY L, , ,

Mailing Address 111 Library Hill Ln
Ste B

City
Lexington

State
SC

Zip Code
29072-3802

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Self-Employed

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

11 / 26 / 2018

Transaction ID : A84D3F351C2F24AC793A

Amount of Each Receipt this Period

31.26

☐ Memo Item

Payroll Deduction: \$10.42/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MALAKOFF, ERNY, GLENN, ,

Mailing Address 20444 Redwood Rd

City

Castro Valley

State

CA

Zip Code

94546-4316

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

303.61

Date of Receipt

11 / 26 / 2018

Transaction ID : A3DEEF80AFE2F4AFD858

Amount of Each Receipt this Period

13.98

☐ Memo Item

Payroll Deduction: \$13.98/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MCKENNA, TIMOTHY, JOHN, ,

Mailing Address 930 W US Highway 30

City

Schererville

State

IN

Zip Code

46375-1551

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial Corporation

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1333.28

Date of Receipt

11 / 26 / 2018

Transaction ID : AA3CE9FC74EA544F68AB

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

128.57

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 102 OF 105
 (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. VAN LEEUWEN, KENNETH, WILLIAM, ,

Mailing Address 281 Witherspoon St
Ste 220

City
Princeton

State
NJ

Zip Code
08540-3210

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Van Leeuwen & Company

Occupation (for Individual)

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2542.42

Date of Receipt

11 / 26 / 2018

Transaction ID : A9BCD9FD3F5984F47AD2

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

208.33

40911.06

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		22		2018

FEC Identification Number

C **Transaction ID : B7B480CD3A**

Amount of Each Disbursement this Period

 5.00☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		30		2018

FEC Identification Number

C **Transaction ID : BFD0E77C32**

Amount of Each Disbursement this Period

 25.00☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
11		02		2018

FEC Identification Number

C **Transaction ID : B7032A6D07**

Amount of Each Disbursement this Period

 10.00☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ► 40.00**TOTAL** This Period (last page this line number only)..... ► 40.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. DON BACON FOR CONGRESS

Mailing Address P.O. BOX 391368

City
OmahaState
NEZip Code
68139-1368Purpose of Disbursement
Contribution to Committee

Candidate Name

Bacon, Don, J., Rep.,

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☒ General
☐ Other (specify) ▼

State: NE

District: 02

Category/
Type

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
10			26			2018			

FEC Identification Number

C C00575167**Transaction ID : B74512C97C**

Amount of Each Disbursement this Period

2000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. DON BACON FOR CONGRESS

Mailing Address P.O. BOX 391368

City
OmahaState
NEZip Code
68139-1368Purpose of Disbursement
VOID - Contribution to Committee

Candidate Name

Bacon, Don, J., Rep.,

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☒ General
☐ Other (specify) ▼

State: NE

District: 02

Category/
Type

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			01			2018			

FEC Identification Number

C C00575167**Transaction ID : B3D6972946F**

Amount of Each Disbursement this Period

- 2000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. CONSERVATIVE ROUNDTABLE

Mailing Address PO BOX 97275

City
RALEIGHState
NCZip Code
27624Purpose of Disbursement
Contribution to Committee

Candidate Name

CONSERVATIVE ROUNDTABLE

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☐ General
☒ Other (specify) ▼

State:

District:

Other

Category/
Type

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			02			2018			

FEC Identification Number

C C00549725**Transaction ID : B9B4C60BC**

Amount of Each Disbursement this Period

2500.00

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

2500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. RALPH NORMAN FOR CONGRESS

Mailing Address PO BOX 36973

City
Rock HillState
SCZip Code
29732-0516Purpose of Disbursement
Contribution to Committee

Candidate Name

Norman, Ralph, W., Rep., Jr.

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☒ General
☐ Other (specify) ▼

State: SC

District: 05

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
1	1			0	2			2	0	1	8		

FEC Identification Number

C C00633610**Transaction ID : BD6650BCB5**

Amount of Each Disbursement this Period

1000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. BUILDING AMERICA'S REPUBLICAN REPRESENTATION PACMailing Address 332 W LEE HWY
303City
WarrentonState
VAZip Code
20186-2428Purpose of Disbursement
VOID - Contribution to Committee

Candidate Name

BUILDING AMERICA'S REPUBLICAN REPRESENTATION PAC

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☐ General
☒ Other (specify)

State:

District:

Other

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
1	1			2	6			2	0	1	8		

FEC Identification Number

C C00572271**Transaction ID : B2D3A4881B**

Amount of Each Disbursement this Period

- 5000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. BUILDING AMERICA'S REPUBLICAN REPRESENTATION PACMailing Address 332 W LEE HWY
303City
WarrentonState
VAZip Code
20186-2428Purpose of Disbursement
Contribution to Committee

Candidate Name

BUILDING AMERICA'S REPUBLICAN REPRESENTATION PAC

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2018

☐ Primary ☐ General
☒ Other (specify) ▼

State:

District:

Other

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
1	1			2	6			2	0	1	8		

FEC Identification Number

C C00572271**Transaction ID : B449103837**

Amount of Each Disbursement this Period

5000.00

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

1000.00

3500.00