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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	For Other Than An Autho	rized Committee	Office Use Only	
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
Novartis Corporation I	Political Action Committe	ee		
ADDRESS (number and street)	701 Pennsylvania Ave. NW Sui	ite 725		
Check if different than previously reported. (ACC)	Washington		DC 20004-2608 -	
2. FEC IDENTIFICATION N	UMBER ▼ CITY	A	STATE ▲ ZIP CODE ▲	
C C00033969	3. IS T	HIS NEW (N) OR	AMENDED (A)	
4. TYPE OF REPORT (Choose One)	(b) Monthly Feb 20 Report Due On:		(Non-Elec Year Only	ctiòn y)
(a) Quarterly Reports:	x Mar 20		(Non-Elec Year Only	y)
April 15 Quarterly Report (Apr 20			
July 15 Quarterly Report (Q2) (c) 12-Day PRE-Election Report for the:	Primary (12P) Convention (12C)	General (12G) Runoff Special (12S)	(12R)
October 15 Quarterly Report (M M / D D /		
January 31 Year-End Report (YE) Election of		in the State of	
July 31 Mid-Year Report (Non-election Year Only) (MY)	on (d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special	(30S)
Termination Report (TER)	Election of	on/	in the State of	
5. Covering Period 0		through 02	28 2017	
I certify that I have examined to Type or Print Name of Treasure	nis Report and to the best of my O'Neail, Shawn, , , er	y knowledge and belief it is	rue, correct and complete.	
Signature of Treasurer	eail, Shawn, , ,	[Electronically Filed]	Date 03 / 17 / 2017	YIY
NOTE: Submission of false, error	neous, or incomplete information n	nay subject the person signing	this Report to the penalties of 52 U.S.C.	§ 30109
Office Use			FEC FORM 3X Rev. 05/2016	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 02 01 2017 To: 02 28 2017

		COLUMN A This Period	COLUMN B Calendar Year-to-Date				
6.	(a) Cash on Hand January 1, 2017		82321.29				
	(b) Cash on Hand at Beginning of Reporting Period	77876.22					
	(c) Total Receipts (from Line 19)	16944.46	33515.59				
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	94820.68	115836.88				
7.	Total Disbursements (from Line 31)	1411.72	22427.92				
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	93408.96	93408.96				
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

02 2017 02 28 2017 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 2761.71 3240.55 (i) Itemized (use Schedule A)..... 30275.04 14182.75 (ii) Unitemized (iii) TOTAL (add 33515.59 16944.46 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 33515.59 16944.46 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 0.00 0.00 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 33515.59 16944.46 20. Total Federal Receipts 16944.46 33515.59 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	Total This Period				
 Operating Expenditures: – (a) Allocated Federal/Non-Federal Activity (from Schedule H4) 		Calendar Year-to-Date			
(i) Federal Share	0.00	0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating Expenditures	11.72	27.92			
(c) Total Operating Expenditures		07.00			
(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	11.72	27.92			
Committees Contributions to	0.00	0.00			
Federal Candidates/Committees and Other Political Committees	7000.00	28000.00			
Independent Expenditures (use Schedule E)	0.00	0.00			
Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00			
` '		4 4 4			
Loan Repayments Made	0.00	0.00			
Loans Made Refunds of Contributions To: (a) Individuals/Persons Other	0.00	0.00			
Than Political Committees	0.00	0.00			
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees (such as PACs)	0.00	0.00			
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00			
Other Disbursements (Including	4 4	4 4			
Non-Federal Donations)	-5600.00	-5600.00			
Federal Election Activity (52 U.S.C. § 30101(20 (a) Allocated Federal Election Activity (from Schedule H6)					
(i) Federal Share	0.00	0.00			
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00			
Entirely With Federal Funds	0.00	0.00			
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00			
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	1411.72	22427.92			
Total Federal Disbursements	1411.72	22421.32			
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	4.414.70				
	1411.72	22427.92			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	16944.46	33515.59
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	16944.46	33515.59
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	11.72	27.92
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	11.72	27.92

FOR LINE NUMBER: PAGE 6 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c

25 OF 12 13 14 15 16

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-236406 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-93420 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head of Fed Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 415.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Casserly, Daniel, P, , Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-236300 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 138.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 553.84 Other (specify) 336.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

: 97 A = G7 9 @ G5 B9 CI G H9 L H F 9 @ 5 H9 8 HC 5 F 9 DC F H Z G7 < 98 I @ C F → H9 A = N 5 H = C B

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:				PAGE	8	OF	25	
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-93526 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Patient Affairs and Policy Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-236087 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Epstein, David, R., Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-93428 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 300.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Epstein, David, R,, Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-236308 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Frye, Neely, T, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-236312 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 64.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 224.11 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 03 2017 City State Zip Code Transaction ID: A2017-93433 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) **SUBTOTAL** of Receipts

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TOTAL This Period (last page this line number only)				Ţ	_	_	-	Ξ	Ξ	<u>-</u>	Ξ	

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-236313 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Svc Del & Ops Lead Connectivity **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 308.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-93290 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Legal Section Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 231.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Goldfarb, Steven, L, , Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-236180 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 308.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haller, Sarah, E,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-93561 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haller, Sarah, E,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-236319 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 308.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kan, Sarah, G, Date of Receipt Mailing Address One Health Plaza 03 2017 City State Zip Code Transaction ID: A2017-93567 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Dir State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

25 FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-236325 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Dir State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 308.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leas, Leigh Anne, A, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-93573 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 231.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Leas, Leigh Anne, A, Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-236331 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 308.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

25 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-93685 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-236484 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGough, Edward, D., Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-93272 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 346.14 Other (specify) 315.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-93170 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Federal Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-236346 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Federal Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 440.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spurr, Robert, A, Date of Receipt Mailing Address 608 Fifth Avenue 03 2017 City Zip Code State Transaction ID: A2017-93885 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology US Mkt Access & Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 345.00 Other (specify) 335.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-236686 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Polic Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-93225 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Managed Markets Specialty Service Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 231.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-236377 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Services Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 308.00 Other (specify) 269.00 SUBTOTAL of Receipts This Page (optional)..... 2761.71

SCHEDULE B (FEC Form 3X)	Lies congrete schodule(s)				
ITEMIZED DISBURSEMENTS	for each category of Detailed Summary P	the Page 2	21b 22 X 23 26 27 28a 28b 28c 29 30b		
Any information copied from such Reports and Staten or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full)	and any		and the second s		
Novartis Corporation Political Actio	n Committee				
Full Name (Last, First, Middle Initial)			Date of Dishursons		
A. Scott Peters for Congress			Date of Disbursement		
Mailing Address PO Box 70980			02 01 2017		
Washington	State Zip Code 20024		FEC Identification Number		
Purpose of Disbursement Contribution		011	C C00503110		
Candidate Name		Category/	Transaction ID : B550398 Amount of Each Disbursement this Period		
Peters, Scott, , ,		Type			
	ment For: 2016		-1000.00		
Senate x	Primary Gene Other (specify) ▼	erai	Voided: Original check dated		
State: CA District: 52	onioi (opecity) ▼		Memo Item 03/25/15		
Full Name (Last, First, Middle Initial)					
B. Walters for Congress			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address P.O. Box 15239	5		02 22 2017		
,	State Zip Code 20003		FEC Identification Number		
Washington Purpose of Disbursement	20003		C C00546853		
Contribution		011	Transaction ID : B641435		
Candidate Name		Category/			
Walters, Mimi, , ,		Туре			
H	nent For: 2018 Primary Gene	eral	1000.00		
	Other (specify)	orai	П		
State: CA District: 45	(-1- J /		Memo Item		
Full Name (Last, First, Middle Initial)					
C. Project West Political Action Comm	nittee		Date of Disbursement		
Mailing Address 1020 N. Fairfax Street Suite 201			02 / 22 / 2017		
City	State Zip Code		EEC Identification Number		
Alexandria	VA 22314		FEC Identification Number		
Purpose of Disbursement Contribution			C C00525543		
Candidate Name		O11 Category/ Type	Transaction ID : B641438 Amount of Each Disbursement this Period		
Office Sought: House Disbursen	ment For: 2017	Туре	1000.00		
	Primary Gene	eral	4 4		
President x	Other (specify) ▼		Memo Item		
State: District:	Not App	licable			
SUBTOTAL of Disbursements This Page (optional)			1000.00		
TOTAL This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X)	Llas concrete cohodula(s)	-	E NUMBER: PAGE 18 OF 25					
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 🗶 23 🗍 26 📄 27					
	Detailed Summary Page	210 28a	28b 28c 29 30b					
Any information copied from such Reports and Staten	nents may not be sold or us	ed by any perso	on for the purpose of soliciting contributions					
or for commercial purposes, other than using the name								
NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	on Committee							
Full Name (Last, First, Middle Initial)								
A. Himes for Congress			Date of Disbursement					
Mailing Address 50 E Street SE Suite 1		02 01 2017						
Washington	State Zip Code 20003		FEC Identification Number					
Purpose of Disbursement Contribution		011	C C00434191 Transaction ID : B449965					
Candidate Name Himes, Jim, , ,		Category/	Amount of Each Disbursement this Period					
	ment For: 2014	Туре	-1000.00					
Senate X	Primary General		Voided: Original check dated					
State: CT District: 04	Other (specify) ▼		Memo Item 03/22/13					
Full Name (Last, First, Middle Initial)								
B. Blue Hen PAC			Date of Disbursement					
Mailing Address 220 I Street NE Suite 110			02 01 2017					
,	State Zip Code		FEC Identification Number					
Washington Purpose of Disbursement	DC 20002		C C00493700					
Contribution		011	Transaction ID : B572509					
Candidate Name		Category/ Type	Amount of Each Disbursement this Period					
Office Sought: House Disburser	ment For: 2015	.,,,,	-1000.00					
	Other (specify) General		Voided: Original check dated 04/24/15					
State: District:	Not Applicable	е	Memo Item					
Full Name (Last, First, Middle Initial)			Data of Dishuranment					
C. Carper for Senate			Date of Disbursement					
Mailing Address 303 Massachusetts Ave. NE			02 01 2017					
,	State Zip Code DC 20002		FEC Identification Number					
Washington Purpose of Disbursement	20002		C C00349217					
Contribution Candidate Name		011	Transaction ID : B480241					
Carper, Tom, , ,		Category/ Type	Amount of Each Disbursement this Period					
Office Sought: House Disburser	ment For: 2018		-2000.00					
State: DE District:	Primary General Other (specify) ▼		Voided: Original check dated 10/25/13					
Ciaio. DE Diomot.								
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate		FOR LINE I	IE NUMBER: PAGE 19 OF 25 nly one)					
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NAME OF COMMITTEE (In Full)		- a., pointour	23	22 22.////					
Novartis Corporation Political Action	on Committe	ee							
Full Name (Last, First, Middle Initial)				Date of Disbursen	nent				
A. Next Century Fund				Date of Disbursen					
Mailing Address 116 S. Royal Street				02 22					
Alexandria		Code 2314		FEC Identification	Number				
Purpose of Disbursement Contribution		Г	011	C C00343947	7				
Candidate Name				Transaction I	D: B641439 Disbursement this Period				
			Category/ Type	Amount of Lacif L					
	ment For: 2017	Consus			1000.00				
Senate President	Primary Other (specify)	General ▼		П.,					
State: District:		ot Applicable		Memo Item					
Full Name (Last, First, Middle Initial)									
B. Menendez for Senate				Date of Disbursen					
Mailing Address PO Box 32248				02 22					
City	State Zip	Code							
Newark	·	7102		FEC Identification	Number				
Purpose of Disbursement Contribution		Г	-	C C00264564	1				
Candidate Name		011 Category/ Type			D : B641440				
Menendez, Robert, , ,					Disbursement this Period				
	ment For: 2018		- 7 00		2500.00				
x Senate x	Primary	General			7 1 4				
State: NJ District:	Other (specify)			Memo Item					
Full Name (Last, First, Middle Initial)				Date of Disbursen	nent				
C. Friends of John Boehner									
Mailing Address 631-B Pennsylvania Ave. SE				02 01	2017				
City	'	Code		FEC Identification	Number				
Washington Purpose of Disbursement	DC 20	0003		C 000227409	,				
Contribution			011	C C00237198					
Candidate Name			Category/	Transaction I Amount of Each D	D: B402934 Disbursement this Period				
Boehner, John, A, ,			Type		5000.00				
Office Sought: House Disburse	ment For: 2012 Primary	General			-5000.00				
President	Primary X Other (specify)	_		0	oided: Original check date 1/06/12				
State: OH District: 08	(-1)	•		Memo Item	· - -				
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	FOR LINE (check only	LINE NUMBER: PAGE 20 OF 25								
TIEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b							
Any information copied from such Reports and Statem or for commercial purposes, other than using the name										
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	•	<u></u>								
Full Name (Last, First, Middle Initial) A. Kurt Schrader for Congress			Date of Disbursement							
Mailing Address P.O. Box 636			02 22 2017							
Annandale	State Zip Code VA 22003		FEC Identification Number							
Purpose of Disbursement Contribution Candidate Name	011	C C00446906 Transaction ID : B641437 Amount of Each Disbursement this Period	4							
Senate x	ment For: 2018 Primary General Other (specify)	Category/ Type	1000.00 Memo Item]						
State: OR District: 05			Memo Item							
Full Name (Last, First, Middle Initial) B. Marc Veasey Congressional Camp Mailing Address PO Box 50084	paign Cmte		Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y							
,	State Zip Code TX 76105	011	FEC Identification Number C C00506832							
Candidate Name Veasey, Marc, , ,	ment For: 2016	Category/ Type	Transaction ID: B549995 Amount of Each Disbursement this Period -1000.00							
Senate x	Primary General Other (specify)		Voided: Original check dat 03/24/15	ted						
Full Name (Last, First, Middle Initial) C. Lone Star Leadership PAC			Date of Disbursement							
Mailing Address P.O. Box 30844	_		02 22 2017							
,	State Zip Code MD 20824		FEC Identification Number							
Contribution Candidate Name		011 Category/ Type	C C00415208 Transaction ID : B641441 Amount of Each Disbursement this Period	t						
Senate	ment For: 2017 Primary General Other (specify) ▼		2500.00 Memo Item	_						
State: District:	Not Applicable			_						
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).			2500.00							

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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS		Use separate schedule(s)		FOR LINE NUMBER: PAGE 21 OF 25 (check only one)				
	LIVIIZED DIOBONGLIVIEN 13	for each category of the Detailed Summary Page		21b 28a	22 X 23 26 27 28c 29 30b			
An or	y information copied from such Reports and Staten for commercial purposes, other than using the name	nents may n	not be sold or used ess of any political	by any person committee to	on for the purpose of soliciting contributions solicit contributions from such committee.			
	NAME OF COMMITTEE (In Full)							
$ \rangle$	Novartis Corporation Political Actio	n Comm	nittee					
Α.	Full Name (Last, First, Middle Initial)				Date of Disbursement			
	Alamo PAC				M M / D D / Y Y Y Y			
	Mailing Address 1020 N. Fairfax St. Suite 201				02 22 2017			
	City Separate Separat	State Zip Code VA 22314			FEC Identification Number			
	Purpose of Disbursement Contribution	Г		011	C C00387464			
	Candidate Name			Category/	Transaction ID: B641434 Amount of Each Disbursement this Period			
	Office Squaht: House	nont Carrie	2047	Type	1000.00			
		nent For: 2017 Primary General			1000.00			
	President X State: District:	Other (spec			Memo Item			
_	Full Name (Last, First, Middle Initial)		Not Applicable					
В.	Brady for Congress				Date of Disbursement			
	Mailing Address 104 Hume Avenue				02 22 2017			
	City Salexandria	State VA	Zip Code 22301		FEC Identification Number			
	Purpose of Disbursement Contribution			011	C C00311043			
	Candidate Name				Transaction ID : B641442 Amount of Each Disbursement this Period			
	Brady, Kevin, P, ,							
		e Sought: House Disbursement For: 2018			2500.00			
		Other (spec			Memo Item			
_	Full Name (Last, First, Middle Initial)				Date of Disbursement			
٥.	New Democrat Coalition PAC							
	Mailing Address 233 Pennsylvania Ave. SE 2nd Fl.				02 22 2017			
	City Washington §	State DC	Zip Code 20003		FEC Identification Number			
	Purpose of Disbursement				C C00409730			
	Candidate Name Category/ Type				Transaction ID : B640399 Amount of Each Disbursement this Period			
	fice Sought: House Disbursement For: 2017				5000.00			
		Primary General Other (specify) ▼ Not Applicable			Maria Bara			
	State: District:				Memo Item			
H	UBTOTAL of Disbursements This Page (optional) OTAL This Period (last page this line number only)				8500.00			

SCHEDULE B (FEC Form 3X)			FOR LINE I	NUMBER:		PAGE 22 OF	25
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only		23	26 27	
	Detailed S	Summary Page	28a	28b	23 28c	29 30b	
Any information copied from such Reports and Statem	nents may n	ot be sold or use	ed by any perso	on for the pu	rpose of sc	bliciting contribution	 1S
or for commercial purposes, other than using the name							
NAME OF COMMITTEE (In Full)	•	• • •					
Novartis Corporation Political Actio	n Comm	uttee					
Full Name (Last, First, Middle Initial)							
A. People for Patty Murray				Date of D	Disbursemen	nt	
Mailing Address 1602 Belle View Boulevard #510				M ■ M 02	/ D D D 01	2017	1
				ÜZ.	Ŭ1	2017	
,	State	Zip Code		FEC Iden	tification Nu	ımber	
Alexandria Purpose of Disbursement	VA	22307			20057040		
Contribution			011		00257642	D007000	
Candidate Name			Category/		saction ID: of Each Disb	B627600 oursement this Per	iod
Murray, Patty, , ,			Type			-1000.00	\neg
	nent For: 2 Primary	016 ✗ General			7	-1000.00	
	Other (spec	••		Mam	Void o Item 09/2	led: Original check	dated
State: WA District:				Iviem) item 65/2	G/ 10	
Full Name (Last, First, Middle Initial)						-	
3. Ryan For Congress					Disbursemen		
Mailing Address 320 1st Street SE				02	22	2017	
,	State DC	Zip Code 20003		FEC Iden	tification Nu	ımber	
Washington Purpose of Disbursement		20003		C co	00330894		
Contribution			011		saction ID :	B641436	
Candidate Name			Category/			oursement this Per	iod
Ryan, Paul, D, , Office Sought: House Disburser	nent For: 2	018	Туре			2500.00	П
	Primary	General			7	7	_
	Other (spec	ify)		Memo	o Item		
State: WI District: 01							
Full Name (Last, First, Middle Initial) Country Roads PAC				Date of D	Disbursemen	nt	
- Country Roads 1 AC				M M	/ D D	/ Y Y Y Y Y	1
Mailing Address 426 C Street NW				02	01	2017	
City	State	Zip Code					
Washington	DC	20002		FEC Iden	tification Nu	ımber	
Purpose of Disbursement Contribution					C C00484402		
Candidate Name			011		saction ID :		اء ما
	Category/ Type				T Each Disc	oursement this Per	loa
	nent For: 2				7	-1000.00	
Procident	Primary Other (spec	General				ded: Original check	dated
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$\textbf{SUBTOTAL} \ \ \text{of Disbursements This Page (optional)}$					7	500.00	
TOTAL This Period (last page this line number only)						7000.00	П

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 23 OF 25			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)			
	Detailed Summary Page	21b	22 23 26 27			
		28a	28b 28c x 29 30b			
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NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Action	on Committee					
Full Name (Last, First, Middle Initial)						
A. Cmte to Re-elect Chad Campbell			Date of Disbursement			
Mailing Address 822 N 5th Avenue			02 01 2017			
City	State Zip Code		FEC Identification Number			
Phoenix	AZ 85003					
Purpose of Disbursement P-2014 State House 24 AZ		011	C Transaction ID : B476159			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Campbell, Chad, , ,		Type				
	ment For: 2014		-100.00			
Senate x	Primary General		Voided: Original check dated			
State: AZ District: 24	Other (specify) ▼		Memo Item 10/22/13			
Full Name (Last, First, Middle Initial)						
B. Dr. Dan Brown for Senate			Date of Disbursement			
Bi. Ban Brown for Conate	Senate Date of Disbursement					
Mailing Address 28 North 8th St. Suite 317			02 01 2017			
City	State Zip Code FEC Identification					
Columbia Purpose of Disbursement	MO 65203					
P-2014 State Senate 16 MO		011	C			
Candidate Name			Transaction ID: B447071 Amount of Each Disbursement this Period			
Brown, Dan, W, ,	Type					
	ment For: 2014		-1000.00			
x Senate x	Primary General		Voided: Original check dated			
President	Other (specify)		01/31/13 Memo Item			
State: MO District: 16						
Full Name (Last, First, Middle Initial)			Date of Disbursement			
C. Citizens for Tamara Barringer			M M / D D / Y Y Y Y			
Mailing Address P.O. Box 6365			02 01 2017			
City	State Zip Code		FEC Identification Number			
Cary	NC 27512		rec identification Number			
Purpose of Disbursement P-2016 State Senate 17 NC			C			
Candidate Name		011	Transaction ID: B543670			
Barringer, Tamara, , ,		Category/ Type	Amount of Each Disbursement this Period			
	ement For: 2016	Турс	-1000.00			
x Senate x	Primary General		Voided: Original check dated			
President	Other (specify) ▼		Memo Item 01/19/15			
State: NC District: 17			<u> </u>			
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 24 OF 25		
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only			
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b		
Any information copied from such Reports and Statem	nents may not be sold or us		A		
or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Action	n Committee				
Full Name (Last, First, Middle Initial)					
A. NC Healthy Leadership Committee			Date of Disbursement		
Mailing Address P.O. Box 1054			02 01 2017		
,	State Zip Code		FEC Identification Number		
Morrisville Purpose of Disbursement	NC 27560		0		
P-2014 State House 41 NC		011	C		
Candidate Name		Category/	Transaction ID: B473837 Amount of Each Disbursement this Period		
Murry, Thomas, O, ,		Type			
	nent For: 2014		-1000.00		
	Primary General		Voided: Original check dated		
State: NC District: 41	Other (specify) ▼		Memo Item 09/20/13		
Full Name (Last, First, Middle Initial)					
B. Citizens for Grove					
	M = M / D = D / Y = Y = Y				
Mailing Address 1854 Ashcombe Drive			02 01 2017		
,	State Zip Code PA 17315		FEC Identification Number		
Purpose of Disbursement	1/313		C		
G-2012 State House 196 PA		011	Transaction ID : B409760		
Candidate Name	(Category/				
Grove, Seth, , ,		Туре	-250.00		
	nent For: 2012 Primary 🗶 General		4- 4- 4-		
	Other (specify) General		Voided: Original check dated 04/30/12		
State: PA District: 19	\		Memo Item		
Full Name (Last, First, Middle Initial)					
C. Friends of Ron Marsico			Date of Disbursement		
Mailing Address 4320 Crestview Rd.			02 01 2017		
Mailing Address 4320 Crestilew Rd.			02 01 2011		
City	State Zip Code		FEC Identification Number		
Harrisburg Purpose of Disbursement	PA 17112				
G-2012 State House 105 PA		011	C		
Candidate Name			Transaction ID : B444297 Amount of Each Disbursement this Period		
Marsico, Ron, , ,		Category/ Type	Amount of Each Disbursement this Fellou		
Office Sought: House Disbursem	nent For: 2012		-250.00		
	Primary General		Voided: Original check dated		
State: PA District: 10	Other (specify) ▼		Memo Item 11/20/12		
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SCHEDULE B (FEC Form 3X)	Llee congrete cohedule(e)		FOR LINE NUMBER: PAGE 25 OF 25				
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NAME OF COMMITTEE (In Full)		, р					
Novartis Corporation Political Actio	n Committe	e					
Full Name (Last, First, Middle Initial) A. Glenn Hegar for State Senate Cam	Name (Last, First, Middle Initial) enn Hegar for State Senate Campaign						
Mailing Address P.O. Box 1008				02 01 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
City	FEC Identification Number						
Katy Purpose of Disbursement P-2014 State Senate 18 TX	TX 77	7492	011	C			
Candidate Name Hegar, Glenn, , ,			Category/ Type	Transaction ID: B487707 Amount of Each Disbursement this Period			
Office Sought: House Disbursem	nent For: 2014 Primary	General	Туре	-2000.00 Voided: Original check dated			
State: TX District: 18	Other (specify)	<u> </u>		Memo Item 02/25/14			
Full Name (Last, First, Middle Initial) 3.				Date of Disbursement			
Mailing Address		M - M / D - D / Y - Y - Y - Y					
City	State Zip	Code		FEC Identification Number			
Purpose of Disbursement	Purpose of Disbursement						
Candidate Name	"	Category/ Type	Amount of Each Disbursement this Period				
Senate	ement For: Primary			Mana Ham			
State: District:				Memo Item			
Full Name (Last, First, Middle Initial)				Date of Disbursement			
Mailing Address							
City	State Zip	Code		FEC Identification Number			
Purpose of Disbursement				C			
Candidate Name Category/ Type				Amount of Each Disbursement this Period			
Senate	Disbursement For: Primary General Other (specify) ▼						
State: District:				Memo Item			
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