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FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FURIWI 3X	For Other Than	An Authorized	Committee	Office	Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Exam	nple: If typing, type the lines.	12FE4M5	
Novartis Corporation	on Political Action	Committee			
ADDRESS (number and stre	et) 701 Pennsylvania	a Ave. NW Suite 725			
than previously reported. (ACC)	Washington			DC 200	004-2608
2. FEC IDENTIFICATIO	N NUMBER ▼	CITY A		STATE ▲	ZIP CODE ▲
C C00033969		3. IS THIS REPORT	x NEW (N) O	R AMENDE (A)	D
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports:	(b) Monthly Report Due On:	Feb 20 (M2) Mar 20 (M3) Apr 20 (M4)	May 20 (M Jun 20 (M	Sep 20 (MS	(Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Rep July 15 Quarterly Rep October 15 Quarterly Rep	ort (Q2) (C) 12-Day PRE-EI Report	lection	Primary (12P) Convention (12C)	General (12G) Special (12S)	Runoff (12R)
January 31 Year-End Rep July 31 Mid-Y Report (Non-e Year Only) (M Termination R (TER)	ear (d) 30-Day POST-I Report	Election (General (30G)	Runoff (30R)	State of Special (30S) in the
5. Covering Period	M M M / D D / D 06 01	Election on	through 06		State of 2017
I certify that I have examin Type or Print Name of Trea	O'Neail, Shawn, ,		ledge and belief it is	true, correct and comp	olete.
Signature of Treasurer	O'Neail, Shawn, , ,	I	Electronically Filed]		18 / 2017
NOTE: Submission of false,	erroneous, or incomplete	information may sub	ject the person signir	g this Report to the pena	alties of 52 U.S.C. § 30109
Office Use				FE	C FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: Mod / 01 / 2017 To: Mod / 30 / 2017

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2017		82321.29
	(b) Cash on Hand at Beginning of Reporting Period	69082.72	
	(c) Total Receipts (from Line 19)	20369.52	114106.22
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	89452.24	196427.51
7.	Total Disbursements (from Line 31)	33028.35	140003.62
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	56423.89	56423.89
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

R		06 / 01 / 2017 To:	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
11.	Contributions (other than loans) From:					
	(a) Individuals/Persons Other					
	Than Political Committees	8566.18	33108.25			
	(i) Itemized (use Schedule A)	3000.10	33100.23			
	(ii) Unitemized	11803.34	79997.97			
	(iii) TOTAL (add		1 1 4 1 1 4 1 1 4 1			
	Lines 11(a)(i) and (ii)▶	20369.52	113106.22			
		0.00	0.00			
	(b) Political Party Committees	0.00	0.00			
	(c) Other Political Committees	0.00	0.00			
	(such as PACs)(d) Total Contributions (add Lines	7 7 7	4 4			
	11(a)(iii), (b), and (c)) (Carry					
	Totals to Line 33, page 5)	20369.52	113106.22			
12.	Transfers From Affiliated/Other					
	Party Committees	0.00	0.00			
		0.00	0.00			
13.	All Loans Received	0.00	0.00			
14	Loan Repayments Received	0.00	0.00			
	Offsets To Operating Expenditures					
	(Refunds, Rebates, etc.)					
	(Carry Totals to Line 37, page 5)	0.00	0.00			
16.	Refunds of Contributions Made	4 4 4				
	to Federal Candidates and Other					
	Political Committees	0.00	1000.00			
17.	Other Federal Receipts					
10	(Dividends, Interest, etc.) Transfers from Non-Federal and Levin Funds	0.00	0.00			
18.	(a) Non-Federal Account					
	(from Schedule H3)	0.00	0.00			
	(IIOIII OSIIOddio 110)	4 4 4	3.00			
	(b) Levin Funds (from Schedule H5)	0.00	0.00			
	(b) Leviii i unus (nom Schedule 115)	4 4	4 4			
	(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00			
		4 4				
19.	Total Receipts (add Lines 11(d),					
	12, 13, 14, 15, 16, 17, and 18(c))▶	20369.52	114106.22			
		7 7 7				
20.	Total Federal Receipts	20000 50				
	(subtract Line 18(c) from Line 19)▶	20369.52	114106.22			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
 Operating Expenditures: – (a) Allocated Federal/Non-Federal Activity (from Schedule H4) 		Caroniaa Tour to Suite
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating		
Expenditures	28.35	103.62
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	28.35	103.62
Transfers to Affiliated/Other Party	4 4	
Contributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	32000.00	143500.00
Independent Expenditures	0.00	0.00
(use Schedule E)	0.00	0.00
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
L.	4 4	
Loans MadeRefunds of Contributions_To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
/b\ Dolitical Douby Committees		
(b) Political Party Committees	0.00	0.00
(such as PACs)	0.00	0.00
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))	0.00	0.00
Other Disbursements (Including		
Non-Federal Donations)	1000.00	- 3600.00
Federal Election Activity (52 U.S.C. § 30101(20 (a) Allocated Federal Election Activity (from Schedule H6))))	
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid	7 7	
Entirely With Federal Funds	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	33028.35	140003.62
Total Federal Disbursements	4 300000	4- 4- 4-
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	33028.35	140003.62

34. Total Contribution Refunds

38. Net Operating Expenditures

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5 **COLUMN A** COLUMN B III. Net Contributions/ **Total This Period** Calendar Year-to-Date **Operating Expenditures** 33. Total Contributions (other than loans) 20369.52 113106.22 (from Line 11(d), page 3) 0.00 0.00 (from Line 28(d))..... 35. Net Contributions (other than loans) 20369.52 113106.22 (subtract Line 34 from Line 33) 36. Total Federal Operating Expenditures 28.35 103.62 (add Line 21(a)(i) and Line 21(b))▶ 37. Offsets to Operating Expenditures 0.00 0.00 (from Line 15, page 3)..... 28.35 103.62 (subtract Line 37 from Line 36)

FOR LINE NUMBER: PAGE 6 Use separate schedule(s) (check only one) **X** 11a 11b 11c

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OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129614 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 252.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1320947 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 273.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barninger, Michael, C., Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129472 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Prof Strat & Bus Development Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 204.00 Other (specify) 59.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

: 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF Hz G7 < 98 I @ 'CF' + H9 A = N5 H+CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barninger, Michael, C, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320784 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Prof Strat & Bus Development Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 221.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129473 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baron, Neilda, A, Date of Receipt Mailing Address One Health Plaza 23 2017 City State Zip Code Transaction ID: A2017-1320785 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) 117.00 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L,, Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129474 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Director Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1320786 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 780.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 09 2017 City Zip Code State Transaction ID: A2017-1129772 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. DirectorSandoz Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-1320661 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. DirectorSandoz Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Borill, Troy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129492 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 245.55 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Borill, Troy, L, , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 266.22 Other (specify) 71.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129504 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Mgr Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320691 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 09 2017 City State Zip Code Transaction ID: A2017-1129517 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

73 FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320705 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Byler, Timothy, S, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129698 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Byler, Timothy, S, , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320949 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional).....

73 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Campbell, Kimberley, J, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129773 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Campbell, Kimberley, J,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320662 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 325.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Casserly, Daniel, P, , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID : A2017-1129700 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2038.40 Other (specify) 242.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320951 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2230.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1129701 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 31.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 358.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320952 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 31.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 389.64 Other (specify) 255.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129796 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Patient Affairs and Policy Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 985.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320725 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1062.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129600 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Digital Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 553.80 Other (specify) 200.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320817 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Head Digital Receipt For: Aggregate Year-to-Date ▼ Primary General 599.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Colpitts, Scott, G,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1129802 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 22.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Head of Facilities & Utility Maint. (A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 264.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Colpitts, Scott, G., Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320731 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 22.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Head of Facilities & Utility Maint. (A Receipt For: Aggregate Year-to-Date ▼ Primary General 286.00 Other (specify) 90.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conley, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129804 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Trade Ops & Analytics Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conley, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320733 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Vice President Trade Ops & Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Conoshenti, Joseph, J., Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strategic Account Alliances Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 76.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

73 FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320735 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma **Director Strategic Account Alliances** Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129705 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320956 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the 11c 12 Detailed Summary Page 14

or for commercial purposes, other than using the	Statements may not be sold or used by any pers e name and address of any political committee to					
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee					
Full Name of Individual (Last, First, Middle In Coombs, Seth, , , Mailing Address 350 Massachusetts Avenue City	Date of Receipt M					
Cambridge FEC ID number of contributing federal political committee. Name of Employer (for Individual) Sandoz Inc. Receipt For: Primary General Other (specify) ▼	FEC ID number of contributing federal political committee. Name of Employer (for Individual) Sandoz Inc. Receipt For: Primary General C Occupation (for Individual) VP Oncology and Injectable Products Aggregate Year-to-Date ▼					
Full Name of Individual (Last, First, Middle In Coombs, Seth, , , Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer (for Individual) Sandoz Inc. Receipt For: Primary Other (specify) General Other (specify)	State Zip Code MA 02139 C Occupation (for Individual) VP Oncology and Injectable Products Aggregate Year-to-Date ▼ 599.95	Date of Receipt M M M				
Full Name of Individual (Last, First, Middle In Coraggio, Ryan, , , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Oncology Receipt For: Primary General Other (specify)	State Zip Code 07936 C Occupation (for Individual) AD Strategic Market Access Aggregate Year-to-Date 300.00	Date of Receipt M 06				
SUBTOTAL of Receipts This Page (optional)	>	117.30				
TOTAL This Period (last page this line number	only)					

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coraggio, Ryan, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320739 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD Strategic Market Access Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Couture, Eric, C,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129815 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Couture, Eric, C, Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320744 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deason, Terry, H,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129830 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Deason, Terry, H, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320621 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Dixon, Dwayne, T, Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320961 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Market Development HQ Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 205.82 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eastabrooks, Brenton, K,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320970 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Director HR Bus Partner Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ellis, Fred, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1320972 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Executive Director - Professional Affa Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.18 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Epstein, David, R., Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129708 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 421.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Epstein, David, R,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320853 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1129710 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320855 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fellers, Thomas, S,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129368 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320979 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 650.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frye, Neely, T, , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129712 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 749.35 Other (specify) 165.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frye, Neely, T,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320857 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 815.16 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gajewski, Edward, G,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129384 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Dir National & Regional Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gajewski, Edward, G., Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320995 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Dir National & Regional Accounts Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 105.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Galbreath, Erica, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320996 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 260.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Onc Clinical Dev Quality Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129713 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 924.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320858 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General 1001.00 Other (specify) 414.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1321016 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1001.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grande, Nancy, J, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129410 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grande, Nancy, J., Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1321022 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) 177.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129415 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP CDMA Solid Tumors Franchise Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1321027 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology VP CDMA Solid Tumors Franchise Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 520.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Guidi, Joseph, M., Date of Receipt Mailing Address One Health Plaza 09 2017 City State Zip Code Transaction ID: A2017-1129417 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Director Commercial Strategy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guidi, Joseph, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1321029 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Director Commercial Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gulick, David, E,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129418 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID : A2017-1321030 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director New Products** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129718 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320863 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129719 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 924.00 Other (specify) 123.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hellberg, Mark, R,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129922 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Chemical Technolog NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hellberg, Mark, R, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1321446 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director Chemical Technolog** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 650.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hilkert, Robert, J., Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129129 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Clinical Head Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 123.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1321103 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Glb Head of Bus. Exc & Oper Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kan, Sarah, G,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129170 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Director State Heath Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 924.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kan, Sarah, G, Date of Receipt Mailing Address One Health Plaza 23 2017 City State Zip Code Transaction ID: A2017-1321104 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State Heath Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1001.00 Other (specify) 204.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kendris, Thomas, N,, Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129610 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 553.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320943 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 599.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kowalski, Robert, W., Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1321126 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Regulatory GDD Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 138.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129214 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1321151 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129223 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Global Market Access Oncology Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 553.80 Other (specify) 246.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129640 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 1384.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320845 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon SVP Global Mfg & Tech Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1499.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Millard, Susan, J., Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129641 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head HR Alcon R&D Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 255.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Millard, Susan, J, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320846 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head HR Alcon R&D Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129903 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Resp Integrated Account Spec Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 232.41 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1321229 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Resp Integrated Account Spec Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 253.59 Other (specify) 67.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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73 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myrie, Donna, H,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129234 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Dir Strategic Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myrie, Donna, H,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1321239 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Associate Dir Strategic Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 325.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129742 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320630 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City State Zip Code Transaction ID: A2017-1129744 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 96.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head Federal Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1026.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 23 2017 City Zip Code State Transaction ID: A2017-1320632 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 96.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1122.00 Other (specify) 242.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Olmstead, Sharon, N,, Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129252 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Olmstead, Sharon, N,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1321257 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osten, Craig, S, , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129694 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President & Treasurer Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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73 PAGE 51 OF Use separate schedule(s) for each category of the 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129746 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1320.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320634 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1430.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129437 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 270.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320678 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129306 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rodgers, Renee, C, Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1321311 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 110.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-1321363 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Polic Receipt For: Aggregate Year-to-Date ▼ Primary General 1495.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stevens, Donald, P, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129759 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 415.44 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stevens, Donald, P., Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320647 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 450.06 Other (specify) 184.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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73 59 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129765 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Quality Ext Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1320653 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Quality Ext Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129444 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Services Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 924.00 Other (specify) 137.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

73 FOR LINE NUMBER: PAGE 60 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1320685 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Service Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1001.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Warner, Robert, K,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-1129658 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Franchise Head Vision Care Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Warner, Robert, K, , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320903 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Franchise Head Vision Care Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 123.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

73 FOR LINE NUMBER: PAGE 61 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Waugh, Stephanie, B,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-1129591 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 780.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Glb Mktg Dir Ext Eye Disease Receipt For: Aggregate Year-to-Date ▼ Primary General 945.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-1129767 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 23 2017 City Zip Code State Transaction ID: A2017-1320655 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 840.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 62 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

73

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-1129445 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Legal Ops. & Ass. Gen. Counse Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City State Zip Code Transaction ID: A2017-1320686 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Couns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 650.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zuluaga, Juan, C,, Date of Receipt Mailing Address One Health Plaza 09 2017 City Zip Code State Transaction ID: A2017-1129920 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular ABL Central NJ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 252.00 Other (specify) 121.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) **x** 11a 11b

PAGE 63 OF Use separate schedule(s) ITEMIZED RECEIPTS for each category of the 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee

<u>'</u>						
Full Name of Individual (Last, First, Mid Zuluaga, Juan, C, ,	Date of Receipt					
Mailing Address One Health Plaza		06 23 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y				
City	State Zip Code	Transaction ID : A2017-1321444				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	y .					
Name of Employer (for Individual) Pharma	Occupation (for Individual) Cardiovascular ABL Central NJ	Memo Item				
Receipt For:	Aggregate Year-to-Date ▼					
Primary General Other (specify) ▼	273.00					
Full Name of Individual (Last, First, Mid B.	Date of Receipt					
Mailing Address		M = M / D = D / Y = Y = Y				
City	State Zip Code	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.						
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item				
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼					
Full Name of Individual (Last, First, Mid	dle Initial) or Full Organization Name	Date of Receipt				
Mailing Address		M M / D D / Y Y Y Y				
City	State Zip Code	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C					
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item				
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼					
SUBTOTAL of Receipts This Page (option	nal)	21.00				
TOTAL This Period (last page this line nu	umber only)	8566.18				

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)				
	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28c 29 30b		
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)	said addition of any point	00	The second secon		
Novartis Corporation Political Actio	n Committee				
Full Name (Last, First, Middle Initial)					
A. Bera for Congress Mailing Address PO Box 582496			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
			00 23 2011		
Elk Grove	State Zip Code CA 95758		FEC Identification Number		
Purpose of Disbursement Contribution		011	C C00461061 Transaction ID : B651032		
Candidate Name	Category/				
Bera, Amerish, , ,		Type	4000.00		
Senate x	nent For: 2018 Primary General		1000.00		
State: CA District: 07	Other (specify) ▼		Memo Item		
Full Name (Last, First, Middle Initial)					
B. Carper for Senate					
Mailing Address 303 Massachusetts Ave. NE					
,	State Zip Code DC 20002		FEC Identification Number		
Washington Purpose of Disbursement	000040047				
Contribution	C C00349217				
Candidate Name	Transaction ID : B650270 Amount of Each Disbursement this Period				
Carper, Tom, , ,		Category/ Type			
	nent For: 2018		2000.00		
	Primary General Other (specify)				
State: DE District:	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial) C. Perdue for Senate			Date of Disbursement		
Ferune in Seriale			M M / D D / Y Y Y Y		
Mailing Address P.O Box 3986			06 19 2017		
,	State Zip Code		FEC Identification Number		
Washington Purpose of Disbursement	DC 20027				
Contribution		011	C C00547570		
Candidate Name		Category/	Transaction ID : B649741 Amount of Each Disbursement this Period		
Perdue, David, , ,		Type	Amount of Each Disbursement this Feriod		
Office Sought: House Disbursen	nent For: 2020		1500.00		
President Pictrict:	Other (specify) ▼		Memo Item		
State: GA District:					
SUBTOTAL of Disbursements This Page (optional)		·····•	4500.00		
TOTAL This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedu	ule(s) (check on				
	for each category of Detailed Summary P					
Any information copied from such Reports and State or for commercial purposes, other than using the na						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Action	on Committee					
Full Name (Last, First, Middle Initial)			Date of Disbursement			
A. Volunteers for Shimkus	volunteers for Snimkus					
Mailing Address 499 S. Capitol St. SW Suite 420			06 19 2017			
City Washington	State Zip Code 20003		FEC Identification Number			
Purpose of Disbursement Contribution		011	C C00258855			
Candidate Name			Transaction ID : B650266			
Shimkus, John, M, ,		Category/ Type	Amount of Each Disbursement this Period			
Office Sought: House Disburse	500.00					
Senate x		eral				
State: IL District: 15	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial)			+			
	Bucshon for Congress					
			M = M / D = D / Y = Y = Y			
Mailing Address 526 6th Street SE			06 19 2017			
City Washington	State Zip Code 20003		FEC Identification Number			
Purpose of Disbursement	Purpose of Disbursement					
Contribution	C C00468256 Transaction ID : B649749					
Candidate Name	Category/					
Bucshon, Larry, D, , Office Sought: House Disburse	ement For: 2018	Туре	1000.00			
Office Sought: House Disburse Senate		eral	1000.00			
President	Other (specify)		Mama Item			
State: IN District: 08			Memo Item			
Full Name (Last, First, Middle Initial)			Date of Dishumanan			
c. Donnelly for Indiana			Date of Disbursement			
Mailing Address 303 Massachusetts Ave. NE	Mailing Address 303 Massachusetts Ave. NE					
City	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20002					
Contribution		011	C C00393652			
Candidate Name		Category/	Transaction ID : B649754 Amount of Each Disbursement this Period			
Donnelly, Joseph, S, ,		Type	Amount of Each Disbursement this Fellou			
	Office Sought: Disbursement For: 2018					
Senate President	Primary Gene	eral				
State: IN District:	Other (specify) ▼		Memo Item			
The state of the s						
SUBTOTAL of Disbursements This Page (optional).			3000.00			
TOTAL This Period (last page this line number only	/)					

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 66 OF 73			
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 28a	one) 22 X 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and States or for commercial purposes, other than using the nar					
NAME OF COMMITTEE (In Full)	and managed of any political				
Novartis Corporation Political Action	on Committee				
Full Name (Last, First, Middle Initial)			Data of Diaburgament		
A. 4 MA PAC			Date of Disbursement		
Mailing Address 185 Devonshire St. Suite 601			06 19 2017		
,	State Zip Code		FEC Identification Number		
Boston	MA 02110				
Purpose of Disbursement Contribution	l I	011	C C00543504		
Candidate Name			Transaction ID : B649751		
Cardidate Harrie		Category/ Type	Amount of Each Disbursement this Period		
Office Sought: House Disburse	ment For: 2017	1,700	1000.00		
Senate	Primary General		7 7 4		
President x	Other (specify) ▼		Memo Item		
State: District:	Not Applicable		ш		
Full Name (Last, First, Middle Initial) B. Richard E. Neal for Congress			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address 415 New Jersey Ave. SE Unit 1			06 19 2017		
,	State Zip Code 20003		FEC Identification Number		
Washington Purpose of Disbursement	Purpose of Disbursement				
Contribution	011	C C00226522			
Candidate Name		Category/	Transaction ID: B649745 Amount of Each Disbursement this Period		
Moulton, Seth, , ,		Type	y and and a least blobal content and 1 office		
Office Sought: House Disburse	ment For: 2018		1000.00		
Senate x	Primary General				
State: MA District: 01	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial)			Data of Dishuranment		
C. Moulton for Congress Committee			Date of Disbursement		
Mailing Address 415 New Jersey Ave. SE			06 19 2017		
City	State Zip Code		FEC Identification Number		
Washington	DC 20003		Le identification Number		
Purpose of Disbursement Contribution		011	C C00547240 Transaction ID : B649746		
Candidate Name		Category/	Amount of Each Disbursement this Period		
Moulton, Seth, , ,		Туре			
	ment For: 2018 Primary General		500.00		
Senate X					
State: MA District: 06	Other (specify) ▼		Memo Item		
Oldio. IVIA District. U0					
SUBTOTAL of Disbursements This Page (optional)			2500.00		
			7 7 7		
TOTAL This Period (last page this line number only)				

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s				
	for each category of the Detailed Summary Page	21h	22 X 23 26 27 28c 29 30b		
Any information copied from such Reports and Staten or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Actio	n Committee				
Full Name (Last, First, Middle Initial)			Data of Dishurament		
A. Hoyer for Congress			Date of Disbursement		
Mailing Address 499 s. Capitol Street SE Suite 40			06 19 2017		
Washington	State Zip Code 20003		FEC Identification Number		
Purpose of Disbursement Contribution		011	C C00140715		
Candidate Name			Transaction ID : B649753		
Hoyer, Steny, H, ,		Category/ Type	Amount of Each Disbursement this Period		
Office Sought: House Disburser	1500.00				
President	Primary General Other (specify) ▼		Memo Item		
Full Name (Last, First, Middle Initial) 3. Peters for Michigan	•				
Mailing Address 219 Pennsylvania Ave. SE 3rd Flo	00		06 19 2017		
•	State Zip Code 20003		FEC Identification Number		
Washington Purpose of Dishursement		C C00437889			
Contribution	Purpose of Disbursement Contribution				
Candidate Name		Category/	Transaction ID : B649742 Amount of Each Disbursement this Period		
Peters, Gary, , ,		Type	Amount of Each Biodeforment the Fellow		
	nent For: 2020		1500.00		
X Senate X President	Other (specify) General				
State: MI District:	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial) C. Upton for All of Us			Date of Disbursement		
of Optonion All of Os			M M / D D / Y Y Y Y		
Mailing Address 104 Hume Avenue			06 19 2017		
,	State Zip Code		FEC Identification Number		
alexandria Purpose of Disbursement	VA 22301		C C00200584		
Contribution		011			
Candidate Name		Category/	Transaction ID: B649752 Amount of Each Disbursement this Period		
Upton, Frederick, S, ,		Type	1000 00		
Office Sought: House Disburser		1000.00			
President					
State: MI District: 06	omer (epoony) V		Memo Item		
SUBTOTAL of Disbursements This Page (optional)		·····	4000.00		
TOTAL This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X)	Use separate schedule(s	FOR LINE NUMBER: PAGE 68 OF 73 (check only one)			
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	Official offing	22 X 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and Stater or for commercial purposes, other than using the nan					
NAME OF COMMITTEE (In Full)	and the second of the point		25 25 22 22 22 22 22 22 22 22 22 22 22 2		
Novartis Corporation Political Actio	on Committee				
Full Name (Last, First, Middle Initial) A. Heidi for Senate	` ' '				
Mailing Address 303 Massachusetts Ave. NE			06 19 / 2017		
Washington	State Zip Code 20002		FEC Identification Number		
Purpose of Disbursement Contribution		011	C C00505552 Transaction ID : B650269		
Candidate Name Heitkamp, Heidi, , ,		Category/ Type	Amount of Each Disbursement this Period		
Office Sought: House Disburser	ment For: 2018 Primary General	Туре	1500.00		
State: ND District:	Other (specify) ▼		Memo Item		
Full Name (Last, First, Middle Initial)					
	Bonnie Watson Coleman for Congress				
Mailing Address 918 Pennsylvania Ave. SE			06 19 2017		
City Washington	State Zip Code 20003		FEC Identification Number		
Purpose of Disbursement Contribution	011	C C00558437 Transaction ID : B649747			
Candidate Name	Category/	Amount of Each Disbursement this Period			
Coleman, Bonnie Watson, , ,	and Fam.	Type	1000.00		
	ment For: 2018 Primary General		1000.00		
President State: NJ District: 12	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial) C. Pascrell for Congress			Date of Disbursement		
Mailing Address 38 Ivy Street SE			06 19 2017		
Washington	State Zip Code 20003		FEC Identification Number		
Purpose of Disbursement Contribution	·	011	C C00313510 Transaction ID : B649744		
Candidate Name Pascrell, William, J, , Jr.		Category/ Type	Amount of Each Disbursement this Period		
Office Sought: House Senate President Disburser	ment For: 2018 Primary General Other (specify) ▼		2000.00 Memo Item		
State: NJ District: 09		Iviemo item			
SUBTOTAL of Disbursements This Page (optional)		······	4500.00		
TOTAL This Period (last page this line number only))		1		

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s		•
HEIMILED DISBURSEMENTS	for each category of the Detailed Summary Page	21h	22 X 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			The state of the s
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Martin Heinrich for Senate			
Mailing Address P.O. Box 25763			06 19 2017
,	State Zip Code NM 87125		FEC Identification Number
Purpose of Disbursement	07 120		C C00434563
Contribution		011	Transaction ID : B650272
Candidate Name		Category/	Amount of Each Disbursement this Period
Heinrich, Martin, T,	nont For Core	Type	1500.00
	nent For: 2018 Primary General		1500.00
President	Other (specify) ▼		Memo Item
State: NM District:			
Full Name (Last, First, Middle Initial) B. Hollor for Conoto			Date of Disbursement
B. Heller for Senate			
Mailing Address 1006 Pendleton Street			06 19 2017
,	State Zip Code		FEC Identification Number
7 1107101101	VA 22314		
Purpose of Disbursement Contribution		011	C C00494229
Candidate Name			Transaction ID: B649743
Heller, Dean, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2018		500.00
	Primary General		
President State: NV District:	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Crowley for Congress			Date of Disbursement
Mailing Address 415 New Jersey Ave. SE Unit 1			06 19 2017
City	State Zip Code		FEC Identification Number
Washington	DC 20003		
Purpose of Disbursement Contribution		044	C C00338954
Candidate Name		011	Transaction ID : B649756
Crowley, Joseph, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2018	.,,,,,	2500.00
	Primary General		7 7 7
President	Other (specify) ▼		Memo Item
State: NY District: 14			-
SUBTOTAL of Disbursements This Page (optional)			4500.00
0- ()			
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 70 OF (check only one)
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 22 X 23 26 27 28a 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam	nents may not be sold or used e and address of any political	by any person for the purpose of soliciting contribution committee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	71	
Novartis Corporation Political Action	n Committee	
Full Name (Last, First, Middle Initial)		Data of Dishara area
A. Kurt Schrader for Congress Mailing Address P.O. Box 636		Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
,	State Zip Code VA 22003	FEC Identification Number
Annandale Purpose of Disbursement	VA 22003	C 000440000
Contribution		O11 C C00446906
Candidate Name		Transaction ID : B651036 Category/ Amount of Each Disbursement this Per
Schrader, Kurt, , ,		Type
Office Sought: House Disbursem	nent For: 2018 Primary General	500.00
State: OR District: 05	Other (specify) ▼	Memo Item
Full Name (Last, First, Middle Initial)		
B. Bob Casey for Senate Inc.		Date of Disbursement
Mailing Address 10 G St. NE Suite 470		06 19 2017
-	State Zip Code DC 20002	FEC Identification Number
Purpose of Disbursement	20002	C C00431056
Contribution		011 Transaction ID : B649755
Candidate Name	-	Category/ Amount of Each Disbursement this Per
Casey, Bob, , , Jr.		Туре
	nent For: 2018	1000.00
	Primary General	_
State: PA District:	Other (specify)	Memo Item
Full Name (Last, First, Middle Initial)		
C. Marc Veasey Congressional Camp	aign Cmte	Date of Disbursement
Mailing Address PO Box 50084		06 19 2017
City	State Zip Code	FEC Identification Number
	TX 76105	1 LO Identification Number
Purpose of Disbursement Contribution		011 C C00506832 Transaction ID : B649740
Candidate Name		Category/ Amount of Each Disbursement this Per
	nent For: 2018 Primary General	Type 1500.00
President	Other (specify) ▼	Memo Item
State: TX District: 33		
SUBTOTAL of Disbursements This Page (optional)		3000.00
TOTAL This Period (last page this line number only).		

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 71 OF
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only one) 21b 22 X 23 26 27 28a 28b 28c 29 30b
Any information copied from such Reports and Stater or for commercial purposes, other than using the nan	ments may not be sold or used ne and address of any political	by any person for the purpose of soliciting contributions committee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political Actio	n Committee	
Full Name (Last, First, Middle Initial)		Data of Dishurasment
Making America Prosperous PAC Mailing Address 104 Hume Avenue		Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
maining / taurooc 10 1 1 tauro / 10 au	T	
City Alexandria	State Zip Code VA 22301	FEC Identification Number
Purpose of Disbursement	VA 22301	000445070
Contribution		O11 C C00445379
Candidate Name		Transaction ID : B651037
		Category/ Amount of Each Disbursement this Perio
Office Sought: House Disburser Senate	ment For: 2017 Primary General	2500.00
President x	Other (specify)	Memo Item
State: District:	Not Applicable	
Full Name (Last, First, Middle Initial) B. Kind for Congress Committee		Date of Disbursement
Mailing Address 233 Pennsylvania Ave. SE 2nd Fl		06 23 2017
Washington	State Zip Code 20003	FEC Identification Number
Purpose of Disbursement Contribution		O11 C C00312017
Candidate Name		Transaction ID : B651033 Category/ Amount of Each Disbursement this Perio
Kind, Ron, J, ,		Type
Office Sought: House Disburser	ment For: 2018 Primary General	1000.00
President State: WI District: 03	Other (specify)	Memo Item
Full Name (Last, First, Middle Initial) C. Manchin for West Virginia		Date of Disbursement
Mailing Address 660 Pennsylvania Ave. SE Suite 2	20	06 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	
Washington	DC 20003	FEC Identification Number
Purpose of Disbursement Contribution		O11 C00486563 Transaction ID : B649748
Candidate Name		Category/ Amount of Each Disbursement this Perio
Manchin, Joseph, , ,		Type
x Senate	ment For: 2018 Primary General	1000.00
State: WV District:	Other (specify) ▼	Memo Item
SUBTOTAL of Disbursements This Page (optional)		4500.00
TOTAL This Period (last page this line number only)		

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE (check only	
TIEMIZED DIODONOLMENTO	for each category of the Detailed Summary Page	21b 28a	22 x 23 26 27 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	ic and address of any point		ocion communications from cach communication
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			Data of Bishamanant
A. Enzi for US Senate			Date of Disbursement
Mailing Address 1020 N. Fairfax St. Suite 201			06 19 2017
City Alexandria	State Zip Code VA 22314		FEC Identification Number
Purpose of Disbursement			C C00317503
Contribution		011	Transaction ID : B649750
Candidate Name		Category/	Amount of Each Disbursement this Period
Enzi, Michael, B, , Office Sought: House Disbursen	aant Fari 2000	Туре	1000.00
	nent For: 2020 Primary General		1000.00
	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
3. Friends of John Barrasso			Date of Disbursement
			M = M / D = D / Y = Y = Y
Mailing Address 1020 N. Fairfax St. Suite 201			06 23 2017
,	State Zip Code		FEC Identification Number
Alexandria Purpose of Disbursement	VA 22314		000400000
Contribution		011	C C00436386
Candidate Name		Category/	Transaction ID : B651031 Amount of Each Disbursement this Period
Barrasso, John, , ,		Type	
	nent For: 2018		500.00
	Primary General		
State: WY District:	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
. .			M M / D D / Y Y Y Y
Mailing Address			
City	State Zip Code		FEC Identification Number
Purpose of Disbursement			C
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For:	. 7 -	
	Primary General		7 7 7
	Other (specify) ▼		Memo Item
State: District:			
SUBTOTAL of Disbursements This Page (optional)			1500.00
			7 7 7
TOTAL This Period (last page this line number only)			32000.00

SCHEDULE B (FEC Form 3X)	Use separate schedule(s	FOR LINE	
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 28a	7 one) 22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name	nents may not be sold or u	sed by any personal committee to	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)	le and address of any point	ioar committee te	conditional from Such Committee.
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			
People for Matt Baker Committee			Date of Disbursement
Mailing Address P.O. Box 602			06 19 2017
City Wellsboro	State Zip Code PA 16901		FEC Identification Number
Purpose of Disbursement P-2018 State House 68 PA		011	C Transaction ID : B649758
Candidate Name Baker, Matt, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2018 Primary General	Туре	500.00
	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
3. Friends of Lisa Boscola			Date of Disbursement
Mailing Address 1546 Barner Court			06 19 2017
,	State Zip Code		FEC Identification Number
Bethlehem Purpose of Disbursement	PA 18015		С
P-2018 State Senate 18 PA		011	Transaction ID : B649757
Candidate Name		Category/	Amount of Each Disbursement this Period
Boscola, Lisa, , , Office Sought: House Disbursen	and Fam. 2012	Туре	500.00
x Senate x	nent For: 2018 Primary General		500.00
State: PA District: 18	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
Mailing Address			M M / D D / Y Y Y Y
City	State Zip Code		FEC Identification Number
Purpose of Disbursement			C
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen		Туре	
President	Primary General Other (specify) ▼		Memo Item
State: District:			_
SUBTOTAL of Disbursements This Page (optional)			1000.00
TOTAL This Period (last page this line number only)			1000.00