

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☒ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
09 01 2014

through

M M M / D D D / Y Y Y Y Y Y
09 30 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Trap Kloman

Signature of Treasurer

Trap Kloman

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
10 20 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
09 / 01 / 2014 To: M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2014		200049.50
(b) Cash on Hand at Beginning of Reporting Period.....	228420.54	
(c) Total Receipts (from Line 19)	20639.65	180185.69
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	249060.19	380235.19
7. Total Disbursements (from Line 31)	18120.00	149295.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	230940.19	230940.19
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	9		0	1		2	0	1	4

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	4

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	17521.48	147997.15
(ii) Unitemized	3118.17	32053.20
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	20639.65	180050.35
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	20639.65	180050.35
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	135.34
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	20639.65	180185.69
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	20639.65	180185.69

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	120.00	2045.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	120.00	2045.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	18000.00	147000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	250.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	250.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	18120.00	149295.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	18120.00	149295.00

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	20639.65	180050.35
34. Total Contribution Refunds (from Line 28(d))	0.00	250.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	20639.65	179800.35
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	120.00	2045.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	135.34
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	120.00	1909.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 51

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Nabil S. Abdelhamid

Mailing Address 510 Inverrary Ct

City

Eureka

State

MO

Zip Code

63025-2076

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legends Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AE7735EA8559944DEB67

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOHN M ABOOD

Mailing Address 3334 Coachman Rd

City

Wilmington

State

DE

Zip Code

19803-1942

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AC88A9C8BC80D4F4B84C

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David R Allen

Mailing Address 113 Front St

City

Owego

State

NY

Zip Code

13827-1518

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AA28D252363324595A80

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Francis XAVIER Astorino

Mailing Address 22 Maple Pl

City

Fairfield

State

NJ

Zip Code

07004-3209

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Astorino Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A172328D709A547EC892

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Nicole LEE Ayub

Mailing Address 10309 Avenida Magnifica

City

San Diego

State

CA

Zip Code

92131-1402

FEC ID number of contributing
federal political committee.

C

Name of Employer

Litton Financial

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A8630240E50FE420188F

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael Babyak Jr

Mailing Address 57 Ironia Rd

City

Randolph

State

NJ

Zip Code

07869-3901

FEC ID number of contributing
federal political committee.

C

Name of Employer

Precision Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.00

Date of Receipt

09 / 30 / 2014

Transaction ID : AF1FAC518392E4EB29BD

Amount of Each Receipt this Period

208.00

Payroll Deduction: \$104.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

299.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. James EDWARD Bashaw

Mailing Address 5908 Riverview Way

City State Zip Code
Houston TX 77057-1434

FEC ID number of contributing
federal political committee.

C

Name of Employer
James E. Bashaw & Co.

Occupation
CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AF3A2C9CF8E844BB3B31

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Harvey STUART Berk

Mailing Address 9 Conrad Ln

City State Zip Code
New City NY 10956-6851

FEC ID number of contributing
federal political committee.

C

Name of Employer
Berk Wealth Management

Occupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A26944F34B1E64CA39DF

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mimi Bock

Mailing Address 6053 Seacrest View Road

City State Zip Code
San Diego CA 92121-4370

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial LLC

Occupation
MD, Client Exprnce & Training

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1875.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A4A608835ECFE460CB81

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

791.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Michael S Brady

Mailing Address 22 Plymouth Rd

City

Springfield

State

PA

Zip Code

19064-1307

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2125.00

Date of Receipt

09 / 30 / 2014

Transaction ID : AB76726B566C144C0B3C

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David A. A. Brounley

Mailing Address 407 Calle Robles

City

San Clemente

State

CA

Zip Code

92672-2127

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Retirement Worksite

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : A3F8549A1882D476BAB3

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jeffrey Jeffrey Buchheister

Mailing Address 2367 Juan St

City

San Diego

State

CA

Zip Code

92103-1110

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 26 / 2014

Transaction ID : AE5458A8706124CB0875

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

341.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Steven ANDREW Budin

Mailing Address 25 Morninglow Pass

City

Henderson

State

NV

Zip Code

89052-6683

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Budin Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A6304A24F8AB042AE84E

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert Burns

Mailing Address 24151 Cascades Dr

City

Laguna Niguel

State

CA

Zip Code

92677-2418

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

09 / 30 / 2014

Transaction ID : A829682CC3E25455B9B5

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. R. Craig Byrd

Mailing Address 1723 SE 270th Pl

City

Camas

State

WA

Zip Code

98607-8585

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AC2C07EF79EB0449A84D

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

666.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Kent A. Cameron

Mailing Address 6648 Lavandula Court

City State Zip Code
San Diego CA 92130-6939

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Internal Audit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : A242E8BE73AA5472DA9E

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Kelly PETER Campbell

Mailing Address 11727 River Dr

City State Zip Code
Lorton VA 22079-4104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Campbell Wealth Management

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AE5967AC25B51418683A

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael DALE JOSEPH Carpenter

Mailing Address 1803 Baja Ln

City State Zip Code
Henderson NV 89012-3109

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.03

Date of Receipt

09 / 30 / 2014

Transaction ID : A39E7FBA60D844A5DA5B

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Edward FRANCIS Chairvolotti

Mailing Address 818 S Primrose Dr

City

Orlando

State

FL

Zip Code

32803-6840

FEC ID number of contributing
federal political committee.

C

Name of Employer

Chairvolotti Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A8BF37D29B3BD451EA0E

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Suzanne H. Christian

Mailing Address PO Box 1237

City

Claremont

State

CA

Zip Code

91711-1237

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2014

Transaction ID : A86C9B4992F504CF9BB3

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Don Clark

Mailing Address 31600 W 115th St

City

Olathe

State

KS

Zip Code

66061-8649

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

09 / 15 / 2014

Transaction ID : A32DE7697A4BD438DB54

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Crystal Clifford

Mailing Address 1968 Sakina St

City State Zip Code
 El Cajon CA 92019-3804

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

562.50

Date of Receipt

09 / 26 / 2014

Transaction ID : A3F46D79B02C54F9AB8A

Amount of Each Receipt this Period

62.50

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Heidi GRACE Clute

Mailing Address 21 Pine Ridge Dr

City State Zip Code
 Morrisonville NY 12962-9671

FEC ID number of contributing
federal political committee.

C

Name of Employer

Clute Wealth Management

Occupation

Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1333.28

Date of Receipt

09 / 15 / 2014

Transaction ID : A3D69C359AEAA4D72A88

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Heidi GRACE Clute

Mailing Address 21 Pine Ridge Dr

City State Zip Code
 Morrisonville NY 12962-9671

FEC ID number of contributing
federal political committee.

C

Name of Employer

Clute Wealth Management

Occupation

Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.27

Date of Receipt

09 / 30 / 2014

Transaction ID : A8B6F635858C54512987

Amount of Each Receipt this Period

124.99

Payroll Deduction: \$124.99/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

270.82

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert SMITH Collins

Mailing Address 9206 Brushboro Dr

City

Brentwood

State

TN

Zip Code

37027-6122

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

09 / 30 / 2014

Transaction ID : A1C8E1FF9A6B7454CBC2

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Bradford Cornell

Mailing Address 5257 Fieldgate Ct

City

Harrisburg

State

NC

Zip Code

28075-8339

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : A8D25E78FFB264F15B7B

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. James Cox

Mailing Address 9116 Atlee Lake Ct

City

Mechanicsville

State

VA

Zip Code

23116-2871

FEC ID number of contributing
federal political committee.

C

Name of Employer

Harris Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.92

Date of Receipt

09 / 30 / 2014

Transaction ID : AB74CF6B3B1D444BFB6F

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

229.16

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Angela LOUISE Denham

Mailing Address 17602 Pecan Shadows Dr

City

Baton Rouge

State

LA

Zip Code

70810-6581

FEC ID number of contributing
federal political committee.

C

Name of Employer

A.L. Denham Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	4

Transaction ID : A30688077BD094F35936

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David STAPLEY Dixon

Mailing Address 1419 Drakewood Ave

City

North Las Vegas

State

NV

Zip Code

89031-1694

FEC ID number of contributing
federal political committee.

C

Name of Employer

Dixon Financial Group, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	4

Transaction ID : A1E9426FC87604F118D3

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Richard CALVERT Donahue

Mailing Address 2407 Princeton Ct

Apt 11

City

Bellingham

State

WA

Zip Code

98229-8840

FEC ID number of contributing
federal political committee.

C

Name of Employer

Asset Advisors, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1770.72

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	4

Transaction ID : A942F168F82F64F769CD

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

374.96

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Marc Ehlers

Mailing Address 1258 Oak Avenue

City

Carlsbad

State

CA

Zip Code

92008-1929

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : AE97F8149C8584106AF2

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Matthew Matthew Enyedi

Mailing Address 10332 Reserve Dr, Apt 102

City

San Diego

State

CA

Zip Code

92127-4182

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, RIA & HNW Solutions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : A660137F88B224B8EAAF

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Robert L Errico

Mailing Address 10 Villeneuve

City

Newport Beach

State

CA

Zip Code

92657-1023

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

09 / 30 / 2014

Transaction ID : ABC4306B98688421C986

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

333.36

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Sharon JOY Fall

Mailing Address 25 E Queen Elizabeth Ct

City State Zip Code
Chester MD 21619-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer
SANDY SPRING INVESTMENT SVC

Occupation
financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2014

Transaction ID : A26686CCEA44542D4B44

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Fitz Fisher

Mailing Address 867 Homestake Court

City State Zip Code
Castle Rock CO 80108-9081

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial LLC

Occupation
EVP, Technology Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1350.00

Date of Receipt

09 / 26 / 2014

Transaction ID : A4C48765BDD84E52A47

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$75.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mr. Richard NORTHRUP Fisher

Mailing Address 7003 Windsor Way

City State Zip Code
Elon NC 27244-9404

FEC ID number of contributing
federal political committee.

C

Name of Employer
Fisher Wealth Management

Occupation
Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2014

Transaction ID : A88C5F5539D2C4AA8AD8

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

316.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert IVANO Fragasso

Mailing Address 611 Keith Rd

City State Zip Code
Bethel Park PA 15102-3741

FEC ID number of contributing
federal political committee.

C

Name of Employer

Fragasso Financial Advisors

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1494.07

Date of Receipt

09 / 15 / 2014

Transaction ID : ADE04D38A5EF04B58AF7

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Marc STUART Freedman

Mailing Address 119 Kara Dr

City State Zip Code
North Andover MA 01845-4834

FEC ID number of contributing
federal political committee.

C

Name of Employer

Freedman Financial

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

09 / 30 / 2014

Transaction ID : AF44920FD322F4DF5873

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Loreen MICHELLE Gilbert

Mailing Address 250 Beverly St

City State Zip Code
Laguna Beach CA 92651-1402

FEC ID number of contributing
federal political committee.

C

Name of Employer

WealthWise Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2014

Transaction ID : A754731F5452C45E8B77

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

541.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Jen Gloss

Mailing Address 4143 Bachman Pl

City

San Diego

State

CA

Zip Code

92103-2027

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : A23F509703DE44BA893E

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Joy Goble

Mailing Address 3217 Selwyn Farms Ln

City

Charlotte

State

NC

Zip Code

28209-4009

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

09 / 26 / 2014

Transaction ID : AA4D60731A7894647AFC

Amount of Each Receipt this Period

70.00

Payroll Deduction: \$35.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Pamela FRANCES Groft

Mailing Address 3247 Oakglen Ct

City

Lancaster

State

PA

Zip Code

17601-1340

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

09 / 30 / 2014

Transaction ID : A5402C7EE55B04F8695E

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

153.34

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. William E Hamm

Mailing Address 657 Saxony Blvd

City

Saint Petersburg

State

FL

Zip Code

33716-1297

FEC ID number of contributing
federal political committee.

C

Name of Employer

IFP

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2708.29

Date of Receipt

09 / 30 / 2014

Transaction ID : A2601BDF6D6CF45EC98D

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Edward JAMES Harris

Mailing Address 60 Park Ter

City

Washington

State

PA

Zip Code

15301-3055

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

875.88

Date of Receipt

09 / 30 / 2014

Transaction ID : A38B3C1A75CB34CA79EB

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael PATRICK Hatch

Mailing Address 3618 San Pasqual St

City

Pasadena

State

CA

Zip Code

91107-5419

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Sterling Group

Occupation

Financial Advisor & Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1416.61

Date of Receipt

09 / 30 / 2014

Transaction ID : A4F6FA16B8AFD46DAAD7

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

666.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Brad Hearn

Mailing Address 7293 Calle Conifera

City State Zip Code
 Carlsbad CA 92009-8699

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

756.00

Date of Receipt

09 / 26 / 2014

Transaction ID : A48FC8BD70B89434BA42

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Mark Helliker

Mailing Address 700 W Harbor Drive

City State Zip Code
 San Diego CA 92101-7753

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2700.00

Date of Receipt

09 / 26 / 2014

Transaction ID : A36AA49FFFE254EF98E5

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$150.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David GEORGE Herbst

Mailing Address 925 Burgess Hill Rd

City State Zip Code
 Naperville IL 60565-6106

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

09 / 30 / 2014

Transaction ID : A8E28B9344BC24E0EB78

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

717.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Peggy Ho

Mailing Address 6 Lilac Circle

City State Zip Code
 Wellesley MA 02482-4518

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 09 26 2014

Transaction ID : A2567230CB5344DCCB51

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Lisa Lisa Hughes

Mailing Address 4817 St. Simons Terrace

City State Zip Code
 Waxhaw NC 28173-6823

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 09 26 2014

Transaction ID : A8F11CAF7DFBE467893A

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Lauren Hunt

Mailing Address 181 Pine Ridge Rd

City State Zip Code
 Waban MA 02468-3409

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 09 26 2014

Transaction ID : A9C3065B6C5BF4E3989A

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

125.00

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Brad Jacobs

Mailing Address 5 Beacham Place

City State Zip Code
Hingham MA 02043-3331

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 26 / 2014

Transaction ID : A07E77184B7B747339FF

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Michael WILLIAM Jowdy

Mailing Address 715 Marvista Ave

City State Zip Code
Seal Beach CA 90740-5835

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1449.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A2415073B30E54DF7BAD

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Andy Kalbaugh

Mailing Address 6713 Seton House Lane

City State Zip Code
Charlotte NC 28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Institution Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1875.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A2911728E7D344400AF6

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

425.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Susan CAROL Kaplan

Mailing Address 74 Sylvan Ave

City

West Newton

State

MA

Zip Code

02465-3026

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

09 / 30 / 2014

Transaction ID : AB43076E23CBA4D6A804

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Andrew C Karlinski

Mailing Address 179 Saddleback Ln

City

Snowmass Village

State

CO

Zip Code

81615-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer

AK Financial Group

Occupation

President/Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.88

Date of Receipt

09 / 30 / 2014

Transaction ID : AA5064AD4C8E84C1291D

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Brent Korte

Mailing Address 49 Aquavista Way

City

San Francisco

State

CA

Zip Code

94131-1232

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

09 / 26 / 2014

Transaction ID : A4332BEC53FC441D18D8

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

916.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David BLANDIN La Pointe

Mailing Address 37919 Luna Dr

City State Zip Code
Temecula CA 92592-9053

FEC ID number of contributing
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.93

Date of Receipt

09 / 30 / 2014

Transaction ID : A8A4E0F192131433FAC5

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Stephen Lank

Mailing Address 15936 Glen Miro Drive

City State Zip Code
Huntersville NC 28078-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 26 / 2014

Transaction ID : AA4DDEB45D0954C22A2F

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mark EDWARD Leibman

Mailing Address PO Box 165

City State Zip Code
Cedar Creek NE 68016-0165

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A63490FB0F21745B39EA

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

616.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Lawrence NELSON Leitch

Mailing Address 29 Windflower Ct

City

Reisterstown

State

MD

Zip Code

21136-5651

FEC ID number of contributing
federal political committee.

C

Name of Employer

Synergy Financial Group

Occupation

Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A91FFA0D075024185ADA

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Mr. Daniel W Leveroni

Mailing Address 853 Main St

City

Cotuit

State

MA

Zip Code

02635-3111

FEC ID number of contributing
federal political committee.

C

Name of Employer

Leveroni Financial Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

09 / 30 / 2014

Transaction ID : AEB3C39286746405E860

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Timothy W Leveroni

Mailing Address 1100 Randolph Ave

City

Milton

State

MA

Zip Code

02186-5235

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A9F554FE00F1F4D8E976

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

183.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Tom Lux

Mailing Address 8875 Costa Verde Blvd Apt 912
Unit B203

City State Zip Code
San Diego CA 92122-7683

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1875.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A12E7668DA86445B99B6

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert D Lyman

Mailing Address 792 Wortham Dr

City State Zip Code
Mundelein IL 60060-3377

FEC ID number of contributing
federal political committee.

C

Name of Employer

SEQUOIA FINANCIAL NETWORK

Occupation

MANAGING PARTNER

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.92

Date of Receipt

09 / 30 / 2014

Transaction ID : A8ACEE205C87F40F298B

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mr. Cam JOEL Mader

Mailing Address 10660 Glen Eagle Rd

City State Zip Code
Saint Paul MN 55129-4223

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

09 / 30 / 2014

Transaction ID : A622B441BF5DE4758A30

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

333.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Theodore ROCCO Massaro

Mailing Address 36 Harvest Ln

City State Zip Code
 Medford NJ 08055-8450

FEC ID number of contributing
federal political committee.

C

Name of Employer
 M Financial Planning Services, Inc.

Occupation
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A5E14DDCF4DA44075AD0

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert ARTHUR Matson

Mailing Address 333 Orchard St

City State Zip Code
 Millis MA 02054-1025

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Robert A Matson CLU, ChFC

Occupation
 Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2014

Transaction ID : A59ABEBECFDEF4FA8820

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David JOHN Mc Menamin

Mailing Address 5100 W Rhyolite Loop

City State Zip Code
 Tucson AZ 85745-8967

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial

Occupation
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

09 / 30 / 2014

Transaction ID : AE63E7FBEC88D41AFBC2

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

499.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. William Meikle

Mailing Address 4321 W Chama Dr

City

Glendale

State

AZ

Zip Code

85310-5131

FEC ID number of contributing
federal political committee.

C

Name of Employer

Meikle Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A574E5E9B4FA04BD59F1

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert EDWARD Micone

Mailing Address 360 E 1st St

City

Tustin

State

CA

Zip Code

92780-3211

FEC ID number of contributing
federal political committee.

C

Name of Employer

AFP LPL

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A3E1C6D29923D45248C6

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Bruce BRUCE Miller

Mailing Address 1721 Bardstown Rd

City

Charlotte

State

NC

Zip Code

28226-0915

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AA3A92B314A0544A892A

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

708.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Shari DIANE Miller

Mailing Address 4250 Shorepointe Way

City State Zip Code
San Diego CA 92130-8634

FEC ID number of contributing
federal political committee.

C

Name of Employer

Symbio Financial Partners

Occupation

manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

09 / 30 / 2014

Transaction ID : AF1EF6E918F5A40B1BEF

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert Moore

Mailing Address PO Box 2764

City State Zip Code
Rancho Santa Fe CA 92067-2764

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 26 / 2014

Transaction ID : AC14FF9EA28364CBEA96

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Thomas Moran

Mailing Address 12 Commodore Hull Dr

City State Zip Code
Derby CT 06418-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

09 / 30 / 2014

Transaction ID : A354B527C9B044AEAA61

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mary LOUISE Nothelfer

Mailing Address 1043 Newgate Dr

City

Allentown

State

PA

Zip Code

18103-9264

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.60

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A4EEFEA3C665D4ED4911

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. John Oettinger

Mailing Address 17805 Buehler Rd
Apt 116

City

Olney

State

MD

Zip Code

20832-2353

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandy Spring Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A7E14BE42EEEE4E87B3B

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Carlo ANTHONY Panaccione

Mailing Address 12760 Dianne Dr

City

Los Altos Hills

State

CA

Zip Code

94022-4606

FEC ID number of contributing
federal political committee.

C

Name of Employer

Navigation Group

Occupation

Private Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : AAA630A26C425443FB99

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

583.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Vickie LINN Petix-Reichert

Mailing Address 2529 Castellon Ter

City

El Cajon

State

CA

Zip Code

92019-3859

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

09 / 30 / 2014

Transaction ID : A1E6D29F84E8845C891E

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David ANTHONY Pickler

Mailing Address 2268 Birchton Dr

City

Germantown

State

TN

Zip Code

38139-5251

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pickler Wealth Advisors

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3010.29

Date of Receipt

09 / 30 / 2014

Transaction ID : A9B7DF03FA86246CAB04

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Daniel WALTER Pinkerton

Mailing Address 14701 S Heritage Dr

City

Coeur D Alene

State

ID

Zip Code

83814-8613

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

09 / 30 / 2014

Transaction ID : A7B8893A51BE74A8FAFA

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

458.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Gregory R. Powell

Mailing Address 505 Russet Bend Dr

City

Hoover

State

AL

Zip Code

35244-4330

FEC ID number of contributing
federal political committee.

C

Name of Employer

fi-Plan Partners

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.96

Date of Receipt

09 / 30 / 2014

Transaction ID : AC77E9C01EBF344F1AEC

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Andrew Andrew Putterman

Mailing Address 6603 Glenbrook Road

City

Chevy Chase

State

MD

Zip Code

20815-6514

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Chairman Emeritus

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : AD8C9A2C0A6324F3F924

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Christopher P Reddington

Mailing Address 6697 Mahogany Ct

City

Liberty Township

State

OH

Zip Code

45044-8894

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stevens Investment Group, Inc

Occupation

Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 30 / 2014

Transaction ID : ADED340019BE74747938

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David Reich

Mailing Address 7995 Entrada De Luz West

City State Zip Code
 San Diego CA 92127-2512

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Head of Retirement Ptnrs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1875.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A0F92CEA329804DFF8D6

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Lee Richardson

Mailing Address 14823 Asheton Creek Dr

City State Zip Code
 Charlotte NC 28273-3448

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A002F66120890491FAA2

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Carol L. Rogers

Mailing Address 1306 Conway Oaks Dr

City State Zip Code
 Chesterfield MO 63017-1958

FEC ID number of contributing
federal political committee.

C

Name of Employer

Rogers & Company, A Wealth Management

Occupation

President, CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

09 / 30 / 2014

Transaction ID : A097DAE270631496992F

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

375.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Philip Philip Rosplock

Mailing Address 6822 Williams Road

City State Zip Code
 Concord OH 44077-9513

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Trust Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.12

Date of Receipt

09 / 26 / 2014

Transaction ID : A6D04A152D0174E54A5B

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Arthur R. Salomon

Mailing Address 459 Woodlake Way

City State Zip Code
 Lexington KY 40502-2535

FEC ID number of contributing
federal political committee.

C

Name of Employer

Salomon & Co.

Occupation

Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 30 / 2014

Transaction ID : AA7CA3EDD0C5D4AB6867

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Dan Schuck

Mailing Address 10370 Crosscreek Terrace

City State Zip Code
 San Diego CA 92131-1341

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

09 / 26 / 2014

Transaction ID : A5094308391D0441286F

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

341.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Charles Schwab

Mailing Address 3501 Lone Hill Lane

City

Encinitas

State

CA

Zip Code

92024-7276

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A4EF9696DED914C16B62

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Thomas Seeburger

Mailing Address 423 South Nevada Street

City

Oceanside

State

CA

Zip Code

92054-4038

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 26 / 2014

Transaction ID : A3454C4B6C1604C0C9F6

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jim Shorris

Mailing Address 19 Kingsbury Street

City

Wellesley Hills

State

MA

Zip Code

02481-3504

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 26 / 2014

Transaction ID : A10AB37CEC9274390863

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. John FRED Shrewsbury

Mailing Address 1205 Cambridge Cir

City State Zip Code
 Bryant AR 72019-2387

FEC ID number of contributing
federal political committee.

C

Name of Employer

GenWealth Financial Advisors

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A2C08CA30EB094D92B94

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. John Simone

Mailing Address 500 W Office Center

City State Zip Code
 Fort Washington PA 19034-3215

FEC ID number of contributing
federal political committee.

C

Name of Employer

Secure Planning Group LLC

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A8A1516939F2A4EDE820

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Adam Sokolic

Mailing Address 5125 Marlborough Dr.

City State Zip Code
 San Diego CA 92116-4909

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Retirement Partners

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 26 / 2014

Transaction ID : A5C29FDB782F64C828EA

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

133.32

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Greg ROBERT Solis

Mailing Address 49335 Avenida Fernando

City State Zip Code
La Quinta CA 92253-2744

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 30 / 2014

Transaction ID : AA40DE77AA7F0492995C

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. James James Sorey

Mailing Address 13523 Robert Walker Dr

City State Zip Code
Davidson NC 28036-6008

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 26 / 2014

Transaction ID : A5EBB52257CCE426F995

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Gary M Stanislawski

Mailing Address 2992 E 78th St

City State Zip Code
Tulsa OK 74136-8732

FEC ID number of contributing
federal political committee.

C

Name of Employer

Regent Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A4A33AB35A24B4591AA6

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

374.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Katherine SOBHI Stellflue

Mailing Address 10572 Villa Del Cerro

City

Santa Ana

State

CA

Zip Code

92705-1486

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pence Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A4EE8CC77E5324C33B99

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Kevin PETER Supka

Mailing Address 1408 Stocton Rd

City

Jenkintown

State

PA

Zip Code

19046-1131

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

09 / 30 / 2014

Transaction ID : AD60EEC93216B47A5B90

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Todd Tharp

Mailing Address 6209 Beaumont

City

La Jolla

State

CA

Zip Code

92037-4838

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A0CE4F47A977549188CC

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

833.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Gregory HORACE Tobey

Mailing Address 6 De Soto Way

City

Trabuco Canyon

State

CA

Zip Code

92679-3600

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1416.61

Date of Receipt

09 / 30 / 2014

Transaction ID : A2557C6916E654D18BCA

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Thomas MICHAEL Tye

Mailing Address 1154 Stirling Dr

City

Danville

State

KY

Zip Code

40422-2710

FEC ID number of contributing
federal political committee.

C

Name of Employer

Tye Financial group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A26E8B51B73284177B38

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Joan MARIE Valenti

Mailing Address 6 Morgan Pl

City

Unionville

State

CT

Zip Code

06085-1178

FEC ID number of contributing
federal political committee.

C

Name of Employer

Valenti Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A06578A7ACBD84481A0D

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

308.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Steven LEE Van Houten

Mailing Address 1428 Calle Christopher

City

Encinitas

State

CA

Zip Code

92024-5520

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.97

Date of Receipt

09 / 30 / 2014

Transaction ID : AE59BED818A144499A00

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Kenneth WILLIAM Van Leeuwen

Mailing Address 1010 Barclay Blvd

City

Princeton

State

NJ

Zip Code

08540-5883

FEC ID number of contributing
federal political committee.

C

Name of Employer

Van Leeuwen & Company

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2141.13

Date of Receipt

09 / 30 / 2014

Transaction ID : A04B739DF2554498BAF4

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Judy ANN Vanarsdale

Mailing Address 22216 W Chestnut Ridge Rd

City

Kildeer

State

IL

Zip Code

60047-3052

FEC ID number of contributing
federal political committee.

C

Name of Employer

enRich Private Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

09 / 30 / 2014

Transaction ID : A6800E0E5C4EE4959B23

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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708.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Sandeep Varma

Mailing Address 688 Camino El Dorado

City

Encinitas

State

CA

Zip Code

92024-3822

FEC ID number of contributing
federal political committee.

C

Name of Employer

ATS Advanced Trustee Strategies

Occupation

ATS Wealth Strategist

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

09 / 30 / 2014

Transaction ID : A571F03FD9C3B4C1DBAA

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Scott Vock

Mailing Address 2503 Roswell Avenue

City

Charlotte

State

NC

Zip Code

28209-1659

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Govn, Risk & Compliance

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 26 / 2014

Transaction ID : AD612F64FA36F4D1FA92

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Wayne Von Borstel

Mailing Address 1603 Morton St

City

The Dalles

State

OR

Zip Code

97058-4006

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

financial planner

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A0448BD85711E42D78A5

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 OF 51
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Wayne DAVID Wagner

Mailing Address 8 Leap Dr

City State Zip Code
 Pennsville NJ 08070-1117

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Vizionary Wealth Management

Occupation
 President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

09 / 30 / 2014

Transaction ID : A29D7409B65284DE5822

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Michael JAMES Ward

Mailing Address 1491 Berne-Altamont Rd

City State Zip Code
 Albany NY 12209-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial LLC

Occupation
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A602DC7811585448E8AF

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jeffrey Warren

Mailing Address 7137 Sherbourne Lane

City State Zip Code
 San Diego CA 92129-4655

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial LLC

Occupation
 SVP, Service

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

540.00

Date of Receipt

09 / 26 / 2014

Transaction ID : ABEB0E297A9264D7CB3F

Amount of Each Receipt this Period

60.00

Payroll Deduction: \$30.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

351.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 OF 51
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Stephen H Wedel

Mailing Address 13350 Buckland Hall Rd

City State Zip Code
 Saint Louis MO 63131-1214

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

09 / 30 / 2014

Transaction ID : A437D08BF5F4B4CBD98B

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Michael C. F. Williams

Mailing Address 15 Ivy Ln

City State Zip Code
 Chester Springs PA 19425-3316

FEC ID number of contributing
federal political committee.

C

Name of Employer

First Financial Networks. Inc

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2833.22

Date of Receipt

09 / 30 / 2014

Transaction ID : A0B37D8B48D1D41F4B16

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Andrew WILLIAM Williams

Mailing Address 1691 Burris Dr

City State Zip Code
 El Cajon CA 92019-3596

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 30 / 2014

Transaction ID : A22A4268486BD4593BC8

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

416.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Warren DAVID Wise

Mailing Address 437 Wedgewood Ct

City State Zip Code
Eden NC 27288-2841

FEC ID number of contributing
federal political committee.

C

Name of Employer
Market St. Wealth Management Group

Occupation
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

09 / 30 / 2014

Transaction ID : AC2D9258365864835B51

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Cary ANN Wong

Mailing Address 23015 E Colt Ln

City State Zip Code
Liberty Lake WA 99019-8520

FEC ID number of contributing
federal political committee.

C

Name of Employer
Wong Financial Services, Inc.

Occupation
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.90

Date of Receipt

09 / 30 / 2014

Transaction ID : A1422145FCDA94E75AAB

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Gregory M. Woods

Mailing Address 116 Pembroke Street

City State Zip Code
Boston MA 02118-1208

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial, LLC

Occupation
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

09 / 26 / 2014

Transaction ID : A3B3F243876D64235A0D

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

375.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert ALAN Young

Mailing Address 14504 County Road 96B

City

Woodland

State

CA

Zip Code

95695-9221

FEC ID number of contributing
federal political committee.

C

Name of Employer

Main Street Wealth Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A7319558B37DC4019A2F

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Mark G Zitzelsberger

Mailing Address 1048 Clubhouse Dr

City

Weidman

State

MI

Zip Code

48893-9339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Total Investment Planners, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : AFE094FD41F454732A0C

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

17521.48

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

LPL Financial LLC Political Action Committee (LPL PAC)

A. Aristotle International

Date of Disbursement

Transaction ID : B26CE35E7E2674C9384B

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

Amount of Each Disbursement this Period

120.00

B.

Date of Disbursement

Amount of Each Disbursement this Period

Category/
Type

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

C.

Date of Disbursement

Amount of Each Disbursement this Period

Category/
Type

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CAPUANO FOR CONGRESS COMMITTEE

Mailing Address PO BOX 440305

City	State	Zip Code
SOMERVILLE	MA	02144

Purpose of Disbursement
MA US House

Candidate Name

Rep. Michael E. CapuanoOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: MA District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	16	/	2014

Transaction ID : BD4CD5D3C0E83412D979

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. FRIENDS OF PATRICK MURPHY

Mailing Address 4521 PGA BLVD. #412

City	State	Zip Code
Palm Beach Gardens	FL	33418-3997

Purpose of Disbursement
FL US House

Candidate Name

Rep. Patrick E. MurphyOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: FL District: 18

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	15	/	2014

Transaction ID : B770CF353EFE64B32871

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. HUIZENGA FOR CONGRESS

Mailing Address 441 WILLIAMS COURT

City	State	Zip Code
Zeeland	MI	49464-1509

Purpose of Disbursement
MI US House

Candidate Name

Rep. Bill P. HuizengaOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: MI District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	30	/	2014

Transaction ID : B5F99B20621E34472A07

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4500.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. KAINE FOR VIRGINIA

Mailing Address 20 WEST MAPLE STREET

City	State	Zip Code
Alexandria	VA	22301-2604

Purpose of Disbursement
VA US Senate

Candidate Name

Sen. Tim M. KaineOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2018
☒ Primary ☐ General
☐ Other (specify) ▼

State: VA District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		15		2014

Transaction ID : BC3081F93CD614AC19CB

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

B. MCHENRY FOR CONGRESS

Mailing Address PO BOX 1406

City	State	Zip Code
HICKORY	NC	28603

Purpose of Disbursement
VOID - NC US House

Candidate Name

Rep. Patrick T. McHenryOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: NC District: 10

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		30		2014

Transaction ID : BC2A15B3292984728BBC

Amount of Each Disbursement this Period

-1000.00

Full Name (Last, First, Middle Initial)

C. MORAN FOR KANSAS

Mailing Address PO BOX 1151

City	State	Zip Code
Hays	KS	67601-1151

Purpose of Disbursement
KS US Senate

Candidate Name

Sen. Jerry MoranOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: KS District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		15		2014

Transaction ID : BEDD19BA0454D478CDBD6

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00

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SCHEDULE B (FEC Form 3X) **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
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FOR LINE NUMBER:
(check only one)

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☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. PETERS FOR CONGRESS

Mailing Address 410 First Street, SE
Suite 310

City Washington State DC Zip Code 20003-1819

Purpose of Disbursement
MI US House

Candidate Name

Rep. Gary C. Peters

Office Sought: ☐ House
☒ Senate
☐ President

Disbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: MI District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : B7271A6C63B974B118F6

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. SCALISE FOR CONGRESS

Mailing Address PO BOX 23219

City New Orleans State LA Zip Code 70183-0219

Purpose of Disbursement
LA US House

Candidate Name

Rep. Steve Scalise

Office Sought: ☒ House
☐ Senate
☐ President

Disbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: LA District: 01

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
09 / 16 / 2014

Transaction ID : BA32F2AAB88EB4824989

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. SCOTT GARRETT FOR CONGRESS

Mailing Address P.O. Box 905

City Newton State NJ Zip Code 07860

Purpose of Disbursement
NJ US House

Candidate Name

Rep. Scott Garrett

Office Sought: ☒ House
☐ Senate
☐ President

Disbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: NJ District: 05

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
09 / 16 / 2014

Transaction ID : B8344574B3BA141FCB4D

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

4500.00

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

LPL Financial LLC Political Action Committee (LPL PAC)

A. SCOTT GARRETT FOR CONGRESS

Date of Disbursement

Transaction ID : B0A2F63D820A84C85AF7

Amount of Each Disbursement this Period

Category/
Type

Rep. Scott Garrett

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: NJ District: 05

Full Name (Last, First, Middle Initial)

B. STIVERS FOR CONGRESS

Date of Disbursement

MM / DD / YYYY

Transaction ID : B98D5D5190D8E432D9AF

Amount of Each Disbursement this Period

Category/
Type

Rep. Steve E. Stivers

Disbursement For: 2014

☐ Primary ☒ General
☐ Other (specify) ▼

State: OH District: 15

Full Name (Last, First, Middle Initial)

C. TEAM GRAHAM INC

Date of Disbursement

M M / D D / Y Y Y Y
09 16 2014

Transaction ID : B2578DEEB936E4CE1AB5

Amount of Each Disbursement this Period

Category/
Type

Sen. Lindsey Olin Graham

Disbursement For: 2014

Primary ☒ General
Other (specify) ▼

State: SC District:

SUBTOTAL of Disbursements This Page (optional).....

5500.00

TOTAL This Period (last page this line number only).....

18000.00