



FEDERAL ELECTION COMMISSION

WASHINGTON, D.C. 20463

RQ-3

April 6, 2000

Maura R. McLaughlin, Treasurer
New York Life Insurance Company
Political Action Committee
51 Madison Avenue (910)
New York, NY 10010

Identification Number: C00158881

Reference: Year End Report (7/1/99-12/31/99)

Dear Ms. McLaughlin:

This letter is to inform you that as of April 5, 2000 the Commission has not received your response to our request for additional information, dated March 15, 2000. This notice requests information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to this request (copy enclosed).

The Commission is in receipt of your 2000 March Monthly and 1997 Amended Mid-Year Reports dated March 15 and 29, 2000, respectively; however, they do not address the issues in our letter. If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Edward Ryan on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or our local number (202) 694-1130.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson".

John D. Gibson
Assistant Staff Director
Reports Analysis Division

Enclosure



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Maura R. McLaughlin, Treasurer
New York Life Insurance Company
Political Action Committee
51 Madison Avenue (910)
New York, NY 10010

MAR 15 2000

Identification Number: C00158881

Reference: Year End Report (7/1/99-12/31/99)

Dear Ms. McLaughlin:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule B of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) precludes a multicandidate committee and its affiliates from making a contribution to a candidate for federal office in excess of \$5,000 per election.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an excessive contribution, you should notify the recipient and request a refund of the amount in excess of \$5,000 and/or notify the recipient in writing of your redesignation of the contribution. In the best interest of your committee, all refunds and redesignations should be made within sixty days of the treasurer's receipt of the contribution(s).

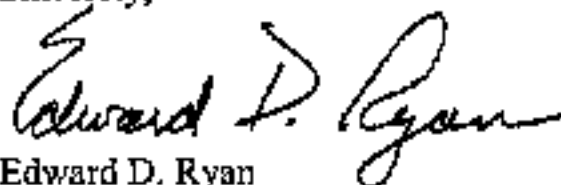
Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund request sent to the recipient committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received. Any redesignations should be disclosed as memo entries on Schedule B supporting Line 23 of the report covering the period during which the redesignation is made. 11 CFR §110.1(b)

Although the Commission may take further legal action regarding the excessive contribution(s), your prompt action in obtaining a refund and/or redesignating the contribution(s) will be taken into consideration.

-Your report includes a computer produced format(s) of Schedule A and B which is different from the format approved by the Commission previously. Please submit a separate sample format with a cover letter requesting approval of the new format. For future filings, please use only FEC approved formats.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division). My local number is (202) 694-1130.

Sincerely,

A handwritten signature in black ink, appearing to read "Edward D. Ryan". The signature is fluid and cursive, with a large initial "E" and "R".

Edward D. Ryan
Reports Analyst
Reports Analysis Division

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 2 OF 8
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)

New York Life Insurance Company Political Action Committee

A. Full Name, Mailing Address and ZIP Code Democratic National Committee 430 South Capitol Street - Third Floor Washington, DC 20003	Purpose of Disbursement Party Committee Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1999	Date (month, day, year) 07/15/99	Amount of Each Disbursement This Period 15,000.00
B. Full Name, Mailing Address and ZIP Code New Democrat Network 501 Capitol Court, NE - Suite #200 Washington, DC 20002	Purpose of Disbursement New Democrat Network Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1999	Date (month, day, year) 09/20/99	Amount of Each Disbursement This Period 1,000.00
C. Full Name, Mailing Address and ZIP Code Republican Senate Council National Republican Senatorial Committee 425 Second Street, NE Washington, DC 20002	Purpose of Disbursement Party Committee; NRSC Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1999	Date (month, day, year) 11/11/99	Amount of Each Disbursement This Period 10,000.00
D. Full Name, Mailing Address and ZIP Code Democratic Senatorial Campaign Committee 430 South Capitol Street, S.E. Washington, DC 20003	Purpose of Disbursement Party Committee; DSCC Disbursement for: ☐ Primary ☐ General ☒ Other (specify) 1999	Date (month, day, year) 12/07/99	Amount of Each Disbursement This Period 10,000.00
E. Full Name, Mailing Address and ZIP Code Roth Senate Committee P.O. Box #105 Wilmington, DE 19899	Purpose of Disbursement William V. Roth, SENATE DE Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 08/12/99	Amount of Each Disbursement This Period 1,000.00 SDC
F. Full Name, Mailing Address and ZIP Code Roth Senate Committee P.O. Box #105 Wilmington, DE 19899	Purpose of Disbursement William V. Roth, SENATE DE Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 09/20/99	Amount of Each Disbursement This Period 2,000.00 SDC
G. Full Name, Mailing Address and ZIP Code Friends of Clay Shaw 760 Coral Way FL Lauderdale, FL 33301	Purpose of Disbursement E. Clay Shaw, HOUSE 22nd FL Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 09/20/99	Amount of Each Disbursement This Period 1,000.00
H. Full Name, Mailing Address and ZIP Code The Coverdell Good Government Committee 3091 Maple Drive - #200 Atlanta, GA 30305	Purpose of Disbursement Paul D. Coverdell, SENATE GA Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2004	Date (month, day, year) 09/20/99	Amount of Each Disbursement This Period 1,000.00
I. Full Name, Mailing Address and ZIP Code Mac Collins for Congress P.O. Box 35 Jonesboro, GA 30237	Purpose of Disbursement Michael A. Collins, HOUSE 3rd GA Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 10/19/99	Amount of Each Disbursement This Period 500.00

SUBTOTAL of Disbursements This Page (optional)

41,500.00

TOTAL This Period (last page this line number only)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

Page 1 of 1

For Line Number: 23

Contribute to Fed. Cands., etc.

NAME OF COMMITTEE: New York Life Insurance Co. Political Action Committee

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soliciting contributions or for commercial purposes, other than using the name and address of any political
committee to solicit contributions from such committee.

For the period 11/24/98 thru 12/31/98

Name and Address	Purpose of Disbursement, Election	Date	Amount
Friends of Kent Conrad P.O. Box 8612 Bismarck, ND 58501	Contribution for Kent Conrad (ND-D) Primary election 2000	12/22/98	\$2,000.00

YTD: \$2,000.00

Re-Elect McGovern Committee - '98 Election
P.O. Box #60605
Worcester, MA 01606

Contribution for James McGovern (MA-J-D)	12/04/98	\$500.00
Bank retirement 1998		

YTD: \$500.00

Agile Senate Committee
P.O. Box 1105
Wilmington, DE 19899

Contribution for William V. Roth (DE-R)	12/04/98	\$1,000.00
Primary election 2000		

YTD: \$5,000.00

EOR

Subtotal this page:

\$2,500.00

Total this period (last page this line number only):

\$3,500.00

SCHEDULE A

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

Page 4 of 4
For Line Number: 23

Contribs. to Fed. Cands., etc.

NAME OF COMMITTEE: New York Life Insurance Co. Political Action Committee

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For the period 08/01/98 thru 08/31/98

Name and Address	Purpose of Disbursement, Election	Date	Amount
Riley for Congress P.O. Box #108 Ashland, AL 36101	Contribution for Bob Riley (AL-3-R)	08/19/98	\$500.00
	General election 1998		

TID: \$500.00

Roth Senate Committee
P.O. Box #108
Wilmington, DE 19899

Contribution for William V. Roth (DE-R)

08/04/98 \$3,000.00

Primary election 2000

Roth Senate Committee
P.O. Box #108
Wilmington, DE 19899

Contribution for William V. Roth (DE-R)

08/04/98 \$1,000.00

General election 2000

TID: \$4,000.00

Sweeney for Congress Committee
419 Clifton Corporate Park
Suite 145N
Clifton Park, NY 12065

Contribution for JOHN Sweeney (NY-13-R)

08/04/98 \$2,000.00

Primary election 1998

TID: \$1,000.00

Committee to Re-elect Congressman Ed Towns
P.O. Box #48193
Brooklyn, NY 11206

Contribution for Edolphus Towns (NY-10-D)

08/04/98 \$1,000.00

Primary election 1998

TID: \$2,500.00

\$4,500.00

Subtotal this page:

Total this period (last page this line number only):

\$20,500.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
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Detailed Summary PagePAGE 4 OF 8
FOR LINE NUMBER 23

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NAME OF COMMITTEE (in full)

New York Life Insurance Company Political Action Committee

A. Full Name, Mailing Address and ZIP Code Burr for Congress Committee P.O. Box 5732 Winston-Salem, NC 27113	Purpose of Disbursement Richard M. Burr, HOUSE 5th NC Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 08/12/99	Amount of Each Disbursement This Period 1,000.00
B. Full Name, Mailing Address and ZIP Code Friends of Kent Conrad Box 812 Bismarck, ND 58502	Purpose of Disbursement Kent Conrad, SENATE ND Disbursement for: ☐ Primary ☒ General ☐ Other (specify) 2000	Date (month, day, year) 08/20/99	Amount of Each Disbursement This Period 2,000.00
C. Full Name, Mailing Address and ZIP Code Earl Pomeroy for Congress Committee P.O. Box #748 Bismarck, ND 58502	Purpose of Disbursement Earl Pomeroy, HOUSE ND-AT LARGE Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 11/01/99	Amount of Each Disbursement This Period 4,000.00 Ede
D. Full Name, Mailing Address and ZIP Code Friends of Kent Conrad Box 812 Bismarck, ND 58502	Purpose of Disbursement Kent Conrad, SENATE ND Disbursement for: ☐ Primary ☒ General ☐ Other (specify) 2000	Date (month, day, year) 11/02/99	Amount of Each Disbursement This Period 1,000.00
E. Full Name, Mailing Address and ZIP Code Whitman for Senate 3131 Princeton Pike - Bldg. #4, Lawrenceville, NJ 08648	Purpose of Disbursement Christine Todd Whitman, SENATE NJ Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 08/12/99	Amount of Each Disbursement This Period 1,000.00
F. Full Name, Mailing Address and ZIP Code Pallone for Congress P.O. Box 3176 Long Branch, NJ 07740	Purpose of Disbursement Frank Pallone, HOUSE 6th NJ Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 09/17/99	Amount of Each Disbursement This Period 500.00
G. Full Name, Mailing Address and ZIP Code The Committee to Re-elect Marge Roukema P.O. Box 625 Ridgewood, NJ 07451	Purpose of Disbursement Marge Roukema, HOUSE 5th NJ Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 09/20/99	Amount of Each Disbursement This Period 500.00
H. Full Name, Mailing Address and ZIP Code Heather Wilson for Congress P.O. Box #14070 Albuquerque, NM 87191-4070	Purpose of Disbursement Heather Wilson, HOUSE 1st NM Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 09/30/99	Amount of Each Disbursement This Period 1,000.00
I. Full Name, Mailing Address and ZIP Code McNulty for Congress P.O. Box #1560 Green Island, NY 12183	Purpose of Disbursement Michael McNulty, House, 21st NY Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 07/08/99	Amount of Each Disbursement This Period 1,000.00

SUBTOTAL of Disbursements This Page (optional)

12,000.00

TOTAL This Period (last page this line number only)

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
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Detailed Summary PagePAGE 6 OF 12
FOR LINE NUMBER 23

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NAME OF COMMITTEE (in full)

New York Life Insurance Company Political Action Committee

AMENDED 1999 MID YEAR REPORT - END

A. Full Name, Mailing Address and ZIP Code Friends of Conrad Burns P.O. Box 3311 Billings, MT 59103	Purpose of Disbursement Conrad Burns, SENATE MT Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 03/25/99	Amount of Each Disbursement This Period 1,000.00
B. Full Name, Mailing Address and ZIP Code Rick Hill for Congress P.O. Box 1256 Helena, MT 59624-1256	Purpose of Disbursement Rick Hill, HOUSE MT-AT LARGE Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 03/25/99	Amount of Each Disbursement This Period 500.00
C. Full Name, Mailing Address and ZIP Code Sue Myrick for Congress 505 North Poplar Street Charlotte, NC 28202	Purpose of Disbursement Sue Myrick, HOUSE 9th NC Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 05/20/98	Amount of Each Disbursement This Period 500.00
D. Full Name, Mailing Address and ZIP Code Earl Pomeroy for Congress P.O. Box 746 Bismarck, ND 58502	Purpose of Disbursement Earl Pomeroy, HOUSE ND-AT LARGE Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 03/09/99	Amount of Each Disbursement This Period 1,000.00 SDE
E. Full Name, Mailing Address and ZIP Code Friends of Kent Conrad P.O. Box 812 Bismarck, ND 58502	Purpose of Disbursement Kent Conrad, SENATE ND Disbursement for: ☐ Primary ☒ General ☐ Other (specify) 2000	Date (month, day, year) 03/09/99	Amount of Each Disbursement This Period 1,000.00
F. Full Name, Mailing Address and ZIP Code Friends of Kent Conrad P.O. Box 812 Bismarck, ND 58502	Purpose of Disbursement Kent Conrad, SENATE ND Disbursement for: ☐ Primary ☒ General ☐ Other (specify) 2000	Date (month, day, year) 04/28/99	Amount of Each Disbursement This Period 1,000.00
G. Full Name, Mailing Address and ZIP Code Earl Pomeroy for Congress P.O. Box 746 Bismarck, ND 58502	Purpose of Disbursement Earl Pomeroy, HOUSE ND-AT LARGE Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2000	Date (month, day, year) 06/18/98	Amount of Each Disbursement This Period 1,000.00
H. Full Name, Mailing Address and ZIP Code Hagel for Nebraska P.O. Box 241497 Omaha, NE 68124	Purpose of Disbursement Chuck Hagel, SENATE NE Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2002	Date (month, day, year) 04/28/99	Amount of Each Disbursement This Period 1,000.00
I. Full Name, Mailing Address and ZIP Code Torricelli for U.S. Senate, Inc. P.O. Box 1611 South Hackensack, NJ 07606	Purpose of Disbursement Robert G. Torricelli, SENATE NJ Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 2002	Date (month, day, year) 03/09/99	Amount of Each Disbursement This Period 1,000.00

8,000.00

SUBTOTAL of Disbursements This Page (optional)

TOTAL This Period (last page this line number only)

