Image# 15970273933 PAGE 1 / 22

### **FEC** FORM 3X

## **REPORT OF RECEIPTS** AND DISBURSEMENTS For Other Than An Authorized Committee

r Grillia Gri	or Other Than A	n Authorized	Committee			Office Use Only
NAME OF TOOMMITTEE (in full)	YPE OR PRINT ▼		ample: If typir or the lines.	g, type	12FE4M5	
LPL Financial LLC Polit	ical Action Con	nmittee (LF	PL PAC)			
ADDRESS (number and street)	75 State Street					
Check if different	24th Floor					
than previously reported. (ACC)	Boston				MA L	02109
2. FEC IDENTIFICATION NUM	MBER ▼	CITY 🛦		5	STATE 🛦	ZIP CODE ▲
C C00486217		3. IS THIS REPORT	$\sim$	IEW N) <b>OR</b>	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)		May 20 (M5)	Aug	20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	200 0	Mar 20 (M3) Apr 20 (M4)		un 20 (M6) ul 20 (M7)	-	20 (M9) Dec 20 (M12) (Non-Election Year Only)  20 (M10) Jan 31 (YE)
April 15 Quarterly Report (Q1	) (2)	Apr 20 (W4)			. —	
July 15 Quarterly Report (Q2	(c) 12-Day PRE-Elect Report for		Primary (12P Convention (		General (	
October 15 Quarterly Report (Q3						
January 31 Year-End Report (YE	(i)	Election on	M = M /	D D /	Y 1 Y 1 Y 1 Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Ele		General (30G	i)	Runoff (3	0R) Special (30S)
Termination Report (TER)	Report for	Election on	M = M /	D = D /	Y = Y = Y = Y	in the State of
5. Covering Period 01	/ D D / Y	2015	through	M M M	/ 31 /	2015
I certify that I have examined this  Type or Print Name of Treasurer	Report and to the	best of my kno	wledge and b	elief it is tru	e, correct and	I complete.
Signature of Treasurer Trap K			[Electronically	Filed] D	ate 02	/ DDD / YDY YDY 2015
NOTE: Submission of false, erroned	ous, or incomplete info	ormation may su	ubject the pers	on signing th	is Report to th	e penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### LPL Financial LLC Political Action Committee (LPL PAC)

01 2015 Report Covering the Period: 2015 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 287741.99 January 1, 2015 (b) Cash on Hand at 287741.99 Beginning of Reporting Period..... 19622.06 19622.06 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 307364.05 307364.05 6(a) and 6(c) for Column B)..... 22500.00 22500.00 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 284864.05 284864.05 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on

×

Schedule C and/or Schedule D) .....

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

0.00

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### LPL Financial LLC Political Action Committee (LPL PAC)

		: 01 31 2015			
I. Receipts	COLUMN B Calendar Year-to-Date				
	9549 20	9549.20			
(i) Itemized (use Schedule A)	3343.20	0010120			
(ii) Unitemized	10072.86	10072.86			
Lines 11(a)(i) and (ii)	19622.06	19622.06			
Political Party Committees	0.00	0.00			
Other Political Committees (such as PACs)	0.00	0.00			
Total Contributions (add Lines					
Totals to Line 33, page 5)▶	19622.06	19622.06			
	0.00	0.00			
Loans Received	0.00	0.00			
a Bararana Barainad	0.00	0.00			
· ·	0.00	0.00			
· · · · · · · · · · · · · · · · · · ·	0.00	0.00			
	7	7 7			
	0.00	0.00			
	3.00	7 7			
·	0.00	0.00			
· · · · · · · · · · · · · · · · · · ·	0.00	0.00			
	0.00	0.00			
(	7	, , ,			
Levin Funds (from Schedule H5)	0.00	0.00			
Total Transfers (add 18(a) and 18(b))	0.00	0.00			
	Intributions (other than loans) From: Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	Intributions (other than loans) From: Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)			

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	Total This Period					
. Operating Expenditures: — (a) Allocated Federal/Non-Federal		Calendar Year-to-Date				
Activity (from Schedule H4)						
(i) Federal Share	0.00	0.00				
/// N. T. I. I. O.	0.00	0.00				
(ii) Non-Federal Share	0.00	0.00				
(b) Other Federal Operating	0.00	0.00				
Expenditures(c) Total Operating Expenditures	0.00	0.00				
(add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00				
Transfers to Affiliated/Other Party						
Committees	0.00	0.00				
Contributions to Federal Candidates/Committees						
and Other Political Committees	22500.00	22500.00				
Independent Expenditures						
(use Schedule E) Coordinated Party Expenditures	0.00	0.00				
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00				
(use Schedule F)	3.00	0.00				
Loan Repayments Made	0.00	0.00				
Loan Hopaymonia Wade						
Loans Made	0.00	0.00				
Refunds of Contributions To: (a) Individuals/Persons Other						
Than Political Committees	0.00	0.00				
F						
(b) Political Party Committees	0.00	0.00				
(c) Other Political Committees		0.00				
(such as PACs)	0.00	0.00				
(d) Total Contribution Refunds						
(add Lines 28(a), (b), and (c))▶	0.00	0.00				
(444 21100 20(4), (5), 414 (6))	7 7	7 7				
Other Disbursements	0.00	0.00				
_		7				
Federal Election Activity (2 U.S.C. §431(20))						
(a) Allocated Federal Election Activity						
(from Schedule H6)	0.00	0.00				
(i) Federal Share	0.00	0.00				
(ii) "Levin" Share	0.00	0.00				
(b) Federal Election Activity Paid Entirely	7 7 7					
With Federal Funds	0.00	0.00				
(c) Total Federal Election Activity (add						
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00				
_	, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,				
Total Disbursements (add Lines 21(c), 22,						
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	22500.00	22500.00				
Total Federal Disbursements						
(subtract Line 21(a)(ii) and Line 30(a)(ii)	22500.00	22500.00				
from Line 31)	22300.00	22300.00				

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures				
3. Total Contributions (other than loans) (from Line 11(d), page 3)	19622.06	19622.06		
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00		
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19622.06	19622.06		
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00		
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00		
3. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00		

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	6	OF	22	
(check only one)									
	X	11a		11b		11c	12		
		13		14		15	16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using the	e name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Act	ion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial)  Mimi Bock  Mailing Address 6053 Seacrest View Road  City San Diego  FEC ID number of contributing federal political committee.  Name of Employer  LPL Financial LLC  Receipt For:  Primary  General  Other (specify)	State Zip Code CA 92121-4370  C  Occupation MD, Client Exprnce & Training  Aggregate Year-to-Date ▼	Date of Receipt  O1 16 2015  Transaction ID: ABB673EEA57C944B1AC  Amount of Each Receipt this Period  208.34  Payroll Deduction: \$104.17/Bi-Monthly
Full Name (Last, First, Middle Initial)  MICHAEL S BRADY  Mailing Address 356 DUPONT ST.  City Philadelphia  FEC ID number of contributing federal political committee.  Name of Employer LPL Financial  Receipt For: Primary Other (specify)	State Zip Code PA 19128-4714  C  Occupation Financial Consultant  Aggregate Year-to-Date ▼  250.00	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial)  KERRICK WALTER BUBB  Mailing Address 650 SOUTH LA SALLE STR  City Redlands  FEC ID number of contributing federal political committee.  Name of Employer  KWB WEALTH MANAGERS GROUP  Receipt For:  Primary General Other (specify)	State Zip Code CA 92374-6443  C  Occupation Wealth Manager  Aggregate Year-to-Date ▼  416.00	Date of Receipt  O1 31 2015  Transaction ID : AE94BDFAE0AAA4D30BF  Amount of Each Receipt this Period  416.00  Payroll Deduction: \$208.00/Bi-Monthly
SUBTOTAL of Receipts This Page (optional)	<u> </u>	874.34
TOTAL This Period (last page this line number	· only)	

FOR LINE NUMBER: **PAGE** Use separate schedule(s) (check only one) X 11a 11b 11c

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) R. CRAIG BYRD Date of Receipt Mailing Address 1723 SE 270TH PLACE 2015 31 City Zip Code State Transaction ID: AA5819E9AECDF4241A9B WA Camas 98607-8585 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Payroll Deduction: \$208.33/Bi-Monthly Name of Employer Occupation Financial Advisor Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) **B.** KELLY PETER CAMPBELL Date of Receipt Mailing Address 11727 RIVER DRIVE 01 31 2015 City State Zip Code Transaction ID: A46A46BC6B88846D485B VA Lorton 22079-4104 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Payroll Deduction: \$208.33/Bi-Monthly Name of Employer Occupation Campbell Wealth Management CEO Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) c. RICHARD CALVERT DONAHUE, CFP Date of Receipt Mailing Address 810 W PACIFIC VIEW DRIVE 31 2015 City State Zip Code Transaction ID: A201F3A0CCD21493C877 WA Bellingham 98229-2180 Amount of Each Receipt this Period FEC ID number of contributing 208.32 С federal political committee. Payroll Deduction: \$104.16/Bi-Monthly Name of Employer Occupation Financial Advisor Asset Advisors, LLC Receipt For: Aggregate Year-to-Date ▼ Primary General 208.32 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

9

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1041.64

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	8	OF	22	
(check only one)									
[	X	11a		11b		11c	12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

	the name and address of any political committee to	
NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Ac	tion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial)  ROBERT L ERRICO, CFP AIF  Mailing Address 10 VILLENEUVE		Date of Receipt
City	State Zip Code	01 31 2015 Transaction ID : A818AD116F7F940AD96E
Newport Beach	CA 92657-1023	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	Payroll Deduction: \$125.00/Bi-Monthly
Lpl Financial Llc	Financial Advisor	]
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial)  MARC STUART FREEDMAN, CF  Mailing Address 119 KARA DRIVE	P	Date of Receipt
City	State Zip Code	01 31 2015 Transaction ID : A38B9DE317664493ABCA
North Andover	MA 01845-4834	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	333.32
Name of Employer	Occupation	Payroll Deduction: \$166.66/Bi-Monthly
Freedman Financial	President & CEO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  333.32	
Full Name (Last, First, Middle Initial)  WILLIAM E HAMM		Date of Receipt
Mailing Address 5009 SOUTH THE RIVIERA	A ST	01 31 2015
City Tampa	State Zip Code FL 33609-3612	Transaction ID : A2B477D055BD04D688E8  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.66
Name of Employer	Occupation CEO	Payroll Deduction: \$208.33/Bi-Monthly
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General  Other (specify) ▼	416.66	
SUBTOTAL of Receipts This Page (optional).		999.98
TOTAL This Period (last page this line number	er only)	

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	9	OF	22	
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or for commercial purposes, other than using the	e name and address of any political committee to	solicit contributions from such committee.				
NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Acti	on Committee (LPL PAC)					
Full Name (Last, First, Middle Initial)  Mark Helliker  Mailing Address 700 W Harbor Drive  City  San Diego	Date of Receipt    M = M					
FEC ID number of contributing federal political committee.  Name of Employer  LPL Financial LLC  Receipt For:  Primary General  Other (specify)	CA 92101-7753  C  Occupation  MD, Broker Dealer Support Svcs  Aggregate Year-to-Date ▼  300.00	Amount of Each Receipt this Period  300.00  Payroll Deduction: \$150.00/Bi-Monthly				
Full Name (Last, First, Middle Initial)  Andy Kalbaugh  Mailing Address 6713 Seton House Lane  City Charlotte  FEC ID number of contributing federal political committee.  Name of Employer LPL Financial LLC  Receipt For: Primary General Other (specify)	State Zip Code NC 28277-4519  C  Occupation MD, Institution Services  Aggregate Year-to-Date ▼  208.34	Date of Receipt  O1 16 2015  Transaction ID: A2CBE070C575A4B758C  Amount of Each Receipt this Period  208.34  Payroll Deduction: \$104.17/Bi-Monthly				
Full Name (Last, First, Middle Initial) SUSAN CAROL KAPLAN  Mailing Address 157 OTIS ST.  City West Newton  FEC ID number of contributing federal political committee.  Name of Employer LPL Financial LLC  Receipt For: Primary General Other (specify)	State Zip Code MA 02465-2522  C Occupation Advisor Aggregate Year-to-Date ▼	Date of Receipt    M				
SUBTOTAL of Receipts This Page (optional)	·····	925.00				
TOTAL This Period (last page this line number	only)					

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) Brent Korte Date of Receipt Mailing Address 49 Aquavista Way 2015 16 City State Zip Code Transaction ID: A410A97D317184BB9B58 CA San Francisco 94131-1232 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Payroll Deduction: \$208.33/Bi-Monthly Name of Employer Occupation LPL Financial, LLC SVP, Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. DAVID BLANDIN LA POINTE Date of Receipt Mailing Address 37919 LUNA DRIVE 01 31 2015 City State Zip Code Transaction ID: A08EB589E823343E8BCB CA Temecula 92592-9053 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Payroll Deduction: \$208.33/Bi-Monthly Name of Employer Occupation La Pointe Wealth Management Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) c. Tom Lux Date of Receipt Mailing Address 8875 Costa Verde Blvd Apt 912 01 16 2015 Unit B203 City State Zip Code Transaction ID: A06137EF8022144DDBC8 CA San Diego 92122-7683 Amount of Each Receipt this Period FEC ID number of contributing 208.34 С federal political committee. Payroll Deduction: \$104.17/Bi-Monthly Name of Employer Occupation EVP, Finance LPL Financial LLC Receipt For: Aggregate Year-to-Date ▼ Primary General 208.34 Other (specify) 1041.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOI	R LINE	NU	<b>MBER</b>	:	PAGE	: <i>'</i>	11 OF	=	22
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for each category of the Detailed Summary Page	×	11a		11b		11c		12		
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Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any personame and address of any political committee to	
NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Action	on Committee (LPL PAC)	
Full Name (Last, First, Middle Initial)  LAILA SOBHI MARSHALL-PENCE  Mailing Address 10572 VILLA DEL CERRO		Date of Receipt
City Santa Ana  FEC ID number of contributing federal political committee.  Name of Employer Pence Wealth Management Receipt For:	State Zip Code CA 92705-1486  C  Occupation President  Aggregate Year-to-Date ▼	01 31 2015  Transaction ID : A1DCE8C71823F4B13B79  Amount of Each Receipt this Period  416.66  Payroll Deduction: \$208.33/Bi-Monthly
Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial)	416.66	
THEODORE ROCCO MASSARO, C  Mailing Address 36 HARVEST LANE  City  Medford  FEC ID number of contributing federal political committee.  Name of Employer  M Financial Planning Services,Inc.  Receipt For:  Primary  General  Other (specify)   Full Name (Last, First, Middle Initial)	State Zip Code NJ 08055-8450  C  Occupation Financial Advisor  Aggregate Year-to-Date  250.00	Date of Receipt    Mark
City Tustin  FEC ID number of contributing federal political committee.  Name of Employer  AFP LPL  Receipt For:  Primary General  Other (specify)   Other (specify)	State Zip Code CA 92780-3211  C  Occupation Registered Principal  Aggregate Year-to-Date ▼  250.00	Date of Receipt    Mark
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	916.66
TOTAL This Period (last page this line number	only)	

	FOR LINE NUMBER:	PAGE	12 OF	22
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
,	13 14	15	16	17

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NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Ac	tion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial)  A. EDWARD BRUCE MILLER  Mailing Address 1721 BARDSTOWN RD		Date of Receipt
		01 31 2015
Charlette	State Zip Code	Transaction ID : A7ADFFFA62AE24AF6AF
Charlotte	NC 28226-0915	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.66
Name of Employer	Occupation	Payroll Deduction: \$208.33/Bi-Monthly
LPL Financial LLC	Advisor	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	416.66	
Full Name (Last, First, Middle Initial)  Robert Moore		Date of Receipt
Mailing Address PO Box 2764		01 16 2015
City	State Zip Code	Transaction ID : A3D1A9D6A6AE6495991A
Rancho Santa Fe	CA 92067-2764	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	416.66
Name of Employer	Occupation	Payroll Deduction: \$208.33/Bi-Monthly
LPL Financial LLC	President	1
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General  Other (specify) ▼	416.66	
Full Name (Last, First, Middle Initial)  DAVID ANTHONY PICKLER		Date of Receipt
Mailing Address 2268 BIRCHTON DRIVE		01 31 _ 2015 _
City	State Zip Code	Transaction ID : AF0F9375A9168497DB68
Germantown	TN 38139-5251	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	333.32
Name of Employer	Occupation	Payroll Deduction: \$166.66/Bi-Monthly
Pickler Wealth Advisors	CEO	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General	55 5	
Other (specify) ▼	333.32	
SUBTOTAL of Receipts This Page (optional).		1166.64

	FOR	LINE	NU	MBER	:	PAGE	•	13 OF	:	22
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

or for commercial purposes, other than usin	g the name and address of any political committee	
NAME OF COMMITTEE (In Full)  LPL Financial LLC Political A	Action Committee (LPL PAC)	
Full Name (Last, First, Middle Initial)  GREGORY R. POWELL, CIMA		Date of Receipt
Mailing Address 505 RUSSET BEND DR		01 31 2015
City	State Zip Code	Transaction ID : A6D3E5D58F51143AFAFI
Hoover	AL 35244-4330	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.66
Name of Employer	Occupation	Payroll Deduction: \$208.33/Bi-Monthly
fi-Plan Partners	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	416.66	
Full Name (Last, First, Middle Initial)  3. David Reich	1	Date of Receipt
Mailing Address 7995 Entrada De Luz We	est	01 16 _ 2015 _
City	State Zip Code	Transaction ID : AD2658CC757F440D88DB
San Diego	CA 92127-2512	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	208.34
Name of Employer	Occupation	Payroll Deduction: \$104.17/Bi-Monthly
LPL Financial LLC	EVP, Head of Retirement Prtnrs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	208.34	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address 1408 STOCTON ROAD		01 31 _ 2015 _
City	State Zip Code	Transaction ID : AC4FF693EE5BF4E74BB
Jenkintown	PA 19046-1131	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	333.32
Name of Employer	Occupation	Payroll Deduction: \$166.66/Bi-Monthly
LPL Financial	Registered Principal	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	333.32	
SUBTOTAL of Receipts This Page (options	al)	958.32
TOTAL This Period (last page this line num	nber only)	

FOR LINE NUMBER: PAGE 14 OF 22 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) STEVEN LEE VAN HOUTEN Date of Receipt Mailing Address 1428 CALLE CHRISTOPHER 2015 31 City State Zip Code Transaction ID: A2DD254038B934D369BA CA **Encinitas** 92024-5520 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Payroll Deduction: \$208.33/Bi-Monthly Name of Employer Occupation LPL Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) B. WAYNE VON BORSTEL CFP Date of Receipt Mailing Address 1603 MORTON 01 31 2015 City State Zip Code Transaction ID: A188485926C494655B62 OR 97058-4006 The Dalles Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Payroll Deduction: \$208.33/Bi-Monthly Name of Employer Occupation Lpl Financial LLC financial planner Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) Full Name (Last, First, Middle Initial) c. WAYNE DAVID WAGNER, JR. Date of Receipt Mailing Address 34 WATER VIEW DRIVE 01 31 2015 City Zip Code State Transaction ID: A05904CAB8ED9429E853 NJ Pilesgrove 08098-2649 Amount of Each Receipt this Period FEC ID number of contributing 250.00 С federal political committee. Payroll Deduction: \$125.00/Bi-Monthly Name of Employer Occupation President Vizionary Wealth Management Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 1083.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 15 OF 22 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) MICHAEL C. F. WILLIAMS, CFP Date of Receipt Mailing Address 15 IVY LANE 2015 31 City Zip Code State Transaction ID: A758529AB22CD491B85B PΑ Chester Springs 19425-3316 Amount of Each Receipt this Period FEC ID number of contributing 333.32 federal political committee. Payroll Deduction: \$166.66/Bi-Monthly Name of Employer Occupation First Financial Networks. Inc President Receipt For: Aggregate Year-to-Date ▼ Primary General 333.32 Other (specify) Full Name (Last, First, Middle Initial) **B.** WARREN DAVID WISE Date of Receipt Mailing Address 437 WEDGEWOOD CT 01 31 2015 City State Zip Code Transaction ID: A75CB5060DF3C413EA8A NC Eden 27288-2841 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Payroll Deduction: \$104.16/Bi-Monthly Name of Employer Occupation Market St. Wealth Management Group Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 208.32 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 541.64 SUBTOTAL of Receipts This Page (optional)..... 9549.20 TOTAL This Period (last page this line number only).....

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	for commercial purposes, other than using the nam													
$\setminus$	NAME OF COMMITTEE (In Full)													
	LPL Financial LLC Political Action	Commit	tee (LPL PA	(C)										
/	El El manoial LEO i omioal / totion	0011111111	ico (Li L i /	(0)										
	Full Name (Last, First, Middle Initial)													
A.	ANDY BARR FOR CONGRESS, IN	۱C.					Date o	f Dis	sburse	men	t			
							M M	/	D	D	/ Y	YY	- Y	
	Mailing Address PO BOX 2059						01		1:	5		2015		
	Otto	21-1-	7:- 0-1-											
		State KY	Zip Code				Trans	sacti	ion ID	: B3	333728	BE9D	24770	C859
	Lexington Purpose of Disbursement	K1	40588-2059											
	KY US House			т.			Amoun	ıt of	Fach	Dish	urseme	nt this	Peri	od
	Candidate Name			0-4		.,								
	Rep. Andy Barr IV				egory ype	"	Ι.		<b>.</b>		(8)	1000.00		
		nent For:	2016		71				,		,			
	Senate	Primary	General											
	President	Other (spe	ecify) 🔻											
	State: KY District: 06													
	Full Name (Last, First, Middle Initial)													
В.	ANN WAGNER FOR CONGRESS						Date o	f Dis	sburse	men	t			
							M = M	/	D	D	/ Y	Y Y	- Y	
	Mailing Address PO BOX 50						01		1	5		2015		
	Oib.	21-1-	7:- O											
	•	State MO	Zip Code 63022				Trans	sact	ion ID	: B4	9B8011	0F6D	B43F	CA0B
	Purpose of Disbursement		00022			_								
	MO US House			"	_		Amoun	t of	Each	Disb	urseme	nt this	Peri	od
	Candidate Name			Cat	egory	//			-		-	4.0		
	Rep. Ann L. Wagner				ype			-	7	_	7	100	00.00	
		nent For:	2016											
		Primary	General											
	President	Other (spe	ecify) 🔻											
_	State: MO District: 02					_								
_	Full Name (Last, First, Middle Initial)						Date o	f Did	shurco	mon	,			
٥.	DOLD FOR CONGRESS							_		_				
	Mailing Address PO BOX 6312						M M	1	1:	D 5		y   y 2015	Y	
	7 C 20/ 00/2													
	,	State	Zip Code				Trans	sacti	ion ID	· RF	AB543	9FC97	'C407	7FΔ1D
	LIBERTYVILLE	IL	60048				man	Saci		. 00	70070	31 031	0401	LAID
	Purpose of Disbursement IL US House													
	Candidate Name				_		Amoun	t of	Each	Disb	urseme	nt this	Peri	od
	Rep. Robert J. Dold Jr.				egory	//						100	00.00	
	•	nent For:	2016	- 1	уре	-		-	7	_	7	_		_
	Senate Seagons	Primary	General											
	President	Other (spe												
	State: IL District: 10	` .	- · · •											
	1							-	-	=		_	-	_
5	SUBTOTAL of Disbursements This Page (optional)					•			/m = 1			300	0.00	
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SCHEDULE B (FEC Form 3X)	Han announts select 1.7	, FOR LINE	PAGE 17 OF 22	
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(orleast orlin)	•	
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Any information copied from such Reports and Staten	nents may not be sold or i			
or for commercial purposes, other than using the name				
NAME OF COMMITTEE (In Full)				
$ \; angle$ LPL Financial LLC Political Action (	Committee (LPL PA	AC)		
Full Name (Last, First, Middle Initial)				
A. DUFFY FOR CONGRESS			Date of Disburseme	ent
" DUFFT FOR CONGRESS			M M / D D	/ Y Y Y Y
Mailing Address PO BOX 538			01 15	2015
-				
City S WAUSAU	State Zip Code WI 54402		Transaction ID : E	3A121713EC21B498496E
Purpose of Disbursement	34402			
WI US House			Amount of Each Dis	sbursement this Period
Candidate Name		Category/		2500.00
Rep. Sean P. Duffy		Type		2500.00
	nent For: 2016			
Senate President	Primary General Other (specify) ▼			
State: WI District: 07	Other (specify)			
Full Name (Last, First, Middle Initial)				
B. FRIENDS OF PAT TOOMEY			Date of Disburseme	ent
			M M / D D	/
Mailing Address 228 S. WASHINGTON ST., SUITE	115		01 15	2015
City	State Zip Code			
ALEXANDRIA	VA 22314		Transaction ID : E	B0A49FDBB05164AE1A40
Purpose of Disbursement				
PA US Senate			Amount of Each Dis	sbursement this Period
Candidate Name		Category/		500.00
Sen. Pat J. Toomey  Office Sought: House Disbursen	nent For: 2016	Туре		
	Primary Seneral			
	Other (specify) ▼			
State: PA District:				
Full Name (Last, First, Middle Initial)				
C. FRIENDS OF PAT TOOMEY			Date of Disburseme	ent
Mailing Address 228 S. WASHINGTON ST., SUITE	115		01 15	2015
Mailing Address 220 S. WASHINGTON ST., SUITE	113		01 13	2013
City	State Zip Code		Transaction ID : I	3683D0CA9FE254BEC86
	VA 22314		mansaction ib . i	3003D0CA91 E234DEC001
Purpose of Disbursement PA US Senate				
Candidate Name			Amount of Each Dis	sbursement this Period
Sen. Pat J. Toomey		Category/ Type		500.00
	nent For: 2016			
Senate X	Primary General			
President	Other (specify) ▼			
State: PA District:				
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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE			
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NAME OF COMMITTEE (In Full)					
LPL Financial LLC Political Action	Committee (I PI PA	C)			
<u>/</u>					
Full Name (Last, First, Middle Initial)					
A. GUTHRIE FOR CONGRESS			Date of Disbursement		
Mailing Address PO BOX 9639			01 15	2015	
Maining Addition 1 o Box 3000			01 10	2010	
City	State Zip Code		Transaction ID : P2	97950C3F0DE4672BD4	
Bowling Green	KY 42102-9639		Hansaction ID . B2	9793003F0DE4072BD4	
Purpose of Disbursement KY US House			Amount of Each Disbu	urcoment this Pariod	
Candidate Name			Amount of Each Disbi	ursement this Fellou	
Rep. Brett Guthrie		Category/ Type		2500.00	
	ement For: 2016	71: -			
Senate	Primary General				
President	Other (specify) ▼				
State: KY District: 02					
Full Name (Last, First, Middle Initial)  B. HEIDI FOR SENATE			Date of Disbursement		
B. HEIDI FOR SENATE			M M / D D	/ Y Y Y Y	
Mailing Address PO BOX 1577			01 15	2015	
City	State Zip Code		Transaction ID : BB	334E24D63C94705A6D	
BISMARCK Purpose of Disbursement	ND 58502				
ND US Senate			Amount of Each Disbu	ursement this Period	
Candidate Name		Category/			
Sen. Heidi Heitkamp		Type		1000.00	
	ement For: 2018				
Senate President	Primary General				
State: ND District:	Other (specify) ▼				
Full Name (Last, First, Middle Initial)					
C. JIM HIMES FOR CONGRESS			Date of Disbursement		
			M M / D D	/	
Mailing Address 857 POST ROAD, #312			01 15	2015	
City	State Zip Code				
Fairfield	CT 06824-6041		Transaction ID : B3	802C188DCE84B79AF8	
Purpose of Disbursement					
CT US House			Amount of Each Disbu	ursement this Period	
Candidate Name		Category/		1000.00	
Rep. Jim A. Himes  Office Sought: House Disburse	ement For: 2016	Туре		7	
Senate	Primary General				
President	Other (specify)				
State: CT District: 04	Conven Election2	016			
SUBTOTAL of Disbursements This Page (optional)		·····		4500.00	
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	Detailed Summary Page	21b		24 25 26 28c 29 30b
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NAME OF COMMITTEE (In Full)				
$ \hspace{.05cm} \rangle$ LPL Financial LLC Political Actior	n Committee (LPL PA	(C)		
Full Name (Last, First, Middle Initial)				
A. JIM RENACCI FOR CONGRESS			Date of Disbursement	
Moiling Address 450 CMOVEDICE DDIVE			01 15	2045
Mailing Address 150 SMOKERISE DRIVE			01 15	2015
City	State Zip Code		Transaction ID · BF4	AC2905CC6648CA8FE
WADSWORTH Purpose of Disbursement	OH 44281		Transaction is . St 4	A0200000000000000000000000000000000000
OH US House			Amount of Each Disbu	rsement this Period
Candidate Name		Category/		1000.00
Rep. Jim B. Renacci		Type		1000.00
	ement For: 2016			
Senate President	Primary General Other (specify) ▼			
State: OH District: 16	Curior (openity)			
Full Name (Last, First, Middle Initial)				
B. JOHN CARNEY FOR CONGRES	SS		Date of Disbursement	
Mailing Address PO BOX 2162			01 15	2015
Mailing Address PO BOX 2162			01 13	2013
City	State Zip Code		Transaction ID : B73	4510E12D33401F998
WILMINGTON Purpose of Disbursement	DE 19899			
DE US House			Amount of Each Disbu	rsement this Period
Candidate Name		Category/		1000.00
Rep. John C. Carney Jr.		Type		1000.00
	ement For: 2016 Primary General			
President	Other (specify)			
State: DE District: 01				
Full Name (Last, First, Middle Initial)				
C. MICHAEL GRIMM FOR CONGRI	ESS		Date of Disbursement	
Mailing Address PO BOX 61806			01 29	2015
City	State Zip Code		Transaction ID : B26	FEB7F2DEA946548AC
STATEN ISLAND Purpose of Disbursement	NY 10306			
VOID - NY US House			Amount of Each Disbu	rsement this Period
Candidate Name		Category/		-1000.00
Rep. Michael G. Grimm		Type		-1000.00
Office Sought: House Disburs	ement For: 2014 Primary General			
President	Other (specify)			
State: NY District: 11				
SUBTOTAL of Disbursements This Page (optional)		·····•		1000.00
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE I	PAGE 20 OF 22	
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NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Action (				
Full Name (Last, First, Middle Initial)			5 . (5)	
A. MOULTON FOR CONGRESS			Date of Disbursemer	nt
Mailing Address PO BOX 2013			01 15	2015
•	State Zip Code		Transaction ID : BI	D7912B92A00C42AC8DE
SALEM Purpose of Disbursement	MA 01970			
MA US House			Amount of Each Disk	oursement this Period
Candidate Name		Category/		2500.00
Rep. Seth Moulton  Office Sought:	ant For 2040	Туре		2300.00
Senate	nent For: 2016  Primary General  Other (specify)			
State: MA District: 06				
Full Name (Last, First, Middle Initial)  B. MULVANEY FOR CONGRESS			Date of Disbursemer	
Mailing Address P.O. BOX 1975			01 15	2015
Lancaster	State         Zip Code           SC         29721-1975		Transaction ID : B	9DA98A5636BF400EB8F
Purpose of Disbursement SC US House			Amount of Each Disk	oursement this Period
Candidate Name		Category/		4000.00
Rep. Mick Mulvaney		Туре		1000.00
Senate	nent For: 2016  Primary General  Other (specify)			
Full Name (Last, First, Middle Initial)  C. NEW DEMOCRAT COALITION PA	.C		Date of Disbursemer	nt
			M M / D D	/ Y Y Y Y Y
Mailing Address 700 13TH STREET, NW SUITE 600			01 15	2015
Washington	State         Zip Code           DC         20005-5998		Transaction ID : B	EA4D5E14BBF34FCFA5I
Purpose of Disbursement Political Contribution				
Candidate Name		Category/ Type	Amount of Each Dist	oursement this Period 5000.00
Senate	nent For: 2015 Primary General Other (specify)  Other2015			
Jones. District.	Other 2013			
SUBTOTAL of Disbursements This Page (optional)  TOTAL This Period (last page this line number only)				8500.00

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE			
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NAME OF COMMITTEE (In Full)					
LPL Financial LLC Political Action	Committee (LPL PA	C)			
$\bigvee$	<b>,</b>				
Full Name (Last, First, Middle Initial)	.TTCC		Date of Disburseme	ont	
A. PORTMAN FOR SENATE COMM	IIIEE			_	
Mailing Address 9856 ARCHER LANE			01 15	2015	
,	State Zip Code		Transaction ID : I	BD4522B1F654046D8805	
Dublin Purpose of Disbursement	OH 43017-8914				
OH US Senate			Amount of Each Di	sbursement this Period	
Candidate Name		Category/		The second state of the se	
Sen. Rob J. Portman		Type	7	1000.00	
Office Sought: House Disburse	ment For: 2016				
∑ Senate ∑	Primary General				
President	Other (specify) ▼				
State: OH District:					
Full Name (Last, First, Middle Initial)  B. ROSKAM FOR CONGRESS COM	AN AITTEE		Date of Disburseme	ent	
B. ROSKAWI FOR CONGRESS COM			M M / D D	/	
Mailing Address P. O. BOX 713			01 29	2015	
	State Zip Code		Transaction ID :	B9E170B0C1F4D419BAF0	
WHEATON Purpose of Disbursement	IL 60187				
VOID - IL US House			Amount of Each Di	sbursement this Period	
Candidate Name		Category/			
Rep. Peter J. Roskam		Type		-1000.00	
	ment For: 2014				
	Primary General				
President State: IL District: 06	Other (specify) ▼				
Full Name (Last, First, Middle Initial)					
c. STEVE FINCHER FOR CONGRE	SS		Date of Disburseme	ent	
OTEVET INOTIER FOR CONCINE	00		M M / D D	/ Y Y Y Y Y	
Mailing Address PO BOX 11153			01 15	2015	
Otto	01-1- 7-0-1-				
City Jackson	State Zip Code TN 38308-0119		Transaction ID:	BF60FE19537F94FFDA92	
Purpose of Disbursement					
TN US House			Amount of Each Di	sbursement this Period	
Candidate Name		Category/		1000.00	
Rep. Stephen L. Fincher		Туре	7	1000.00	
Office Sought: House Disburse	ment For: 2016				
President	Primary General  Other (specify) ▼				
State: TN District: 08	- ···- (-F-2011)/ •				
SUBTOTAL of Disbursements This Page (optional)				1000.00	
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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE			
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or for commercial purposes, other than using the nam	le and address of any politic	ai committee to	SOlicit Contributions if	om such committee.	
NAME OF COMMITTEE (In Full)	O::	<b>C</b> \			
│	Committee (LPL PA	<b>C</b> )			
Full Name (Last, First, Middle Initial)					
A. TIM SCOTT FOR SENATE			Date of Disburseme	ent	
			M M / D D	/ Y Y Y Y Y	
Mailing Address 1405 ASHLEY RIVER ROAD			01 15	2015	
011	7. 0. 1				
City S Charleston	State Zip Code SC 29407-5305		Transaction ID : I	328A9362A519D4795AE5	
Purpose of Disbursement	29407-5505				
SC US Senate			Amount of Each Di	sbursement this Period	
Candidate Name		Category/			
Sen. Tim E. Scott		Type		1000.00	
Office Sought: House Disburser	nent For: 2016				
	Primary General				
President	Other (specify) ▼				
State: SC District:					
Full Name (Last, First, Middle Initial) <b>B.</b>			Date of Disburseme	ont	
ь.					
Mailing Address			M = M / D = D	/	
City	State Zip Code				
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Canadato Namo		Category/ Type			
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Senate	Primary General				
President	Other (specify) ▼				
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Mailing Address					
City	State Zip Code				
Purpose of Disbursement					
Candidate Name			Amount of Each Di	sbursement this Period	
Candidate Name		Category/			
Office Sought: House Disbursen	nent For:	Туре			
	Primary General				
President	Other (specify) ▼				
State: District:	· · · · · · · · · · · · · · · · · · ·				
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