Image# 11972743926 PAGE 1 / 117

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	or Other Than An Author	ized Committee	Office	Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
Novartis Corporation P	olitical Action Committe	ee		
ADDRESS (number and street)	701 Pennsylvania Ave. NW Suit	e 725		
Check if different than previously reported. (ACC)	Washington		DC 200	04-2608
2. FEC IDENTIFICATION NU	MBER ▼ CITY ▲	\	STATE A	ZIP CODE 🛦
C C00033969	3. IS THE		AMENDEI (A))
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Quarterly Report (Q	PRE-Election Report for the: Election of the description of the descr	(M3) Jun 20 (M6) (M4) Jul 20 (M7) Primary (12P) Convention (12C) M M M / D D / General (30G)	Aug 20 (M8 Sep 20 (M9 Oct 20 (M10 General (12G) Special (12S) Runoff (30R)	in the State of (Non-Election Year Only) State of Special (30S)
5. Covering Period 11	Election of	through 11		State of
I certify that I have examined thi		knowledge and belief it is tru	ue, correct and comp	lete.
Type or Print Name of Treasurer	Daniel P. Casserly			
Signature of Treasurer Danie	l P. Casserly	[Electronically Filed]		2011
NOTE: Submission of false, errone	ous, or incomplete information man	ay subject the person signing t	his Report to the pena	Ities of 2 U.S.C. §437g.
Office Use			FE	C FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 11 01 2011 To: 11 30 2011

		COLUMN A This Period	COLUMN B Calendar Year-to-Date			
6.	(a) Cash on Hand January 1, 2011		132644.07			
	(b) Cash on Hand at Beginning of Reporting Period	140782.02				
	(c) Total Receipts (from Line 19)	29280.87	306089.92			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	170062.89	438733.99			
7.	Total Disbursements (from Line 31)	45.00	268716.10			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	170017.89	170017.89			
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

tributions (other than loans) From: Individuals/Persons Other Than Political Committees		
Than Political Committees		
	14612.00	88173.21
(i) Itemized (use Schedule A)	14612.89	00173.21
(ii) Unitemized	14667.98	217262.81
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	29280.87	305436.02
Political Party Committees	0.00	0.00
Other Political Committees		
(such as PACs)	0.00	0.00
Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)▶	29280.87	305436.02
sfers From Affiliated/Other		
y Committees	0.00	0.00
oans Received	0.00	0.00
- Panaymenta Passiyad	0.00	0.00
	0.00	0.00
· · · · · · · · · · · · · · · · · · ·	0.00	653.90
ederal Candidates and Other		
ical Committees	0.00	0.00
er Federal Receipts		
dends, Interest, etc.)	0.00	0.00
sfers from Non-Federal and Levin Funds	7	7
Non-Federal Account		
(from Schedule H3)	0.00	0.00
evin Funds (from Schedule H5)	0.00	0.00
Leviii i unus (iroin scriedule 115)		
Total Transfers (add 18(a) and 18(b))	0.00	0.00
	(iii) Unitemized	(iii) Unitemized

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures:	iotai iilis reliou	Calellual Teal-10-Date		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)				
(i) Federal Share	0.00	0.00		
(i) N 5 1 101	0.00	0.00		
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00		
Expenditures	45.00	1285.00		
(c) Total Operating Expenditures				
(add 21(a)(i), (a)(ii), and (b))▶	45.00	1285.00		
Transfers to Affiliated/Other Party	0.00	0.00		
Contributions to	0.00	0.00		
Federal Candidates/Committees and Other Political Committees	0.00	244700.00		
Independent Expenditures				
(use Schedule E)	0.00	0.00		
Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	0.00	2.22		
(use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
	7			
Loans MadeRefunds of Contributions To:	0.00	0.00		
(a) Individuals/Persons Other	0.00	595.00		
Than Political Committees	0.00	585.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees				
(such as PACs)	0.00	0.00		
(d) Total Contribution Refunds				
(add Lines 28(a), (b), and (c))	0.00	585.00		
(200 2000 25(4), (2), 2004 (5),				
Other Disbursements	0.00	22146.10		
F				
Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity				
(from Schedule H6)				
(i) Federal Share	0.00	0.00		
	0.00	0.00		
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add	7	7 7		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	45.00	202-10-10		
20, 24, 20, 20, 21, 20(u), 28 and 30(c))	45.00	268716.10		
Total Federal Disbursements				
(subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	45.00	268716.10		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	29280.87	305436.02
4. Total Contribution Refunds (from Line 28(d))	0.00	585.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	29280.87	304851.02
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	45.00	1285.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	653.90
3. Net Operating Expenditures (subtract Line 37 from Line 36)	45.00	631.10

FOR LINE NUMBER: **PAGE** 6 OF 117 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026905 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Business & Admin Services Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kim R Alford Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026531 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Ascension Almanza Jr. Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3027099 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.55 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 280.03 Other (specify) 70.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 7 OF 117

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any per he name and address of any political committees	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Andrew Amico Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Self-Name (Last, First, Middle Initial)	State Zip Code NJ 07936 C Occupation Senior District Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026987 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Antonio Anderson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Senior District Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID : A2011-3027741 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Renee H Anderson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Ex Dir Diversity Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3027092 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		60.00
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: **PAGE** 8 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Gary J Appio Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026897 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir US Safety/Risk Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Felipe Arenas Date of Receipt Mailing Address One Health Plaza 11 30 2011 City State Zip Code Transaction ID: A2011-3027347 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew Arline Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027348 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Asc Dir Multicultural Mktg Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Karen E Ashcraft Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027093 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Business Relationship Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Marc W Bacon Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027352 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jeanne E Baker Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026619 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 10 OF 117

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any pename and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) Helmut Bambl Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Senior District Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID : A2011-3026441 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) CHRISTOPHER BANGS Mailing Address 701 Pennsylvania AveNW Ste 725 City Washington FEC ID number of contributing federal political committee. Name of Employer Novartis Corporation Receipt For: Primary General Other (specify)	State Zip Code DC 20004 C Occupation Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 04 2011 Transaction ID : A2011-2950328 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Michael Banko Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation IT Service Manager Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID : A2011-3027003 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)		90.00
TOTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: PAGE 11 OF 117 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David M Barbour Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027843 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Real Scientific Asc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael C Barninger Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027357 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Development Assignment** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3027898 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Exec Dir Public Health Policy Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 220.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF 117 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Elisa Bauer Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026432 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Information Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew R Bayard Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026786 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr LTC Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Beck Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027185 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Reg Strat & Bus Plan Receipt For: Aggregate Year-to-Date ▼ Primary General 825.00 Other (specify) 145.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 13 OF 117

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any p the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Jeffrey Benjamin Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Finance Corporation Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP Litigation Aggregate Year-to-Date ▼ 525.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026997 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Sheila Bhattacharya Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr. AD-National Accounts Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027073 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Kathleen V Bishop Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Sales Consultant Aggregate Year-to-Date ▼ 299.44	Date of Receipt 11 30 2011 Transaction ID: A2011-3026439 Amount of Each Receipt this Period 27.30
SUBTOTAL of Receipts This Page (optional)		77.30
TOTAL This Period (last page this line numb	er only)	

FOR LINE NUMBER: PAGE 14 OF 117 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward J Blair Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026891 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Product Management Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Peri K Bonner Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026687 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 58.99 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Regl Accts Proj Receipt For: Aggregate Year-to-Date ▼ Primary General 646.11 Other (specify) Full Name (Last, First, Middle Initial) c. Rhonda M Bordeaux Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027292 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Sr Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 103.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	15 OF	117
Use separate schedule(s)	(che	ck only	or	ne)				
for each category of the Detailed Summary Page	X	11a		11b		11c	12	
		13		14		15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Troy L Borill Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-3027230 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 37.56 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 411.90 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel S Bortfeld Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026628 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation

Receipt For: Primary General Other (specify)	Director Staffing Operations Aggregate Year-to-Date ▼ 275.00	
C. Full Name (Last, First, Middle Initial) TerriAnn Bostocky Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2011 Transaction ID : A2011-3027666 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales Consultant	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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	FOF	R LINE	NU	IMBER	:	PAGE	_ ′	16	OF	117
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Committee	
Full Name (Last, First, Middle Initial) Karen M Boyajian Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Exec Neuro-Psych Specialist Aggregate Year-to-Date ▼ 242.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026650 Amount of Each Receipt this Period 22.00
Full Name (Last, First, Middle Initial) Leonard J Brandt Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Business Plan'g & Analysis Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026984 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Ellen M Browne Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation ED Information Technology Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027060 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)	122.00
TOTAL This Period (last page this line num	ber only)	

FOR LINE NUMBER: PAGE 17 OF 117 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lucinda A Bruere Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026561 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027373 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Systems Planning and Receipt For: Aggregate Year-to-Date ▼ Primary General 610.00 Other (specify) Full Name (Last, First, Middle Initial) c. Charles A Bruns Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027855 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.00 С federal political committee. Name of Employer Occupation Novartis Services Incorporated **Dir Communications** Receipt For: Aggregate Year-to-Date ▼ Primary General 308.69 Other (specify) 110.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)

$ \rangle$	Novartis Corporation Political A	action Committee	
Α.	Full Name (Last, First, Middle Initial) Matthew H Buckley		Date of Receipt
	Mailing Address One Health Plaza		11 30 2011
	City	State Zip Code	Transaction ID : A2011-3026337
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	20.00
	Name of Employer	Occupation	
	Novartis Pharmaceuticals	Dir Federal Acct Mgmt	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
В.	Full Name (Last, First, Middle Initial) Jonca C Bull		Date of Receipt
	Mailing Address One Health Plaza		11 30 2011
	City	State Zip Code	Transaction ID : A2011-3027845
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	50.00
	Name of Employer Novartis Pharmaceuticals	Occupation VP DRA Liaison	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
<u>с.</u>	Full Name (Last, First, Middle Initial) John S Burke		Date of Receipt
	Mailing Address One Health Plaza		11 30 2011
	City	State Zip Code	Transaction ID : A2011-3027008
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	20.00
	Name of Employer	Occupation	
	Novartis Pharmaceuticals	District Manager II	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
		only)	90.00

SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
	Statements may not be sold or used by any pole name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Richard Burns Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Sales Aggregate Year-to-Date ▼ 330.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026422 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Steven J Busch Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Director Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID : A2011-3027753 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Timothy S Byler Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Asc Director Gov't Relations Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026792 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)		75.00
TOTAL This Period (last page this line number	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lindsay R Callaghan Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027271 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Business Relationship Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Todd D Cantin Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026671 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. James P Carey Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027179 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 102.08 С federal political committee. Name of Employer Occupation VP Health Policy Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1111.80 Other (specify) 142.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	OMMITTEE (In Full)	7 - 200 000 000	211 25
\	Corporation Political Ac	ction Committee	
/	·		
Full Name (La A. Kevin M Ca	ast, First, Middle Initial) arl		Date of Receipt
Mailing Addres	ss One Health Plaza		M = M / D = D / Y = Y = Y = Y
City		State Zip Code	11 30 2011 Transaction ID : A2011-3027377
East Hanover		NJ 07936	Amount of Each Receipt this Period
FEC ID number federal political	er of contributing	C	25.00
Name of Empl	loyer	Occupation	
Novartis Pharn	•	Dir Strategic Planning	
Receipt For:		Aggregate Year-to-Date ▼	
Primary	General	Aggregate rear-to-Date ▼	
Other (s	pecify) 🔻	275.00	
Full Name (La B. James L C	ast, First, Middle Initial)		Date of Receipt
	SS One Health Plaza		M = M / D = D / Y = Y = Y
City		State Zip Code	11 30 2011
East Hanover		NJ 07936	Transaction ID : A2011-3026611 Amount of Each Receipt this Period
	or of contributing		Amount of Lacif necespt this relica
federal politica	er of contributing al committee.	C	30.00
Name of Empl	loyer	Occupation	
Novartis Pharm	naceuticals	Senior District Manager	
Receipt For:		Aggregate Year-to-Date ▼	
Primary	General		
Other (s	pecify) 🔻	330.00	
	ast, First, Middle Initial)		
C. Daniel P (Date of Receipt
Mailing Addres	SS One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City		State Zip Code	Transaction ID : A2011-3026719
East Hanover		NJ 07936	Amount of Each Receipt this Period
FEC ID number federal political	er of contributing al committee.	C	300.00
Name of Empl	loyer	Occupation	
Novartis Servi	ces Incorporated	VP Fed'l Govt Rel	
Receipt For:		Aggregate Year-to-Date ▼	
Primary	General		
Other (s	specify) 🔻	3200.00	
SUBTOTAL of F	Receipts This Page (optional)		355.00
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Glen P Castillo Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027680 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Cynthia C Cetani Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027380 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Ethics & Compliance Officr Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name (Last, First, Middle Initial) c. Atindra N Chaturvedi Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026904 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation **ED Information Technology** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Matthew D Civiello Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Area Sales Manager II Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID : A2011-3027744 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Martin R Clark Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Senior Area Sales Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027743 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Roger S Clark Jr. Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Senior District Manager Aggregate Year-to-Date ▼ 330.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027867 Amount of Each Receipt this Period 30.00
SUBTOTAL of Receipts This Page (optional)	70.00
TOTAL This Period (last page this line num	ber only)	

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	nd Statements may not be sold or used by any per g the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) A. Christina M Clinton Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Exec Director Internal Comm Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3027710 Amount of Each Receipt this Period 416.00
Other (specify) ▼ Full Name (Last, First, Middle Initial) B. Mary L Coen Mailing Address Vaccine Headquarters 35	2014.00 0 Massachus	Date of Receipt
City Cambridge FEC ID number of contributing federal political committee. Name of Employer COMMERCIAL OPS-PRIM CARE ANDSPI	State Zip Code MA 02139-4182 C Occupation	11 04 2011 Transaction ID : A2011-2950355 Amount of Each Receipt this Period 250.00
Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial)	Aggregate Year-to-Date ▼ 2750.00	
C. Julie A Collins Mailing Address One Health Plaza	Chate Zin Code	Date of Receipt M M M / D D / Y D Y D Y D Y D Y D Y D Y D Y D
City East Hanover FEC ID number of contributing federal political committee. Name of Employer CIBA Vision Corporation Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Dir Con&Prof Mktg Aggregate Year-to-Date ▼ 1100.00	Amount of Each Receipt this Period 100.00
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Jeffrey L Collmar Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C Occupation Senior District Manager Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3027160 Amount of Each Receipt this Period 25.00
Primary General Other (specify) ▼	Aggregate real-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) William W Conkling Mailing Address One Health Plaza	State 7in Code	Date of Receipt 11 30 2011
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2011-3027389 Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupation Dir Sales Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Exe Dir Account Mgmt Aggregate Year-to-Date 550.00	Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)		95.00
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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) WILLIAM DARNALL		Date of Receipt
Mailing Address 701 Pennsylvania AveNW Ste 725		11 04 2011
City	State Zip Code	Transaction ID : A2011-2950313
Washington	DC 20004	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer	Occupation	
Novartis Corporation	Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	55 5	
Other (specify) ▼	334.51	
Full Name (Last, First, Middle Initial) Spencer E Davidson		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3026589
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	20.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Oncology Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	30 0	
Other (specify) ▼	220.00	
Full Name (Last, First, Middle Initial) C. Phillip A Davis		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3027396
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Exec Xolair Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	220.00	
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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William S Davis IV IV Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027335 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Business Relationship Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy K De Valroger Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027398 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Clint D Degner Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026533 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Reg Strat & Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Randal Dias Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026924 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Project Management Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Candace B Dibblee Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027079 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Asc Dir Fed'l Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2750.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert C Dobler Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027319 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 295.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	•	•	Transaction ID : Azorr 0020000
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	25.00
	Name of Employer	Occupation	
	Novartis Pharmaceuticals	Sr Oncol Area Sales Manager	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
В.	Full Name (Last, First, Middle Initial) David P Drake		Date of Receipt
	Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State Zip Code	Transaction ID : A2011-3026903
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	50.00
	Name of Employer	Occupation	
	Novartis Services Incorporated	Ex Dir Fed Leg Affairs	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	550.00	
C.	Full Name (Last, First, Middle Initial) James S Du Bois Jr. Jr.		Date of Receipt
	Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State Zip Code	Transaction ID : A2011-3026595
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	20.00

220.00 Other (specify) 95.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Occupation Sr Account Mgr

Aggregate Year-to-Date ▼

federal political committee.

Novartis Pharmaceuticals

General

Name of Employer

Primary

Receipt For:

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David M Eberenz Jr. Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026780 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. James R Elkin Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026513 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated VP Head US Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 4576.00 Other (specify) Full Name (Last, First, Middle Initial) c. David N Elsasser Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026418 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 С federal political committee. Name of Employer Occupation Sr LTC Account Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 334.51 Other (specify) 476.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF 117 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael R Emch Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026378 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 334.51 Other (specify) Full Name (Last, First, Middle Initial) B. Rita D Engler Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026864 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 5.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Scientist I Receipt For: Aggregate Year-to-Date ▼ Primary General 202.40 Other (specify) Full Name (Last, First, Middle Initial) c. David R Epstein Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026954 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Head Pharma AG Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 135.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) A. David J Erb Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2011 Transaction ID : A2011-3026840 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation District Manager II Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) Christopher Esposito Mailing Address One Health Plaza		Date of Receipt 11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3027211 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	75.00
Name of Employer Novartis Pharmaceuticals	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 825.00	
Full Name (Last, First, Middle Initial) Judith J Ewalt		Date of Receipt
Mailing Address One Health Plaza	State 7'n Code	11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026738 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation Sr Opcol Area Sales Manager	
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Sr Oncol Area Sales Manager Aggregate Year-to-Date ▼ 275.00	
SUBTOTAL of Receipts This Page (optional)		125.00
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	Statements may not be sold or used by any person ename and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Philip L Faulkner Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2011 Transaction ID : A2011-3027619 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation District Manager II Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Thomas S Fellers Mailing Address One Health Plaza		Date of Receipt 11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3027089 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	50.00
Name of Employer Novartis Pharmaceuticals	Occupation VP Medl Head Operating Unit	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 310.00	
Full Name (Last, First, Middle Initial) Christopher Fikry		Date of Receipt
Mailing Address 350 Massachusetts Ave 350 MA # 234C City	State Zip Code	11 04 2011 Transaction ID : A2011-2950303
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation Co Dis Modulation	
Novartis Vaccines & Diagnostics Receipt For:	Sr Dir Marketing	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 260.00	
SUBTOTAL of Receipts This Page (optional)		95.00
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James E Foley Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027063 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Principle MSE (Non-MD) Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew T Footo Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027156 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Maria Fornell Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027315 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew C Foster Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026727 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Anna M Frable Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026941 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP US Pharma Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Patrick G Francke Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3027728 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 С federal political committee. Name of Employer Occupation Sr Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 334.51 Other (specify) 75.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Kenneth A Frazier Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2011 Transaction ID : A2011-3026778 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	20.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) H. P Frederick Mailing Address One Health Plaza		Date of Receipt 11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026800 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	54.35
Name of Employer Novartis Pharmaceuticals	Occupation Senior District Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 594.69	
Full Name (Last, First, Middle Initial) Debra E Freire		Date of Receipt
Mailing Address One Health Plaza		11 30 / 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026999 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Novartis Pharmaceuticals	Occupation Ex Dir Advocacy & Access	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
SUBTOTAL of Receipts This Page (optional)		124.35
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or for commercial purposes, other than using the	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Neely T Frye Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Asc Director Gov't Relations Aggregate Year-to-Date ▼ 1370.76	Transaction ID: A2011-3026843 Amount of Each Receipt this Period 125.06
Full Name (Last, First, Middle Initial) Salvatore Fusco Jr. Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 30 2011 Transaction ID : A2011-3027038 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Account Mgr II Aggregate Year-to-Date ▼	30.00
Primary General Other (specify) ▼	330.00	
Full Name (Last, First, Middle Initial) Jill H Gaither Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 30 2011 Transaction ID: A 2011-2027091
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	NJ 07936 C Occupation Oncology Specialist Aggregate Year-to-Date ▼ 275.00	Transaction ID : A2011-3027091 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	>	180.06
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward G Gajewski Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026618 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Product Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Albert Galloza Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026414 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Lab Technician Receipt For: Aggregate Year-to-Date ▼ Primary General 230.00 Other (specify) Full Name (Last, First, Middle Initial) c. Phillip E Garrett Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026809 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Sr Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Valerie L Gerbino Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Director Sales Training Aggregate Year-to-Date ▼ 330.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027108 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Cindy S Giambrone Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Regl Scientific Dir Aggregate Year-to-Date ▼ 250.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027416 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Melissa F Gifford Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation District Manager II Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3027418 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	100.00
TOTAL This Period (last page this line numb	er only)	

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	I Statements may not be sold or used by any pe the name and address of any political committee	rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Janna S Goodman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Sales Consultant Aggregate Year-to-Date ▼ 302.25	Date of Receipt 11 30 2011 Transaction ID: A2011-3026350 Amount of Each Receipt this Period 27.55
Full Name (Last, First, Middle Initial) Carrie Graham Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Oncol Area Sales Manager II Aggregate Year-to-Date ▼ 219.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027909 Amount of Each Receipt this Period 15.00
Full Name (Last, First, Middle Initial) Roger K Gravitte Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Consumer Health Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation NA Head of Sales Aggregate Year-to-Date ▼ 304.10	Date of Receipt 11 30 2011 Transaction ID : A2011-3026321 Amount of Each Receipt this Period 30.41
SUBTOTAL of Receipts This Page (optional).	· • • • • • • • • • • • • • • • • • • •	72.96
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew L Griggs Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026606 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027863 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Product Director Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph Guido Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027426 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation **ED Information Technology** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 65.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David E Gulick Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026503 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph A Gunning Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026427 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kurt Habel Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026899 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Ops Research Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sarah E Haller Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026815 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation VP International Public Policy Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary Hamman Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026425 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jeffrey W Hardy Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027433 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager II - MS Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Thomas E Harper Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Senior Area Sales Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026717 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Sherri J Hatch Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Regl Scientific Manager Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID : A2011-3027436 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Public Policy Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026849 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)		65.00
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Name of Employer

Novartis Pharmaceuticals

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joseph P Hazelton Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-3026878 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.93 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Customer Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 447.53 Other (specify) Full Name (Last, First, Middle Initial) B. Melaney A Heagy Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3027718 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee.

Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) RICHARD HEDDENS Mailing Address 701 Pennsylvania AveNW Ste 725 City Washington FEC ID number of contributing federal political committee. Name of Employer Novartis Corporation Receipt For: Primary General Other (specify)	State Zip Code DC 20004 C Occupation Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 04 2011 Transaction ID: A2011-2950321 Amount of Each Receipt this Period 20.00
CURTOTAL of Descints This Descriptory		80.93

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Debbie L Henderson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP Business Unit Aggregate Year-to-Date ▼ 825.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027330 Amount of Each Receipt this Period 75.00
Full Name (Last, First, Middle Initial) William S Higgins Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 329.10	Date of Receipt 11 30 2011 Transaction ID: A2011-3026756 Amount of Each Receipt this Period 30.41
Full Name (Last, First, Middle Initial) Robert J Hilkert Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Ex Dir Clinical Research Phys Aggregate Year-to-Date ▼ 250.00	Date of Receipt 11 30 2011 Transaction ID : A2011-3027790 Amount of Each Receipt this Period 50.00
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Cynthia Hogan Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026356 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP IQP (Innovat Quality Prod) Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. John A Hohneker Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026937 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Franchise Head IHC Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. William C Hokanson Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026734 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 105.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Il Action Committee	
Full Name (Last, First, Middle Initial) Woodson M Hopkins Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Managing Director Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027269 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Herve J Hoppenot Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head BU Oncology Aggregate Year-to-Date ▼ 350.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027444 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Kirk R Huber Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Exec Dir IT QA Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3026935 Amount of Each Receipt this Period 20.00
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Valerie L Huyser Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 30 2011
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Sr System of Care Specialist Aggregate Year-to-Date ▼	Transaction ID : A2011-3026508 Amount of Each Receipt this Period 20.00
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Steven R Hvezdos	220.00	Date of Receipt
Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2011-3026540 Amount of Each Receipt this Period
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Executive Sales Consultant Aggregate Year-to-Date ▼	25.00
Other (specify) ▼ Full Name (Last, First, Middle Initial) Eric J Intfen	275.00	Date of Receipt
Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Sr Sales Consultant Aggregate Year-to-Date ▼ 280.02	Transaction ID: A2011-3026472 Amount of Each Receipt this Period 25.54
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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027450 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gail M Jesnig Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027452 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Bruce S Johnson Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026388 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation **Executive Product Director** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Heather L Johnson Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027454 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sara Johnson-Davis Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027260 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mark A Joines Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026696 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

Full Name (Last, First, Middle Initial) Gregory Jones		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026588 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Senior Area Sales Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Sheldon Jones		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3026497
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	166.72
Name of Employer	Occupation	
Novartis Services Incorporated	Global Head of Corp Comm	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1716.31	
Full Name (Last, First, Middle Initial) Deborah Juterbock		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3027206
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Assoc Director Knowlegde Mgmt	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 215.00	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026964 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Oncology BU Head Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bella B Karbachinskaya Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027196 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Ops Research Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027459 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 95.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Thomas N Kendris Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP & General Counsel Aggregate Year-to-Date ▼ 500.00	Transaction ID : A2011-3026372 Amount of Each Receipt this Period 100.00
Full Name (Last, First, Middle Initial) Carol A Kent Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID : A2011-3027115 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Karen L Key Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Business Relationship Mgr Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 30 2011 Transaction ID : A2011-3027663 Amount of Each Receipt this Period 50.00
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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Richard E Knapp Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Director State Gov' Aggregate Year-to-Date ▼ 2450.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026649 Amount of Each Receipt this Period 250.00
Full Name (Last, First, Middle Initial) Mildred O Kowalski Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Marketing Operations Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027191 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Robert W Kowalski Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation SVP & Global Head DRA Aggregate Year-to-Date ▼ 300.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027902 Amount of Each Receipt this Period 100.00
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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Keith A LaDue Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026885 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP IT Division Head Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Steven D Lau Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026629 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Neuro-Psych Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Shawn Laverty Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026917 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 95.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Leigh A Leas Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-3027673 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 334.51 Other (specify) Full Name (Last, First, Middle Initial) B. Richard E Lemire Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026335 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.54 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 957.74 Other (specify)

Full Name (Last, First, Middle Initial) c. David J Lennon Date of Receipt Mailing Address One Health Plaza 30 11 2011 Zip Code City State Transaction ID: A2011-3027903 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Global Head IID Bus. Franchise Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼

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Primary

Other (specify)

General

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			erson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Pol	itical Action Cor	nmittee	
Full Name (Last, First, Middle Initial) Gary D Lindenbaum Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Calculate Address One Health Plaza	State NJ C Occupation Area Sales Aggregate		Date of Receipt 11 30 2011 Transaction ID: A2011-3026906 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Manuel B Litchman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State NJ C Occupation VP Busines	Zip Code 07936	Date of Receipt 11 30 2011 Transaction ID : A2011-3027070 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Richard Lloyd Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Animal Health US Inc. Receipt For: Primary General Other (specify)	State NJ C Occupation CBU Head	Zip Code 07936 North America Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3026271 Amount of Each Receipt this Period 100.00
SUBTOTAL of Receipts This Page (o)	,		155.00
TOTAL This Period (last page this line	number only)	······	

FOR LINE NUMBER: PAGE 65 OF 117 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027067 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Institutes for BioMed VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027017 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 334.51 Other (specify) Full Name (Last, First, Middle Initial) c. Scott W Long Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3027745 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 100.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Roberto Lopez Jr Jr. Mailing Address One Health Plaza		Date of Receipt M M M / D D / Y B Y B Y B Y B Y B Y B Y B Y B Y B Y
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Sales Consultant Aggregate Year-to-Date ▼ 220.00	Transaction ID : A2011-3027640 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Michael J Lorenz Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Manager Training Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027270 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Donald R Losordo Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027065 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional).	····	60.00
TOTAL This Period (last page this line number	er only)	7

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Frederic D Loveland Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026928 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Executive Director R&D Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brenda Luckritz Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 4576.00 Other (specify) Full Name (Last, First, Middle Initial) c. David T Mac Askill Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026705 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Sr LTC Account Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 466.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Joanne K Machalaba Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026939 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Head Compliance & Risk Mgmt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Judith Madsen Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026493 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Richard L Maiocco Date of Receipt Mailing Address One Health Plaza 30 11 2011 Zip Code City State Transaction ID: A2011-3027057 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

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70.00

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John Mandala Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026675 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Dir Reg Strat & Bus Plan Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Frank Maness Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027823 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation **Novartis Finance Corporation** VP HR Receipt For: Aggregate Year-to-Date ▼ Primary General 334.51 Other (specify) Full Name (Last, First, Middle Initial) c. Annette A Mansfield Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026976 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bryan A Maring Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-3027333 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Name of Employer Occupation Area Sales Manager II Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel B Maring Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027768 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kimberly B Marsh Date of Receipt Mailing Address One Health Plaza 30 2011 Zip Code City State Transaction ID: A2011-3026471 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00

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220.00

Occupation

Business Relationship Mgr II

Aggregate Year-to-Date ▼

federal political committee.

Novartis Pharmaceuticals

Other (specify)

General

Name of Employer

Primary

Receipt For:

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	Statements may not be sold or used by any personal ename and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Teresa Mason Mailing Address 3 Pheasant Run Drive City Wilmington FEC ID number of contributing	State Zip Code DE 19810	Date of Receipt 11 30 2011 Transaction ID: A2011-3027920 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation VP Health Policy Aggregate Year-to-Date ▼ 700.00	100.00
Full Name (Last, First, Middle Initial) William R Matthews Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 30 2011 Transaction ID : A2011-3026828
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation Sr Oncol Area Sales Manager	Amount of Each Receipt this Period 42.10
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 462.26	
Full Name (Last, First, Middle Initial) Arthur M Mauceri Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C	Date of Receipt 11 30 2011 Transaction ID: A2011-3027481 Amount of Each Receipt this Period 25.00
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Sr Sales Consultant Aggregate Year-to-Date ▼ 275.00	
SUBTOTAL of Receipts This Page (optional)	<u> </u>	167.10
TOTAL This Period (last page this line number	only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Ne	State Zip Code NJ 07936 Coupation Puro-Psych Specialist Ogregate Year-to-Date 330.00	Date of Receipt 11 30 2011 Transaction ID : A2011-3027076 Amount of Each Receipt this Period 30.00
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Are	State Zip Code NJ 07936 Cupation ea Sales Manager II - MS eggregate Year-to-Date 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026558 Amount of Each Receipt this Period 20.00
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Page int Eart	State Zip Code NJ 07936 Coupation anaging Director ggregate Year-to-Date 440.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026747 Amount of Each Receipt this Period 40.00
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John C Mc Partland Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027482 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir IT Division Head Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher R McBurney Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027483 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Product Management Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Vivian H McCain Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026338 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Dir Unit Lead Novartis Pharma Suffern Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Catharine M McGeehan Mailing Address One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3026678
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Asc Director Gov't Relations	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	925.00	
Full Name (Last, First, Middle Initial) 3. Paul J McGinley	•	Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	11 30 2011 Transaction ID : A2011-3027487
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Product Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 334.51	
Full Name (Last, First, Middle Initial) C. Edward J McKenna		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3027774
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Account Mgr II	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	330.00	
SUBTOTAL of Receipts This Page (optional)	160.41
TOTAL This Period (last page this line numl	ber only)	
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) William D McLaury Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	State Zip Code NJ 07936 C Occupation Exec Dir Supply Chain Mngmt	Transaction ID : A2011-3026720 Amount of Each Receipt this Period 100.00
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
Full Name (Last, First, Middle Initial) Matthew A McNally Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2011-3027839 Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Oncology Specialist Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Brian J McNamara Mailing Address One Health Plaza		Date of Receipt 11 30 2011
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Consumer Health Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation OTC Region BU Head Americas Aggregate Year-to-Date ▼ 1100.00	Transaction ID : A2011-3026320 Amount of Each Receipt this Period 100.00
SUBTOTAL of Receipts This Page (optional)	•	220.00
TOTAL This Period (last page this line number	er only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) JOSHUA MEIKRANTZ		Date of Receipt
Mailing Address 701 Pennsylvania AveNW Ste 725		11 04 2011
City Washington	State Zip Code DC 20004	Transaction ID : A2011-2950320 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer Novartis Corporation Receipt For: □ Primary □ General Other (specify) ▼	Occupation Manager Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Wayne P Merkelson Mailing Address One Health Plaza		Date of Receipt 11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3027007 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	75.00
Name of Employer Novartis Finance Corporation	Occupation VP Tax Law	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 825.00	
Full Name (Last, First, Middle Initial) C. Thomas E Michaels		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026646 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Managing Director Aggregate Year-to-Date ▼ 315.00	
SUBTOTAL of Receipts This Page (optional).	>	125.00
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286.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

334.51

Occupation

Dir Communications

Aggregate Year-to-Date ▼

Name of Employer

Primary

Receipt For:

Novartis Vaccines & Diagnostics

Other (specify)

General

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Polly L Murphy Mailing Address On Health Blaze		Date of Receipt
Mailing Address One Health Plaza		11 30 _ 2011 _
City	State Zip Code	Transaction ID : A2011-3027500
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.41
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Xolair Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 334.51	
Full Name (Last, First, Middle Initial) 3. Michael E Nanfito		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3026424
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Senior District Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 330.00	
Full Name (Last, First, Middle Initial) C. Vas Narasimhan		Date of Receipt
Mailing Address 350 Massachusetts Ave 350 MA # 222		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Cambridge	State Zip Code MA 02139	Transaction ID : A2011-2950296 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Region Head of North America	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1100.00	
SUBTOTAL of Receipts This Page (optional)		160.41
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Any information copied from such Reports and S or for commercial purposes, other than using the		rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) John Chong Neal Mailing Address 350 Massachusetts Ave 350 MA # 212F City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Dir Marketing Aggregate Year-to-Date ▼	Date of Receipt M M M / 04 2011 Transaction ID: A2011-2950299 Amount of Each Receipt this Period 70.00
Full Name (Last, First, Middle Initial) Clifford R Neukrug Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncol Area Sales Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027765 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) Laura M Ney Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Senior District Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026706 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		115.00
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	nd Statements may not be sold or used by any pe the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Peter Ng Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Project Mgmt Planning Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027015 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) An V Nguyen Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation AD Sr Application Manager Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027693 Amount of Each Receipt this Period 25.00
Full Name (Last, First, Middle Initial) James D Nickoli Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Neuro-Psych Specialist Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027135 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional))	65.00
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Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commendate purposes, other than using the name and address of any political committee. NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James R Niebanck Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Pull Name (Last, First, Middle Initial) Ageregate Year-to-Date ▼ Primary General Other (specify) ▼ State Zip Code Dir Operations Reveigle For: Primary General Other (specify) ▼ State Zip Code Bast Hanover NJ 07936 Amount of Each Receipt this Period Transaction ID : A2011-3026820 Amount of Each Receipt Transaction ID : A2011-302750e Amount of Each Receipt Transaction ID : A2011-302750e Amount of Each Receipt Transaction ID : A2011-302750e Amount of Each Receipt this Period Transaction ID : A2011-302750e Amount of Each Receipt this Period Transaction ID : A2011-302750e Amount of Each Receipt this Period Transaction ID : A2011-302750e Amount of Each Receipt this Period Transaction ID : A2011-302750e Amount of Each Receipt this Period Transaction ID : A2011-302750e Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-3026821 Amount of Each Receipt this Period Transaction ID : A2011-302682	TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James R Niebanck Mailing Address One Heath Plaza Occupation Novaris Pharmaceuticals Mailing Address One Heath Plaza City Primary General Other (specify) ▼ State Zip Code Novaris Pharmaceuticals Mailing Address One Heath Plaza City State Zip Code Novaris Pharmaceuticals Mailing Address One Heath Plaza City State Zip Code NJ 07936 Amount of Each Receipt this Period Transaction ID: A2011-3026820 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2011-3026820 Amount of Each Receipt this Period Transaction ID: A2011-3026820 Amount of Each Receipt this Period Each Receipt Transaction ID: A2011-3027506 Amount of Each Receipt this Period Each Receipt Tits Period Transaction ID: A2011-3027506 Amount of Each Receipt Tits Period Each Rece			erson for the purpose of soliciting contributions
A. James R Niebanck Mailing Address One Health Plaza City State Zip Code Bast Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Recept For: Cly State Zip Code NJ 07936 Cocupation Dir Operations Recept For: Primary General Other (specify) State Zip Code Bast Hanover NJ 07936 FEC ID number of contributing federal political committee. Cly State Zip Code NJ 07936 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3026820 Amount of Each Receipt Transaction ID: A2011-3026820 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 Transaction ID: A2011-3027696 Amount of Each Receipt III 30 2011 Transaction ID: A2011-3027696 T	,	Action Committee	
Milling Address One Health Plaza City East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Mailing Address One Health Plaza C C C C C C C C C C C C C C C C C C	A. James R Niebanck Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	NJ 07936 C Occupation Dir Operations Aggregate Year-to-Date ▼ 334.51	11 30 2011 Transaction ID : A2011-3026820 Amount of Each Receipt this Period
Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Date of Receipt Transaction ID : A2011-3026621 Amount of Each Receipt this Period 25.00 Aggregate Year-to-Date ▼ 275.00	Michael A Niesel Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	NJ 07936 C Occupation Sr Xolair Specialist Aggregate Year-to-Date ▼	11 30 2011 Transaction ID : A2011-3027506 Amount of Each Receipt this Period
SUBTOTAL of Receipts This Page (optional)	City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	NJ 07936 C Occupation District Manager II Aggregate Year-to-Date ▼ 275.00	11 30 2011 Transaction ID : A2011-3026621 Amount of Each Receipt this Period
TOTAL This Period (last page this line number only).		<u> </u>	75.41

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or for commercial purposes, other than using the	Statements may not be sold or used by any personal ename and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) A. Hugh M O'Dowd Mailing Address One Health Plaza	Date of Receipt	
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Latam Region Head Oncology Aggregate Year-to-Date ▼	Transaction ID : A2011-3026657 Amount of Each Receipt this Period 50.00
Other (specify) ▼ Full Name (Last, First, Middle Initial) Shawn O'Neail Mailing Address 608 Fifth Avenue City New York	State Zip Code NY 10020	Date of Receipt 11 30 2011 Transaction ID: A2011-3027918
Rew York FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼	Occupation Ex Dir Fed Leg Affairs Aggregate Year-to-Date 1000.00	Amount of Each Receipt this Period 100.00
Full Name (Last, First, Middle Initial) Sharon N Olmstead Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C Occupation VP DRA Liaison Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID : A2011-3027937 Amount of Each Receipt this Period 50.00
Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional)	250.00	200.00
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey S Osmundson Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026517 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Area Sales Manager II - MS Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Craig S Osten Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026386 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Corp Plan & Sls Dmnd Anlys Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) c. Serafina Oxner Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027035 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Strategic Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Si or for commercial purposes, other than using the	atements may not be sold or used by any perso name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) A. Melissa A Parker		Date of Receipt
Mailing Address One Health Plaza		11 30 _ 2011 _
City	State Zip Code	Transaction ID : A2011-3027095
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Regional Dir Acct Management	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	330.00	
Full Name (Last, First, Middle Initial) Stacy A Parker		Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y
City	State Zip Code	11 30 2011 Transaction ID : A2011-3027232
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	District Manager II	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) C. Kevin T Patten		Date of Receipt
Mailing Address One Health Plaza		11 30 2011 _
City	State Zip Code	Transaction ID : A2011-3026363
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	District Manager II	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	220.00	
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Com	nmittee					
Full Name (Last, First, Middle Initial) A. Gregory A Peters Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	State NJ C Occupation Sr Account	Zip Code 07936	11 Trans	Receipt / 30 action ID: A			00
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 275.00					
Full Name (Last, First, Middle Initial) 3. Wayne F Pflanz Mailing Address One Health Plaza			Date of	Receipt / D D 30	/ Y	2011	Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2011-3026369 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С					20.	00
Name of Employer Novartis Pharmaceuticals	Occupation Senior Distri	ct Manager					
Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 220.00					
Full Name (Last, First, Middle Initial) Joseph H Phillips Mailing Address One Health Plaza			Date of	Receipt	/ Y	- Y - Y -	Y
City	State	Zip Code	11 Trans	30 saction ID :	\2011 <u>-</u> 3(2011	
East Hanover	NJ	07936		of Each Re			
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Name of Employer	Occupation						
Novartis Pharmaceuticals Receipt For:	T .	-Psych Specialist					
Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 220.00					
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Elizabeth A Pinamonti Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026761 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul G Pochtar Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026915 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Oncology Managed Markets Receipt For: Aggregate Year-to-Date ▼ Primary General 1230.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael J Pocza Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026370 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Director OU Account Mgmt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Denise Polis Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2011 Transaction ID : A2011-3027025 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Barbara Porcher Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3027522 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer Novartis Pharmaceuticals	Occupation Sr Sales Consultant	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) C. Bernard M Porter		Date of Receipt
Mailing Address One Health Plaza	Ctoto	11 30 Y = Y = Y = Y = Y
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2011-3027034 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer Novartis Pharmaceuticals	Occupation Sr. Asc Dir Account Mgmt	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Diana Potter Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Scientific Operations/TTD Aggregate Year-to-Date ▼ 550.00	Transaction ID : A2011-3026910 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Kelly D Prather Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Managing Director Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027225 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Jeffrey P Prejean Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation District Manager II Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3027229 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	90.00
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East Hanover

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Transaction ID: A2011-3027634

Amount of Each Receipt this Period

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Receipt For: Primary Other (spe	committee. ver ceuticals General	C Occupation Executive Sales Consultant Aggregate Year-to-Date ▼	20.00
	First, Middle Initial)		Date of Receipt
	One Health Plaza		11 30 / Y = Y = Y = Y
City East Hanover		State Zip Code NJ 07936	Transaction ID : A2011-3027701 Amount of Each Receipt this Period
FEC ID number federal political of	· ·	С	50.00
Name of Employ	ver	Occupation	1
Novartis Pharma	ceuticals	Sr Product Manager	
Receipt For: Primary Other (spe	☐ General cify) ▼	Aggregate Year-to-Date ▼ 550.00	
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Eileen M Quinn Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026656 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas D Rader Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026642 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Timothy A Rancourt Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027056 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Rebecca W Reid Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027094 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 47.90 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 526.90 Other (specify) Full Name (Last, First, Middle Initial) B. Marc Britton Reuss Date of Receipt Mailing Address 350 Massachusetts Ave 350 MA # 274 11 04 2011 City State Zip Code Transaction ID: A2011-2950297 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Sheila A Riddell Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026839 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 117.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Keith C Riddiford Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026711 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec. Director Sales Training Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026969 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Rheannon Rivers Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027669 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 290.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Pamela Roberts Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026862 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Legal Data Services Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Randi C Roberts Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027532 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Allan P Robinson Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027770 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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60.00

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Rodney M Roggow Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-3026518 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bruce Ruscio Date of Receipt Mailing Address 608 Fifth Avenue 11 04 2011 City State Zip Code Transaction ID: A2011-2950295 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Name of Employer Occupation **Novartis Corporation** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 880.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brett C Russ Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027890 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 135.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statemer or for commercial purposes, other than using the name		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Committee	
Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼ Aggr	'	Date of Receipt 11 30 2011 Transaction ID: A2011-3027822 Amount of Each Receipt this Period 30.00
Novartis Pharmaceuticals Sr On	'	Date of Receipt 11 30 2011 Transaction ID: A2011-3026673 Amount of Each Receipt this Period 20.00
Novartis Pharmaceuticals Exec		Date of Receipt 11 30 2011 Transaction ID: A2011-3027919 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)	••••••••••••••••••••••••••••••••••••••	100.00

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joseph M Simon Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026701 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Exec Xolair Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. William E Simon Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026842 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. David P Sipe Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026787 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) John G Slick Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation District Manager II Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3027147 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Gregory R Slyfield Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Director State Gov' Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027553 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Harold T Smith Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Aggregate Year-to-Date ▼ 275.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026895 Amount of Each Receipt this Period 25.00
SUBTOTAL of Receipts This Page (optional)	•	65.00
TOTAL This Period (last page this line number o	nly)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Katherine E Solon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary Other (specify) General	State Zip Code NJ 07936 C Occupation Ex Dir Fed Leg Affairs Aggregate Year-to-Date ▼ 2750.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3027658 Amount of Each Receipt this Period 250.00
Full Name (Last, First, Middle Initial) Curtis Sosnowski Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Business Relationship Mgr Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026644 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) William S Spelta Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Ex Dir Sales Aggregate Year-to-Date ▼ 334.51	Date of Receipt 11 30 2011 Transaction ID: A2011-3026426 Amount of Each Receipt this Period 30.41
SUBTOTAL of Receipts This Page (optional)		300.41
TOTAL This Period (last page this line numbe	r only)	

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Ashley D Stephens		Date of Receipt
Mailing Address One Health Plaza		1,1 30 2011
City	State Zip Code	Transaction ID : A2011-3027151
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	gg.ogato Total to Date ▼	
Other (specify) ▼	220.00	
Full Name (Last, First, Middle Initial) 3. Donald P Stevens		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
		11 30 2011
City	State Zip Code	Transaction ID : A2011-3026537
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	75.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Director State Gov't Affairs	
Receipt For:		1
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	, 825.00	
Full Name (Last, First, Middle Initial)		Date of Receipt
		†
Mailing Address One Health Plaza	0.1.	11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026637 Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	25.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Sr Account Mgr	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	275.00	
Other (specify) ▼	215.00	
SUBTOTAL of Receipts This Page (optional)		120.00
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TOTAL This Period (last page this line number	r only)	7 7

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Matthew C Stillwell Mailing Address One Health Plaza		Date of Receipt 1.1 30 7 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2011-3026560 Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Sr Account Mgr Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Peter N Streit Mailing Address One Health Plaza		Date of Receipt 11 30 2011
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2011-3027004 Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Dir Sales Aggregate Year-to-Date ▼ 330.00	
Full Name (Last, First, Middle Initial) KEVIN STRICKLAND Mailing Address 701 Pennsylvania AveNW Ste 725 City Washington FEC ID number of contributing federal political committee. Name of Employer Novartis Corporation Receipt For: Primary General Other (specify)	State Zip Code DC 20004 C Occupation Manager Aggregate Year-to-Date ▼ 220.00	Date of Receipt 11 04 2011 Transaction ID: A2011-2950326 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)	>	70.00
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard Tessier Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-3027064 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Regional Project Eng Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Pamela H Tolar Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026775 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Barbara A Tombros Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026902 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 74.07 С federal political committee. Name of Employer Occupation Dir Strategic Alliance Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 812.59 Other (specify) 144.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christina M Tremains Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027130 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 65.21 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Business Relationship Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 714.13 Other (specify) Full Name (Last, First, Middle Initial) **B.** Aaron L Trenary Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027106 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Neuro-Psych Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randolph A Troxell III III Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026753 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 105.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Thomas A Urban Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Exec Xolair Specialist Aggregate Year-to-Date ▼ 356.86	Date of Receipt 11 30 2011 Transaction ID: A2011-3026364 Amount of Each Receipt this Period 32.58
Full Name (Last, First, Middle Initial) Lisa R Utt Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 330.00	Date of Receipt 11 30 2011 Transaction ID: A2011-3026504 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Edwin Valeriano Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Corporation Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Investor Rel Aggregate Year-to-Date ▼	Date of Receipt 11 30 2011 Transaction ID: A2011-3027581 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)		112.58
TOTAL This Period (last page this line numbe	r only)	7

SCHEDULE A (FEC Form 3X)

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Russell E Veitenheimer Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C Occupation	Date of Receipt 11 30 2011 Transaction ID: A2011-3026535 Amount of Each Receipt this Period 25.00
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Senior District Manager Aggregate Year-to-Date ▼ 275.00	
Full Name (Last, First, Middle Initial) 3. William W Voegtli Mailing Address One Health Plaza		Date of Receipt 11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026496 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer Novartis Pharmaceuticals	Occupation Senior Reimbursement Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 334.51	
Full Name (Last, First, Middle Initial) 2. Andrew J Volante		Date of Receipt
Mailing Address One Health Plaza		11 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-3026807 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	VP Bus Franchise Oncology	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
SUBTOTAL of Receipts This Page (optional)		155.41
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Cynthia K Walker Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026823 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Asc Dir Regl Accts Proj Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** ANTHONY WALLACE Date of Receipt Mailing Address 701 Pennsylvania AveNW Ste 725 2011 11 04 City State Zip Code Transaction ID: A2011-2950317 DC Washington 20004 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation **Novartis Corporation** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Steven A Waller Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027111 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation **Executive Sales Consultant** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Keith H Watson Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026758 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Stephan M Webb Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027224 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Training Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael D Webster Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027100 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.67 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 500.57 Other (specify) 120.67 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jane E Welborn Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026567 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 53.19 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 584.05 Other (specify) Full Name (Last, First, Middle Initial) B. Vernon E Wellington Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3026978 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randy S Wilbur Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3027072 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Operations Mgmt Sr Coordinator Novartis Pharma Suffern Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 98.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 115 OF 117 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward S Williams Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-3026776 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. James E Williams Date of Receipt Mailing Address One Health Plaza 30 11 2011 City State Zip Code Transaction ID: A2011-3027852 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Dir Clinical Research Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brad C Willie Date of Receipt Mailing Address One Health Plaza 30 11 2011 City Zip Code State Transaction ID: A2011-3026521 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 245.00 Other (specify) 145.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
/		
Full Name (Last, First, Middle Initial) A. Karen M Wilshire		Date of Receipt
Mailing Address One Health Plaza		Date of Receipt
Mailing Addition Offe Health Plaza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3026541
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Executive Sales Consultant	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	220.00	
Full Name (Last, First, Middle Initial) 3. Robert T Wohlgamuth	'	Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y
		11 30 2011
City	State Zip Code	Transaction ID : A2011-3026731
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Oncol Area Sales Manager	
Receipt For:		1
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	330.00	
Full Name (Last, First, Middle Initial) Stephen A Woolford		Date of Receipt
Mailing Address One Health Plaza		Mam / Dab / Yayayay
One Health laza		11 30 2011
City	State Zip Code	Transaction ID : A2011-3027031
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Ex Dir Business Plan'g & Analy	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	550.00	
SUBTOTAL of Receipts This Page (ontional)		100.00
TOTAL This Period (last page this line numb	per only)	14612.89

SCHEDULE B (FEC Form 3X)		EOD I	FOR LINE NUMBER: PAGE 117 OF 117								
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check		NOIVIDEIT.					0	17 01 117	
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NAME OF COMMITTEE (In Full)											
angle Novartis Corporation Political Action	n Committee										
Full Name (Last, First, Middle Initial)			-								
- Bank of New York				Date of	Dis	burse	ment				
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Mailing Address 101 Barclay St.				11		14		_ 20			
-											
,	state Zip Code NY 10286			Trans	actio	on ID	: B402	171			
New York Purpose of Disbursement	NY 10286										
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Candidate Name		Category	,,		-	-	-	-	-		
		Type	.			,			45.	.00	
Office Sought: House Disbursem	nent For: 2011										
	Primary General										
State: NY District:	Other (specify) ▼ Not Applicable	<u>.</u>									
	Not Applicable										
Full Name (Last, First, Middle Initial) 3.				Date of	Dis	hurse	ment				
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Mailing Address				" "	ľ						
City	state Zip Code										
Purpose of Disbursement											
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Candidate Name		Category	,/		-	-	-		-	_	
		Type	´			,					
Office Sought: House Disbursem											
	Primary General										
President State: District:	Other (specify) ▼										
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	Primary General										
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