Image# 12972535923			_	PAGE 1 / 11
	EPORT OF RIND DISBURSI Other Than An Authori	EMENTS		
			Office U	se Only
1. NAME OF TYF COMMITTEE (in full)		Example: If typing, type over the lines.	12FE4M5	
			MMITTEE (IAA-P	AC)
ADDRESS (number and street)	050 17TH ST NW SUITE 725			
Check if different than previously reported. (ACC)	VASHINGTON		DC 20036	<u> </u>
2. FEC IDENTIFICATION NUME				
C C00440826	3. IS TH REPO		AMENDED (A)	
 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q1) 	(b) Monthly Report Due On: Apr 20 (1 (c) 12-Day	M3) Jun 20 (M6)		Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only) Jan 31 (YE) Runoff (12R)
July 15 Quarterly Report (Q2) Cotober 15 Quarterly Report (Q3) January 31 Your End Report (VE)	PRE-Election Report for the:	Convention (12C)	Special (12S)	in the State of
Year-End Report (YE) July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST -Election Report for the:	General (30G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Election on	M = M / D = D /	Y = Y = Y = Y	in the State of
5. Covering Period 07	/ D D / Y Y Y Y 01 2012	through 09	/ D D / Y Y 30 20	12 12
I certify that I have examined this R Type or Print Name of Treasurer	eport and to the best of my	knowledge and belief it is t	rue, correct and comple	te.
Signature of Treasurer	. Simon	[Electronically Filed]	Date 10 / 14	D / Y Y Y Y 2012
NOTE: Submission of false, erroneous	, or incomplete information may	y subject the person signing	this Report to the penalti	es of 2 U.S.C. §437g.
Office Use Only				FORM 3X Rev. 12/2004

10/14/2012 10 : 10

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

R	eport Covering the Period: From:	7 01 / Y Y Y Y Y 7 01 2012 To:	M M / D D / Y
		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2012		49870.55
	(b) Cash on Hand at Beginning of Reporting Period	41876.60	
	(c) Total Receipts (from Line 19)	7986.50	8992.55
	 (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) 	49863.10	58863.10
7.	Total Disbursements (from Line 31)	1000.00	10000.00
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	48863.10	48863.10
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DET FEC Form 3X (Rev. 06/2004)	FAILED SUMMARY PAGE of Receipts	Page 3
INVESTMENT ADVISER ASSOCIATI		
Report Covering the Period: From: 07	0 <u>1</u>	09 30 2012
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	6750.00	7750.00
(ii) Unitemized	1225.00	1225.00
(iii) TOTAL (add Lines 11(a)(i) and (ii)►	7975.00	8975.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry		9075-00
Totals to Line 33, page 5) 12. Transfers From Affiliated/Other	7975.00	8975.00
Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5) 16. Refunds of Contributions Made	0.00	0.00
to Federal Candidates and Other		
Political Committees 17. Other Federal Receipts	0.00	0.00
(Dividends, Interest, etc.)	11.50	17.55
 Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 		
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))►	7986.50	8992.55
20. Total Federal Receipts (subtract Line 18(c) from Line 19)►	7986.50	8992.55

FE6AN026

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)		Page 4
II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.0
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.0
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	0.00	0.0
Transfers to Affiliated/Other Party Committees	0.00	0.0
Contributions to Federal Candidates/Committees and Other Political Committees		
and Other Political Committees	1000.00	10000.00
(use Schedule E) Coordinated Party Expenditures	0.00	0.0
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.0
Loan Repayments Made	0.00	0.00
Loans Made	0.00	0.00
Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees	0.00	0.0
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.0
(such as PACs)	7 7 7 0.00	
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))►	0.00	0.00
Other Disbursements	0.00	0.00
Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity		
(from Schedule H6) (i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.0
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))►	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	1000.00	10000.0
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	1000.00	10000.00

FE6AN026

L

DETAILED SUMMARY PAGE

of Disbursements

III. Net Contributions/Operating Ex- penditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	7975.00	8975.00
 Total Contribution Refunds (from Line 28(d)) 	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	7975.00	8975.00
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))►	0.00	0.00
 Offsets to Operating Expenditures (from Line 15, page 3) 	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the

FOR LINE NUMBER:

(check only one)

PAGE 6 OF

11

	EMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17		
	y information copied from such Reports and S for commercial purposes, other than using the					
	NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASSC	CIATION	I POLITICAL ACTION	COMMITTEE (IAA-PAC)		
Α.	Full Name (Last, First, Middle Initial) Robert T. burns Mailing Address One Post Office Square			Date of Receipt		
	Mailstop A-16-E			09 13 2012		
	City	State	Zip Code	Transaction ID : SA11AI.4588		
	Boston	MA	02109-2106	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	С		750.00		
	Name of Employer	Occupation		Contribution		
	Putnam Investment Management	General Co	unsel			
	Receipt For:	Aggregate	Year-to-Date ▼			
	Primary General	00 0				
	Other (specify)		750.00			
В.	Full Name (Last, First, Middle Initial) Faris Chesley			Date of Receipt		
	Mailing Address 10 South LaSalle Street Suite 3250			M = M / D = D / Y = Y = Y = Y Y 08 08 2012 1		
	City	State	Zip Code	Transaction ID : SA11AI.4586		
	Chicago	IL	60603	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	С		500.00		
	Name of Employer	Occupation		Contribution		
	Chesley, Taft & Associates, LL	Chairman				
	Receipt For:	Aggregate	Year-to-Date ▼			
	Primary General					
	Other (specify)		500.00			
с.	Full Name (Last, First, Middle Initial) Michael Cornfeld			Date of Receipt		
	Mailing Address 7101 Wisconsin Avenue			M = M / D = D / Y = Y = Y		
	Suite 1112	01-1-1	7. 0. 1.	08 02 2012		
	City Bethesda	State MD	Zip Code 20814-4878	Transaction ID : SA11AI.4581		
		INID	20014-4070	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	С		250.00		
	Name of Employer	Occupation		Contribution		
	Heritage Investors Management	President				
	Receipt For:	Aggregate	Year-to-Date ▼			
	Primary General	33 - 3	250.00			
		Other (specify)				
s	UBTOTAL of Receipts This Page (optional)		····· •	1500.00		

TOTAL This Period (last page this line number only)......

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SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the

FOR LINE NUMBER:

(check only one)

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11

			for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
	y information copied from such Reports and S for commercial purposes, other than using the			
	NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASSO	CIATION	POLITICAL ACTION	COMMITTEE (IAA-PAC)
Α.	Full Name (Last, First, Middle Initial) Peter Courtney Mailing Address 67 Mason Street			Date of Receipt
	City Greenwich	State CT	Zip Code 06830-3104	07 02 2012 Transaction ID : SA11AI.4567 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		250.00
	Name of Employer Greenwich Investment Mgmt. Receipt For: Primary General Other (specify) ▼	Occupation Managing D Aggregate		
в.	Full Name (Last, First, Middle Initial) William Frels Mailing Address W. 1520 First National Bank B	ldg	, ,	Date of Receipt
	332 Minnesota Street City St Paul FEC ID number of contributing federal political committee.	State MN	Zip Code 55101	07 09 2012 Transaction ID : SA11AI.4571 Amount of Each Receipt this Period 1000.00
	Name of Employer Mairs & Power, Inc. Receipt For: Primary General	Occupation Chairman a Aggregate		Contribution
 C.	Other (specify) ▼ Full Name (Last, First, Middle Initial) Joan M. Giardina Mailing Address 10 South LaSalle Street		1000.00	Date of Receipt
	City Chicago	State IL	Zip Code 60603	09 05 2012 Transaction ID : SA11AI.4587 Amount of Each Receipt this Revised
	FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period
	Name of Employer Chesley,Taft & Associates, LLC Receipt For:	Occupation Senior Vice	President	Contribution
	Primary General Other (specify)	Aggregate	Year-to-Date ▼ 500.00	
\vdash	UBTOTAL of Receipts This Page (optional)			1750.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the

FOR LINE NUMBER:

(check only one)

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11

	EMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17			
	y information copied from such Reports and S for commercial purposes, other than using the						
	NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASSC	CIATION	POLITICAL ACTION	COMMITTEE (IAA-PAC)			
Α.	Full Name (Last, First, Middle Initial) William Goldstein			Date of Receipt			
	Mailing Address 150 South Wacker Drive Suite 3050 City	State	Zip Code	M M / D D / Y			
	Chicago	IL	60606	Transaction ID : SA11AI.4585 Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	С		500.00			
	Name of Employer	Occupation		- Contribution			
	Lodestar Investment Counsel Receipt For:	Chairman		_			
	Primary General Other (specify)	Aggregate	Year-to-Date ▼ 500.00				
В.	Full Name (Last, First, Middle Initial) Craig Hester			Date of Receipt			
	Mailing Address 1301 S. Mopac Expressway Suite 350			09 24 2012			
	City Austin	State TX	Zip Code 78746	Transaction ID : SA11AI.4600 Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	С		500.00			
	Name of Employer Hester Capital Management, LLC	Occupation CEO and C		- Contribution			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00				
<u>с</u> .	Full Name (Last, First, Middle Initial) Brian Kenney			Date of Receipt			
	Mailing Address 1 Northfield Plaza Suite 505			07 30 2012			
	City Northfield	State IL	Zip Code 60093-1293	Transaction ID : SA11AI.4579 Amount of Each Receipt this Period			
	FEC ID number of contributing federal political committee.	С		250.00			
	Name of Employer	Occupation		Contribution			
	Kenney Investments, Inc.	President					
	Receipt For:	Aggregate	Year-to-Date ▼ 250.00				
F	UBTOTAL of Receipts This Page (optional) OTAL This Period (last page this line number			1250.00			

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

(check only one)

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••			Detailed Summary Page		< 11a		11b	11c		12	_		
<u> </u>					13		14	15		16		17	
	ny information copied from such Reports and for commercial purposes, other than using th												
	NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASSO	OCIATION	POLITICAL ACTION	CO	ММІТ	TE	EE (IA	A-PA	C)				
Α.	Full Name (Last, First, Middle Initial) Todd Lowe				Date o	f Re	eceipt						
	Mailing Address 9900 Corporate Campus Driv Suite 2100	/e			м м 07	/	09	/ Y		ү 012	Y		
	City	State	Zip Code		Trans	sact	ion ID : S	SA11AI.	457	2			
	Louisville	KY	40223-5010		Amoun	t of	Each Re	eceipt th	is F	'eriod			
	FEC ID number of contributing federal political committee.	С					7	7		250.			
	Name of Employer	Occupation		- '	Contribu	ution	n						
	Parthenon LLC	President, (Chief Compliance Officer										
	Receipt For:	Aggregate	Year-to-Date ▼										
	Primary General Other (specify) ▼		250.00										
В.	Full Name (Last, First, Middle Initial) George Rieger				Date o	f Re	eceipt						
	Mailing Address 67 Mason Street						02	/ Y)12	Y		
	City State Zip Code						Transaction ID : SA11AI.4570						
	Greenwich	СТ	06830-3104	Amount of Each Receipt this Period									
	FEC ID number of contributing federal political committee.	С									00		
	Name of Employer Greenwich Investment Managemen												
	Receipt For:	Aggregate	Year-to-Date ▼										
	Primary General Other (specify) ▼		1000.00]									
с.	Full Name (Last, First, Middle Initial) Jon Theobald					f Re	eceipt						
	Mailing Address W. 1520 First National Bank Bldg 332 Minnesota Street						02	/ Y) 12	Y		
	City	State	Zip Code		Trans	sact	ion ID :	SA11AI.	456	9			
	ST Paul	MN	55101		Amoun	t of	Each Re	eceipt th	is F	'eriod			
	FEC ID number of contributing federal political committee.	С					7			1000.	00		
	Name of Employer	Occupation			Contrib	utior	1						
	Mairs & Power, Inc.	President 8	. COO										
	Receipt For:	Aggregate	Year-to-Date ▼										
	Primary General Other (specify) ▼		1000.00										
s	UBTOTAL of Receipts This Page (optional)			 ►			1			1750.(00]	

TOTAL This Period (last page this line number only).....

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SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the

FOR LINE NUMBER:

(check only one)

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Any information copied from such Reports and Statements may not be old or used by any person for the purposes, divery barren to the purpose, divergence to the purpose of the purposes, divergence to the purpose, divergence t		EMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC) A. George Young A. George Young Mailing Address 601 Poydras Street C Stue 1808 LA City State PEC ID number of contributing C City State State Cocupation City General Office (specify) Cocupation State Zip Code Name of Employer Cocupation State Zip Code Mailing Address CCO Receipt For: Occupation City State Mailing Address C City State Name of Employer Occupation Receipt For: Occupation Peci ID number of contributing tederal political committee. Aggregate Year-to-Date V Other (specify) Aggregate Year-to-Date V Other (specify) Aggregate Year-to-Date V Other (specify) C Mailing Address City State Zip Code Recipt For: Occ	An or	for commercial purposes, other than using the	atements maname and a	ay not be sold or used by any pe ddress of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
A. George Young Date of Receipt Mailing Address 601 Poydras Street 00 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	$\Big\rangle$			N POLITICAL ACTION	COMMITTEE (IAA-PAC)
Name of Employer B. B. B. Mailing Address City State City Pull Name (Last, First, Middle Initial) City Receipt For: Pinnary General City State City State City State City State City State City State City State City State City Subtortal General Other (specify) ✓ City Subtortal Subtortal City Subtortal City Subtortal City Subtortal City Subtortal City Subtortal City Subto	Α.	George Young Mailing Address 601 Poydras Street Suite 1808 City New Orleans FEC ID number of contributing federal political committee.	C	70130	Mmm / PD / Y
B. Date of Receipt City State Zip Code FEC ID number of contributing federal political committee. C Amount of Each Receipt this Period Name of Employer Occupation C Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Date of Receipt Cty State Zip Code Amount of Each Receipt this Period C Mailing Address C Date of Receipt City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. C Amount of Each Receipt this Period Name of Employer Occupation Aggregate Year-to-Date ▼ Amount of Each Receipt this Period SuBTOTAL of Receipts This Page (optional)		St. Denis J. Villere & Co LLC Receipt For: Primary General	cco	Year-to-Date ▼	
FEC ID number of contributing federal political committee. C Name of Employer Occupation Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Date of Receipt C. Mailing Address City State Zip Code FEC ID number of contributing federal political committee. Occupation Name of Employer Occupation Receipt For: Occupation Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ FEC ID number of contributing federal political committee. Occupation Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ SUBTOTAL of Receipts This Page (optional)	в.	Mailing Address	State	Zip Code	
Primary General Other (specify) ▼ Image: Specify of the folded to bate V Full Name (Last, First, Middle Initial) Image: Specify of the folded to bate V Mailing Address Image: Specify of the folded to bate V City State Zip Code FEC ID number of contributing federal political committee. Image: Specify of the folded to bate V Image: Specify of the folded to bate V Name of Employer Occupation Aggregate Year-to-Date ▼ Image: Specify of the folded to bate V SUBTOTAL of Receipts This Page (optional)		federal political committee.			Amount of Each Receipt this Period
C. Date of Receipt Mailing Address Image: Comparison of Contributing federal political committee. FEC ID number of contributing federal political committee. C Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ SubtrotAL of Receipts This Page (optional)		Primary General	Aggregate	Year-to-Date V	
FEC ID number of contributing federal political committee. C Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Image: Committee Commi	C.	Mailing Address	State	Zip Code	
Primary General Other (specify) ▼ General SUBTOTAL of Receipts This Page (optional)		federal political committee.			
		Primary General	Aggregate	Year-to-Date ▼	
TOTAL This Period (last page this line number only)					500.00

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE				
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	one) 22 X 23 24 25 26 28a 28b 28c 29 30b			
Any information copied from such Reports and Sta or for commercial purposes, other than using the n						
NAME OF COMMITTEE (In Full)						
	CIATION POLITICAL A	ACTION CO	OMMITTEE (IAA-PAC)			
Full Name (Last, First, Middle Initial) A. PERLMUTTER FOR CONGRES	S		Date of Disbursement			
Mailing Address 3440 YOUNGFIELD STREET #264			09 04 _2012 _			
City WHEAT RIDGE	State Zip Code CO 80033		Transaction ID : SB23.4610			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/ Type	1000.00			
Office Sought: X House Disburs Senate President	eement For: 2012 Primary X General Other (specify) ▼					
State: CO District: 07						
Full Name (Last, First, Middle Initial) B.			Date of Disbursement			
Mailing Address						
City	State Zip Code					
Purpose of Disbursement			Amount of Each Disbursement this Period			
Candidate Name		Category/ Type				
Senate President	eement For: Primary General Other (specify) ▼					
State: District: Full Name (Last, First, Middle Initial)						
C.			Date of Disbursement			
Mailing Address	Mailing Address					
City	State Zip Code					
Purpose of Disbursement			Amount of Each Disbursement this Period			
Candidate Name		Category/ Type				
Office Sought: House Disburs	ement For: Primary General Other (specify)					
SUBTOTAL of Disbursements This Page (optional TOTAL This Period (last page this line number or			1000.00			