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Image# 201906209150148918

**FEC** FORM 3X

### **REPORT OF RECEIPTS** AND DISBURSEMENTS

For	Other Than An Aut	norizea Commit	tee	Offic	ce Use Only
1. NAME OF TYPE COMMITTEE (in full)	PE OR PRINT ▼	Example: If typ over the lines.	ing, type	12FE4M5	
LPL Financial LLC Politic	al Action Committe	ee (LPL PAC)			
ADDRESS (number and street)	75 State Street				
,	24th Floor				
Check if different than previously reported. (ACC)	Boston			MA 0	2109-1827
2. FEC IDENTIFICATION NUME	BER ▼ CIT	ГУ▲	ST	ATE 🛦	ZIP CODE ▲
C C00486217		S THIS REPORT	NEW (N) <b>OR</b>	AMEND (A)	DED
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2)	May 20 (M5)	Aug 20 (N	Year Only)
(a) Quarterly Reports:		20 (M3)	Jun 20 (M6)	Sep 20 (N	M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1)	Apr	20 (M4)	Jul 20 (M7)	Oct 20 (M	110) Jan 31 (YE)
July 15	(c) 12-Day  PRE-Election	Primary (12	P)	General (12G)	Runoff (12R)
Quarterly Report (Q2) October 15	Report for the:	Convention	(12C)	Special (12S)	
Quarterly Report (Q3)  January 31  Year-End Report (YE)	Electic	on on	D   D / Y	- Y - Y - Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election	General (30	G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Report for the:	on on	D D / Y	YYY	in the State of
5. Covering Period 04	01 2019	through	M M M /	30 / Y	2019
I certify that I have examined this F		my knowledge and	belief it is true,	correct and con	nplete.
Type or Print Name of Treasurer	Bakke, Kathy, , ,				
Signature of Treasurer  Bakke, Ka	uthy, , ,	[Electronical	<i>lly Filed]</i> Dat	e 06/	19 / 2019
NOTE: Submission of false, erroneous	s, or incomplete informatio	n may subject the pe	rson signing this	Report to the pe	nalties of 52 U.S.C. § 30109
Office Use Only				F	EC FORM 3X Rev. 05/2016

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

### LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: 04 01 2019 To: 04 30 2019

		COLUMN A This Period	COLUMN B Calendar Year-to-Date						
6.	(a) Cash on Hand January 1,  2019		89662.21						
	(b) Cash on Hand at Beginning of Reporting Period	42604.73							
	(c) Total Receipts (from Line 19)	30004.74	121217.40						
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	72609.47	210879.61						
7.	Total Disbursements (from Line 31)	25.00	138295.14						
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	72584.47	72584.47						
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00							
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00							

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

### LPL Financial LLC Political Action Committee (LPL PAC)

		COLUMN A	COLUMN B
	I. Receipts	Total This Period	Calendar Year-to-Date
Con	tributions (other than loans) From:		
(a)	Individuals/Persons Other		
	Than Political Committees		
	(i) Itemized (use Schedule A)	21422.75	76920.35
	(ii) Unitemized	8581.99	44297.05
	(iii) TOTAL (add		
	Lines 11(a)(i) and (ii)▶	30004.74	121217.40
(b)	Political Party Committees	0.00	0.00
(c)	Other Political Committees	4 4	
(5)	(such as PACs)	0.00	0.00
(d)	Total Contributions (add Lines	7- 7- 7- 7- 7- 7- 7- 7- 7- 7- 7- 7- 7- 7	4
()	11(a)(iii), (b), and (c)) (Carry		
	Totals to Line 33, page 5)	30004.74	121217.40
Trar	nsfers From Affiliated/Other	7 7 7	
	ty Committees	0.00	0.00
All I	Loans Received	0.00	0.00
		0.00	0.00
	n Repayments Received	0.00	0.00
	ets To Operating Expenditures		
	funds, Rebates, etc.)	0.00	0.00
	rry Totals to Line 37, page 5)	0.00	0.00
	unds of Contributions Made		
	Federal Candidates and Other	0.00	0.00
	tical Committees	0.00	0.00
	er Federal Receipts		2.00
	idends, Interest, etc.)	0.00	0.00
	nsfers from Non-Federal and Levin Funds		
٠,,	Non-Federal Account (from Schedule H3)	0.00	0.00
	(IIOIII OCTIEUUIE FIO)	0.00	0.00
(b)	Levin Funds (from Schedule H5)	0.00	0.00
(5)	Total Transfers (add 19/s) and 19/h)	2.00	2.22
(C)	Total Transfers (add 18(a) and 18(b))	0.00	0.00

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date				
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)		Caronau rour to buto				
(i) Federal Share	0.00	0.00				
(ii) Non-Federal Share	0.00	0.00				
(b) Other Federal Operating						
Expenditures (c) Total Operating Expenditures	25.00	570.19				
(add 21(a)(i), (a)(ii), and (b))▶	25.00	570.19				
Transfers to Affiliated/Other Party Committees	0.00	0.00				
Contributions to Federal Candidates/Committees and Other Political Committees	0.00	137700.00				
Independent Expenditures	4 4 4					
(use Schedule E)	0.00	0.00				
(use Schedule F)	0.00	0.00				
Loan Repayments Made	0.00	0.00				
Loans Made	0.00	0.00				
(a) Individuals/Persons Other Than Political Committees	0.00	24.95				
(b) Political Party Committees	0.00	0.00				
(such as PACs)	0.00	0.00				
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	24.95				
Other Disbursements (Including						
Non-Federal Donations)	0.00	0.00				
Federal Election Activity (52 U.S.C. § 30101(2  (a) Allocated Federal Election Activity  (from Schedule H6)  (i) Federal Share						
(I) Federal Strate	0.00	0.00				
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00				
Entirely With Federal Funds	0.00	0.00				
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00				
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))						
	25.00	138295.14				
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)						
from Line 31)	25.00	138295.14				

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	30004.74	121217.40	
4. Total Contribution Refunds (from Line 28(d))	0.00	24.95	
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	30004.74	121192.45	
Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	25.00	570.19	
Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00	
. Net Operating Expenditures (subtract Line 37 from Line 36)	25.00	570.19	

### : 97 'A = G7 9 @ G B9 C I G'H9 LH'F9 @ 5 H98 'HC'5 'F9 DCF HZ G7 < 98 I @ 'CF' + H9 A = N5 H= CB

Form/Schedule: F3XA Transaction ID:

This amendment reflects additional receipts which were inadvertently left of the original report and reflects correct year to date totals.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 7 Use separate schedule(s) (check only one) **X** 11a 11b 11c

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OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Audette, Matt J, J, , Date of Receipt Mailing Address 8183 Doug HI 18 2019 City Zip Code State Transaction ID: A6EE954C167814A93994 CA San Diego 92127-2568 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Financial Officer LPL Financial, LLC Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bohli, Harry, , , Date of Receipt Mailing Address 5650 Morris Hunt Dr 04 18 2019 City State Zip Code Transaction ID: ABE80ED16FFAC4B63814 SC Fort Mill 29708-6571 Amount of Each Receipt this Period FEC ID number of contributing 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Payroll Deduction: \$41.67/Bi-Monthly VP, Compliance, Legal & Risk Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calder, Tracy Ellen, , , Date of Receipt Mailing Address 1109 Berwick Ct 18 2019 City Zip Code State Transaction ID: A2B6EBDBFC6304CF4A9A NC Marvin 28173-6547 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.67/Bi-Monthly LPL Financial, LLC MD, Chief Risk Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) 583.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:				PAGE	8	OF	48			
(0	che	ck only	or	ne)						
	X	11a		11b		11c		12	2	
		13		14		15		16	6	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gilchrist, Tara, , , Date of Receipt Mailing Address 7601 Berryfield Ct 18 2019 City Zip Code State Transaction ID: A9D9A12BDF1B94F6BA10 NC Waxhaw 28173-9817 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP, Compliance Legal & Risk LPL Financial LLC Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gombar, Gina M., , , Date of Receipt Mailing Address 151 Tremont St 04 18 2019 City State Zip Code Transaction ID: A9B867DAB170841FDBBA MA **Boston** 02111-1125 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial, LLC Payroll Deduction: \$41.66/Bi-Monthly VP, Associate Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hecht, Matthew, , , Date of Receipt Mailing Address 10891 River Oaks Dr NW 18 2019 City Zip Code State Transaction ID: A9EBC30E92A0B42EDBB8 NC Concord 28027-2801 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$104.16/Bi-Monthly Lpl Financial Llc VP, Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 833.28 Other (specify) 374.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hoidal, Kyle, , , Date of Receipt Mailing Address 3446 Windsor Dr 2019 City Zip Code State Transaction ID: A47FBBCF2D430420B9DD NC Charlotte 28209-3356 Amount of Each Receipt this Period FEC ID number of contributing C 41.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Associate Counsel LPL Financial, LLC Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 291.69 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ho, Peggy, , , Date of Receipt Mailing Address 9 Donizetti St 04 18 2019 City State Zip Code Transaction ID: AB124D44841E84CCF927 MA Wellesley 02482-4822 Amount of Each Receipt this Period FEC ID number of contributing 208.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$104.17/Bi-Monthly EVP, Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kalbaugh, Andy, , , Date of Receipt Mailing Address 6713 Seton House Ln 18 2019 City Zip Code State Transaction ID: A86A413DFA39E40A4B6C NC Charlotte 28277-4519 Amount of Each Receipt this Period FEC ID number of contributing C 208.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$104.17/Bi-Monthly LPL Financial LLC MD, Divisional President NSC Receipt For: Aggregate Year-to-Date ▼ Primary General 833.36 Other (specify) 458.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McClain, Ryan, , , Date of Receipt Mailing Address 3125 Eastwood Dr 18 2019 City Zip Code State Transaction ID: AD933A193EF8F417D91A NC Charlotte 28205-2107 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC VP, Busn Consulting Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mochal, Jeff R, , , Date of Receipt Mailing Address 133 Forsythia Ln 04 18 2019 City State Zip Code Transaction ID: AB34F9B8819B1450EB40 SC Tega Cay 29708-0027 Amount of Each Receipt this Period FEC ID number of contributing 208.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial, LLC Payroll Deduction: \$104.17/Bi-Monthly SVP, Corporate Communication Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Oroschakoff, Michelle B.,, Date of Receipt Mailing Address PO Box 9216 18 2019 City Zip Code State Transaction ID: A4481496A852D45F2BC2 CA Rancho Santa Fe 92067-4216 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.33/Bi-Monthly LPL Financial MD, Chief Legal Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 708.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 11 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seese, Scott, , , Date of Receipt Mailing Address 1125 Trinity Ridge Pkwy 2019 Apt 301 City Zip Code State Transaction ID: A17F8B61AFBC844A1BE1 SC Fort Mill 29715-7091 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, Chief Information Officer Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Steinmeier, Rich, , , Date of Receipt Mailing Address 7447 Turnberry Court #9910 04 18 2019 City State Zip Code Transaction ID: AD3E076763CA0458B997 Rancho Santa Fe CA 92067 Amount of Each Receipt this Period FEC ID number of contributing 416.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial MD, Divisional President BD Payroll Deduction: \$208.34/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wallace, Richard, , , Date of Receipt Mailing Address 1004 Whimbrel Ct 18 2019 City State Zip Code Transaction ID: A60F878752FB54632B12 CA Carlsbad 92011-4860 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.67/Bi-Monthly LPL Financial, LLC SVP, Compliance Legal & Risk Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) 916.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Warren, Jeffrey, , , Date of Receipt Mailing Address 7137 Sherbourne Ln 2019 City Zip Code State Transaction ID: A07E9FDD406604C5F9B3 CA San Diego 92129-4655 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC SVP, Continuous Improvement Payroll Deduction: \$30.00/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Waterfield, Brett, , , Date of Receipt Mailing Address 2533 Stonehenge Dr 04 18 2019 City State Zip Code Transaction ID: A9428EC3C56144AA09EB IL Aurora 60502-4707 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$100.00/Bi-Monthly SVP, Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 800.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Woods, Greg, , , Date of Receipt Mailing Address 116 Pembroke St 18 2019 City Zip Code State Transaction ID : AC11980993E0D460898B MA **Boston** 02118-1208 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.67/Bi-Monthly LPL Financial, LLC EVP, Deputy General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) 343.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name ANGELO CFP, MARK, JOSEPH, , Date of Receipt Mailing Address 616 Washington St 2019 City Zip Code State Transaction ID: A267256D2A0DC4414A7E NJ Toms River 08753-6720 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Advisor Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. BERK CFP, HARVEY, STUART, , Date of Receipt Mailing Address 433 Plaza Real 04 2019 Ste 275 City State Zip Code Transaction ID: AE565DB25C5BE47E0AC4 FL **Boca Raton** 33432-3999 Amount of Each Receipt this Period FEC ID number of contributing 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Berk Wealth Management Payroll Deduction: \$83.33/Bi-Monthly President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. BICKLING, DOROTHY, INEZ, , Date of Receipt Mailing Address 35 Bedford St 25 2019 Ste 15 City State Zip Code Transaction ID: A616CB4E05AD34B659CE MA Lexington 02420-4439 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.67/Bi-Monthly Bickling Financial Services, Inc. Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 250.02 Other (specify) 333.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name BLEASE, ANDREW, CLARK, , Date of Receipt Mailing Address 7358 N La Cholla Blvd Ste 100 2019 City Zip Code State Transaction ID: A8B7C6F64EB7640BB862 ΑZ 85741-2305 Tucson Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Blease Financial Services President Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. BOYER CFP, MICHAEL, , Date of Receipt Mailing Address 107 Georgian Pl 04 2019 City State Zip Code Transaction ID: ACEA99DC95C0A4F03B57 PA Somerset 15501-1600 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Payroll Deduction: \$41.66/Bi-Monthly Branch Manager / OSJ Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. BRADY, MICHAEL, S, . Date of Receipt Mailing Address 780 Park Way 2019 City Zip Code State Transaction ID: AFA10390F49E54134B98 PΑ Broomall 19008-4212 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$125.00/Bi-Monthly LPL Financial Financial Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 416.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name BRIDGES, J. CHRISTIAN, , , Date of Receipt Mailing Address 1200 Summit Ave Ste 780 2019 City Zip Code State Transaction ID: A52338DAB81AB4E7499D TX Ft Worth 76102-4477 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Self-Employed Financial Advisor Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. BRUESKE, SCOTT, ALAN, Date of Receipt Mailing Address 11245 SE 6th St 04 10 2019 Ste 120 City State Zip Code Transaction ID: A56F703F850314477BDC WA Bellevue 98004-6499 Amount of Each Receipt this Period FEC ID number of contributing 208.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) B3 Wealth Strategies President Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. BUBB, KERRICK, WALTER, Date of Receipt Mailing Address 1782 Orange Tree Ln 2019 City Zip Code State Transaction ID: A54255F882D4445DAB0B CA Redlands 92374-2856 Amount of Each Receipt this Period FEC ID number of contributing C 416.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.00/Bi-Monthly KWB WEALTH MANAGERS GROUP Wealth Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1664.00 Other (specify) 707.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name BUDIN, STEVEN, ANDREW, , Date of Receipt Mailing Address 3145 E Warm Springs Rd Ste 400 2019 City Zip Code State Transaction ID: A1B543A8DCDD34DC5BE NV Las Vegas 89120-3140 Amount of Each Receipt this Period FEC ID number of contributing C 208.32 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) The Budin Group Financial Advisor Payroll Deduction: \$104.16/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 833.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** BYRNE, JOSEPH, GERARD, Date of Receipt Mailing Address 11 East St 04 2019 City State Zip Code Transaction ID: A8A85E0BC1B2F40DAAD8 MA Franklin 02038-2110 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Payroll Deduction: \$41.66/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 294.16 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. CALDWELL, BILLY RAY, , , Date of Receipt Mailing Address 5210 Maryland Way 2019 Ste 202 City State Zip Code Transaction ID: AFCEA1D83077544548AE TN **Brentwood** 37027-5065 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly Caldwell Advisors, LLC Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 374.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

48 FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name CARMAN, RICHARD, LLOYD, , Date of Receipt Mailing Address 6800 Poplar Ave Ste 105 2019 City Zip Code State Transaction ID: A6D67A35A4D4E4757A68 TN Memphis 38138-7404 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Carman Financial Services President Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. CARPENTER, MICHAEL, DALE JOSEPH, Date of Receipt Mailing Address 3027 E Warm Springs Rd 04 2019 Ste 100 City Zip Code State Transaction ID : A110F9E07EA5445E4882 NV Las Vegas 89120-3765 Amount of Each Receipt this Period FEC ID number of contributing 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Payroll Deduction: \$41.67/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. CARTOLANO, JOHN, MICHAEL, , Date of Receipt Mailing Address 9059 E Bahia Dr 2019 City State Zip Code Transaction ID: A5236701551144916BB9 ΑZ Scottsdale 85260-1554 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.33/Bi-Monthly Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1628.05 Other (specify) 583.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name CHRISTIAN, SUZANNE, H.,, Date of Receipt Mailing Address 419 Yale Ave 2019 City Zip Code State Transaction ID: A0FCBA454443B4DBA904 CA Claremont 91711-4340 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) LPL Financial **Branch Manager** Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. CLARK, DON, , , Date of Receipt Mailing Address 7007 College Blvd 04 2019 Ste 270 City State Zip Code Transaction ID : A225654EE2835450487C KS Overland Park 66211-2440 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lpl Financial Llc Payroll Deduction: \$104.16/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 729.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. COLLINS, ROBERT, SMITH, . Date of Receipt Mailing Address 751 Cool Springs Blvd 2019 Ste 100 City State Zip Code Transaction ID: A47F71DB2D47142EFB5A TN Franklin 37067-2740 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly LPL Financial LLC Registered Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 374.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

11a 11b 11

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name COMPTON, CHRISTOPHER, PATRICK, , Date of Receipt Mailing Address 403 Allegheny Ave 2019 City Zip Code State Transaction ID: AF619A068AF944BF697E MD 21204-4214 Towson Amount of Each Receipt this Period FEC ID number of contributing C 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Compton Financial Group Financial Advisor Payroll Deduction: \$83.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. COX III, JAMES, A, , Date of Receipt Mailing Address 9100 Arboretum Pkwy 04 2019 Ste 255 City State Zip Code Transaction ID: AF8FA45A1C8F648999DD North Chesterfield VA 23236-5408 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Harris Financial Advisor Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. DAVENPORT CFP, ROBERT, ALAN, , Date of Receipt Mailing Address 9300 Shelbyville Rd 25 2019 Ste 1310 City State Zip Code Transaction ID: A1F4E742542CC468BB75 KY Louisville 40222-5170 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly **KPP Advisory Services** Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 666.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name DEKKO, ERICA, DEE, , Date of Receipt Mailing Address 751 E North St 2019 City Zip Code State Transaction ID: AD598EDB0D22F4386B06 IN Kendallville 46755-1225 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Dekko Investment Services** financial planner Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. DENOYIOR, JOSEPH, FREDRICK, Date of Receipt Mailing Address 8300 Greensboro Dr 04 2019 Ste 700 City State Zip Code Transaction ID: A9F3A56C9E7A14B099C0 VA McLean 22102-3662 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$41.66/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. DEPROSPERO, KIMBERLY, JOAN, Date of Receipt Mailing Address 500 Sawgrass Corporate Pkwy 2019 Ste 100 City State Zip Code Transaction ID: ADC7C367DCBF94E0D892 FL Sunrise 33325-6275 Amount of Each Receipt this Period FEC ID number of contributing C 208.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$104.00/Bi-Monthly Financial Strategies Group Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 728.00 Other (specify) 374.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 21 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name DIXON, DAVID, STAPLEY, , Date of Receipt Mailing Address 7472 W Sahara Ave Ste 101 2019 City Zip Code State Transaction ID: A9B34FCD0B00C405C9FD NV Las Vegas 89117-2748 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dixon Financial Group, LLC Financial Advisor Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. DOLLAR KURETICH, DORIS, ANN, Date of Receipt Mailing Address 19311 FM 2252 04 2019 Ste 101 City State Zip Code Transaction ID: A161FA417919F45B9BC9 TX Garden Ridge 78266-2585 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Self-Employed Payroll Deduction: \$41.66/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. DRAGOTTA, RICHARD, GASPARE, Date of Receipt Mailing Address 461 From Rd 25 2019 Ste 385 City State Zip Code Transaction ID: AC10C54A351F74DC4A76 NJ **Paramus** 07652-3526 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly Lpl Financial Llc Registered Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 249.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 \_\_\_

48 FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name DUBOSE, TONY, EDWARD, , Date of Receipt Mailing Address 1250 S Pine Island Rd Ste 350 2019 City Zip Code State Transaction ID : A7000DE06975C41A0802 FL Plantation 33324-4440 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Legacy Wealth Management Advisor Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1233.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. ERRICO CFP AIF, ROBERT, L, , Date of Receipt Mailing Address 3991 MacArthur Blvd 04 2019 Ste 250 City State Zip Code Transaction ID: AC1D03DB9EB3544D580E CA **Newport Beach** 92660-3039 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lpl Financial Llc Payroll Deduction: \$125.00/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. FISHER JR., RICHARD, NORTHRUP, Mr., Date of Receipt Mailing Address 3120 S Church St 2019 Ste 101 City State Zip Code Transaction ID: A13239A2E67C948CF8A5 NC Burlington 27215-9114 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly Fisher Wealth Management Managing Partner Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 749.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 23 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name FREEDMAN CFP, MARC, STUART, , Date of Receipt Mailing Address 41 Cross St FI2 2019 State City Zip Code Transaction ID: A3577A9BC4E7C47778FC MA Peabody 01960-1608 Amount of Each Receipt this Period FEC ID number of contributing C 333.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President & CEO Freedman Financial Payroll Deduction: \$166.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1166.62 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. GAROFALO, DEVIN, JOSHUA, , Date of Receipt Mailing Address 13872 Coalfield Commons Pl 04 2019 Ste 201 City State Zip Code Transaction ID: A62A8505FD84C4234B21 VA Midlothian 23114-1234 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Colonial River Wealth Management Payroll Deduction: \$41.66/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. GILBERT, LOREEN, MICHELLE, , Date of Receipt Mailing Address 9070 Irvine Center Dr 25 2019 Ste 230 City State Zip Code Transaction ID: AA98FEDA4319A49A7A3E CA Irvine 92618-4687 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly WealthWise Financial Services President Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 499.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 24 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name GOODMAN, BRUCE, NEIL, , Date of Receipt Mailing Address 200 Broadway Ste 305 2019 City Zip Code State Transaction ID: A3625B09A7F8243A0877 MA Lynnfield 01940-2349 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Advisor Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. GOTTLIEB, JEREMY, D, , Date of Receipt Mailing Address 2173 Salk Ave 04 2019 Ste 250 City State Zip Code Transaction ID: AE539B21F7C664BCEB59 CA Carlsbad 92008-7383 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lpl Financial LLC Payroll Deduction: \$41.66/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. HAGEN, CHRISTOPHER, MICHAEL, Date of Receipt Mailing Address 350 Leavell Cir 25 2019 City State Zip Code Transaction ID: A621ACDB4D6BC4F3D86E AL Montgomery 36117-9003 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly McDonald, Barranco, & Hagen Wealth Man Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 249.96 Other (specify) 249.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name HAMBLEN, JEFFREY, DANIEL, Date of Receipt Mailing Address 8300 Greensboro Dr Ste 700 2019 City Zip Code State Transaction ID: A48142C3DB9924EF2A49 VA McI ean 22102-3662 Amount of Each Receipt this Period FEC ID number of contributing C 84.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Washington Financial Group Financial Advisor Payroll Deduction: \$42.00/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 336.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. HAMM, WILLIAM, E, , Date of Receipt Mailing Address 3030 N Rocky Point Dr W 04 2019 Ste 700 City State Zip Code Transaction ID: A4DE7573221B844DF99E FL Tampa 33607-5907 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.33/Bi-Monthly CEO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 713.18 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. HARRIS, EDWARD, JAMES, . Date of Receipt Mailing Address 4160 Washington Rd 2019 City State Zip Code Transaction ID: AF7E68E3C326D4E09972 PΑ Mc Murray 15317-2533 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 583.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 26 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name HATCH, MICHAEL, PATRICK, , Date of Receipt Mailing Address 225 S Lake Ave Ste 600 2019 City Zip Code State Transaction ID: A35D0F266A5E149FE991 CA Pasadena 91101-4860 Amount of Each Receipt this Period FEC ID number of contributing C 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) The Sterling Group Financial Advisor & Principal Payroll Deduction: \$83.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 583.31 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. HERMAN, KIMBERLY, JANE, Date of Receipt Mailing Address 5613 Beam Ct 04 2019 City State Zip Code Transaction ID: AE4694246D9CC4F419AD MD Bethesda 20817-6303 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) KMH Financial Services Payroll Deduction: \$41.66/Bi-Monthly Owner Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. HILLIS, JOHN, L, , Date of Receipt Mailing Address 333 W SANTA CLARA #604 - BR#2 25 2019 City Zip Code State Transaction ID: A5ACA609F415A478DB24 CA San Jose 95113 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$62.50/Bi-Monthly Hillis Financial Services President Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) 374.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

48 FOR LINE NUMBER: PAGE 27 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name JACOBSON, DON, ARTHUR, , Date of Receipt Mailing Address 3112 W 4th St 2019 City Zip Code State Transaction ID: A1C51CB8CC9544328AB6 TX Fort Worth 76107-2113 Amount of Each Receipt this Period FEC ID number of contributing C 84.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dean, Jacobson Financial Services Financial Advisor Payroll Deduction: \$42.00/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 336.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. JONES, ALEXANDER, WALKER, , Date of Receipt Mailing Address 3401 Mallory Ln 04 2019 Ste 200 City State Zip Code Transaction ID: AABFF2F8F4C8E484CB59 TN Franklin 37067-8369 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lpl Financial Llc Payroll Deduction: \$104.16/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. JOWDY, MICHAEL, WILLIAM, , Date of Receipt Mailing Address 7777 Center Ave 2019 Ste 120 City State Zip Code Transaction ID: A2E9C16A9201A42ADA40 CA **Huntington Beach** 92647-3017 Amount of Each Receipt this Period FEC ID number of contributing C 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$83.33/Bi-Monthly LPL Financial **CFP** Receipt For: Aggregate Year-to-Date ▼ Primary General 666.64 Other (specify) 458.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

### SCHEDULE A (FEC Form 3X) IT

TOTAL This Period (last page this line number only).....

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ny information copied from such Reports and Statements may for commercial purposes, other than using the name and a	, , ,				_		

Ar or NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name KAPLAN, SUSAN, CAROL, Date of Receipt Mailing Address 2227 WASHINGTON ST STE 300 2 NEWTON EXECUTIVE PARK 2019 City Zip Code State Transaction ID: AEC98F274A4684978A01 MA Newton 02462-1417 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Advisor Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. KARLINSKI CFP, ANDREW C., C, Date of Receipt Mailing Address 6 Venture 04 10 2019 Ste 390 City State Zip Code Transaction ID: A5EB26FA8CDFD46458E1 CA Irvine 92618-7367 Amount of Each Receipt this Period FEC ID number of contributing 41.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AK Financial Group Payroll Deduction: \$41.66/Bi-Monthly President/Owner Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. KEEVER IV, G. WARD, Date of Receipt Mailing Address 15 Middleton Dr 2019 City Zip Code State Transaction ID: A44767B9005B44627931 DE Wilmington 19808-4320 Amount of Each Receipt this Period FEC ID number of contributing C 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$104.16/Bi-Monthly LPL Financial LLC Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 833.28 Other (specify) 666.64 SUBTOTAL of Receipts This Page (optional).....

48 FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name KERR, JOHN, STEVEN, , Date of Receipt Mailing Address 130 Turner St Ste 230 2019 City Zip Code State Transaction ID: A3329EB4E8D174927A7B MA Waltham 02453-8945 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Bay Financial Associates Managing Principal Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. KIRKLAND, BEDFORD 'TAB', FORREST, Date of Receipt Mailing Address 1032 W Main St 04 2019 City State Zip Code Transaction ID : ABFE90F05C6584F488CB TN Lebanon 37087-3345 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$41.66/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. KUHLENBECK, SUSAN, CHRISTINE, Date of Receipt Mailing Address N19W24200 Riverwood Dr 25 2019 Ste 150 City Zip Code State Transaction ID: A36EA41F6ABFE4A32818 WI Waukesha 53188-1193 Amount of Each Receipt this Period FEC ID number of contributing C 84.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$42.00/Bi-Monthly LPL Financial LLC Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 336.00 Other (specify) 250.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 30 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LA POINTE, DAVID, BLANDIN, , Date of Receipt Mailing Address 28544 Old Town Front St Ste 201 2019 City Zip Code State Transaction ID: A2004D68DCB7C40369E4 CA Temecula 92590-2756 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) La Pointe Wealth Management Financial Advisor Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. LEIBMAN, MARK, EDWARD, Date of Receipt Mailing Address 228 Main St 04 2019 City State Zip Code Transaction ID : A9B919D58461247268E2 NE Louisville 68037-6032 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$104.16/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 729.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. LEITCH, LAWRENCE, NELSON, , Date of Receipt Mailing Address 401 Washington Ave 2019 FI 7 City State Zip Code Transaction ID: A357F21F9D43E43B5A91 MD Towson 21204-4821 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$125.00/Bi-Monthly Synergy Financial Group Partner Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 874.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name LONE, STEVEN, ANDRE, Mr., Date of Receipt Mailing Address 28411 Northwestern Hwy Ste 1200 2019 City Zip Code State Transaction ID: A96F28583F3DE4C93BD5 MI Southfield 48034-5521 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Corporation Advisor Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** MALAKOFF, JOEL, WARREN, Date of Receipt Mailing Address 20444 Redwood Rd 04 2019 City State Zip Code Transaction ID : A23B84075E01F4156BB4 Castro Valley CA 94546-4316 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lpl Financial Llc Payroll Deduction: \$41.66/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MARSHALL-PENCE, LAILA, SOBHI, Date of Receipt Mailing Address 5000 Birch St 25 2019 Ste 8000 City State Zip Code Transaction ID: AFD83FF214A2D4265AE5 CA Newport Beach 92660-2158 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.33/Bi-Monthly Pence Wealth Management President Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 583.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 32 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MASSARO CHFC, THEODORE, ROCCO, , Date of Receipt Mailing Address 57 S Maple Ave 2019 City Zip Code State Transaction ID: A3DE62C00C1DD41B28DD NJ Marlton 08053-2031 Amount of Each Receipt this Period FEC ID number of contributing C 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) M Financial Planning Services, Inc. Financial Advisor Payroll Deduction: \$125.00/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. MATSON, ROBERT, ARTHUR, Date of Receipt Mailing Address 333 Orchard St 04 2019 City State Zip Code Transaction ID : AF7F999D7F7F4485CA86 MA Millis 02054-1025 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Robert A Matson CLU, ChFC Payroll Deduction: \$41.66/Bi-Monthly Owner Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MCDERMOTT CFP, SEAN, PATRICK, , Date of Receipt Mailing Address 3065 Centre Pointe Dr 25 2019 Ste 2 City State Zip Code Transaction ID: A47E97F4778434C9AA37 MN Roseville 55113-1118 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly LPL Financial LLC **CFP** Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 416.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 33 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MCKENNA, TIMOTHY, JOHN, , Date of Receipt Mailing Address 930 W US Highway 30 10 2019 City Zip Code State Transaction ID: AE53C097DC4EF45E4BB6 IN Schererville 46375-1551 Amount of Each Receipt this Period FEC ID number of contributing 83.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Corporation Advisor Payroll Deduction: \$83.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 416.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. MILLER CFP(R), RODD, ROBERT, Date of Receipt Mailing Address 1901 Camino Vida Roble 04 2019 Ste 112 City State Zip Code Transaction ID: A96CF1B9340764EA9B83 CA Carlsbad 92008-6560 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Miller Wealth Management Payroll Deduction: \$104.16/Bi-Monthly financial planner Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MILLER, SHARI, DIANE, Date of Receipt Mailing Address 7777 Fay Ave 2019 Ste 315 City State Zip Code Transaction ID: A7F7BA1D5DEAB45D8BE2 CA La Jolla 92037-4336 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly Symbio Financial Partners manager Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 374.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 34 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MOONEY, KEITH, B, , Date of Receipt Mailing Address 1 Executive Ct 2019 Ste 5 City Zip Code State Transaction ID: AA50CC5B54EC74CF99DF IL South Barrington 60010-9533 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Seguoia Wealth Management Registered Representative Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. MORRONE CFP(R), EMILIO, JOHN, Date of Receipt Mailing Address 1605 N Cedar Crest Blvd 04 2019 Ste 515 City State Zip Code Transaction ID: AC70DFFDB38034089B82 PA Allentown 18104-2351 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) One Financial Services Payroll Deduction: \$41.66/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.62 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MURPHY, JULIE M., M, , Date of Receipt Mailing Address 1017 W Washington Blvd 25 2019 Unit 3E City State Zip Code Transaction ID: A8BD39C9AAD92472981E IL Chicago 60607-2107 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly JMC Wealth Management, Inc. **Business Owner** Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 583.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name PANACCIONE CFP, CARLO, ANTHONY, , Date of Receipt Mailing Address 3 Lagoon Dr Ste 110 2019 City State Zip Code Transaction ID: A835E1770731642F4BA7 CA Redwood City 94065-1566 Amount of Each Receipt this Period FEC ID number of contributing 333.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Private Wealth Advisor **Navigation Group** Payroll Deduction: \$166.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 833.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. PARKS CFP, JAMES, T., , Date of Receipt Mailing Address 216 E Ridgewood Ave 04 2019 Ste 2 City State Zip Code Transaction ID: ABE573B705162495FB2E NJ Ridgewood 07450-3849 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Parks Wealth Management Payroll Deduction: \$104.16/Bi-Monthly Wealth Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. PATRICK, DONALD, WARREN, , Date of Receipt Mailing Address 200 Ashford Ctr N 25 2019 Ste 400 City State Zip Code Transaction ID: A31AD784D824F433B93A GΑ Atlanta 30338-2669 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly Integrated Financial Group Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 624.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 36 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name PAUL, ROBERT, ALLEN, , Date of Receipt Mailing Address 70 Bridge St Unit 9 2019 City Zip Code State Transaction ID: A01D1B84CC9BA4C93B74 NH Pelham 03076-3419 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Financial Advisor Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. PETERS, P. MARTIN, , , Date of Receipt Mailing Address 215 S Highway 101 04 2019 Ste 206 City State Zip Code Transaction ID: A3DB178F0BF5949E68CA CA Solana Beach 92075-1849 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$41.66/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 249.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. PIETSCH, TOMMY, WAYNE, Date of Receipt Mailing Address 516 N Avenue H 25 2019 City Zip Code State Transaction ID: A6BA47C1D62534E0C83B TX Shiner 77984 Amount of Each Receipt this Period FEC ID number of contributing C 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$104.16/Bi-Monthly Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 520.80 Other (specify) 374.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

48 FOR LINE NUMBER: PAGE 37 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12 13 14 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name POWELL CIMA, GREGORY, R.,, Date of Receipt Mailing Address 5825 Feldspar Way 2019 City Zip Code State Transaction ID: A50DE5E4693174D24A62 AL Hoover 35244-4503 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) fi-Plan Partners Financial Advisor Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1458.31 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. RUSSO, JOSEPH, ROY, , Date of Receipt Mailing Address 230 2nd St SE 04 2019 Ste 200 City State Zip Code Transaction ID : AE883A470B6974464A33 IΑ Cedar Rapids 52401-1426 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Corporation Payroll Deduction: \$41.66/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 316.61 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. SALOMON, ARTHUR, R., , Date of Receipt Mailing Address 3217 Summit Square PI 2019 Ste 250 City Zip Code State Transaction ID: AD011EEB19E2C45958FA KY Lexington 40509-2638 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$50.00/Bi-Monthly Salomon & Co. Financial Advisor-CEO Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 599.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 38 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name SHANAHAN CFP, MICHAEL, DENNIS, , Date of Receipt Mailing Address 12520 High Bluff Dr Ste 120 2019 City State Zip Code Transaction ID: AD86B4EF166D34C62B39 CA San Diego 92130-7002 Amount of Each Receipt this Period FEC ID number of contributing C 208.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Financial Advisor Payroll Deduction: \$104.16/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 833.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. SHEPHERD AIF, R. MARK, , , Date of Receipt Mailing Address 1004 Main St 04 2019 City State Zip Code Transaction ID: A62999C55146E4DC4B6F MA Winchester 01890-1929 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Shepherd Financial Partners Payroll Deduction: \$208.33/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 833.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. STANISLAWSKI, GARY, M, , Date of Receipt Mailing Address 7134 S Yale Ave 2019 Ste 700 City State Zip Code Transaction ID: AE4D2E6ED09F4461ABC6 OK Tulsa 74136-6354 Amount of Each Receipt this Period FEC ID number of contributing C 166.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$83.33/Bi-Monthly Regent Financial Services President Receipt For: Aggregate Year-to-Date ▼ Primary General 666.64 Other (specify) 791.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 39 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name STEVENS, JOHN, P,, Date of Receipt Mailing Address 8194 Liberty Way 2019 City Zip Code State Transaction ID: AE49B50073AA14E35BCD OH West Chester 45069-2517 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Stevens Investment Group, Inc Wealth Advisor Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. SULLIVAN, JAMES, , , Date of Receipt Mailing Address 65 Madison Ave 04 2019 Ste 300 City State Zip Code Transaction ID : ABB866E949E58444D824 NJ Morristown 07960-7368 Amount of Each Receipt this Period FEC ID number of contributing 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Private Advisor Group Payroll Deduction: \$41.67/Bi-Monthly LPL Registered Principal Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 333.36 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. SUPKA, KEVIN, PETER, Date of Receipt Mailing Address 100 Witmer Rd 25 2019 Ste 140 City State Zip Code Transaction ID : ADDE9224A4821457B875 PΑ Horsham 19044-2648 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.33/Bi-Monthly LPL Financial Registered Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 583.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name TYE CFP, TOM, MICHAEL, , Date of Receipt Mailing Address 141 N 3rd St 2019 City Zip Code State Transaction ID: AEEC32619E9924320AA0 KY Danville 40422-1655 Amount of Each Receipt this Period FEC ID number of contributing C 333.34 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Tve Financial group Financial Advisor Payroll Deduction: \$166.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. URIBE, DANIEL, OSCAR, Date of Receipt Mailing Address 544 S Main St 04 10 2019 City State Zip Code Transaction ID: A38E631D4FBD944898B0 VA Harrisonburg 22801-5817 Amount of Each Receipt this Period FEC ID number of contributing 41.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Good Wealth Management Payroll Deduction: \$41.66/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 249.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. VALENTI, JOAN, MARIE, Date of Receipt Mailing Address 3 Forest Park Dr 2019 City Zip Code State Transaction ID: AB58687DFDD384B11BB5 CT Farmington 06032-1468 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.33/Bi-Monthly Valenti Wealth Management Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1641.69 Other (specify) 791.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

48 FOR LINE NUMBER: PAGE 41 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name VAN HOUTEN, STEVEN, LEE, , Date of Receipt Mailing Address 2210 Encinitas Blvd Ste C 2019 City State Zip Code Transaction ID: A4EBCBAB5D4E3407EABI CA **Encinitas** 92024-4359 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Advisor Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. VON BORSTEL CFP, WAYNE, , , Date of Receipt Mailing Address 308 E 3rd St 04 2019 City State Zip Code Transaction ID: A8582BD4F54524134ACB OR The Dalles 97058-2204 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lpl Financial LLC Payroll Deduction: \$208.33/Bi-Monthly financial planner Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1666.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. WAGLE, SANJAY, , , Date of Receipt Mailing Address 45 S Park Blvd 2019 Ste 205 City State Zip Code Transaction ID: A52BFAFAABEA94356BC1 IL Glen Ellyn 60137-6286 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly LPL Financial LLC Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 321.07 Other (specify) 916.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name WAYBRIGHT, JASON, ROBERT, , Date of Receipt Mailing Address 1314 Trinity St 2019 City Zip Code State Transaction ID: A14C97CCBD9CB44C5AC CA Redding 96001-0703 Amount of Each Receipt this Period FEC ID number of contributing C 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Advisor Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. WILLIAMS CFP, MICHAEL, C. F., Date of Receipt Mailing Address 224 Valley Creek Blvd 04 2019 Ste 330 City State Zip Code Transaction ID: AA3515A06B14D4EEF829 PA Exton 19341-2386 Amount of Each Receipt this Period FEC ID number of contributing 333.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) First Financial Networks. Inc Payroll Deduction: \$166.66/Bi-Monthly President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1333.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. WINDAWI, LOUIS, B, , Date of Receipt Mailing Address 1422 Edinger Ave 25 2019 Ste 220 City State Zip Code Transaction ID: AD4C9FD23F2DD432683A CA Tustin 92780-6298 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly Windawi & Associates, Inc. President Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) 499.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name WITEK, STEVEN, SCOTT, , Date of Receipt Mailing Address 613 1st St 2019 # 1-B City Zip Code State Transaction ID: AE7716947DF8B484790C IL La Salle 61301-2433 Amount of Each Receipt this Period FEC ID number of contributing 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial Advisor Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. WONG CFP, CARY, ANN, , Date of Receipt Mailing Address 16201 E Indiana Ave 04 2019 Ste 5220 City State Zip Code Transaction ID: ADEEA4136FB9E4AAEA70 WA Spokane Valley 99216-2842 Amount of Each Receipt this Period FEC ID number of contributing 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Wong Financial Services, Inc. Payroll Deduction: \$62.50/Bi-Monthly Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. WORKMAN, DAVID, JOHN, Mr., Date of Receipt Mailing Address 1529 E Market St 25 2019 City Zip Code State Transaction ID: A0EFCEE2BF3104C74AAC IN Logansport 46947-3227 Amount of Each Receipt this Period FEC ID number of contributing 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.67/Bi-Monthly LPL Financial LLC Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 333.36 Other (specify) 291.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

48 FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name ZITZELSBERGER, MARK, G,, Date of Receipt Mailing Address 118 W Michigan St 2019 City Zip Code State Transaction ID: A95A7997F10E047C9AF3 MI Mt Pleasant 48858-2508 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Total Investment Planners, Inc. Financial Advisor Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. ALLEN, DAVID, R,, Date of Receipt Mailing Address 18 Lake St 04 2019 City State Zip Code Transaction ID: AAA4EFBDE88594572BC9 NY Owego 13827-1830 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$41.66/Bi-Monthly Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 292.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MILLER, EDWARD, BRUCE, , Date of Receipt Mailing Address 481 Munn Rd E 26 2019 Ste 225 City Zip Code State Transaction ID : A52C51514564B4672B62 SC Fort Mill 29715-8462 Amount of Each Receipt this Period FEC ID number of contributing C 416.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$208.33/Bi-Monthly LPL Financial LLC Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.64 Other (specify) 583.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bakke, Kathy, , , Date of Receipt Mailing Address 13928 Rocky Gap Ln 2019 City Zip Code State Transaction ID: A194D21BB8C1942019A7 NC Charlotte 28278-8615 Amount of Each Receipt this Period FEC ID number of contributing 83.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) EVP, Human Resources LPL Financial LLC Payroll Deduction: \$41.67/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 239.61 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Enyedi, Matthew, , , Date of Receipt Mailing Address 15497 Tanner Ridge Rd 04 18 2019 City State Zip Code Transaction ID: A0D306CD3218D4FF29CE CA San Diego 92127-3654 Amount of Each Receipt this Period FEC ID number of contributing 104.17 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) LPL Financial LLC Payroll Deduction: \$104.17/Bi-Monthly EVP, National Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. PETOSKEY, DAVID, JAMES, , Date of Receipt Mailing Address 42714 Woodward Ave 2019 Ste B City State Zip Code Transaction ID: A7C7D580694144097B90 MI Bloomfield Hills 48304-5181 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 687.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

48 FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name HAPANOWICZ AIF, ROBERT, EDWIN, , Date of Receipt Mailing Address 301 Grant St Ste 2550 2019 City State Zip Code Transaction ID: AF6A4A185AFD04A68AEA PA Pittsburgh 15219-1409 Amount of Each Receipt this Period FEC ID number of contributing C 83.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Hapanowicz& Associates Payroll Deduction: \$83.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 249.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. KARLINSKI CFP, ANDREW C., C, , Date of Receipt Mailing Address 6 Venture 04 2019 Ste 390 City State Zip Code Transaction ID: ADF34FD1DF0F54023AB3 CA Irvine 92618-7367 Amount of Each Receipt this Period FEC ID number of contributing 83.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AK Financial Group Payroll Deduction: \$83.33/Bi-Monthly President/Owner Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 374.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. TODD, RYAN, C., Date of Receipt Mailing Address 1200 Pennsylvania Ave 25 2019 Ste 202A City State Zip Code Transaction ID: A2E78AF0A4C854AC0838 DE Wilmington 19806-4316 Amount of Each Receipt this Period FEC ID number of contributing C 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Payroll Deduction: \$41.66/Bi-Monthly LPL Financial LLC Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 208.30 Other (specify) 249.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 \_\_\_

48 FOR LINE NUMBER: PAGE 47 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name VAN LEEUWEN, KENNETH, WILLIAM, , Date of Receipt Mailing Address 281 Witherspoon St 2019 Ste 220 City Zip Code State Transaction ID: A14EDAE80B0DF48A5B0B NJ Princeton 08540-3210 Amount of Each Receipt this Period FEC ID number of contributing C 208.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Van Leeuwen & Company Managing Director Payroll Deduction: \$208.33/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General 624.99 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. YUNG, GRACE, , , Date of Receipt Mailing Address 3355 W Alabama St 04 2019 Ste 180 City State Zip Code Transaction ID: A067C4610284F480986D TX Houston 77098-1866 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Midtown Financial Group LLC Financial Advisor Payroll Deduction: \$41.66/Bi-Monthly Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 208.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 291.65 SUBTOTAL of Receipts This Page (optional)..... 21422.75 TOTAL This Period (last page this line number only).....

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SCHEDULE B (FEC Form 3X)			FOR LINE	PAGE 48 OF 48	
ITEMIZED DISBURSEMENTS	Use separate schedule(s)			FOR LINE NUMBER: PAGE 48 OF 48 (check only one)	
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NAME OF COMMITTEE (In Full)		<b>)</b> [			
LPL Financial LLC Political Action	Committ	ee (LPL PA	.C)		
Full Name (Last, First, Middle Initial)					
Aristotle International				Date of Disbursement	
Mailing Address 205 Pennsylvania Ave SE				04 26 2019	
City Washington	State DC	Zip Code 20003-1164		FEC Identification Number	
Purpose of Disbursement		20003-1104		C	
Credit Card Processing Fees				Transaction ID : B61244313F1	
Candidate Name  Category/ Type			Amount of Each Disbursement this Period		
Office Sought: House Disburse			туре	25.00	
Senate Primar		mary General		7 7	
President	Other (spec	cify) 🔻		Memo Item	
State: District:					
Full Name (Last, First, Middle Initial) <b>B.</b>				Date of Disbursement	
				M M / D D / Y Y Y Y	
Mailing Address					
City	State	Zip Code		FEC Identification Number	
Purpose of Disbursement				C	
Candidate Name Category/				Amount of Each Disbursement this Period	
Office Sought:   House   Disbursement For:					
Senate Primary General				4 4	
President				Memo Item	
State: District:  Full Name (Last, First, Middle Initial)				<u> </u>	
C.				Date of Disbursement	
A. W. A. I.				M M / D D / Y Y Y Y	
Mailing Address					
City	State	Zip Code		FEC Identification Number	
Purpose of Disbursement				C	
Candidate Name Category/ Type			Amount of Each Disbursement this Period		
Office Sought: House Disbursement For:					
Senate	Primary	General			
State: District:	Other (spec	city) 🔻		Memo Item	
Diotriot.					
SUBTOTAL of Disbursements This Page (optional)				25.00	
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TOTAL This Period (last page this line number only	)			25.00	