

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) ▼

100 N.E. Adams Street

☐ Check if different than previously reported. (ACC)

Peoria

IL

61629-1430

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00148031

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15  
Quarterly Report (Q1)
- ☐ July 15  
Quarterly Report (Q2)
- ☐ October 15  
Quarterly Report (Q3)
- ☐ January 31  
Year-End Report (YE)
- ☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)
- ☐ Termination Report  
(TER)

(b) Monthly  
Report  
Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11)  
(Non-Election Year Only)
- ☐ Mar 20 (M3) ☐ Jun 20 (M6) ☒ Sep 20 (M9) ☐ Dec 20 (M12)  
(Non-Election Year Only)
- ☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:

- ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of(d) 30-Day  
POST-Election  
Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y  
08 01 2016

through

M M M / D D D / Y Y Y Y Y Y  
08 31 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Benjamin Cordani

Signature of Treasurer

Benjamin Cordani

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y  
09 19 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y 08 / 01 / 2016 To: M M / D D / Y Y Y Y Y Y 08 / 31 / 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> 2016		<span style="border: 1px solid black; padding: 2px;">651672.90</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">500972.21</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">74384.34</span>	<span style="border: 1px solid black; padding: 2px;">599302.59</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">575356.55</span>	<span style="border: 1px solid black; padding: 2px;">1250975.49</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">50794.05</span>	<span style="border: 1px solid black; padding: 2px;">726412.99</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">524562.50</span>	<span style="border: 1px solid black; padding: 2px;">524562.50</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

**The Caterpillar Inc. Employee Political Action Committee**

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y  
08 01 2016

To:

M M / D D / Y Y Y Y Y  
08 31 2016

**I. Receipts**

**COLUMN A**  
**Total This Period**

**COLUMN B**  
**Calendar Year-to-Date**

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

62327.05

417301.92

(ii) Unitemized .....

12051.84

181955.62

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ►

74378.89

599257.54

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ..... ►

74378.89

599257.54

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

5.45

45.05

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

## 19. Total Receipts (add Lines 11(d),

12, 13, 14, 15, 16, 17, and 18(c)) ..... ►

74384.34

599302.59

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ..... ►

74384.34

599302.59

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	19.05	137.99
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	19.05	137.99
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	7500.00	388500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	25.00	6225.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	25.00	6225.00
29. Other Disbursements .....	43250.00	331550.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	50794.05	726412.99
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	50794.05	726412.99

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	74378.89	599257.54
34. Total Contribution Refunds (from Line 28(d)) .....	25.00	6225.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	74353.89	593032.54
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	19.05	137.99
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	19.05	137.99

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brian Abbott**

Mailing Address 100 NE Adams Street

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

868.56

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614177**

Amount of Each Receipt this Period

108.57

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Trey Abston**Mailing Address 2120 West End Avenue  
P O Box 340001

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

430.96

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613894**

Amount of Each Receipt this Period

45.13

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Kent Adams**Mailing Address 2120 West End Avenue  
P O Box 340001

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613306**

Amount of Each Receipt this Period

416.67

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

570.37

: 97 'A-G79 @G B9CI G'H9LH'F9 @H98 'HC'5 'F9DCFHŽG7 <98I @ 'CF' +H9A-N5HCB  
.

Form/Schedule: SA11AI

Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule:

Transaction ID:

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Ian Aguirre**

Mailing Address Building H Dock 13

City  
Mossville

State  
IL

Zip Code  
61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Proj Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

941.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613047**

Amount of Each Receipt this Period

117.72

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jay Alexander**

Mailing Address 100 NE Adams

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Commercial Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613278**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Alison Allen**

Mailing Address 2000 E Washington St

City  
East Peoria

State  
IL

Zip Code  
61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614136**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

267.72



# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 218

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Allen

Mailing Address 27Th &amp; Pershing Rd

City	State	Zip Code
Decatur	IL	62526

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Manager 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

592.46

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

Transaction ID : A2016-1613216

Amount of Each Receipt this Period

74.60

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Cindi Anderson

Mailing Address 9301 W. 55th Street

City	State	Zip Code
LaGrange	IL	60525

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

436.64

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		0	4		2	0	1	6		

Transaction ID : A2016-1610439

Amount of Each Receipt this Period

27.29

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Cindi Anderson

Mailing Address 9301 W. 55th Street

City	State	Zip Code
LaGrange	IL	60525

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

463.93

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		1	8		2	0	1	6		

Transaction ID : A2016-1610452

Amount of Each Receipt this Period

27.29

☐ Memo Item

SUBTOTAL of Receipts This Page (optional).....▶

129.18

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mark K Anderson**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613125

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Mathew Anderson**

Mailing Address 2120 West End Avenue  
 P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1453.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613952

Amount of Each Receipt this Period

183.04

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Michael Anderson**

Mailing Address 1656 Livingstone Road

City State Zip Code  
 Hudson WI 54016

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Signal Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 04 2016

Transaction ID : A2016-1610199

Amount of Each Receipt this Period

25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

308.04

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 11 OF 218

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Michael Anderson**

Mailing Address 1656 Livingstone Road

City State Zip Code  
Hudson WI 54016

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Signal Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 18 / 2016

**Transaction ID : A2016-1610406**

Amount of Each Receipt this Period

25.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Joseph Andris**

Mailing Address 701 Waterford Way  
Suite 600

City State Zip Code  
Miami FL 33126

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Finance Mgr-Secretary/Treasur

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613624**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Chris Arvin**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global People & Org Dev Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613244**

Amount of Each Receipt this Period

35.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

90.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Carlos A Aylwin**

Mailing Address USA-CA-Harbor Drive

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Mgr International Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1611648**

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Douglas Bailey**

Mailing Address 100 Tractor Drive  
 Building CV

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

497.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613281**

Amount of Each Receipt this Period

62.21

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Mark Bainbridge**

Mailing Address 2120 West End Avenue  
 P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President CFSC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613712**

Amount of Each Receipt this Period

100.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

202.21

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 13 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Daniel Baldwin**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

258.08

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

Transaction ID : A2016-1614078

Amount of Each Receipt this Period

32.26

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Christopher Balkema**

Mailing Address 325 Route 31 South (Caterpillar Dr  
 PO Box 348

City State Zip Code  
 Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Category Purchasing Manager A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1259.56

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

Transaction ID : A2016-1613089

Amount of Each Receipt this Period

158.60

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Mike Balkom**

Mailing Address 10650 Highway 80 East

City State Zip Code  
 Montgomery AL 36117

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager, Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

772.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 04 / 2016

Transaction ID : A2016-1610219

Amount of Each Receipt this Period

48.31

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

239.17

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mike Balkom**

Mailing Address 10650 Highway 80 East

City State Zip Code  
Montgomery AL 36117

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager, Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

821.27

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610426**

Amount of Each Receipt this Period

48.31

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. William Ball**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

E&C Program Strategy Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1406.48

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613521**

Amount of Each Receipt this Period

177.10

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Chester Bankes**

Mailing Address 3701 State Road 26 East

City State Zip Code  
Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Plant Engrg Superintendent

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

460.51

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613507**

Amount of Each Receipt this Period

59.33

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

284.74

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kathy Barber

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

607.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1614156

Amount of Each Receipt this Period

109.85

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Andrew Beals

Mailing Address 27Th &amp; Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.95

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613777

Amount of Each Receipt this Period

44.51

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. George Beasley

Mailing Address 2120 West End Avenue  
 P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613019

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional).....▶

204.36

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Chaille Becker**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Dir. Busn. Transformations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1612947

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. James Becker**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1612959

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jeffrey Belcher**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613305

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

150.00

TOTAL This Period (last page this line number only)..... ►



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Teresa Beldock**

Mailing Address 27Th &amp; Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

667.40

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613971**

Amount of Each Receipt this Period

83.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Robert Bennes**

Mailing Address 100 NE Adams Street

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

549.60

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613522**

Amount of Each Receipt this Period

183.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Stephen Berkebile**

Mailing Address 100 NE Adams Street

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

524.37

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613473**

Amount of Each Receipt this Period

174.79

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

441.19

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Sandra Beveridge**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Stf Anl-Invt Ctl

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M = M / D = D / Y = Y Y = Y  
08 31 2016

**Transaction ID : A2016-1614057**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Michael Biltimier**

Mailing Address 560 Rehoboth Road

City State Zip Code  
Griffin GA 30224

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M = M / D = D / Y = Y Y = Y  
08 31 2016

**Transaction ID : A2016-1613260**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Damon Bishop**

Mailing Address 4633 Perrin Creek

City State Zip Code  
San Antonio TX 78217

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

269.64

Date of Receipt

M = M / D = D / Y = Y Y = Y  
08 31 2016

**Transaction ID : A2016-1613794**

Amount of Each Receipt this Period

30.24

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

160.24

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James R Blank**

Mailing Address 14009 Old Galena Rd.  
Bldg A

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.32

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1612964**

Amount of Each Receipt this Period

42.04

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Mary Blichmann**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613351**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Stephen E Bloch**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Project Engr 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

378.88

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613621**

Amount of Each Receipt this Period

47.36

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

139.40

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mary Blum**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.08

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613928**

Amount of Each Receipt this Period

85.64

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. J. Blumenshine**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2502.02

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613601**

Amount of Each Receipt this Period

1.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Thomas Bluth**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613696**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

503.31

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gregory Bowman**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

434.48

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613747**

Amount of Each Receipt this Period

54.31

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jeffrey Bowman**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

eChannel Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614010**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. David Bozeman**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614046**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

520.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Walter Bradbury**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

596.16

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613495

Amount of Each Receipt this Period

74.52

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Scott Branka**

Mailing Address 9301 W. 55th Street

City State Zip Code  
 LaGrange IL 60525

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

496.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 04 2016

Transaction ID : A2016-1610441

Amount of Each Receipt this Period

31.06

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Scott Branka**

Mailing Address 9301 W. 55th Street

City State Zip Code  
 LaGrange IL 60525

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

528.02

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 18 2016

Transaction ID : A2016-1610454

Amount of Each Receipt this Period

31.06

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

136.64

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 218

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Eric Braun**Mailing Address 1600 Progress Drive  
P.O. Box 1037

City	State	Zip Code
Albertville	AL	35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613831**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Nicholas Brazis**Mailing Address 600 Balderson Blvd  
P O Box 6

City	State	Zip Code
Wamego	KS	66547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

439.82

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613948**

Amount of Each Receipt this Period

10.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Otto Breitschwerdt**Mailing Address 400 Regency Forest Drive  
Suite 400

City	State	Zip Code
Cary	NC	27518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Supply Chain Mgmt.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1692.24

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613708**

Amount of Each Receipt this Period

211.53

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

271.53

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kevin Brennan**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

830.08

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613627**

Amount of Each Receipt this Period

103.76

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Jennifer Brooks**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

217.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1612968**

Amount of Each Receipt this Period

54.31

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Michael Bruch**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Pricing Operations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

215.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613519**

Amount of Each Receipt this Period

5.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

163.07



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

A. **Jenny Bryant**

Mailing Address 9401 85Th Avenue North

City State Zip Code  
 Brooklyn Park MN 55445

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1032.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613923

Amount of Each Receipt this Period

131.56

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. **James Buda**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Exec. VP Law &amp; Public Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613498

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. **Steven Bullard**

Mailing Address 2000 Hoff Road

City State Zip Code  
 Dyersburg TN 38024

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

515.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1612978

Amount of Each Receipt this Period

64.96

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

613.19

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Nathaniel Buncher**

Mailing Address 3575 Lone Star Circle Suite 308

City State Zip Code  
 Ft. Worth TX 76177

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Global Aftermarket Parts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

648.90

Date of Receipt

08 / 04 / 2016

**Transaction ID : A2016-1610200**

Amount of Each Receipt this Period

43.26

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Nathaniel Buncher**

Mailing Address 3575 Lone Star Circle Suite 308

City State Zip Code  
 Ft. Worth TX 76177

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Global Aftermarket Parts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.16

Date of Receipt

08 / 18 / 2016

**Transaction ID : A2016-1610407**

Amount of Each Receipt this Period

43.26

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Paul Buob**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Procurement Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1344.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613577**

Amount of Each Receipt this Period

168.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

254.52

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Butikofer**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IS Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

497.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613066**

Amount of Each Receipt this Period

62.24

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Kenneth Cabay**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 04 / 2016

**Transaction ID : A2016-1610202**

Amount of Each Receipt this Period

25.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Kenneth Cabay**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 18 / 2016

**Transaction ID : A2016-1610409**

Amount of Each Receipt this Period

25.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

112.24

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael A Calamari**

Mailing Address 1167 NC Hwy 42 East

City State Zip Code  
 Clayton NC 27527

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

718.72

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613494**

Amount of Each Receipt this Period

89.84

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Brian Callear**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613170**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Amy Campbell**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director of Auditing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613671**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

189.84

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Lori Cansler**

Mailing Address 600 Balderson Blvd  
P O Box 6

City State Zip Code  
Wamego KS 66547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

313.44

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613874**

Amount of Each Receipt this Period

39.18

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. James A Carballido**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Information & Analysis Supv

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

496.72

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613218**

Amount of Each Receipt this Period

62.09

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Marci Carballido**

Mailing Address 330 Sw Adams

City State Zip Code  
Peoria IL 61602

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613354**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

151.27

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Carlberg**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

1507.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613513**

Amount of Each Receipt this Period

188.41

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Stacy Carlson**

Mailing Address Suite 400

1425 K Street

City

Washington

State

DC

Zip Code

20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

1286.40

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1614155**

Amount of Each Receipt this Period

161.98

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Robert Carney III**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Governmental Affairs Rep

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613980**

Amount of Each Receipt this Period

100.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

450.39

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. John Carpenter

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Solutions &amp; Technology Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613493

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Michael Carr

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613617

Amount of Each Receipt this Period

416.66

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Ronald Carr

Mailing Address 2120 West End Avenue  
 P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

676.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613423

Amount of Each Receipt this Period

113.63

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

570.29

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Janda Carter**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1381.46

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613961**

Amount of Each Receipt this Period

173.95

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Dena Cassidy**

Mailing Address 1720 Kingsbury

City State Zip Code  
 Seguin TX 78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

266.48

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613884**

Amount of Each Receipt this Period

33.31

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Robert Castle**

Mailing Address 14009 Old Galena Rd.  
 Bldg A

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Tech Team Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

563.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613561**

Amount of Each Receipt this Period

71.33

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

278.59



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher Chadwick**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

OEM Business Unit Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1612929**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. John J Cheek**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1503.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1612933**

Amount of Each Receipt this Period

10.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Xiao Chen**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1285.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613196**

Amount of Each Receipt this Period

160.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

220.67

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Theodore Chism**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

355.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613892**

Amount of Each Receipt this Period

44.47

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Shelley Chouinard**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613337**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Keith Christiansen**

Mailing Address 1100 Milwaukee Ave  
 PO Box 500

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1614102**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.47

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andre Christophe**

Mailing Address 500 N Morton Ave

City

Morton

State

IL

Zip Code

61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613681**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. John Ciko**

Mailing Address 14009 Old Galena Rd.  
Bldg A

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

321.60

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613050**

Amount of Each Receipt this Period

40.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Aaron Clark**

Mailing Address 100 Ne Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1427.36

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614015**

Amount of Each Receipt this Period

179.73

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

269.93

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mary Clements**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613416**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Wayne Cochran**

Mailing Address 3701 State Road 26 East

City State Zip Code  
Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Engineer 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

318.39

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613966**

Amount of Each Receipt this Period

40.77

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Keri Cole**

Mailing Address 400 Regency Forest Drive  
Suite 400

City State Zip Code  
Cary NC 27518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1435.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613931**

Amount of Each Receipt this Period

179.48

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

320.25

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

A. **Beth Cone**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

933.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613247

Amount of Each Receipt this Period

116.64

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. **Patrick Conley**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Strategy Champion

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613642

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. **Korey Coon**Mailing Address 400 Regency Forest Drive  
Suite 400

City State Zip Code  
 Cary NC 27518

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613755

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

216.64

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Coon**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPF Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

735.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613720**

Amount of Each Receipt this Period

91.97

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Jananne Copeland**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Chief Accounting Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613556**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Benjamin Cordani**

Mailing Address 1100 Milwaukee Ave  
PO Box 500

City State Zip Code  
South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613051**

Amount of Each Receipt this Period

55.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

196.97

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Kristen Covey**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Regional Treasurer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613102**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. John Craig**

Mailing Address Olympian Generators Sets  
 284 Mawsons Way

City State Zip Code  
 Newberry SC 29108

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

347.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613619**

Amount of Each Receipt this Period

43.45

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Paul Creech**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Railcar Sales & Leasing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 04 / 2016

**Transaction ID : A2016-1610221**

Amount of Each Receipt this Period

20.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

113.45

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Paul Creech**

Mailing Address 1600 Progress Drive

City  
Albertville

State Zip Code  
AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
VP, Railcar Sales & Leasing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610428**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Frank Crespo**

Mailing Address 12301 Old Galena Road

City  
Mossville

State Zip Code  
IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Caterpillar Inc.

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614061**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Johnny Cross**

Mailing Address 3500 South Cowan Road

City  
Muncie

State Zip Code  
IN 47302

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

540.59

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

**Transaction ID : A2016-1610431**

Amount of Each Receipt this Period

33.84

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

470.51



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Johnny Cross**

Mailing Address 3500 South Cowan Road

City State Zip Code  
Muncie IN 47302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

574.43

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610445**

Amount of Each Receipt this Period

33.84

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Thomas Crowell**

Mailing Address Route 29 & Old Galena Road  
Dock 55

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

664.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613606**

Amount of Each Receipt this Period

83.12

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Steven Cunningham**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

417.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613758**

Amount of Each Receipt this Period

52.54

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

169.50

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Teresa Curtis**

Mailing Address 400 Regency Forest Drive  
Suite 400

City State Zip Code  
Cary NC 27518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

452.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613613**

Amount of Each Receipt this Period

56.50

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Teresa Cushing**

Mailing Address 14009 Old Galena Rd.  
Bldg A

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1296.80

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613415**

Amount of Each Receipt this Period

162.10

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David Damerell**

Mailing Address 14009 Old Galena Rd.  
Bldg A

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Service Suppt Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613534**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

268.60

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bruce Daniell**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.89

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613848**

Amount of Each Receipt this Period

53.71

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Tracy Daugherty**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613386**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Janet Davidson**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Import/Export Services Supv

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

343.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614005**

Amount of Each Receipt this Period

42.89

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

146.60

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Ryan Davis**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Progress Rail Services VP, Operes Wheel Axle Bearings

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 04 2016

**Transaction ID : A2016-1610214**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Ryan Davis**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Progress Rail Services VP, Operes Wheel Axle Bearings

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 18 2016

**Transaction ID : A2016-1610421**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David DeFreitas**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Caterpillar Inc. Sr. Business Resource Manager

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1612941**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

70.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew Denis**Mailing Address Route 29 & Old Galena Road  
Dock 55

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

293.04

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614022**

Amount of Each Receipt this Period

36.63

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Michael DeWalt**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1612939**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Douglas Dickerson**Mailing Address 100 Tractor Drive  
Building CV Dock CV

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

344.04

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613783**

Amount of Each Receipt this Period

44.10

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

497.40

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Disharoon**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Development Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1600.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614178**

Amount of Each Receipt this Period

200.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Christopher Doherty**

Mailing Address 27Th & Pershing Rd  
PO Box 1430

City

Decatur

State

IL

Zip Code

62525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

206.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613911**

Amount of Each Receipt this Period

41.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Suzette Doligale**

Mailing Address 9301 W. 55th Street

City

LaGrange

State

IL

Zip Code

60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

**Transaction ID : A2016-1610437**

Amount of Each Receipt this Period

20.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

261.20

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Suzette Doligale**

Mailing Address 9301 W. 55th Street

City  
LaGrange

State Zip Code  
IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610450**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Nola Douglas**

Mailing Address 2120 West End Avenue  
P O Box 340001

City  
Nashville

State Zip Code  
TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Caterpillar Inc.

Occupation  
N. A. Financial Accounting Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

644.22

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613261**

Amount of Each Receipt this Period

81.12

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. James Duensing**

Mailing Address 2120 West End Avenue  
P O Box 340001

City  
Nashville

State Zip Code  
TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Caterpillar Inc.

Occupation  
Executive VP / CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613512**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

517.79

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph F Duffy**

Mailing Address 14009 Old Galena Rd.  
Bldg A

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613490**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. James Dugan**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Executive Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1312.24

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613839**

Amount of Each Receipt this Period

164.03

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Jason Duhon**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

429.20

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614068**

Amount of Each Receipt this Period

53.65

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

317.68



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Harold Dulaney**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613658**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Erick Duncan**

Mailing Address 1100 Milwaukee Ave  
PO Box 500

City State Zip Code  
South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

546.56

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614091**

Amount of Each Receipt this Period

68.32

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Michael Duncan**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613576**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

218.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. David Dunlevy**

Mailing Address 10203 Sam Houston Park Drive  
Suite 4000

City State Zip Code  
Houston TX 77064

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613745**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Debra Duzinskas**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Reman BU Mgr - Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613676**

Amount of Each Receipt this Period

45.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Kevin C Earle**

Mailing Address 100 NE Adams Street

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613692**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

145.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. William Easley**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613786

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Patricia Eastwood**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1745.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613034

Amount of Each Receipt this Period

218.21

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Brian Edwards**

Mailing Address 3575 Lone Star Circle Suite 308

City State Zip Code  
 Ft. Worth TX 76177

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

955.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 04 2016

Transaction ID : A2016-1610201

Amount of Each Receipt this Period

59.69

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

327.90

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Brian Edwards**

Mailing Address 3575 Lone Star Circle Suite 308

City State Zip Code  
 Ft. Worth TX 76177

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1014.73

Date of Receipt

08 / 18 / 2016

**Transaction ID : A2016-1610408**

Amount of Each Receipt this Period

59.69

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jonathan Eggemeyer**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

474.08

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613678**

Amount of Each Receipt this Period

59.26

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Justin Embrey**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.53

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613978**

Amount of Each Receipt this Period

36.34

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

155.29

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 53 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Paul English**

Mailing Address State Rt. 116 E.

Spring Creek Rd.

City

East Peoria

State

IL

Zip Code

61611

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Specialist-Dev/Res

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

259.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

Transaction ID : A2016-1613206

Amount of Each Receipt this Period

51.80

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Michael Evans**

Mailing Address 1100 Milwaukee Ave

PO Box 500

City

South Milwaukee

State

WI

Zip Code

53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

798.16

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

Transaction ID : A2016-1613318

Amount of Each Receipt this Period

152.30

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jeffry Everett**

Mailing Address 2120 West End Avenue

P O Box 340001

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

Transaction ID : A2016-1614151

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

254.10

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. David Faber**

Mailing Address 1100 Milwaukee Ave  
PO Box 500

City State Zip Code  
South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613566**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Steven M Ferguson**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Rgnl/Group Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1275.01

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613329**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Bonnie Fetch**

Mailing Address Building H Dock 13

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Unit Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1855.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613644**

Amount of Each Receipt this Period

231.92

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

748.59

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joel Feucht**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

713.68

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613583**

Amount of Each Receipt this Period

89.21

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Jeffery Fischer**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613245**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Jeri Fishbeck**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

254.48

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614072**

Amount of Each Receipt this Period

32.08

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

171.29

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Flannery**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

629.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613782**

Amount of Each Receipt this Period

79.28

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Gregory Folley**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613466**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Bradley Foster**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613969**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

545.95



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Isaac Fox**

Mailing Address 10203 Sam Houston Park Drive  
Suite 4000

City State Zip Code  
Houston TX 77064

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

451.20

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613879**

Amount of Each Receipt this Period

56.40

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Thomas G Frake**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613716**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. David Frederick**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Strategist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613517**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

503.07

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Alan Frese**Mailing Address 1100 Milwaukee Ave  
PO Box 500

City	State	Zip Code
South Milwaukee	WI	53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Solutions &amp; Technology Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1903.47

Date of Receipt

M = M	/	D = D	/	Y = Y Y = Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613497**

Amount of Each Receipt this Period

237.09

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Paul Friend**Mailing Address 14009 Old Galena Rd.  
Bldg E

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

404.96

Date of Receipt

M = M	/	D = D	/	Y = Y Y = Y Y
08	/	31	/	2016

**Transaction ID : A2016-1614059**

Amount of Each Receipt this Period

50.62

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David Frohberg**

Mailing Address 3701 State Road 26 East

City	State	Zip Code
Lafayette	IN	47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M = M	/	D = D	/	Y = Y Y = Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613584**

Amount of Each Receipt this Period

50.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

337.71

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Frow**

Mailing Address 14009 Old Galena Rd.  
Bldg E

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Technical Team Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613483**

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. John Frye**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

435.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613114**

Amount of Each Receipt this Period

54.48

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David Fuelberth**

Mailing Address Route 29 & Old Galena Road  
Dock 55

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

937.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613480**

Amount of Each Receipt this Period

117.19

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

211.67

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 60 OF 218  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Deborah S Furness**Mailing Address 100 Tractor Drive  
Building CV

City East Peoria State IL Zip Code 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Melbourne PD&amp;S Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1204.05

Date of Receipt

M = M / D = D / Y = Y - Y - Y  
08 / 31 / 2016**Transaction ID : A2016-1613201**

Amount of Each Receipt this Period

69.51

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Robert Gaddis**Mailing Address 2120 West End Avenue  
P O Box 340001

City Nashville State TN Zip Code 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Risk Management Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

467.20

Date of Receipt

M = M / D = D / Y = Y - Y - Y  
08 / 31 / 2016**Transaction ID : A2016-1613552**

Amount of Each Receipt this Period

58.40

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Xiaojian Gao**

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M = M / D = D / Y = Y - Y - Y  
08 / 31 / 2016**Transaction ID : A2016-1614170**

Amount of Each Receipt this Period

41.67

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

169.58

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Venu Garimidi**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613611**

Amount of Each Receipt this Period

500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Scott Garman**

Mailing Address 9301 W. 55th Street

City State Zip Code  
 LaGrange IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

08 / 04 / 2016

**Transaction ID : A2016-1610436**

Amount of Each Receipt this Period

25.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Scott Garman**

Mailing Address 9301 W. 55th Street

City State Zip Code  
 LaGrange IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 18 / 2016

**Transaction ID : A2016-1610449**

Amount of Each Receipt this Period

25.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

550.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Donna Gatz**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-2

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

384.80

Date of Receipt

M = M	/	D = D	/	Y = Y Y = Y Y = Y
08		31		2016

**Transaction ID : A2016-1612998**

Amount of Each Receipt this Period

48.10

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Matthew Gaw**

Mailing Address Building H Dock 13

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M = M	/	D = D	/	Y = Y Y = Y Y = Y
08		31		2016

**Transaction ID : A2016-1613687**

Amount of Each Receipt this Period

75.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Orville Geick**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Performance Mgr4

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M = M	/	D = D	/	Y = Y Y = Y Y = Y
08		31		2016

**Transaction ID : A2016-1613551**

Amount of Each Receipt this Period

50.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

173.10

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 63 OF 218  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brian W George**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1612990**

Amount of Each Receipt this Period

75.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Justin Gibbons**Mailing Address 14009 Old Galena Rd.  
Bldg A

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Washington Operations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.64

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613031**

Amount of Each Receipt this Period

52.58

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Kurt Gibson**Mailing Address 400 Regency Forest Drive  
Suite 400

City	State	Zip Code
Cary	NC	27518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1307.68

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613158**

Amount of Each Receipt this Period

163.46

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

291.04

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher Gidez**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614166**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Daniel Gilbert**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613076**

Amount of Each Receipt this Period

78.86

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Jonathan Ginzel**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Developmental Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

837.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613453**

Amount of Each Receipt this Period

104.64

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

283.50



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Kristin Girard**

Mailing Address 325 Route 31 South (Caterpillar Dr  
PO Box 348

City Aurora State IL Zip Code 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1614183

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Regg Glawe**

Mailing Address Route 29 & Old Galena Road  
Dock 55

City Mossville State IL Zip Code 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1380.50

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613623

Amount of Each Receipt this Period

173.83

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Chris Gorman**

Mailing Address Highway 42 East  
P O Box 999

City Clayton State NC Zip Code 27528

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1121.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1614051

Amount of Each Receipt this Period

141.88

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

732.38

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gerald Gorman**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Chief Information Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613541**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Edward Grech**

Mailing Address 100 NE Adams Street

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

426.32

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614018**

Amount of Each Receipt this Period

53.29

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Matthew Greuel**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

453.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614016**

Amount of Each Receipt this Period

56.68

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

526.64

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William T Groff**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Program Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

251.40

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613511**

Amount of Each Receipt this Period

41.90

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Timothy Gryl**

Mailing Address 500 N Morton Ave

City

Morton

State

IL

Zip Code

61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Caterpillar Service Parts Mgr.

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

3118.37

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613368**

Amount of Each Receipt this Period

470.40

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Steven Guban**

Mailing Address 6800 Doerr Lane

City

Schertz

State

TX

Zip Code

78154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

1050.70

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614146**

Amount of Each Receipt this Period

132.92

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

645.22

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jerome Guilford**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Genl Mgr Lifecycle Prods/Servs

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613369**

Amount of Each Receipt this Period

150.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Rodrigo Gusso**Mailing Address 701 Waterford Way  
Suite 600

City

Miami

State

FL

Zip Code

33126

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

568.99

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613881**

Amount of Each Receipt this Period

81.39

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Ryan Gustafson**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

881.04

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613228**

Amount of Each Receipt this Period

110.13

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

341.52

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Margaret Gutgesell**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Strategist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1302.46

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613544**

Amount of Each Receipt this Period

164.77

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Donald R Hall**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613015**

Amount of Each Receipt this Period

45.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. John Hall**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

388.96

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613029**

Amount of Each Receipt this Period

48.62

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

258.39

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Halloran**

Mailing Address 980 Ninth Street  
Suite 2200

City State Zip Code  
Sacramento CA 95814

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

California Reg Affairs Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1411.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613635**

Amount of Each Receipt this Period

177.10

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Bradley Halverson**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group President & CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613573**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Corey B Hanback**

Mailing Address 11601 93rd Ave N

City State Zip Code  
MapleGrove MN 55369

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613022**

Amount of Each Receipt this Period

100.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

693.77

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Hanson**

Mailing Address 100 Tractor Drive

Building CV Dock CV

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

502.96

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613925**

Amount of Each Receipt this Period

62.87

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. David Harn**

Mailing Address 2000 E Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager 1

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613837**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Russell D Harrell**

Mailing Address 600 W Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

460.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613516**

Amount of Each Receipt this Period

100.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

212.87

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lester Harris**

Mailing Address 600 Balderson Blvd  
P O Box 6

City State Zip Code  
Wamego KS 66547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

287.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613871

Amount of Each Receipt this Period

35.95

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Andrea Hart**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1108.48

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613113

Amount of Each Receipt this Period

138.56

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Matthew Hart**

Mailing Address 1900 Front Street

City State Zip Code  
Ashland KY 41101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Sales &amp; Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

378.30

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

Transaction ID : A2016-1610193

Amount of Each Receipt this Period

25.22

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

199.73

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew Hart**

Mailing Address 1900 Front Street

City State Zip Code  
 Ashland KY 41101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Sales & Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

403.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 18 / 2016

**Transaction ID : A2016-1610400**

Amount of Each Receipt this Period

25.22

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Sylvia Hasinger**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group/Regional HR Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613053**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. John Hassiepen**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1813.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613564**

Amount of Each Receipt this Period

226.71

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

301.93

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Kimberly S Hauer**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613698**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Dennis Hausman**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613486**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. James D Hawkins**

Mailing Address 100 Tractor Drive  
 Building CV

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mach Prod & Proc Design Cntr M

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1614186**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

863.34

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Martin Haycraft**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP/CMO, LRS Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 04 / 2016

**Transaction ID : A2016-1610213**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Martin Haycraft**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP/CMO, LRS Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 18 / 2016

**Transaction ID : A2016-1610420**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Katherine Hays**

Mailing Address Suite 400  
 1425 K Street

City State Zip Code  
 Washington DC 20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Governmental Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1299.18

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614085**

Amount of Each Receipt this Period

163.59

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

203.59

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. James Heatwole**

Mailing Address Building H Dock 13

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613543**

Amount of Each Receipt this Period

157.41

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Keith J Heiar**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Commercial Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1124.46

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613456**

Amount of Each Receipt this Period

140.90

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jeremy Heilman**

Mailing Address 100 Tractor Drive  
Building CV Dock CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

266.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614013**

Amount of Each Receipt this Period

32.39

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

330.70

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Robert Heitzman**

Mailing Address Route 29 & Old Galena Road  
Dock 55

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613538

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Mark Helgren**

Mailing Address 10203 Sam Houston Park Drive  
Suite 4000

City State Zip Code  
Houston TX 77064

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613945

Amount of Each Receipt this Period

130.23

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Gary Hendrickson**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Center Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1106.66

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613065

Amount of Each Receipt this Period

140.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

320.23

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. David Henley**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613690**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Gwenne Henricks**

Mailing Address 14009 Old Galena Rd.  
Bldg A

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613532**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Christopher Henry**

Mailing Address 250 Dozer Drive

City State Zip Code  
Athens GA 30606

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

739.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613972**

Amount of Each Receipt this Period

92.43

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

559.10

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

### A. Michael Henry

Mailing Address 1901 S. First Street

City State Zip Code  
Champaign IL 61820

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

707.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613807

Amount of Each Receipt this Period

88.48

☐ Memo Item

Full Name (Last, First, Middle Initial)

### B. David Herbert

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1612932

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

### C. Jason Herman

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

401.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613902

Amount of Each Receipt this Period

50.19

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

188.67

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Eric Hermann**

Mailing Address 10203 Sam Houston Park Drive  
Suite 4000

City State Zip Code  
Houston TX 77064

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614180**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Roy Heussner**

Mailing Address 100 Tractor Drive  
Building CV Dock CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Operations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

556.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613578**

Amount of Each Receipt this Period

69.61

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Crystal Hill**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

862.08

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613668**

Amount of Each Receipt this Period

107.76

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

277.37



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Hill**

Mailing Address 4-H Park Road  
P O Box 740

City State Zip Code  
Pontiac IL 61764

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Section Mgr 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

299.87

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613107**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jonathan Hillman**

Mailing Address 1200 W. Main Street

City State Zip Code  
Peoria IL 61606

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Site Purchasing Manager B

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

712.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613082**

Amount of Each Receipt this Period

178.07

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Kai Hirabayashi**

Mailing Address Suite 400  
1425 K Street

City State Zip Code  
Washington DC 20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614164**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

269.73

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stephanie Hitchcox**

Mailing Address Olympian Generators Sets  
284 Mawsons Way

City State Zip Code  
Newberry SC 29108

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Matls Requirements Plng Supv 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

366.56

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613968**

Amount of Each Receipt this Period

45.82

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Rex Hockaday**

Mailing Address 100 Ne Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613271**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Susan Hodges**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Communications Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613253**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

195.82

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Timothy Hoefft**

Mailing Address 600 W Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613695**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. David Hoefft**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1280.88

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613990**

Amount of Each Receipt this Period

162.04

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Douglas Hoerr**

Mailing Address 100 Tractor Drive  
Building CV

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Rgnl/Group Financial Officer

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613109**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

262.04

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sally Holland**Mailing Address 2120 West End Avenue  
P O Box 340001

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Portfolio Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

349.52

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613339**

Amount of Each Receipt this Period

43.69

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. William Holt**Mailing Address Route 29 & Old Galena Road  
Dock 55

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

531.18

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613014**

Amount of Each Receipt this Period

67.19

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Michael Horton**

Mailing Address 500 N Morton Ave

City	State	Zip Code
Morton	IL	61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

488.52

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	31	/	2016

**Transaction ID : A2016-1613930**

Amount of Each Receipt this Period

61.80

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

172.68

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Wayne Huang**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613832**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Dennis Huber**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613459**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Delma Hume**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1131.16

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613508**

Amount of Each Receipt this Period

143.10

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

343.10

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Russell Hunter**

Mailing Address 4633 Perrin Creek

City

San Antonio

State

TX

Zip Code

78217

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614034**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Walter Hupe**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613503**

Amount of Each Receipt this Period

75.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Joseph Hutt**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1184.10

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613448**

Amount of Each Receipt this Period

149.10

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

274.10

**SCHEDULE A (FEC Form 3X)**  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Daniel Ibrahim**Mailing Address Route 29 & Old Galena Road  
Dock 55

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Technical Steward

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1230.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613673**

Amount of Each Receipt this Period

155.72

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Ronald Ingram**

Mailing Address 100 NE Adams Street

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613075**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Aimee Iverson**Mailing Address 1100 Milwaukee Ave  
PO Box 500

City	State	Zip Code
South Milwaukee	WI	53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614165**

Amount of Each Receipt this Period

125.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

330.72

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Danielle James**

Mailing Address Suite 400

1425 K Street

City

Washington

State

DC

Zip Code

20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

521.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614154**

Amount of Each Receipt this Period

65.13

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. John Janes**

Mailing Address 400 Regency Forest Drive

Suite 400

City

Cary

State

NC

Zip Code

27518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Account Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613950**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Dawn Jeannette**

Mailing Address 2045 West Pike Street

City

Houston

State

PA

Zip Code

15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

290.72

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614105**

Amount of Each Receipt this Period

36.34

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

131.47



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas Jennings**

Mailing Address 500 N Morton Ave

City	State	Zip Code
Morton	IL	61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613409**

Amount of Each Receipt this Period

60.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Michael Jirik**

Mailing Address 501 SW Jefferson

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1453.04

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613391**

Amount of Each Receipt this Period

181.63

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Alicia Johnson**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1324.80

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613178**

Amount of Each Receipt this Period

165.60

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

407.23

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Bradley Johnson**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1612970**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Brett Johnson**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

371.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613686**

Amount of Each Receipt this Period

46.44

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Craig Johnson**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613005**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

513.11

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Denise Johnson**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614079**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Nathan Johnson**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

344.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614109**

Amount of Each Receipt this Period

43.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. James Johnston**

Mailing Address 2045 West Pike Street

City State Zip Code  
Houston PA 15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614110**

Amount of Each Receipt this Period

75.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

534.67

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Don Jones**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

GM Global Parts Supply

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1449.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

**Transaction ID : A2016-1613585**

Amount of Each Receipt this Period

181.14

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Reese Jones**

Mailing Address 10203 Sam Houston Park Drive  
Suite 4000

City State Zip Code  
Houston TX 77064

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

421.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

**Transaction ID : A2016-1613829**

Amount of Each Receipt this Period

52.74

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Eric Jordan**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1419.31

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

**Transaction ID : A2016-1612942**

Amount of Each Receipt this Period

176.57

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

410.45

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Tyrone Jungjohann**

Mailing Address 100 S. L. White Blvd.

City State Zip Code  
 La Grange GA 30241

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

295.80

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

Transaction ID : A2016-1613119

Amount of Each Receipt this Period

52.82

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Pamela Just**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Controller, Business Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

371.25

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 04 / 2016

Transaction ID : A2016-1610215

Amount of Each Receipt this Period

24.75

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Pamela Just**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Controller, Business Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

396.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 18 / 2016

Transaction ID : A2016-1610422

Amount of Each Receipt this Period

24.75

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

102.32

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 94 OF 218  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Kaiser**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Regional Site Purchasing Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613234**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Kathryn Karol**Mailing Address Suite 400  
1425 K Street

City	State	Zip Code
Washington	DC	20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614135**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Zachary Kauk**

Mailing Address 100 NE Adams Street

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

WW Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1661.76

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613357**

Amount of Each Receipt this Period

207.72

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

724.39

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 95 OF 218  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Patrick Kearns**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

417.76

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613408**

Amount of Each Receipt this Period

59.68

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Richard Keith**Mailing Address 300 Hamilton Blvd.  
Suite 101

City	State	Zip Code
Peoria	IL	61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613605**

Amount of Each Receipt this Period

60.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Jeremiah Keltner**Mailing Address 100 Tractor Drive  
Building CV

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.58

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614133**

Amount of Each Receipt this Period

32.08

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

151.76

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Kendrick**

Mailing Address 501 Cardinal Drive

City State Zip Code  
 Corinth MS 38834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1296.80

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613560**

Amount of Each Receipt this Period

162.10

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Colleen Keough**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IT Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613384**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Colin Kerelchuk**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1612991**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

292.10



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steven Kibby**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1708.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613477**

Amount of Each Receipt this Period

213.58

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Russell Kirkpatrick**

Mailing Address Route 29 & Old Galena Road  
 Dock 55

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Service Analyst

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

248.94

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613992**

Amount of Each Receipt this Period

33.51

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Jason Kitchel**

Mailing Address 3450 Executive Way

City State Zip Code  
 Miramar FL 33025

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1655.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613774**

Amount of Each Receipt this Period

206.97

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

454.06

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steven Kline**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

349.68

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613059**

Amount of Each Receipt this Period

43.71

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Steven J Knecht**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613571**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Wesley Knepp**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Labor Relations Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1258.36

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613048**

Amount of Each Receipt this Period

158.45

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

252.16

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christine Knotts**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

450.08

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613057**

Amount of Each Receipt this Period

56.26

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Christopher Knuth**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

308.53

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614000**

Amount of Each Receipt this Period

41.41

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Harold Kobrak**

Mailing Address 100 NE Adams Street

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

1308.56

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613471**

Amount of Each Receipt this Period

163.57

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

261.24

**TOTAL** This Period (last page this line number only)..... ►

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

### A. Sean Koors

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

385.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613841

Amount of Each Receipt this Period

48.21

☐ Memo Item

Full Name (Last, First, Middle Initial)

### B. Pablo Koziner

Mailing Address 2200 Pacific Hwy

City State Zip Code  
San Diego CA 92101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Distribution Svcs Reg Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613334

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

### C. Patrick Kozlowski

Mailing Address 330 Sw Adams

City State Zip Code  
Peoria IL 61602

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1605.03

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613603

Amount of Each Receipt this Period

202.80

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

667.68

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Kroger**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613878**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Brian Kruse**

Mailing Address 560 Rehoboth Road

City

Griffin

State

GA

Zip Code

30224

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

744.40

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613167**

Amount of Each Receipt this Period

93.05

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Ajey Kulkarni**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

500.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613763**

Amount of Each Receipt this Period

500.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

623.05

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Mark Kumpf**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

GM Parts Supply Chain Strateg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1428.32

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

Transaction ID : A2016-1613262

Amount of Each Receipt this Period

178.54

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Jason Kupferschmid**Mailing Address 100 Tractor Drive  
Building CV Dock CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

588.90

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

Transaction ID : A2016-1613697

Amount of Each Receipt this Period

74.50

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Ravisankar Kurumbailadam**

Mailing Address 27Th &amp; Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

Transaction ID : A2016-1612954

Amount of Each Receipt this Period

100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

353.04

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christina Lael**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Accountant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613994**

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Julie Lagacy**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613567**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Daniel LaHood**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1238.66

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614181**

Amount of Each Receipt this Period

156.70

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

613.37

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Benjamin Lambert**

Mailing Address Suite 400

1425 K Street

City

Washington

State

DC

Zip Code

20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

568.58

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613979**

Amount of Each Receipt this Period

67.93

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Deborah Lane-Christian**

Mailing Address 100 Ne Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

235.88

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613667**

Amount of Each Receipt this Period

29.80

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Mark Lang**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1208.86

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613443**

Amount of Each Receipt this Period

152.93

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

250.66



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jason Lantz

Mailing Address 500 N. Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

567.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613527

Amount of Each Receipt this Period

70.98

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Susan Lash

Mailing Address 1600 Progress Drive  
P.O. Box 1037

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1191.13

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613733

Amount of Each Receipt this Period

158.23

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Daniel J Lantz

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

543.49

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613683

Amount of Each Receipt this Period

68.44

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

297.65

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Bryan Lavezzi**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

557.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613615**

Amount of Each Receipt this Period

70.47

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jimmy Lawley**

Mailing Address 1600 Progress Drive

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

**Transaction ID : A2016-1610216**

Amount of Each Receipt this Period

25.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jimmy Lawley**

Mailing Address 1600 Progress Drive

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610423**

Amount of Each Receipt this Period

25.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

120.47

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Elizabeth LeBaron**

Mailing Address 325 Route 31 South (Caterpillar Dr  
PO Box 348

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613927**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Christopher Lee**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Procurement Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613661**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Phillip Lester**

Mailing Address 1600 Progress Drive

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

262.50

Date of Receipt

08 / 04 / 2016

**Transaction ID : A2016-1610203**

Amount of Each Receipt this Period

17.50

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

97.50

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Phillip Lester**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 18 / 2016

**Transaction ID : A2016-1610410**

Amount of Each Receipt this Period

17.50

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Sean Leuba**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Mgr CPGS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

620.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613469**

Amount of Each Receipt this Period

10.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Teresa Lewis**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613707**

Amount of Each Receipt this Period

35.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

62.50

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Lindberg**

Mailing Address 4-H Park Road  
P O Box 740

City State Zip Code  
Pontiac IL 61764

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

421.41

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613160**

Amount of Each Receipt this Period

44.95

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Matthew Lollar**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

359.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613859**

Amount of Each Receipt this Period

44.92

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Karla Lowery**

Mailing Address 100 Tractor Drive  
Building CV Dock CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

310.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613126**

Amount of Each Receipt this Period

38.87

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

128.74

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joshua Lueker**

Mailing Address 8826 2 Route 24

City  
MapletonState  
ILZip Code  
61547FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.40

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1614163**

Amount of Each Receipt this Period

33.47

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Linda Lundquist**

Mailing Address 100 NE Adams

City  
PeoriaState  
ILZip Code  
61629FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1152.10

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1614047**

Amount of Each Receipt this Period

145.07

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Bradley Luttrell**

Mailing Address 12301 Old Galena Road

City  
MossvilleState  
ILZip Code  
61552FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Buyer A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

314.24

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1612981**

Amount of Each Receipt this Period

39.28

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

217.82

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Maas**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

371.65

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613465**

Amount of Each Receipt this Period

1.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. William MacLeod**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Pending

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

825.71

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613889**

Amount of Each Receipt this Period

106.38

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Mark Manning**

Mailing Address 100 NE Adams Street

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President CFSC

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613549**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

157.38

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gregory Maples**

Mailing Address 1600 Progress Drive

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Analyst I, Financial

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

986.86

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

**Transaction ID : A2016-1610197**

Amount of Each Receipt this Period

62.61

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Gregory Maples**

Mailing Address 1600 Progress Drive

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Analyst I, Financial

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1049.47

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610404**

Amount of Each Receipt this Period

62.61

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Josh Maquet**

Mailing Address 4-H Park Road  
P O Box 740

City State Zip Code  
Pontiac IL 61764

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613413**

Amount of Each Receipt this Period

100.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

225.22



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph Markun**

Mailing Address 3701 State Road 26 East

City State Zip Code  
Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613586**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Arthur Martin**

Mailing Address USA-CA-Kearny Mesa

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Director PS Opns

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1611670**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Angel Martinez**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

793.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613128**

Amount of Each Receipt this Period

99.23

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.23

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Mason**

Mailing Address 1167 NC Hwy 42 East

City State Zip Code  
Clayton NC 27527

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

434.72

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613405

Amount of Each Receipt this Period

54.34

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Stephen Matheny**Mailing Address 1600 Progress Drive  
P.O. Box 1037

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1516.56

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613965

Amount of Each Receipt this Period

189.57

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Sean Matheson**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Procurement Manager 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

391.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613964

Amount of Each Receipt this Period

48.90

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

292.81

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Matteo**

Mailing Address 100 NE Adams Street

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

873.12

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613727**

Amount of Each Receipt this Period

109.14

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Jason Mattox**

Mailing Address 560 Rehoboth Road

City	State	Zip Code
Griffin	GA	30224

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Designer/Modeler

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

259.12

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613013**

Amount of Each Receipt this Period

32.39

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. John Maxwell**

Mailing Address 2045 West Pike Street

City	State	Zip Code
Houston	PA	15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

443.68

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613044**

Amount of Each Receipt this Period

55.46

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

196.99

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Linda Mayernich**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

289.19

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613080**

Amount of Each Receipt this Period

36.12

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Paul Mc Knight**

Mailing Address 325 Route 31 South (Caterpillar Dr  
 PO Box 348

City State Zip Code  
 Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Performance Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613548**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Douglas McCallen**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Rgnl/Group Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1614182**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

502.79

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sandra McCartney**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resources Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1206.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613427**

Amount of Each Receipt this Period

193.80

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. David McClintick**

Mailing Address Building H Dock 13

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613780**

Amount of Each Receipt this Period

49.39

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Ann McGrew**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

259.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613703**

Amount of Each Receipt this Period

32.42

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

275.61

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael A McGuire**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
08			31			2016			

**Transaction ID : A2016-1613802**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Rachel McKain**Mailing Address 1100 Milwaukee Ave  
PO Box 500

City	State	Zip Code
South Milwaukee	WI	53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.99

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
08			31			2016			

**Transaction ID : A2016-1613957**

Amount of Each Receipt this Period

104.33

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Heather Rae R McKay**

Mailing Address 812 W. Washington St

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Business Process Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

347.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
08			31			2016			

**Transaction ID : A2016-1613779**

Amount of Each Receipt this Period

43.49

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional).....▶

564.49

**TOTAL** This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Karen McMullen**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

591.28

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613314**

Amount of Each Receipt this Period

74.80

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Lauren Mellott**

Mailing Address 2120 West End Avenue  
P O Box 340001

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

330.40

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613959**

Amount of Each Receipt this Period

41.30

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Charles Menke**

Mailing Address State Rt. 116 E.  
Spring Creek Rd.

City

East Peoria

State

IL

Zip Code

61611

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Machine Development Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

600.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613392**

Amount of Each Receipt this Period

75.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

191.10

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew J Menssen**

Mailing Address 4-H Park Road  
P O Box 740

City State Zip Code  
Pontiac IL 61764

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Laboratory/Support Techn

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613224**

Amount of Each Receipt this Period

36.38

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Chris Mettler**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613680**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David Michael**

Mailing Address 100 NE Adams Street

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613450**

Amount of Each Receipt this Period

100.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

186.38



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Sonya Miles**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resources Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613268**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Mary Miller**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Western Hemisphere Tax Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613682**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Michael Miller**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product & Tech Training Supv

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613684**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kelsey Milman**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1844.52

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613299**

Amount of Each Receipt this Period

237.64

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Anthony Mitchell**

Mailing Address 3501 South FM Hwy 1417

City	State	Zip Code
Denison	TX	75020

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613768**

Amount of Each Receipt this Period

65.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Marsha Mitts**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Tax Planning Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

617.16

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613313**

Amount of Each Receipt this Period

129.29

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

431.93

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Adam Mize**

Mailing Address 27Th & Pershing Rd  
PO Box 1430

City State Zip Code  
Decatur IL 62525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1316.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613872**

Amount of Each Receipt this Period

164.55

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Kathleen Mock**

Mailing Address USA-CA-Harbor Drive

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Vice President Global HR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

843.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1611673**

Amount of Each Receipt this Period

105.42

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Patrick Mohrman**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Customer Services Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

635.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614187**

Amount of Each Receipt this Period

79.47

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

349.44

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Arnold Monteleone**

Mailing Address 525 W. Progress Drive

City

Sherman

State

TX

Zip Code

75092

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Trackwork Division

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.00

Date of Receipt

08 / 04 / 2016

**Transaction ID : A2016-1610217**

Amount of Each Receipt this Period

25.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Arnold Monteleone**

Mailing Address 525 W. Progress Drive

City

Sherman

State

TX

Zip Code

75092

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Trackwork Division

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 18 / 2016

**Transaction ID : A2016-1610424**

Amount of Each Receipt this Period

25.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Djuana Montgomery**

Mailing Address 812 W. Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IT Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1083.28

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613326**

Amount of Each Receipt this Period

135.41

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

185.41

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Martin Mooney**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facilities Engineering Mgr 1

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1302.46

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613140**

Amount of Each Receipt this Period

164.77

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jannie Moore**

Mailing Address 1800 Parkway Dr  
Suite 820

City

Marietta

State

GA

Zip Code

30067

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Governmental Affairs Rep

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

881.06

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614145**

Amount of Each Receipt this Period

111.46

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jeffrey Moore**

Mailing Address Route 29 & Old Galena Road  
Dock 55

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

594.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613346**

Amount of Each Receipt this Period

74.25

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

350.48

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

A. **Phillip Moore**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1007.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613179

Amount of Each Receipt this Period

127.47

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. **Richard Moore**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Investor Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1565.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613553

Amount of Each Receipt this Period

111.84

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. **Barbra Moran**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

885.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613225

Amount of Each Receipt this Period

110.70

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

350.01

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. LeAnne Moritz**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613248**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jeffrey Morris**

Mailing Address Route 29 & Old Galena Road  
Dock 55

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Proj Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613227**

Amount of Each Receipt this Period

500.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Susan Morton**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

385.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614130**

Amount of Each Receipt this Period

48.15

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

598.15

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jarrod Moss

Mailing Address 100 Caterpillar Way

City State Zip Code  
 Thomasville GA 31792

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1084.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613073

Amount of Each Receipt this Period

136.57

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Sherri Mowbray

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

659.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613115

Amount of Each Receipt this Period

82.49

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Srini Muthekepalli

Mailing Address 500 N. Morton Ave

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.80

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613705

Amount of Each Receipt this Period

60.60

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

279.66

TOTAL This Period (last page this line number only)..... ►



# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Christopher Myers**

Mailing Address Suite 400

1425 K Street

City

Washington

State

DC

Zip Code

20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Federal Govt. Affairs Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

2233.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

Transaction ID : A2016-1613919

Amount of Each Receipt this Period

279.24

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Stewart Myers**

Mailing Address 2045 West Pike Street

City

Houston

State

PA

Zip Code

15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

790.68

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

Transaction ID : A2016-1614103

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Bhaskar Nagarathal**

Mailing Address 2200 Channahon Rd

P O Box 504

City

Joliet

State

IL

Zip Code

60436

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 4

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

Transaction ID : A2016-1613433

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

379.24

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Trent Nelson**

Mailing Address 8826 2 Route 24

City State Zip Code  
 Mapleton IL 61547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

342.80

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613159**

Amount of Each Receipt this Period

42.85

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Dontae Newell**

Mailing Address 1100 Milwaukee Ave  
 PO Box 500

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

284.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613096**

Amount of Each Receipt this Period

71.21

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Benjamin Newman**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613647**

Amount of Each Receipt this Period

42.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

156.06

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Chau Nguyen**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613579**

Amount of Each Receipt this Period

98.08

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. David Nicoll**

Mailing Address 1720 Kingsbury

City State Zip Code  
 Seguin TX 78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Bus Proc Improvement

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613181**

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Robert Niemeier**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

722.16

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1614179**

Amount of Each Receipt this Period

90.27

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

228.35

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Norlin**Mailing Address 14009 N Old Galena Rd  
Tech Center Bldg K

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

728.64

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613252**

Amount of Each Receipt this Period

157.16

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Kurt Norris**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

796.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613520**

Amount of Each Receipt this Period

99.50

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Jose Nunez Abe**Mailing Address 2120 West End Avenue  
P O Box 340001

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1489.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613750**

Amount of Each Receipt this Period

186.17

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

442.83

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Deborah O'Fallon**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613982

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Edward A O'Neal**

Mailing Address 1600 Progress Drive

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Health &amp; Safety

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

401.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

Transaction ID : A2016-1610218

Amount of Each Receipt this Period

80.34

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Edward A O'Neal**

Mailing Address 1600 Progress Drive

City State Zip Code  
Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Health &amp; Safety

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

482.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

Transaction ID : A2016-1610425

Amount of Each Receipt this Period

80.34

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

210.68

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Edwin O'Neil**

Mailing Address 100 NE Adams Street

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mfg. Logistics & Transp. Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613484**

Amount of Each Receipt this Period

250.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Wayne Ocker**

Mailing Address 444 Birch Street

City State Zip Code  
Prentice WI 54556

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Service Technical Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

313.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613908**

Amount of Each Receipt this Period

39.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. James Oliphant**

Mailing Address 1720 Kingsbury

City State Zip Code  
Seguin TX 78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1219.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613300**

Amount of Each Receipt this Period

153.53

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

442.73

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Paulo Oliveira**

Mailing Address 325 Route 31 South (Caterpillar Dr  
PO Box 348

City Aurora State IL Zip Code 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1239.54

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613739

Amount of Each Receipt this Period

156.07

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Roberto Ortega**

Mailing Address 501 SW Jefferson

City Peoria State IL Zip Code 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1436.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1614067

Amount of Each Receipt this Period

179.50

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Luis Ossandon**

Mailing Address 100 NE Adams Street

City Peoria State IL Zip Code 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.30

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1614093

Amount of Each Receipt this Period

18.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

353.57

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Barrington Owens**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1746.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613895**

Amount of Each Receipt this Period

218.28

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Zachary Oylar**

Mailing Address 100 Tractor Drive  
Building CV Dock CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613962**

Amount of Each Receipt this Period

46.95

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Justin Palmer**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

411.33

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613220**

Amount of Each Receipt this Period

25.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

290.23



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Brett Parks**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1444.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1612951**

Amount of Each Receipt this Period

180.61

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Otto Parson**

Mailing Address 3701 State Road 26 East

City State Zip Code  
Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.49

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614081**

Amount of Each Receipt this Period

6.80

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Robert Paternoga**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Reman BU Mgr - Engines

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613737**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

604.08

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Leslie Paulson**Mailing Address 300 Hamilton Blvd.  
Suite 101

City	State	Zip Code
Peoria	IL	61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Tech Info Solutions Dept Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613308**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Randy Pedigo**

Mailing Address 751 International Dr

City	State	Zip Code
Franklin	IN	46131

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

468.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613988**

Amount of Each Receipt this Period

58.50

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Thomas Pellette**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613752**

Amount of Each Receipt this Period

416.67

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

505.17

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew Pendergrass**

Mailing Address 100 NE Adams

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Talent Development Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613010**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Francisco Perez Sandi**

Mailing Address 560 Rehoboth Road

City  
Griffin

State  
GA

Zip Code  
30224

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

601.92

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613406**

Amount of Each Receipt this Period

75.24

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Bradley Peterson**

Mailing Address 12301 Old Galena Road

City  
Mossville

State  
IL

Zip Code  
61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

248.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613575**

Amount of Each Receipt this Period

20.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

125.24

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Douglas Petterson**

Mailing Address Highway 42 East  
P O Box 999

City State Zip Code  
Clayton NC 27528

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613431**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jason Pettit**

Mailing Address 14009 Old Galena Rd.  
Bldg F

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1028.25

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613136**

Amount of Each Receipt this Period

149.90

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Amy Peyton**

Mailing Address 400 Regency Forest Drive  
Suite 400

City State Zip Code  
Cary NC 27518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

262.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614122**

Amount of Each Receipt this Period

32.75

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

599.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Brian Pfautsch**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Reman BU Mgr - Engine Systems

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1254.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613550**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Todd Philbee**

Mailing Address Rosario Norte 407 Piso 14  
 Las Condes

City State Zip Code  
 Santiago VA 75611

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mktg Center of Excellence Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

796.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613738**

Amount of Each Receipt this Period

99.61

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Michael E Pickell**

Mailing Address 14009 Old Galena Rd.  
 Bldg G

City State Zip Code  
 Mossville IL 61656

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1612996**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

179.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Pierce**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Performance Mgr 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

623.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613363**

Amount of Each Receipt this Period

78.90

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. David Pitts**

Mailing Address 2120 West End Avenue  
 P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

493.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613095**

Amount of Each Receipt this Period

61.23

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Melissa Pladers**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Staff Acct II Cost / DS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613277**

Amount of Each Receipt this Period

35.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

175.13

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jessica Poliner**

Mailing Address 100 NE Adams Street

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1143.68

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614100**

Amount of Each Receipt this Period

142.96

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Joseph Poole**Mailing Address 2120 West End Avenue  
P O Box 340001

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1305.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613232**

Amount of Each Receipt this Period

164.34

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Rachel Potts**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Communications Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

310.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613849**

Amount of Each Receipt this Period

75.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

382.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Powell**

Mailing Address 1600 Progress Drive

City

Albertville

State

AL

Zip Code

35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP and Controller

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

08 / 04 / 2016

**Transaction ID : A2016-1610194**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Robert Powell**

Mailing Address 1600 Progress Drive

City

Albertville

State

AL

Zip Code

35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP and Controller

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

08 / 18 / 2016

**Transaction ID : A2016-1610401**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Stephanie Price**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.60

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614002**

Amount of Each Receipt this Period

30.20

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

70.20



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Hallie Quick**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

311.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1612965

Amount of Each Receipt this Period

38.95

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. James Quigg**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

912.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613348

Amount of Each Receipt this Period

114.03

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Peter Rall**

Mailing Address 100 NE Adams Street

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1614099

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

202.98

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Ennodio Ramos**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

VP Power Generation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1611680**

Amount of Each Receipt this Period

90.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Anna Rasmussen**

Mailing Address 14009 Old Galena Rd.  
Bldg L

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.51

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613093**

Amount of Each Receipt this Period

15.91

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Terry Rasmussen**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1058.38

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613016**

Amount of Each Receipt this Period

133.26

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

239.17

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Anthony Rassi**

Mailing Address 12301 Old Galena Road

City  
Mossville

State  
IL

Zip Code  
61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Multi-Site Purchasing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1540.08

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613784**

Amount of Each Receipt this Period

192.51

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Richard Rathe**

Mailing Address 3450 Executive Way

City  
Miramar

State  
FL

Zip Code  
33025

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613546**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Davis Reese**

Mailing Address 100 Ne Adams

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613099**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

272.51

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Eric Reiners**

Mailing Address 14009 Old Galena Rd.  
Bldg E

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613377**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. James Reinhart**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613614**

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Ron Reinholdt**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613273**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

120.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Woody Rider**

Mailing Address 501 Cardinal Drive

City State Zip Code  
 Corinth MS 38834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

347.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613414**

Amount of Each Receipt this Period

43.38

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Robert Riggle**

Mailing Address 1100 Milwaukee Ave  
 PO Box 500

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

504.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614094**

Amount of Each Receipt this Period

63.12

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. James Rink**

Mailing Address 2200 Channahon Rd  
 P O Box 504

City State Zip Code  
 Joliet IL 60436

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613569**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

156.50

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Ryan Robbins**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

401.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613303

Amount of Each Receipt this Period

50.13

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Adam J Robinson**

Mailing Address USA-CA-Skypark 4

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Sr Account Manager-Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1611681

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David Roeder**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

SVP Eng &amp; Track Serv Bus Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 04 2016

Transaction ID : A2016-1610188

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

140.13

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David Roeder**

Mailing Address 1600 Progress Drive

City  
Albertville

State Zip Code  
AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
SVP Eng & Track Serv Bus Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610429**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. David Roenspies**

Mailing Address 9301 W. 55th Street

City  
LaGrange

State Zip Code  
IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

378.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

**Transaction ID : A2016-1610433**

Amount of Each Receipt this Period

23.68

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David Roenspies**

Mailing Address 9301 W. 55th Street

City  
LaGrange

State Zip Code  
IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

402.56

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610446**

Amount of Each Receipt this Period

23.68

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

97.36

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mary Roethler**

Mailing Address 14009 Old Galena Rd.  
Bldg A

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

TIS Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

670.40

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613355**

Amount of Each Receipt this Period

83.80

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Jeffery Rohr**

Mailing Address 325 Green Valley Road

City State Zip Code  
 Griffin GA 30223

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613163**

Amount of Each Receipt this Period

35.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. James Rooney**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613197**

Amount of Each Receipt this Period

416.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

535.47



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Rous**

Mailing Address 500 N Morton Ave

City  
Morton

State  
IL

Zip Code  
61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Inventory & Logistics Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

510.88

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613523**

Amount of Each Receipt this Period

63.86

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Katelyn Rowen**

Mailing Address 7300 Lone Tree Road

City  
Victoria

State  
TX

Zip Code  
77901

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Communications Rep - 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.56

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614118**

Amount of Each Receipt this Period

32.39

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Juergen Rubel**

Mailing Address 15550 NW 59Th Avenue

City  
Miami Lakes

State  
FL

Zip Code  
33014

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

626.14

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613108**

Amount of Each Receipt this Period

79.21

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

175.46

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Jeffrey Ruppel**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1409.18

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614026**

Amount of Each Receipt this Period

177.44

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Melissa Russell**

Mailing Address Suite 400  
 1425 K Street

City State Zip Code  
 Washington DC 20005

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1336.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614172**

Amount of Each Receipt this Period

167.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jeffrey Saad**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1457.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613467**

Amount of Each Receipt this Period

182.15

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

526.59

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Jason Sage**

Mailing Address 400 Regency Forest Drive  
Suite 400

City State Zip Code  
Cary NC 27518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Div HR Sup Chain & Qual Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1894.48

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613264**

Amount of Each Receipt this Period

236.81

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Matthew Sager**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613417**

Amount of Each Receipt this Period

5.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Ronald Sale**

Mailing Address 100 Tractor Drive  
Building CV Dock CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

513.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613963**

Amount of Each Receipt this Period

64.15

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

305.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Marcos Sallowicz**

Mailing Address 701 Waterford Way  
Suite 600

City State Zip Code  
Miami FL 33126

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Distribution Svcs Reg Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613709**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Susan Samples**

Mailing Address Components Group  
100 Caterpillar Drive

City State Zip Code  
Booneville MS 38829

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

335.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613283**

Amount of Each Receipt this Period

41.96

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jesus Sandoval**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1612972**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

171.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. E Jean Savage**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3335.71

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613995

Amount of Each Receipt this Period

416.07

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. William Schaffroth**

Mailing Address 400 Regency Forest Drive  
Suite 400

City State Zip Code  
 Cary NC 27518

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1161.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613457

Amount of Each Receipt this Period

145.24

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Jack Schebo**

Mailing Address 5600 Stilwell

City State Zip Code  
 Kansas City MO 64120

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Project

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.85

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 04 2016

Transaction ID : A2016-1610220

Amount of Each Receipt this Period

30.59

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

591.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jack Schebo

Mailing Address 5600 Stilwell

City State Zip Code  
 Kansas City MO 64120

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Project

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

489.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 18 2016

Transaction ID : A2016-1610427

Amount of Each Receipt this Period

30.59

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Kenneth Schmillen

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

319.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1614071

Amount of Each Receipt this Period

39.93

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Tonya Schnelle

Mailing Address 1100 Milwaukee Ave  
 PO Box 500

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

TIS Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1288.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613594

Amount of Each Receipt this Period

161.08

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

231.60

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Barbara Schwarzentraub**

Mailing Address 500 N Morton Ave

City	State	Zip Code
Morton	IL	61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir. Cat Parts Distribution

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613317**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Johanna Scott**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1614169**

Amount of Each Receipt this Period

55.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Kevin R Sears**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

726.44

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613626**

Amount of Each Receipt this Period

180.71

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

652.38

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Alex See**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

212.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614035**

Amount of Each Receipt this Period

26.55

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Mary Seely**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resources Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1251.80

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1612928**

Amount of Each Receipt this Period

212.95

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Brent Selburg**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Parts Operations Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613257**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

289.50



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Phillip Shankwitz**Mailing Address 600 Balderson Blvd  
P O Box 6

City	State	Zip Code
Wamego	KS	66547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1357.76

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
08			31			2016			

**Transaction ID : A2016-1613488**

Amount of Each Receipt this Period

169.72

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Clarence Shannon**

Mailing Address 2045 West Pike Street

City	State	Zip Code
Houston	PA	15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

328.37

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
08			31			2016			

**Transaction ID : A2016-1614113**

Amount of Each Receipt this Period

40.46

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. David Shannon**Mailing Address 10203 Sam Houston Park Drive  
Suite 4000

City	State	Zip Code
Houston	TX	77064

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
08			31			2016			

**Transaction ID : A2016-1612985**

Amount of Each Receipt this Period

50.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

260.18

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Brad Shapland**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

628.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613349**

Amount of Each Receipt this Period

79.45

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Robert Sharp**

Mailing Address 501 Cardinal Drive

City State Zip Code  
 Corinth MS 38834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Project Engr 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

628.48

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613672**

Amount of Each Receipt this Period

78.56

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Scott Shepherd**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director of Purchasing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

605.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614124**

Amount of Each Receipt this Period

76.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

234.01

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Barry Shook**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Solution Lead I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613190**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Paula Shook**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1441.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613461**

Amount of Each Receipt this Period

180.23

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Lindsey Shubert**

Mailing Address 2120 West End Avenue  
 P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Associate Communications Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

269.31

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614134**

Amount of Each Receipt this Period

50.14

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

272.03

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Rodney Shurman**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1746.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613353**

Amount of Each Receipt this Period

218.28

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Truman Shute**

Mailing Address 2800 E. Cedar Hills Drive

City State Zip Code  
 Chillicothe IL 61523

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

506.80

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613081**

Amount of Each Receipt this Period

63.35

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Chad Siebenaler**

Mailing Address 600 W Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613174**

Amount of Each Receipt this Period

35.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

316.63

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Theodore Simison**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613502

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Thomas Simmons**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613291

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Trent A Simpson**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1582.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613361

Amount of Each Receipt this Period

197.75

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

654.42

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 166 OF 218  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Tammy Sisler**

Mailing Address 1167 NC Hwy 42 East

City	State	Zip Code
Clayton	NC	27527

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

205.86

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613843**

Amount of Each Receipt this Period

26.64

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Dennis Skarvan**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613150**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Gregory Skeels**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613565**

Amount of Each Receipt this Period

50.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

493.31

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Maureen Sluga**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613452**

Amount of Each Receipt this Period

80.69

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Amanda Smith**

Mailing Address 250 Dozer Drive

City State Zip Code  
 Athens GA 30606

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

311.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614086**

Amount of Each Receipt this Period

38.97

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Mary Smith**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1175.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 04 / 2016

**Transaction ID : A2016-1610195**

Amount of Each Receipt this Period

85.38

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

205.04

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mary Smith**

Mailing Address 1600 Progress Drive

City  
Albertville

State Zip Code  
AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1260.58

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610402**

Amount of Each Receipt this Period

85.38

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Ronald Smith**

Mailing Address 3701 State Road 26 East

City  
Lafayette

State Zip Code  
IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613396**

Amount of Each Receipt this Period

40.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Wayne Smith**

Mailing Address 500 N Morton Ave

City  
Morton

State Zip Code  
IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1083.02

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613166**

Amount of Each Receipt this Period

137.01

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

262.39



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

A. **Brian Snyder**

Mailing Address 560 Rehoboth Road

City State Zip Code  
 Griffin GA 30224

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

439.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

Transaction ID : A2016-1613217

Amount of Each Receipt this Period

54.91

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. **Jose Soriano**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Intermodal Operation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 04 / 2016

Transaction ID : A2016-1610196

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. **Jose Soriano**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Intermodal Operation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 18 / 2016

Transaction ID : A2016-1610403

Amount of Each Receipt this Period

20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

94.91

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

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for each category of the  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

### A. Walter Southern

Mailing Address 2045 West Pike Street

City State Zip Code  
Houston PA 15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

493.89

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

Transaction ID : A2016-1614095

Amount of Each Receipt this Period

61.15

☐ Memo Item

Full Name (Last, First, Middle Initial)

### B. Laura Speake

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

511.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

Transaction ID : A2016-1614160

Amount of Each Receipt this Period

127.88

☐ Memo Item

Full Name (Last, First, Middle Initial)

### C. Christopher Spears

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

Transaction ID : A2016-1613688

Amount of Each Receipt this Period

75.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

264.03

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Kathryn Spitznagle**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613464**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Matthew Spitznagle**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

309.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613629**

Amount of Each Receipt this Period

38.70

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. David Spooner**

Mailing Address 2045 West Pike Street

City State Zip Code  
 Houston PA 15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

252.85

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1614097**

Amount of Each Receipt this Period

62.20

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Laine Spruiell**

Mailing Address 1600 Progress Drive

City  
Albertville

State Zip Code  
AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
Controller, International

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 04 / 2016

**Transaction ID : A2016-1610189**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Laine Spruiell**

Mailing Address 1600 Progress Drive

City  
Albertville

State Zip Code  
AL 35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
Controller, International

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 18 / 2016

**Transaction ID : A2016-1610430**

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Lisa Staff**

Mailing Address 9301 West 55th Street

City  
LaGrange

State Zip Code  
IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Caterpillar Inc.

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614112**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

90.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Daniel Staley**

Mailing Address 100 Ne Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

430.50

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614159**

Amount of Each Receipt this Period

55.32

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. James Stanley**

Mailing Address Route 29 & Old Galena Road  
Dock 55

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Supervisor 5

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

737.04

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613903**

Amount of Each Receipt this Period

92.13

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. James Starr**

Mailing Address 1100 Milwaukee Ave  
PO Box 500

City

South Milwaukee

State

WI

Zip Code

53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613214**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

197.45

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Theodore Steidinger**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

787.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613161**

Amount of Each Receipt this Period

98.39

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Kevin Steiner**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613173**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Janet Stevens**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

447.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1614161**

Amount of Each Receipt this Period

55.91

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

570.97

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Matthew Stevens**

Mailing Address 9401 85Th Avenue North

City State Zip Code  
 Brooklyn Park MN 55445

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

295.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613954

Amount of Each Receipt this Period

36.88

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Brad Stevenson**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

EHS Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1040.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613468

Amount of Each Receipt this Period

131.69

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Sally Stiles**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir. of Tax &amp; Trade Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

Transaction ID : A2016-1613630

Amount of Each Receipt this Period

416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

585.24

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Stimeling**

Mailing Address 1720 Kingsbury

City  
Seguin

State  
TX

Zip Code  
78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.97

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613816**

Amount of Each Receipt this Period

45.63

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Tammi Stockwell**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

653.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614007**

Amount of Each Receipt this Period

109.85

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Brian Stone**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

869.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613790**

Amount of Each Receipt this Period

108.65

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

264.13



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Jonathan Stone**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1093.38

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613791**

Amount of Each Receipt this Period

138.32

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Mark Stratton**

Mailing Address 100 NE Adams Street

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager Reman

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613588**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Robert Strong**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Technical Services Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1844.40

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613436**

Amount of Each Receipt this Period

230.55

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

785.54

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Dana Stroup**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1345.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613242**

Amount of Each Receipt this Period

192.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Daniel Sundell**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager-KEC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

983.98

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613574**

Amount of Each Receipt this Period

124.48

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. William Swick**

Mailing Address 1167 NC Hwy 42 East

City State Zip Code  
 Clayton NC 27527

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

603.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613269**

Amount of Each Receipt this Period

76.36

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

393.04

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Ross Talley**

Mailing Address 600 Balderson Blvd  
P O Box 6

City State Zip Code  
Wamego KS 66547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.56

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613997

Amount of Each Receipt this Period

30.07

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Matthew Taphorn**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

896.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613855

Amount of Each Receipt this Period

112.08

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Larry Tate**

Mailing Address 100 Tractor Drive  
Building CV

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

Transaction ID : A2016-1613536

Amount of Each Receipt this Period

416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

558.82

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Daniel Terpstra**

Mailing Address 12301 Old Galena Road

City  
Mossville

State  
IL

Zip Code  
61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1370.24

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613372**

Amount of Each Receipt this Period

171.28

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Kevin Thieneman**

Mailing Address 100 S. L. White Blvd.

City  
La Grange

State  
GA

Zip Code  
30241

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613045**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Mark Thompson**

Mailing Address 100 NE Adams

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613347**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

321.28

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mary Thompson**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

200.48

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614063**

Amount of Each Receipt this Period

25.02

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Robert Thompson**

Mailing Address 2120 West End Avenue  
 P O Box 340001

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

President Insurance Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3480.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613722**

Amount of Each Receipt this Period

435.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Steven Tillotson**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPGS Projects Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1285.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613385**

Amount of Each Receipt this Period

160.71

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

620.73

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bradley Tilly**Mailing Address 100 Tractor Drive  
Building CV

City East Peoria State IL Zip Code 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Commercial Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

661.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613021**

Amount of Each Receipt this Period

82.74

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Charles Tobias**

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

651.15

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613397**

Amount of Each Receipt this Period

81.99

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Susan Toher**Mailing Address 4-H Park Road  
P O Box 740

City Pontiac State IL Zip Code 61764

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Operations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613120**

Amount of Each Receipt this Period

100.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

264.73

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Fredrik Tolin**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1614143**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Gregory Tomlins**

Mailing Address 100 Tractor Drive  
Building CV

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

804.65

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613659**

Amount of Each Receipt this Period

160.93

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Brian Tomsovic**

Mailing Address 1720 Kingsbury

City

Seguin

State

TX

Zip Code

78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

562.72

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613243**

Amount of Each Receipt this Period

71.18

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

262.11

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Ursula Towne**

Mailing Address 14009 Old Galena Rd.  
Bldg L

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

297.26

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613165**

Amount of Each Receipt this Period

38.53

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Andrew Trent**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613112**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. John Trueblood**

Mailing Address 751 International Dr

City State Zip Code  
 Franklin IN 46131

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

306.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613984**

Amount of Each Receipt this Period

38.33

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

106.86



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robyn Tucker**Mailing Address 100 Tractor Drive  
Building CV

City East Peoria State IL Zip Code 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

314.16

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1613918**

Amount of Each Receipt this Period

39.27

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Donald Umpleby III**

Mailing Address 100 NE Adams

City Peoria State IL Zip Code 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1613153**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Tana Utley**

Mailing Address 12301 Old Galena Road

City Mossville State IL Zip Code 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1613531**

Amount of Each Receipt this Period

416.67

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

872.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Valek**

Mailing Address 751 International Dr

City State Zip Code  
Franklin IN 46131

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

321.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613985**

Amount of Each Receipt this Period

40.21

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Jeffrey Vanek**

Mailing Address 100 NE Adams Street

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Industry Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

526.91

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613002**

Amount of Each Receipt this Period

126.91

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Janelle VanHootegem**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

265.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1614019**

Amount of Each Receipt this Period

33.22

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

200.34

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Antonio Varela**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.05

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613828**

Amount of Each Receipt this Period

41.41

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Gina Vassallo**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Process Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1321.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613135**

Amount of Each Receipt this Period

165.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Brandon Velde**

Mailing Address 211 Fulton Street  
Suite 500B

City State Zip Code  
Peoria IL 61602

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Technology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

222.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613177**

Amount of Each Receipt this Period

27.87

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

234.48

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Annette R Verpraet**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Division Communications Lead

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613255**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Darlynn VeZain**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

247.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614084**

Amount of Each Receipt this Period

41.88

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Vincent Viars**

Mailing Address 2045 West Pike Street

City State Zip Code  
 Houston PA 15342

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

311.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614123**

Amount of Each Receipt this Period

38.90

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

180.78

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Iris K Villela**

Mailing Address USA-CA-Harbor Drive

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Six Sigma Black Belt 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1611690**

Amount of Each Receipt this Period

60.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Carl Volz**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Governmental Affairs Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

530.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613638**

Amount of Each Receipt this Period

67.05

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. John Walenta**

Mailing Address 100 Tractor Drive  
 Building CV Dock CV

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

753.36

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613504**

Amount of Each Receipt this Period

94.17

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

221.22

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Thomas Walters**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Governmental Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1560.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

**Transaction ID : A2016-1613212**

Amount of Each Receipt this Period

195.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. David Walton**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President CFSC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1911.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

**Transaction ID : A2016-1613338**

Amount of Each Receipt this Period

238.98

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Timothy Ward**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Supply Chain Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 31 2016

**Transaction ID : A2016-1614027**

Amount of Each Receipt this Period

100.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

533.98

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Noel S Weer**

Mailing Address Building H Dock 13

City  
MossvilleState  
ILZip Code  
61552FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Demand &amp; Orders Mgr 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

516.56

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1613171**

Amount of Each Receipt this Period

64.57

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Karl Weiss**Mailing Address 100 Tractor Drive  
Building CV

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1614176**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Christopher West**Mailing Address 100 Tractor Drive  
Building CV Dock CV

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.81

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	8			3	1			2	0	1	6		

**Transaction ID : A2016-1613069**

Amount of Each Receipt this Period

48.39

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

529.63

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Greg West**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

260.18

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613812**

Amount of Each Receipt this Period

33.52

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Douglas Weston**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Segment Manager A

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

600.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613554**

Amount of Each Receipt this Period

75.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Christopher White**

Mailing Address 3701 State Road 26 East

City

Lafayette

State

IN

Zip Code

47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Purchasing Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613203**

Amount of Each Receipt this Period

50.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

158.52



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Scott White**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

262.64

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613648**

Amount of Each Receipt this Period

32.83

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. John Whitehead**

Mailing Address 100 S. L. White Blvd.

City

La Grange

State

GA

Zip Code

30241

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613873**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Douglas Whiteley**

Mailing Address 90 Ceramic Tile Dr

City

Morganton

State

NC

Zip Code

28655

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1398.54

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613246**

Amount of Each Receipt this Period

176.10

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

308.93

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jennifer Wick**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613420**

Amount of Each Receipt this Period

72.76

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Autumn Wickenhauser**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

356.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1614137**

Amount of Each Receipt this Period

44.53

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Marcy Wiegardt**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 31 2016

**Transaction ID : A2016-1613463**

Amount of Each Receipt this Period

30.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

147.29

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gerritt Wieringa**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

434.82

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614157**

Amount of Each Receipt this Period

55.32

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Valerie Wiest**

Mailing Address 14009 Old Galena Rd.  
 Bldg F

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

669.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613653**

Amount of Each Receipt this Period

83.68

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Troy Wignall**

Mailing Address 500 N Morton Ave

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.90

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613942**

Amount of Each Receipt this Period

46.89

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

185.89

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Wiklund**

Mailing Address 100 NE Adams Street

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613425**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Sanford Wilcoxon**Mailing Address 27Th & Pershing Rd  
PO Box 1430

City	State	Zip Code
Decatur	IL	62525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

427.68

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613169**

Amount of Each Receipt this Period

53.46

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Laurence Wilken**

Mailing Address 100 Ne Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Associate General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

**Transaction ID : A2016-1613751**

Amount of Each Receipt this Period

100.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

203.46

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Martin Willi**

Mailing Address 14009 Old Galena Rd.  
Bldg F

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

641.56

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613545**

Amount of Each Receipt this Period

81.16

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Timothy Williams**

Mailing Address 211 Fulton Street  
Suite 500B

City State Zip Code  
 Peoria IL 61602

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Global Security Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

865.60

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613981**

Amount of Each Receipt this Period

108.20

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Theodore Williamson**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

466.68

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1613188**

Amount of Each Receipt this Period

116.67

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

306.03

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher Winkel**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Strategy Champion

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

419.28

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613529**

Amount of Each Receipt this Period

52.41

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Michelle Winkler**Mailing Address 100 Tractor Drive  
Building CV

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

390.24

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1614120**

Amount of Each Receipt this Period

49.97

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Kelly Wojda**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director of Talent Attraction

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	6

**Transaction ID : A2016-1613316**

Amount of Each Receipt this Period

30.00

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

132.38

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Wolbert**

Mailing Address 1600 Progress Drive

City

Albertville

State

AL

Zip Code

35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1141.02

Date of Receipt

08 / 04 / 2016

**Transaction ID : A2016-1610210**

Amount of Each Receipt this Period

71.42

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Robert Wolbert**

Mailing Address 1600 Progress Drive

City

Albertville

State

AL

Zip Code

35950

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1212.44

Date of Receipt

08 / 18 / 2016

**Transaction ID : A2016-1610417**

Amount of Each Receipt this Period

71.42

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Bruce Wood**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

245.20

Date of Receipt

08 / 31 / 2016

**Transaction ID : A2016-1612956**

Amount of Each Receipt this Period

30.65

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

173.49

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Corey Wurtzbacher**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Site Purchasing Manager B

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613890**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Daren Yergler**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1201.20

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613646**

Amount of Each Receipt this Period

150.15

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Christine Yordy**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Communications Rep - 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

302.64

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613951**

Amount of Each Receipt this Period

27.16

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

227.31



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 201 OF 218

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Ramin Younessi**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1614150**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **B. Donald Young**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613861**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

## **C. Michael Young**

Mailing Address 100 NE Adams Street

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir Corp. Business Developmnt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 31 / 2016

**Transaction ID : A2016-1613914**

Amount of Each Receipt this Period

100.00

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

616.67

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 202 OF 218

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sarah E Zameda

Mailing Address 325 Route 31 South (Caterpillar Dr  
PO Box 348

City	State	Zip Code
Aurora	IL	60507

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Staff Acct II Cost / DS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		3	1		2	0	1	6		

Transaction ID : A2016-1612950

Amount of Each Receipt this Period

26.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Alexander Zaydel

Mailing Address 10650 Highway 80 East

City	State	Zip Code
Montgomery	AL	36117

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Managing Dir International Bus

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		0	4		2	0	1	6		

Transaction ID : A2016-1610198

Amount of Each Receipt this Period

20.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Alexander Zaydel

Mailing Address 10650 Highway 80 East

City	State	Zip Code
Montgomery	AL	36117

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Managing Dir International Bus

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	8		1	8		2	0	1	6		

Transaction ID : A2016-1610405

Amount of Each Receipt this Period

20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

66.00

# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 203 OF 218  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

### A. Wayne Zemke

Mailing Address 10203 Sam Houston Park Drive  
Suite 4000

City State Zip Code  
Houston TX 77064

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

Transaction ID : A2016-1613180

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

### B. Erik G Zentmyer

Mailing Address USA-CA-Skypark 2

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Prin Project Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

Transaction ID : A2016-1611692

Amount of Each Receipt this Period

50.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

### C. Jeffrey Zircher

Mailing Address 14009 Old Galena Rd.  
Bldg A

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2016

Transaction ID : A2016-1613315

Amount of Each Receipt this Period

50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 204 OF 218

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Leslie Zmugg**

Mailing Address 2120 West End Avenue  
P O Box 340001

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
08 / 31 / 2016

**Transaction ID : A2016-1613729**

Amount of Each Receipt this Period

416.67

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

416.67

62327.05

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 205 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Erik Paulsen**

Mailing Address P.O. Box 44369 250 Prairie Center

City	State	Zip Code
Eden Prairie	MN	55344

Purpose of Disbursement  
Contribution

011

Candidate Name

**Erik Paulsen**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: MN District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624055**

Amount of Each Disbursement this Period

7	5000.00
---	---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. George Holding for Congress**

Mailing Address P.O. Box 97187

City	State	Zip Code
Raleigh	NC	27624

Purpose of Disbursement  
Contribution

011

Candidate Name

**George Holding**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: NC District: 13

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624056**

Amount of Each Disbursement this Period

7	2500.00
---	---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
-------	---	-------	---	-------------

Amount of Each Disbursement this Period

7	
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7500.00

7500.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 206 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Jim Beall 2016**

Mailing Address 100 Paseo De San Antonio

City	State	Zip Code
San Jose	CA	95113

Purpose of Disbursement  
G-2016 State Senate 15 CA

011

Candidate Name

**Jim T Beall**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: CA District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	12	/	2016

**Transaction ID : B622090**

Amount of Each Disbursement this Period

4000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Friends of Frank Bigelow for Assembly**

Mailing Address 9321 Silverbend Lane

City	State	Zip Code
Elk Grove	CA	95624

Purpose of Disbursement  
G-2016 State House 05 CA

011

Candidate Name

**Frank Bigelow**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: CA District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	12	/	2016

**Transaction ID : B622085**

Amount of Each Disbursement this Period

2000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Jim Cooper for Assembly**

Mailing Address 4801 Laguna Blvd.

City	State	Zip Code
Elk Grove	CA	95758

Purpose of Disbursement  
G-2016 State House 9 CA

011

Candidate Name

**Jim Cooper**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: CA District: 09

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	12	/	2016

**Transaction ID : B622086**

Amount of Each Disbursement this Period

3000.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

9000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 207 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jim Frazier for Assembly**

Mailing Address 5429 Madison Ave.

City Sacramento	State CA	Zip Code 95841
--------------------	-------------	-------------------

Purpose of Disbursement  
G-2016 State House 11 CA

011

Candidate Name

**Jim Frazier**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: CA District: 11

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		12		2016

**Transaction ID : B622087**

Amount of Each Disbursement this Period

4000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Galgiani for Senate 2016**

Mailing Address 1011 Folsom Blvd #263

City Sacramento	State CA	Zip Code 95827
--------------------	-------------	-------------------

Purpose of Disbursement  
G-2016 State Senate 5 CA

011

Candidate Name

**Cathleen Galgiani**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: CA District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		12		2016

**Transaction ID : B622089**

Amount of Each Disbursement this Period

2000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Rudy Salas for State Assembly**

Mailing Address 1200 So. Chester Ave.

City Bakersfield	State CA	Zip Code 93304
---------------------	-------------	-------------------

Purpose of Disbursement  
G-2016 State House 32 CA

011

Candidate Name

**Rudy Salas**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: CA District: 32

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		12		2016

**Transaction ID : B622088**

Amount of Each Disbursement this Period

2000.00
---------

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

8000.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 208 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Growing Florida's Future**

Mailing Address 610 South Boulevard

City	State	Zip Code
Tampa Bay	FL	33606

Purpose of Disbursement  
O-2016 State PAC FL

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For: 2016

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624051**

Amount of Each Disbursement this Period

2000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Beskin for Georgia Inc.**

Mailing Address 3330 Cumberland Blvd. Suite 600

City	State	Zip Code
Atlanta	GA	30339

Purpose of Disbursement  
G-2016 State House 54 GA

Candidate Name

**Beth Beskin**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: GA District: 54

Disbursement For: 2016

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624038**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Committee to Elect Virgil Fludd**

Mailing Address P.O. Box 670

City	State	Zip Code
Tyrone	GA	30290

Purpose of Disbursement  
G-2016 State House 64 GA

Candidate Name

**Virgil Fludd**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: GA District: 64

Disbursement For: 2016

<input type="checkbox"/> Primary	<input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624039**

Amount of Each Disbursement this Period

1000.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 209 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Tyler Harper for Georgia**

Mailing Address PO Box 798

City	State	Zip Code
Ocilla	GA	31774

Purpose of Disbursement  
G-2016 State Senate 7 GA

011

Candidate Name

**Tyler Harper**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: GA District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624043**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Henson for Senate**

Mailing Address 2643 Sterling Acres Drive

City	State	Zip Code
Tucker	GA	30084

Purpose of Disbursement  
G-2016 State Senate 41 GA

011

Candidate Name

**Steve Henson**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: GA District: 41

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624045**

Amount of Each Disbursement this Period

500.00
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☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Committee to Elect Butch Miller**

Mailing Address 4734 Arapahoe Trail

City	State	Zip Code
Gainesville	GA	30506

Purpose of Disbursement  
G-2016 State Senate 49 GA

011

Candidate Name

**Butch Miller**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: GA District: 49

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624046**

Amount of Each Disbursement this Period

500.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. H.E. Tate Campaign Fund**

Mailing Address PO Box 92444 Morris Brown Station

City Atlanta	State GA	Zip Code 30314
-----------------	-------------	-------------------

Purpose of Disbursement  
G-2016 State Senate 38 GA

011

Candidate Name

**Horacena Tate**Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President
State: GA	District: 38

Disbursement For: 2016
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624044**

Amount of Each Disbursement this Period

500.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Darlene for Georgia**

Mailing Address 164 Commercial Dr.

City Thomasville	State GA	Zip Code 31758
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Purpose of Disbursement  
G-2016 State House 173 GA

011

Candidate Name

**Darlene Taylor**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President
State: GA	District: 17

Disbursement For: 2016
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624042**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Committee to Elect Bob Trammell Jr.**

Mailing Address 128 North Main Street

City Luthersville	State GA	Zip Code 30251
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Purpose of Disbursement  
G-2016 State House 132 GA

011

Candidate Name

**Bob Trammell**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President
State: GA	District: 13

Disbursement For: 2016
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624041**

Amount of Each Disbursement this Period

500.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

2000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 211 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Wendell Willard**

Mailing Address 7840 Roswell Road

City	State	Zip Code
Sandy Springs	GA	30350

Purpose of Disbursement  
G-2016 State House 49 GA

Candidate Name

**Wendell Willard**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: GA District: 49

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624037**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Friends of Jason Barickman**

Mailing Address 202 N Center Suite 2

City	State	Zip Code
Bloomington	IL	61701

Purpose of Disbursement  
G-2016 State Senate 53 IL

Candidate Name

**Jason Barickman**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: IL District: 53

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		12		2016

**Transaction ID : B622091**

Amount of Each Disbursement this Period

500.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Friends of Napoleon Harris for State Senate**

Mailing Address P.O. Box 429

City	State	Zip Code
Flossmoor	IL	60422

Purpose of Disbursement  
P-2018 State Senate 15 IL

Candidate Name

**Napoleon Harris**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IL District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		12		2016

**Transaction ID : B622092**

Amount of Each Disbursement this Period

1000.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

2500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Elgie Sims**

Mailing Address 8142A S Prairie Park Place

City	State	Zip Code
Chicago	IL	60619

Purpose of Disbursement  
G-2016 State House 34 IL

Candidate Name

**Elgie Sims**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: IL District: 34

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		12		2016

**Transaction ID : B622093**

Amount of Each Disbursement this Period

500.00
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☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Citizens for Kyle McCarter**

Mailing Address 40 Commerce Dr.

City	State	Zip Code
Lebanon	IL	62254

Purpose of Disbursement  
P-2018 State Senate 54 IL

Candidate Name

**Kyle McCarter**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IL District: 54

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624011**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Citizens for Buck Newton**

Mailing Address PO Box 2047

City	State	Zip Code
Wilson	NC	27894

Purpose of Disbursement  
G-2016 State Att. General NC

Candidate Name

**Buck Newton**Office Sought: ☐ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: NC District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624048**

Amount of Each Disbursement this Period

2000.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 213 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Ronald Rabin Candidate for NC Senate**

Mailing Address 383 Falling Water Road

City	State	Zip Code
Spring Lake	NC	28390

Purpose of Disbursement  
G-2016 State Senate 12 NC

011

Candidate Name

**Ronald Rabin**Category/  
Type

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: NC District: 12

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	26	/	2016

**Transaction ID : B624050**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Committee to Elect Brad Salmon**

Mailing Address P.O. Box 410

City	State	Zip Code
Mamers	NC	27552

Purpose of Disbursement  
G-2016 State House 51 NC

011

Candidate Name

**Bradley Salmon**Category/  
Type

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: NC District: 51

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	26	/	2016

**Transaction ID : B624049**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Kasper for House**

Mailing Address P.O. Box 9016

City	State	Zip Code
Fargo	ND	58106

Purpose of Disbursement  
G-2016 State House 46 ND

011

Candidate Name

**Jim Kasper**Category/  
Type

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: ND District: 46

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	26	/	2016

**Transaction ID : B624033**

Amount of Each Disbursement this Period

500.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Ben Koppelman**

Mailing Address 205 - 36 1/2 Avenue Place E.

City	State	Zip Code
West Fargo	ND	58078

Purpose of Disbursement  
G-2016 State House 16 ND

Candidate Name

**Ben Koppelman**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: ND District: 16

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624034**

Amount of Each Disbursement this Period

500.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Re-elect Koppelman**

Mailing Address 513 First Ave. NW Suite One

City	State	Zip Code
West Fargo	ND	58078

Purpose of Disbursement  
P-2018 State House 13 ND

Candidate Name

**Kim Koppelman**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: ND District: 13

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624035**

Amount of Each Disbursement this Period

1000.00
---------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. O'Connell for Senate**

Mailing Address 2624 County Road 30

City	State	Zip Code
Lansford	ND	58750

Purpose of Disbursement  
G-2016 State Senate 06 ND

Candidate Name

**David P O'Connell**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: ND District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624032**

Amount of Each Disbursement this Period

500.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Citizens for Jim Roers**

Mailing Address 200 45th St. South

City Fargo	State ND	Zip Code 58103
---------------	-------------	-------------------

Purpose of Disbursement  
G-2016 State Senate 46 ND

Candidate Name

**Jim Roers**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: ND District: 46

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624030**

Amount of Each Disbursement this Period

500.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Shannon M Roers Jones**

Mailing Address 5948 Silverleaf Drive South

City Fargo	State ND	Zip Code 58104
---------------	-------------	-------------------

Purpose of Disbursement  
G-2016 State House 46 ND

Candidate Name

**Shannon Roers Jones**

Office Sought:

☒ House  
☐ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: ND District: 46

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624031**

Amount of Each Disbursement this Period

500.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Gallivan for Senate**

Mailing Address P.O. Box 2

City Spring Brook	State NY	Zip Code 14140
----------------------	-------------	-------------------

Purpose of Disbursement  
G-2016 State Senate 59 NY

Candidate Name

**Patrick M. Gallivan**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: NY District: 59

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624023**

Amount of Each Disbursement this Period

250.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

1250.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Kathy Marchione Cmte**

Mailing Address 22 Mallard Cove

City	State	Zip Code
Ballston Lake	NY	12019

Purpose of Disbursement  
G-2016 State Senate 43 NY

Candidate Name

**Kathleen A Marchione**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: NY District: 43

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	26	/	2016

**Transaction ID : B624024**

Amount of Each Disbursement this Period

250.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Mike Ranzenhofer for State Senate**

Mailing Address 8975 Main St.

City	State	Zip Code
Clarence	NY	14031

Purpose of Disbursement  
G-2016 State Senate 61 NY

Candidate Name

**Michael Ranzenhofer**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: NY District: 61

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	26	/	2016

**Transaction ID : B624027**

Amount of Each Disbursement this Period

250.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Valesky for Senate**

Mailing Address P.O. Box 44

City	State	Zip Code
Camillus	NY	13031

Purpose of Disbursement  
G-2016 State Senate 53 NY

Candidate Name

**David Valesky**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: NY District: 53

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	26	/	2016

**Transaction ID : B624025**

Amount of Each Disbursement this Period

250.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

750.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Mike Henne**

Mailing Address 8447 Diamond Mill Road

City Clayton	State OH	Zip Code 45315
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Purpose of Disbursement  
G-2016 State House 40 OH

Candidate Name

**Mike Henne**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: OH District: 40

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624036**

Amount of Each Disbursement this Period

750.00
--------

☐ Memo Item

Full Name (Last, First, Middle Initial)

**B. Friends of Jimmy Matlock**

Mailing Address 190 Matlock Road

City Lenoir City	State TN	Zip Code 37771
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Purpose of Disbursement  
G-2016 State House 21 TN

Candidate Name

**Jimmy Matlock**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: TN District: 21

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624054**

Amount of Each Disbursement this Period

1000.00
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☐ Memo Item

Full Name (Last, First, Middle Initial)

**C. Friends of Randy McNally**

Mailing Address 94 Royal Troon Circle

City Oak Ridge	State TN	Zip Code 37830
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Purpose of Disbursement  
P-2018 State Senate 05 TN

Candidate Name

**Randy McNally**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: TN District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624052**

Amount of Each Disbursement this Period

3000.00
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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4750.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 218 OF 218

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jim Tracy for State Senate**

Mailing Address PO Box 332166

City	State	Zip Code
Murfreesboro	TN	37133

Purpose of Disbursement  
G-2016 State Senate 14 TN

Candidate Name

**Jim Tracy**

Office Sought:

☐ House  
☒ Senate  
☐ President

Disbursement For: 2016

☐ Primary ☒ General  
☐ Other (specify) ▼

State: TN District: 14

Date of Disbursement

M M	/	D D	/	Y Y Y Y Y Y
08		26		2016

**Transaction ID : B624053**

Amount of Each Disbursement this Period

1000.00
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☐ Memo Item**B.**

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M	/	D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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☐ Memo Item**C.**

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M	/	D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

1000.00
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43250.00
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