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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

			Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	olitical Action Commi	ttee	
ADDRESS (number and street)	801 Pennsylvania Ave. NW S	Suite 700	
▼ Check if different			
than previously reported. (ACC)	Washington 		DC 20004-2608
2. FEC IDENTIFICATION NU	MBER ▼ CIT	Y 🛦	STATE ▲ ZIP CODE ▲
C C00033969		THIS NEW (N) OF	AMENDED (A)
4. TYPE OF REPORT (Choose One)	(b) Monthly Feb Report Due On:	20 (M2) May 20 (M	(Non-Election Year Only)
(a) Quarterly Reports:	Mar	20 (M3) Jun 20 (M	(Non-Election Year Only)
April 15 Quarterly Report (Q		20 (M4) Jul 20 (M7	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q	(C) 12-Day	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (Q	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (Y	Floation	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	n on	in the State of
5. Covering Period 03	01 2019	through 03	M / D D / Y Y Y Y Y 31 2019
I certify that I have examined thi	s Report and to the best of Farber, Leo, A., ,	my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasurer			
Signature of Treasurer Farbe	r, Leo, A., ,	[Electronically Filed]	Date 05 / 16 / 2019
NOTE: Submission of false, errone	ous, or incomplete information	may subject the person signing	g this Report to the penalties of 52 U.S.C. § 30109
Office Use Only			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 03 01 2019 To: 03 31 2019

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2019		105168.62
	(b) Cash on Hand at Beginning of Reporting Period	105966.50	
	(c) Total Receipts (from Line 19)	28661.32	68980.61
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	134627.82	174149.23
7.	Total Disbursements (from Line 31)	38508.95	78030.36
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	96118.87	96118.87
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

03 2019 03 31 2019 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 12669.11 19476.57 (i) Itemized (use Schedule A)..... 15992.21 49504.04 (ii) Unitemized (iii) TOTAL (add 68980.61 28661.32 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 68980.61 28661.32 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 0.00 0.00 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 68980.61 28661.32 20. Total Federal Receipts 28661.32 68980.61 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date					
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Salvinai Tour to pute					
(i) Federal Share	0.00	0.00					
(ii) New Fordered Oberes	0.00	0.00					
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00					
Expenditures	8.95	30.36					
(c) Total Operating Expenditures	1 1 1 1 1 1 1 1 1	7 7 7					
(add 21(a)(i), (a)(ii), and (b))▶	8.95	30.36					
Transfers to Affiliated/Other Party Committees	0.00	0.00					
Contributions to Federal Candidates/Committees and Other Political Committees	38500.00	78000.00					
Independent Expenditures	4 4 4						
(use Schedule E)	0.00	0.00					
(use Schedule F)	0.00	0.00					
Loan Repayments Made	0.00	0.00					
Loans MadeRefunds of Contributions To:	0.00	0.00					
(a) Individuals/Persons Other Than Political Committees	0.00	0.00					
(b) Political Party Committees	0.00	0.00					
(c) Other Political Committees	0.00	0.00					
(such as PACs)(d) Total Contribution Refunds	0.00	0.00					
(add Lines 28(a), (b), and (c))	0.00	0.00					
Other Disbursements (Including							
Non-Federal Donations)	0.00	0.00					
Federal Election Activity (52 U.S.C. § 30101(2 (a) Allocated Federal Election Activity (from Schedule H6) (i) Federal Share							
(i) rederal Share	0.00	0.00					
(ii) "Levin" Share	0.00	0.00					
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00					
(c) Total Federal Election Activity (add	0.00	0.00					
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00					
Total Disbursements (add Lines 21(c), 22,							
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	38508.95	78030.36					
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)							
from Line 31)	38508.95	70000 00					
	7	78030.36					

DETAILED SUMMARY PAGE

of Disbursements

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Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	28661.32	68980.61
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	28661.32	68980.61
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	8.95	30.36
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	8.95	30.36

Use separate schedule(s)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ammon, Brian, S,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586618 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc. Director NPMR Alcon Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369062 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Anderson, Kurt, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-520984 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Form/Schedule: SA11AI

Transaction ID:

Please note that this report is being amended to show a disbursement not reported on the initial report.

Form/Schedule: Transaction ID:

Use separate schedule(s)

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-587028 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baron, Neilda, A, Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521288 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 157.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-587029 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bayron, Castor, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521461 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 38.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon R&D Compliance Head Vision Care Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bayron, Castor, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586619 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 38.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) R&D Compliance Head Vision Care Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 269.22 Other (specify) 136.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369389 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521045 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-587054 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369068 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Director State Government Affair Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 288.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-520990 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Assoc Director State Government Affair Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 346.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-587032 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Director State Government Affair Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) 173.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Caldwell, Julie, D, , Date of Receipt Mailing Address One Health Plaza Ste 725 2019 City Zip Code State Transaction ID: A2019-369392 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Caldwell, Julie, D,, Date of Receipt Mailing Address One Health Plaza 15 2019 Ste 725 City State Zip Code **Transaction ID: A2019-521048** NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialis** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 346.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Caldwell, Julie, D, Date of Receipt Mailing Address One Health Plaza 29 2019 Ste 725 City State Zip Code Transaction ID: A2019-587057 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) 173.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369069 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Country Head Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 961.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-520991 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate US Country Head Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1153.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Casserly, Daniel, P, , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-587033 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Country Head Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1346.10 Other (specify) 576.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369070 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-520992 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 576.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-587034 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Connors, Elenora, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369072 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Fed Gov't Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Connors, Elenora, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-520994 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Fed Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 576.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Connors, Elenora, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-587036 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Fed Gov't Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name of Individual (Last, First, Middle I Conoshenti, Joseph, J, ,	nitial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		03 29 2019
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2019-586773 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer (for Individual) Oncology	Occupation (for Individual) Director Strat. Market Access RD	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 210.00	
Full Name of Individual (Last, First, Middle I Consier, Kirby, , , Mailing Address One Health Plaza	nitial) or Full Organization Name	Date of Receipt 03 01 2019
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2019-369073 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	57.69
Name of Employer (for Individual) Corporate	Occupation (for Individual) AD State Government Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 288.45	
Full Name of Individual (Last, First, Middle I Consier, Kirby, , ,	nitial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza	la de la companya de	03 15 2019
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2019-520995 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	57.69
Name of Employer (for Individual) Corporate	Occupation (for Individual) AD State Government Affairs	Memo Item
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 346.14	
SUBTOTAL of Receipts This Page (optional)	•	145.38
TOTAL This Period (last page this line numbe	er only)	

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-587037 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2019 City State Zip Code Transaction ID : A2019-369466 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 15 2019 City Zip Code State Transaction ID: A2019-521244 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Oncology and Injectable Products Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 149.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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LE A (FEC FORM 3X)	Use separate schedule(s)		R LINE			PAGE	21	OF	69)
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	y information copied from such Reports and State for commercial purposes, other than using the n											
\	NAME OF COMMITTEE (In Full)		.,									
\rangle	Novartis Corporation Political Act	tion Comr	nittee									
۹.	Full Name of Individual (Last, First, Middle Initia Coombs, Seth, , ,	Date of Receipt										
	Mailing Address 350 Massachusetts Avenue	03 29 2019										
	City	State	Zip Code	Transaction ID : A2019-586932								
	Cambridge	MA	02139	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С		46.15								
	Name of Employer (for Individual)	Occupa	ation (for Individual)	Memo Item								
	Sandoz Inc.	VP On	ncology and Injectable Products	_								
	Receipt For: Primary General Other (specify) ▼	Aggregate Ye	ear-to-Date ▼ 323.05									
3.	Full Name of Individual (Last, First, Middle Initia Cross, Jonra, , ,	Date of Receipt										
	Mailing Address One Health Plaza			03 01 2019								
	City	State	Zip Code	Transaction ID : A2019-369431								
	East Hanover	NJ	07936	Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С		96.15								
	Name of Employer (for Individual) Pharma		ation (for Individual) ientific Comms (Ophtha)	Memo Item								
	Receipt For: Primary General Other (specify) ▼	Aggregate Ye	ear-to-Date ▼ 480.75									
 С.	Full Name of Individual (Last, First, Middle Initia Cross, Jonra, , ,	Date of Receipt										
	Mailing Address One Health Plaza	03 15 2019										
	City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2019-521160 Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С		96.15								
	Name of Employer (for Individual) Pharma		ation (for Individual) entific Comms (Ophtha)	Memo Item								
	Receipt For:	Aggregate Ye	ear-to-Date ▼									
	Primary General Other (specify)		576.90									
s	UBTOTAL of Receipts This Page (optional)		·····	238.45								
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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Jonra, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586465 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Scientific Comms (Ophtha) Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Emerson, Rodney, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369468 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Executive Director Pricing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Emerson, Rodney, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521246 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Pricing** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 345.00 Other (specify) 326.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Emerson, Rodney, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586934 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Pricing** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 460.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369076 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 95.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 475.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-520998 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 95.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) 305.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Farber, Leo, A,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-587040 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 95.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 665.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369114 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Head Med Comms & FM Capabilities Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521197 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Med Comms & FM Capabilities Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 195.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fellers, Thomas, S,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586979 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Med Comms & FM Capabilities Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fletcher, Tiffany, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369469 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Exec. Dir. Gov't. Affairs Biosimilars Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fletcher, Tiffany, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521247 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec. Dir. Gov't. Affairs Biosimilars Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 576.90 Other (specify) 242.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586630 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) TIS & EUX Head Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369138 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Legal Section Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Goldfarb, Steven, L, , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521436 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586500 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grande, Nancy, J, , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369142 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Sr Global Program Safety Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Grande, Nancy, J., Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521440 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Sr Global Program Safety Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 177.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grande, Nancy, J,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586504 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Global Program Safety Lead Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521488 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology VP USOM Solid Tumors Franchise Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP USOM Solid Tumors Franchise Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369079 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 288.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521001 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 346.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-587043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) 173.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369081 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521003 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 462.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-587045 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hellberg, Mark, R,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369758 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Chemical Technolog NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hellberg, Mark, R, , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-520931 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director Chemical Technolog** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hellberg, Mark, R, , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586923 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director Chemical Technologi** Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hohenwarter, John, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369084 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 288.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hohenwarter, John, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521006 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 346.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hohenwarter, John, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586566 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) 173.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586567 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369086 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate ED Federal Public Policy & Reimburser Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521008 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy & Reimbursem Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586569 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369059 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kendris, Thomas, N., Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID : A2019-520981 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 277.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kendris, Thomas, N,, Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-587023 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kowalski, Robert, W, , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369737 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head RA US Head Developmen Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kowalski, Robert, W., Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-520848 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head RA US Head Development Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 192.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lehman, Brian, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369471 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Medical Acc Mngmt & Strategic Alli Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lehman, Brian, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code **Transaction ID: A2019-521249** NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Medical Acc Mngmt & Strategic Alli Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 576.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lehman, Brian, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586718 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Medical Acc Mngmt & Strategic Alli Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369218 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Global Head Marketing Value & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-520881 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Global Head Marketing Value & Access Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586597 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Marketing Value & Access Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 323.05 Other (specify) 138.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369569 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 576.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521452 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon SVP Global Mfg & Tech Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 692.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGough, Edward, D., Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586652 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 807.66 Other (specify) 346.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369091 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521218 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 576.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586573 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mennilli, David, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586721 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Key Customers** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mui-Lipnik, Shelly, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369094 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Exec Dir Fed Govt Affairs & Tax Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mui-Lipnik, Shelly, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID : A2019-521221 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Dir Fed Govt Affairs & Tax Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 576.90 Other (specify) 222.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mui-Lipnik, Shelly, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586576 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Dir Fed Govt Affairs & Tax Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369444 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP & Head Tax for Int IP TP M&A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521223 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 196.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586578 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2019 City State Zip Code Transaction ID : A2019-369446 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head Federal Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 961.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 15 2019 City Zip Code State Transaction ID: A2019-521225 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1153.80 Other (specify) 434.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2019 City Zip Code State Transaction ID: A2019-586580 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1346.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Owenson, Laura, J,, Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID: A2019-586389 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Associate Director Systems of Care Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Phipps, Candice, C., Date of Receipt Mailing Address One Health Plaza 01 2019 City Zip Code State Transaction ID: A2019-369448 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 575.00 Other (specify) 337.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521227 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 690.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-586582 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 805.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 01 2019 City Zip Code State Transaction ID: A2019-369478 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 280.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-521256 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-586725 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **VP Communications** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 350.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Price, John, T, Date of Receipt Mailing Address One Health Plaza 15 2019 City Zip Code State Transaction ID: A2019-520977 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 38.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Associate Director HS&E Receipt For: Aggregate Year-to-Date ▼ Primary General 230.76 Other (specify) 138.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Richert, Jayson, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586728 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **AD Regional Account Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-586438 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schweitzer, Mark, G, Date of Receipt Mailing Address One Health Plaza 01 2019 City Zip Code State Transaction ID: A2019-369453 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 137.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Snapp, Jacob, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369651 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Sr. Director Commercial Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General 288.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Snapp, Jacob, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID: A2019-521321 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Sr. Director Commercial Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 346.14 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Snapp, Jacob, , , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586532 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Sr. Director Commercial Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) 173.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 2019 City Zip Code State Transaction ID: A2019-369457 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Strategic Alliance Dev. Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 15 2019 City State Zip Code Transaction ID : A2019-521236 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Strategic Alliance Dev. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 576.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 29 2019 City Zip Code State Transaction ID: A2019-586591 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Strategic Alliance Dev. Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-369458 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID : A2019-521237 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 576.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 29 2019 City Zip Code State Transaction ID: A2019-586592 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID: A2019-586594 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **Director Quality Ext Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369710 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 145.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Access Strategy & Commercialization Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 725.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 15 2019 City State Zip Code Transaction ID: A2019-520904 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 145.00 federal political committee.

VP Access Strategy & Commercializatio		
VI Access chalegy & commercializatio		
Aggregate Year-to-Date ▼ 870.00		
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	870.00	870.00

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2019 City Zip Code State Transaction ID: A2019-586896 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 145.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Access Strategy & Commercialization Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2019 City State Zip Code Transaction ID : A2019-369462 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Federal Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 01 2019 City Zip Code State Transaction ID : A2019-369488 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Associate GC - Derm & Oral Solids Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 255.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 15 2019 City Zip Code State Transaction ID: A2019-521266 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Associate GC - Derm & Oral Solids Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2019 City State Zip Code Transaction ID : A2019-586735 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Associate GC - Derm & Oral Solids Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 350.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional).....

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ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUI (check only one 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial) A. Billy Long for Congress Mailing Address 499 S. Capitol St. SW Suite 420			Date of Disbursement 03 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Washington Purpose of Disbursement Contribution Candidate Name Long, Billy, , , Office Sought:	State Zip Code 20003 DC 20003 Denote For: 2020 Primary General Other (specify) Other (specify)	011	C C00460063 Transaction ID : B726297 Amount of Each Disbursement this Period 1000.00 Memo Item
State: MO District: 07 Full Name (Last, First, Middle Initial) B. Chris Coons for Delaware Mailing Address 918 Pennsylvania Ave. SE		[Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Washington Purpose of Disbursement Contribution Candidate Name Coons, Christopher, A, , Office Sought: House Disbursem Senate President State: DE District:	State Zip Code 20003 DC 20003 Thent For: 2020 Primary General Other (specify)	011	C C00475392 Transaction ID: B726302 Amount of Each Disbursement this Period 1000.00 Memo Item
Full Name (Last, First, Middle Initial) C. Friends of Todd Young Inc. Mailing Address P.O. Box 40323			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Washington Purpose of Disbursement Contribution Candidate Name Young, Todd, , , Office Sought: House Disbursem	State Zip Code 20016 DC 20016 Thent For: 2022 Primary General Other (specify) The Code 20016	011	C C00459255 Transaction ID: B726301 Amount of Each Disbursement this Period 1000.00 Memo Item
SUBTOTAL of Disbursements This Page (optional)		<u> </u>	3000.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	Llee congrete cohodule(a)	FOR LINE I	•			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 X 23 26 27			
	Detailed Summary Page	28a	28b 28c 29 30b			
Any information copied from such Reports and Statem	nents may not be sold or us	ed by any perso	on for the purpose of soliciting contributions			
or for commercial purposes, other than using the name	e and address of any politic	al committee to	solicit contributions from such committee.			
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee					
Full Name (Last, First, Middle Initial)						
A. George Holding for Congress			Date of Disbursement			
Mailing Address P.O. Box 15239			03 25 2019			
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20003					
Contribution		011	C C00499236			
Candidate Name		Category/	Transaction ID : B726497 Amount of Each Disbursement this Period			
Holding, George, , ,		Type				
0	nent For: 2020		3500.00			
	Primary ☐ General Other (specify) ▼		Memo Item			
State: NC District: 02			<u> </u>			
Full Name (Last, First, Middle Initial)			Date of Disbursement			
B. Georgians for Isakson			M M / D D / Y Y Y Y			
Mailing Address 1111 19th Street NW Suite 1100			03 25 2019			
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20036		C C00384693			
Contribution		011	Transaction ID : B726498			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Isakson, Johnny, , , Office Sought: House Disbursem		Type	1000.00			
	nent For: 2022 Primary General		1000.00			
	Other (specify)		Mome Item			
State: GA District:			Memo Item			
Full Name (Last, First, Middle Initial)			B (B)			
C. Jason Smith for Congress			Date of Disbursement			
Mailing Address 439 New Jersey Ave. SE	Mailing Address 439 New Jersey Ave. SE					
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20003		C C00541862			
Contribution		011	C C00541862 Transaction ID : B729474			
Candidate Name	Category		Amount of Each Disbursement this Period			
Smith, Jason, , , Office Sought: House Disbursem	Type	1000.00				
	nent For: 2020 Primary General		1000.00			
<u> </u>	Other (specify) ▼		Memo Item			
State: MO District: 08			Mono Rom			
SUBTOTAL of Disbursements This Page (optional)		·····	5500.00			
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SCHEDULE B (FEC Form 3X)			FOF	OR LINE NUMBER: PAGE 65 OF 6			
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		d Summary Page		21b 28a	22 28b	X 23 28c	26 27 29 30b
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NAME OF COMMITTEE (In Full)							
Novartis Corporation Political Act	ion Com	mittee					
Full Name (Last, First, Middle Initial)					_		
A. Kevin McCarthy for Congress					Date o	f Disburse	
Mailing Address 439 New Jersey Ave. SE					03	2	5 2019
City Washington	State DC	Zip Code 20003			FEC Io	lentification	n Number
Purpose of Disbursement	1 20	20000			С	C0042093	35
Contribution			01	ı"			ID : B726300
Candidate Name			Categ	orv/			Disbursement this Period
McCarthy, Kevin, , ,			Тур				0500.00
	ement For:					-	2500.00
Senate President	Other (sp	✓ General vecify) ▼			│	emo Item	
State: CA District: 23					П		
Full Name (Last, First, Middle Initial)							
B. Kurt Schrader for Congress					Date o	f Disburse	
Mailing Address 412 First St. SE Suite 100	Mailing Address 412 First St. SE Suite 100				03	1 1 1	2019
City	State	Zip Code					
Washington	DC	20003			FEC Io	lentification	n Number
Purpose of Disbursement			_	_	С	C0044690	06
Contribution			01	1		ensaction	ID : B726303
	Category/ Type Schrader, Kurt, , , Fiftice Sought: Mark Disbursement For: 2020				Amount of Each Disbursement this Period		
				е	2500.00		
	Primary	2020 General				7	2300.00
President	Other (sp				п		
State: OR District: 05		,,			Me	emo Item	
Full Name (Last, First, Middle Initial)							
C. McConnell Senate Committee					Date o	f Disburse	ment
Mailing Address PO Box 1496					03	2	
City	State	Zip Code					
Louisville	KY	40201			FEC Ic	lentification	1 Number
Purpose of Disbursement Contribution			01		C	C001933	
Candidate Name			Categ	ory/	1		ID: B727107 Disbursement this Period
McConnell, Mitch, , ,			Тур				
	sement For:						1500.00
x Senate	_	General					
State: KY District:	Other (sp	есіту) ▼			Me	emo Item	
2.56.00							
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) FOR LINE (check only	•		
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NAME OF COMMITTEE (In Full)	and addition of any polit	John Miller 10	The state of the s		
Novartis Corporation Political Actio	n Committee				
Full Name (Last, First, Middle Initial)			5. (5:1		
A. McConnell Senate Committee	Date of Disbursement				
Mailing Address PO Box 1496			03 25 2019		
Louisville	State Zip Code KY 40201		FEC Identification Number		
Purpose of Disbursement Contribution	<u>'</u>	011	C C00193342 Transaction ID: B727108		
Candidate Name		Category/	Amount of Each Disbursement this Period		
	McConnell, Mitch, , , Type				
	Primary General		1000.00		
State: KY District:	Other (specify) ▼		Memo Item		
Full Name (Last, First, Middle Initial)					
3. Mike Crapo for US Senate		Date of Disbursement			
Mailing Address 25 E. Masonic View Avenue			03 25 2019		
,	State Zip Code		FEC Identification Number		
Alexandria Purpose of Disbursement	Alexandria VA 22301 Purpose of Disbursement				
Contribution					
Candidate Name	Category/				
Crapo, Michael, D, ,	want Fam	Type	4000.00		
	ment For: 2022 Primary		1000.00		
	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial)			Data of Dishamana i		
C. Mikie Sherrill for Congress			Date of Disbursement		
Mailing Address 1140 3rd Street NE 2nd Floor	03 25 2019				
City	State Zip Code		FEC Identification Number		
Washington Purpose of Disbursement	DC 20002				
Contribution			C C00640003		
Candidate Name Category/			Transaction ID: B726299 Amount of Each Disbursement this Period		
Sherrill, Rebecca, M, ,		Type			
Conoto	ment For: 2020		2500.00		
Senate President	Primary General Other (specify) ▼				
State: NJ District: 11	onici (apecity) ▼		Memo Item		
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	NAME OF COMMITTEE (In Full)						
	Novartis Corporation Political Actio	n Comm	nittee				
_	Full Name (Last, First, Middle Initial)				B (B)		
Α.	New Millennium PAC	State Zip Code DC 20005			Date of Disbursement 03 25 2019		
	Mailing Address 700 Thirteenth St. NW Suite 600				20 20 2010		
	Washington				FEC Identification Number		
	Purpose of Disbursement Contribution			011	C C00349233		
	Candidate Name		"	Category/ Type	Transaction ID: B726500 Amount of Each Disbursement this Period		
		ment For: 2019 Primary General			2500.00		
	President X State: District:	Other (spec	cify) ▼ Not Applicable		Memo Item		
_	Full Name (Last, First, Middle Initial)						
B.	3- Peters for Michigan				Date of Disbursement		
	Mailing Address 660 Pennsylvania Ave. SE Suite 201				03 25 2019		
	City Washington	State DC	Zip Code 20003		FEC Identification Number		
	Purpose of Disbursement Contribution 011				C C00437889		
	Candidate Name Peters, Gary, , , Category Type				Transaction ID: B726492 Amount of Each Disbursement this Period		
		nent For: 2	2020	туре	1500.00		
	Senate President	Primary Other (spec	General		Memo Item		
_	State: MI District: Full Name (Last, First, Middle Initial)						
C.	Peters for Michigan				Date of Disbursement		
	Mailing Address 660 Pennsylvania Ave. SE Suite 20	03 25 2019					
	City S Washington	State DC	Zip Code 20003		FEC Identification Number		
	Purpose of Disbursement Contribution 011			011	C C00437889 Transaction ID : B726494		
	Candidate Name Peters, Gary, , , Office Sought: House Disbursement For: 2020 X Senate Primary General				Amount of Each Disbursement this Period		
					500.00		
		Other (spec			Memo Item		
H	UBTOTAL of Disbursements This Page (optional) OTAL This Period (last page this line number only)				4500.00		

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate sch			FOR LINE NUMBER: PAGE 68 C (check only one)		
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NAME OF COMMITTEE (In Full)		, pontiour		Table Continued Cont		
Novartis Corporation Political Action	on Committee					
Full Name (Last, First, Middle Initial)		<u> </u>		Data of Distric		
Schneider for Congress				Date of Disburse		
Mailing Address 415 New Jersey Avenue SE #1					25 2019	
City Washington	State Zip Co DC 2000			FEC Identificatio	n Number	
Purpose of Disbursement Contribution		I	011	C C004959		
Candidate Name		——— L	Category/		ID: B726496 Disbursement this Period	
Schneider, Brad, , ,			Type	5. 24011		
				2500.00		
State: IL District: 10	Other (specify)	activi ai		Memo Item		
Full Name (Last, First, Middle Initial)						
The Markey Committee				Date of Disbursement		
Mailing Address 1140 Third St. NE 2nd Floor				03 25 2019		
City	State Zip Co			FEC Identificatio	n Number	
Purpose of Disbursement	11 do 11 li gran 2002				C C00196774	
Contribution	Transaction ID : B726298					
Candidate Name	Cate				Disbursement this Period	
Markey, Edward, J, , Office Sought: House Disburse	ement For: 2020		Туре		1000.00	
x Senate x	1	General			45	
State: MA District:	Other (specify)			Memo Item		
Full Name (Last, First, Middle Initial) Thom Tillis Committee				Date of Disbursement		
Mailing Address PO Box 97396				03 25 2019		
City Raleigh	State Zip Co			FEC Identificatio	n Number	
Purpose of Disbursement	Purpose of Disbursement			C C00545772		
Contribution 011			Transaction ID : B726490			
Candidate Name Tillis, Thom, , ,			Category/ Type	Amount of Each	Disbursement this Period	
	ement For: 2020		туре		4000.00	
x Senate		General			45 45	
President Pietriet	Other (specify) ▼			Memo Item		
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only			
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b		
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NAME OF COMMITTEE (In Full)	to and address of any point	oai oomimittoo to	constructions from Such Committee.		
Novartis Corporation Political Actio	n Committee				
Full Name (Last, First, Middle Initial)	014540)		Date of Dishuraneset		
^{A.} Together Holding Our Majority (TH	OMPAC)		Date of Disbursement		
Mailing Address P.O. Box 97275			03 25 2019		
,	State Zip Code		FEC Identification Number		
Raleigh Purpose of Disbursement	NC 27624		C 000E74333		
Contribution		011	C C00571323		
Candidate Name		Category/	Transaction ID: B726495 Amount of Each Disbursement this Period		
		Type			
Office Sought: House Disburser Senate	nent For: 2019		1000.00		
	Primary General Other (specify) ▼				
State: District:	Not Applicable	le	Memo Item		
Full Name (Last, First, Middle Initial)					
3. Walker 4 NC			Date of Disbursement		
	Mailing Address 1001 Pennsylvania Ave. NW Suite 1				
City Washington	State Zip Code DC 20004		FEC Identification Number		
Purpose of Disbursement	2000				
Contribution		011	C C00543231 Transaction ID : B726296		
Candidate Name	Category/				
Walker, Mark, , ,		Type	4000.00		
	nent For: 2020 Primary General		1000.00		
Senate x	Other (specify) General		П.,		
State: NC District: 06	(-		Memo Item		
Full Name (Last, First, Middle Initial)					
C. People for Ben			Date of Disbursement		
M. W. Ald Son Son			M M / D D / Y Y Y Y		
Mailing Address PO Box 31129			03 27 2019		
City	State Zip Code		FEC Identification Number		
Santa Fe	NM 87594		i LO identification Number		
Purpose of Disbursement Contribution			C C00443689		
Candidate Name		011	Transaction ID : B727636		
Lujan, Ben, R, ,		Category/ Type	Amount of Each Disbursement this Period		
	ment For: 2020	.,,,,	5000.00		
	Primary General		7 7 7		
President	Other (specify) ▼		Memo Item		
State: NM District: 03					
CURTOTAL of Dishurasments This Born (outlines)			7000.00		
SUBTOTAL of Disbursements This Page (optional)		·····	7 7 7		
TOTAL This Period (last page this line number only)			38500.00		