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### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example: If typing, type COMMITTEE (in full) OR TYPE OR PRINT over the lines Novartis Corporation Political Action Committee 701 Pennsylvania Ave. NW Suite 725 ADDRESS (number and street) Check if different than previously DC 20004 Washington 2608 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** CITY A ZIPCODE A IS THIS NEW **AMENDED** C00033969 Χ REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Χ Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) (c) 12-Day Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Post -Election General (30G) Runoff (30R) Special (30S) Report for the: Termination Report (TER) in the Election on State of 02 0 1 2009 02 28 2009 Covering Period through I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Dan P. Casserly Type or Print Name of Treasurer Electronically Filed by Dan P. Casserly 04 20 2009 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use (Rev. 12/2004) Only

FE6AN026

## **SUMMARY PAGE**

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name Novartis Corporation Political Action Committee D " D 0 2 0.2 28 0 1 2009 2009 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 2009 138871.31 January 1 (b) Cash on Hand at 149660.24 Begining of Reporting Period ..... 25696.44 51585.37 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 175356.68 190456.68 6(a) and 6(c) for Column B) ..... 60750.00 75850.00 7. Total Disbursements (from Line 31) ...... Cash on Hand at Close of Reporting Period 114606.68 114606.68 (subtract Line 7 from Line 6(d)) ..... 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

## DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

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то.

м м 0 2 D 2 B

2009

	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	ions (other than loans) From:		
Tha	n Political Committees Itemized (use Schedule A)	3650.68	4898.68
	Unitemized	22045.76	46686.69
	TOTAL (add Lines 11(a)(i) and (ii)	25696.44	51585.37
(b) Polit	ical Party Committees	0.00	0.00
(suc	er Political Committees th as PACs)	0.00	0.00
11(a Tota	()(iii),(b) and (c)) (Carry ls to Line 33, page 5)	25696.44	51585.37
	s From Affiliated/Other	0.00	0.00
3. All Loans	Received	0.00	0.00
	payments Received o Operating Expenditures	0.00	0.00
(Carry To	, Rebates, etc.)  tals to Line 37, page 5)  of Contributions Made	0.00	0.00
	l candidates and Other	0.00	0.00
	deral Receipts ls, Interest, etc.)	0.00	0.00
	s from Non-Federal and Levin Funds		
` '	Federal Account n Schedule H3)	0.00	0.00
(b) Levin	Funds (from Schedule H5)	0.00	0.00
(c) Total	Transfer (add 18(a) and 18(b)).	0.00	0.00
	ceipts (add Lines 11(d), 4, 15, 16, 17, and 18(c))	25696.44	51585.37
	leral Receipts Line 18(c) from Line 19)	25696.44	51585.37

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21.	Operating Expenditures:  (a) Shared Federal/Non-Federal		
	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating	0.00	100.00
	Expenditures(c) Total Operating Expenditures	0.00	100.00
	(add 21(a)(i), (a)(ii) and (b))	0.00	100.00
2.	Transfers to Affiliated/Other Party	0.00	0.00
3.	Committees	0.00	0.00
	Federal Candidates/Committeesand Other Political Committees	60000.00	75000.00
4.	Independent Expenditure	0.00	0.00
5.	(use Schedule E)	0.00	0.00
	Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
6.	Loan Repayments Made	0.00	0.00
	Loans Made  Refunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00
	(b) Pelitical Period Constitution	0.00	0.00
	(b) Political Party Committees (c) Other Political Committees	0.00	0.00
	(such as PACs)	0.00	0.00
	(d) Total Contribution Refunds	0.00	0.00
	(add Lines 28(a), (b), and (c))	0.00	0.00
9.	Other Disbursements	750.00	750.00
0.	Federal Election Activity (2 U.S.C 431(20))		
	(a) Shared Federal Election Activity		
	(from Schedule H6)	0.00	0.00
	(i) Federal Share		
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely	0.00	0.00
	With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
1.	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	60750.00	75850.00
2.	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)		
	from Line 31)	60750.00	75850.00

### **DETAILED SUMMARY PAGE**

Page 5

of Disbursements

FEC Form 3X (Rev. 02/2003)

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	25696.44	51585.37
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	25696.44	51585.37
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	100.00
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	100.00

FE6AN026

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 19 (check only one)    X
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may e name and ado	not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action	Committee		
۷.	Full Name (Last, First, Middle Initial) Rainer Boehm			Date of Receipt
	Mailing Address One Health Plaza			02 / 20 / 2009
	City <u>East Hanover</u>	State NJ	Zip Code 07936	Transaction ID: A2009-2612276  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	7,000	150.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 300.00	
_ 3.	Full Name (Last, First, Middle Initial) Catherine T Burton  Mailing Address One Health Plaza			Date of Receipt
				02 20 2009
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-2612493  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	1 1 1 1 1	185.07
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 370.14	
_ ;.	Full Name (Last, First, Middle Initial) Ronald M Califre			Date of Receipt
	Mailing Address One Health Plaza			02 20 7 2009
	City	State	Zip Code	Transaction ID: A2009-2612281
	East Hanover  FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 416.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 832.00	
	SUBTOTAL of Receipts This Page (optional) .			751.07

## SCHEDULE A (FEC Form 3X)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 19 (check only one)  X 11a 11b 11c 12  13 14 15 16
Any information copied from such Reports and sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action (	e name and add	r not be sold or used by any pers Iress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Daniel P Casserly  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Services Incorporated  Receipt For:  Primary General Other (specify)	State NJ C Occupation Executive Aggregate		Date of Receipt  0 2 2 0 2 0 0 9  Transaction ID: A2009-2612001  Amount of Each Receipt this Period  200.00
Full Name (Last, First, Middle Initial) Candace B Dibblee  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Services Incorporated  Receipt For:  Primary General Other (specify)	State NJ C Occupation Executive Aggregate		Date of Receipt    M M M
Full Name (Last, First, Middle Initial) David P Drake  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Services Incorporated  Receipt For:  Primary General Other (specify)	State NJ  C  Occupation Executive Aggregate		Date of Receipt    M M M
SUBTOTAL of Receipts This Page (optional) .			620.94

## SCHEDULE A (FEC Form 3X)

CHEDULE A (FEC Form 3X EMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 19 (check only one)  X 11a 11b 11c 12 13 14 15 16
ny information copied from such Reports and for commercial purposes, other than using NAME OF COMMITTEE (In Full)	d Statements may not be sold or used by any pe the name and address of any political committee	rson for the purpose of soliciting contributions
Novartis Corporation Political Action	n Committee	
Full Name (Last, First, Middle Initial) James R Elkin		Date of Receipt
Mailing Address One Health Plaza		02 20 20 2009
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-2611708  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 832.00	
Full Name (Last, First, Middle Initial) Neely T Frye		Date of Receipt
Mailing Address One Health Plaza		02 20 2009
City	State Zip Code	Transaction ID: A2009-2612150
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.01
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	230.02	
Full Name (Last, First, Middle Initial) Thomas E Giles		Date of Receipt
Mailing Address One Health Plaza		02 20 20 2009
City	State Zip Code	Transaction ID: A2009-2612304
East Hanover FEC ID number of contributing	NJ 07936	Amount of Each Receipt this Period
federal political committee.	C	175.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	_
Other (specify)	350.00	
SUBTOTAL of Receipts This Page (optional)	<b>L</b>	706.01

SCHEDULE A (FEC Form ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 19 (check only one)    X
Any information copied from such Report or for commercial purposes, other than unlike NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	s and Statements may not be sold or used by any personsing the name and address of any political committee to	on for the purpose of soliciting contributions
Full Name (Last, First, Middle Initial) Mark D Grebenau		Date of Receipt
Mailing Address One Health Plaz	ra	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-2612536  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	155.24
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 310.48	
Full Name (Last, First, Middle Initial) Richard E Knapp		Date of Receipt
Mailing Address One Health Plaz		02 20 7 2009
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-2611903  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	200.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	
Full Name (Last, First, Middle Initial) Lon D Lowrey	I	Date of Receipt
Mailing Address One Health Plaz	ra	02 20 20 2009
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-2611678  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	125.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
	ional)	480.24

## SCHEDULE A (FEC Form 3X)

SCHEDULE A (FEC Form ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 19 (check only one)    X
Any information copied from such Report or for commercial purposes, other than use NAME OF COMMITTEE (In Full)	s and Statements may not be sold or used by any personsing the name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Brenda Luckritz		Date of Receipt
Mailing Address One Health Plaz	za – – – – – – – – – – – – – – – – – – –	02 20 20 2009
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-2611705
FEC ID number of contributing federal political committee.	C 0/936	Amount of Each Receipt this Period 416.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  832.00	
Full Name (Last, First, Middle Initial) Brian J McNamara	L	Date of Receipt
Mailing Address One Health Plaz	za	02 26 2009
City	State Zip Code	Transaction ID: A2009-2938004
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period  200.00
Name of Employer Novartis Consumer Health Inc.	Occupation Sr. Vice President OTC	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Brian J McNamara		Date of Receipt
Mailing Address One Health Plaz	za	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
City	State Zip Code	Transaction ID: A2009-2647460
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period  50.00
Name of Employer Novartis Consumer Health Inc.	Occupation Sr. Vice President OTC	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	
SUBTOTAL of Receipts This Page (opt	ional)	666.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 19 (check only one)    X
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action (	e name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Glenn H Morton Mailing Address One Health Plaza			Date of Receipt  0 2 0 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7
City  East Hanover  FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2009-2612177  Amount of Each Receipt this Period  146.42
Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼	Occupation Executive Aggregate		]
Full Name (Last, First, Middle Initial) Paul G Pochtar  Mailing Address One Health Plaza  City	State	Zip Code	Date of Receipt    M
East Hanover  FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period  165.00
Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Executive Aggregate		
Full Name (Last, First, Middle Initial) Lisa A Steelman Mailing Address One Health Plaza	1		Date of Receipt
City  East Hanover  FEC ID number of contributing	State NJ	Zip Code 07936	Transaction ID: A2009-2611528  Amount of Each Receipt this Period  115.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 230.00	
SUBTOTAL of Receipts This Page (optional)	1		426.42
TOTAL This Period (last page this line number	r only)		3650.68

ITI	CHEDULE B (FEC Form 3X	Use sepa	arate schedule(s)	_	NUMBER: PAGE 12/19
	EMIZED DISBURSEMENTS	for each	category of the Summary Page	(check only 21b 27	y one) 22   X   23   24   25   28a   28b   28c   29   3
	/ Information copied from such Reports and or commercial purposes, other than using t				
$\setminus$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action				
	Full Name (Last, First, Middle Initial) Friends of Blanche Lincoln				Transaction ID: B244723 Date of Disbursement
	Mailing Address 303 Massachusett	s Ave. NE			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & Z \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ I & Z \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & O & Y \\ I & I & O \end{smallmatrix} \end{bmatrix} \ Y$
	City Washington	State DC	Zip Code 20002		Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution			011	2500.00
	Candidate Name Blanche L Lincoln			Category/ Type	
	X Senate President	Disbursement For:    X   Primary     Other (spe	2010 General		
	State: AR District: Full Name (Last, First, Middle Initial) Pete Stark Re-Election Cmte				Transaction ID: B244727 Date of Disbursement
	Mailing Address P.O. Box 75214				0 2 1 2 2 0 0 9
	City Washington	State DC	Zip Code 20013		Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution			011	2500.00
	Candidate Name Pete Stark			Category/ Type	
	-	Disbursement For:	2010 General		
	State: CA District: 13	X Primary Other (spe			
					Transaction ID: B249956 Date of Disbursement
	State: CA District: 13 Full Name (Last, First, Middle Initial)	Other (spe			
	State: CA District: 13  Full Name (Last, First, Middle Initial) Mike Thompson for Congress	Other (spe			Date of Disbursement  M 2 M / D 2 3 / Y 2 0 0 9 Y  Amount of Each Disbursement this Period
	President State: CA District: 13  Full Name (Last, First, Middle Initial) Mike Thompson for Congress  Mailing Address 236 Massachusett  City Washington  Purpose of Disbursement Contribution	Other (spense) s Ave. NE State	ecify) ▼ Zip Code	011	Date of Disbursement  O 2 M / D 2 D / Y Y Y O O 9
	President State: CA District: 13  Full Name (Last, First, Middle Initial) Mike Thompson for Congress  Mailing Address 236 Massachusett  City Washington  Purpose of Disbursement Contribution  Candidate Name Mike Thompson	State	Zip Code 20002	011 Category/ Type	Date of Disbursement  M 2 M / D 2 3 / Y 2 0 0 9 Y  Amount of Each Disbursement this Period
	President State: CA District: 13  Full Name (Last, First, Middle Initial) Mike Thompson for Congress  Mailing Address 236 Massachusett  City Washington  Purpose of Disbursement Contribution  Candidate Name Mike Thompson	Other (spense) s Ave. NE State	Zip Code 20002	Category/	Date of Disbursement  M 2 M / D 2 B / Y 2 0 0 9  Amount of Each Disbursement this Period

A.

В.

C.

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE N		PAG	GE 13/1	19
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	one) 22 X 23 28a 28t	24 28c	25 29	26 30b
Any Information copied from such Reports and Statem or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)	and address of any political co	THITIILLOC TO SOM	Cit Continbutions	THOM SUCH CO	Jiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii	
Novartis Corporation Political Action Comr	nittee					
Full Name (Last, First, Middle Initial) First State PAC			Transaction I Date of Disbu		28	
Mailing Address 426 C Street, NE			02 4	25 / Y	ž 0 ŏ 9	Y
City Washington	State Zip Code DC 20002		Amount of Ea	ch Disbursen		-
Purpose of Disbursement Contribution		011			2500.00	)
Candidate Name		Category/ Type				
Senate President X	ment For: 2009 Primary General Other (specify)					
State: DE District: Not App	blicable					
Full Name (Last, First, Middle Initial) Bluegrass Committee			Transaction I Date of Disbu	rsement		
Mailing Address 400 North Capitol Street,	NW Suit		02	25 / Y	ž 0 ŏ 9	Y
•	State Zip Code DC 20001		Amount of Ea	ch Disbursen		-
Purpose of Disbursement Contribution		011			2500.00	)
Candidate Name		Category/ Type				
Senate President X	ment For: 2009 Primary General Other (specify)					
State: KY District: Not App	plicable					
Full Name (Last, First, Middle Initial) Conyers for Congress Committee			Transaction I Date of Disbu	rsement		
Mailing Address 5 Rosecroft Drive			02 /	25 / Y	ž 0 ŏ 9	Y
	State Zip Code VA 22047		Amount of Ea	ch Disbursen		
Purpose of Disbursement Contribution		011			1000.00	)
Candidate Name John Conyers, Jr.		Category/ Type				
Senate X President	ment For: 2010 Primary General Other (specify)					
State: MI District: 14						
SUBTOTAL of Disbursements This Page (optional) .		<b>&gt;</b>			6000.00	)
TOTAL This Period (last page this line number only)		•				

City Alexandria VA 22301  Purpose of Disbursement Contribution Candidate Name Frederick S Upton  Full Name (Last, First, Middle Initial) Health Shuler Office Sought: X House Washington DC 20003  Purpose of Disbursement Contribution Candidate Name Heath Shuler  Office Sought: X House Senate President State: NC District: 11  Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  City Senate President State Street  City State Tip Code Contribution Candidate Name President State: NC District: 11  Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  City State Tip Code Code Code Code Code Code Code Code	SCHEDULE B (FEC Form	′   Use se	eparate schedule(s)	FOR LINE (check only	NUMBER: y one)	PAGE 14/19
NAME OF COMMITTEE (In Full) Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue  City State Zip Code VA 22301 Purpose of Disbursement Contribution Cardidate Name President State: NC Disbursement Contribution Contribution  City State Zip Code VA 22301  Amount of Each Disbursement this  Transaction ID: B251329 Date of Disbursement this  Transaction ID: B251336 Date of Disbursem		Detaile	d Summary Page	27	28a 28b	28c 29
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee  Full Name (Last, First, Middle Initial) Upton for All of Us  Mailing Address 104 Hume Avenue  City State Zip Code Alexandria VA 22301  Purpose of Disbursement Contribution Candidate Name Frederick S Upton  Office Sought: X House Senate President State Mailing Address 38 lvy Street, SE  City State Zip Code Disbursement Processes  Mailing Address 38 lvy Street, SE  City State Zip Code Disbursement Processes  Mailing Address 38 lvy Street, SE  City State Zip Code Disbursement Processes  Mailing Address 38 lvy Street, SE  City State Zip Code Disbursement President Disbursement Processes  Mailing Address 38 lvy Street, SE  City State Zip Code Disbursement President Disbursement President State: NC District: 11  Full Name (Last, First, Middle Initial) State: NC District: 11  Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code Disbursement President State: NC District: 11  Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code Disbursement Disbursement President State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code Disbursement Disbursement Disbursement Unit District: 11  Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code Disbursement Disbursement Unit District: 11  Category' Type  Office Sought: House Senate Disbursement For: 2009 Primary General Disbursement Unit District Dist						
Upton for All of Us  Mailing Address 104 Hume Avenue  City Alexandria Alexandria Alexandria VA 22301  Purpose of Disbursement Contribution Candidate Name President State: MI District: 06  Full Name (Last, First, Middle Initial) Health Shuler for Congress  Mailing Address 38 Ivy Street, SE  City Washington Disbursement Contribution Candidate Name Heath Shuler  Office Sought: X House Senate President Disbursement For: 2010 Amount of Each Disbursement Disbur	NAME OF COMMITTEE (In Full)		. soc or any pointed			
City State						
Alexandria VA 22301  Purpose of Disbursement Contribution Candidate Name Frederick S Upton  Office Sought:	Mailing Address 104 Hume Ave	nue			0 2 2	5 7 2009
Contribution Candidate Name Frederick S Upton  Office Sought:					Amount of Each	
Frederick S Upton  Office Sought:				011		1000.00
Senate President State: MI District: 06  Full Name (Last, First, Middle Initial) Health Shuler for Congress  Mailing Address 38 Ivy Street, SE  City State Zip Code DC 20003  Purpose of Disbursement Contribution Candidate Name Heath Shuler  Office Sought: X House President State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code DC 20003  Purpose of Disbursement Contribution Candidate Name Heath Shuler  Office Sought: X House President Other (specify) ▼  Transaction ID: B251336 Date of Disbursement Inio Date of Disbursement this Date of Disbursement this Date of Disbursement this Date of Disbursement this Date of Disbursement To: 2010  Transaction ID: B249957 Date of Disbursement Date						
Full Name (Last, First, Middle Initial) Health Shuler for Congress  Mailing Address 38 Ivy Street, SE  City State Zip Code DC 20003  Purpose of Disbursement Contribution Candidate Name Heath Shuler  Office Sought: X House Senate President State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code DC 20003  Amount of Each Disbursement this 1500.0  Transaction ID: B251336 Date of Disbursement this 00 2 M / 2 0 0 9  Amount of Each Disbursement this 1500.0  Transaction ID: B249957 Date of Disbursement DC Date of Disbursement To 2010  X Primary General DC Date of Disbursement DC Date of Disbursement DC Date of Disbursement DC DATE of DATE of Date of Disbursement DC DATE of DATE	Senate President	X Primary	General			
Health Shuler for Congress  Mailing Address 38 lvy Street, SE  City State Zip Code 20003  Purpose of Disbursement Contribution  Candidate Name Heath Shuler  Office Sought: X House Senate President NJ Democratic State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code 20003  Amount of Each Disbursement this 1500.0  Amount of Each Disbursement this 1500.0  Transaction ID: B249957 Date of Disbursement this 1500.0  Transaction ID: B249957 Date of Disbursement this 1500.0  Transaction ID: B249957 Date of Disbursement ID: B249957					Transaction ID:	R251336
City Washington DC 20003  Purpose of Disbursement Contribution  Candidate Name Heath Shuler  Office Sought: X House Senate President NJ Democratic State Committee - Fed Acct  Mailling Address 196 West State Street  City State Zip Code Amount of Each Disbursement this 1500.0  Transaction ID: B249957 Date of Disbursement MJ O8608  Purpose of Disbursement Contribution  Candidate Name  City State Zip Code NJ 08608  Purpose of Disbursement Contribution  Candidate Name  Office Sought: House Senate Primary General O11 Category/Type  Office Sought: House Primary General Category/Type  Office Sought: House Primary General Primary General Primary General President X Other (specify) ▼	•				Date of Disburse	ement
Purpose of Disbursement Contribution Candidate Name Heath Shuler  Office Sought:	Mailing Address 38 Ivy Street, S	SE			0 2 2	5 2009
Contribution  Candidate Name Heath Shuler  Office Sought:					Amount of Each	Disbursement this Perio
Heath Shuler  Office Sought: X House Senate President  State: NC District: 11  Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  Mailing Address 196 West State Street  Transaction ID: B249957 Date of Disbursement  Mailing Address 196 West State Street  City State Zip Code Trenton NJ 08608  Purpose of Disbursement Contribution Candidate Name  Office Sought: House Senate Primary General President  Disbursement For: 2009 Primary General NJ Other (specify) ▼  Transaction ID: B249957 Date of Disbursement  Mailing Address 196 West State Street  Transaction ID: B249957 Date of Disbursement  Mailing Address 196 West State Street  Office Sought: House Senate Primary General President  Office Sought: House Senate Primary General Other (specify) ▼				011		1500.00
Senate President Other (specify) ▼  State: NC District: 11  Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code Trenton NJ 08608  Purpose of Disbursement Contribution Candidate Name  Office Sought: House Senate Primary General N Other (specify) ▼  Other (specify) ▼  Transaction ID: B249957 Date of Disbursement  Date of Disbursement  O 2				0,		
Full Name (Last, First, Middle Initial) NJ Democratic State Committee - Fed Acct  Mailing Address 196 West State Street  City State Zip Code Trenton NJ 08608  Purpose of Disbursement Contribution Candidate Name  Disbursement For: 2009 Senate Primary General President X Other (specify)  Category) Type  Transaction ID: B249957 Date of Disbursement  Amount of Each Disbursement this  1000.0	Senate President	X Primary	General			
City State Zip Code Trenton NJ 08608  Purpose of Disbursement Contribution Candidate Name  Disbursement For: 2009 Senate Primary General X Other (specify)  X Other (specify)		- Fed Acct				
Trenton NJ 08608  Purpose of Disbursement Contribution	Mailing Address 196 West State	e Street			0 2 2	3 7 2009
Contribution Candidate Name  Office Sought:  Disbursement For:  Senate Primary President  Other (specify)					Amount of Each	Disbursement this Period
Office Sought:  Disbursement For: 2009 Senate Primary General President X Other (specify)				011		1000.00
Senate Primary General President X Other (specify) ▼	Candidate Name					
	Senate	Primary	General			
State: No District. Not Applicable	State: NJ District:	Not Applicable	. •• •			

# SCHEDIII E B (FEC Form 3Y)

ITEMIZED DISBURSEMENTS			V ONE)
	for each category of the Detailed Summary Page	(check only 21b 27	22 X 23 24 25 2 28a 28b 28c 29 3
Any Information copied from such Reports and Stat or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action Cor	mmittee		
Full Name (Last, First, Middle Initial) The Freedom Project			Transaction ID: B244729 Date of Disbursement
Mailing Address 424 C St. NE Baseme	nt Unit		$\begin{bmatrix} \begin{smallmatrix} M & 2 & M \\ 0 & 2 & M \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 1 & 2 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{smallmatrix} \end{bmatrix}$
City Washington	State Zip Code DC 20002		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution Candidate Name		011 Category/	5000.00
Senate President	rsement For: 2009 Primary General X Other (specify)  pplicable	Туре	
Full Name (Last, First, Middle Initial) Friends of John Tanner			Transaction ID: B251335 Date of Disbursement
Mailing Address 236 Massachusetts Av	enue, NE #603		$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & Z \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ Z & D \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ Z & O & O & P \end{smallmatrix} \end{bmatrix}$
City Washington	State Zip Code DC 20002		Amount of Each Disbursement this Period 2500.00
Purpose of Disbursement Contribution Candidate Name John S Tanner		011 Category/ Type	2300.00
	rsement For: 2010  X Primary General  Other (specify) ▼	,	
Full Name (Last, First, Middle Initial) Moderate Democrats PAC			Transaction ID: B244726 Date of Disbursement
Mailing Address 426 C Street NE			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & 2 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ I & 2 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{smallmatrix} \end{bmatrix}$
City Washington	State Zip Code DC 20002		Amount of Each Disbursement this Period
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State: US DISTRICT: NOT A	J)		12500.00

		3 (FEC Form	y Use se	parate schedule(s)		NUMBER: PAGE 16/19
ITEM	IIZED DI	SBURSEMEN	ITS for each	h category of the d Summary Page	(check onl	y one) 22   X   23   24   25   28a   28b   28c   29   1
					d by any person	for the purpose of soliciting contributions
		·	ing the name and addi	ress of any politica	l committee to so	licit contributions from such committee
\		MITTEE (In Full) pration Political Ad	ction Committee			
		First, Middle Initial) t Coalition PAC (N	lewDemPAC)			Transaction ID: B244725 Date of Disbursement
Maili	ling Address	607 14th Stree	et NW Suite 800			$\begin{bmatrix} \begin{smallmatrix} M & M \\ D & Z \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ D & Z \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ Z & D & D & Y \end{smallmatrix} \end{bmatrix} $
City Was	shington		State DC	Zip Code 20005		Amount of Each Disbursement this Period
	pose of Disbu	irsement			011	5000.00
Can	ndidate Name				Category/ Type	
Offic	ce Sought:	House Senate President	Disbursement For: Primary X Other (s)	General		
State	te: US	District:	Not Applicable	3, <b>↓</b>		
	Name (Last, e Blue Dog	First, Middle Initial) PAC				Transaction ID: B251334 Date of Disbursement
Maili	ling Address	236 Massachu	setts Avenue, NE #	<del>#</del> 603		$\begin{bmatrix} \begin{smallmatrix} M & M \\ D & Z \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ D & Z \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Q & Y \\ Z & Q & Q & Y \end{bmatrix}$
City <b>W</b> as	, ushington		State DC	Zip Code 20002		Amount of Each Disbursement this Perio
Cont	pose of Disbu tribution	irsement			011	5000.00
	ndidate Name				Category/ Type	
Offic	ce Sought:	House Senate President	Disbursement For: Primary X Other (s)	General		
State	te: US	District:	Not Applicable	,		
	,	First, Middle Initial) blican Senatorial	Committee			Transaction ID: B251339 Date of Disbursement
Maili	ling Address	425 2nd Stree	t, NE			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & Z & M \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ D & Z & D \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ Z & O & O & P \end{smallmatrix} \end{bmatrix}$
City <b>W</b> as	, ashington		State DC	Zip Code 20002		Amount of Each Disbursement this Perio
Cont	pose of Disbu tribution	ırsement			011	15000.00
	ndidate Name				Category/ Type	
	ce Sought:	House Senate	Disbursement For: Primary	General		
		President	X Other (s	pecify) $lacktriangleright$		
	te: US	President District:	Not Applicable	pecify) $\blacktriangledown$		

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5(	CHEDULE B (FEC Form 3X)	Use separate schedule(s)		NUMBER: PAGE 17/19
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check onl)	y one)  22 X 23 24 25 26 28a 28b 28c 29 30b
	y Information copied from such Reports and S for commercial purposes, other than using the	•	, , ,	' '
$\overline{\ }$	NAME OF COMMITTEE (In Full)			
	Novartis Corporation Political Action (	Committee		
	Full Name (Last, First, Middle Initial)			Transaction ID: B251333
	Bennett Election Committee, Inc.			Date of Disbursement
	Mailing Address 175 South West Ter	mple Suite 650		02 0 2 5 7 2 0 0 9
	City Salt Lake City	State Zip Code UT 84101		Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution		011	3000.00
	Candidate Name Robert F Bennett		Category/ Type	
	Office Sought:    House   Dis    X   Senate     President	sbursement For: 2010  X Primary General Other (specify)		
	State: UT District:			

SUBTOTAL of Disbursements This Page (optional)	•	3000.00
TOTAL This Period (last page this line number only)	<u> </u>	60000.00

В.

District:

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5	CHEDULE B (FEC Form 3X)	Use separate schedul	e(s)		E NUMBE	R:	PA	GE 1	8 / 1	9
IT	EMIZED DISBURSEMENTS	for each category of th	ie ´	(check or					I	
		Detailed Summary Pa	ge	21b 27	22 28a	23 28b	24 28c	$\mathbf{L}$	25 29	26 30b
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	for commercial purposes, other than using the name									
$\overline{\rangle}$	NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action Comm	nittee								
	Full Name (Last, First, Middle Initial)				Trans	action ID:	B2499	59		
	Friends of Tom Corbett					of Disburse		00		
	Mailing Address 8623 Lexington Place				0 <sup>M</sup> 2	M / D2	23 / Y	ž 0	ŏ 9	Y
		State Zip Code PA 15090			Amou	nt of Each	Disburse	ment t	his P	eriod
	Purpose of Disbursement P-2010 State Att. General PA			011				50	0.00	
	Candidate Name Tom Corbett			Category/ Type						
	Office Sought:  Senate  President  State:  Disburse  X  Disburse	ment For: 2010 Primary Gene Other (specify)	ral							
	Full Name (Last, First, Middle Initial)				Trans	action ID:	B2400	<u> </u>		
	Citizens for Jake Wheatley					of Disburse		50		
	Mailing Address 3143 Ewart Drive				0 <sup>M</sup> 2	M / D2	2 3 Y	ž 0	ŏ9	Y
	,	State Zip Code PA 15219			Amou	nt of Each	Disburse			eriod
	Purpose of Disbursement P-2010 State House 19 PA			011				25	0.00	
	Candidate Name Jake Wheatley, Jr.			Category/ Type						
	Office Sought: House Disburser Senate X President	ment For: 2010 Primary Gene Other (specify)	ral							

SUBTOTAL of Disbursements This Page (optional)	•	750.00
TOTAL This Period (last page this line number only)	•	750.00

State:

### Image# 29933575919

orm/Schedule: <b>SA11AI</b>
ransaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule:**SA11AI**Transaction ID:

This report is being amended to disclose \$200 in additional receipts and one additional disbursement made in the period, both discovered during an account reconciliation. Please update your records accordingly.