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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For	Other Than An Autho	orized Committee		Office Use Only
1. NAME OF TYPE COMMITTEE (in full)	PE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
The Caterpillar Inc. Empl	oyee Political Actior	n Committee		
ADDRESS (number and street)	00 N.E. Adams Street			
Check if different				
than previously reported. (ACC)	Peoria		LLL L	61629-1430
2. FEC IDENTIFICATION NUMBER	BER ▼ CITY	′ ▲	STATE A	ZIP CODE ▲
C C00148031	3. IS RE	THIS NEW (N) OR		ENDED
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5		0 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Mar 2	20 (M3) Jun 20 (M6) Sep 2	0 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1)	Apr 2	0 (M4) Jul 20 (M7)	Oct 20	Jan 31 (YE)
July 15 Quarterly Report (Q2)	(c) 12-Day PRE-Election	Primary (12P)	X General (1	2G) Runoff (12R)
October 15 Quarterly Report (Q3)	Report for the:	Convention (12C)	Special (1)	2S)
January 31 Year-End Report (YE)	Election	on 11 08	2016	in the State of MN
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election	General (30G)	Runoff (30	R) Special (30S)
Termination Report (TER)	Report for the: Election	on/	Y = Y = Y	in the State of
5. Covering Period 10	01 2016	through 10	19	2016
I certify that I have examined this F	Report and to the best of n	ny knowledge and belief it is	true, correct and	complete.
Type or Print Name of Treasurer	• • • • •			
Signature of Treasurer	Benjamin, , ,	[Electronically Filed]	Date 10	26 2016
NOTE: Submission of false, erroneous	s, or incomplete information	may subject the person signing	this Report to the	penalties of 52 U.S.C. § 3010
Office Use Only				FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines

6(a) and 6(c) for Column B).....

The Caterpillar Inc. Employee Political Action Committee

10 01 2016 10 19 2016 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 651672.90 January 1. 2016 (b) Cash on Hand at 310329.28 Beginning of Reporting Period..... 1128.35 673575.02 Total Receipts (from Line 19)

311457.63

1325247.92

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

	COLUMN A	
I. Receipts	COLUMN B Calendar Year-to-Date	
ntributions (other than loans) From:		
	1000.05	
(i) Itemized (use Schedule A)	1020.35	483800.98
(ii) Unitomized	108.00	189724.12
	4 4	4 4
	1128.35	673525.10
Political Party Committees	0.00	0.00
Other Political Committees	0.00	0.00
(such as PACs)	0.00	0.00
•		
	1128 35	673525.10
	1120.00	3.5025.10
	0.00	0.00
ty committees	0.00	
Loans Received	0.00	0.00
an Repayments Received	0.00	0.00
sets To Operating Expenditures	, , , , , , , , , , , , , , , , , , , ,	
· · · · · · · · · · · · · · · · · · ·		
	0.00	0.00
	0.00	0.00
	0.00	0.00
· · · · · · · · · · · · · · · · · · ·	0.00	49.92
· · · · · · · · · · · · · · · · · · ·	0.00	45.52
	0.00	0.00
, ,	4 4	4 4
Levin Funds (from Schedule H5)	0.00	0.00
25 27.00 (1.011) 25.100010 (1.0)	4 4	4 4
Total Transfers (add 18(a) and 18(b))	0.00	0.00
	Than Political Committees (i) Itemized (use Schedule A)	Than Political Committees (i) Itemized (use Schedule A)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)	Total Tillo I Gliou	Calcilual Teal-to-Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating	5.00	
Expenditures	0.00	165.29
(c) Total Operating Expenditures	0.00	165.29
(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	0.00	103.28
Committees	0.00	0.00
Contributions to Federal Candidates/Committees	0750000	500000 00
and Other Political Committees Independent Expenditures	65500.00	599000.00
(use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))	7 7 7	
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
. ,	4 4 4	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	6225.00
That I childa Committees	0.00	6223.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	
(such as PACs)(d) Total Contribution Refunds	0.00	0.00
(add Lines 28(a), (b), and (c))	0.00	6225.00
	45 45 45	0220.00
Other Disbursements (Including		
Non-Federal Donations)	29150.00	503050.00
Federal Election Activity (52 U.S.C. § 30101	(20))	
(a) Allocated Federal Election Activity		
(from Schedule H6) (i) Federal Share	0.00	0.00
(i) I caciai chare	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid	4 4	4 4
Entirely With Federal Funds(c) Total Federal Election Activity (add	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	94650.00	1108440.29
Total Federal Disbursements	7 7 7	
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	94650.00	1108440.29

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

FEC FORM 3X (Rev. 05/2016)	Page 3	
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	1128.35	673525.10
4. Total Contribution Refunds (from Line 28(d))	0.00	6225.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	1128.35	667300.10
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	165.29
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	165.29

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Michael, , , Date of Receipt Mailing Address 1656 Livingstone Road 13 2016 City Zip Code State Transaction ID: A2016-2007129 WI Hudson 54016 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manager I, Signal Engineering **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 10 2016 City State Zip Code Transaction ID: A2016-2007149 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 48.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1014.51 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City State Zip Code Transaction ID: A2016-2007113 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 31.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 652.26 Other (specify) 104.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 7 Use separate schedule(s) (check only one) **X** 11a 11b 11c

33 OF for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Buncher, Nathaniel, , , Date of Receipt Mailing Address 3575 Lone Star Circle Suite 308 13 2016 City Zip Code State Transaction ID: A2016-2007130 TX Ft. Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Global Aftermarket Parts **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 865.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007132 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Creech, Paul, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007117 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 88.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 2016 13 City Zip Code State Transaction ID: A2016-2007104 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 709.79 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Ryan, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007144 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Doligale, Suzette, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City State Zip Code Transaction ID : A2016-2007110 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 73.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edwards, Brian, , , Date of Receipt Mailing Address 3575 Lone Star Circle Suite 308 13 2016 City Zip Code State Transaction ID: A2016-2007131 TX Ft. Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing 59.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1253.49 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Garman, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 10 2016 City State Zip Code Transaction ID: A2016-2007109 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** George, Tisha, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID : A2016-2007122 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 10.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 214.20 Other (specify) 94.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Matthew, , , Date of Receipt Mailing Address 1900 Front Street 13 2016 City Zip Code State Transaction ID: A2016-2007123 KY Ashland 41101 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 504.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Haycraft, Martin, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007143 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO, LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Just, Pamela, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007145 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller, Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 495.00 Other (specify) 69.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

33 FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Klabunde, Michael, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City Zip Code State Transaction ID: A2016-2007105 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007146 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Information Technology Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Lenz, Martha, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City State Zip Code Transaction ID: A2016-2007111 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lester, Phillip, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007133 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 17.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID : A2016-2007127 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 62.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I, Financial Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1299.91 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Monteleone, Arnold, , , Date of Receipt Mailing Address 525 W. Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007147 TX Sherman 75092 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 105.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nelson, Gary, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007135 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neal, Edward, A,, Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007148 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 80.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 803.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Powell, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007124 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP and Controller Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 112.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roeder, David, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007118 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Eng & Track Serv Bus Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 10 2016 City State Zip Code Transaction ID: A2016-2007106 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 23.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 497.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schebo, Jack, , , Date of Receipt Mailing Address 5600 Stilwell 13 2016 City Zip Code State Transaction ID: A2016-2007150 MO Kansas City 64120 Amount of Each Receipt this Period FEC ID number of contributing C 30.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 611.80 Other (specify) 104.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007125 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 85.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1602.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Soriano, Jose, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007126 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Intermodal Operation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Spruiell, Laine, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007119 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 125.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007140 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 71.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1498.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zaydel, Alexander, , , Date of Receipt Mailing Address 10650 Highway 80 East 10 2016 City State Zip Code Transaction ID: A2016-2007128 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 91.42 SUBTOTAL of Receipts This Page (optional)..... 1020.35 TOTAL This Period (last page this line number only).....

TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE Note (check only 21b 28a				
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committ	ee				
Full Name (Last, First, Middle Initial) Terri Sewell for Congress Mailing Address P.O. Box 1964			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
Birmingham Purpose of Disbursement Contribution Candidate Name Sewell, Terri, , Office Sought:	State Zip Code AL 35201 ment For: 2016 Primary X General	011 Category/ Type	FEC Identification Number C C00458976 Transaction ID: B631347 Amount of Each Disbursement this Period 1500.00			
State: AL District: 07	Other (specify) ▼		Memo Item			
San Diego Purpose of Disbursement Contribution Candidate Name Davis, Susan, , , Office Sought:	State Zip Code CA 92138 nent For: 2016 Primary General Other (specify)	011 Category/ Type	Date of Disbursement M M J J D J J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
Full Name (Last, First, Middle Initial) Scott Peters for Congress Mailing Address PO Box 22074			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
San Diego Purpose of Disbursement Contribution Candidate Name Peters, Scott, , , Office Sought: March March March	State Zip Code CA 92192 nent For: 2016 Primary ✓ General Other (specify) ▼	011 Category/ Type	FEC Identification Number C C00503110 Transaction ID: B631349 Amount of Each Disbursement this Period 5000.00 Memo Item			
SUBTOTAL of Disbursements This Page (optional)		······	11500.00			
TOTAL This Period (last page this line number only)						

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE N (check only 21b 28a	•			
Any information copied from such Reports and Statem or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committe	ее				
Full Name (Last, First, Middle Initial) A. Bera for Congress Mailing Address Post Office Box 582496		Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y				
Elk Grove Purpose of Disbursement Contribution Candidate Name	State Zip Code CA 95758	011 Category/	FEC Identification Number C C00461061 Transaction ID : B632613 Amount of Each Disbursement this Period			
Senate	nent For: 2016 Primary General Other (specify)	Туре	5000.00 Memo Item			
Full Name (Last, First, Middle Initial) B. Jim Costa for Congress Mailing Address 2037 W Bullard Avenue #355	Jim Costa for Congress Date of Disbursement					
,	State Zip Code CA 93711	011 Category/	FEC Identification Number C C00391029 Transaction ID : B632614 Amount of Each Disbursement this Period			
Senate	nent For: 2016 Primary 🗶 General Other (specify)	Type	2000.00 Memo Item			
Full Name (Last, First, Middle Initial) C. Himes for Congress Mailing Address 857 Post Road #312	Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
Fairfield Purpose of Disbursement Contribution Candidate Name	State Zip Code CT 06824	011 Category/	FEC Identification Number C C00434191 Transaction ID : B632615 Amount of Each Disbursement this Period			
Senate	nent For: 2016 Primary General Other (specify)	Туре	2000.00 Memo Item			
SUBTOTAL of Disbursements This Page (optional)		······•	9000.00			
TOTAL This Period (last page this line number only)						

SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER: PAGE 19 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 x 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem	lents may not be sold or us		
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	ical Action Committe	ee	
/ Full Name (Last, First, Middle Initial)			
A. Middle Class America PAC			Date of Disbursement
			M M / D D / Y Y Y
Mailing Address P.O. Box 521			10 10 2016
City	State Zip Code		
Western Springs	IL 60558		FEC Identification Number
Purpose of Disbursement			C C00486860
Contribution Candidate Name		011	Transaction ID : B631346
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2016	Турс	2500.00
Senate	Primary General		
	Other (specify) ▼		Memo Item
State: District: Full Name (Last, First, Middle Initial)	Not Applicable)	
B. Boustany For Senate Inc			Date of Disbursement
Boustarry i or Geriate inc			M M / D D / Y Y Y Y
Mailing Address PO Box 80126			10 10 2016
City	State Zip Code		
Lafayette	LA 70598		FEC Identification Number
Purpose of Disbursement			C C00394866
Contribution Candidate Name		011	Transaction ID : B631345
Boustany, Charles, W, , Jr.		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2016	Турс	5000.00
x Senate	Primary General		4 4
	Other (specify)		Memo Item
State: LA District:			
Full Name (Last, First, Middle Initial) C. Richmond for Congress			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 1631 Elysian Fields Suite 150	10 14 2016		
City	State Zip Code		
New Orleans	LA 70126		FEC Identification Number
Purpose of Disbursement Contribution		044	C C00451336
Candidate Name		011	Transaction ID : B632625
Richmond, Cedric, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2016		1000.00
	Primary General		
State: LA District: 02	Other (specify) ▼		Memo Item
Side. LA Biotrot. UZ			
SUBTOTAL of Disbursements This Page (optional)			8500.00
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ny information copied from such Reports and Statemer for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politic Full Name (Last, First, Middle Initial) Ameripac: The Fund for A Greater Amailing Address 700 13th Street NW #600	e and address of any pol	21b 28a used by any perso	22 x 23 26 27 28b 28c 29 30b on for the purpose of soliciting contributions
r for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politic Full Name (Last, First, Middle Initial) Ameripac: The Fund for A Greater A Mailing Address 700 13th Street NW #600	e and address of any pol	itical committee to	o solicit contributions from such committee.
The Caterpillar Inc. Employee Politic Full Name (Last, First, Middle Initial) Ameripac: The Fund for A Greater A Mailing Address 700 13th Street NW #600		ttee	Date of Disbursement
Full Name (Last, First, Middle Initial) Ameripac: The Fund for A Greater A Mailing Address 700 13th Street NW #600		ttee	Date of Disbursement
Ameripac: The Fund for A Greater A Mailing Address 700 13th Street NW #600	America		Date of Disbursement
Mailing Address 700 13th Street NW #600	America		Date of Disbursement
			10 14 2016
City S			
Washington	tate Zip Code DC 20005		FEC Identification Number
Purpose of Disbursement	20003	T	C 000074020
Contribution		011	C C00271338
Candidate Name		Cotogony	Transaction ID : B632620 Amount of Each Disbursement this Period
		Category/ Type	Amount of Lacit Dispulsement this Period
	ent For: 2016 Primary General	, , ,	2500.00
	Other (specify) ▼		Memo Item
State: District:	Not Applica	ble	L
Full Name (Last, First, Middle Initial) Third Congressional District Rep. P Mailing Address P.O. Box 390722	Party of MN		Date of Disbursement 10 10 2016
1 -	tate Zip Code		FEC Identification Number
Edina Purpose of Disbursement Contribution	MN 55439		C C00626093
Candidate Name	Category/ Type	Transaction ID : B631359 Amount of Each Disbursement this Period	
Senate F	ent For: 2016 Primary General	7	5000.00
President State: District:	Other (specify) Not Applica	ble	Memo Item
Full Name (Last, First, Middle Initial) Peterson for Congress	Date of Disbursement		
Mailing Address 26192 Floyd Lake Point Road		10 14 Y Y Y Y Y Y Y Y	
,	tate Zip Code		FEC Identification Number
	MN 56501		
Purpose of Disbursement Contribution 011			C C00253187 Transaction ID : B632626 Amount of Each Disbursement this Period
Peterson, Collin, , ,	Candidate Name Category/		
Office Sought: House Disbursem	ent For: 2016 Primary x General	Type	1000.00
President State: MN District: 07	Other (specify) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)			8500.00

	EMIZED DISBURSEMENTS	for each of	rate schedule(s) category of the Summary Page	FOR LINE N (check only 21b 28a	
	ly information copied from such Reports and Staten for commercial purposes, other than using the nam				
\rangle	NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Polit	tical Action	on Committe	e	
Α.	Full Name (Last, First, Middle Initial) Friends For Gregory Meeks Mailing Address 153-01 Jamaica Ave. Suite 535				Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Jamaica Purpose of Disbursement Contribution Candidate Name Meeks, Gregory, W, ,	State NY ment For: 2	Zip Code 11432	011 Category/ Type	FEC Identification Number C C00329375 Transaction ID : B631354 Amount of Each Disbursement this Period 2000.00
	Senate	Primary Other (spec	✗ General		Memo Item
В.	Full Name (Last, First, Middle Initial) Blumenauer for Congress Mailing Address 901 SE Oak Street Suite 105				Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Portland Purpose of Disbursement Contribution Candidate Name Blumenauer, Earl, , , Office Sought: x House Disbursen	State OR ment For: 2 Primary	Zip Code 97214	011 Category/ Type	FEC Identification Number C C00307314 Transaction ID : B631343 Amount of Each Disbursement this Period
	State: OR District: 03	Other (spec			Memo Item
C.	Full Name (Last, First, Middle Initial) Kurt Schrader for Congress Mailing Address PO Box 3314				Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	Oregon City Purpose of Disbursement Contribution Candidate Name Schrader, Kurt, , , Office Sought: House Senate Disbursen	OR ment For: 2	✗ General	011 Category/ Type	FEC Identification Number C C00446906 Transaction ID: B632623 Amount of Each Disbursement this Period 2500.00
	State: OR District: 05	Other (spec	CITY) ▼		Memo Item
	UBTOTAL of Disbursements This Page (optional) OTAL This Period (last page this line number only)				7000.00
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SCHEDULE B (FEC Form 3X)		, FOR LINE	NUMBER: PAGE 22 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(for each category of the	(orlook orli)	
	Detailed Summary Page		22 x 23 26 27 28b 28c 29 30b
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or for commercial purposes, other than using the nan			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	tical Action Commi	ittee	
/			
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Cooper for Congress			M M / D D / Y Y Y
Mailing Address PO Box 198497			10 14 2016
211			
City Nashville	State Zip Code TN 37219		FEC Identification Number
Purpose of Disbursement	07210		C C00376665
Contribution		011	Transaction ID : B632616
Candidate Name		Category/	Amount of Each Disbursement this Period
Cooper, Jim, , ,		Type	2500.00
Office Sought: House Disburser Senate	ment For: 2016		2500.00
President	Primary General Other (specify)		
State: TN District: 05	curer (epochy) V		Memo Item
Full Name (Last, First, Middle Initial)			
B. Texans for Henry Cuellar Congres	sional Campaign		Date of Disbursement
A4 27 A 1 1			M = M / D = D / Y = Y = Y
Mailing Address 1519 Washington St. Suite 200			10 14 2016
City	State Zip Code		FEC Identification Number
Laredo	TX 78042		
Purpose of Disbursement Contribution		011	C C00371302
Candidate Name			Transaction ID : B632617
Cuellar, Henry, , ,		Category/ Type	Amount of Each Disbursement this Period
	ment For: 2016	71	2500.00
Senate	Primary General		
President	Other (specify)		Memo Item
State: TX District: 28			
Full Name (Last, First, Middle Initial) C. Filemon Vela for Congress			Date of Disbursement
- Themon vela for Congress			M M / D D / Y Y Y Y
Mailing Address 10715 Gulfdale St Ste 235	10 14 2016		
City	Otata 7th Oral		
City San Antonio	State Zip Code TX 78216		FEC Identification Number
Purpose of Disbursement	10210		C C00513531
Contribution		011	Transaction ID : B632618
Candidate Name		Category/	Amount of Each Disbursement this Period
Vela, Filemon, , ,		Туре	1500.00
Office Sought: House Disburser	ment For: 2016 Primary 🗶 General		1300.00
President	Other (specify)		□ n
State: TX District: 34			Memo Item
SUBTOTAL of Disbursements This Page (optional)		·····	6500.00
TOTAL This Period (last page this line number only)	<u> </u>		
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 23 OF 33 (check only one)			
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	one) 22 X 23 26 27 28b 28c 29 30b		
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NAME OF COMMITTEE (In Full)	<u>·</u> ·				
The Caterpillar Inc. Employee Polit	ical Action Committee	e			
Full Name (Last, First, Middle Initial)			Data of Dishursoment		
A. Blue Dog Political Action Committe Mailing Address P.O. Box 83142	e 		Date of Disbursement 10 10 2016		
City Gaithersburg	State Zip Code MD 20883		FEC Identification Number		
Purpose of Disbursement	20003		000005040		
Contribution		011	C C00305318		
Candidate Name			Transaction ID : B631351		
		Category/ Type	Amount of Each Disbursement this Period		
	nent For: 2016 Primary General	Турс	2500.00		
	Other (specify) ▼		Memo Item		
State: District:	Not Applicable		Wello Rell		
Full Name (Last, First, Middle Initial) B. New Democrat Coalition PAC			Date of Disbursement		
Mailing Address 700 13th Street NW #600			10 10 2016		
City Washington	State Zip Code DC 20005		FEC Identification Number		
Purpose of Disbursement	20005		C C00409730		
Contribution Candidate Name		011 Category/	Transaction ID : B631353 Amount of Each Disbursement this Period		
		Туре			
Senate	nent For: 2016 Primary General		2500.00		
State: President X	Other (specify) Not Applicable		Memo Item		
Full Name (Last, First, Middle Initial) C. Texas First PAC			Date of Disbursement		
Mailing Address 1519 Washington Street Suite 200			10 14 2016		
City	State Zip Code		FFC Identification Number		
Laredo	TX 78042		FEC Identification Number		
Purpose of Disbursement Contribution	1	011	C C00439398 Transaction ID : B632622		
Candidate Name	,	Category/ Type	Amount of Each Disbursement this Period		
	nent For: 2016 Primary General		2500.00		
President State: District:	Other (specify) ▼ Not Applicable		Memo Item		
5.0b.	Not Applicable				
SUBTOTAL of Disbursements This Page (optional)		·······	7500.00		
TOTAL This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X)	Use separate schedule(s	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the	21h	y one) 22 x 23 26 27
	Detailed Summary Page	28a	28b 28c 29 30b
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NAME OF COMMITTEE (In Full)	The and address of any point	ilicai committee te	7 Solicit Commissions from Sacri Committee.
The Caterpillar Inc. Employee Poli	tical Action Commit	ttee	
Full Name (Last, First, Middle Initial)			Data of Dishurasment
A. Friends of Don Beyer			Date of Disbursement
Mailing Address 1751 Potomac Greens Drive			10 14 2016
City	State Zip Code		FEC Identification Number
Alexandria Purpose of Disbursement	VA 22314		C C00555888
Contribution		011	C C00555888 Transaction ID : B632619
Candidate Name		Category/	Amount of Each Disbursement this Period
Beyer, Don, , , Jr. Office Sought: House Disburse	ement For: 2016	Type	1000.00
Office Sought: House Disburse Senate	Primary General		100.00
State: VA District: 08	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. People for Derek Kilmer			Date of Disbursement
Mailing Address PO Box 1381			10 14 2016
City	State Zip Code WA 98402		FEC Identification Number
Tacoma Purpose of Disbursement	WA 98402		C C00514893
Contribution 011			Transaction ID : B632624
Candidate Name		Category/	Amount of Each Disbursement this Period
Kilmer, Derek, , , Office Sought: House Disburse	ement For: 2016	Туре	1000.00
Senate	Primary General		4 4
President	Other (specify)		Memo Item
State: WA District: 06			<u> </u>
Full Name (Last, First, Middle Initial) C. Moore for Congress			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address PO Box 16646			10 10 2016
City	State Zip Code		FEC Identification Number
Milwaukee Purpose of Disbursement	WI 53216	T	C C00397505
Contribution		011	Transaction ID : B631356
Candidate Name		Category/	Amount of Each Disbursement this Period
Moore, Gwendolynne, , , Office Sought: House Disburse	ement For: 2016	Туре	5000.00
Office Sought: A House Disburse Senate	Primary General		
President	Other (specify) ▼		Memo Item
State: WI District: 04			-
SUBTOTAL of Disbursements This Page (optional)		·····•	7000.00
TOTAL This Period (last page this line number only	n)		65500.00
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SCHEDULE B (FEC Form 3X)			FOR LINE I	NUMBER:	PAGE 25 OF 33	
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only			
		Summary Page	21b 28a	22 28b	23 26 27 28c x 29 30b	
Any information copied from such Reports and Statem	l nents may n	not he sold or use				
or for commercial purposes, other than using the nam	ne and addre	ess of any politica	al committee to	solicit contrib	utions from such committee.	
NAME OF COMMITTEE (In Full)						
The Caterpillar Inc. Employee Polit	ical Action	on Committe	ee			
/						
Full Name (Last, First, Middle Initial) Acosta for Assembly 2016				Date of Dis	sbursement	
" Acosta for Assembly 2010				M M /	D D / Y Y Y Y	
Mailing Address 16654 Soledad Canyon Rd. #188				10	10 2016	
		1				
City Santa Clarita	State CA	Zip Code 91387		FEC Identif	fication Number	
Purpose of Disbursement	<u> </u>	91367		С		
G-2016 State House 38 CA			011		estion ID - DC24207	
Candidate Name			Category/		action ID: B631387 Each Disbursement this Period	
Acosta, Dante, , ,			Туре			
	nent For: 2				1500.00	
	Primary Other (spec	General		-		
State: CA District: 38	Other (spec	,iiy) ▼		Memo	Item	
Full Name (Last, First, Middle Initial)						
Bocanegra for Assembly 2016				Date of Dis	sbursement	
				M = M / D = D / Y = Y = Y		
Mailing Address 1787 Tribute Road Suite K				10	10 2016	
City	State	Zip Code		FFO Islandi	Caratian Niverban	
Sacramento	CA	95815		FEC Identif	fication Number	
Purpose of Disbursement G-2016 State House 39 CA			244	C		
Candidate Name			011		ction ID : B631381	
Bocanegra, Raul, , ,			Category/ Type	Amount of	Each Disbursement this Period	
	nent For: 2	2016	.,,,,		2000.00	
	Primary	✗ General			y 4-	
	Other (spec	eify)		Memo	Item	
State: CA District: 39						
Full Name (Last, First, Middle Initial)				Date of Dis	churcamant	
Chang for Senate 2016				M M /	D D / Y Y Y Y	
Mailing Address 1201 K Street Suite 950				10	10 2016	
City Sacramento	State CA	Zip Code 95814		FEC Identif	fication Number	
Purpose of Disbursement		93614		С		
G-2016 State Senate 29 CA			011		action ID : B631385	
Candidate Name			Category/		Each Disbursement this Period	
Chang, Ling-Ling, , ,			Type		4500.00	
	nent For: 2				1500.00	
	Primary Other (spec	General ifv) ▼				
State: CA District: 29	2 (opoo	···· <i>y)</i> •		Memo	Item	
SUBTOTAL of Disbursements This Page (optional)					5000.00	
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TOTAL This Period (last page this line number only).				1 1 1		

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 26 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
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or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
/		-	
Full Name (Last, First, Middle Initial) A. James Gallagher for Assembly			Date of Disbursement
James Gallagher for Assembly			M M / D D / Y Y Y Y
Mailing Address 1127 11th Street Suite 548			10 10 2016
	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		
,	State Zip Code CA 95814		FEC Identification Number
Purpose of Disbursement	30011		С
G-2016 State House 3 CA		011	Transaction ID : B631377
Candidate Name		Category/	Amount of Each Disbursement this Period
Gallagher, James, , , Office Sought: x House Disbursem	nent For: 2016	Туре	2000.00
	Primary General		200.00
	Other (specify) ▼		Memo Item
State: CA District: 03			ivienio item
Full Name (Last, First, Middle Initial)			
B. Tim Grayson for State Assembly 2016			Date of Disbursement
Mailing Address 1017 L Street #903			10 10 2016
1017 2 04000 #000			
,	State Zip Code		FEC Identification Number
Sacramento Purpose of Disbursement	CA 95814		
G-2016 State House 14 CA		011	C
Candidate Name		Category/	Transaction ID : B631378 Amount of Each Disbursement this Period
Grayson, Tim, , ,		Туре	
	nent For: 2016		2000.00
	Primary General Other (specify)		
State: CA District: 14	other (opcony)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Lackey for Assembly 2016 (#13737	7 55)		Date of Disbursement
M. W. Ald Services			M M / D D / Y Y Y Y
Mailing Address 976 Pacific Avenue			10 10 2016
City	State Zip Code		FEC Identification Number
Willows	CA 95988		
Purpose of Disbursement G-2016 State House 36 CA		011	C
Candidate Name			Transaction ID: B631380 Amount of Each Disbursement this Period
Lackey, Tom, , ,		Category/ Type	Amount of Each Dispulsement this Period
	nent For: 2016		2000.00
	Primary General		
State: CA District: 36	Other (specify) ▼		Memo Item
CIGIO. OA DISTIOL 30			
SUBTOTAL of Disbursements This Page (optional)			6000.00
(
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 27 OF			
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only			
	Detailed Summary Page	21b	22 23 26 27		
		28a	28b 28c x 29 30b		
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Politi	ical Action Committe	ee			
Full Name (Last, First, Middle Initial)					
A. Nguyen for CA State Assembly 201	16		Date of Disbursement		
Mailing Address 10310 Kenny Lane			10 10 2016		
,	State Zip Code		FEC Identification Number		
	CA 95127				
Purpose of Disbursement G-2016 State House 27 CA		011	Transaction ID : B631379		
Candidate Name		Category/	Amount of Each Disbursement this Period		
Nguyen, Madison, , ,		Туре	4500.00		
	nent For: 2016		1500.00		
	Primary x General Other (specify) ▼		Memo Item		
State: CA District: 27			<u> </u>		
Full Name (Last, First, Middle Initial)			5. (5.)		
B. Marc Steinorth for Assembly		Date of Disbursement			
Mailing Address 921 11th Street Suite 701			10 10 2016		
City	State Zip Code				
-	CA 95814		FEC Identification Number		
Purpose of Disbursement			C		
G-2016 State House 40 CA 011			Transaction ID : B631382		
Candidate Name		Category/	Amount of Each Disbursement this Period		
Steinorth, Marc, , , Office Sought: House Disbursem	nent For: 2016	Туре	2000.00		
	Primary x General		7 7 7		
	Other (specify)		Maria hara		
State: CA District: 40			Memo Item		
Full Name (Last, First, Middle Initial)					
C. Stern for Senate 2016			Date of Disbursement		
Mailing Address 1787 Tribute Road Suite K			10 10 2016		
	1				
	State Zip Code		FEC Identification Number		
Sacramento Purpose of Disbursement	CA 95815				
G-2016 State Senate 27 CA		011	C Town of the IP Product		
Candidate Name		Category/	Transaction ID : B631384 Amount of Each Disbursement this Period		
Stern, Henry, , ,		Type			
\vdash	nent For: 2016		1500.00		
	Primary General		_		
State: CA District: 27	Other (specify) ▼		Memo Item		
Similar On Biolifot. 21					
SUBTOTAL of Disbursements This Page (optional)		············ <u>▶</u>	5000.00		
TOTAL This Period (last page this line number only).		·····			

SCHEDULE B (FEC Form 3X)	Llas concrete cohodula(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Staten	l nents may not be sold or use	ed by any perso	
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	tical Action Committe	ee	
/ Full Name (Last, First, Middle Initial)			
A. Wilk for Senate 2016 (#1383880)			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 7185 Navajo Road Suite P			10 10 2016
City	State Zip Code		
San Diego	CA 92119		FEC Identification Number
Purpose of Disbursement G-2016 State Senate 21 CA		244	
Candidate Name		011	Transaction ID : B631383
Wilk, Scott, , ,		Category/ Type	Amount of Each Disbursement this Period
	ment For: 2016	.,,,,,	2000.00
x Senate	Primary General		
State: CA District: 21	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. Duran for Colorado			Date of Disbursement
			M M / D D / Y M Y M Y
Mailing Address 4956 Umatilla Street			10 10 2016
,	State Zip Code		FEC Identification Number
Denver Purpose of Disbursement	CO 80211		С
O-2016 State House 05 CO		011	Transaction ID : B631386
Candidate Name		Category/	Amount of Each Disbursement this Period
Duran, Crisanta, , , Office Sought: y House Disbursen	ment For: 2016	Туре	400.00
	Primary General		7 7 7
President x	Other (specify)		Memo Item
State: CO District: 05	Election Cycle)	<u></u>
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Bethel for Georgia Senate			M M / D D / Y Y Y Y
Mailing Address 1701 Briarcliff Circle			10 10 2016
City	State Zip Code		FEC Identification Number
Dalton Purpose of Disbursement	GA 30720		
G-2016 State Senate 54 GA		011	[C]
Candidate Name		Category/	Transaction ID : B631365 Amount of Each Disbursement this Period
Bethel, Charlie, , ,		Type	1000.00
Office Sought: House Disburser Senate	ment For: 2016 Primary 🗶 General		1000.00
President	Other (specify)		Memo Item
State: GA District: 54			Memo item
SUBTOTAL of Disbursements This Page (optional)			3400.00
			111111111
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(orlook only		
	Detailed Summary Page	28a	28b 28c x 29 30b	
Any information copied from such Reports and Stater or for commercial purposes, other than using the nan				
NAME OF COMMITTEE (In Full)	aa addioso of any polit	John Milet IC	25.5 Commodation from Such Committee.	
The Caterpillar Inc. Employee Polit	tical Action Commit	tee		
Full Name (Last, First, Middle Initial)			Date of Dishusoment	
A. Cmte to Re-elect Jack Hill			Date of Disbursement	
Mailing Address P.O. Box 486			10 10 2016	
Reidsville	State Zip Code 30453		FEC Identification Number	
Purpose of Disbursement G-2016 State Senate 04 GA		011	C Transaction ID : B631364	
Candidate Name		Category/	Amount of Each Disbursement this Period	
Hill, Jack, , , Office Sought: House Disburser	ment For: 2016	Туре	1000.00	
Senate Dispurser	Primary General		7 7 7	
State: GA District: 04	Other (specify) ▼		Memo Item	
Full Name (Last, First, Middle Initial)				
B. Comm To Elect Al Williams			Date of Disbursement	
Malling Address and Co. 10			M M / D D / Y Y Y Y	
Mailing Address 229 General Screven Way Suite M	<i>n</i> 4		10 14 2016	
,	State Zip Code		FEC Identification Number	
Hinesville Purpose of Disbursement	GA 31313			
G-2016 State House 168 GA			C Transposition ID + B622642	
Candidate Name		Category/	Transaction ID : B632612 Amount of Each Disbursement this Period	
Williams, Cyril, , ,		Type		
	ment For: 2016		1000.00	
Senate President	Primary General Other (specify)		П.,	
State: GA District: 16			Memo Item	
Full Name (Last, First, Middle Initial)		_		
C. Friends of Don Lehe			Date of Disbursement	
Mailing Address 10644 South 100 East			10 10 / 2016	
City	State Zip Code		FEC Identification Number	
Brookston	IN 47923			
Purpose of Disbursement G-2016 State House 25 IN		011	Transaction ID : B631367	
Candidate Name		Category/	Amount of Each Disbursement this Period	
Lehe, Donald, , ,	want Fam	Туре	4000.00	
Office Sought: House Disburser Senate	ment For: 2016 Primary		1000.00	
President	Primary		Marra Harra	
State: IN District: 25	V 1 31 - ▼		Memo Item	
SUBTOTAL of Disbursements This Page (optional)		·····	3000.00	
TOTAL This Period (last page this line number only)	١			

SCHEDULE B (FEC Form 3X)	Lie compute colordula(a)	FOR LINE	NUMBER: PAGE 30 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	nents may not be sold or us		
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
/ Full Name (Last, First, Middle Initial)		İ	
A. Friends of Caleb Rowden			Date of Disbursement
Therias of Gales Rowaeri			M M / D D / Y Y Y
Mailing Address P.O. Box 7088			10 10 2016
City	State Zip Code		
•	MO 65205		FEC Identification Number
Purpose of Disbursement			C
G-2016 State House 44 MO		011	Transaction ID : B631368
Candidate Name Rowden, Caleb, , ,		Category/	Amount of Each Disbursement this Period
	nent For: 2016	Туре	2500.00
	Primary General		7 7 7
	Other (specify) ▼		Memo Item
State: MO District: 44			
Full Name (Last, First, Middle Initial)			Date of Disbursement
B. Citizens for Tamara Barringer			M M / D D / Y Y Y Y
Mailing Address P.O. Box 99749			10 10 2016
,	State Zip Code NC 27624		FEC Identification Number
Purpose of Disbursement	27024		C
G-2016 State Senate 17 NC		011	Transaction ID : B631366
Candidate Name		Category/	Amount of Each Disbursement this Period
Barringer, Tamara, , , Office Sought: House Disbursem	nent For: 2016	Туре	2000.00
	Primary General		4 4
President	Other (specify)		Memo Item
State: NC District: 17			Wellio Relli
Full Name (Last, First, Middle Initial)			Data of Dishumannant
C. SC Legislative Black Caucus			Date of Disbursement
Mailing Address 207 Solomon Blatt Building			10 14 _ 2016 _
City S Columbia	State Zip Code SC 29211		FEC Identification Number
Purpose of Disbursement	29211		C
O-2016 State PAC SC		011	Transaction ID : B632611
Candidate Name		Category/	Amount of Each Disbursement this Period
Office Sought: House Disbursem	nent For: 2016	Туре	1000.00
	Primary General		7 7 7
	Other (specify) ▼		Memo Item
State: District:	Not Applicable	е	Wollie Relii
			5500.00
SUBTOTAL of Disbursements This Page (optional)		·····•	3300.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	Lloo concrete s	abadula(a)	FOR LINE N		PAGE 31 OF 33	
ITEMIZED DISBURSEMENTS	Use separate s for each catego		(check only			
	Detailed Summ		21b 28a	22 23 28b 28c	26 27 29 30b	
Any information copied from such Reports and Statem	L nents may not be	sold or used			"	
or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)						
The Caterpillar Inc. Employee Politi	ical Action C	Committee	9			
Full Name (Lock First Middle Initial)						
Full Name (Last, First, Middle Initial) A. Friends of Jimmy Matlock				Date of Disburse	ment	
1 Herios of Silling Matiock				M M / D	_	
Mailing Address 190 Matlock Road				10 06	2016	
,	State Zip TN 377	Code 771		FEC Identification	Number	
Purpose of Disbursement	37			С		
G-2016 State House 21 TN			011		ID : B624054	
Candidate Name			Category/		Disbursement this Period	
Matlock, Jimmy, , ,			Type		4000.00	
	nent For: 2016	Canaral		7	-1000.00	
	Primary x Other (specify)	General			Voided: Original check dated	
State: TN District: 21	отпо: (ороспу)	•		Memo Item (J8/26/16	
Full Name (Last, First, Middle Initial)						
B. Friends of Randy McNally				Date of Disburse	ment	
				M M / D D / Y Y Y Y		
Mailing Address 94 Royal Troon Circle				10 00	6 2016	
City	State Zip	Code				
-	1 '	830		FEC Identification	Number	
Purpose of Disbursement P-2018 State Senate 05 TN		Г	1			
Candidate Name			011	Transaction	ID : B624052	
McNally, Randy, , ,			Category/ Type	Amount of Each	Disbursement this Period	
	nent For: 2018		Туре		-3000.00	
	Primary	General		,	Voided: Original check dated	
President	Other (specify)				08/26/16	
State: TN District: 05				LI Monto Rom		
Full Name (Last, First, Middle Initial)				Data of Biologica		
C. Jim Tracy for State Senate				Date of Disburse		
Mailing Address PO Box 332166				10 06		
,	'	Code		FEC Identification	1 Number	
Mufreesboro Purpose of Disbursement	TN 37	133				
G-2016 State Senate 14 TN		- 1	011	C	ID 200/050	
Candidate Name			Category/		ID: B624053 Disbursement this Period	
Tracy, Jim, , ,			Type			
0	nent For: 2016				-1000.00	
	Primary X	General			Voided: Original check dated	
State: TN District: 14	Other (specify)	•		Memo Item	08/26/16	
SUBTOTAL of Disbursements This Page (optional)					-5000.00	
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TOTAL This Period (last page this line number only).						

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only	22 23 26 27
	ago	28a	28b 28c x 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	ical Action Committe	ee	
Full Name (Last, First, Middle Initial)			D (D)
A. Friends of Jimmy Matlock			Date of Disbursement
Mailing Address 190 Matlock Road			10 10 2016
City Lenoir City	State Zip Code TN 37771		FEC Identification Number
Purpose of Disbursement G-2016 State House 21 TN		011	C
Candidate Name		Category/	Transaction ID: B631372 Amount of Each Disbursement this Period
Matlock, Jimmy, , ,		Type	
Office Sought: House Disbursen Senate	nent For: 2016 Primary 🗶 General		1000.00
President State: TN District: 21	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
3. Friends of Randy McNally			Date of Disbursement
Mailing Address 94 Royal Troon Circle			10 10 2016
,	State Zip Code		FEC Identification Number
Oak Ridge Purpose of Disbursement	TN 37830		С
P-2018 State Senate 05 TN 011			Transaction ID : B631374
Candidate Name		Category/	Amount of Each Disbursement this Period
McNally, Randy, , ,		Туре	
	nent For: 2018 Primary General		3000.00
• • • • • •	Primary General Other (specify)		
State: TN District: 05	Carrot (opeony)		Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Jim Tracy for State Senate			M M / D D / Y Y Y Y
Mailing Address P.O. Box 332116			10 10 2016
City	State Zip Code		FEC Identification Number
Murfreesboro	TN 37133		
Purpose of Disbursement G-2016 State Senate 14 TN 011			Transaction ID : B631376
Candidate Name		Category/	Amount of Each Disbursement this Period
Tracy, Jim, , ,	nent Ferri 2010	Туре	1000.00
Office Sought: House Disbursen	nent For: 2016 Primary 🗶 General		1000.00
President	Other (specify)		Maria lari
State: TN District: 14	, .		Memo Item
SUBTOTAL of Disbursements This Page (optional)			5000.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)	11	FOR LINE NUMBER: PAGE 33 OF			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(Orlean orlin)			
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b		
Any information copied from such Reports and Statem	ents may not be sold or us				
or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Politi	ical Action Committ	ee			
/ Full Name (Last, First, Middle Initial)					
A. Phil King Campaign			Date of Disbursement		
- Till King Gampaign			M M / D D / Y Y Y Y		
Mailing Address 201 Fort Worth Highway			10 10 2016		
City	State Zip Code				
-	TX 76086		FEC Identification Number		
Purpose of Disbursement			C		
G-2016 State House 61 TX		011	Transaction ID : B631369		
Candidate Name King, Phil S., , ,		Category/	Amount of Each Disbursement this Period		
	nent For: 2016	Туре	1000.00		
	Primary General		4 4		
	Other (specify) ▼		Memo Item		
State: TX District: 61			1		
Full Name (Last, First, Middle Initial)			Date of Disbursement		
B. Aaron Clausen for District House 6			M M / D D / Y Y Y Y		
Mailing Address 910 Esterbrook Rd.			10 10 2016		
,	State Zip Code WY 82633		FEC Identification Number		
Purpose of Disbursement	02000		C		
G-2016 State House 06 WY			Transaction ID : B631388		
Candidate Name		Category/	Amount of Each Disbursement this Period		
Clausen, Aaron, , , Office Sought: House Disbursem	nent For: 2016	Type	250.00		
<u> </u>	Primary General		7 7 7		
	Other (specify)		Memo Item		
State: WY District: 06			I Wellio Relli		
Full Name (Last, First, Middle Initial)			5. (5:1		
C.			Date of Disbursement		
Mailing Address			M M / D D / Y Y Y Y		
City	State Zip Code		FEC Identification Number		
Purpose of Disbursement			C		
·			0		
Candidate Name		Category/	Amount of Each Disbursement this Period		
Office Cought: House Dishurses	ant Fam	Type			
Office Sought: House Disbursem	Primary General				
	Other (specify) ▼		Memo Item		
State: District:			Memo rem		
			1250.00		
SUBTOTAL of Disbursements This Page (optional)		·····•	1250.00		
TOTAL This Period (last page this line number only).			29150.00		