

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐

Primary (12P)

☒

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

M M M /

D D D /

Y Y Y Y Y Y

11

04

2014

in the
State of

MA

(d) 30-Day

POST-Election

Report for the:

☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y

10

01

2014

through

M M M /

D D D /

Y Y Y Y Y Y

10

15

2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Trap Kloman

Signature of Treasurer

Trap Kloman

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y

10

23

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y 10 / 01 / 2014 To: M M / D D / Y Y Y Y Y 10 / 15 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y 2014		200049.50
(b) Cash on Hand at Beginning of Reporting Period.....	230940.19	
(c) Total Receipts (from Line 19)	10221.91	190407.60
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	241162.10	390457.10
7. Total Disbursements (from Line 31)	3000.00	152295.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	238162.10	238162.10
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M M /

D D /

Y Y Y Y Y

To:

M M /

D D /

Y Y Y Y Y

I. Receipts**COLUMN A**
Total This Period**COLUMN B**
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

8666.99

156664.14

(ii) Unitemized

1554.92

33608.12

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

10221.91

190272.26

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5)

10221.91

190272.26

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

135.34

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3)

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

10221.91

190407.60

20. Total Federal Receipts

(subtract Line 18(c) from Line 19)

10221.91

190407.60

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	2045.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	2045.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	3000.00	150000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	250.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	250.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	3000.00	152295.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	3000.00	152295.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	10221.91	190272.26
34. Total Contribution Refunds (from Line 28(d))	0.00	250.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	10221.91	190022.26
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	2045.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	135.34
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	1909.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 46

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Nabil S. Abdelhamid

Mailing Address 510 Inverrary Ct

City

Eureka

State

MO

Zip Code

63025-2076

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legends Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A258735332DF148CF8AC

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOHN M ABOOD

Mailing Address 3334 Coachman Rd

City

Wilmington

State

DE

Zip Code

19803-1942

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A6A5CB325BF56488DACE

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David R Allen

Mailing Address 113 Front St

City

Owego

State

NY

Zip Code

13827-1518

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : AE990F330E467495AA59

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

62.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 46

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Francis XAVIER Astorino

Mailing Address 22 Maple Pl

City State Zip Code
 Fairfield NJ 07004-3209

FEC ID number of contributing federal political committee.

C

Name of Employer

The Astorino Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
 10 15 2014

Transaction ID : AB3E705E4BC194042A82

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Nicole LEE Ayub

Mailing Address 10309 Avenida Magnifica

City State Zip Code
 San Diego CA 92131-1402

FEC ID number of contributing federal political committee.

C

Name of Employer

Litton Financial

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 15 2014

Transaction ID : A6E25C0505DDF494C8C5

Amount of Each Receipt this Period

25.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael Babyak Jr

Mailing Address 57 Ironia Rd

City State Zip Code
 Randolph NJ 07869-3901

FEC ID number of contributing federal political committee.

C

Name of Employer

Precision Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 15 2014

Transaction ID : A9D0901FD18F94198B94

Amount of Each Receipt this Period

104.00

Payroll Deduction: \$104.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

149.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Harvey STUART Berk

Mailing Address 9 Conrad Ln

City

New City

State

NY

Zip Code

10956-6851

FEC ID number of contributing
federal political committee.

C

Name of Employer

Berk Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : A6612166495C74FB4AB9

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Mimi Bock

Mailing Address 6053 Seacrest View Road

City

San Diego

State

CA

Zip Code

92121-4370

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Client Exprnce & Training

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 10 / 2014

Transaction ID : A6B23938E9FB649A7A6F

Amount of Each Receipt this Period

104.17

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Michael S Brady

Mailing Address 22 Plymouth Rd

City

Springfield

State

PA

Zip Code

19064-1307

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : AB397A4A7D7F44F87A8E

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

312.50

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David A. A. Brounley

Mailing Address 407 Calle Robles

City

San Clemente

State

CA

Zip Code

92672-2127

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Retirement Worksite

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 10 / 2014

Transaction ID : A34E200BE463E4D2491A

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Jeffrey Jeffrey Buchheister

Mailing Address 2367 Juan St

City

San Diego

State

CA

Zip Code

92103-1110

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 10 / 2014

Transaction ID : AD2C95EB817C74D64907

Amount of Each Receipt this Period

25.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Steven ANDREW Budin

Mailing Address 25 Morninglow Pass

City

Henderson

State

NV

Zip Code

89052-6683

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Budin Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : AEC64696D4909496E8FF

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

129.17

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert Burns

Mailing Address 24151 Cascades Dr

City

Laguna Niguel

State

CA

Zip Code

92677-2418

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.90

Date of Receipt

10 / 15 / 2014

Transaction ID : A8DA6E892CD374AF2B3B

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. R. Craig Byrd

Mailing Address 1723 SE 270th Pl

City

Camas

State

WA

Zip Code

98607-8585

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

10 / 15 / 2014

Transaction ID : AEF7621004128422D996

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Kent A. Cameron

Mailing Address 6648 Lavandula Court

City

San Diego

State

CA

Zip Code

92130-6939

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Internal Audit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 10 / 2014

Transaction ID : A76E08553816D422BB3E

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

270.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Kelly PETER Campbell

Mailing Address 11727 River Dr

City
Lorton

State
VA

Zip Code
22079-4104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Campbell Wealth Management

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

10 / 15 / 2014

Transaction ID : A96ED0DB85C284DFC993

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Michael DALE JOSEPH Carpenter

Mailing Address 1803 Baja Ln

City

Henderson

State

NV

Zip Code

89012-3109

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

10 / 15 / 2014

Transaction ID : A5AB093713A854452B96

Amount of Each Receipt this Period

41.67

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Edward FRANCIS Chairvolotti

Mailing Address 818 S Primrose Dr

City

Orlando

State

FL

Zip Code

32803-6840

FEC ID number of contributing
federal political committee.

C

Name of Employer

Chairvolotti Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A37A5DC57D980467E904

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

270.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 46

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Suzanne H. Christian

Mailing Address PO Box 1237

City

Claremont

State

CA

Zip Code

91711-1237

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A910CDC1A9445469BBBA

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Don Clark

Mailing Address 31600 W 115th St

City

Olathe

State

KS

Zip Code

66061-8649

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

10 / 15 / 2014

Transaction ID : A1BBF837D61EF427DA1B

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Crystal Clifford

Mailing Address 1968 Sakina St

City

El Cajon

State

CA

Zip Code

92019-3804

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

593.75

Date of Receipt

10 / 10 / 2014

Transaction ID : A48DFC1132EC84EAC96D

Amount of Each Receipt this Period

31.25

Payroll Deduction: \$31.25/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

114.57

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Heidi GRACE Clute

Mailing Address 21 Pine Ridge Dr

City

Morrisonville

State

NY

Zip Code

12962-9671

FEC ID number of contributing
federal political committee.

C

Name of Employer

Clute Wealth Management

Occupation

Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1541.60

Date of Receipt

10 / 15 / 2014

Transaction ID : A483B363D73284E2DB39

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert SMITH Collins

Mailing Address 9206 Brushboro Dr

City

Brentwood

State

TN

Zip Code

37027-6122

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

541.58

Date of Receipt

10 / 15 / 2014

Transaction ID : AFA4685D09824438A8EC

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Bradford Cornell

Mailing Address 5257 Fieldgate Ct

City

Harrisburg

State

NC

Zip Code

28075-8339

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 10 / 2014

Transaction ID : A1092712594ED481384D

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

145.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. James Cox

Mailing Address 9116 Atlee Lake Ct

City

Mechanicsville

State

VA

Zip Code

23116-2871

FEC ID number of contributing
federal political committee.

C

Name of Employer

Harris Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1354.08

Date of Receipt

10 / 15 / 2014

Transaction ID : AAAD9E4C36F014BC6BC3

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Angela LOUISE Denham

Mailing Address 17602 Pecan Shadows Dr

City

Baton Rouge

State

LA

Zip Code

70810-6581

FEC ID number of contributing
federal political committee.

C

Name of Employer

A.L. Denham Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A5F43612D176F4DABA2C

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David STAPLEY Dixon

Mailing Address 1419 Drakewood Ave

City

North Las Vegas

State

NV

Zip Code

89031-1694

FEC ID number of contributing
federal political committee.

C

Name of Employer

Dixon Financial Group, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A941E9A50E2904D73A7D

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Richard CALVERT Donahue

Mailing Address 2407 Princeton Ct
Apt 11City State Zip Code
Bellingham WA 98229-8840FEC ID number of contributing
federal political committee.

C

Name of Employer

Asset Advisors, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M / D D / Y Y Y Y
10 / 15 / 2014

Transaction ID : ACD9C58E5E5E24D198E8

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Marc Ehlers

Mailing Address 1258 Oak Avenue

City State Zip Code
Carlsbad CA 92008-1929FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y
10 / 10 / 2014

Transaction ID : A13DA08511D0244B8AFF

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Matthew Matthew Enyedi

Mailing Address 10332 Reserve Dr, Apt 102

City State Zip Code
San Diego CA 92127-4182FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, RIA & HNW Solutions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y
10 / 10 / 2014

Transaction ID : A6D9A429B5CF64628928

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

145.84

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert L Errico

Mailing Address 10 Villeneuve

City

Newport Beach

State

CA

Zip Code

92657-1023

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

10 / 15 / 2014

Transaction ID : A11C8CDF81190402F98E

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Sharon JOY Fall

Mailing Address 25 E Queen Elizabeth Ct

City

Chester

State

MD

Zip Code

21619-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer

SANDY SPRING INVESTMENT SVC

Occupation

financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : AB9E0D1FDDB9749DE945

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Fitz Fisher

Mailing Address 867 Homestake Court

City

Castle Rock

State

CO

Zip Code

80108-9081

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Technology Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1425.00

Date of Receipt

10 / 10 / 2014

Transaction ID : A3EF4FBA15F3B4F969A5

Amount of Each Receipt this Period

75.00

Payroll Deduction: \$75.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

241.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Richard NORTHRUP Fisher

Mailing Address 7003 Windsor Way

City State Zip Code
Elon NC 27244-9404

FEC ID number of contributing
federal political committee.

C

Name of Employer

Fisher Wealth Management

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A0CE11A1C4BD84468A99

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert IVANO Fragasso

Mailing Address 611 Keith Rd

City State Zip Code
Bethel Park PA 15102-3741

FEC ID number of contributing
federal political committee.

C

Name of Employer

Fragasso Financial Advisors

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1619.07

Date of Receipt

10 / 15 / 2014

Transaction ID : A38C075E43D5B4DFBB87

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Marc STUART Freedman

Mailing Address 119 Kara Dr

City State Zip Code
North Andover MA 01845-4834

FEC ID number of contributing
federal political committee.

C

Name of Employer

Freedman Financial

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3166.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A35FBBF00B4864395A84

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

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TOTAL This Period (last page this line number only)..... ►

333.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Loreen MICHELLE Gilbert

Mailing Address 250 Beverly St

City

Laguna Beach

State

CA

Zip Code

92651-1402

FEC ID number of contributing
federal political committee.

C

Name of Employer

WealthWise Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : AA8C6F8D4218F4CE893A

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Jen Gloss

Mailing Address 4143 Bachman Pl

City

San Diego

State

CA

Zip Code

92103-2027

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 10 / 2014

Transaction ID : A0AF0CFA7A90B4C1EA16

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Joy Goble

Mailing Address 3217 Selwyn Farms Ln

City

Charlotte

State

NC

Zip Code

28209-4009

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

665.00

Date of Receipt

10 / 10 / 2014

Transaction ID : A270C5BB61D0E4D26871

Amount of Each Receipt this Period

35.00

Payroll Deduction: \$35.00/Bi-Monthly

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97.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Pamela FRANCES Groft

Mailing Address 3247 Oakglen Ct

City
Lancaster

State
PA

Zip Code
17601-1340

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

10 / 15 / 2014

Transaction ID : AEBD4E50335C741D396B

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. William E Hamm

Mailing Address 657 Saxony Blvd

City

Saint Petersburg

State

FL

Zip Code

33716-1297

FEC ID number of contributing
federal political committee.

C

Name of Employer

IFP

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2916.62

Date of Receipt

10 / 15 / 2014

Transaction ID : A0DEE50F7DE2F4063AEE

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Edward JAMES Harris

Mailing Address 60 Park Ter

City

Washington

State

PA

Zip Code

15301-3055

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

917.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A6151F8C2EF954851BD0

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

270.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Michael PATRICK Hatch

Mailing Address 3618 San Pasqual St

City

Pasadena

State

CA

Zip Code

91107-5419

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Sterling Group

Occupation

Financial Advisor & Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

10 / 15 / 2014

Transaction ID : A27872FFEE6344FD3989

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Brad Hearn

Mailing Address 7293 Calle Conifera

City

Carlsbad

State

CA

Zip Code

92009-8699

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

798.00

Date of Receipt

10 / 10 / 2014

Transaction ID : A1E524268686B4DA09C5

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mark Helliker

Mailing Address 700 W Harbor Drive

City

San Diego

State

CA

Zip Code

92101-7753

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2850.00

Date of Receipt

10 / 10 / 2014

Transaction ID : A9A4CD9D6626B4FE987B

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$150.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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275.33

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David GEORGE Herbst

Mailing Address 925 Burgess Hill Rd

City
NapervilleState
ILZip Code
60565-6106FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3166.54

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 15 / 2014

Transaction ID : AC3B94D34A3FC4727B53

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Peggy Ho

Mailing Address 6 Lilac Circle

City
WellesleyState
MAZip Code
02482-4518FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 10 / 2014

Transaction ID : AC8AA74CA27F041328E8

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Lisa Lisa Hughes

Mailing Address 4817 St. Simons Terrace

City
WaxhawState
NCZip Code
28173-6823FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 10 / 2014

Transaction ID : AA8E86D72E93242B8B4D

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

208.32

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Lauren Hunt

Mailing Address 181 Pine Ridge Rd

City

Waban

State

MA

Zip Code

02468-3409

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 10 / 2014

Transaction ID : A044AAAAFCFEE4F5E983

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Brad Jacobs

Mailing Address 5 Beacham Place

City

Hingham

State

MA

Zip Code

02043-3331

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

10 / 10 / 2014

Transaction ID : AD0F3ABC739934B05992

Amount of Each Receipt this Period

25.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael WILLIAM Jowdy

Mailing Address 715 Marvista Ave

City

Seal Beach

State

CA

Zip Code

90740-5835

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1533.27

Date of Receipt

10 / 15 / 2014

Transaction ID : A29D6CBF855F143A9ACA

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

129.17

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Andy Kalbaugh

Mailing Address 6713 Seton House Lane

City

Charlotte

State

NC

Zip Code

28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Institution Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

10 / 10 / 2014

Transaction ID : AFD5DAA44D35B493FAC6

Amount of Each Receipt this Period

104.17

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Susan CAROL Kaplan

Mailing Address 74 Sylvan Ave

City

West Newton

State

MA

Zip Code

02465-3026

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.63

Date of Receipt

10 / 15 / 2014

Transaction ID : A5FF80EC1AF4C4213A0F

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Andrew C Karlinski

Mailing Address 179 Saddleback Ln

City

Snowmass Village

State

CO

Zip Code

81615-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer

AK Financial Group

Occupation

President/Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

541.54

Date of Receipt

10 / 15 / 2014

Transaction ID : AF8197FC2BFEB48CB949

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

354.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Brent Korte

Mailing Address 49 Aquavista Way

City State Zip Code
San Francisco CA 94131-1232

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.99

Date of Receipt

10 / 10 / 2014

Transaction ID : A6826FE875DA04275BB0

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David BLANDIN La Pointe

Mailing Address 37919 Luna Dr

City State Zip Code
Temecula CA 92592-9053

FEC ID number of contributing
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.26

Date of Receipt

10 / 15 / 2014

Transaction ID : A7353478572344994B1E

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Stephen Lank

Mailing Address 15936 Glen Miro Drive

City State Zip Code
Huntersville NC 28078-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

10 / 10 / 2014

Transaction ID : AA2508D73A25743E597C

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

466.66

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mark EDWARD Leibman

Mailing Address PO Box 165

City

Cedar Creek

State

NE

Zip Code

68016-0165

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : ABEB3211EC6E7420195D

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Lawrence NELSON Leitch

Mailing Address 29 Windflower Ct

City

Reisterstown

State

MD

Zip Code

21136-5651

FEC ID number of contributing
federal political committee.

C

Name of Employer

Synergy Financial Group

Occupation

Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : AECF63293718C4ED4860

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mr. Daniel W Leveroni

Mailing Address 853 Main St

City

Cotuit

State

MA

Zip Code

02635-3111

FEC ID number of contributing
federal political committee.

C

Name of Employer

Leveroni Financial Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : AA72EFB427CBF4E4B9AB

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

120.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Timothy W Leveroni

Mailing Address 1100 Randolph Ave

City
Milton

State
MA

Zip Code
02186-5235

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : AA4B28997C2C04CE6962

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Tom Lux

Mailing Address 8875 Costa Verde Blvd Apt 912
Unit B203

City

San Diego

State

CA

Zip Code

92122-7683

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

10 / 10 / 2014

Transaction ID : AE402867B81D94F4E84F

Amount of Each Receipt this Period

104.17

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Robert D Lyman

Mailing Address 792 Wortham Dr

City

Mundelein

State

IL

Zip Code

60060-3377

FEC ID number of contributing
federal political committee.

C

Name of Employer

SEQUOIA FINANCIAL NETWORK

Occupation

MANAGING PARTNER

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.75

Date of Receipt

10 / 15 / 2014

Transaction ID : AEB40C979FAE647178E6

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

145.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mr. Cam JOEL Mader

Mailing Address 10660 Glen Eagle Rd

City State Zip Code
 Saint Paul MN 55129-4223

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

708.22

Date of Receipt

10 / 15 / 2014

Transaction ID : A0CD7486E50D84576A66

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Theodore ROCCO Massaro

Mailing Address 36 Harvest Ln

City State Zip Code
 Medford NJ 08055-8450

FEC ID number of contributing
federal political committee.

C

Name of Employer

M Financial Planning Services, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

10 / 15 / 2014

Transaction ID : A7677D7CD64984B2FB15

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Robert ARTHUR Matson

Mailing Address 333 Orchard St

City State Zip Code
 Millis MA 02054-1025

FEC ID number of contributing
federal political committee.

C

Name of Employer

Robert A Matson CLU, ChFC

Occupation

Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A2C718FC392874D4ABDB

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

208.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David JOHN Mc Menamin

Mailing Address 5100 W Rhyolite Loop

City

Tucson

State

AZ

Zip Code

85745-8967

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.95

Date of Receipt

10 / 15 / 2014

Transaction ID : A284A02AAE3F14811965

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. William Meikle

Mailing Address 4321 W Chama Dr

City

Glendale

State

AZ

Zip Code

85310-5131

FEC ID number of contributing
federal political committee.

C

Name of Employer

Meikle Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A45BBD29B393D4061B60

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Robert EDWARD Micone

Mailing Address 360 E 1st St

City

Tustin

State

CA

Zip Code

92780-3211

FEC ID number of contributing
federal political committee.

C

Name of Employer

AFP LPL

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

10 / 15 / 2014

Transaction ID : ADCF96AE7CED44F03926

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

229.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Bruce BRUCE Miller

Mailing Address 1721 Bardstown Rd

City

Charlotte

State

NC

Zip Code

28226-0915

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

10 / 15 / 2014

Transaction ID : A321955B6A3C94C908CE

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Shari DIANE Miller

Mailing Address 4250 Shorepointe Way

City

San Diego

State

CA

Zip Code

92130-8634

FEC ID number of contributing
federal political committee.

C

Name of Employer

Symbio Financial Partners

Occupation

manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

708.22

Date of Receipt

10 / 15 / 2014

Transaction ID : A807AF41DE8224D48995

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Robert Moore

Mailing Address PO Box 2764

City

Rancho Santa Fe

State

CA

Zip Code

92067-2764

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

10 / 10 / 2014

Transaction ID : AC51AAC2F2DD54346967

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

458.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Harold FRANCIS Neville

Mailing Address 825 Green Mountain Rd

City

Effingham

State

NH

Zip Code

03882-8325

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Adviser

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

10 / 15 / 2014

Transaction ID : ADB1F9CDA25B14E81AFC

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Mary LOUISE Nothelfer

Mailing Address 1043 Newgate Dr

City

Allentown

State

PA

Zip Code

18103-9264

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1145.76

Date of Receipt

10 / 15 / 2014

Transaction ID : AAA9F88BC0DC3462789B

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. John Oettinger

Mailing Address 17805 Buehler Rd
Apt 116

City

Olney

State

MD

Zip Code

20832-2353

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandy Spring Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A9108991ADEB7465BA87

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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145.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Carlo ANTHONY Panaccione

Mailing Address 12760 Dianne Dr

City

Los Altos Hills

State

CA

Zip Code

94022-4606

FEC ID number of contributing
federal political committee.

C

Name of Employer

Navigation Group

Occupation

Private Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2166.58

Date of Receipt

10 / 15 / 2014

Transaction ID : AB704112AC1744A6A902

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Vickie LINN Petix-Reichert

Mailing Address 2529 Castellon Ter

City

El Cajon

State

CA

Zip Code

92019-3859

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

10 / 15 / 2014

Transaction ID : A4ECB13290C22454D826

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David ANTHONY Pickler

Mailing Address 2268 Birchton Dr

City

Germantown

State

TN

Zip Code

38139-5251

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pickler Wealth Advisors

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3176.95

Date of Receipt

10 / 15 / 2014

Transaction ID : AB09E61CB87DA48AAA60

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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354.15

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Daniel WALTER Pinkerton

Mailing Address 14701 S Heritage Dr

City

Coeur D Alene

State

ID

Zip Code

83814-8613

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

541.58

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : AE46EB3B3FF4D44A8A2D

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Gregory R. Powell

Mailing Address 505 Russet Bend Dr

City

Hoover

State

AL

Zip Code

35244-4330

FEC ID number of contributing
federal political committee.

C

Name of Employer

fi-Plan Partners

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2708.29

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 15 / 2014

Transaction ID : A831330152A3F44F1810

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Andrew Andrew Putterman

Mailing Address 6603 Glenbrook Road

City

Chevy Chase

State

MD

Zip Code

20815-6514

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Chairman Emeritus

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 10 / 2014

Transaction ID : A97A6CC640B334E5BBDD

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

270.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Christopher P Reddington

Mailing Address 6697 Mahogany Ct

City

Liberty Township

State

OH

Zip Code

45044-8894

FEC ID number of contributing
federal political committee.

C

Name of Employer

Stevens Investment Group, Inc

Occupation

Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : ADAA5BB1A9D404A5E9A6

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David Reich

Mailing Address 7995 Entrada De Luz West

City

San Diego

State

CA

Zip Code

92127-2512

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Head of Retirement Ptnrs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

10 / 10 / 2014

Transaction ID : A3167FC2B632847CC9FF

Amount of Each Receipt this Period

104.17

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Lee Richardson

Mailing Address 14823 Asheton Creek Dr

City

Charlotte

State

NC

Zip Code

28273-3448

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 10 / 2014

Transaction ID : A2BA4F307AEB64006BF4

Amount of Each Receipt this Period

41.67

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

187.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Carol L. Rogers

Mailing Address 1306 Conway Oaks Dr

City State Zip Code
 Chesterfield MO 63017-1958

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Rogers & Company, A Wealth Management

Occupation
 President, CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

708.22

Date of Receipt

10 / 15 / 2014

Transaction ID : AB890F243EFC46589C4

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Philip Philip Rosplock

Mailing Address 6822 Williams Road

City State Zip Code
 Concord OH 44077-9513

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Lpl Financial Llc

Occupation
 VP, Trust Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 10 / 2014

Transaction ID : AD5CB137ECC6E4BF7B68

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Arthur R. Salomon

Mailing Address 459 Woodlake Way

City State Zip Code
 Lexington KY 40502-2535

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Salomon & Co.

Occupation
 Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

10 / 15 / 2014

Transaction ID : A930CEC899168498FBF6

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

112.50

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Dan Schuck

Mailing Address 10370 Crosscreek Terrace

City State Zip Code
San Diego CA 92131-1341

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1900.00

Date of Receipt

10 / 10 / 2014

Transaction ID : A39DD952058EB4C40A1D

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$100.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Charles Schwab

Mailing Address 3501 Lone Hill Lane

City State Zip Code
Encinitas CA 92024-7276

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 10 / 2014

Transaction ID : A4CA0F46726E646AB9FC

Amount of Each Receipt this Period

41.67

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Thomas Seeburger

Mailing Address 423 South Nevada Street

City State Zip Code
Oceanside CA 92054-4038

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 10 / 2014

Transaction ID : AD92D219DCED94739A22

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

162.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Jim Shorris

Mailing Address 19 Kingsbury Street

City

Wellesley Hills

State

MA

Zip Code

02481-3504

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 10 / 2014

Transaction ID : AC2A2FECB8D5449669F8

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. John FRED Shrewsbury

Mailing Address 1205 Cambridge Cir

City

Bryant

State

AR

Zip Code

72019-2387

FEC ID number of contributing
federal political committee.

C

Name of Employer

GenWealth Financial Advisors

Occupation

Managing Partner

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 15 / 2014

Transaction ID : A186FF7FC56144E37A3C

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. John Simone

Mailing Address 500 W Office Center

City

Fort Washington

State

PA

Zip Code

19034-3215

FEC ID number of contributing
federal political committee.

C

Name of Employer

Secure Planning Group LLC

Occupation

Managing Partner

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 15 / 2014

Transaction ID : A6C3AB765BEEE46B295B

Amount of Each Receipt this Period

25.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

66.66

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Adam Sokolic

Mailing Address 5125 Marlborough Dr.

City State Zip Code
 San Diego CA 92116-4909

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Retirement Partners

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 10 / 2014

Transaction ID : A2432550375F84B85BA2

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Greg ROBERT Solis

Mailing Address 49335 Avenida Fernando

City State Zip Code
 La Quinta CA 92253-2744

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 15 / 2014

Transaction ID : A5DD8212FF7FB4A868B2

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. James James Sorey

Mailing Address 13523 Robert Walker Dr

City State Zip Code
 Davidson NC 28036-6008

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 10 / 2014

Transaction ID : A1C982101515B47FC93D

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

124.99

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Gary M Stanislawski

Mailing Address 2992 E 78th St

City

Tulsa

State

OK

Zip Code

74136-8732

FEC ID number of contributing
federal political committee.

C

Name of Employer

Regent Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

10 / 15 / 2014

Transaction ID : A48BD3DCCAD7147748B5

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Katherine SOBHI Stellflue

Mailing Address 10572 Villa Del Cerro

City

Santa Ana

State

CA

Zip Code

92705-1486

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pence Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

10 / 15 / 2014

Transaction ID : AF688D2B0B56A4C69A54

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Kevin PETER Supka

Mailing Address 1408 Stocton Rd

City

Jenkintown

State

PA

Zip Code

19046-1131

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3166.54

Date of Receipt

10 / 15 / 2014

Transaction ID : ABAE5416CB5DD435D92D

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

458.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Todd Tharp

Mailing Address 6209 Beaumont

City

La Jolla

State

CA

Zip Code

92037-4838

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 10 / 2014

Transaction ID : A99AA99EDCBAE460E86F

Amount of Each Receipt this Period

41.67

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Gregory HORACE Tobey

Mailing Address 6 De Soto Way

City

Trabuco Canyon

State

CA

Zip Code

92679-3600

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

10 / 15 / 2014

Transaction ID : ADD6E6659DFD04DBB922

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Thomas MICHAEL Tye

Mailing Address 1154 Stirling Dr

City

Danville

State

KY

Zip Code

40422-2710

FEC ID number of contributing
federal political committee.

C

Name of Employer

Tye Financial group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A0E185B70764248DEA41

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

145.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Joan MARIE Valenti

Mailing Address 6 Morgan Pl

City

Unionville

State

CT

Zip Code

06085-1178

FEC ID number of contributing
federal political committee.

C

Name of Employer

Valenti Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

10 / 15 / 2014

Transaction ID : A938BE968B0304520BA4

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Steven LEE Van Houten

Mailing Address 1428 Calle Christopher

City

Encinitas

State

CA

Zip Code

92024-5520

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

10 / 15 / 2014

Transaction ID : A1E8629EE992C493FB2F

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Kenneth WILLIAM Van Leeuwen

Mailing Address 1010 Barclay Blvd

City

Princeton

State

NJ

Zip Code

08540-5883

FEC ID number of contributing
federal political committee.

C

Name of Employer

Van Leeuwen & Company

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2266.13

Date of Receipt

10 / 15 / 2014

Transaction ID : A78B143C1CA5D4275B02

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

383.33

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Judy ANN Vanarsdale

Mailing Address 22216 W Chestnut Ridge Rd

City State Zip Code
Kildeer IL 60047-3052

FEC ID number of contributing
federal political committee.

C

Name of Employer
enRich Private Wealth Management

Occupation
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

10 / 15 / 2014

Transaction ID : A6B343B2FE2154948856

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Sandeep Varma

Mailing Address 688 Camino El Dorado

City State Zip Code
Encinitas CA 92024-3822

FEC ID number of contributing
federal political committee.

C

Name of Employer
ATS Advanced Trustee Strategies

Occupation
ATS Wealth Strategist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

541.58

Date of Receipt

10 / 15 / 2014

Transaction ID : A5F48836796C54C259AD

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Scott Vock

Mailing Address 2503 Roswell Avenue

City State Zip Code
Charlotte NC 28209-1659

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation
VP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 10 / 2014

Transaction ID : AC98D198F71AF4A70835

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

83.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Wayne Von Borstel

Mailing Address 1603 Morton St

City

The Dalles

State

OR

Zip Code

97058-4006

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

financial planner

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

10 / 15 / 2014

Transaction ID : A5CEC3BE341E94046A9D

Amount of Each Receipt this Period

208.33

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Wayne DAVID Wagner

Mailing Address 8 Leap Dr

City

Pennsville

State

NJ

Zip Code

08070-1117

FEC ID number of contributing
federal political committee.

C

Name of Employer

Vizionary Wealth Management

Occupation

President

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

10 / 15 / 2014

Transaction ID : A8B3824AC10DD44CC8C5

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael JAMES Ward

Mailing Address 1491 Berne-Altamont Rd

City

Albany

State

NY

Zip Code

12209-0000

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A99B97B3058174AB2A0C

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

354.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Jeffrey Warren

Mailing Address 7137 Sherbourne Lane

City State Zip Code
 San Diego CA 92129-4655

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Service

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

570.00

Date of Receipt

10 / 10 / 2014

Transaction ID : AEC007620C7AD4AB9951

Amount of Each Receipt this Period

30.00

Payroll Deduction: \$30.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Stephen H Wedel

Mailing Address 13350 Buckland Hall Rd

City State Zip Code
 Saint Louis MO 63131-1214

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

10 / 15 / 2014

Transaction ID : AE8E6F118D4B94B84903

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael C. F. Williams

Mailing Address 15 Ivy Ln

City State Zip Code
 Chester Springs PA 19425-3316

FEC ID number of contributing
federal political committee.

C

Name of Employer

First Financial Networks. Inc

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

10 / 15 / 2014

Transaction ID : AFBDF67466EC24E2BAF4

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

217.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Andrew WILLIAM Williams

Mailing Address 1691 Burris Dr

City State Zip Code
 El Cajon CA 92019-3596

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2014

Transaction ID : A79EC670C9DEF47D3BEB

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Warren DAVID Wise

Mailing Address 437 Wedgewood Ct

City State Zip Code
 Eden NC 27288-2841

FEC ID number of contributing
federal political committee.

C

Name of Employer

Market St. Wealth Management Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

10 / 15 / 2014

Transaction ID : A3F76561EB36E42C9B21

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Cary ANN Wong

Mailing Address 23015 E Colt Ln

City State Zip Code
 Liberty Lake WA 99019-8520

FEC ID number of contributing
federal political committee.

C

Name of Employer

Wong Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.57

Date of Receipt

10 / 15 / 2014

Transaction ID : A0C8404C53C4F438D95D

Amount of Each Receipt this Period

41.67

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Gregory M. Woods

Mailing Address 116 Pembroke Street

City State Zip Code
Boston MA 02118-1208

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 10 / 2014

Transaction ID : A6448C7478CE047B294D

Amount of Each Receipt this Period

41.67

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert ALAN Young

Mailing Address 14504 County Road 96B

City State Zip Code
Woodland CA 95695-9221

FEC ID number of contributing
federal political committee.

C

Name of Employer

Main Street Wealth Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

10 / 15 / 2014

Transaction ID : A4A6EAF30AFA54001B5C

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Mark G Zitzelsberger

Mailing Address 1048 Clubhouse Dr

City State Zip Code
Weidman MI 48893-9339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Total Investment Planners, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2014

Transaction ID : A196D6508BBCC42809B8

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

104.16

TOTAL This Period (last page this line number only)..... ►

8666.99

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MCHENRY FOR CONGRESS

Mailing Address PO BOX 1406

City HICKORY	State NC	Zip Code 28603
-----------------	-------------	-------------------

Purpose of Disbursement
NC US House

Candidate Name

Rep. Patrick T. McHenry

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
----------------	--------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------

State: NC District: 10

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : BF5825E713DA243FF8E8

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. SCOTT GARRETT FOR CONGRESS

Mailing Address P.O. Box 905

City Newton	State NJ	Zip Code 07860
----------------	-------------	-------------------

Purpose of Disbursement
NJ US House

Candidate Name

Rep. Scott Garrett

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
----------------	--------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------

State: NJ District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		15		2014

Transaction ID : BD192956013A543428BD

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. TOM REED FOR CONGRESS

Mailing Address PO BOX 391

City Geneva	State NY	Zip Code 14456-0391
----------------	-------------	------------------------

Purpose of Disbursement
NY US House

Candidate Name

Rep. Tom W. Reed II

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2014 <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
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State: NY District: 23

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		01		2014

Transaction ID : BA679C1FB79994198AFB

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00

3000.00
