

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐ Check if different than previously reported. (ACC)

Washington

DC

20004-2608

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00033969

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☒ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Daniel P. Casserly

Signature of Treasurer

Daniel P. Casserly

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
11 / 27 / 2012 To: M M / D D / Y Y Y Y Y Y  
12 / 31 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2012</span>		<span style="border: 1px solid black; padding: 2px;">217107.27</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">157945.25</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">54525.12</span>	<span style="border: 1px solid black; padding: 2px;">336993.67</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">212470.37</span>	<span style="border: 1px solid black; padding: 2px;">554100.94</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">9669.98</span>	<span style="border: 1px solid black; padding: 2px;">351300.55</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">202800.39</span>	<span style="border: 1px solid black; padding: 2px;">202800.39</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	1		2	7		2	0	1	2

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	2		3	1		2	0	1	2

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	30790.84	122239.85
(ii) Unitemized .....	23734.28	209753.82
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	54525.12	331993.67
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ..... ►	54525.12	331993.67
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	5000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ►	54525.12	336993.67
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ..... ►	54525.12	336993.67

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	169.98	877.83
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	169.98	877.83
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	9500.00	317000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	97.72
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	97.72
29. Other Disbursements .....	0.00	33325.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	9669.98	351300.55
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(iii) from Line 31).....	9669.98	351300.55

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	54525.12	331993.67
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	97.72
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	54525.12	331895.95
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	169.98	877.83
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	169.98	877.83

: 97 `A-G79 @G B9CI G`H9LH`F9 @H98 `HC`5 `F9DCFHŽG7 <98I @`CF`+H9A-N5HCB  
.

Form/Schedule: F3XN

Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule:

Transaction ID:

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Emad E Abdelnaby**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Assoc Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

234.60

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415773**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Emad E Abdelnaby**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Assoc Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.06

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700281**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Robert E Ackerman Jr.**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Head Business & Admin Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416185**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.77

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert E Ackerman Jr.**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Business & Admin Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699179**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Robin D Adelstein**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Tax Law

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416715**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Robin D Adelstein**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Tax Law

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699474**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

80.78



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER:  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kim R Alford**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415940**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Kim R Alford**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699824**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Antonio Anderson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416704**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Antonio Anderson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

Transaction ID : A2012-2699180

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Renee H Anderson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Diversity

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

Transaction ID : A2012-2416317

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Renee H Anderson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Diversity

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

Transaction ID : A2012-2699765

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional)..... ►

58.46

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 11 OF 226

(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John P Andrews

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Manager eCompliance

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

Transaction ID : A2012-2415799

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. John P Andrews

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Manager eCompliance

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

Transaction ID : A2012-2700213

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Gary J Appio

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir US Safety/Risk

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

Transaction ID : A2012-2416178

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional)..... ►

99.25

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Gary J Appio**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir US Safety/Risk

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699184**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Felipe Arenas**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416476**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Felipe Arenas**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700141**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.85

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brad Arlett**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Director BIM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416805**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Brad Arlett**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Director BIM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699427**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Andrew Arline**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Multicultural Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416477**

Amount of Each Receipt this Period

41.55

**SUBTOTAL** of Receipts This Page (optional)..... ►

99.25

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew Arline**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Multicultural Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700103**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Marc W Bacon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416481**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Marc W Bacon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699187**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.85

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeanne E Baker

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	30	/	2012

Transaction ID : A2012-2415994

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Jeanne E Baker

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2012

Transaction ID : A2012-2700016

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

c. Christopher Bangs

Mailing Address One Health Plaza  
Ste 725

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines &amp; Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

223.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	30	/	2012

Transaction ID : A2012-2415729

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

73.84

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Christopher Bangs**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.52

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700243**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

## **B. Michael Banko**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
IT Service Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416257**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

## **C. Michael Banko**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
IT Service Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

577.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699428**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

110.78



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David M Barbour**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regl Scientific Asc Dir

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

Transaction ID : A2012-2416777

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**B. David M Barbour**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regl Scientific Asc Dir

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

Transaction ID : A2012-2699538

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**C. Michael C Barninger**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Development Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

Transaction ID : A2012-2416485

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

258.44

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael C Barninger**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Development Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700090**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Tracy L Baroni Allmon**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Public Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416807**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**C. Tracy L Baroni Allmon**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Public Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699605**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.21

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew R Bayard**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416105**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Andrew R Bayard**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700018**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Antonio M Benitz**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

VP R&D North America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416896**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Antonio M Benitz**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

VP R&D North America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2697381**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Ted R Benson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415780**

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

**C. Ted R Benson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

390.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700215**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

93.46

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Sheila Bhattacharya**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. AD-National Accounts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416305**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **B. Sheila Bhattacharya**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. AD-National Accounts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699973**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **C. Darrell E Biggs**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Lead Analyst Business System

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415781**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

92.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Darrell E Biggs**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Lead Analyst Business System

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700201**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Kathleen V Bishop**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

306.93

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415872**

Amount of Each Receipt this Period

38.49

Full Name (Last, First, Middle Initial)

**C. Kathleen V Bishop**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.59

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699890**

Amount of Each Receipt this Period

25.66

**SUBTOTAL** of Receipts This Page (optional)..... ►

87.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Edward J Blair**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Product Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416174**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Edward J Blair**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Product Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700003**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Lisa M Blizzard**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Customer Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416489**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lisa M Blizzard**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Customer Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700060**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Peri K Bonner**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Regl Accts Proj

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

667.86

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416040**

Amount of Each Receipt this Period

83.88

Full Name (Last, First, Middle Initial)

**C. Peri K Bonner**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Regl Accts Proj

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

723.78

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699645**

Amount of Each Receipt this Period

55.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

162.88



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Troy L Borill**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

422.47

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416415**

Amount of Each Receipt this Period

54.19

Full Name (Last, First, Middle Initial)

**B. Troy L Borill**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

459.07

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699798**

Amount of Each Receipt this Period

36.60

Full Name (Last, First, Middle Initial)

**C. Daniel S Bortfeld**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Staffing Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416002**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

125.41

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Daniel S Bortfeld**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Staffing Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699196**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Karen M Boyajian**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

243.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416015**

Amount of Each Receipt this Period

30.45

Full Name (Last, First, Middle Initial)

**C. Karen M Boyajian**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

263.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699805**

Amount of Each Receipt this Period

20.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.83

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 27 OF 226

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Leonard J Brandt**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Business Plan'g & Analysis

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416245**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Leonard J Brandt**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Business Plan'g & Analysis

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699197**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Ellen M Browne**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416295**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

184.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 28 OF 226

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Ellen M Browne**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699199**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Lucinda A Bruere**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415961**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Lucinda A Bruere**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699200**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

103.86

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 29 OF 226

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas R Brunner**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Systems Planning and

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416495**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Thomas R Brunner**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Systems Planning and

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699430**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Matthew H Buckley**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Federal Acct Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415811**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

143.09

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew H Buckley**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Dir Federal Acct Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699202**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Bo Bujnowski**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

204.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699827**

Amount of Each Receipt this Period

15.70

Full Name (Last, First, Middle Initial)

**C. John S Burke**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416262**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

61.85

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John S Burke**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699203**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Richard Burns**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2415860**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**C. Richard Burns**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699470**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

87.71

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steven J Busch**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416714**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Steven J Busch**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699205**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Timothy S Byler**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416110**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.77

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 33 OF 226

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Timothy S Byler**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699675**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Lindsay R Callaghan**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Business Relationship Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416442**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Lindsay R Callaghan**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Business Relationship Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700115**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

69.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Todd D Cantin**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416029**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Todd D Cantin**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699207**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. James P Carey**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1156.88

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416374**

Amount of Each Receipt this Period

145.32

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

191.47

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 35 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James P Carey**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Services Incorporated

Occupation  
 VP Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1253.76

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699813**

Amount of Each Receipt this Period

96.88

Full Name (Last, First, Middle Initial)

**B. Kevin M Carl**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Dir Strategic Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

219.26

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416498**

Amount of Each Receipt this Period

11.54

Full Name (Last, First, Middle Initial)

**C. Kevin M Carl**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Dir Strategic Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.80

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699471**

Amount of Each Receipt this Period

11.54

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

119.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Jeffrey L Carlyle**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

Transaction ID : A2012-2415840

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Jeffrey L Carlyle**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

Transaction ID : A2012-2699208

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. James L Carrico**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

Transaction ID : A2012-2415989

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional)..... ►

87.70

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James L Carrico**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Senior District Manager

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699209**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Daniel P Casserly**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Services Incorporated VP Fed'l Govt Rel

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3323.04

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416060**

Amount of Each Receipt this Period

415.38

Full Name (Last, First, Middle Initial)

**C. Daniel P Casserly**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Services Incorporated VP Fed'l Govt Rel

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3599.96

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699210**

Amount of Each Receipt this Period

276.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

720.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Cynthia C Cetani**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Ethics & Compliance Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416500**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Cynthia C Cetani**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Ethics & Compliance Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699211**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Atindra N Chaturvedi**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416184**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

184.64

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Atindra N Chaturvedi**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699212**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Barbara Christensen-Boner**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

636.89

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415892**

Amount of Each Receipt this Period

79.89

Full Name (Last, First, Middle Initial)

**C. Barbara Christensen-Boner**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

690.15

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699727**

Amount of Each Receipt this Period

53.26

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

179.31

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Charles J Ciccio**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416501**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Charles J Ciccio**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699215**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Matthew D Civiello**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416707**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Matthew D Civiello**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699216

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Martin R Clark**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416706

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**c. Martin R Clark**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699217

Amount of Each Receipt this Period

18.46

SUBTOTAL of Receipts This Page (optional)..... ►

64.61

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christina M Clinton**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Director Internal Comm

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4576.00

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416691**

Amount of Each Receipt this Period

416.00

Full Name (Last, First, Middle Initial)

**B. Christina M Clinton**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Director Internal Comm

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4992.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699513**

Amount of Each Receipt this Period

416.00

Full Name (Last, First, Middle Initial)

**C. Julie A Collins**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Director Digital Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416871**

Amount of Each Receipt this Period

138.45

**SUBTOTAL** of Receipts This Page (optional)..... ►

970.45

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Julie A Collins**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Director Digital Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2694819**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Matt Compton**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415978**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**c. Matt Compton**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699220**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

138.45

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William W Conkling

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416506

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. William W Conkling

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699221

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

C. Michael A Conley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exe Dir Account Mgmt

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416124

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

115.39

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Michael A Conley**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exe Dir Account Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699222**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

## **B. Burt Cook**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416354**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

## **C. Burt Cook**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699837**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

92.31

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Seth Coombs**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Assoc Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1115.30

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415756**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**B. Seth Coombs**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Assoc Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1207.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700223**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**C. Mary E Corcoran**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416507**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

258.44

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mary E Corcoran**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700149**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Rhonda N Crichlow**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Ex Dir Advocacy & Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416665**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Rhonda N Crichlow**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Ex Dir Advocacy & Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699224**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

76.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas G Cullen**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Advisor Scientific

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415793**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Thomas G Cullen**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Advisor Scientific

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700210**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Richard D'Addabbo**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Product Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

216.06

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699897**

Amount of Each Receipt this Period

16.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

74.32



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William E Darnall**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1214.65

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415714**

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

**B. William E Darnall**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1314.65

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700225**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Spencer E Davidson**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415980**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

277.69

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Spencer E Davidson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699900**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

## **B. Timothy K De Valroger**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416511**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

## **C. Timothy K De Valroger**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700120**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. R. Deck**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Director Government Contracts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415766**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. R. Deck**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Director Government Contracts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700226**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Clint D Degner**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Dir Reg Strat & Bus Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415942**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Clint D Degner**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Reg Strat & Bus Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699228**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **B. Donald W DeGolyer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

President & Reg. Head Comm Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415779**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

## **C. Donald W DeGolyer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

President & Reg. Head Comm Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700194**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

138.48

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joanne Del Rio**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Property Management Advisor

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416215**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Joanne Del Rio**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Property Management Advisor

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699722**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Lynne M DeLisi**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Pharmaceutical Counsel

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416694**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

92.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lynne M DeLisi**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Pharmaceutical Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699483**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Randal Dias**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Project Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416197**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Randal Dias**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Project Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699232**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.78

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Candace B Dibblee**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Asc Dir Fed'l Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2769.12

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416309**

Amount of Each Receipt this Period

346.14

Full Name (Last, First, Middle Initial)

## **B. Candace B Dibblee**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Asc Dir Fed'l Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699432**

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

## **C. Anthony Diorio**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

District Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415776**

Amount of Each Receipt this Period

45.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

621.90

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Anthony Diorio**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 District Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700287**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Robert C Dobler**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416464**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**c. Robert C Dobler**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699235**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

76.15

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Christos Dogas**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Asc Dir Auditing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416733**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **B. Christos Dogas**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Asc Dir Auditing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699523**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **C. Annette Donahey**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415985**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 58 OF 226

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Annette Donahey**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699236

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. David P Drake**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416183

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**C. David P Drake**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699746

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

138.48

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David M Eberenz Jr.**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416100**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**B. David M Eberenz Jr.**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699238**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**C. James R Elkin**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Head US Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4608.00

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415926**

Amount of Each Receipt this Period

576.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

645.25

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James R Elkin**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Head US Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4992.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699240**

Amount of Each Receipt this Period

384.00

Full Name (Last, First, Middle Initial)

**B. David N Elsasser**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415858**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**C. David N Elsasser**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699479**

Amount of Each Receipt this Period

28.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

454.20

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael R Emch**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415833**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. Michael R Emch**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699241**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. David R Epstein**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Head Pharma AG

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416221**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

170.20

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David R Epstein**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Head Pharma AG

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699625**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Christopher Esposito**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

830.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416398**

Amount of Each Receipt this Period

103.86

Full Name (Last, First, Middle Initial)

**C. Christopher Esposito**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.12

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699244**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

273.10

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Judith J Ewalt**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416075**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Judith J Ewalt**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699247**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Philip L Faulkner**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416645**

Amount of Each Receipt this Period

9.23

**SUBTOTAL** of Receipts This Page (optional)..... ►

66.93

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Thomas S Fellers**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Medl Head Operating Unit

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416314**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

## **B. Thomas S Fellers**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Medl Head Operating Unit

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699250**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

## **C. Raymond Flynn**

Mailing Address 350 Massachusetts Avenue  
350 MA # 232G

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Dir Distribution

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.05

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415710**

Amount of Each Receipt this Period

13.85

**SUBTOTAL** of Receipts This Page (optional)..... ►

129.25

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Raymond Flynn**

Mailing Address 350 Massachusetts Avenue  
350 MA # 232G

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Dir Distribution

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

223.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700227**

Amount of Each Receipt this Period

13.85

Full Name (Last, First, Middle Initial)

## **B. James E Foley**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Principle MSE (Non-MD)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416297**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **C. James E Foley**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Principle MSE (Non-MD)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699665**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

71.55

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 66 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew T Footo**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416360**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Andrew T Footo**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699800**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Matthew C Foster**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416068**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.77

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew C Foster**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699254**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Anna M Frable**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 VP US Pharma Communications

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416211**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Anna M Frable**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 VP US Pharma Communications

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699255**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

69.23

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Steven Franchetti**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Director Integrity & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415755**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **B. Steven Franchetti**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Director Integrity & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700282**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **C. Patrick G Francke**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416699**

Amount of Each Receipt this Period

42.12

**SUBTOTAL** of Receipts This Page (optional)..... ►

99.82

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Patrick G Francke**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699507**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

## **B. H. P Frederick**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

616.70

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416114**

Amount of Each Receipt this Period

77.49

Full Name (Last, First, Middle Initial)

## **C. H. P Frederick**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

668.36

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699256**

Amount of Each Receipt this Period

51.66

**SUBTOTAL** of Receipts This Page (optional)..... ►

157.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kathryn M Freeborn**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415912**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Kathryn M Freeborn**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699958**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Debra E Freire**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Advocacy & Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416255**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

115.39

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Debra E Freire**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Advocacy & Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699756**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

## **B. Neely T Frye**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1413.65

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416140**

Amount of Each Receipt this Period

177.48

Full Name (Last, First, Middle Initial)

## **C. Neely T Frye**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1531.97

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700161**

Amount of Each Receipt this Period

118.32

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

341.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steven Gabor**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Managing RVBL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.50

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415764**

Amount of Each Receipt this Period

52.50

Full Name (Last, First, Middle Initial)

**B. Steven Gabor**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Managing RVBL

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

367.50

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700228**

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

**C. Jill H Gaither**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416316**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

122.12

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jill H Gaither**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699894**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Edward G Gajewski**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415993**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Edward G Gajewski**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699974**

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

63.08

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Albert Galloza**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Lab Technician

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415854**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Albert Galloza**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Lab Technician

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

260.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699624**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**c. Martin J Gargiule**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director BPA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415784**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

84.62

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Martin J Gargiule**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director BPA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700196**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **B. Parameswar Garimella**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Assoc Dir Information Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415771**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **C. Parameswar Garimella**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Assoc Dir Information Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700217**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

80.78

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Melissa F Gifford**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416518**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Melissa F Gifford**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699262**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Erwin A Gomez Valladares**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Latin America Service

Occupation

Dir Strategic Sourcing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1061.45

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699265**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

138.45

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Roger K Gravitte**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

NA Head of Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416853

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. Roger K Gravitte**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

NA Head of Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2698710

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Kris Grzegorzewski**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Onco Sr Dir Clinical Res Phys

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416810

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

104.82

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kris Grzegorzewski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Onco Sr Dir Clinical Res Phys

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699268**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Joseph M Guidi**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416788**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**c. Joseph M Guidi**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699269**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

69.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph Guido**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416524**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Joseph Guido**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700131**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. David E Gulick**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Customer Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415919**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

119.24

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David E Gulick**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Dir Customer Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699809**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Joseph A Gunning**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415863**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**c. Joseph A Gunning**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699270**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

103.86



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Kurt Habel**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Ops Research

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416180**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

## **B. Kurt Habel**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Ops Research

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699956**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

## **C. James P Hafner**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II - MS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416834**

Amount of Each Receipt this Period

41.55

**SUBTOTAL** of Receipts This Page (optional)..... ►

156.95

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James P Hafner**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II - MS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699272**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Laura A Hagan**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Expert CTH (nonMD)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416802**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**c. Laura A Hagan**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Expert CTH (nonMD)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699273**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

85.40

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas Halbur**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Director Research & Dvlpmnt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416888**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Thomas Halbur**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Director Research & Dvlpmnt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2697373**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Philip D Hall**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Sr Division Manager - SRG

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2819887**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

107.70

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sarah E Haller**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP International Public Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416122**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Sarah E Haller**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP International Public Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699622**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Thomas E Harper**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416059**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

143.09

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas E Harper**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699275**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Veronica Harris**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Operations Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416795**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Veronica Harris**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Operations Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699590**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

76.16

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sherri J Hatch**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regl Scientific Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416529**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Sherri J Hatch**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regl Scientific Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700058**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Kathy-Jo B Hayden**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Public Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416144**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

80.77

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 87 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kathy-Jo B Hayden**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Public Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699276**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Joseph P Hazelton**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Customer Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

471.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416164**

Amount of Each Receipt this Period

59.55

Full Name (Last, First, Middle Initial)

**C. Joseph P Hazelton**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Customer Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

511.30

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699278**

Amount of Each Receipt this Period

39.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

122.33

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 88 OF 226

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Richard Heddens**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

223.06

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2415722

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Richard Heddens**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.52

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2700239

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**c. David N Hering**

Mailing Address 350 Massachusetts Avenue  
350 MA # 234D

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2415711

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

80.77

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. David N Hering**

Mailing Address 350 Massachusetts Avenue  
350 MA # 234D

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700229**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **B. William S Higgins**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416086**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

## **C. William S Higgins**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699721**

Amount of Each Receipt this Period

28.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

93.28

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Robert J Hilkert**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Clinical Research Phys

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
11			30			2012					

Transaction ID : A2012-2416745

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Robert J Hilkert**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Clinical Research Phys

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12			31			2012					

Transaction ID : A2012-2699282

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. John A Hohneker**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Franchise Head IHC

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
11			30			2012					

Transaction ID : A2012-2416207

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

140.40

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John A Hohneker**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Franchise Head IHC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699953**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. William C Hokanson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416071**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**C. William C Hokanson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699284**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

94.25

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Herve J Hoppenot**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head BU Oncology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416534**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Herve J Hoppenot**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head BU Oncology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699162**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Otto C Horstmann II**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Employee Benefits

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416283**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

150.02

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Otto C Horstmann II II**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Employee Benefits

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699846**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Cyrus M Hoseyni**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IIS Global Franchise Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416817**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Cyrus M Hoseyni**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IIS Global Franchise Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699163**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.78

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kirk R Huber**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir IT QA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416206**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Kirk R Huber**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir IT QA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699286**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Karen A Jacobs**

Mailing Address 4560 Horton Street

City

Emeryville

State

CA

Zip Code

94608-2916

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Marketing Science

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

443.04

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416237**

Amount of Each Receipt this Period

55.38

**SUBTOTAL** of Receipts This Page (optional)..... ►

101.53

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Karen A Jacobs**

Mailing Address 4560 Horton Street

City State Zip Code  
Emeryville CA 94608-2916

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Director Marketing Science

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.96

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699291**

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

**B. Mary A Jarema**

Mailing Address 4560 Horton Street

City State Zip Code  
Emeryville CA 94608-2916

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Dir New Products

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416378**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**c. Mary A Jarema**

Mailing Address 4560 Horton Street

City State Zip Code  
Emeryville CA 94608-2916

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Dir New Products

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699439**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.07

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Edgar L Jarvis**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

387.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415967**

Amount of Each Receipt this Period

48.45

Full Name (Last, First, Middle Initial)

**B. Edgar L Jarvis**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

419.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699294**

Amount of Each Receipt this Period

32.30

Full Name (Last, First, Middle Initial)

**C. Scott R Jauch**

Mailing Address 508 Ellworth Dr.

City State Zip Code  
 Silver Spring MD 20910

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Director Government Affair

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415777**

Amount of Each Receipt this Period

75.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

155.75



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Scott R Jauch**

Mailing Address 508 Ellworth Dr.

City State Zip Code  
Silver Spring MD 20910

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Director Government Affair

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700288**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Kathryn E Jennings**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416539**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Kathryn E Jennings**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700042**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

96.15

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Johngrass**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416778**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. James Johngrass**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699537**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Bruce S Johnson**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415839**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 99 OF 226

(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bruce S Johnson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699295

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Heather L Johnson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416865

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Heather L Johnson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2694813

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

64.61

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sara Johnson-Davis**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416433**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Sara Johnson-Davis**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699844**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Mark A Joines**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416044**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Mark A Joines**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

Transaction ID : A2012-2699297

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Gregory Jones**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

Transaction ID : A2012-2415979

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Gregory Jones**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

Transaction ID : A2012-2699298

Amount of Each Receipt this Period

18.46

SUBTOTAL of Receipts This Page (optional)..... ►

64.61

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert W Jones**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Head International AH

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416890**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Robert W Jones**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Head International AH

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2697375**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Deborah Juterbock**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Director Knowledge Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416395**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Deborah Juterbock**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Director Knowledge Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699166**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Tawfik Kamal**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Oncology BU Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416230**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C. Tawfik Kamal**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Oncology BU Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699954**

Amount of Each Receipt this Period

80.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

143.08

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bella B Karbachinskaya**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Ops Research

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416387**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Bella B Karbachinskaya**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Ops Research

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699975**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Erik L Karlsons**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416544**

Amount of Each Receipt this Period

41.55

**SUBTOTAL** of Receipts This Page (optional)..... ►

99.25

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Erik L Karlsons**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699300**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Chandraprakash Kasireddy**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Sandoz Inc.

Occupation  
Sr. Manager Preformulation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2415790**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**c. Chandraprakash Kasireddy**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Sandoz Inc.

Occupation  
Sr. Manager Preformulation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700197**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.85

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Claudia Kelly**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Exec Reg Vaccines Business Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415757**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Claudia Kelly**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Exec Reg Vaccines Business Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700280**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Nicole Kendrick**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

National Account Sr. Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415785**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Nicole Kendrick**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

National Account Sr. Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700207**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **B. Thomas N Kendris**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP & General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415832**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

## **C. Thomas N Kendris**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP & General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699169**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

253.83

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael C Kincaid**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416546**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. Michael C Kincaid**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699302**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Shannon T Klinger**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

VP Legal & IP NA Gen Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2307.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415795**

Amount of Each Receipt this Period

115.38

**SUBTOTAL** of Receipts This Page (optional)..... ►

185.58

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Shannon T Klinger**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

VP Legal & IP NA Gen Counsel

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2422.98

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700198**

Amount of Each Receipt this Period

115.38

Full Name (Last, First, Middle Initial)

**B. Richard E Knapp**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director State Gov'

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2769.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416014**

Amount of Each Receipt this Period

346.14

Full Name (Last, First, Middle Initial)

**C. Richard E Knapp**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director State Gov'

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699644**

Amount of Each Receipt this Period

230.76

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

692.28

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mildred O Kowalski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Marketing Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416383**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Mildred O Kowalski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Marketing Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699762**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Robert W Kowalski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

SVP & Global Head DRA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416811**

Amount of Each Receipt this Period

138.45

**SUBTOTAL** of Receipts This Page (optional)..... ►

253.85

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert W Kowalski**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

SVP & Global Head DRA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699171**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Joseph M Kulak**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Hlth Sfty & Environ

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416547**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Joseph M Kulak**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Hlth Sfty & Environ

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699306**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

138.45

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Keith A LaDue**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP IT Division Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416170**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Keith A LaDue**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP IT Division Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

369.28

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699164**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Steven D Lau**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416003**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.78

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steven D Lau**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700022**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Shawn Laverty**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416191**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Shawn Laverty**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699848**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

69.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Leigh A Leas**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Public Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416671**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. Leigh A Leas**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Public Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700097**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Christian Lease**

Mailing Address 350 Massachusetts Avenue

350 MA # 212E

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Immunization Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415707**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

104.82

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Christian Lease**

Mailing Address 350 Massachusetts Avenue  
 350 MA # 212E

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Immunization Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

Transaction ID : A2012-2700241

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Richard E Lemire**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Head of Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1019.31

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

Transaction ID : A2012-2415809

Amount of Each Receipt this Period

127.86

Full Name (Last, First, Middle Initial)

**C. Richard E Lemire**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Head of Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1104.55

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

Transaction ID : A2012-2699307

Amount of Each Receipt this Period

85.24

SUBTOTAL of Receipts This Page (optional)..... ►

236.18

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lee C Lensky**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Enterprise Web Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416837**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Lee C Lensky**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Enterprise Web Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699308**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Vinson G Leslie**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416548**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

85.39

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Vinson G Leslie**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700105**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Clement Lewin**

Mailing Address 350 Massachusetts Avenue  
350 MA # 232E

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Immunization Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415709**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Clement Lewin**

Mailing Address 350 Massachusetts Avenue  
350 MA # 232E

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Immunization Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700231**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

76.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Manuel B Litchman**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Business Dev & Licensing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416303**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Manuel B Litchman**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Business Dev & Licensing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699309**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Richard Lloyd**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

CBU Head North America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416851**

Amount of Each Receipt this Period

138.45

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

196.15

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Lloyd**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

CBU Head North America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2698709**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Jeffrey W Lockwood**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

NIBRI

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416300**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**C. Jeffrey W Lockwood**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

NIBRI

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699311**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

207.70

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Konstantine G Lolos**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416266**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. Konstantine G Lolos**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699728**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Scott W Long**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416708**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

97.89

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Scott W Long**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699499**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Michael J Lorenz**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Manager Training

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416441**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Michael J Lorenz**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Manager Training

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700180**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Donald R Losordo**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416299

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Donald R Losordo**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699896

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Frederic D Loveland**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive Director R&D Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416200

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

80.77

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Frederic D Loveland**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director R&D Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699313**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **B. Brenda Luckritz**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

634.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415924**

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

## **C. Brenda Luckritz**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

684.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700014**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

148.08

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David T Mac Askill**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416051**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. David T Mac Askill**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699700**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Joanne K Machalaba**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Compliance & Risk Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416209**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joanne K Machalaba**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Compliance & Risk Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699315**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Kimberly A MacKay**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Pharmaceutical Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416553**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Kimberly A MacKay**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Pharmaceutical Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700068**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.78

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Judith Madsen**

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	30	/	2012

Transaction ID : A2012-2415911

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Judith Madsen**

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2012

Transaction ID : A2012-2699895

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Joseph T Majka**

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Patent Attorney III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	30	/	2012

Transaction ID : A2012-2416176

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

92.32

TOTAL This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph T Majka**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Patent Attorney III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699457**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. John Mandala**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Dir Reg Strat & Bus Plan

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416032**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. John Mandala**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Dir Reg Strat & Bus Plan

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699318**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

69.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Frank Maness**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP HR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416765**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

## **B. Frank Maness**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP HR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699319**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

## **C. Frank Manolios**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416083**

Amount of Each Receipt this Period

45.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

115.20

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Frank Manolios**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

390.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699892**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

## **B. Annette A Mansfield**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Account Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416240**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

## **C. Annette A Mansfield**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Account Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699803**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

76.15

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bryan A Maring**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Area Sales Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416468**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Bryan A Maring**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Area Sales Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699320**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Daniel B Maring**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416725**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Daniel B Maring**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699321**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Kimberly B Marsh**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Business Relationship Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415897**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Kimberly B Marsh**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Business Relationship Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699797**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andre Martins**

Mailing Address 350 Massachusetts Avenue  
350 MA # 276A

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415713**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B. Andre Martins**

Mailing Address 350 Massachusetts Avenue  
350 MA # 276A

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700242**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Teresa Mason**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416826**

Amount of Each Receipt this Period

138.45

**SUBTOTAL** of Receipts This Page (optional)..... ►

178.45

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Teresa Mason**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699323**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Julie Masow**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Director Public Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416786**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Julie Masow**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Director Public Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699597**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William R Matthews**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.72

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416130**

Amount of Each Receipt this Period

60.33

Full Name (Last, First, Middle Initial)

**B. William R Matthews**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

519.94

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699324**

Amount of Each Receipt this Period

40.22

Full Name (Last, First, Middle Initial)

**C. Arthur M Mauceri**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416556**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

135.17

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Arthur M Mauceri**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700189**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Stefanie L Maurer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416307**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**C. Stefanie L Maurer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699828**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.33

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stephen W Mc Laughlin**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II - MS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415958**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Stephen W Mc Laughlin**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II - MS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699332**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**c. John C Mc Partland**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir IT Division Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416557**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.77

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John C Mc Partland**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir IT Division Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699461**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Christopher R McBurney**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Product Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.75

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416558**

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

**c. Christopher R McBurney**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Product Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.21

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699486**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

78.46

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Vivian H McCain**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Unit Lead

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415812**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Vivian H McCain**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Unit Lead

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699326**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Michael P McDermott**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Head of Communications NA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416892**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael P McDermott**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Head of Communications NA

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2697377**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Catharine M McGeehan**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416035**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**C. Catharine M McGeehan**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700089**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

253.83

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Paul J McGinley**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416562**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. Paul J McGinley**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699328**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Edward D McGough**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

SVP Global Mfg & Tech Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2819794**

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

320.20

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Edward D McGough**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

SVP Global Mfg & Tech Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2819914**

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**B. Edward J McKenna**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416731**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**C. Edward J McKenna**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699718**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

319.25

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William D McLaury**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Supply Chain Mngmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416061**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**B. William D McLaury**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Supply Chain Mngmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699333**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**C. Matthew A McNally**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416774**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

258.44

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew A McNally**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699534**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Brian J McNamara**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

OTC Region BU Head Americas

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416850**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**C. Brian J McNamara**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

OTC Region BU Head Americas

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2698711**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.21

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Joshua Meikrantz**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

223.06

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415721**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

## **B. Joshua Meikrantz**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.52

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700232**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

## **C. Gary J Menichini**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
CIBA Vision Corporation

Occupation  
CEG Div Head US Pharma Mkt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416869**

Amount of Each Receipt this Period

75.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

121.15

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Gary J Menichini**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 CIBA Vision Corporation

Occupation  
 CEG Div Head US Pharma Mkt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2694817**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Wayne P Merkelson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Finance Corporation

Occupation  
 VP Tax Law

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

830.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416261**

Amount of Each Receipt this Period

103.86

Full Name (Last, First, Middle Initial)

**C. Wayne P Merkelson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Finance Corporation

Occupation  
 VP Tax Law

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.12

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699336**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

223.10

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Susan J Millard**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

CEG Head HR Americas

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416876**

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

**B. Susan J Millard**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

CEG Head HR Americas

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2694824**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Donald J Miller**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Customer Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416565**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

159.62

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Donald J Miller**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Dir Customer Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700183**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Laurie C Mills**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Clinical Operations

Occupation  
 Clinical Research Asc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416847**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**C. Laurie C Mills**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Clinical Operations

Occupation  
 Clinical Research Asc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699161**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.33

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Moore**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

(FAB) Sr. PSV Swine

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416893**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Michael Moore**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

(FAB) Sr. PSV Swine

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2697378**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Stacey L Moore**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

414.85

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416017**

Amount of Each Receipt this Period

52.05

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

109.75

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stacey L Moore**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

449.55

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699838**

Amount of Each Receipt this Period

34.70

Full Name (Last, First, Middle Initial)

**B. Glenn H Morton**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED IT Risk and Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1743.39

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416160**

Amount of Each Receipt this Period

218.31

Full Name (Last, First, Middle Initial)

**C. Glenn H Morton**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED IT Risk and Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1888.93

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699339**

Amount of Each Receipt this Period

145.54

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

398.55

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Polly L Murphy**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416570

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. Polly L Murphy**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2700077

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Jyun J Nakamura**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

LTC Account Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2416734

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

97.89

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jyun J Nakamura**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

LTC Account Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2699565

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Vasant Narasimhan**

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines &amp; Diagnostics

Occupation

Region Head of North America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1115.30

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

Transaction ID : A2012-2415767

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**C. Vasant Narasimhan**

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines &amp; Diagnostics

Occupation

Region Head of North America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1207.60

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

Transaction ID : A2012-2700219

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

249.21

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Neal**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Meningococcal Market Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

780.82

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415770**

Amount of Each Receipt this Period

96.93

Full Name (Last, First, Middle Initial)

**B. John Neal**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Meningococcal Market Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

845.44

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700234**

Amount of Each Receipt this Period

64.62

Full Name (Last, First, Middle Initial)

**C. Clifford R Neukrug**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416723**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

196.17



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Clifford R Neukrug**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699343**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **B. Christopher T Neurohr**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director National Accounts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415794**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **c. Christopher T Neurohr**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director National Accounts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

288.50

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700209**

Amount of Each Receipt this Period

11.54

**SUBTOTAL** of Receipts This Page (optional)..... ►

69.24

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Laura M Ney**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

212.29

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416052**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Peter Ng**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Project Mgmt Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416265**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Peter Ng**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Project Mgmt Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699631**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. An V Nguyen**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD Sr Application Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416680**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. An V Nguyen**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD Sr Application Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699446**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. James D Nickoli**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416344**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

85.39

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James D Nickoli**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699652**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. James R Niebanck**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416127**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**C. James R Niebanck**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699346**

Amount of Each Receipt this Period

28.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

88.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael A Niesel**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416575**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Michael A Niesel**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700191**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Sharon L Nobles**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415996**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.77

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sharon L Nobles**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699347**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Hugh M O'Dowd**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Latam Region Head Oncology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416019**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**c. Hugh M O'Dowd**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Latam Region Head Oncology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699173**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

138.48

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Shawn O'Neil**

Mailing Address 608 Fifth Avenue

City State Zip Code  
 New York NY 10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416824**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**B. Shawn O'Neil**

Mailing Address 608 Fifth Avenue

City State Zip Code  
 New York NY 10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699617**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**C. Sharon N Olmstead**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP DRA Liaison

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416839**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

299.99

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sharon N Olmstead**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP DRA Liaison

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699349**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. John J Orloff**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Chrm Prdt Stwrdsdp Bd & CMO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416576**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**c. John J Orloff**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Chrm Prdt Stwrdsdp Bd & CMO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699174**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

103.86



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jeffrey S Osmundson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II - MS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415930**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Jeffrey S Osmundson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II - MS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699351**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**c. Craig S Osten**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Corp Plan & Sls Dmnd Anlys

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415838**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

115.39

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Craig S Osten**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals VP Corp Plan & Sis Dmnd Anlys

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699352**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Serafina Oxner**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Ex Dir Strategic Planning

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416279**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**C. Serafina Oxner**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Ex Dir Strategic Planning

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699353**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

161.56

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John M Pakulski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Reg. Affairs-Spec Bi

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415792**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**B. John M Pakulski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Reg. Affairs-Spec Bi

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700202**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**c. Joseph S Palumbo**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Org. Effectiveness

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416238**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

265.37

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph S Palumbo**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Org. Effectiveness

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699447**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Melissa A Parker**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Dir Acct Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416318**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**C. Melissa A Parker**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Dir Acct Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699355**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

92.33

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gregory A Peters**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415999**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **B. Gregory A Peters**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699789**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **C. Bret D Peterson**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416420**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

85.39

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Bret D Peterson**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699717**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

## **B. David Picard**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Sandoz Inc.

Occupation  
VPInstSlsMktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2415788**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **C. David Picard**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Sandoz Inc.

Occupation  
VPInstSlsMktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700199**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

76.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Elizabeth A Pinamonti**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416088**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Elizabeth A Pinamonti**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699850**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Elvira Ponce**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Dir Medical Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415763**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.77

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Elvira Ponce**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Dir Medical Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700247**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Barbara Porcher**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416586**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Barbara Porcher**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700159**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

69.23

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bernard M Porter**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Asc Dir Account Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416278**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Bernard M Porter**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Asc Dir Account Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699965**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Kelly D Prather**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416410**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kelly D Prather**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699360**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Jeffrey P Prejean**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416414**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Jeffrey P Prejean**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699361**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

64.61

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John T Price**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

EONS Labs Inc.

Occupation

Manager HS&E

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416848**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. John T Price**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

EONS Labs Inc.

Occupation

Manager HS&E

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2698713**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. J. C Proctor**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416656**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. J. C Proctor**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699468**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Eileen M Quinn**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416018**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Eileen M Quinn**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699823**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Stuart Raetzman**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP Area Pres-US & Global Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2819912**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **B. Anne Ramsey**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

District Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2415775**

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

## **C. Anne Ramsey**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

District Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700286**

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Timothy A Rancourt**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416293**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Timothy A Rancourt**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699763**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Marc B Reuss**

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415769**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

107.70

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Marc B Reuss**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Head Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700216**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Margaret Riccobono**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Sandoz Inc.

Occupation  
Assoc Director L&D

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415789**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Margaret Riccobono**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Sandoz Inc.

Occupation  
Assoc Director L&D

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700200**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

107.70

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sheila A Riddell**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416138**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Sheila A Riddell**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699367**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Keith C Riddiford**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Exec. Director Sales Training

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416056**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Keith C Riddiford**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec. Director Sales Training

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699472**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Kevin T Rigby**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2769.12

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416234**

Amount of Each Receipt this Period

346.14

Full Name (Last, First, Middle Initial)

**C. Kevin T Rigby**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699175**

Amount of Each Receipt this Period

230.76

**SUBTOTAL** of Receipts This Page (optional)..... ►

595.36

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Randi C Roberts**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Business Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416592**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Randi C Roberts**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Business Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699368**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Allan P Robinson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416727**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

150.02

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Allan P Robinson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699369**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Joseph A Robinson Jr.**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416780**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Joseph A Robinson Jr.**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699541**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

69.23

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Laurie J Roemisch**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416330**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Laurie J Roemisch**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699967**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Diane M Rogers**

Mailing Address 608 Fifth Avenue

City

New York

State

NY

Zip Code

10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

334.70

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415765**

Amount of Each Receipt this Period

41.55

**SUBTOTAL** of Receipts This Page (optional)..... ►

87.70

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Diane M Rogers**

Mailing Address 608 Fifth Avenue

City  
New York

State Zip Code  
NY 10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

362.40

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700235**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

## **B. Rodney M Roggow**

Mailing Address One Health Plaza

City  
East Hanover

State Zip Code  
NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2415931**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **C. Rodney M Roggow**

Mailing Address One Health Plaza

City  
East Hanover

State Zip Code  
NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700091**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

85.40

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bruce Ruscio**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

409.83

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415706**

Amount of Each Receipt this Period

34.59

Full Name (Last, First, Middle Initial)

**B. Bruce Ruscio**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

432.89

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700240**

Amount of Each Receipt this Period

23.06

Full Name (Last, First, Middle Initial)

**C. Jason T Russell**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

679.50

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415883**

Amount of Each Receipt this Period

85.41

**SUBTOTAL** of Receipts This Page (optional)..... ►

143.06

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jason T Russell**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

736.44

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699909**

Amount of Each Receipt this Period

56.94

Full Name (Last, First, Middle Initial)

**B. Peter Rzewnicki**

Mailing Address 350 Massachusetts Avenue  
 350 MA # 234F

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2415712**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Peter Rzewnicki**

Mailing Address 350 Massachusetts Avenue  
 350 MA # 234F

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700246**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

114.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Roger F Samartino**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416246**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Roger F Samartino**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699372**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Cristina L Santos**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Multicultural Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416822**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Cristina L Santos**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Multicultural Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699606**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Robert J Sardinha**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

LTC Account Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416598**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Robert J Sardinha**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

LTC Account Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700132**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. S. M Seeland**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Dir Customer Mktg

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416392**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. S. M Seeland**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Dir Customer Mktg

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699480**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**c. Christopher Sellin**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales Force Tech Strat

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

332.40

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416764**

Amount of Each Receipt this Period

41.55

**SUBTOTAL** of Receipts This Page (optional)..... ►

99.25

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher Sellin**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales Force Tech Strat

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699532**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Dawn M Shaffer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416030**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Dawn M Shaffer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699377**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

73.85

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christi L Shaw**

Mailing Address 44 Guinea Hollow Rd

City

Lebanon

State

NJ

Zip Code

08833

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec VP & N Amer Region Hd

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416825**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Christi L Shaw**

Mailing Address 44 Guinea Hollow Rd

City

Lebanon

State

NJ

Zip Code

08833

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec VP & N Amer Region Hd

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

600.08

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699177**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Deborah B Shertzer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Operations

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416601**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

143.09

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Deborah B Shertzer**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700182**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Deborah Siakel**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416603**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Deborah Siakel**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700188**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

76.16

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sherrie L Simms**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director HRP&D

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416606**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Sherrie L Simms**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director HRP&D

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699167**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Joseph M Simon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

443.04

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416048**

Amount of Each Receipt this Period

55.38

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

113.08

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph M Simon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.96

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699673**

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

**B. William E Simon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416139**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. William E Simon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699884**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.07

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David P Sipe**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416106**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. David P Sipe**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699835**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**c. John G Slick**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416353**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

73.84

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John G Slick**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 District Manager II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699382**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Gregory R Slyfield**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Executive Director State Gov'

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416607**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**c. Gregory R Slyfield**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Executive Director State Gov'

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699383**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael F Smith**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Gbl Head Clinical Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416823**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Michael F Smith**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Gbl Head Clinical Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699385**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Katherine E Solon**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2769.12

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416666**

Amount of Each Receipt this Period

346.14

**SUBTOTAL** of Receipts This Page (optional)..... ►

403.84

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Katherine E Solon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699515**

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

**B. Curtis Sosnowski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Business Relationship Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416011**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Curtis Sosnowski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Business Relationship Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699469**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

276.91

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William S Spelta**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Ex Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415862**

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

**B. William S Spelta**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Ex Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699389**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Donald R Stanski**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Global Hd Modeling & Simulatio

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416658**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

104.82

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Donald R Stanski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Global Hd Modeling & Simulatio

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699178**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Donald E Stecher**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416334**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**C. Donald E Stecher**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699658**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.78

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lisa A Steelman**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Director State Gov't Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1273.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2415827**

Amount of Each Receipt this Period

159.24

Full Name (Last, First, Middle Initial)

**B. Lisa A Steelman**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Director State Gov't Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1380.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700083**

Amount of Each Receipt this Period

106.16

Full Name (Last, First, Middle Initial)

**C. Ashley D Stephens**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416357**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

293.09

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Ashley D Stephens**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699393**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Donald P Stevens**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State Gov't Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

830.88

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2415946**

Amount of Each Receipt this Period

103.86

Full Name (Last, First, Middle Initial)

**C. Donald P Stevens**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State Gov't Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.12

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700049**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

191.56

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lesley J Stickley**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416009**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Lesley J Stickley**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699657**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. Matthew C Stillwell**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415960**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

85.39

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew C Stillwell**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699684**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Peter N Streit**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416258**

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

**C. Peter N Streit**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699396**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

87.71

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Kevin Strickland**

Mailing Address 701 Pennsylvania AveNW  
Ste 725

City State Zip Code  
Washington DC 20004

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

223.06

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415727**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

## **B. Kevin Strickland**

Mailing Address 701 Pennsylvania AveNW  
Ste 725

City State Zip Code  
Washington DC 20004

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Vaccines & Diagnostics

Occupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.52

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700245**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

## **c. Mary E Strickland**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415791**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

115.39

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mary E Strickland**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700205**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

## **B. Nirupama Subramanian**

Mailing Address 350 Massachusetts Avenue  
350 MA # 232A

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sr Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415708**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

## **C. Nirupama Subramanian**

Mailing Address 350 Massachusetts Avenue  
350 MA # 232A

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sr Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700236**

Amount of Each Receipt this Period

23.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

103.86

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Suchorsky**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir BIM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416231**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. John Suchorsky**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir BIM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699450**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Xiaowei Sun**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Principal Scientist Preformul

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415797**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.02

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Xiaowei Sun**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Principal Scientist Preformul

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2700211**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Thomas A Suter**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416789**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**C. Thomas A Suter**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699591**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

138.48

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sonya R Taylor**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416618**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Sonya R Taylor**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2700107**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. Richard Tessier**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Director Regional Project Eng

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416298**

Amount of Each Receipt this Period

69.24

**SUBTOTAL** of Receipts This Page (optional)..... ►

115.39

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Tessier**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Regional Project Eng

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699401**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Barbara A Tombros**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Strategic Alliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

835.33

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416182**

Amount of Each Receipt this Period

104.82

Full Name (Last, First, Middle Initial)

**C. Barbara A Tombros**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Strategic Alliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

905.21

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700095**

Amount of Each Receipt this Period

69.88

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

220.86

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christina M Tremains**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Business Relationship Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

735.20

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416341**

Amount of Each Receipt this Period

92.25

Full Name (Last, First, Middle Initial)

**B. Christina M Tremains**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Business Relationship Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

796.70

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699720**

Amount of Each Receipt this Period

61.50

Full Name (Last, First, Middle Initial)

**C. Aaron L Trenary**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416326**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

181.44

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Aaron L Trenary**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699738**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Randolph A Troxell III III**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416084**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Randolph A Troxell III III**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699769**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas A Urban**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

363.91

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415828**

Amount of Each Receipt this Period

45.57

Full Name (Last, First, Middle Initial)

**B. Thomas A Urban**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

394.29

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699883**

Amount of Each Receipt this Period

30.38

Full Name (Last, First, Middle Initial)

**C. Lisa R Utt**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

332.40

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415920**

Amount of Each Receipt this Period

41.55

**SUBTOTAL** of Receipts This Page (optional)..... ►

117.50

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lisa R Utt**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Executive Sales Consultant

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.10

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699943**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Angelo J Valeri**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Account Mgr II

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 30 2012

**Transaction ID : A2012-2416749**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Angelo J Valeri**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Account Mgr II

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 31 2012

**Transaction ID : A2012-2699574**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

73.85

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Edwin Valeriano**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Dir Investor Rel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416626**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Edwin Valeriano**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Dir Investor Rel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.08

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2700166**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Erwin Vanhaecke**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Sr VP Global Quality Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2819949**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

165.40

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Russell E Veitenheimer**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415944**

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

**B. Russell E Veitenheimer**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699408**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**C. William W Voegtli**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Reimbursement Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415913**

Amount of Each Receipt this Period

42.12

**SUBTOTAL** of Receipts This Page (optional)..... ►

99.82

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William W Voegtli**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Reimbursement Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699651**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**B. Andrew J Volante**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Bus Franchise Oncology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416118**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**C. Andrew J Volante**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Bus Franchise Oncology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699412**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

258.83

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew M von Wellsheim**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP IT & CIO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2819918**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Anthony Wallace**

Mailing Address One Health Plaza  
Ste 725

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.38

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415718**

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

**C. Anthony Wallace**

Mailing Address One Health Plaza  
Ste 725

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.38

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700237**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

125.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Steven A Waller**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416328**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**B. Steven A Waller**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699735**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**C. John Ward**

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Global Trademark Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2415768**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

80.77



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. John Ward**

Mailing Address 350 Massachusetts Avenue

City	State	Zip Code
Cambridge	MA	02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines &amp; Diagnostics

Occupation

Global Trademark Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2012

Transaction ID : A2012-2700238

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Robert K Warner**

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP Area President - LACAR

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2012

Transaction ID : A2012-2819922

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. James Wassil**

Mailing Address 350 Massachusetts Avenue

City	State	Zip Code
Cambridge	MA	02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines &amp; Diagnostics

Occupation

GPT Head Meningitis

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	30	/	2012

Transaction ID : A2012-2415772

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

107.70

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Wassil**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

GPT Head Meningitis

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

301.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2700218**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Michael D Webster**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

517.34

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416321**

Amount of Each Receipt this Period

64.98

Full Name (Last, First, Middle Initial)

**C. Michael D Webster**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

560.66

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699905**

Amount of Each Receipt this Period

43.32

**SUBTOTAL** of Receipts This Page (optional)..... ►

131.38

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jane E Welborn**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Sr Oncol Area Sales Manager

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

589.20

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415965**

Amount of Each Receipt this Period

73.65

Full Name (Last, First, Middle Initial)

**B. Jane E Welborn**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Sr Oncol Area Sales Manager

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

638.30

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699418**

Amount of Each Receipt this Period

49.10

Full Name (Last, First, Middle Initial)

**C. Randy S Wilbur**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharma Suffern Operations Mgmt Sr Coordinator

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416304**

Amount of Each Receipt this Period

27.69

**SUBTOTAL** of Receipts This Page (optional)..... ►

150.44

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Randy S Wilbur**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharma Suffern

Occupation

Operations Mgmt Sr Coordinator

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699819**

Amount of Each Receipt this Period

18.46

Full Name (Last, First, Middle Initial)

**B. Edward S Williams**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2416099**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Edward S Williams**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2700036**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

64.61

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 221 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James E Williams**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Sr Dir Clinical Research Phys

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 1107.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2416781**

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

**B. James E Williams**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Sr Dir Clinical Research Phys

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 1199.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 31 / 2012

**Transaction ID : A2012-2699539**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**C. Brad C Willie**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Account Mgr II

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼  
 276.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 / 30 / 2012

**Transaction ID : A2012-2415934**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

265.37

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brad C Willie**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699816**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

**B. Karen M Wilshire**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

221.52

Date of Receipt

M M / D D / Y Y Y Y Y  
11 / 30 / 2012

**Transaction ID : A2012-2415949**

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

**C. Karen M Wilshire**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.98

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 31 / 2012

**Transaction ID : A2012-2699990**

Amount of Each Receipt this Period

18.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

69.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 223 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stephen A Woolford**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Business Plan'g & Analy

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

553.92

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416276**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**B. Stephen A Woolford**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Business Plan'g & Analy

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

600.08

Date of Receipt

12 / 31 / 2012

**Transaction ID : A2012-2699422**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Christine D Wyble**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Medical Info Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

230.80

Date of Receipt

11 / 30 / 2012

**Transaction ID : A2012-2416813**

Amount of Each Receipt this Period

34.62

**SUBTOTAL** of Receipts This Page (optional)..... ►

150.02

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 224 OF 226  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Christine D Wyble**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Medical Info Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 31 2012

**Transaction ID : A2012-2699423**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **B. Jennifer L Young Maloney**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Dir Fedl Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 11 30 2012

**Transaction ID : A2012-2416845**

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

## **C.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

46.16

30790.84



**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Capital One Bank**

Mailing Address 701 Pennsylvania Ave. NW

City	State	Zip Code
Washington	DC	20004

Purpose of Disbursement  
Bank Service Charge

001

Candidate Name

Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: DC

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		27		2012

**Transaction ID : B446198**

Amount of Each Disbursement this Period

62.42
-------

Full Name (Last, First, Middle Initial)

**B. Capital One Bank**

Mailing Address 701 Pennsylvania Ave. NW

City	State	Zip Code
Washington	DC	20004

Purpose of Disbursement  
Bank Service Charge

001

Candidate Name

Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: DC

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		28		2012

**Transaction ID : B446199**

Amount of Each Disbursement this Period

64.96
-------

Full Name (Last, First, Middle Initial)

**C. Capital One Bank**

Mailing Address 701 Pennsylvania Ave. NW

City	State	Zip Code
Washington	DC	20004

Purpose of Disbursement  
Bank Service Charge

001

Candidate Name

Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: DC

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		21		2012

**Transaction ID : B446624**

Amount of Each Disbursement this Period

42.60
-------

**SUBTOTAL** of Disbursements This Page (optional)..... ►

169.98

**TOTAL** This Period (last page this line number only)..... ►

169.98

<input type="checkbox"/>	21b	<input type="checkbox"/>	22	<input checked="" type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

Novartis Corporation Political Action Committee

### A. Citizens for Cochran

Category/  
Type

# Thad Cochran

☒ Primary ☐ General  
☐ Other (specify) ▼

District:

2000.00

### B. Pioneer PAC

The image shows three 16x16 LED matrices arranged horizontally, separated by slashes. Each matrix displays a digit or character from the date '11/30/2012'. The first matrix shows '11', the second shows '30', and the third shows '2012'. The digits are formed using a 7-segment font style, where each segment is represented by a specific pattern of lit LEDs. The matrices are connected to a common ground and individual data lines.

Category/  
Type

☐ Primary      ☐ General  
☒ Other (specify) ▼  
Not Applicable

District: 12

5000.00

### C. Walden for Congress

Category/  
Type

Gregory Paul Walden

☒ Primary ☐ General  
Other (specify) ▼

District: 02

2500.00

9500.00

9500.00