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#### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

		I All Authorized				Office Use Only
NAME OF TOO COMMITTEE (in full)	YPE OR PRINT		mple: If typir r the lines.	g, type	12FE4M5	
Novartis Corporation Po	olitical Action	Committee				
ADDRESS (number and street)	701 Pennsylvani	a Ave. NW Suite 725	5			
Check if different						
than previously reported. (ACC)	Washington				DC	20004-2608
2. FEC IDENTIFICATION NUM	MBER ▼	CITY 🛦			STATE A	ZIP CODE ▲
C C00033969		3. IS THIS REPORT	\ <u>\</u>	IEW N) <b>OR</b>	AN (A)	MENDED )
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)		May 20 (M5)	Aug	20 (M8) Nov 20 (M (Non-Election Year Only)
(a) Quarterly Reports:		Mar 20 (M3)		un 20 (M6)	H	20 (M9) Dec 20 (M (Non-Election Year Only)
April 15 Quarterly Report (Q1	) (c) 12-Da	Apr 20 (M4)	Primary (12P	ul 20 (M7)	General	20 (M10) X Jan 31 (Yi (12G) Runoff (12I
July 15 Quarterly Report (Q2	PRE-E	Election t for the:	Convention (		Special	
October 15 Quarterly Report (Q3			`	,		,
January 31 Year-End Report (YE		Election on	M   M /	D D /	Y    Y    Y    Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)		y -Election t for the:	General (30G	i)	Runoff (3	30R) Special (30
Termination Report (TER)	Порог	Election on	M = M /	D   D /	Y	in the State of
5. Covering Period 11	/ D D / 27	2012	through	12	31	2012
I certify that I have examined this	Report and to t	he best of my kno	wledge and b	elief it is tru	ie, correct an	d complete.
Type or Print Name of Treasurer	Daniel P. Casse	rly				
Signature of Treasurer Daniel	P. Casserly		[Electronically	Filed]	Date 01	30 2013
NOTE: Submission of false, erroned	ous, or incomplete	information may su	bject the pers	on signing th	nis Report to t	he penalties of 2 U.S.C. §437
Office Use Only						FEC FORM 3X Rev. 12/2004

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 11 27 2012 To: 12 31 2012

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand  January 1,  2012		217107.27
	(b) Cash on Hand at Beginning of Reporting Period	157945.25	
	(c) Total Receipts (from Line 19)	54525.12	336993.67
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	212470.37	554100.94
7.	Total Disbursements (from Line 31)	9669.98	351300.55
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	202800.39	202800.39
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

#### Novartis Corporation Political Action Committee

I. Receipts	I. Receipts COLUMN A Total This Period			
Contributions (other than loans) From:	2 2 3 3 3 3 3 3 3	Calendar Year-to-Date		
(a) Individuals/Persons Other				
Than Political Committees		100000 05		
(i) Itemized (use Schedule A)	30790.84	122239.85		
(ii) Unitemized	23734.28	209753.82		
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	54525.12	331993.67		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	0.00	0.00		
(such as PACs)	0.00	0.00		
(d) Total Contributions (add Lines				
11(a)(iii), (b), and (c)) (Carry	54505 40	331993.67		
Totals to Line 33, page 5)	54525.12	331993.67		
Transfers From Affiliated/Other		2.22		
Party Committees	0.00	0.00		
. All Loans Received	0.00	0.00		
. All Loans Received	7	0.00		
	0.00	0.00		
Loan Repayments Received	0.00	0.00		
Offsets To Operating Expenditures				
(Refunds, Rebates, etc.)	0.00	0.00		
(Carry Totals to Line 37, page 5)	0.00	0.00		
Refunds of Contributions Made				
to Federal Candidates and Other Political Committees	0.00	5000.00		
Other Federal Receipts	0.00	3000.00		
(Dividends, Interest, etc.)	0.00	0.00		
Transfers from Non-Federal and Levin Funds	0.00	0.00		
(a) Non-Federal Account				
(from Schedule H3)	0.00	0.00		
(	3.00	0.00		
(b) Levin Funda (franc Oakadul 115)	0.00	0.00		
(b) Levin Funds (from Schedule H5)	0.00	0.00		
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00		
(c) Total Transfers (add To(a) and To(b))	0.00	0.00		
. Total Receipts (add Lines 11(d),				
12, 13, 14, 15, 16, 17, and 18(c))▶	54525.12	336993.67		
. Total Federal Receipts				
(subtract Line 18(c) from Line 19)▶	54525.12	336993.67		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	II. Disbursements COLUMN A Total This Period			
. Operating Expenditures:	iotai iiiis reiiou	Calendar Year-to-Date		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)				
(i) Federal Share	0.00	0.00		
	200			
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating	169.98	877.83		
Expenditures	109.30	011.03		
(add 21(a)(i), (a)(ii), and (b))▶	169.98	877.83		
Transfers to Affiliated/Other Party	7			
Committees	0.00	0.00		
Contributions to Federal Candidates/Committees				
and Other Political Committees	9500.00	317000.00		
Independent Expenditures	0.00	0.00		
(use Schedule E)  Coordinated Party Expenditures	0.00	0.00		
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
(use ourleadic 1)	7 7			
Loan Repayments Made	0.00	0.00		
Loans Made	0.00	0.00		
(a) Individuals/Persons Other		07.70		
Than Political Committees	0.00	97.72		
(b) Political Party Committees	0.00	0.00		
(b) Political Party Committees	0.00	3.00		
(such as PACs)	0.00	0.00		
(d) Total Contribution Refunds	0.00			
(add Lines 28(a), (b), and (c))▶	0.00	97.72		
Other Dishuman arts	0.00	22225.00		
Other Disbursements	0.00	33325.00		
Federal Election Activity (2 U.S.C. §431(20))				
(a) Allocated Federal Election Activity				
(from Schedule H6)				
(i) Federal Share	0.00	0.00		
F		2.22		
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely	0.00	0.00		
With Federal Funds	0.00	0.00		
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00		
	7			
Total Disbursements (add Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	9669.98	351300.55		
_	7			
Total Federal Disbursements				
(subtract Line 21(a)(ii) and Line 30(a)(ii)	0000.00	251200 55		
from Line 31)	9669.98	351300.55		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	54525.12	331993.67	
4. Total Contribution Refunds (from Line 28(d))	0.00	97.72	
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	54525.12	331895.95	
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	169.98	877.83	
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00	
8. Net Operating Expenditures (subtract Line 37 from Line 36)	169.98	877.83	

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#### : 97 'A = G7 9 @ G5 B9 CI G'H9 LH F9 @ 5 H9 8 'HC '5 'F9 DC FHZ G7 < 98 I @ 'C F' + H9 A + N5 H+ C B

Form/Schedule: F3XN Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

### SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: **PAGE** 7 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Emad E Abdelnaby Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2012 City Zip Code State Transaction ID: A2012-2415773 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Assoc Dir Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 234.60 Other (specify) Full Name (Last, First, Middle Initial) B. Emad E Abdelnaby Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2012 City State Zip Code Transaction ID: A2012-2700281 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Assoc Dir Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 253.06 Other (specify) Full Name (Last, First, Middle Initial) c. Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416185 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Business & Admin Services Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 8 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699179 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Business & Admin Services Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Robin D Adelstein Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416715 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** VP Tax Law Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Robin D Adelstein Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699474 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation **VP Tax Law** Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 80.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kim R Alford Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415940 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Kim R Alford Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699824 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) **c.** Antonio Anderson Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416704 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 73.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

		MBER	PAGE	1	IO OF	22	6			
Use separate schedule(s) for each category of the	(check only one)									
Detailed Summary Page	<u> </u> ×	11a		11b		11c		12		
, ,		13		14		15		16	1 17	7

	d Statements may not be sold or used by any personant the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Antonio Anderson  Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	12 31 2012 Transaction ID : A2012-2699180
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 18.46
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General □ Other (specify) ▼	Occupation Senior District Manager  Aggregate Year-to-Date ▼  239.98	-
Full Name (Last, First, Middle Initial)  Renee H Anderson  Mailing Address One Health Plaza		Date of Receipt  11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416317  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer Novartis Pharmaceuticals	Occupation Ex Dir Diversity	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  220.00	
Full Name (Last, First, Middle Initial)  C. Renee H Anderson		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699765  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer  Novartis Pharmaceuticals	Occupation  Ex Dir Diversity	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  240.00	-
SUBTOTAL of Receipts This Page (optional	)	58.46
TOTAL This Period (last page this line numb	<u> </u>	

FOR LINE NUMBER: PAGE 11 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John P Andrews Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2415799 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Sandoz Inc. Manager eCompliance Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. John P Andrews Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2700213 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. Manager eCompliance Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Gary J Appio Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416178 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Dir US Safety/Risk Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 99.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FO	R LINE	NU	IMBER	:	PAGE	•	12 OF	Ξ.	22
Use separate schedule(s)	(che	eck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		117

	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Gary J Appio  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  12 31 2012  Transaction ID : A2012-2699184
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period  27.70
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Dir US Safety/Risk  Aggregate Year-to-Date ▼  360.10	
Full Name (Last, First, Middle Initial)  Felipe Arenas  Mailing Address One Health Plaza		Date of Receipt  11 30 2012
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2012-2416476  Amount of Each Receipt this Period  27.69
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation Sr Oncology Specialist  Aggregate Year-to-Date ▼  221.52	_
Full Name (Last, First, Middle Initial) Felipe Arenas  Mailing Address One Health Plaza	<u>'</u>	Date of Receipt
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General □ Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Sr Oncology Specialist  Aggregate Year-to-Date ▼  239.98	Transaction ID : A2012-2700141  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)	)	73.85
TOTAL This Period (last page this line numb	per only)	

	FOF	R LINE	NU	MBER	PAGE	•	13 OF		226	
Use separate schedule(s)	(check only one)									
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any pering the name and address of any political committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial)  Brad Arlett  Mailing Address One Health Plaza		Date of Receipt
		11 30 2012
City	State Zip Code	Transaction ID : A2012-2416805
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Pharmaceuticals	Associate Director BIM	
Receipt For:  Primary General  Other (specify)	Aggregate Year-to-Date ▼  276.96	
	270.90	
Full Name (Last, First, Middle Initial)  Brad Arlett		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699427  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Pharmaceuticals	Occupation Associate Director BIM	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416477  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	41.55
Name of Employer	Occupation	+
Novartis Pharmaceuticals	Asc Dir Multicultural Mktg	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify)	332.40	
SUBTOTAL of Receipts This Page (option	al)	99.25
	<u>·</u>	
TOTAL This Period (last page this line nul	mber only)	I

FOR LINE NUMBER: PAGE 14 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Andrew Arline Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2700103 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Multicultural Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) Full Name (Last, First, Middle Initial) B. Marc W Bacon Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2416481 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Marc W Bacon Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699187 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 73.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christopher Bangs Date of Receipt Mailing Address One Health Plaza Ste 725 2012 12 31 City State Zip Code Transaction ID: A2012-2700243 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 241.52 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Banko Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2416257 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IT Service Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Banko Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699428 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IT Service Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) 110.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael C Barninger Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2700090 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Development Assignment Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416807 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) c. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699605 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Exec Dir Public Health Policy Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) 249.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Andrew R Bayard  Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	11 30 2012 Transaction ID : A2012-2416105
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer  Novartis Pharmaceuticals	Occupation Sr LTC Account Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  221.52	
Full Name (Last, First, Middle Initial)  Andrew R Bayard  Mailing Address One Health Plaza		Date of Receipt
		12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2700018  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer Novartis Pharmaceuticals	Occupation Sr LTC Account Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  239.98	
Full Name (Last, First, Middle Initial)  C. Antonio M Benitz		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416896  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	_
Novartis Animal Health US Inc.	VP R&D North America	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  221.52	
SUBTOTAL of Receipts This Page (optional)		73.84
TOTAL This Period (last page this line numbe	r only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Antonio M Benitz Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2697381 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation VP R&D North America Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Ted R Benson Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415780 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Sandoz Inc. Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Ted R Benson Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700215 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Sr Sales Consultant Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 93.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

### SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only	y one) 11b 14	11c	12	17
Any information copied from such Reports and or for commercial purposes, other than using the			rson for the	purpose of	soliciting	contributi	ions
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Com	nmittee					
Full Name (Last, First, Middle Initial) Sheila Bhattacharya  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals	State NJ  C  Occupation	Zip Code 07936	11 Trans	Receipt  / 30 saction ID: /			62
Receipt For:  Primary General  Other (specify) ▼		onal Accounts  Year-to-Date ▼  276.96					
Full Name (Last, First, Middle Initial)  3. Sheila Bhattacharya  Mailing Address One Health Plaza			Date of	Receipt  / D D  31	/ Y	2012	Y
City East Hanover	State NJ	Zip Code 07936		action ID: A			_
FEC ID number of contributing federal political committee.	С					23.	08
Name of Employer  Novartis Pharmaceuticals	Occupation Sr. AD-Nation	onal Accounts					
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 300.04					
Full Name (Last, First, Middle Initial)  Darrell E Biggs  Mailing Address One Health Plaza			Date of	Receipt	/ Y	. Y . Y .	Y
City	State	Zip Code	11 	30 saction ID :	A 2042 2	2012	
East Hanover	NJ	07936		of Each Re			
FEC ID number of contributing federal political committee.	С			7	1	34.	62
Name of Employer	Occupation						
Sandoz Inc. Receipt For:	T	st Business System					
Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 276.96					
SUBTOTAL of Receipts This Page (optional)				-	1	92.3	32
TOTAL This Period (last page this line number	r only)	<b></b>					

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Darrell E Biggs Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2700201 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. Lead Analyst Business System Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Kathleen V Bishop Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2415872 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 38.49 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 306.93 Other (specify) Full Name (Last, First, Middle Initial) c. Kathleen V Bishop Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699890 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.66 С federal political committee. Name of Employer Occupation Sr Sales Consultant Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 332.59 Other (specify) 87.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  A. Edward J Blair  Mailing Address One Health Plaza		Date of Receipt  11 30 2012
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Asc Dir Product Management  Aggregate Year-to-Date ▼  276.96	Transaction ID : A2012-2416174  Amount of Each Receipt this Period  34.62
Full Name (Last, First, Middle Initial)  Edward J Blair  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt  12 31 2012  Transaction ID : A2012-2700003  Amount of Each Receipt this Period  23.08
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Asc Dir Product Management  Aggregate Year-to-Date ▼  300.04	
Full Name (Last, First, Middle Initial)  Lisa M Blizzard  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Director Customer Marketing  Aggregate Year-to-Date ▼  276.96	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	92.32
TOTAL This Period (last page this line numbe	r only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lisa M Blizzard Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2700060 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Customer Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Peri K Bonner Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416040 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 83.88 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Regl Accts Proj Receipt For: Aggregate Year-to-Date ▼ Primary General 667.86 Other (specify) Full Name (Last, First, Middle Initial) c. Peri K Bonner Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699645 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 55.92 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Regl Accts Proj Receipt For: Aggregate Year-to-Date ▼ Primary General 723.78 Other (specify) 162.88 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Troy L Borill Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416415 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 54.19 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 422.47 Other (specify) Full Name (Last, First, Middle Initial) **B.** Troy L Borill Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699798 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.60 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 459.07 Other (specify) Full Name (Last, First, Middle Initial) c. Daniel S Bortfeld Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416002 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Staffing Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 125.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Daniel S Bortfeld  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  12 31 2012  Transaction ID : A2012-2699196
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify)   Other	NJ 07936  C  Occupation Director Staffing Operations  Aggregate Year-to-Date ▼  300.04	Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  Karen M Boyajian  Mailing Address One Health Plaza  City  East Hanover	State Zip Code NJ 07936	Date of Receipt  11 30 2012  Transaction ID : A2012-2416015
FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General	Occupation  Exec Neuro-Psych Specialist  Aggregate Year-to-Date   243.60	Amount of Each Receipt this Period  30.45
Other (specify) ▼  Full Name (Last, First, Middle Initial)  Karen M Boyajian  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt  12 31 2012  Transaction ID : A2012-2699805  Amount of Each Receipt this Period  20.30
rederal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	Occupation Exec Neuro-Psych Specialist  Aggregate Year-to-Date ▼  263.90	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	73.83
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Ellen M Browne Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699199 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation **ED Information Technology** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Lucinda A Bruere Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415961 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Lucinda A Bruere Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699200 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 103.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416495 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Systems Planning and Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699430 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Systems Planning and Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew H Buckley Date of Receipt Mailing Address One Health Plaza 30 11 2012 City Zip Code State Transaction ID: A2012-2415811 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Dir Federal Acct Mgmt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 143.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	d Statements may not be sold or used by any per the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Matthew H Buckley  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Dir Federal Acct Mgmt  Aggregate Year-to-Date ▼  239.98	Date of Receipt  12 31 2012  Transaction ID: A2012-2699202  Amount of Each Receipt this Period  18.46
Full Name (Last, First, Middle Initial)  Bo Bujnowski  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)   Other	State Zip Code NJ 07936  C  Occupation Sr Sales Consultant  Aggregate Year-to-Date ▼  204.10	Date of Receipt  12 31 2012  Transaction ID : A2012-2699827  Amount of Each Receipt this Period  15.70
Full Name (Last, First, Middle Initial) John S Burke  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation District Manager II  Aggregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID: A2012-2416262  Amount of Each Receipt this Period  27.69
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	61.85
TOTAL This Period (last page this line numb	er only)	

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	n Committee	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify)   Other	State Zip Code NJ 07936  Cupation strict Manager II agregate Year-to-Date   239.98	Date of Receipt  12 31 2012  Transaction ID : A2012-2699203  Amount of Each Receipt this Period  18.46
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Dir	State Zip Code NJ 07936  Cupation Sales  Igregate Year-to-Date ▼  332.40	Date of Receipt  11 30 2012  Transaction ID: A2012-2415860  Amount of Each Receipt this Period  41.55
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Dir	State Zip Code NJ 07936  Cupation Sales Igregate Year-to-Date ▼  360.10	Date of Receipt  12 31 2012  Transaction ID : A2012-2699470  Amount of Each Receipt this Period  27.70
SUBTOTAL of Receipts This Page (optional)		87.71

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Steven J Busch Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416714 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Steven J Busch Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699205 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Timothy S Byler Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416110 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Asc Director Gov't Relations Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Timothy S Byler Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699675 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Lindsay R Callaghan Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2416442 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Business Relationship Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Lindsay R Callaghan Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700115 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Business Relationship Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 69.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF 226 Use separate schedule(s) for each category of the Detailed Summary Page

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	I Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Todd D Cantin		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416029
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  27.69
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation Senior District Manager  Aggregate Year-to-Date ▼  221.52	
Full Name (Last, First, Middle Initial)  Todd D Cantin  Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699207  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	18.46
Name of Employer Novartis Pharmaceuticals	Occupation Senior District Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  239.98	
Full Name (Last, First, Middle Initial)  2. James P Carey		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416374  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	145.32
Name of Employer	Occupation	
Novartis Services Incorporated Receipt For:	VP Health Policy	_
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1156.88	
SUBTOTAL of Receipts This Page (optional).	<b>&gt;</b>	191.47
TOTAL This Period (last page this line number	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James P Carey Date of Receipt Mailing Address One Health Plaza 2012 12 31 City State Zip Code Transaction ID: A2012-2699813 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.88 federal political committee. Name of Employer Occupation VP Health Policy Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1253.76 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin M Carl Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2416498 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Strategic Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin M Carl Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699471 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 С federal political committee. Name of Employer Occupation Dir Strategic Planning Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 119.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jeffrey L Carlyle Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2415840 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) **B.** Jeffrey L Carlyle Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699208 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. James L Carrico Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415989 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 87.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)

NAME OF COMMITTEE (In Full)  Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial)  James L Carrico		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699209  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General	Occupation Senior District Manager  Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)  Daniel P Casserly  Mailing Address One Health Plaza	360.10	Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2012  Transaction ID : A2012-2416060  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer  Novartis Services Incorporated	Occupation VP Fed'l Govt Rel	415.38
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  3323.04	
Full Name (Last, First, Middle Initial)  Daniel P Casserly  Mailing Address One Health Plaza		Date of Receipt  12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699210  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	276.92
Name of Employer  Novartis Services Incorporated	Occupation VP Fed'l Govt Rel	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 3599.96	
SUBTOTAL of Receipts This Page (optional	)	720.00
TOTAL This Period (last page this line num	ber only)	

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		13		14		15		16	Г	<b>∃</b> 17

	nd Statements may not be sold or used by any pe the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial)  Cynthia C Cetani  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation VP Ethics & Compliance Officr  Aggregate Year-to-Date ▼  553.92	Date of Receipt  11 30 2012  Transaction ID: A2012-2416500  Amount of Each Receipt this Period  69.24
Full Name (Last, First, Middle Initial)  Cynthia C Cetani  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation VP Ethics & Compliance Officr  Aggregate Year-to-Date ▼  600.08	Date of Receipt  12 31 2012  Transaction ID : A2012-2699211  Amount of Each Receipt this Period  46.16
Full Name (Last, First, Middle Initial)  Atindra N Chaturvedi  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation ED Information Technology  Aggregate Year-to-Date ▼  553.92	Date of Receipt  11 30 2012  Transaction ID: A2012-2416184  Amount of Each Receipt this Period  69.24
SUBTOTAL of Receipts This Page (optional	)	184.64
TOTAL This Period (last page this line num	her only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Atindra N Chaturvedi Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699212 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415892 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 79.89 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 636.89 Other (specify) Full Name (Last, First, Middle Initial) c. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699727 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 53.26 С federal political committee. Name of Employer Occupation Asc Director Gov't Relations Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 690.15 Other (specify) 179.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Charles J Ciccia Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416501 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Charles J Ciccia Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699215 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew D Civiello Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416707 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 73.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew D Civiello Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699216 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Martin R Clark Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2416706 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Martin R Clark Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699217 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christina M Clinton Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416691 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Director Internal Comm Receipt For: Aggregate Year-to-Date ▼ Primary General 4576.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christina M Clinton Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699513 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Exec Director Internal Comm** Receipt For: Aggregate Year-to-Date ▼ Primary General 4992.00 Other (specify) Full Name (Last, First, Middle Initial) c. Julie A Collins Date of Receipt Mailing Address One Health Plaza 30 11 2012 City Zip Code State Transaction ID: A2012-2416871 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation CIBA Vision Corporation **Director Digital Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) 970.45 SUBTOTAL of Receipts This Page (optional).....

- 9

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Julie A Collins Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2694819 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation **CIBA Vision Corporation Director Digital Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) B. Matt Compton Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415978 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Matt Compton Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699220 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 138.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X)

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political Acti	ion Committee	
Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation  Dir Sales  Aggregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID : A2012-2416506  Amount of Each Receipt this Period  27.69
Novartis Pharmaceuticals	State Zip Code NJ 07936  C  Occupation  Dir Sales  Aggregate Year-to-Date ▼	Date of Receipt  12 31 2012  Transaction ID: A2012-2699221  Amount of Each Receipt this Period  18.46
Novartis Pharmaceuticals	State Zip Code NJ 07936  C  Occupation  Exe Dir Account Mgmt  Aggregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID : A2012-2416124  Amount of Each Receipt this Period  69.24
SUBTOTAL of Receipts This Page (optional)  TOTAL This Period (last page this line number on		115.39

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92.31

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SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 46 OF 226 (check only one)    X   11a
Any information copied from such Reports and Statements m or for commercial purposes, other than using the name and a		
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action Cor	mmittee	
Full Name (Last, First, Middle Initial) <b>A.</b> Seth Coombs		Date of Receipt
Mailing Address 350 Massachusetts Avenue		11 30 / Y = Y = Y = Y
City State	Zip Code	Transaction ID : A2012-2415756
Cambridge MA	02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.		138.45
Name of Employer Occupation	n	
Novartis Vaccines & Diagnostics Assoc Dir I	Marketing	
Receipt For: Aggregate	e Year-to-Date ▼	7

Primary General Other (specify) 1115.30 Full Name (Last, First, Middle Initial) B. Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 2012 12 31 City State Zip Code Transaction ID : A2012-2700223 Cambridge  $\mathsf{MA}$ 02139 Amount of Each Receipt this Period FEC ID number of contributing C 92.30 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Assoc Dir Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) ▼	1207.60	
Full Name (Last, First, Middle Initial)  Mary E Corcoran		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2012-2416507
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Oncology Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	221.52	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Mary E Corcoran Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2700149 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Rhonda N Crichlow Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416665 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Rhonda N Crichlow Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699224 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 76.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas G Cullen Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415793 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Advisor Scientific Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas G Cullen Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2700210 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Richard D'Addabbo Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699897 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Product Management Receipt For: Aggregate Year-to-Date ▼ Primary General 216.06 Other (specify) 74.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  William E Darnall  Mailing Address One Health Plaza		Date of Receipt
Ste 725		11 30 2012
City	State Zip Code	Transaction ID : A2012-2415714
East Hanover	NJ 07936	_ Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer	Occupation	†
Novartis Vaccines & Diagnostics	Manager	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General	Aggregate real to bate ¥	
Other (specify) ▼	1214.65	
Full Name (Last, First, Middle Initial)  3. William E Darnall		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
Ste 725	7: 0	12 31 2012
City	State Zip Code	Transaction ID : A2012-2700225
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	100.00
Name of Employer	Occupation	7
Novartis Vaccines & Diagnostics	Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	. 99. 19	
Other (specify) ▼	1314.65	
Full Name (Last, First, Middle Initial)  C. Spencer E Davidson		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City	State Zip Code	Transaction ID : A2012-2415980
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	+
Novartis Pharmaceuticals	Sr Oncology Specialist	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	Aggregate rear-to-Date ▼	
Other (specify) ▼	221.52	
SUBTOTAL of Receipts This Page (optional).	•	277.69
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Spencer E Davidson Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699900 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy K De Valroger Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416511 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Timothy K De Valroger Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700120 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) R. Deck Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2012 City Zip Code State Transaction ID: A2012-2415766 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation **Director Government Contracts** Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 278.88 Other (specify) Full Name (Last, First, Middle Initial) B. R. Deck Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2012 City State Zip Code Transaction ID: A2012-2700226 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics Director Government Contracts** Receipt For: Aggregate Year-to-Date ▼ Primary General 301.96 Other (specify) Full Name (Last, First, Middle Initial) c. Clint D Degner Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415942 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Reg Strat & Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 92.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Clint D Degner Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699228 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Reg Strat & Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Donald W DeGolyer Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2415779 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Sandoz Inc. President & Reg. Head Comm Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Donald W DeGolyer Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700194 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation President & Reg. Head Comm Ops Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) 138.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial)  Joanne Del Rio  Mailing Address One Health Plaza		Date of Receipt
City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Property Management Advisor  Aggregate Year-to-Date ▼  276.96	Transaction ID : A2012-2416215  Amount of Each Receipt this Period  34.62
Full Name (Last, First, Middle Initial)  Joanne Del Rio  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Property Management Advisor  Aggregate Year-to-Date ▼  300.04	Date of Receipt  12 31 2012  Transaction ID: A2012-2699722  Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  Lynne M DeLisi  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Pharmaceutical Counsel  Aggregate Year-to-Date ▼  276.96	Date of Receipt  11 30 2012  Transaction ID : A2012-2416694  Amount of Each Receipt this Period  34.62
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	92.32
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lynne M DeLisi Date of Receipt Mailing Address One Health Plaza 2012 31 City State Zip Code Transaction ID: A2012-2699483 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Pharmaceutical Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Randal Dias Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416197 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Project Management Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Randal Dias Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699232 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Project Management Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 80.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Candace B Dibblee Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416309 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 346.14 federal political committee. Name of Employer Occupation Asc Dir Fed'l Leg Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 2769.12 Other (specify) Full Name (Last, First, Middle Initial) B. Candace B Dibblee Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699432 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Services Incorporated Asc Dir Fed'l Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2999.88 Other (specify) Full Name (Last, First, Middle Initial) **c.** Anthony Diorio Date of Receipt Mailing Address One Health Plaza 30 11 2012 City Zip Code State Transaction ID: A2012-2415776 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics District Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 621.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Anthony Diorio Date of Receipt Mailing Address One Health Plaza 2012 31 City State Zip Code Transaction ID: A2012-2700287 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation District Sales Manager Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Robert C Dobler Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416464 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager I

Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  221.52	
Full Name (Last, First, Middle Initial)  Robert C Dobler  Mailing Address One Health Plaza		Date of Receipt  12 31 2012
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2012-2699235  Amount of Each Receipt this Period  18.46
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation District Manager I  Aggregate Year-to-Date ▼  239.98	
SUBTOTAL of Receipts This Page (optional)		76.15

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Christos Dogas Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416733 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Services Incorporated Asc Dir Auditing Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Christos Dogas Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699523 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Services Incorporated Asc Dir Auditing Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) **c.** Annette Donahey Date of Receipt Mailing Address One Health Plaza 30 11 2012 Zip Code City State Transaction ID: A2012-2415985 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify)

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Annette Donahey Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699236 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. David P Drake Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416183 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. David P Drake Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699746 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) 138.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Full Name (Last, First, Middle Initial)  James R Elkin		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City	State Zip Code	Transaction ID : A2012-2415926
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	576.00
Name of Employer	Occupation	
Novartis Services Incorporated	VP Head US Public Affairs	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	4608.00	

645.25

Other (specify)

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### SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James R Elkin Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699240 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 384.00 federal political committee. Name of Employer Occupation VP Head US Public Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 4992.00 Other (specify) Full Name (Last, First, Middle Initial) B. David N Elsasser Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415858 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr LTC Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) c. David N Elsasser Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699479 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Sr LTC Account Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) 454.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael R Emch Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415833 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) B. Michael R Emch Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699241 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) c. David R Epstein Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416221 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Head Pharma AG Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 170.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... -9

### SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David R Epstein Date of Receipt Mailing Address One Health Plaza 2012 12 31 City State Zip Code Transaction ID: A2012-2699625 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Head Pharma AG Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Esposito Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416398 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 103.86 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 830.88 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Esposito Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699244 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 900.12 Other (specify) 273.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Judith J Ewalt Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416075 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) **B.** Judith J Ewalt Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699247 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Philip L Faulkner Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416645 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 66.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416314 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Medl Head Operating Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699250 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Medl Head Operating Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. Raymond Flynn Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2012 350 MA # 232G City Zip Code State Transaction ID: A2012-2415710 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 13.85 С federal political committee. Name of Employer Occupation Dir Distribution Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 210.05 Other (specify) 129.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page		11a		11b		11c		12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Raymond Flynn Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 232G 2012 31 City Zip Code State Transaction ID: A2012-2700227 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Name of Employer Occupation Dir Distribution Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 223.90 Other (specify) Full Name (Last, First, Middle Initial) B. James E Foley Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416297 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Principle MSE (Non-MD) Receipt For: Aggregate Year-to-Date ▼

Full Name (Last, First, Middle Initial) c. James E Foley Date of Receipt Mailing Address One Health Plaza 31 2012 Zip Code City State Transaction ID: A2012-2699665 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Principle MSE (Non-MD) Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary

276.96

300.04

SUBTOTAL of Receipts This Page (optional)		7		7	7	1.55	
TOTAL This Period (last page this line number only)		7	_	7	<u>_</u>		

Primary

Other (specify)

Other (specify)

General

General

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Andrew T Footo Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416360 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew T Footo Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699800 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew C Foster Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416068 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the  Detailed Summary Page	(check only one)         X       11a       11b       11c       12         13       14       15       16       17
Any information copied from such Reports and S or for commercial purposes, other than using the		rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  Matthew C Foster  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation District Manager II  Aggregate Year-to-Date ▼	Date of Receipt  12 31 2012  Transaction ID: A2012-2699254  Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  Anna M Frable  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation  VP US Pharma Communications  Aggregate Year-to-Date ▼  221.52	Date of Receipt  11 30 2012  Transaction ID: A2012-2416211  Amount of Each Receipt this Period  27.69
Full Name (Last, First, Middle Initial) Anna M Frable  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation VP US Pharma Communications  Aggregate Year-to-Date ▼  239.98	Date of Receipt  12 31 2012  Transaction ID : A2012-2699255  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)		69.23
TOTAL This Period (last page this line number	only)	

	FOR LINE	NUMBER:	PAGE	= 68 OF
Use separate schedule(s)	(check onli	y one)		
for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12
	13	14	15	16

		Detailed Sui	mmary Page		13	14	15	16	17
Any information copied from such Reports and S or for commercial purposes, other than using the					for the	purpose o	of soliciting	contribut	ions
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A									
Full Name (Last, First, Middle Initial)  Steven Franchetti					Date of	Receipt			
Mailing Address 350 Massachusetts Avenue					м = м 1_1	30	D / Y	2012	Y
City	State	Zip Code			Transa	action ID	: A2012-2	415755	
Cambridge	MA	02139			Amount	of Each	Receipt th	is Period	
FEC ID number of contributing federal political committee.	С							34	.62
Name of Employer	Occupation	1							
Novartis Vaccines & Diagnostics	Director Inte	egrity & Complia	ance						
Receipt For:	Aggregate	Year-to-Date ▼	,						
Primary General  Other (specify) ▼		7 7	278.88						
Full Name (Last, First, Middle Initial)  Steven Franchetti				ı	Date of	Receipt			
Mailing Address 350 Massachusetts Avenue					M M	3	D / Y	2012	Y
City	State	Zip Code					: A2012-2		
Cambridge	MA	02139			Amount	of Each	Receipt th	is Period	
FEC ID number of contributing federal political committee.	С					-,	-	23.	.08
Name of Employer	Occupation	1							
Novartis Vaccines & Diagnostics	Director Inte	egrity & Complia	ance						
Receipt For:	Aggregate	Year-to-Date ▼	•						
Primary General  Other (specify) ▼		<b>,</b> , , ,	301.96						
Full Name (Last, First, Middle Initial)  Patrick G Francke					Date of	Receipt			
Mailing Address One Health Plaza					M = M	3	0 / Y	2012	Y
City East Hanover	State NJ	Zip Code					: A2012-2		
East Hanover	INJ	07936			Amount	of Each	Receipt th	is Period	
FEC ID number of contributing federal political committee.	С					-	-	42	.12
Name of Employer	Occupation	1							
Novartis Pharmaceuticals	Sr Sales Co	onsultant							
Receipt For:	Aggregate	Year-to-Date ▼	•						
Primary General  Other (specify) ▼		7	336.96						
SUBTOTAL of Receipts This Page (optional)				<b>•</b>			7	99.	82
TOTAL This Period (last page this line number	only)				Ţ,				

# SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 69 OF Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the  Detailed Summary Page	(check only one)    X   11a
Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any p the name and address of any political committe	person for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Patrick G Francke  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Sales Consultant  Aggregate Year-to-Date ▼  365.04	Date of Receipt  12 31 2012  Transaction ID: A2012-2699507  Amount of Each Receipt this Period  28.08
Full Name (Last, First, Middle Initial)  H. P Frederick  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:	State Zip Code NJ 07936  C  Occupation Senior District Manager  Aggregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID : A2012-2416114  Amount of Each Receipt this Period  77.49
Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial)	616.70	]
City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify)	State Zip Code NJ 07936  C  Occupation Senior District Manager  Aggregate Year-to-Date ▼  668.36	Date of Receipt  12 31 2012  Transaction ID : A2012-2699256  Amount of Each Receipt this Period  51.66
SUBTOTAL of Receipts This Page (optional)		157.23
TOTAL This Period (last page this line numb	per only)	

# SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 70 OF Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
Any information copied from such Reports and Statement or for commercial purposes, other than using the name a		
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action (	Committee	
Receipt For:  Primary General  Other (specify) ▼	07936	Date of Receipt  11 30 2012  Transaction ID: A2012-2415912  Amount of Each Receipt this Period  27.69
Possint For:	07936	Date of Receipt  12 31 2012  Transaction ID: A2012-2699958  Amount of Each Receipt this Period  18.46
Possint For:	07936	Date of Receipt  11 30 2012  Transaction ID : A2012-2416255  Amount of Each Receipt this Period  69.24
SUBTOTAL of Receipts This Page (optional)  TOTAL This Period (last page this line number only)	·····	115.39

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Debra E Freire Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2699756 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Neely T Frye Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2416140 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 177.48 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 1413.65 Other (specify) Full Name (Last, First, Middle Initial) c. Neely T Frye Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700161 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 118.32 С federal political committee. Name of Employer Occupation Asc Director Gov't Relations Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1531.97 Other (specify) 341.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	FOF	R LINE	NU	MBER	:	PAGE	: 1	72 C	)⊢	22
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		71

	Statements may not be sold or used by any personal he name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Steven Gabor  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  11 30 2012  Transaction ID: A2012-2415764
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary General Other (specify)	NJ 07936  C Occupation Managing RVBL  Aggregate Year-to-Date ▼  332.50	Amount of Each Receipt this Period  52.50
Full Name (Last, First, Middle Initial)  Steven Gabor  Mailing Address One Health Plaza  City State Zip Code		Date of Receipt  12 31 2012  Transaction ID : A2012-2700228
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Vaccines & Diagnostics	NJ 07936  C Occupation Managing RVBL	Amount of Each Receipt this Period  35.00
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 367.50	
Full Name (Last, First, Middle Initial)  Jill H Gaither  Mailing Address One Health Plaza		Date of Receipt  11 30 2012
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Oncology Specialist  Aggregate Year-to-Date ▼  276.96	Transaction ID : A2012-2416316  Amount of Each Receipt this Period  34.62
SUBTOTAL of Receipts This Page (optional).		122.12
TOTAL This Period (last page this line number	er only)	

	FOF	R LINE	NU	IMBER	:	PAGE	: 7	73 OF	=	226
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any per- ng the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial)  Jill H Gaither  Mailing Address One Health Plaza		Date of Receipt
- Au		12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699894
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  23.08
Name of Employer  Novartis Pharmaceuticals	Occupation Oncology Specialist	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initial)  Edward G Gajewski  Mailing Address One Health Plaza	·	Date of Receipt
walling Address One Health Plaza		11 30 2012
City	State Zip Code	Transaction ID : A2012-2415993
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period  20.00
Name of Employer Novartis Pharmaceuticals	Occupation Product Director	_
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  220.00	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699974  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	20.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Product Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  240.00	
SURTOTAL of Receipts This Page (antion	al)	63.08
	<u> </u>	7 7 7
TOTAL This Period (last page this line nul	mber only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Albert Galloza Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2415854 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Lab Technician Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Albert Galloza Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699624 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Lab Technician Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) c. Martin J Gargiule Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415784 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Director BPA Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 84.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page	X 11a 11b	11c	12	
, ,		15	16	17

	Statements may not be sold or used by any pers name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Martin J Gargiule  Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	12 31 2012 Transaction ID : A2012-2700196  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer	Occupation	23.08
Sandoz Inc.  Receipt For:  Primary General  Other (specify) ▼	Director BPA  Aggregate Year-to-Date ▼  300.04	
Full Name (Last, First, Middle Initial)  Parameswar Garimella  Mailing Address 350 Massachusetts Avenue		Date of Receipt  11 30 2012
City Cambridge	State Zip Code MA 02139	Transaction ID : A2012-2415771  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:	Occupation Assoc Dir Information Mgmt	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼  278.88	
Full Name (Last, First, Middle Initial)  Parameswar Garimella		Date of Receipt
Mailing Address 350 Massachusetts Avenue  City	State Zip Code	12 31 2012 Transaction ID : A2012-2700217
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics Receipt For:	Assoc Dir Information Mgmt	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼  301.96	
SUBTOTAL of Receipts This Page (optional)		80.78
TOTAL This Period (last page this line numbe	r only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Melissa F Gifford Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416518 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Melissa F Gifford Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699262 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Erwin A Gomez Valladares Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699265 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Dir Strategic Sourcing Novartis Latin America Service Receipt For: Aggregate Year-to-Date ▼ Primary General 1061.45 Other (specify) 138.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Roger K Gravitte Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416853 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation NA Head of Sales Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) B. Roger K Gravitte Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2698710 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. NA Head of Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) **c.** Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416810 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Onco Sr Dir Clinical Res Phys Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 104.82 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699268 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Onco Sr Dir Clinical Res Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) **B.** Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416788 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Product Director Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699269 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Sr Product Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 69.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 79 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David E Gulick Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699809 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph A Gunning Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2415863 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph A Gunning Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699270 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 103.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 81 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kurt Habel Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416180 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Ops Research Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Kurt Habel Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699956 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Ops Research Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. James P Hafner Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416834 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager II - MS Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 156.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 82 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial)  Thomas Halbur  Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2012-2416888  Amount of Each Receipt this Period  34.62
Name of Employer  Novartis Animal Health US Inc.  Receipt For:  □ Primary □ General □ Other (specify) ▼	Occupation Director Research & Dvlpmnt  Aggregate Year-to-Date ▼  276.96	
Full Name (Last, First, Middle Initial)  Thomas Halbur  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  12 31 2012  Transaction ID : A2012-2697373
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Animal Health US Inc.	NJ 07936  C Occupation Director Research & Dvlpmnt	Amount of Each Receipt this Period  23.08
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initial)  Philip D Hall  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Alcon Laboratories Inc.  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Division Manager - SRG  Aggregate Year-to-Date ▼  250.00	Date of Receipt  12 31 2012  Transaction ID: A2012-2819887  Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	107.70
TOTAL This Period (last page this line number	only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sarah E Haller Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416122 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation VP International Public Policy Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Sarah E Haller Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699622 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** VP International Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) **c.** Thomas E Harper Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416059 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 143.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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С

Occupation

Asc Dir Operations Mgmt

Aggregate Year-to-Date ▼

23.08

Amount of Each Receipt this Period

FEC ID number of contributing

General

federal political committee.

Novartis Pharmaceuticals

Other (specify)

Name of Employer

Primary

Receipt For:

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sherri J Hatch Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416529 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regl Scientific Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Sherri J Hatch Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2700058 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regl Scientific Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416144 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation **Director Public Policy** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699276 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph P Hazelton Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416164 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 59.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Customer Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 471.60 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph P Hazelton Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699278 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 39.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Customer Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 511.30 Other (specify) 122.33 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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226 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard Heddens Date of Receipt Mailing Address One Health Plaza Ste 725 30 2012 City State Zip Code Transaction ID: A2012-2415722 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 223.06 Other (specify) Full Name (Last, First, Middle Initial) **B.** Richard Heddens Date of Receipt Mailing Address One Health Plaza Ste 725 12 31 2012 City State Zip Code Transaction ID: A2012-2700239 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 241.52 Other (specify) Full Name (Last, First, Middle Initial) c. David N Hering Date of Receipt Mailing Address 350 Massachusetts Avenue 30 11 2012 350 MA # 234D City Zip Code State Transaction ID: A2012-2415711 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Dir Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 278.88 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee						
Full Name (Last, First, Middle Initial)  A. David N Hering  Mailing Address 350 Massachusetts Avenue  350 MA # 234D	d N Hering  9 Address 350 Massachusetts Avenue  350 MA # 234D						
City	State Zip Code	12 31 2012 Transaction ID : A2012-2700229					
Cambridge	MA 02139	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	23.08					
Name of Employer	Occupation						
Novartis Vaccines & Diagnostics	Dir Marketing						
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  301.96						
Full Name (Last, First, Middle Initial)  William S Higgins  Mailing Address One Health Plaza		Date of Receipt					
City	State Zip Code	11 30 2012					
East Hanover	NJ 07936	Transaction ID : A2012-2416086					
	140 07930	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	42.12					
Name of Employer Novartis Pharmaceuticals	Occupation  Executive Sales Consultant						
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  336.96						
Full Name (Last, First, Middle Initial)  C. William S Higgins	1	Date of Receipt					
Mailing Address One Health Plaza		12 31 2012					
City	State Zip Code	Transaction ID : A2012-2699721					
East Hanover	NJ 07936	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	28.08					
Name of Employer	Occupation						
Novartis Pharmaceuticals	Executive Sales Consultant						
Receipt For:	Aggregate Year-to-Date ▼						
Primary General Other (specify) ▼	365.04						
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John A Hohneker Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699953 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Franchise Head IHC Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. William C Hokanson Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416071 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) c. William C Hokanson Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699284 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) 94.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Herve J Hoppenot Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416534 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head BU Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Herve J Hoppenot Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699162 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head BU Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. Otto C Horstmann II II Date of Receipt Mailing Address One Health Plaza 30 11 2012 City Zip Code State Transaction ID: A2012-2416283 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Employee Benefits Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 150.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and or for commercial purposes, other than using th	Statements may not be sold or used by any persone name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Otto C Horstmann II II  Mailing Address One Health Plaza		Date of Receipt
		12 31 2012
City	State Zip Code	Transaction ID : A2012-2699846
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Employee Benefits	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	300.04	
Full Name (Last, First, Middle Initial)  Cyrus M Hoseyni		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City  Fact Hangver	State Zip Code NJ 07936	Transaction ID : A2012-2416817
East Hanover		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Pharmaceuticals	IIS Global Franchise Head	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	276.96	
Full Name (Last, First, Middle Initial)  C. Cyrus M Hoseyni		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City Fact Hangyar	State Zip Code	Transaction ID : A2012-2699163
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	1
Novartis Pharmaceuticals	IIS Global Franchise Head	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	300.04	
SUBTOTAL of Receipts This Page (optional)		80.78
TOTAL This Period (last page this line number	<u> </u>	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kirk R Huber Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416206 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir IT QA Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Kirk R Huber Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699286 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir IT QA Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Karen A Jacobs Date of Receipt Mailing Address 4560 Horton Street 30 2012 City State Zip Code Transaction ID: A2012-2416237 CA Emeryville 94608-2916 Amount of Each Receipt this Period FEC ID number of contributing C 55.38 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Marketing Science** Receipt For: Aggregate Year-to-Date ▼ Primary General 443.04 Other (specify) 101.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Use separate schedule(s) for each category of the	(check only one)			
Detailed Summary Page	X 11a 11b	11c	12	
,	13 14	15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Karen A Jacobs Date of Receipt Mailing Address 4560 Horton Street 2012 31 City State Zip Code Transaction ID: A2012-2699291 CA Emeryville 94608-2916 Amount of Each Receipt this Period FEC ID number of contributing 36.92 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Marketing Science** Receipt For: Aggregate Year-to-Date ▼ Primary General 479.96 Other (specify) Full Name (Last, First, Middle Initial) B. Mary A Jarema Date of Receipt Mailing Address 4560 Horton Street 30 11 2012 City State Zip Code Transaction ID: A2012-2416378 CA 94608-2916 Emeryville Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Dir New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Mary A Jarema Date of Receipt Mailing Address 4560 Horton Street 31 2012 City State Zip Code Transaction ID: A2012-2699439 CA Emeryville 94608-2916 Amount of Each Receipt this Period FEC ID number of contributing C 18.46 federal political committee. Name of Employer Occupation Dir New Products Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General

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239.98

Other (specify)

FOR LINE NUMBER: PAGE 96 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edgar L Jarvis Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415967 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 48.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 387.60 Other (specify) Full Name (Last, First, Middle Initial) B. Edgar L Jarvis Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699294 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 32.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 419.90 Other (specify) Full Name (Last, First, Middle Initial) c. Scott R Jauch Date of Receipt Mailing Address 508 Ellworth Dr. 30 2012 City Zip Code State Transaction ID: A2012-2415777 MD Silver Spring 20910 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Director Government Affair Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 155.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Scott R Jauch Date of Receipt Mailing Address 508 Ellworth Dr. 2012 31 City Zip Code State Transaction ID: A2012-2700288 MD Silver Spring 20910 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Director Government Affair Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416539 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700042 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 96.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR I	LINE I	IUV	MBER	:	PAGE	. 6	8	OF	2	226
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Detailed Summary Page	X 1	11a		11b		11c		12			
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James Johngrass Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416778 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. James Johngrass Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699537 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals

Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) **c.** Bruce S Johnson Date of Receipt Mailing Address One Health Plaza 30 11 2012 Zip Code City State Transaction ID: A2012-2415839 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Product Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

73.84

### SCHEDULE A (FEC Form 3X)

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99 OF 226 Use separate schedule(s) ITEMIZED RECEIPTS for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bruce S Johnson Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699295 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Product Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Heather L Johnson Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416865 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation CIBA Vision Corporation Sr Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Heather L Johnson Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2694813 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation CIBA Vision Corporation Sr Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.61 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOR	LINE N	JU	MBER	:	PAGE	: 1	00 OF	2	226
Use separate schedule(s)	(chec	k only	on	ıe)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
	-   ·	13		14		15		16		17

	Statements may not be sold or used by any persue name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Sara Johnson-Davis  Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2012 Transaction ID : A2012-2416433  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer  Novartis Pharmaceuticals  Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Asc Director Gov't Relations  Aggregate Year-to-Date ▼  221.52	
Full Name (Last, First, Middle Initial)  Sara Johnson-Davis  Mailing Address One Health Plaza		Date of Receipt  12 312012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699844  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	18.46
Name of Employer  Novartis Pharmaceuticals  Receipt For:	Occupation Asc Director Gov't Relations	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)  Mark A Joines		Date of Receipt
Mailing Address One Health Plaza	7-0-1	11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416044  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer  Novartis Pharmaceuticals	Occupation Senior Area Sales Manager	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  221.52	
SUBTOTAL of Receipts This Page (optional)		73.84
TOTAL This Period (last page this line number	r only)	

## SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)    X   11a
Any information copied from such Reports and Statement or for commercial purposes, other than using the name a		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action (	Committee	
Receipt For:  Primary General  Other (specify) ▼	07936	Date of Receipt  12 31 2012  Transaction ID: A2012-2699297  Amount of Each Receipt this Period  18.46
Possint For:	07936	Date of Receipt  11 30 2012  Transaction ID: A2012-2415979  Amount of Each Receipt this Period  27.69
Possint For:	07936	Date of Receipt  12 31 2012  Transaction ID : A2012-2699298  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)		64.61

	FO	R LINE	NU	IMBER	:	PAGE	: 1	02 OF	 22
Use separate schedule(s)	(ch	eck only	or	ne)					
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	i	13		14		15		16	17

		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full)  Novartis Corporation Politica	Action Committee	
Full Name (Last, First, Middle Initial) Robert W Jones  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Animal Health US Inc.  Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Head International AH  Aggregate Year-to-Date   276.96	Date of Receipt  11 30 2012  Transaction ID: A2012-2416890  Amount of Each Receipt this Period  34.62
Full Name (Last, First, Middle Initial) Robert W Jones  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Animal Health US Inc.  Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Head International AH  Aggregate Year-to-Date   300.04	Date of Receipt  12 31 2012  Transaction ID: A2012-2697375  Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  Deborah Juterbock  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Assoc Director Knowlegde Mgmt  Aggregate Year-to-Date ▼  276.96	Date of Receipt  11 30 2012  Transaction ID: A2012-2416395  Amount of Each Receipt this Period  34.62
SUBTOTAL of Receipts This Page (optional	)	92.32
TOTAL This Period (last page this line num	ber only)	

## SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)       X       11a       11b       11c       12       13       14       15       16       17
Any information copied from such Reports and Stateme or for commercial purposes, other than using the name		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Committee	
Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify) ▼  Asso	'	Date of Receipt  12 31 2012  Transaction ID: A2012-2699166  Amount of Each Receipt this Period  23.08
Novartis Pharmaceuticals  VP O	'	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Novartis Pharmaceuticals  VP C		Date of Receipt  12 31 2012  Transaction ID: A2012-2699954  Amount of Each Receipt this Period  80.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	143.08

	FOF	R LINE	NU	IMBER	:	PAGE	1	04 OF	2	2
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16	<b>-</b>	17

	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial)  Bella B Karbachinskaya  Mailing Address One Health Plaza		Date of Receipt
		11 30 2012
City	State Zip Code NJ 07936	Transaction ID : A2012-2416387
East Hanover	143 07930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Pharmaceuticals	Asc Director Ops Research	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify)	276.96	
Full Name (Last, First, Middle Initial)  Bella B Karbachinskaya		Date of Receipt
Mailing Address One Health Plaza		12 312012
City	State Zip Code	Transaction ID : A2012-2699975
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Asc Director Ops Research	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		11 30 2012 _
City	State Zip Code	Transaction ID : A2012-2416544
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.55
Name of Employer	Occupation	
Novartis Pharmaceuticals	District Manager II	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	332.40	
SUBTOTAL of Receipts This Page (optional)	····	99.25
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699300 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) Full Name (Last, First, Middle Initial) B. Chandraprakash Kasireddy Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2415790 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Sandoz Inc. Sr. Manager Preformulation Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Chandraprakash Kasireddy Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700197 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Sandoz Inc. Sr. Manager Preformulation Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 73.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 106 OF 226 Use separate schedule(s) (check only one) X 11a 11b 11c 12 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Claudia Kelly Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2012 City Zip Code State Transaction ID: A2012-2415757 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Exec Reg Vaccines Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 278.88 Other (specify) Full Name (Last, First, Middle Initial) B. Claudia Kelly Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2012 City State Zip Code Transaction ID: A2012-2700280 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Exec Reg Vaccines Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 301.96 Other (specify) Full Name (Last, First, Middle Initial) c. Nicole Kendrick Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415785 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Sandoz Inc. National Account Sr. Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 92.32 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page	X	11a		11b		11c		12			
		13		14		15		16		17	

Any information copied from such Reports and S or for commercial purposes, other than using the					
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Committee				
Full Name (Last, First, Middle Initial)  Nicole Kendrick  Mailing Address One Health Plaza	Date of Receipt				
Mailing Address One Health Plaza	12 31 2012				
City Fact Hangyar	State Zip Code NJ 07936	Transaction ID : A2012-2700207			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	С	23.08			
Name of Employer	Occupation				
Sandoz Inc.	National Account Sr. Manager				
Receipt For:	Aggregate Year-to-Date ▼				
Primary General  Other (specify) ▼	300.04				
Full Name (Last, First, Middle Initial)  Thomas N Kendris	Date of Receipt				
Mailing Address One Health Plaza		M M / D D / Y H Y H Y			
City	State Zip Code	11 30 2012 Transaction ID : A2012-2415832			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	С	138.45			
Name of Employer	Occupation				
Novartis Pharmaceuticals	VP & General Counsel				
Receipt For: Primary General	Aggregate Year-to-Date ▼				
Other (specify) ▼	, 1107.60				
Full Name (Last, First, Middle Initial)  C. Thomas N Kendris		Date of Receipt			
Mailing Address One Health Plaza		12 31 2012			
City	State Zip Code	Transaction ID : A2012-2699169			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	92.30			
Name of Employer	Occupation				
Novartis Pharmaceuticals	VP & General Counsel				
Receipt For:	Aggregate Year-to-Date ▼				
Primary General  Other (specify) ▼	1199.90				
SUBTOTAL of Receipts This Page (optional)		253.83			
TOTAL This Period (last page this line number of	only)				

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2700198 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Name of Employer Occupation VP Legal & IP NA Gen Counsel Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2422.98 Other (specify) Full Name (Last, First, Middle Initial) B. Richard E Knapp Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416014 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 346.14 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Executive Director State Gov' Receipt For: Aggregate Year-to-Date ▼ Primary General 2769.12 Other (specify) Full Name (Last, First, Middle Initial) c. Richard E Knapp Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699644 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation Executive Director State Gov' Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 2999.88 Other (specify) 692.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Robert W Kowalski  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation SVP & Global Head DRA  Aggregate Year-to-Date ▼  1199.90	Date of Receipt  12 31 2012  Transaction ID: A2012-2699171  Amount of Each Receipt this Period  92.30
Full Name (Last, First, Middle Initial)  Joseph M Kulak  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Services Incorporated  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation VP Hlth Sfty & Environ  Aggregate Year-to-Date ▼  221.52	Date of Receipt  11 30 2012  Transaction ID: A2012-2416547  Amount of Each Receipt this Period  27.69
Full Name (Last, First, Middle Initial)  Joseph M Kulak  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Services Incorporated  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation VP Hlth Sfty & Environ  Aggregate Year-to-Date ▼  239.98	Date of Receipt  12 31 2012  Transaction ID: A2012-2699306  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)	)	138.45
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christian Lease Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 212E 2012 31 City Zip Code State Transaction ID: A2012-2700241 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Immunization Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 301.96 Other (specify) Full Name (Last, First, Middle Initial) B. Richard E Lemire Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415809 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 127.86 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 1019.31 Other (specify) Full Name (Last, First, Middle Initial) c. Richard E Lemire Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699307 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 85.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 1104.55 Other (specify) 236.18 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard Lloyd Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2698709 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation **CBU Head North America** Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416300 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation **NIBRI** VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699311 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation VP Public Affairs NIBRI Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) 207.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	d Statements may not be sold or used by any pers the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Frederic D Loveland  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Executive Director R&D Ops  Aggregate Year-to-Date ▼  300.04	Date of Receipt  12 31 2012  Transaction ID : A2012-2699313  Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  Brenda Luckritz  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Services Incorporated  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation VP Public Affairs  Aggregate Year-to-Date ▼  634.00	Date of Receipt  11 30 2012  Transaction ID: A2012-2415924  Amount of Each Receipt this Period  75.00
Full Name (Last, First, Middle Initial)  Brenda Luckritz  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Services Incorporated  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation VP Public Affairs  Aggregate Year-to-Date ▼  684.00	Date of Receipt  12 31 2012  Transaction ID: A2012-2700014  Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	148.08
TOTAL This Period (last page this line numb	per only)	

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Judith Madsen Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415911 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Judith Madsen Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699895 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph T Majka Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416176 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Patent Attorney III Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 92.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Committee	
Full Name (Last, First, Middle Initial)  1. Frank Maness		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416765  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	42.12
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation VP HR  Aggregate Year-to-Date ▼  336.96	- -
Full Name (Last, First, Middle Initial)  Frank Maness  Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699319  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer Novartis Pharmaceuticals	Occupation VP HR	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 365.04	
Full Name (Last, First, Middle Initial)  Frank Manolios		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416083  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Sr Sales Consultant  Aggregate Year-to-Date ▼  360.00	_
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Frank Manolios Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699892 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) B. Annette A Mansfield Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416240 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Annette A Mansfield Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699803 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 76.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Bryan A Maring  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Area Sales Manager II  Aggregate Year-to-Date ▼  221.52	Date of Receipt  11 30 2012  Transaction ID: A2012-2416468  Amount of Each Receipt this Period  27.69
Full Name (Last, First, Middle Initial)  Bryan A Maring  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify)   Other (specify)	State Zip Code NJ 07936  C  Occupation Area Sales Manager II  Aggregate Year-to-Date ▼	Date of Receipt  12 31 2012  Transaction ID: A2012-2699320  Amount of Each Receipt this Period  18.46
Full Name (Last, First, Middle Initial)  Daniel B Maring  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation District Manager II  Aggregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID : A2012-2416725  Amount of Each Receipt this Period  27.69
SUBTOTAL of Receipts This Page (optional)	<u> </u>	73.84
TOTAL This Period (last page this line numb	er only)	

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Teresa Mason Date of Receipt Mailing Address One Health Plaza 2012 31 City State Zip Code Transaction ID: A2012-2699323 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) B. Julie Masow Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416786 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Services Incorporated **Director Public Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) **c.** Julie Masow Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699597 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Services Incorporated **Director Public Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher R McBurney Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699486 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Product Management Receipt For: Aggregate Year-to-Date ▼ Primary General 249.21 Other (specify)

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С

Occupation

Head of Communications NA

Aggregate Year-to-Date ▼

34.62

federal political committee.

Novartis Animal Health US Inc.

Other (specify)

General

Name of Employer

Primary

Receipt For:

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial)  Michael P McDermott  Mailing Address, One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		12 31 _ 2012 _
City	State Zip Code	Transaction ID : A2012-2697377
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	†
Novartis Animal Health US Inc.	Head of Communications NA	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	300.04	
Full Name (Last, First, Middle Initial)  Catharine M McGeehan		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City	State Zip Code	Transaction ID : A2012-2416035
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	138.45
Name of Employer Novartis Pharmaceuticals	Occupation Asc Director Gov't Relations	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	1107.60	
Full Name (Last, First, Middle Initial)  C. Catharine M McGeehan	I	Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City	State Zip Code	Transaction ID : A2012-2700089
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	†
Novartis Pharmaceuticals	Asc Director Gov't Relations	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	1199.90	
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Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward D McGough Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2819914 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation SVP Global Mfg & Tech Ops Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edward J McKenna Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416731 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) c. Edward J McKenna Date of Receipt Mailing Address One Health Plaza 31 2012 Zip Code City State Transaction ID: A2012-2699718 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation

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360.10

Account Mgr II

Aggregate Year-to-Date ▼

Novartis Pharmaceuticals

Other (specify)

General

Receipt For:

Primary

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

	J	7.1	****
$\rangle$	NAME OF COMMITTEE (In Full)  Novartis Corporation Political Ac	ction Committee	
Α.	Full Name (Last, First, Middle Initial) William D McLaury  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)  Other (specify)	State Zip Code NJ 07936  C  Occupation  Exec Dir Supply Chain Mngmt  Aggregate Year-to-Date ▼  1107.60	Date of Receipt  11 30 2012  Transaction ID: A2012-2416061  Amount of Each Receipt this Period  138.45
3.	Full Name (Last, First, Middle Initial)  William D McLaury  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation  Exec Dir Supply Chain Mngmt  Aggregate Year-to-Date ▼  1199.90	Date of Receipt  12 31 2012  Transaction ID : A2012-2699333  Amount of Each Receipt this Period  92.30
<b>.</b>	Full Name (Last, First, Middle Initial)  Matthew A McNally  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify)	State Zip Code NJ 07936  C  Occupation Oncology Specialist  Aggregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID: A2012-2416774  Amount of Each Receipt this Period  27.69
	SUBTOTAL of Receipts This Page (optional)	<u>^</u> _	258.44
Т	OTAL This Period (last page this line number of	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew A McNally Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699534 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416850 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. OTC Region BU Head Americas Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) c. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2698711 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation OTC Region BU Head Americas Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) 249.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	I Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Joshua Meikrantz  Meiling Address One Health Place		Date of Receipt
Mailing Address One Health Plaza		11 30 _ 2012 _
Ste 725 City	State Zip Code	11 30 2012 Transaction ID : A2012-2415721
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	223.06	
Full Name (Last, First, Middle Initial)  Joshua Meikrantz		Date of Receipt
Mailing Address One Health Plaza Ste 725		12 31 2012
City	State Zip Code	Transaction ID : A2012-2700232
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	18.46
Name of Employer Novartis Vaccines & Diagnostics	Occupation  Manager	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General Other (specify) ▼	241.52	
Full Name (Last, First, Middle Initial)		
Gary J Menichini		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416869  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	75.00
Name of Employer	Occupation	-
CIBA Vision Corporation	CEG Div Head US Pharma Mkt	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	225.00	
SUBTOTAL of Receipts This Page (optional).	····	121.15
TOTAL This Period (last page this line numb	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Gary J Menichini Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2694817 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation CEG Div Head US Pharma Mkt **CIBA Vision Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Wayne P Merkelson Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416261 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 103.86 federal political committee. Name of Employer Occupation **Novartis Finance Corporation** VP Tax Law Receipt For: Aggregate Year-to-Date ▼ Primary General 830.88 Other (specify) Full Name (Last, First, Middle Initial) c. Wayne P Merkelson Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699336 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation **VP Tax Law Novartis Finance Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 900.12 Other (specify) 223.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Susan J Millard Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416876 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation CEG Head HR Americas **CIBA Vision Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Susan J Millard Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2694824 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation CIBA Vision Corporation CEG Head HR Americas Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donald J Miller Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416565 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 159.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Full Name (Last, First, Middle Initial) c. Laurie C Mills Date of Receipt Mailing Address One Health Plaza 31 2012 Zip Code City State Transaction ID: A2012-2699161 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Clinical Research Asc **Novartis Clinical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.10

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael Moore Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416893 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation (FAB) Sr. PSV Swine Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Moore Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2697378 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Animal Health US Inc. (FAB) Sr. PSV Swine Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Stacey L Moore Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416017 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 52.05 С federal political committee. Name of Employer Occupation **Exec Xolair Specialist** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 414.85 Other (specify) 109.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jyun J Nakamura Date of Receipt Mailing Address One Health Plaza 2012 12 31 City State Zip Code Transaction ID: A2012-2699565 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals LTC Account Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Vasant Narasimhan Date of Receipt Mailing Address 350 Massachusetts Avenue 30 11 2012 City State Zip Code Transaction ID: A2012-2415767 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Region Head of North America Receipt For: Aggregate Year-to-Date ▼ Primary General 1115.30 Other (specify) Full Name (Last, First, Middle Initial) c. Vasant Narasimhan Date of Receipt Mailing Address 350 Massachusetts Avenue 31 2012 City Zip Code State Transaction ID: A2012-2700219 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Region Head of North America Receipt For: Aggregate Year-to-Date ▼ Primary General 1207.60 Other (specify) 249.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John Neal Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2012 City Zip Code State Transaction ID: A2012-2415770 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 96.93 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Head Meningococcal Market Access Receipt For: Aggregate Year-to-Date ▼ Primary General 780.82 Other (specify) Full Name (Last, First, Middle Initial) B. John Neal Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2012 City State Zip Code Transaction ID: A2012-2700234 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 64.62 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Head Meningococcal Market Access Receipt For: Aggregate Year-to-Date ▼ Primary General 845.44 Other (specify) Full Name (Last, First, Middle Initial) c. Clifford R Neukrug Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416723 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 196.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Clifford R Neukrug Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699343 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher T Neurohr Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415794 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Sandoz Inc. **Director National Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher T Neurohr Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700209 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 С federal political committee. Name of Employer Occupation **Director National Accounts** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  An V Nguyen  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation AD Sr Application Manager  Aggregate Year-to-Date ▼  276.96	Date of Receipt  11 30 2012  Transaction ID : A2012-2416680  Amount of Each Receipt this Period  34.62
Full Name (Last, First, Middle Initial)  An V Nguyen  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation AD Sr Application Manager  Aggregate Year-to-Date ▼  300.04	Date of Receipt  12 31 2012  Transaction ID: A2012-2699446  Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  James D Nickoli  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Neuro-Psych Specialist  Aggregate Year-to-Date ▼  221.52	Date of Receipt  11 30 2012  Transaction ID : A2012-2416344  Amount of Each Receipt this Period  27.69
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	85.39
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NAME OF COMMITTEE (In Full)	Nation Committee	
angle Novartis Corporation Political $A$	Action Committee	
Full Name (Last, First, Middle Initial)  A. James D Nickoli		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City	State Zip Code	Transaction ID : A2012-2699652
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Neuro-Psych Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	239.98	
Full Name (Last, First, Middle Initial)  James R Niebanck		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City	State Zip Code	Transaction ID : A2012-2416127
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	42.12
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Operations	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 336.96	
Full Name (Last, First, Middle Initial)  C. James R Niebanck		Date of Receipt
Mailing Address One Health Plaza		12 312012 _
City	State Zip Code	Transaction ID : A2012-2699346
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Operations	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	365.04	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	88.66
TOTAL This Period (last page this line numbe	r only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael A Niesel Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2416575 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Xolair Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Michael A Niesel Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2700191 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Xolair Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Sharon L Nobles Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415996 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Sharon L Nobles  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation District Manager II  Aggregate Year-to-Date ▼  300.04	Date of Receipt  12 31 2012  Transaction ID: A2012-2699347  Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  Hugh M O'Dowd  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify)   Other (specify)	State Zip Code NJ 07936  C  Occupation Latam Region Head Oncology  Aggregate Year-to-Date ▼  553.92	Date of Receipt  11 30 2012  Transaction ID: A2012-2416019  Amount of Each Receipt this Period  69.24
Full Name (Last, First, Middle Initial)  Hugh M O'Dowd  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Latam Region Head Oncology  Aggregate Year-to-Date ▼  600.08	Date of Receipt  12 31 2012  Transaction ID: A2012-2699173  Amount of Each Receipt this Period  46.16
SUBTOTAL of Receipts This Page (optional)	<u> </u>	138.48
TOTAL This Period (last page this line numbe	r only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Shawn O'Neail Date of Receipt Mailing Address 608 Fifth Avenue 30 2012 City Zip Code State Transaction ID: A2012-2416824 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) B. Shawn O'Neail Date of Receipt Mailing Address 608 Fifth Avenue 12 31 2012 City State Zip Code Transaction ID: A2012-2699617 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) c. Sharon N Olmstead Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416839 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation VP DRA Liaison Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 299.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	nd Statements may not be sold or used by any per-	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political	I Action Committee	
Full Name (Last, First, Middle Initial) Sharon N Olmstead  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation VP DRA Liaison  Aggregate Year-to-Date ▼  600.08	Date of Receipt  12 31 2012  Transaction ID : A2012-2699349  Amount of Each Receipt this Period  46.16
Full Name (Last, First, Middle Initial)  John J Orloff  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Chrm Prdt Stwrdshp Bd & CMO  Aggregate Year-to-Date ▼  276.96	Date of Receipt  11 30 2012  Transaction ID: A2012-2416576  Amount of Each Receipt this Period  34.62
Full Name (Last, First, Middle Initial)  John J Orloff  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Chrm Prdt Stwrdshp Bd & CMO  Aggregate Year-to-Date ▼  300.04	Date of Receipt  12 31 2012  Transaction ID : A2012-2699174  Amount of Each Receipt this Period  23.08
SUBTOTAL of Receipts This Page (optional	)	103.86
TOTAL This Period (last page this line numl	ber only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey S Osmundson Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415930 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Area Sales Manager II - MS Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey S Osmundson Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699351 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager II - MS Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Craig S Osten Date of Receipt Mailing Address One Health Plaza 30 11 2012 City Zip Code State Transaction ID: A2012-2415838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation VP Corp Plan & SIs Dmnd Anlys Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 115.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Craig S Osten Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2699352 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Corp Plan & Sls Dmnd Anlys Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Serafina Oxner Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416279 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Strategic Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Serafina Oxner Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699353 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Strategic Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) 161.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John M Pakulski Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415792 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Sandoz Inc. Director Reg. Affairs-Spec Bi Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) B. John M Pakulski Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2700202 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Sandoz Inc. Director Reg. Affairs-Spec Bi Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph S Palumbo Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416238 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Org. Effectiveness Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 265.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Joseph S Palumbo  Mailing Address One Health Plaza  City  East Hanover	State Zip Code NJ 07936	Date of Receipt  12 31 2012  Transaction ID: A2012-2699447  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  Other (specify) ▼	Occupation Asc Dir Org. Effectiveness  Aggregate Year-to-Date ▼  300.04	23.08
Full Name (Last, First, Middle Initial)  Melissa A Parker  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  11 30 2012  Transaction ID : A2012-2416318
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals	NJ 07936  C Occupation	Amount of Each Receipt this Period 41.55
Receipt For:  Primary General  Other (specify) ▼	Regional Dir Acct Management  Aggregate Year-to-Date ▼  332.40	
Full Name (Last, First, Middle Initial)  Melissa A Parker  Mailing Address One Health Plaza		Date of Receipt  12 31 2012
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Regional Dir Acct Management  Aggregate Year-to-Date ▼  360.10	Transaction ID : A2012-2699355  Amount of Each Receipt this Period  27.70
SUBTOTAL of Receipts This Page (optional).		92.33
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bret D Peterson Date of Receipt Mailing Address One Health Plaza 2012 31 City State Zip Code Transaction ID: A2012-2699717 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Neuro-Psych Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. David Picard Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415788 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Sandoz Inc. VPInstSIsMktg Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. David Picard Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700199 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation VPInstSIsMktg Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 76.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Elizabeth A Pinamonti Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416088 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Elizabeth A Pinamonti Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699850 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Elvira Ponce Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2012 City Zip Code State Transaction ID: A2012-2415763 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Dir Medical Affairs Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 278.88 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kelly D Prather Date of Receipt Mailing Address One Health Plaza 2012 31 City State Zip Code Transaction ID: A2012-2699360 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey P Prejean Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2416414 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Jeffrey P Prejean Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699361 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation District Manager II Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John T Price Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416848 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Manager HS&E EONS Labs Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. John T Price Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2698713 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation EONS Labs Inc. Manager HS&E Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) **c.** J. C Proctor Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416656 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 73.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

## SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the  Detailed Summary Page	(check only one)    X   11a
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Committee	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify)   Other (specify)   Other (specify)	ate Zip Code J 07936  Upation cutive Sales Consultant regate Year-to-Date ▼  239.98	Date of Receipt  12 31 2012  Transaction ID: A2012-2699468  Amount of Each Receipt this Period  18.46
Novartis Pharmaceuticals  Sr On	'	Date of Receipt  11 30 2012  Transaction ID: A2012-2416018  Amount of Each Receipt this Period  27.69
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Property For:	ate Zip Code J 07936  Upation Incology Specialist regate Year-to-Date ▼  239.98	Date of Receipt  12 31 2012  Transaction ID: A2012-2699823  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	64.61

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stuart Raetzman Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2819912 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation VP Area Pres-US & Global Mktg Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Anne Ramsey Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415775 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** District Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Anne Ramsey Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700286 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics District Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE	NUMBER	: PAGE	174 OF	226
Use separate schedule(s) for each category of the	(check only	one)			
Detailed Summary Page	X 11a	11b	11c	12	
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or for commercial purposes, other than using  NAME OF COMMITTEE (In Full)  Novartis Corporation Political	the name and address of any political committee  Action Committee	to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Timothy A Rancourt Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Account Mgr  Aggregate Year-to-Date ▼  276.96	Date of Receipt  11 30 2012  Transaction ID: A2012-2416293  Amount of Each Receipt this Period  34.62
Full Name (Last, First, Middle Initial)  Timothy A Rancourt  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Account Mgr  Aggregate Year-to-Date ▼  300.04	Date of Receipt  12 31 2012  Transaction ID: A2012-2699763  Amount of Each Receipt this Period  23.08
Full Name (Last, First, Middle Initial)  Marc B Reuss  Mailing Address 350 Massachusetts Avenu  City Cambridge  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary General Other (specify)	State Zip Code MA 02139  C  Occupation Head Human Resources  Aggregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID: A2012-2415769  Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	107.70
TOTAL This Period (last page this line numb	ner only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Marc B Reuss Date of Receipt Mailing Address 350 Massachusetts Avenue 2012 31 City Zip Code State Transaction ID: A2012-2700216 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Head Human Resources Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Margaret Riccobono Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415789 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Sandoz Inc. Assoc Director L&D Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Margaret Riccobono Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700200 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Assoc Director L&D Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 107.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

## SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)    X   11a
Any information copied from such Reports and Statements or for commercial purposes, other than using the name and		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action Co	ommittee	
Receipt For:  Primary  General  Other (specify) ▼  Aggrega	Zip Code 07936 ion Manager II ite Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID: A2012-2416138  Amount of Each Receipt this Period  27.69
Descipt For:	Zip Code 07936 ion lanager II tte Year-to-Date ▼	Date of Receipt  12 31 2012  Transaction ID: A2012-2699367  Amount of Each Receipt this Period  18.46
Pagaint For:	Zip Code 07936 ion rector Sales Training the Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID: A2012-2416056  Amount of Each Receipt this Period  27.69
SUBTOTAL of Receipts This Page (optional)		73.84

FOR LINE NUMBER: PAGE 177 OF (check only one) X 11a 11b 12 11c

226 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Keith C Riddiford Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2699472 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Exec. Director Sales Training Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416234 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 346.14 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2769.12 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699175 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation VP Public Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 2999.88 Other (specify) 595.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

## SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 178 OF 226

Т	EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check	la .		e) 11b 14	11c	$\vdash$	12 16	17
	y information copied from such Reports and Sta for commercial purposes, other than using the							soliciting	g con	tributio	ns
$\overline{\ \ }$	NAME OF COMMITTEE (In Full)  Novartis Corporation Political Ac	tion Con	nmittee								
Δ.	Full Name (Last, First, Middle Initial) Randi C Roberts  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)   Other (specify)	State NJ C Occupation VP Busines Aggregate		Tr	11 ans	actio	30 on ID: /		20 2 <b>4165</b>	92	4
3.	Full Name (Last, First, Middle Initial) Randi C Roberts  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼	State NJ  C  Occupation VP Business Aggregate		Tr	12 <b>ans</b>	actio	31 on ID: A			12 <b>68</b>	6
<b>-</b> D.	Full Name (Last, First, Middle Initial) Allan P Robinson  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)		Zip Code 07936 ict Manager Year-to-Date ▼	Tr	11 rans	/ acti	on ID:		201 <b>24167</b>	27	32
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Allan P Robinson Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699369 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph A Robinson Jr. Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416780 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Representative Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph A Robinson Jr. Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699541 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Representative Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 69.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FO	R LINE	NU	MBER	:	PAGE	1	80 OF	=	226
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Detailed Summary Page	>	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Laurie J Roemisch Date of Receipt Mailing Address One Health Plaza 30 2012 City State Zip Code Transaction ID: A2012-2416330 07936 East Hanover NJ Amount of Each Receipt this Period FEC ID number of contributing C 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Laurie J Roemisch Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699967 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee.

	Name of Employer	Occupation	
	Novartis Pharmaceuticals	Sr Oncology Specialist	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  239.98	
C.	Full Name (Last, First, Middle Initial) Diane M Rogers		Date of Receipt
	Mailing Address 608 Fifth Avenue		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State Zip Code	Transaction ID : A2012-2415765
	New York	NY 10020	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	41.55
	Name of Employer	Occupation	
	Novartis Vaccines & Diagnostics	Manager	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 334.70	

SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Diane M Rogers Date of Receipt Mailing Address 608 Fifth Avenue 31 2012 City Zip Code State Transaction ID: A2012-2700235 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 362.40 Other (specify) Full Name (Last, First, Middle Initial) B. Rodney M Roggow Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415931 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Rodney M Roggow Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700091 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation **Executive Sales Consultant** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 85.40 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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	d Statements may not be sold or used by any pers the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Bruce Ruscio  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Vaccines & Diagnostics  Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Manager  Aggregate Year-to-Date ▼  409.83	Date of Receipt  11 30 2012  Transaction ID: A2012-2415706  Amount of Each Receipt this Period  34.59
Full Name (Last, First, Middle Initial)  Bruce Ruscio  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Manager  Aggregate Year-to-Date ▼  432.89	Date of Receipt  12 31 2012  Transaction ID: A2012-2700240  Amount of Each Receipt this Period  23.06
Full Name (Last, First, Middle Initial)  Jason T Russell  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Account Mgr  Aggregate Year-to-Date ▼  679.50	Date of Receipt  11 30 2012  Transaction ID : A2012-2415883  Amount of Each Receipt this Period  85.41
SUBTOTAL of Receipts This Page (optional)	) <b>&gt;</b>	143.06
TOTAL This Period (last page this line numb	per only)	

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NAME OF COMMITTEE (In Full)	* *	
igr > Novartis Corporation Poli	itical Action Committee	
Full Name (Last, First, Middle Initial)  A. Jason T Russell		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City	State Zip Code	Transaction ID : A2012-2699909
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	56.94
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Account Mgr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	736.44	
Full Name (Last, First, Middle Initial)  Peter Rzewnicki		Date of Receipt
Mailing Address 350 Massachusetts	Avenue	M M / D D / Y Y Y Y
350 MA # 234F	State Zip Code	11 30 2012
City	State Zip Code MA 02139	Transaction ID : A2012-2415712
Cambridge	IVIA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Dir Marketing	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	278.88	
Full Name (Last, First, Middle Initial)  C. Peter Rzewnicki	•	Date of Receipt
Mailing Address 350 Massachusetts 350 MA # 234F		12 31 2012
City	State Zip Code	Transaction ID : A2012-2700246
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	+
Novartis Vaccines & Diagnostics	Dir Marketing	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	301.96	
SUBTOTAL of Receipts This Page (op	otional)	114.64
TOTAL This Period (last page this line	e number only)	

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Roger F Samartino Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416246 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Roger F Samartino Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699372 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Cristina L Santos Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416822 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Asc Dir Multicultural Mktg Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 73.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 185 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Cristina L Santos Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699606 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Multicultural Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Robert J Sardinha Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416598 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals LTC Account Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Robert J Sardinha Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700132 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals LTC Account Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 186 OF 226 Use separate schedule(s) (check only one) X 11a 11b 11c 12 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) S. M Seeland Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416392 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. S. M Seeland Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699480 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Sellin Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416764 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Dir Sales Force Tech Strat Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 99.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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		13		14		15		16		1

or for commercial purposes, other than using the	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Christopher Sellin  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Dir Sales Force Tech Strat  Aggregate Year-to-Date ▼	Date of Receipt  12 31 2012  Transaction ID: A2012-2699532  Amount of Each Receipt this Period  27.70
Full Name (Last, First, Middle Initial)  Dawn M Shaffer  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Oncol Area Sales Manager  Aggregate Year-to-Date ▼  221.52	Date of Receipt  11 30 2012  Transaction ID: A2012-2416030  Amount of Each Receipt this Period  27.69
Full Name (Last, First, Middle Initial)  Dawn M Shaffer  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Oncol Area Sales Manager  Aggregate Year-to-Date ▼  239.98	Date of Receipt  12 31 2012  Transaction ID: A2012-2699377  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	73.85
TOTAL This Period (last page this line number	r only)	

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Detailed Summary Page	X	11a		11b		11c		12		
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	d Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full)	A. ()	
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  A. Christi L Shaw		Date of Receipt
Mailing Address 44 Guinea Hollow Rd		11 30 2012
City	State Zip Code	Transaction ID : A2012-2416825
Lebanon	NJ 08833	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	
Novartis Pharmaceuticals	Exec VP & N Amer Region Hd	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	553.92	
Full Name (Last, First, Middle Initial)  3. Christi L Shaw		Date of Receipt
Mailing Address 44 Guinea Hollow Rd		12 31 _2012 _
City	State Zip Code	Transaction ID : A2012-2699177
Lebanon	NJ 08833	Amount of Each Receipt this Period
FEC ID number of contributing	0	
federal political committee.	C	46.16
Name of Employer	Occupation	
Novartis Pharmaceuticals		
Receipt For:	Exec VP & N Amer Region Hd	-
Primary General	Aggregate Year-to-Date ▼	
Other (specify) $\blacktriangledown$	600.08	
Full Name (Last, First, Middle Initial)  Deborah B Shertzer		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2012-2416601
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Operations	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	221.52	
SUBTOTAL of Receipts This Page (optional)		143.09
TOTAL This Period (last page this line numb	er only)	

### SCHEDULE A (FEC Form 3X) 17

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ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)       X     11a     11b     11c     12       13     14     15     16     17
Any information copied from such Reports and Statements or for commercial purposes, other than using the name and	may not be sold or used by any pel address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action Co	ommittee	
Full Name (Last, First, Middle Initial)  A. Deborah B Shertzer  Mailing Address One Health Plaza  City State  East Hanover NJ	Zip Code 07936	Date of Receipt  12 31 2012  Transaction ID: A2012-2700182
FEC ID number of contributing federal political committee.		Amount of Each Receipt this Period  18.46
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify) ▼  Occupati  Dir Opera  Aggrega		
Full Name (Last, First, Middle Initial)  B. Deborah Siakel  Mailing Address One Health Plaza		Date of Receipt  1.1 30 2012
City State East Hanover NJ  FEC ID number of contributing federal political committee.  Name of Employer Occupati Account Novartis Pharmaceuticals  Receipt For: Aggregar  Other (specify) ▼		Transaction ID: A2012-2416603  Amount of Each Receipt this Period  34.62
Full Name (Last, First, Middle Initial)  C. Deborah Siakel  Mailing Address One Health Plaza  City State East Hanover NJ  FEC ID number of contributing federal political committee.  Name of Employer Occupati Novartis Pharmaceuticals  Receipt For: Aggregar  Other (specify)   Occupati	Mgr II te Year-to-Date ▼  300.04	Date of Receipt  12 31 2012  Transaction ID: A2012-2700188  Amount of Each Receipt this Period  23.08
SUBTOTAL of Receipts This Page (optional)		76.16

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sherrie L Simms Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416606 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Executive Director HRP&D Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Sherrie L Simms Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699167 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Director HRP&D** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph M Simon Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416048 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 55.38 С federal political committee. Name of Employer Occupation **Exec Xolair Specialist** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 443.04 Other (specify) 113.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joseph M Simon Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2699673 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.92 federal political committee. Name of Employer Occupation Exec Xolair Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 479.96 Other (specify) Full Name (Last, First, Middle Initial) B. William E Simon Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416139 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. William E Simon Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699884 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation **Executive Sales Consultant** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 83.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David P Sipe Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416106 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. David P Sipe Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699835 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. John G Slick Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416353 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 73.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John G Slick Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2699382 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Gregory R Slyfield Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416607 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Executive Director State Gov' Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Gregory R Slyfield Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699383 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Executive Director State Gov' Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 194 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael F Smith Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416823 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Glbl Head Clinical Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Michael F Smith Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699385 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Glbl Head Clinical Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Katherine E Solon Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416666 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 346.14 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2769.12 Other (specify) 403.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Katherine E Solon Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699515 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2999.88 Other (specify) Full Name (Last, First, Middle Initial) B. Curtis Sosnowski Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416011 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Business Relationship Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) **c.** Curtis Sosnowski Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699469 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Business Relationship Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 276.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William S Spelta Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415862 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) B. William S Spelta Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699389 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) c. Donald R Stanski Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416658 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Global Hd Modeling & Simulatio Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 104.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

# SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the  Detailed Summary Page	(check only one)       X       11a       11b       11c       12       13       14       15       16       17
	nd Statements may not be sold or used by any per the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)  Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Donald R Stanski  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt  12 31 2012  Transaction ID : A2012-2699178  Amount of Each Receipt this Period
federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	C Occupation Global Hd Modeling & Simulatio  Aggregate Year-to-Date ▼ 300.04	23.08
Full Name (Last, First, Middle Initial)  3. Donald E Stecher  Mailing Address One Health Plaza		Date of Receipt  11 30 2012
City  East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Amount of Each Receipt this Period  34.62
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation Asc Director Gov't Relations  Aggregate Year-to-Date ▼  276.96	
Full Name (Last, First, Middle Initial)  Donald E Stecher  Mailing Address One Health Plaza		Date of Receipt
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Asc Director Gov't Relations  Aggregate Year-to-Date ▼  300.04	12 31 2012  Transaction ID : A2012-2699658  Amount of Each Receipt this Period  23.08
SUBTOTAL of Receipts This Page (optional	)	80.78
TOTAL This Period (last page this line num	ber only)	

FOR LINE NUMBER: PAGE 198 OF 226 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415827 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 159.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1273.92 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2700083 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 106.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1380.08 Other (specify) Full Name (Last, First, Middle Initial) c. Ashley D Stephens Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416357 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 293.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Ashley D Stephens Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699393 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415946 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 103.86 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 830.88 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2700049 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Director State Gov't Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 900.12 Other (specify) 191.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	2	200 OF	22
Use separate schedule(s)	(che	ck only	or or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c		12	
		13		14		15		16	17

	Statements may not be sold or used by any pers are name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Lesley J Stickley  Mailing Address One Health Plaza	Date of Receipt	
City East Hanover	State Zip Code NJ 07936	11 30 2012 Transaction ID : A2012-2416009  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General □ Other (specify) ▼	Occupation Sr Account Mgr  Aggregate Year-to-Date ▼  276.96	
Full Name (Last, First, Middle Initial)  Lesley J Stickley  Mailing Address One Health Plaza		Date of Receipt  12 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2699657  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Pharmaceuticals	Occupation Sr Account Mgr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  300.04	
Full Name (Last, First, Middle Initial)  Matthew C Stillwell	•	Date of Receipt
Mailing Address One Health Plaza	Ctoto 7ip C-d-	11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2415960  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer  Novartis Pharmaceuticals	Occupation Sr Account Mgr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  221.52	
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	85.39
TOTAL This Period (last page this line number	r only)	

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew C Stillwell Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699684 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) **B.** Peter N Streit Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416258 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) c. Peter N Streit Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699396 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) 87.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FO	R LINE	NU	IMBER	:	PAGE	2	02 OF	22
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for each category of the Detailed Summary Page	>	11a		11b		11c		12	
in the second second		13		14		15		16	$\neg_1$

	Statements may not be sold or used by any personant and address of any political committee to					
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee					
Full Name (Last, First, Middle Initial)  Kevin Strickland  Mailing Address, 701 Repositioning AveNIW	Date of Receipt					
Mailing Address 701 Pennsylvania AveNW		M M / D D / Y Y Y Y Y				
Ste 725 City	State Zip Code	11 30 2012 Transaction ID : A2012-2415727				
Washington	DC 20004	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	27.69				
Name of Employer	Occupation					
Novartis Vaccines & Diagnostics	Manager					
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  223.06					
Full Name (Last, First, Middle Initial)  3. Kevin Strickland		Date of Receipt				
Mailing Address 701 Pennsylvania AveNW		M = M / D = D / Y = Y = Y				
Ste 725	7.0.	12 31 2012				
City	State Zip Code	Transaction ID : A2012-2700245				
Washington	DC 20004	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	18.46				
Name of Employer	Occupation					
Novartis Vaccines & Diagnostics	Manager					
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  241.52					
Full Name (Last, First, Middle Initial)  C. Mary E Strickland		Date of Receipt				
Mailing Address One Health Plaza		11 30 2012				
City	State Zip Code	Transaction ID : A2012-2415791				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	69.24				
Name of Employer	Occupation					
Sandoz Inc.	Director Public Affairs					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General						
Other (specify) ▼	553.92					
SUBTOTAL of Receipts This Page (optional)		115.39				
TOTAL This Period (last page this line number	only)					

	FOR LINE NUMBER: PAGE 203 OF								=	226
Use separate schedule(s) for each category of the	(che	ck only	or	ne)						
Detailed Summary Page		11a		11b		11c		12		
,,,,,,,, .		13		14		15		16		17

			Detailed Summa	iy i age	13	14	15	16	17	
	y information copied from such Reports and Str for commercial purposes, other than using the									
$\rangle$	NAME OF COMMITTEE (In Full)  Novartis Corporation Political Ac	tion Com	nmittee							
١.	Full Name (Last, First, Middle Initial) Mary E Strickland				Date of Receipt					
	Mailing Address One Health Plaza	0	7: 0 :		12	31	┙ Ĺ.	2012		
	City East Hanover	State NJ	Zip Code 07936	-			A2012-27			
		INU	07330		Amount	of Each F	Receipt this	s Period		
	FEC ID number of contributing federal political committee.	С				-	-	46.16		
	Name of Employer	Occupation								
	Sandoz Inc.	Director Pub	olic Affairs							
	Receipt For:	Aggregate	Year-to-Date ▼							
	Primary General Other (specify) ▼			600.08						
	Outer (specify) ▼		7	555.00						
3.	Full Name (Last, First, Middle Initial) Nirupama Subramanian		_		Date of	Receipt				
	Mailing Address 350 Massachusetts Avenue 350 MA # 232A				M = M	30		2012		
	City	State	Zip Code				A2012-24			
	Cambridge	MA	02139		Amount	of Each F	Receipt this	Period		
	FEC ID number of contributing federal political committee.	С				,		34.62		
	Name of Employer	Occupation								
	Novartis Vaccines & Diagnostics	Sr Dir Marke	eting							
	Receipt For:	Aggregate 1	Year-to-Date ▼							
	Primary General			270.00						
	Other (specify) ▼			278.88						
).	Full Name (Last, First, Middle Initial) Nirupama Subramanian				Date of	Receipt				
	Mailing Address 350 Massachusetts Avenue 350 MA # 232A				м = м 12	31		2012	1	
	City 530 MA # 232A	State	Zip Code				: A2012-27			
	Cambridge	MA	02139				Receipt this			
	FEC ID number of contributing federal political committee.	C						23.08		
	Name of Employer	Occupation								
	Novartis Vaccines & Diagnostics	Sr Dir Marke	eting							
	Receipt For:		Year-to-Date ▼							
	Primary General	55 5	<del></del>	204.22						
	Other (specify) ▼		7	301.96						
S	UBTOTAL of Receipts This Page (optional)			<b>&gt;</b>				103.86		
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John Suchorsky Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416231 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir BIM Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. John Suchorsky Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699450 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir BIM Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. Xiaowei Sun Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415797 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Principal Scientist Preformul Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 150.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Xiaowei Sun Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-2700211 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Principal Scientist Preformul Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) **B.** Thomas A Suter Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416789 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas A Suter Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699591 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Asc Director Gov't Relations Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) 138.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Sonya R Taylor  Mailing Address One Health Plaza  City	Date of Receipt  11 30 2012  Transaction ID : A2012-2416618	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	NJ 07936  C Occupation Account Mgr II  Aggregate Year-to-Date ▼  221.52	Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial)  Sonya R Taylor  Mailing Address One Health Plaza  City  East Hangyer	State Zip Code NJ 07936	Date of Receipt  12 31 2012  Transaction ID: A2012-2700107
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:	Occupation Account Mgr II  Aggregate Year-to-Date	Amount of Each Receipt this Period  18.46
Primary General Other (specify) ▼	239.98	
Full Name (Last, First, Middle Initial)  Richard Tessier  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  11 30 2012  Transaction ID : A2012-2416298
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	Occupation Director Regional Project Eng  Aggregate Year-to-Date   553.92	Amount of Each Receipt this Period 69.24
SUBTOTAL of Receipts This Page (optional)	<b>_</b>	115.39
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NAME OF COMMITTEE (In Full)

Novartis Corporation Politica	II Action Committee	
Full Name (Last, First, Middle Initial)  A. Christina M Tremains		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City	State Zip Code	Transaction ID : A2012-2416341
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.25
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Business Relationship Mgr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  735.20	
Full Name (Last, First, Middle Initial)  B. Christina M Tremains		Date of Receipt
Mailing Address One Health Plaza		12 31 2012
City	State Zip Code	Transaction ID : A2012-2699720
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	61.50
Name of Employer Novartis Pharmaceuticals	Occupation Sr Business Relationship Mgr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  796.70	
Full Name (Last, First, Middle Initial)  C. Aaron L Trenary		Date of Receipt
Mailing Address One Health Plaza		11 30 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-2416326
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  27.69
Name of Employer	Occupation	_
Novartis Pharmaceuticals	Exec Neuro-Psych Specialist	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  221.52	
SUBTOTAL of Receipts This Page (optional	il)	181.44

### SCHEDULE A (FEC Form 3X)

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Any information copied from such Reports and Statem or for commercial purposes, other than using the name		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	n Committee	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify)   Other	State Zip Code NJ 07936  Cupation ec Neuro-Psych Specialist gregate Year-to-Date ▼  239.98	Date of Receipt  12 31 2012  Transaction ID: A2012-2699738  Amount of Each Receipt this Period  18.46
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:	State Zip Code NJ 07936  Cupation count Mgr II gregate Year-to-Date ▼	Date of Receipt  11 30 2012  Transaction ID : A2012-2416084  Amount of Each Receipt this Period  27.69
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Page int Eart	State Zip Code NJ 07936  Cupation count Mgr II gregate Year-to-Date ▼	Date of Receipt  12 31 2012  Transaction ID : A2012-2699769  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)		64.61
TOTAL This Period (last page this line number only)		

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas A Urban Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415828 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.57 federal political committee. Name of Employer Occupation Exec Xolair Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 363.91 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas A Urban Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699883 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.38 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Exec Xolair Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 394.29 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa R Utt Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415920 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 117.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lisa R Utt Date of Receipt Mailing Address One Health Plaza 2012 31 City State Zip Code Transaction ID: A2012-2699943 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) Full Name (Last, First, Middle Initial) B. Angelo J Valeri Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416749 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) **c.** Angelo J Valeri Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699574 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 73.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

Edwin Valeriano

Mailing Address One Health Plaza

١.	Full Name (Last, First, Middle Initial) Edwin Valeriano		Date of Receipt
	Mailing Address One Health Plaza		11 30 2012
	City	State Zip Code	Transaction ID : A2012-2416626
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	69.24
	Name of Employer	Occupation	
	Novartis Services Incorporated	Dir Investor Rel	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	553.92	
	Other (specify)	33.02	
2	Full Name (Last, First, Middle Initial) Edwin Valeriano		Date of Receipt
٠.			
	Mailing Address One Health Plaza		12 31 2012
	City	State Zip Code	Transaction ID : A2012-2700166
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	46.16
	Name of Employer	Occupation	
	Novartis Services Incorporated	·	
	<u> </u>	Dir Investor Rel	
	Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
	Other (specify)	600.08	
	Other (specify)		
).	Full Name (Last, First, Middle Initial) Erwin Vanhaecke		Date of Receipt
	Mailing Address One Health Plaza		12 31 2012 _
	City	State Zip Code	Transaction ID : A2012-2819949
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing		50.00
	federal political committee.	C	30.00
	Name of Employer	Occupation	
	Alcon Laboratories Inc.	Sr VP Global Quality Opers	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	225.00	
	Other (specify) ▼	225.00	
		<u> </u>	105 10
S	UBTOTAL of Receipts This Page (optional)	<u> </u>	165.40
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Mailing Address One Health Plaza 31 2012 Zip Code City State Transaction ID: A2012-2699412 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С federal political committee. Name of Employer Occupation VP Bus Franchise Oncology Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90

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92.30

Other (specify)

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Matthew M von Wellsheim  Mailing Address One Health Plaza		Date of Receipt
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Alcon Laboratories Inc.  Receipt For:  Primary General Other (specify) ▼	State Zip Code NJ 07936  C  Occupation VP IT & CIO  Aggregate Year-to-Date ▼  250.00	Transaction ID: A2012-2819918  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  Anthony Wallace  Mailing Address One Health Plaza Ste 725  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Vaccines & Diagnostics  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Manager  Aggregate Year-to-Date ▼  315.38	Date of Receipt  11 30 2012  Transaction ID: A2012-2415718  Amount of Each Receipt this Period  45.00
Full Name (Last, First, Middle Initial)  Anthony Wallace  Mailing Address One Health Plaza Ste 725  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Vaccines & Diagnostics  Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Manager  Aggregate Year-to-Date ▼  345.38	Date of Receipt  12 31 2012  Transaction ID: A2012-2700237  Amount of Each Receipt this Period  30.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	125.00
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John Ward Date of Receipt Mailing Address 350 Massachusetts Avenue 2012 31 City Zip Code State Transaction ID: A2012-2700238 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Global Trademark Counsel Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 301.96 Other (specify) Full Name (Last, First, Middle Initial) B. Robert K Warner Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2819922 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. VP Area President - LACAR Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) c. James Wassil Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2012 City Zip Code State Transaction ID: A2012-2415772 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation **GPT Head Meningitis** Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 278.88 Other (specify) 107.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James Wassil Date of Receipt Mailing Address 350 Massachusetts Avenue 2012 31 City Zip Code State Transaction ID: A2012-2700218 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **GPT Head Meningitis** Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 301.96 Other (specify) Full Name (Last, First, Middle Initial) B. Michael D Webster Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2416321 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 64.98 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 517.34 Other (specify) Full Name (Last, First, Middle Initial) c. Michael D Webster Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699905 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 43.32 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 560.66 Other (specify) 131.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jane E Welborn Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415965 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 73.65 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 589.20 Other (specify) Full Name (Last, First, Middle Initial) B. Jane E Welborn Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699418 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 49.10 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 638.30 Other (specify) Full Name (Last, First, Middle Initial) c. Randy S Wilbur Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416304 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Operations Mgmt Sr Coordinator Novartis Pharma Suffern Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 150.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	2	20 OF	2	2
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		1

or for commercial purposes, other than using th	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial)  A. Randy S Wilbur  Mailing Address One Health Plaza		Date of Receipt  12 31 2012
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharma Suffern  Receipt For:  Primary  General	State Zip Code NJ 07936  C  Occupation Operations Mgmt Sr Coordinator  Aggregate Year-to-Date ▼	Transaction ID : A2012-2699819  Amount of Each Receipt this Period  18.46
Other (specify) ▼  Full Name (Last, First, Middle Initial)  Edward S Williams  Mailing Address One Health Plaza	239.98	Date of Receipt
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals	State Zip Code NJ 07936  C Occupation	Transaction ID : A2012-2416099  Amount of Each Receipt this Period  27.69
Receipt For: Primary General Other (specify)	Sr Oncology Specialist  Aggregate Year-to-Date ▼  221.52	
Full Name (Last, First, Middle Initial)  Edward S Williams  Mailing Address One Health Plaza		Date of Receipt  12 31 2012
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Sr Oncology Specialist  Aggregate Year-to-Date ▼  239.98	Transaction ID : A2012-2700036  Amount of Each Receipt this Period  18.46
SUBTOTAL of Receipts This Page (optional)		64.61
TOTAL This Period (last page this line number	only)	

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James E Williams Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416781 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Dir Clinical Research Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) B. James E Williams Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699539 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Dir Clinical Research Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) c. Brad C Willie Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2415934 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 265.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Brad C Willie Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699816 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Karen M Wilshire Date of Receipt Mailing Address One Health Plaza 30 11 2012 City State Zip Code Transaction ID: A2012-2415949 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Karen M Wilshire Date of Receipt Mailing Address One Health Plaza 31 2012 City Zip Code State Transaction ID: A2012-2699990 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation **Executive Sales Consultant** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 69.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 30 2012 City Zip Code State Transaction ID: A2012-2416276 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Business Plan'g & Analy Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 12 31 2012 City State Zip Code Transaction ID: A2012-2699422 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Business Plan'g & Analy Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. Christine D Wyble Date of Receipt Mailing Address One Health Plaza 30 11 2012 City Zip Code State Transaction ID: A2012-2416813 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Sr Medical Info Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 150.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christine D Wyble Date of Receipt Mailing Address One Health Plaza 2012 12 31 City Zip Code State Transaction ID: A2012-2699423 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Medical Info Director Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Jennifer L Young Maloney Date of Receipt Mailing Address One Health Plaza 11 30 2012 City State Zip Code Transaction ID: A2012-2416845 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Services Incorporated Dir Fedl Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 46.16 SUBTOTAL of Receipts This Page (optional)..... 30790.84 TOTAL This Period (last page this line number only).....

### S 17

SCHEDULE B (FEC Form 3X)	Harris I I I I I I I	FOR LINE NUMBER: PAGE 225 OF				5 OF	226
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(oncor on		¬ —	1		
	Detailed Summary Page		22 28a	23 28b	24 25 28c 25		26 30b
Any information copied from such Reports and State	ments may not be sold or i						
or for commercial purposes, other than using the na	me and address of any poli	tical committee t	o solicit contr	ibutions fro	m such comm	nittee.	
NAME OF COMMITTEE (In Full)							
$ \; angle$ Novartis Corporation Political Action	on Committee						
Full Name (Last, First, Middle Initial)							
A. Capital One Bank			Date of D	Disbursemer	nt		
			M M	/ D D	/ Y   Y   Y   O   O   O   O   O   O   O		1
Mailing Address 701 Pennsylvania Ave. NW			11	27	2012		4
City	State Zip Code		Transac	ction ID : B	116108		
Washington	DC 20004		Hallsac	, UOII ID . B	440190		
Purpose of Disbursement Bank Service Charge		001	Amount o	of Each Disl	oursement th	is Per	riod
Candidate Name		Category/					
		Type		7		62.42	2
Office Sought: House Disburse Senate	ment For: 2012  Primary General						
President	Other (specify)						
State: DC District:	Not Applicat	ole					
Full Name (Last, First, Middle Initial)							
B. Capital One Bank			Date of D	Disbursemer			
Mailing Address 701 Pennsylvania Ave. NW			11	28	2012		1
City	State Zip Code DC 20004		Transac	ction ID : B	446199		
Washington Purpose of Disbursement	20004		-				
Bank Service Charge		001	Amount o	of Each Disl	oursement th	is Per	riod
Candidate Name		Category/				64.96	6
Office Sought: House Disburse	ment For: 2012	Туре	-	,	7		_
Senate	Primary General						
President	Other (specify) ▼						
State: DC District:	Not Applical	ble					
Full Name (Last, First, Middle Initial)			Date of F	Disbursemer	nt		
C. Capital One Bank			M M	/ D D	/ Y Y	Y   Y	1
Mailing Address 701 Pennsylvania Ave. NW			12	21	2012		
City	State Zip Code						
Washington	DC 20004		Transac	ction ID : B	446624		
Purpose of Disbursement Bank Service Charge		204	1				
Candidate Name		001	Amount o	of Each Disl	oursement th	is Per	riod
		Category/ Type				42.60	)
	ment For: 2012	1	1		7		
Senate President	Primary General						
State: DC District:	Other (specify) ▼ Not Applicat	ole					
	. 1017 (ppilous					-	_
SUBTOTAL of Disbursements This Page (optional).				7	1	169.98	3
						169.98	
TOTAL This Period (last page this line number only	')			, , , , ,		05.50	,

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER:	PAGE 226 OF 226
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only		
	Detailed Summary Page	21b 27	22 X 23 28b	24 25 26 28c 29 30b
Any information copied from such Reports and Statem	pente may not be sold or u			
or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)				
Novartis Corporation Political Action	n Committee			
Full Name (Last, First, Middle Initial)				
A. Citizens for Cochran			Date of Disbursem	ent
Mailing Address 228 S. Washington St. Suite B-20			11 30	2012
City	state Zip Code		Transaction ID : I	0444727
7 1107101 10110	VA 22314		Transaction ID:	5444737
Purpose of Disbursement Contribution		011	Amount of Each Di	sbursement this Period
Candidate Name		Category/		2000.00
Thad Cochran  Office Sought: House Disbursen	nent For: 2014	Туре		2000.00
	Primary General			
	Other (specify) ▼			
State: MS District:				
Full Name (Last, First, Middle Initial)				
B. Pioneer PAC			Date of Disbursem	ent
Mailing Address 217 Third Street SE			11 30	2012
	State Zip Code DC 20003		Transaction ID :	B444352
Washington Purpose of Disbursement	DC 20003			
Contribution		011	Amount of Each Di	sbursement this Period
Candidate Name		Category/ Type		5000.00
Office Sought: House Disbursem	nent For: 2012	71	,	,
	Primary General			
<u> </u>	Other (specify)	lo lo		
State: OH District: 12  Full Name (Last, First, Middle Initial)	Not Applicab	NIC		
C. Walden for Congress			Date of Disburseme	ent
			M M / D D	/
Mailing Address 213 Ashby Street			12 05	2012
	state Zip Code		Transaction ID :	B444887
Alexandria Purpose of Disbursement	VA 22305			
Contribution		011	Amount of Fook Di	alauma ama ama albi a Dania d
Candidate Name			Amount of Each Di	sbursement this Period
Gregory Paul Walden		Category/ Type		2500.00
	nent For: 2014			
	Primary General			
	Other (specify)			
State: OR District: 02				
SUBTOTAL of Disbursements This Page (optional)				9500.00
SOBTOTAL OF DISDUISEMENTS THIS Page (optional)			7	7
TOTAL This Period (last page this line number only).				9500.00