PAGE 1 / 40

FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FORIVI 3X	For Othe	r Than An Aut	horized Comn	nittee		Office Use Only	
NAME OF COMMITTEE (in full)	TYPE OR	PRINT ▼	Example: If over the line		12FE4M5	5	
Novartis Corporation	on Political	Action Comm	nittee				I
ADDRESS (number and stre	701 Per	nnsylvania Ave. NW	Suite 725				
▼ Check if different	et)						
than previously reported. (ACC)	Washin	gton 			DC L	20004-2608	
2. FEC IDENTIFICATIO	N NUMBER	, CIT	ГУ▲		STATE A	ZIP CODE	A
C C00033969			S THIS REPORT	NEW (N) OR	X AMI	ENDED	
4. TYPE OF REPOR (Choose One) (a) Quarterly Reports:	Re Du	port e On:	20 (M2) 20 (M3)	May 20 (M5)		20 (M9) (No Yes	ov 20 (M11) on-Election ar Only) ec 20 (M12) on-Election ar Only)
April 15		Apr	20 (M4)	Jul 20 (M7)	Oct 2		n 31 (YE)
Quarterly Rep July 15	(C)	12-Day PRE-Election	Primary	(12P)	General (12G) Ru	noff (12R)
Quarterly Rep October 15	oort (Q2)	Report for the:	Conventi	on (12C)	Special (1	2 S)	
Quarterly Rep January 31 Year-End Rep		Electio	on on	/ D D /	Y Y Y Y Y	in the State of	
July 31 Mid-Y Report (Non-e Year Only) (N	rear (d) election (Y)	30-Day POST-Election Report for the:	General	(30G)	Runoff (30	DR) Sp	ecial (30S)
Termination F (TER)	eport	Electio	on on	/ D D /	Y = Y = Y	in the State of	
5. Covering Period	06 0		throug	gh 06	30	2016	
I certify that I have examin	O'Neail	and to the best of , Shawn, , ,	my knowledge a	nd belief it is tr	ue, correct and	complete.	
Type or Print Name of Tre	asurer						
Signature of Treasurer	O'Neail, Shawn, ,	,	[Electron	ically Filed] [Date 12		2016
NOTE: Submission of false,	erroneous, or in	complete informatio	n may subject the	person signing t	his Report to the	e penalties of 52 U.S	S.C. § 30109
Office Use Only						FEC FORM Rev. 05/2016	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)
Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 06 01 2016 To: 06 30 2016

		COLUMN A This Period	COLUMN B Calendar Year-to-Date				
6.	(a) Cash on Hand January 1, 2016		151151.54				
	(b) Cash on Hand at Beginning of Reporting Period	69405.40					
	(c) Total Receipts (from Line 19)	16232.11	106960.71				
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	85637.51	258112.25				
7.	Total Disbursements (from Line 31)	18296.99	190771.53				
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	67340.52	67340.52				
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00					

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:	·	
(a) Individuals/Persons Other		
Than Political Committees	0244.02	20000 00
(i) Itemized (use Schedule A)	6311.93	28033.08
(ii) Unitomized	9920.18	78927.63
(ii) Unitemized (iii) TOTAL (add	3020.10	70021.00
Lines 11(a)(i) and (ii)▶	16232.11	106960.71
Emos Tray(i) and (ii)	4	
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees		
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry	40000 44	40000 74
Totals to Line 33, page 5)▶	16232.11	106960.71
2. Transfers From Affiliated/Other	000	0.00
Party Committees	0.00	0.00
2. All Leave Descined	0.00	0.00
3. All Loans Received	0.00	4
	0.00	0.00
4. Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures (Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
6. Refunds of Contributions Made	49- 1-49- 1-49-	4 4
to Federal Candidates and Other		
Political Committees	0.00	0.00
7. Other Federal Receipts	4 4	4 4
(Dividends, Interest, etc.)	0.00	0.00
3. Transfers from Non-Federal and Levin Funds	4 4	4 4
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
9. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	16232.11	106960.71
2. Total Fadaval Danainte		
O. Total Federal Receipts	16232.11	100000 74
(subtract Line 18(c) from Line 19)▶	10232.11	106960.71

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

0.00 0.00 46.99 46.99 0.00	0.00 0.00 271.73 271.73 0.00
0.00 46.99 46.99 0.00	0.00 271.73 271.73
46.99 46.99 0.00	271.73 271.73
46.99	271.73
0.00	
0.00	
4 4	0.00
40000	
18000.00	187500.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
250.00	3000.00
0.00	0.00
0.00	0.00
0.00	0.00
0.00	0.00
75.	
18296.99	190771.53
18296.99	190771.53
	0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.0

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5 **COLUMN A** COLUMN B III. Net Contributions/ **Total This Period** Calendar Year-to-Date **Operating Expenditures** 33. Total Contributions (other than loans) 16232.11 106960.71 (from Line 11(d), page 3) 34. Total Contribution Refunds 0.00 0.00 (from Line 28(d))..... 35. Net Contributions (other than loans) 106960.71 16232.11 (subtract Line 34 from Line 33) 36. Total Federal Operating Expenditures 46.99 271.73 (add Line 21(a)(i) and Line 21(b))▶ 37. Offsets to Operating Expenditures 0.00 0.00 (from Line 15, page 3)..... 38. Net Operating Expenditures 46.99 271.73 (subtract Line 37 from Line 36)

: 97 'A - G7 9 @ 5 B9 CI G'H9 LH F9 @ 5 H98 'HC '5 'F9 DC F H Z G7 < 98 I @ 'C F' + H9 A - N5 H-C B

Form/Schedule: F3XA
Transaction ID:

This report is being amended to update the sub total on Line 6(d), Column B disbursement totals and the PAC beginning and ending balances. Please update your records accordingly.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 7 Use separate schedule(s) (check only one) **X** 11a 11b 11c

40

OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Banko, Michael, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152448 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc AD IT Service Management Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152867 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals AD State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 273.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barninger, Michael, C., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152577 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals Director Strategy & Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.00 Other (specify) 122.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FO	R LINE	NUMBER	PAGE		8	OF		40			
(check only one)											
>	1 1a	11b		11c		12					
	13	14		15		16			17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152746 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152753 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 780.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 30 2016 City Zip Code State Transaction ID: A2016-1151982 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Sandoz Inc DirectorSandoz Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify)

SUBTOTAL of Receipts This Page (optional)		Ī	,	I	Ξ	,	_	28	30.00		
TOTAL This Period (last page this line number only)		Ξ	7	_	_	7	_	_	_	_	

40 FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Borill, Troy, L,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152538 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.28 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.44 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152324 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brunner, Thomas, R, Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152586 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 70.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** IT Expert 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 455.00 Other (specify) 150.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

						PAGE	•	10	OF		40	
	(check only one)											
	X	11a		11b		11c		12				
		13		14		15		16			17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152294 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Byler, Timothy, S, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152359 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 305.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Campbell, Kimberley, J., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1151981 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Sandoz Inc MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

40

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152336 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 276.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs US Novartis Services Inc Receipt For: Aggregate Year-to-Date ▼ Primary General 1799.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152238 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 61.36 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 394.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152808 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Head Patient Affairs Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) 538.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1151839 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 92.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Alcon Laboratories Inc **Director Digital Mktg** Receipt For: Aggregate Year-to-Date ▼ Primary General 599.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Colpitts, Scott, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152811 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 44.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Head of Facilities & Utility Maint. (A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 286.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Conley, Michael, A, Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152366 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Vice President Trade & Customer Service Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 182.46 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

40 13 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152822 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals Director Strategic Account Alliances** Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152872 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2016 City Zip Code State Transaction ID: A2016-1151976 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Sandoz Inc **Executive Director Oncology Injectable** Receipt For: Aggregate Year-to-Date ▼ Primary General 599.95 Other (specify) 212.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Couture, Eric, C, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152721 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Deason, Terry, H, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152800 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Epstein, David, R., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152424 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc Head Pharma AG Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 180.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) for each category of the (check only one) **X** 11a 11b 11c

ITEMIZED RECEIPTS 12 Detailed Summary Page 13 14

		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any personal name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name of Individual (Last, First, Middle I	nitial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		06 30 2016
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2016-1152844 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer (for Individual) US Novartis Services Inc	Occupation (for Individual) Exec Dir Fed Govt Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 650.00	
Full Name of Individual (Last, First, Middle I Fellers, Thomas, S, , Mailing Address One Health Plaza	nitial) or Full Organization Name	Date of Receipt 06 30 2016
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2016-1152478 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer (for Individual) US Novartis Pharmaceuticals	Occupation (for Individual) Medical Account Management & FME	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 650.00	
Full Name of Individual (Last, First, Middle I	nitial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		06 30 / 2016
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2016-1152376 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	128.06
Name of Employer (for Individual) US Novartis Pharmaceuticals Receipt For:	Occupation (for Individual) AD State & External Affairs	Memo Item
Primary General Other (specify)	Aggregate Year-to-Date ▼ 828.07	
SUBTOTAL of Receipts This Page (optional)	•	328.06
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

40

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gajewski, Edward, G,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152292 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Exec Dir National & Regional Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** George, Deidre, T, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152847 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 273.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grande, Nancy, J., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152683 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) 182.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF Use separate for each categ Detailed Sumn

schedule(s)	_	ck only		TAGE	-		
gory of the mary Page	×	11a	11b	11c		12	
,		13	14	15		16	17

_								
Ar or	ly information copied from such Reports and St for commercial purposes, other than using the	atements may name and add	not be sold or used by any pers ress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.				
	NAME OF COMMITTEE (In Full)							
$ \rangle$	Novartis Corporation Political Ac	tion Comn	nittee					
	Full Name of Individual (Last, First, Middle Initi	al) or Full Orga	enization Namo					
Α.		ai) oi Fuii Oiga	anization Name	Date of Receipt				
	Mailing Address One Health Plaza			M M / D D / Y Y Y Y				
				06 30 2016				
	City	State	Zip Code	Transaction ID : A2016-1152757				
	East Hanover	NJ	07936	Amount of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С		80.00				
	Name of Employer (for Individual)	Occupa	ation (for Individual)	Memo Item				
	US Novartis Pharmaceuticals		ad iDocs Oncology Franchise					
	Receipt For:							
	Primary General							
	Other (specify) ▼		520.00					
	Full Name of Individual (Last, First, Middle Initi	al) or Full Orga	anization Name					
В.	Guidi, Joseph, M, ,			Date of Receipt				
	Mailing Address One Health Plaza	06 30 2016						
	City	State	Zip Code	Transaction ID : A2016-1152742				
	East Hanover	NJ	07936	Amount of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С		40.00				
	Name of Employer (for Individual) US Novartis Pharmaceuticals		ation (for Individual) ector Commercial Strategy	Memo Item				
		Receipt For: Aggregate Year-to-Date ▼						
	Primary General Other (specify) ▼	4	260.00					
	Full Name of Individual (Last, First, Middle Initi Gulick, David, E, ,	al) or Full Orga	anization Name	Date of Receipt				
٥.	Mailing Address One Health Plaza			·				
	One near Flaza			06 30 2016				
	City	State	Zip Code	Transaction ID : A2016-1152252				
	East Hanover	NJ	07936	Amount of Each Receipt this Period				
	FEC ID number of contributing	0						
	federal political committee.	C		60.00				
	Name of Employer (for Individual)	Occupa	ation (for Individual)	Memo Item				
	US Novartis Pharmaceuticals		r New Products	_				
	Receipt For:	Aggregate Ye	ar-to-Date ▼					
	Primary General	33 3 3 4 3						
	Other (specify)		390.00					
s	UBTOTAL of Receipts This Page (optional)		·····	180.00				
ľ	OTAL This Period (last page this line number of	nly)	·····					

FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

40

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152401 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Asc Dir Incentive Modeling/Des Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152364 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1001.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152380 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 70.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Director Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 455.00 Other (specify) 270.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hilkert, Robert, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152710 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Global Program Medical Director Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hough, Charles, F, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152738 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc Hd of Corp Resp Strat & Stakeholder E Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152868 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Dir Insurance Exchange Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 146.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152777 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Ex Director Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jarvis, Edgar, L, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152281 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 32.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Sr Cardiovascular Area Business Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 209.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152430 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** IACH-Capability Bldg Academy Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 182.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 21 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152826 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 154.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Asc Dir State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 693.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152205 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Corporation President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 599.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kowalski, Robert, W., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152758 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Expat_CH_Head Regulatory GDD Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 292.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

40

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kulesher, Kathleen, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152828 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Assoc. Dir. State and External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leas, Leigh Anne, A, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-1152671 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1001.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152869 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 404.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 23 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152739 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 92.30 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Head US Oncology Mgd Markets & Mkt Receipt For: Aggregate Year-to-Date ▼ Primary General 599.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lockwood, Jeffrey, W, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1151953 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US NIBRI** Head NIBR Communications Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matthews, William, R., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152370 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 47.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.74 Other (specify) 185.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

40

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McBride, Catharine, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152320 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-1151871 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Alcon Laboratories Inc SVP Global Mfg & Tech Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1499.94 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Menichini, Gary, J., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1151838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Alcon Laboratories Inc VP/GM U.S. Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) 380.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 25 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Millard, Susan, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1151843 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head HR Alcon R&D US Alcon Laboratories Inc Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152306 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 37.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Resp Integrated Account Spec Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 241.01 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myrie, Donna, H, , Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152391 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** Associate Dir Strategic Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) 137.34 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 26 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2016 City Zip Code State Transaction ID: A2016-1152768 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 156.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Legislative Affairs US Novartis Services Inc Receipt For: Aggregate Year-to-Date ▼ Primary General 926.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Olmstead, Sharon, N,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-1152781 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals VP Global Head Regulatory Policy & In Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osten, Craig, S, , Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152210 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Finance Corp Vice President & Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 248.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 27 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Oxner, Serafina, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152458 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Ex Dir Healthcare Contract Adm Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 220.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc **Director Federal Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Renz, Elizabeth, , , Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1151980 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Sandoz Inc Director Communications for Biopharma Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 326.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152783 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Jason, T,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1151960 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 68.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Sandoz Inc Assoc Dir National Accts Spec Pharm Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 442.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ryan, Alan, D., Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1151967 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Sandoz Inc Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 168.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

40

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sacks, Harry, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152810 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Medl & Scientific Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sanderson, Adwoa, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152833 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 325.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schweitzer, Mark, G, Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152843 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc Gbl Head Analytical Science & Technolo Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) 190.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 30 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

40

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shaw, Christi, L,, Date of Receipt Mailing Address 608 Fifth Avenue 2016 City Zip Code State Transaction ID: A2016-1152769 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 120.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Simon, Joseph, M,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152329 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals MS Exec Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 239.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spurr, Robert, A, Date of Receipt Mailing Address 608 Fifth Avenue 30 2016 City Zip Code State Transaction ID: A2016-1152812 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** US Co Head & VP Patient Access & Hea Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) 356.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

40 FOR LINE NUMBER: PAGE 31 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Donald, P,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152270 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals** Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General 450.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152743 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Pharmaceuticals Dir State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Urban, Thomas, A, Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1152201 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 32.66 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **US Novartis Pharmaceuticals** ExecRespiratorySalesSpecialist Receipt For: Aggregate Year-to-Date ▼ Primary General 210.82 Other (specify) 161.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) **X** 11a 11b 11c

40

32 OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152871 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **US Novartis Pharmaceuticals Director Quality Ext Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Van Pelt, Jason, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-1152845 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1001.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Warner, Robert, K, Date of Receipt Mailing Address One Health Plaza 30 2016 City Zip Code State Transaction ID: A2016-1151876 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Alcon Laboratories Inc Global Franchise Head Vision Care Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 260.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

40

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Woolford, Stephen, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-1152456 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.16 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc Ex Dir Business Plang & Analy Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 46.16 SUBTOTAL of Receipts This Page (optional)..... 6311.93 TOTAL This Period (last page this line number only).....

S 17

SCHEDULE B (FEC Form 3X)			FOR L	INE N	NUMBER:		PAGE 34 OF 40			
ITEMIZED DISBURSEMENTS		category of the	(check	-				07		
		Summary Page		21b 28a	22 28b	23 28c	26	27 30b		
Any information coming from such Deposits and Otate		mat ha aald ay yaa								
Any information copied from such Reports and State or for commercial purposes, other than using the na									3	
NAME OF COMMITTEE (In Full)										
Novartis Corporation Political Action	on Comr	mittee								
Full Name (Last, First, Middle Initial)					Data	Diahura	omont			
A. Capital One Bank				Date of	Disburs		Y			
Mailing Address 701 Pennsylvania Ave. NW				06		24	2016			
City Washington	State DC	1 '					n Numbe	r		
Purpose of Disbursement		20004		_	С					
Bank Service Charge			001	ш		neaction	ID : B619	0042		
Candidate Name			Category	//			-	ment this Perio	bd	
Office Sought: House Disburse	ement For:	2016	Туре					46.99	П	
Senate	Primary	General			7	7	1 - 4	_		
President	Other (spe				Me	mo Item				
State: District:		Not Applicable								
Full Name (Last, First, Middle Initial) B.					Date of	Disburs	ament			
5 .					M M	/ D		Y Y Y Y Y		
Mailing Address					J. L.	J'L				
City		FEC Id	entificatio	n Numbe	r					
Purpose of Disbursement										
			Ш							
Candidate Name	Category/				Amount of Each Disbursement this Period					
Office Sought: House Disburse	ement For:	ment For:								
Senate	Primary	General				-7-	7			
President	Other (spe	ecify)			Memo Item					
State: District: Full Name (Last, First, Middle Initial)										
C.					Date of	Disburs	ement			
					M I M	/ D	D /	Y Y Y Y		
Mailing Address										
City	State	Zip Code			FEC Id	entificatio	n Numbe	r		
Purpose of Disbursement				_	С					
Candidate Name			Category Type	//	Amoun	t of Each	Disburse	ment this Perio	bd	
Office Sought: House Disburse	ement For:		71: 2	\neg						
Senate	Primary	General				,	,			
State: President	Other (spe	ecity) ▼			Me	mo Item				
									=	
SUBTOTAL of Disbursements This Page (optional).				•				46.99		
TOTAL This Period (last page this line number only	<i>(</i>)			_				46.99	П	

	CHEDULE B (FEC Form 3X)	Use sepa	rate schedule(s)	FOR LINE I	E NUMBER: PAGE 35 OF 40						
11	EMIZED DISBURSEMENTS	for each of	category of the Summary Page	21b	22 🕱 23 26 27						
				28a	28b 28c 29 30b						
	ny information copied from such Reports and Staten for commercial purposes, other than using the nam										
\rangle	NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio										
_	Full Name (Last, First, Middle Initial)				Date of Disbursement						
Α.	Boozman for Arkansas				Date of Dispursement						
	Mailing Address 1316 Alexandria Avenue				06 20 2016						
	,	State VA	Zip Code 22308		FEC Identification Number						
	Alexandria Purpose of Disbursement	VA	22306		C C00476317						
	Contribution			011	Transaction ID : B604837						
	Candidate Name			Category/	Amount of Each Disbursement this F	eriod					
	Boozman, John, , , Office Sought: House Disbursen	nent For: 2	04.0	Туре	1000.0	10					
		Primary	General		7 7						
		Other (spec			Memo Item						
	Full Name (Last, First, Middle Initial)										
В.	Anna Eshoo for Congress				Date of Disbursement	Υ					
	Mailing Address P.O. Box 636				06 20 2016						
	,	State	Zip Code		FEC Identification Number						
	Annandale Purpose of Disbursement	VA	22003		C C00258475						
	Contribution			011	Transaction ID : B604845						
	Candidate Name			Category/	Amount of Each Disbursement this F	eriod					
	Eshoo, Anna, , , Office Sought: Y House Disbursen	ment For: 2	1040	Туре	1500.0	10					
		Primary	General		1000.0						
		Other (spec			Memo Item						
	State: CA District: 18				I Welle Rell						
C.	Full Name (Last, First, Middle Initial) Georgians for Isakson				Date of Disbursement						
	Mailing Address PO Box 250116				06 20 7 2016	Y					
	City	State	Zip Code		FEC Identification Number						
	Atlanta	GA	30325								
	Purpose of Disbursement Contribution			011	C C00384693						
	Candidate Name		I	Category/	Transaction ID: B604852 Amount of Each Disbursement this F	Period					
	Isakson, Johnny, , ,			Type		-					
		nent For: 2			1000.0	0					
		Primary Other (spec	General ifv) ▼								
	State: GA District:		·· <i>J</i> / ▼		Memo Item						
s	SUBTOTAL of Disbursements This Page (optional)				3500.0	00					
т	OTAL This Period (last page this line number only)					可					
	(was page and into flambol offly)										

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE (check only	•
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 x 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	addition of any point		The second secon
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			Data of Dishuranment
A. Kinzinger for Congress			Date of Disbursement
Mailing Address P.O. Box 15239			06 20 2016
Washington	State Zip Code DC 20003		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00458877
Candidate Name		Category/	Transaction ID : B604841 Amount of Each Disbursement this Period
Kinzinger, Adam, , ,		Type	
	nent For: 2016 Primary		1000.00
	Primary		Memo Item
Full Name (Last, First, Middle Initial)			
B. Guthrie for Congress			Date of Disbursement
Mailing Address 499 S. Capitol St. SW Suite 420			06 20 2016
,	State Zip Code		FEC Identification Number
Washington Purpose of Disbursement	DC 20003		
Contribution		011	C C00445023
Candidate Name		Category/	Transaction ID : B604839 Amount of Each Disbursement this Period
Guthrie, Brett, , ,		Type	
	nent For: 2016		500.00
	Primary General Other (specify)		
State: KY District: 02	Canon (opcony)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Moulton for Congress Committee			Date of Disbursement
Mailing Address 410 1st Street SE Suite 310			06 20 / 2016
,	State Zip Code		FEC Identification Number
Washington Purpose of Disbursement	DC 20003		
Contribution		011	C C00547240
Candidate Name		Category/	Transaction ID : B604838 Amount of Each Disbursement this Period
Moulton, Seth, , ,		Type	
Consts	nent For: 2016		1000.00
	Primary General Other (specify) ▼		
State: MA District: 06	onici (apacity) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)		······································	2500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) FOR LINE (check only	
TEMPLE DIODONOLINIO	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)	The state of any point		
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Hoyer for Congress			M M / D D / Y Y Y Y
Mailing Address 499 S. Capitol St. SW Suite 406	_		06 20 2016
Washington	State Zip Code 20003		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00140715
Candidate Name		Category/	Transaction ID : B604849 Amount of Each Disbursement this Period
Hoyer, Steny, H, ,		Туре	1000.00
	ment For: 2016 Primary x General		1000.00
President	Other (specify)		Memo Item
State: MD District: 05 Full Name (Last, First, Middle Initial)			
B. Friends of Erik Paulsen			Date of Disbursement
Mailing Address 1006 Pendleton Street			06 20 2016
,	State Zip Code		FEC Identification Number
Alexandria Purpose of Disbursement	VA 22314		C C004200004
Contribution		011	C C00439661 Transaction ID : B604843
Candidate Name		Category/	Amount of Each Disbursement this Period
Paulsen, Erik, , , Office Sought: Y House Disbursen	ment For: 2016	Туре	2500.00
	Primary General		200.00
	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. New Millennium PAC			Date of Disbursement
- New Willetillium PAC	M M / D D / Y Y Y Y		
Mailing Address 300 New Jersey Ave. NW Suite 90	0		06 20 2016
,	State Zip Code		FEC Identification Number
Washington Purpose of Disbursement	DC 20001		C C00340222
Contribution		011	C C00349233 Transaction ID : B604834
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	ment For: 2016	- 715	2500.00
Senate	Primary General		
	Out / //)		
President x	Other (specify) ▼ Not Applicab	le	Memo Item
Propident	Other (specify) ▼ Not Applicab	le	Memo Item
President x	Not Applicab		Memo Item 6000.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	for each o	rate schedule(s) category of the Summary Page	FOR LINE I (check only 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	ne and addre	ess of any politica	ed by any perso	on for the purpose of soliciting contributions
Full Name (Last, First, Middle Initial) A. Pascrell for Congress				Date of Disbursement
Mailing Address 38 Ivy Street SE				06 20 2016
,	State DC	Zip Code 20003	011	FEC Identification Number C C00313510
Candidate Name Pascrell, William, J, , Jr.	ment For: 20	016	Category/ Type	Transaction ID : B604850 Amount of Each Disbursement this Period 1000.00
Senate	Primary Other (speci	✗ General		Memo Item
B. Crowley for Congress Mailing Address 410 1st Street SE Suite 310				Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Washington Purpose of Disbursement Contribution Candidate Name Crowley, Joseph, , , Office Sought:	State DC ment For: 20 Primary Other (speci	General	011 Category/ Type	FEC Identification Number C C00338954 Transaction ID : B604851 Amount of Each Disbursement this Period 1000.00 Memo Item
Full Name (Last, First, Middle Initial) Engel for Congress Mailing Address 38 Ivy Street SE				Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Washington Purpose of Disbursement Contribution Candidate Name Engel, Eliot, L, ,	State DC	Zip Code 20003	011 Category/ Type	FEC Identification Number C C00236513 Transaction ID : B604836 Amount of Each Disbursement this Period
Senate x	Primary Other (speci	General		Memo Item

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	•
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)	audioso or any point	John Mice IV	The second mont out of the second of the second out of the second
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial) A. Friends of Schumer			Date of Disbursement
Mailing Address 220 I Street NE Suite 250			06 20 2016
City Washington Purpose of Disbursement	State Zip Code DC 20002		FEC Identification Number
Contribution		011	C C00346312 Transaction ID : B604847
Candidate Name		Category/	Amount of Each Disbursement this Period
	ment For: 2016 Primary General	Type	1000.00
State: NY District:	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			2
B. Kaine for Virginia			Date of Disbursement
Mailing Address 1490-5A Quarterpath Road #272			06 20 2016
City Williamsburg	State Zip Code VA 23185		FEC Identification Number
Purpose of Disbursement Contribution	23100	244	C C00495358
Candidate Name			Transaction ID : B605235
Kaine, Tim, , ,		Category/ Type	Amount of Each Disbursement this Period
\vdash	nent For: 2018 Primary General		1000.00
	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. People for Patty Murray			Date of Disbursement
Mailing Address 1602 Belle View Boulevard #510			06 20 2016
Alexandria	State Zip Code VA 22307		FEC Identification Number
Purpose of Disbursement Contribution 011			C C00257642 Transaction ID : B604835
Candidate Name Murray, Patty, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2016 Primary	20.5	1000.00
State: WA District:	Other (specify) ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)		>	3000.00
TOTAL This Period (last page this line number only)			18000.00

ITEMIZED DISBURSEMENTS	Use separate sch for each category Detailed Summar	of the	FOR LINE Note that the control of th	•
Any information copied from such Reports and Statem or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	e and address of a			
Full Name (Last, First, Middle Initial) A. People for Matt Baker Committee Mailing Address P.O. Box 602				Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Wellsboro Purpose of Disbursement G-2016 State House 68 PA Candidate Name Baker, Matt, , , Office Sought:	State Zip Co PA 1690 nent For: 2016 Primary G Other (specify) V	1	011 sategory/ Type	FEC Identification Number C Transaction ID: B604832 Amount of Each Disbursement this Period 250.00 Memo Item
Full Name (Last, First, Middle Initial) B. Mailing Address				Date of Disbursement
Purpose of Disbursement Candidate Name Office Sought: House Disbursem Senate			rategory/ Type	FEC Identification Number C Amount of Each Disbursement this Period Memo Item
Full Name (Last, First, Middle Initial) C. Mailing Address				Date of Disbursement
City S Purpose of Disbursement Candidate Name	State Zip Co		category/ Type	FEC Identification Number C Amount of Each Disbursement this Period
		General		Memo Item
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).				250.00 250.00