

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐ Check if different than previously reported. (ACC)

Washington

DC

20004-2608

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00033969

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15
Quarterly Report (Q1)
- ☐ July 15
Quarterly Report (Q2)
- ☐ October 15
Quarterly Report (Q3)
- ☐ January 31
Year-End Report (YE)
- ☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)
- ☐ Termination Report
(TER)

(b) Monthly
Report
Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☒ Nov 20 (M11)
(Non-Election Year Only)
- ☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12)
(Non-Election Year Only)
- ☐ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:

- ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of(d) 30-Day
POST-Election
Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
10 01 2013

through

M M M / D D D / Y Y Y Y Y Y
10 31 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Shawn O'Neail

Signature of Treasurer

Shawn O'Neail

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
11 19 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M M	/	D D	/	Y Y Y Y Y
10		01		2013

To:

M M	/	D D	/	Y Y Y Y Y
10		31		2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <div><div>Y Y Y Y Y</div><div>2013</div></div>		<div><div></div><div>202800.39</div></div>
(b) Cash on Hand at Beginning of Reporting Period.....	<div><div></div><div>146091.66</div></div>	
(c) Total Receipts (from Line 19)	<div><div></div><div>23684.23</div></div>	<div><div></div><div>258729.62</div></div>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<div><div></div><div>169775.89</div></div>	<div><div></div><div>461530.01</div></div>
7. Total Disbursements (from Line 31).....	<div><div></div><div>25336.29</div></div>	<div><div></div><div>317090.41</div></div>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	<div><div></div><div>144439.60</div></div>	<div><div></div><div>144439.60</div></div>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<div><div></div><div>0.00</div></div>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<div><div></div><div>0.00</div></div>	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

 M M / D D / Y Y Y Y
 10 01 2013

To:

 M M / D D / Y Y Y Y
 10 31 2013
I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

12833.86

91167.15

(ii) Unitemized

10850.37

161562.47

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

23684.23

252729.62

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

23684.23

252729.62

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

6000.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

23684.23

258729.62

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

23684.23

258729.62

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	86.29	598.73
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	86.29	598.73
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	22000.00	297000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	41.68
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	41.68
29. Other Disbursements	3250.00	19450.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	25336.29	317090.41
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	25336.29	317090.41

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	23684.23	252729.62
34. Total Contribution Refunds (from Line 28(d))	0.00	41.68
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	23684.23	252687.94
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	86.29	598.73
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	86.29	598.73

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert E Ackerman Jr.

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Administration - Vice Presiden

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310933

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Robin D Adelstein

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

LEGAL & IP - CEG ROLE

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310482

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Laman Alani

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Top Formulation and Pharmaceut

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242716

Amount of Each Receipt this Period

30.76

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

76.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gary J Appio

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product Sfty Risk Mgmt - Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310926

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Brad Arlett

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Relationship Mgmt - Asoc Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311514

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Andrew Arline

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

PC Sr Area Business Leader

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311207

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

78.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Banko

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Database Analysis - Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311005

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Tracy L Baroni Allmon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311516

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Stephen H Bennett

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Financial Analysis - Associate

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242743

Amount of Each Receipt this Period

19.24

SUBTOTAL of Receipts This Page (optional)..... ►

157.70

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)**ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 105

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ted R Benson

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Sales-PH-Spec - Sr Conslt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4310480

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Sheila Bhattacharya

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Assoc Dir National Account

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4311048

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Darrell E Biggs

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Project Mgmt-Info Tech - Sr Co

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4310481

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

76.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 105

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edward J Blair

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product/Brand Mgmt - Asoc Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		31		2013

Transaction ID : A2013-4310923

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Eduardo Blas

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Information Systems - Vice Pre

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		31		2013

Transaction ID : A2013-4242666

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

C. Lisa M Blizzard

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managed Care Marketing - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		31		2013

Transaction ID : A2013-4311219

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

76.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Peri K Bonner

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

592.30

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310795

Amount of Each Receipt this Period

56.54

Full Name (Last, First, Middle Initial)

B. Troy L Borill

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Sr Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

391.60

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311148

Amount of Each Receipt this Period

37.48

Full Name (Last, First, Middle Initial)

C. Daniel S Bortfeld

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Staffing - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310758

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

117.10

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Karen M Boyajian

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Neuro-Psych Executive Speciali

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

213.15

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310770

Amount of Each Receipt this Period

20.30

Full Name (Last, First, Middle Initial)

B. Leonard J Brandt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Promotion/Advertising - Direct

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310992

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Ellen M Browne

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Sys- Ex Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311039

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

112.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lucinda A Bruere

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Novartis Pharmaceuticals PC Sr Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310718

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Thomas R Brunner

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Novartis Pharmaceuticals Sys Plan and Architecture - Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4311225

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Richard Burns

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Novartis Pharmaceuticals Regional Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310626

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

96.94

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy S Byler

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Senior Sp

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310861

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Patricia A Cannon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Government Affairs - Director

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310568

Amount of Each Receipt this Period

70.00

Full Name (Last, First, Middle Initial)

C. James P Carey

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Vice Pres

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1036.50

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311113

Amount of Each Receipt this Period

99.20

SUBTOTAL of Receipts This Page (optional)..... ►

192.28

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. James L Carrico

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

PC Sr Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310745

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Daniel P Casserly

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Fed and Legislative Affairs -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2907.66

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310814

Amount of Each Receipt this Period

276.92

Full Name (Last, First, Middle Initial)

C. Cynthia C Cetani

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Compliance - Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311229

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

350.78

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. James E Chastain

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Pharmacokinetics/Drug Meta - E

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242673

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

B. Atindra N Chaturvedi

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Sys- Ex Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310932

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Katie Chon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regulatory Affairs Approval -

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 01 / 2013

Transaction ID : A2013-4322236

Amount of Each Receipt this Period

1000.00

SUBTOTAL of Receipts This Page (optional)..... ►

1065.40

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Barbara Christensen-Boner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Senior Sp

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

566.87

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310652

Amount of Each Receipt this Period

54.18

Full Name (Last, First, Middle Initial)

B. Sean T Clark

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Product/Brand Mgmt - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

385.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310454

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

C. Julie A Collins

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Professional Svcs-Mktg - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310455

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

206.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 OF 105

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael A Conley

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-RAM-Pharma Acct Mgmt - E

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310875

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Seth Coombs

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Product Marketing - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310544

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. David C Creedon

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Relationship Mgmt - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311524

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

168.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Rhonda N Crichlow

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Diversity Workforce Mgmt - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311382

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Charles S Crouch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Cont Process Improv Anlys - Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4242714

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

C. Thomas G Cullen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Sci Acquist & Lic Invest - Spe

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310492

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

65.40

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William E Darnall

Mailing Address One Health Plaza
Ste 725

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Med Science Liaison (MD) - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310506

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. R. Deck

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Project Management - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310554

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Clinton D Degner

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310699

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

146.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joanne Del Rio

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Facilities - Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310962

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Lynne M DeLisi

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

General Counsel - Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4311408

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Randal Dias

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Project Management - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310945

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

69.24

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Candace B Dibblee

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Associate

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2422.98

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311052

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

B. Anthony Diorio

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

District Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310566

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Dwayne T Dixon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Product/Brand Mgmt - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4242702

Amount of Each Receipt this Period

19.24

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

280.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christos Dogas

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Vaccines & Diagnostics

Occupation
Auditing - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310498

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Alastair M Douglas

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Alcon Laboratories Inc.

Occupation
Sales Administration-Alcon - E

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242692

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. David P Drake

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Services Incorporated

Occupation
Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1869.24

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310931

Amount of Each Receipt this Period

200.00

SUBTOTAL of Receipts This Page (optional)..... ►

246.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Carter L Dutch

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Management - Executive D

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311558

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Carol D DuVall

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Auditing - Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4242655

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

C. David M Eberenz Jr.

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310851

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

158.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Fred Ellis

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Sales Management-Alcon - Vice

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242645

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Michael R Emch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Sales Mgr - Oncology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310602

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Nancy E Emerson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Training-Sales - Associate Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242707

Amount of Each Receipt this Period

19.24

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

78.08

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard T Entrekin

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Eng-Mftg - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242731

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. David R Epstein

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310968

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Judith J Ewalt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Sales Mgr - Oncology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310828

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

146.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 27 OF 105

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael E Fairchild

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

FINANCE - DEV ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242663

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Thomas S Fellers

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Med Science Liaison (Non-MD) -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

673.12

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311057

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. James E Foley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Clin Rsrch (Non-MD) - Dir (GM)

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311041

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

153.84

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew C Foster

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

PC Sr Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310821

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Steven Franchetti

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Auditing - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310543

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Patrick G Francke

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311412

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

74.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 105

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. H. P Frederick

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

PC Sr Area Business Leader

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

542.43

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310865

Amount of Each Receipt this Period

51.66

Full Name (Last, First, Middle Initial)

B. Jon S Freeland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Sales Mgr - Oncology

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311559

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Debra E Freire

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

External Comms - Ex Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311003

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

122.82

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF 105

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Neely T Frye

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Government Affairs - Senior Sp

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1262.11

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310890

Amount of Each Receipt this Period

120.70

Full Name (Last, First, Middle Initial)

B. Steven J Gabor

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Vaccines & Diagnostics

Occupation
Product/Brand Mgmt - Asoc Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

367.50

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310552

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

C. Jill H Gaither

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Oncology Sr Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311059

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

178.78

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Albert Galloza

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Tech-Lab - Suppt Spec

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310621

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Owen H Gan

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Research

Occupation

Pharma/Bio Proc- Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4242642

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

c. Martin J Gargiule

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Financial Analysis - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310484

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

62.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Parameswar Garimella

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Information Systems - Associat

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310558

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Michael L Gentry

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Programmer/Analyst - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

277.90

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4242654

Amount of Each Receipt this Period

24.00

Full Name (Last, First, Middle Initial)

C. Erwin A Gomez Valladares

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Latin America Service

Occupation

Procurement - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310471

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

139.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Roger K Gravitte

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

Sales Management-OTC - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4309801

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Kris Grzegorzewski

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Clin Rsrch - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4311519

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Joseph Guido

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Sys- Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4311251

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

101.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David E Gulick

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Managed Care Marketing - Direc

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼
 484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310677

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Kurt Habel

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Operations Research - Sr Consl

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼
 484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310928

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. James P Hafner

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals MS Area Business Leader II

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼
 290.85

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311546

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

120.02

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Laura A Hagan

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Med Science Liaison (Non-MD) -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311511

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Thomas Halbur

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Research/Devel Mgmt - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4296583

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Philip D Hall

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Sales-Medical Devices - Manage

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242627

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

92.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Paul R Hallen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Business Development - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242647

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Sarah E Haller

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Vice Pres

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310873

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Mary J Halliwell

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

External Comms - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310948

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional)..... ►

136.92

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)**ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Veronica Harris

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product/Brand Management - Sr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4311503

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Rachel S Hartman

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Clinical Education - Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4242685

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

C. Kathy-Jo B Hayden

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4310894

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

65.40

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph P Hazelton

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managed Care Marketing - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

426.81

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310914

Amount of Each Receipt this Period

40.90

Full Name (Last, First, Middle Initial)

B. Thomas M Head

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Corp Social Responsibility - E

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4242676

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

c. David N Hering

Mailing Address 350 Massachusetts Avenue
350 MA # 234D

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Program Manager - Executive Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310503

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

87.06

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William S Higgins

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310838

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Robert J Hilkert

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Med AFFAIRS Ex (MD) - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311457

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. John A Hohneker

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310955

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

99.24

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William C Hokanson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Reg Act Mgmt-Sales Ops -

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310824

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Jeanne P Hood

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Software Devel - Asoc Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242703

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

C. Herve J Hoppenot

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311260

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

93.10

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Otto C Horstmann II II

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Employee Benefits - Director

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311030

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Cyrus M Hoseyni

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

DEVELOPMENT & MEDICAL - CEG RO

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311527

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Linda M Howe

Mailing Address 350 Massachusetts Avenue
350 MA # 254J

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

QA Mgmt-Plant - Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.49

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242623

Amount of Each Receipt this Period

19.38

SUBTOTAL of Receipts This Page (optional)..... ►

65.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Melody Hughson

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311548

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. James F Immormino

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - DEV RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 17 2013

Transaction ID : A2013-4322240

Amount of Each Receipt this Period

300.00

Full Name (Last, First, Middle Initial)

C. Karen A Jacobs

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Operations Research - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

387.66

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310984

Amount of Each Receipt this Period

36.92

SUBTOTAL of Receipts This Page (optional)..... ►

436.92

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edgar L Jarvis

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

PC Sr Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

339.15

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310724

Amount of Each Receipt this Period

32.30

Full Name (Last, First, Middle Initial)

B. Scott R Jauch

Mailing Address 508 Ellworth Dr.

City State Zip Code
 Silver Spring MD 20910

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310567

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Mark J Jeffers

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Training-Sales - Senior Consul

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4242671

Amount of Each Receipt this Period

19.24

SUBTOTAL of Receipts This Page (optional)..... ►

101.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph N Jensen

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Product/Brand Mgmt - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4242672

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. James P Jogerst

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Acquist Allianc & Licens - Ex

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4242704

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

C. Robert W Jones

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4296585

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

76.92

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Deborah Juterbock

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Svcs-Tech - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311130

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Tawfik Kamal

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Marketing - Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310976

Amount of Each Receipt this Period

80.00

Full Name (Last, First, Middle Initial)

C. Mutlu Karakelle

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Pharma/Bio Proc- VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242657

Amount of Each Receipt this Period

30.76

SUBTOTAL of Receipts This Page (optional)..... ►

133.84

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bella B Karbachinskaya

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Operations Research - Sr Consl

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311123

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Erik L Karlsons

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MS Area Business Leader II

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311269

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Claudia Kelly

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Product/Brand Management - Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310545

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

73.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas N Kendris

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

LEGAL & IP - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310600

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Michael C Kincaid

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Oncology Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311271

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Shannon T Klinger

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Global Head Lgl & Gen Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1153.80

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310493

Amount of Each Receipt this Period

115.38

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

235.76

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard E Knapp

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

2422.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310769

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

B. Paul M Kotch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Sales Mgr-Reg-Alcon I - Ex Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242688

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Robert W Kowalski

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311520

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

346.14

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gregory D Kunst

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Product/Brand Mgmt - Ex Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242698

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

B. Keith A LaDue

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Division - Vice President

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

230.80

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310920

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Robert D Larsen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Sci Acquist & Lic Invest - Sr

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242718

Amount of Each Receipt this Period

19.24

SUBTOTAL of Receipts This Page (optional)..... ►

61.56

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Steven D Lau

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Neuro-Psych Executive Speciali

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310759

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Leigh A Leas

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311388

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Christian Lease

Mailing Address 350 Massachusetts Avenue

350 MA # 212E

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Cont Process Improv Anlys - Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310499

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

74.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard E Lemire

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Engineering-Facilities - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

905.65

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310578

Amount of Each Receipt this Period

86.52

Full Name (Last, First, Middle Initial)

B. Lee C Lensky

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

E-Commerce - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311550

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Clement S Lewin

Mailing Address 350 Massachusetts Avenue
 350 MA # 232E

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Med Science Liaison (MD) - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310501

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

132.68

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Manuel B Litchman

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Global Program Head - Vice Pre

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311046

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Richard Lloyd

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4309799

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Jeffrey W Lockwood

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

NIBRI

Occupation

External Communications - Vice

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310475

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

161.54

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Konstantine G Lolos

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Sales Mgr - Oncology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311013

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Frederic D Loveland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

R & D Operations - Executive D

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310947

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Brenda Luckritz

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2525.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310683

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

101.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Paul O Lund

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Mftg Plant Mgmt - Asoc Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310459

Amount of Each Receipt this Period

20.84

Full Name (Last, First, Middle Initial)

B. David T Mac Askill

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

BU Sr Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310806

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Joanne K Machalaba

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Reg Act Mgmt - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310957

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

67.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kimberly A MacKay

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

General Counsel - Principal

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311277

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Judith Madsen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310670

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Frank Maness

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Top Shared Svcs Ex- VP

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

294.84

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311475

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

74.24

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Frank Manolios

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310836

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Sridhar V Mantha

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Quality Assurance-Compliance -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242712

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Kerry L Markwardt

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Research

Occupation

Project Mgmt - Life Cycle - Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242640

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

76.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Charles J Marshall

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Product/Brand Mgmt - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242664

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Teresa Mason

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Vice Pres

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311536

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Julie Masow

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

External Communications - Dire

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311495

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

138.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William R Matthews

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Sales Mgr - Oncology

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

430.61

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310881

Amount of Each Receipt this Period

41.22

Full Name (Last, First, Middle Initial)

B. Arthur M Mauceri

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311280

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Stefanie L Maurer

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Neuro-Psych Specialist

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

255.75

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311050

Amount of Each Receipt this Period

16.00

SUBTOTAL of Receipts This Page (optional)..... ►

80.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Aaron A Mays

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Consumer Promotions - Associat

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242687

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

B. John C Mc Partland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Sys- Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311281

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Vivian H McCain

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Procurement - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310581

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

65.40

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. J'ai B McClellan

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Government Affairs - Vice Pres

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4242644

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Michael P McDermott

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Product/Brand Management - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4296587

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Catharine M McGeehan

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Senior Sp

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310790

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

146.14

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Paul J McGinley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Mktg and Sales Ex-TP Area-Ex D

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311285

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Edward D McGough

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

PRODUCTION - CEG ROLE

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

2422.98

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242653

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

C. Edward J McKenna

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Manager Business Solutions II

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311444

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

286.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William D McLaury

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Supply Chain Plan - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310815

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Brian J McNamara

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4309798

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Gary J Menichini

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310453

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

234.60

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Wayne P Merkelson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Finance Corporation

Occupation

Tax - Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

727.02

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311009

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Susan J Millard

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

HR - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310460

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Donald J Miller

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managed Care Marketing - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311288

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

142.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Laurie C Mills

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Clinical Operations

Occupation

CRM - Spec II

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310468

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Michael Moore

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

Tech/Sci Sales Svc-NAHPSV-Sr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4296588

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Stacey L Moore

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

BU Account Manager I

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

369.50

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310772

Amount of Each Receipt this Period

35.32

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

86.10

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Glenn H Morton

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Project Management - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1095.79

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310910

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Polly L Murphy

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311293

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Vasant Narasimhan

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310555

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

140.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Clifford R Neukrug

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Sales Mgr - Oncology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311436

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. An V Nguyen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sys Anlys and Programming - As

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311396

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. James R Niebanck

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Operations Research - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310878

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

74.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sharon L Nobles

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

BU Account Manager II

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310752

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Kevin A Nugent

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Regulatory Affairs Approval -

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242747

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

c. Hugh M O'Dowd

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310774

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

88.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Shawn O'Neil

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311534

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Michael S Oehrlein

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Oncology Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1350.00

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311299

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

C. Sharon N Olmstead

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Fed and Legislative Affairs -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311552

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

288.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 69 OF 105

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John J Orloff

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

DEVELOPMENT & MEDICAL - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311300

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Craig S Osten

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Controller Corporate - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310496

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Serafina Oxner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Strategic Planning - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311026

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

115.40

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John M Pakulski

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Reg Aff Approval - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310491

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Joseph S Palumbo

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Organization Devel - Asoc Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310985

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Melissa A Parker

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Director Acct Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311061

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

143.08

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gregory A Peters

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

BU Sr Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310755

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. David Picard

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Top Mktg and Sales Ex- VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310487

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Elvira Ponce

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Medical Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310551

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

69.24

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Elizabeth Power

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Vaccines & Diagnostics

Occupation
 External Communications - Dire

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310561

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

B. Stuart Raetzman

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Alcon Laboratories Inc.

Occupation
 COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.80

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242650

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Anne Ramsey

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Vaccines & Diagnostics

Occupation
 District Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310563

Amount of Each Receipt this Period

40.00

SUBTOTAL of Receipts This Page (optional)..... ►

123.08

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Susan R Rendon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Human Resources Generalist - D

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242699

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

B. Marc B Reuss

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

HR - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310557

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Margaret Riccobono

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Training - Assoc Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310488

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

92.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edward C Richards

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Mftg Plant Mgmt - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242735

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Kevin T Rigby

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

CORPORATE AFFAIRS - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2422.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310980

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

C. Randi C Roberts

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Oncology Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311314

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

307.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David J Robertson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

PROCUREMENT - DEV ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4242677

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

B. Allan P Robinson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

PC Sr Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4311440

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Diane M Rogers

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sales Management-V&D - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

10 / 31 / 2013

Transaction ID : A2013-4310553

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

70.02

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Rodney M Roggow

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310688

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Thomas M Ruggia Jr.

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Business Devel - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242683

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Jason T Russell

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

610.66

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310643

Amount of Each Receipt this Period

58.48

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

106.56

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Alan D Ryan

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Dir Account Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

241.50

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4311439

Amount of Each Receipt this Period

23.00

Full Name (Last, First, Middle Initial)

B. Peter RzewnickiMailing Address 350 Massachusetts Avenue
350 MA # 234F

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Product Marketing - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4310504

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

c. Ahmad M Saad

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Research

Occupation

Engineering-Test - Senior Cons

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 10 31 2013

Transaction ID : A2013-4242734

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

69.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David A Schoening

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

QA Mgmt-Plant - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242728

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. S. M Seeland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311127

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

c. Christopher Sellin

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Business Process Anlys - Sr Co

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311474

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

81.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christi L Shaw

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311535

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Sherrie L Simms

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

HR Generalist - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311329

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Joseph M Simon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MS Executive Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

387.66

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310803

Amount of Each Receipt this Period

36.92

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

106.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael F Smith

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Clinical Devel Operations - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311533

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Katherine E Solon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2422.98

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311383

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

C. Rajat Soni

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Product/Brand Management - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242678

Amount of Each Receipt this Period

19.24

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

273.08

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William S Spelta

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Sales Mgr - Oncology

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4310628

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Robert A Spurr

Mailing Address 608 Fifth Avenue

City

New York

State

NY

Zip Code

10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Onco Mgd Mkts - VP

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311562

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

C. Donald R Stanski

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Clinical Research - Vice Presi

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4311375

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

251.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
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Detailed Summary Page

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Donald E Stecher

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Senior Sp

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4311075

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Lisa A Steelman

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1114.68

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4310595

Amount of Each Receipt this Period

106.16

Full Name (Last, First, Middle Initial)

C. Philip G Stehle

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Cont Process Improv Anlys - Sr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2013

Transaction ID : A2013-4242719

Amount of Each Receipt this Period

19.24

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

148.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Donald P Stevens

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

727.02

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310703

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Lesley J Stickley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310765

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Peter N Streit

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MARKETING - DEV ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311006

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

120.02

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mary R Strickland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310490

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Joanne Strunk-Walsh

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sales-Pharmaceutical - Senior

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

435.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310550

Amount of Each Receipt this Period

180.00

Full Name (Last, First, Middle Initial)

C. Nirupama Subramanian

Mailing Address 350 Massachusetts Avenue
350 MA # 232A

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Clin Rsrch - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310500

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

249.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John Suchorsky

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Relationship Management - Dire

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310977

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Xiaowei Sun

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

QC Anlys and TstSvcs - Sr Con

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310495

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Thomas A Suter

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311498

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

115.40

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard Tessier

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Engineering Facilities - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311042

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Barbara A Tombros

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Strategic Planning - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

743.54

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310930

Amount of Each Receipt this Period

71.06

Full Name (Last, First, Middle Initial)

C. Seth A Townsend

Mailing Address 608 Fifth Avenue

City

New York

State

NY

Zip Code

10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Financial Analysis - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311568

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional)..... ►

177.22

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 87 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christina M Tremains

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

BU Sr Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

653.39

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4311082

Amount of Each Receipt this Period

62.42

Full Name (Last, First, Middle Initial)

B. Thomas A Urban

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.64

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310596

Amount of Each Receipt this Period

30.82

Full Name (Last, First, Middle Initial)

C. Lisa R Utt

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Neuro-Psych Executive Speciali

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

290.85

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310678

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

120.94

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edwin Valeriano

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Investor Relations - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311346

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Erwin Vanhaecke

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

PRODUCTION - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.96

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242690

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Russell E Veitenheimer

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

PC Sr Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310701

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

69.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 89 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William W Voegtli

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Reimbursement-Sr Mgr - Senior

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.84

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310672

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Andrew J Volante

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310869

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Matthew M von Wellsheim

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

IT - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242656

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

166.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 90 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anthony Wallace

Mailing Address One Health Plaza
Ste 725

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sales Mgr-Reg-V&D - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310510

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. John Ward

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

General Counsel - Senior Consu

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310556

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. Robert K Warner

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4242662

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

99.24

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 91 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. James T Wassil

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Vaccines & Diagnostics

Occupation
Clin Rsrch (MD) - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310559

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Jane E Welborn

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Oncology Sr Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

515.55

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4310722

Amount of Each Receipt this Period

49.10

Full Name (Last, First, Middle Initial)

C. James E Williams

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Clinical Research (MD) - Princ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M / D D / Y Y Y Y Y
10 31 2013

Transaction ID : A2013-4311490

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

164.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Brad C Willie

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Dir Account Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4310691

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Stephen A Woolford

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Financial Analysis - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311023

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Christine D Wyble

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Medical Information Services -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.34

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 31 / 2013

Transaction ID : A2013-4311522

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

92.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 OF 105

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Fan Zhang

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Toxicology - Senior Consultant

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 / 31 / 2013

Transaction ID : A2013-4242700

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y
 / /

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐
☐

Primary

☐

General

Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y
 / /

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

19.24

12833.86

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 94 OF 105

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Capital One Bank

Mailing Address 701 Pennsylvania Ave. NW

City	State	Zip Code
Washington	DC	20004

Purpose of Disbursement
Bank Service Charge

001

Candidate Name

Category/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: DC

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		01		2013

Transaction ID : B481869

Amount of Each Disbursement this Period

37.77

Full Name (Last, First, Middle Initial)

B. Capital One Bank

Mailing Address 701 Pennsylvania Ave. NW

City	State	Zip Code
Washington	DC	20004

Purpose of Disbursement
Bank Service Charge

001

Candidate Name

Category/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: DC

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2013

Transaction ID : B481870

Amount of Each Disbursement this Period

48.52

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For:

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
-------	---	-------	---	-------------

Amount of Each Disbursement this Period

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SUBTOTAL of Disbursements This Page (optional).....▶

86.29

TOTAL This Period (last page this line number only).....▶

86.29

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 95 OF 105

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lisa Murkowski for US Senate

Mailing Address 900 19th St. NW 8th Floor

City Washington	State DC	Zip Code 20006
--------------------	-------------	-------------------

Purpose of Disbursement
Contribution

011

Candidate Name

Lisa MurkowskiCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: AK District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B475376

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Bera for Congress

Mailing Address P.O. Box 582496

City Elk Grove	State CA	Zip Code 95758
-------------------	-------------	-------------------

Purpose of Disbursement
Contribution

011

Candidate Name

Amerish BeraCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: CA District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B475381

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. Darrell Issa Victory Fund

Mailing Address P.O. Box 760

City Vista	State CA	Zip Code 92085
---------------	-------------	-------------------

Purpose of Disbursement
Contribution

011

Candidate Name

Category/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼
Not Applicable

State: CA District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		25		2013

Transaction ID : B480310

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00

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SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 96 OF 105

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Devin Nunes Campaign Committee

Mailing Address PO Box 6545

City	State	Zip Code
Visalia	CA	93290

Purpose of Disbursement
Contribution

011

Candidate Name

Devin NunesCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: CA District: 22

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		25		2013

Transaction ID : B480235

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Carper for Senate

Mailing Address 303 Massachusetts Ave. NE

City	State	Zip Code
Washington	DC	20002

Purpose of Disbursement
Contribution

011

Candidate Name

Tom CarperCategory/
TypeOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2018
☒ Primary ☐ General
☐ Other (specify) ▼

State: DE District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		25		2013

Transaction ID : B480241

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. Iowans for Latham

Mailing Address 217 Third Street SE

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

Tom LathamCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: IA District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		22		2013

Transaction ID : B475379

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4000.00

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SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Upton for All of Us

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Mailing Address 104 Hume Avenue

City	State	Zip Code
Alexandria	VA	22301

Transaction ID : B475378Purpose of Disbursement
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Frederick S UptonCategory/
Type

1000.00

Office Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: MI District: 06

Full Name (Last, First, Middle Initial)

B. Lee Terry for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		15		2013

Mailing Address 1707 Prince Street #5

City	State	Zip Code
Alexandria	VA	22314

Transaction ID : B475383Purpose of Disbursement
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Lee R TerryCategory/
Type

2000.00

Office Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: NE District: 02

Full Name (Last, First, Middle Initial)

C. Pallone for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		25		2013

Mailing Address 236 Massachusetts Ave. NE #603

City	State	Zip Code
Washington	DC	20002

Transaction ID : B480236Purpose of Disbursement
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Frank Pallone Jr.Category/
Type

1000.00

Office Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: NJ District: 06

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4000.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Steve Israel for Congress Committee

Mailing Address PO Box 1400

City	State	Zip Code
Melville	NY	11747

Purpose of Disbursement
Contribution

011

Candidate Name

Steve IsraelCategory/
Type

Office Sought: ☒ House
☐ Senate
☐ President

State: NY District: 03

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B475377

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

B. Walden for Congress

Mailing Address 213 Ashby Street

City	State	Zip Code
Alexandria	VA	22305

Purpose of Disbursement
Contribution

011

Candidate Name

Gregory Paul WaldenCategory/
Type

Office Sought: ☒ House
☐ Senate
☐ President

State: OR District: 02

Disbursement For: 2014

☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		25		2013

Transaction ID : B480238

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Keystone America PAC

Mailing Address P.O. Box 5876

City	State	Zip Code
Philadelphia	PA	19102

Purpose of Disbursement
Contribution

011

Candidate Name

Category/
Type

Office Sought: ☐ House
☐ Senate
☐ President

State: PA District:

Disbursement For: 2013

☐ Primary ☐ General
☒ Other (specify) ▼ Not Applicable

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		25		2013

Transaction ID : B480237

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6000.00

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SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
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(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gene Green Congressional Campaign

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Mailing Address PO Box 16128

City	State	Zip Code
Houston	TX	77222

Purpose of Disbursement
Contribution

011

Candidate Name

Raymond Gene GreenCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: TX District: 29

Transaction ID : B475375

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Marc Veasey Congressional Campaign Cmte

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Mailing Address PO Box 50084

City	State	Zip Code
Fort Worth	TX	76105

Purpose of Disbursement
Contribution

011

Candidate Name

Marc VeaseyCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☒ Primary ☐ General
☐ Other (specify) ▼

State: TX District: 33

Transaction ID : B475384

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Amount of Each Disbursement this Period

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SUBTOTAL of Disbursements This Page (optional)..... ▶**TOTAL** This Period (last page this line number only)..... ▶

3000.00

22000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Nancy Barto for Senate

Mailing Address 822 N. 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State Senate 15 AZ

011

Candidate Name

Nancy Barto

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476154

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

B. Bradley for Senate

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State Senate 10 AZ

011

Candidate Name

David Bradley

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476163

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

C. Cmte to Re-elect Chad Campbell

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State House 24 AZ

011

Candidate Name

Chad Campbell

Office Sought:

☐ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476159

Amount of Each Disbursement this Period

100.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

300.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Vote Heather Carter

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State House 15 AZ

011

Candidate Name

Heather CarterCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476157

Amount of Each Disbursement this Period

150.00

Full Name (Last, First, Middle Initial)

B. Friends of Ruben Gallego

Mailing Address 822 N. 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State House 27 AZ

011

Candidate Name

Ruben GallegoCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476158

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

C. Hobbs 2014

Mailing Address 822 N. 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State Senate 15 AZ

011

Candidate Name

Katie HobbsCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476170

Amount of Each Disbursement this Period

100.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

350.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Linda Lopez for State Senate

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State Senate 2 AZ

011

Candidate Name

Linda LopezCategory/
TypeOffice Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476165

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

B. Lovas for Arizona

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State House 22 AZ

011

Candidate Name

Phil LovasCategory/
TypeOffice Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476156

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

C. Friends of John McComish

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State Senate 18 AZ

011

Candidate Name

John McComishCategory/
TypeOffice Sought: ☐ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476155

Amount of Each Disbursement this Period

150.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

350.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
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Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kate Brophy McGee 2014

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State House 28 AZ

011

Candidate Name

Kate McGeeCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476161

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

B. Robson 2014

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State House 18 AZ

011

Candidate Name

Robert RobsonCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476160

Amount of Each Disbursement this Period

150.00

Full Name (Last, First, Middle Initial)

C. Anna Tovar for Senate

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State Senate 19 AZ

011

Candidate Name

Anna TovarCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476167

Amount of Each Disbursement this Period

150.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

400.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 104 OF 105

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bob Worsley for State Senate

Mailing Address 822 N 5th Avenue

City	State	Zip Code
Phoenix	AZ	85003

Purpose of Disbursement
P-2014 State Senate 25 AZ

011

Candidate Name

Robert WorsleyCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		22		2013

Transaction ID : B476150

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

B. Re-elect Senator Daryl Beall

Mailing Address 1928 N. 22nd Street

City	State	Zip Code
Fort Dodge	IA	50501

Purpose of Disbursement
P-2014 State Senate 5 IA

011

Candidate Name

Daryl BeallCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		25		2013

Transaction ID : B480227

Amount of Each Disbursement this Period

250.00

Full Name (Last, First, Middle Initial)

C. Northey for Iowa Agriculture

Mailing Address P.O. Box 8451

City	State	Zip Code
Des Moines	IA	50301

Purpose of Disbursement
P-2014 State Agric. Comm. IA

011

Candidate Name

Bill NortheyCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		25		2013

Transaction ID : B480225

Amount of Each Disbursement this Period

500.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

850.00