Image# 13942530852 PAGE 1 / 105

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

TOTAL P	or Other Than An Auti	norized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	Political Action Comm	ittee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW	Suite 725	
Check if different			
than previously reported. (ACC)	Washington		DC 20004-2608 -
2. FEC IDENTIFICATION NU	JMBER ▼ CIT	YA	STATE ▲ ZIP CODE ▲
C C00033969		S THIS X NEW (N) OF	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M 20 (M4) Jul 20 (M7	(Non-Election Year Only)
April 15 Quarterly Report (Q	01)		
July 15 Quarterly Report (C	(C) 12-Day	Primary (12P) Convention (12C)	General (12G) Runoff (12R) Special (12S)
October 15 Quarterly Report (Q	· ·	00.1101.1101 (120)	Openia (125)
January 31 Year-End Report (Y	Electio	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	n (d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Electio	n on	in the State of
5. Covering Period 10	01 / 2013	through 10	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examined th	is Report and to the best of	my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasure	r Shawn O'Neail		
Signature of Treasurer Shaw	n O'Neail	[Electronically Filed]	Date 11 19 2013
NOTE: Submission of false, errone	eous, or incomplete information	n may subject the person signing	g this Report to the penalties of 2 U.S.C. §437g.
Office Use Only			FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 10 01 2013 To: 10 31 2013

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2013		202800.39
	(b) Cash on Hand at Beginning of Reporting Period	146091.66	
	(c) Total Receipts (from Line 19)	23684.23	258729.62
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	169775.89	461530.01
7.	Total Disbursements (from Line 31)	25336.29	317090.41
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	144439.60	144439.60
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

s (other than loans) From: als/Persons Other olitical Committees nized (use Schedule A) emized TAL (add is 11(a)(i) and (ii) Party Committees colitical Committees s PACs) ontributions (add Lines of, (b), and (c)) (Carry of Line 33, page 5) om Affiliated/Other iittees		12833.86 10850.37 23684.23 0.00 0.00	91167.1 161562.4 252729.6 0.0
emized		10850.37 23684.23 0.00 0.00	161562.4 252729.6 0.0
emized (use Schedule A) Emized TAL (add is 11(a)(i) and (ii) Party Committees Political Committees is PACs) Contributions (add Lines is 1, (b), and (c)) (Carry is 1, (b), and (c)) (Carry is 1, (c), and (d)) Committees Contributions (add Lines is 1, (b), and (c)) (Carry is 1, (c), and (c)) (Carry is 1, (c), and (d)) Committees Contributions (add Lines is 1, (b), and (c)) (Carry is 1, (c), and (d)) (Carry is 1, (c), and (d))		10850.37 23684.23 0.00 0.00	161562.4 252729.6 0.0
emized TAL (add is 11(a)(i) and (ii) Party Committees Political Committees is PACs) pontributions (add Lines in the committee in		10850.37 23684.23 0.00 0.00	161562.4 252729.6 0.0
Party Committees		23684.23 0.00 0.00	252729.6 0.0
Party Committees Party Committees Political Committees se PACs) Pontributions (add Lines set, (b), and (c)) (Carry set Line 33, page 5) Dom Affiliated/Other		0.00	0.0
rolitical Committees s PACs) ontributions (add Lines n, (b), and (c)) (Carry o Line 33, page 5) om Affiliated/Other		0.00	0.0
ontributions (add Lines b, (b), and (c)) (Carry c Line 33, page 5) om Affiliated/Other			
ontributions (add Lines b, (b), and (c)) (Carry c Line 33, page 5) om Affiliated/Other			
o, (b), and (c)) (Carry o Line 33, page 5) om Affiliated/Other	7 7	23684.23	252729.6
o Line 33, page 5) om Affiliated/Other	2 1 2	23684.23	252729.6
om Affiliated/Other		23684.23	252129.0
ittees			
		0.00	0.0
		0.00	0.0
eceived	7 7	0.00	0.0
ments Received		0.00	0.0
perating Expenditures	,		,
· · · · · · · · · · · · · · · · · · ·			
		0.00	0.0
	,		
		0.00	6000.0
· · · · · · · · · · · · · · · · · · ·			
		0.00	0.00
	,		,
chedule H3)		0.00	0.00
nds (from Schedule H5)		0.00	0.0
nsfers (add 18(a) and 18(b))		0.00	0.0
	ments Received	Operating Expenditures ebates, etc.) s to Line 37, page 5)	Operating Expenditures ebates, etc.) s to Line 37, page 5)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
1. Op (a)	erating Expenditures: Allocated Federal/Non-Federal	Total Tillo I Ollow	Calcinda Teal-to-Date
	Activity (from Schedule H4) (i) Federal Share	0.00	0.00
	(i) I ederal Share		
	(ii) Non-Federal Share	0.00	0.00
(b)	Other Federal Operating		
	Expenditures	86.29	598.73
(c)	1 9 1		
_	(add 21(a)(i), (a)(ii), and (b))▶	86.29	598.73
	nsfers to Affiliated/Other Party	0.00	0.00
Co	mmitteesntributions to	0.00	0.00
	deral Candidates/Committees d Other Political Committees	22000.00	297000.00
	ependent Expenditures	7 7	
	e Schedule E)	0.00	0.00
Co	ordinated Party Expenditures		
(us	U.S.C. §441a(d)) e Schedule F)	0.00	0.00
Loa	an Repayments Made	0.00	0.00
		0.00	0.00
	ans Madefunds of Contributions To:	0.00	0.00
(a)		0.00	41.68
	Than Political Committees	0.00	7
(b)	Political Party Committees	0.00	0.00
(c)	Other Political Committees	7	
` ,	(such as PACs)	0.00	0.00
<i>(</i> 1)	Table Occident to the Defect		
(d)	Total Contribution Refunds	0.00	41.68
	(add Lines 28(a), (b), and (c))▶	/5 /5 /5	7 7
. Oth	ner Disbursements	3250.00	19450.00
		0230.00	
Fed	deral Election Activity (2 U.S.C. §431(20))		
	Allocated Federal Election Activity		
	(from Schedule H6)		
	(i) Federal Share	0.00	0.00
	40 m	0.00	0.00
71.3	(ii) "Levin" Share	0.00	0.00
(b)	Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c)	Total Federal Election Activity (add	0.00	3.00
(0)	Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Tot	al Disbursements (add Lines 21(c), 22,		
	24, 25, 26, 27, 28(d), 29 and 30(c))	25336.29	317090.41
		7	
	al Federal Disbursements		
	btract Line 21(a)(ii) and Line 30(a)(ii)		
fror	m Line 31)	25336.29	317090.41

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

1 LO 1 01111 3X (11ev. 02/2003)		r age 3
III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	23684.23	252729.62
4. Total Contribution Refunds (from Line 28(d))	0.00	41.68
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	23684.23	252687.94
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	86.29	598.73
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	86.29	598.73

FOR LINE NUMBER: **PAGE** 6 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310933 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Administration - Vice Presiden Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Robin D Adelstein Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310482 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. LEGAL & IP - CEG ROLE Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Laman Alani Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242716 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation Top Formulation and Pharmaceut Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) 76.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 Use separate schedule(s) (check only one) X 11a 11b 11c

OF 105 for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Gary J Appio Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310926 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product Sfty Risk Mgmt - Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) Full Name (Last, First, Middle Initial) B. Brad Arlett Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311514 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Relationship Mgmt - Asoc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew Arline Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311207 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation PC Sr Area Business Leader Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 78.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 8 (check only one) X 11a 11b 11c

OF 105 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael Banko Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311005 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Database Analysis - Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311516 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) c. Stephen H Bennett Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242743 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Financial Analysis - Associate Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 157.70

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

	LINE	_		:	PAGE	9	OF	105
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	13		14		15	16	;	17

	nd Statements may not be sold or used by any person the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) 1. Ted R Benson		Date of Receipt
Mailing Address One Health Plaza		10 31 2013 -
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310480 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer Sandoz Inc. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Sales-PH-Spec - Sr Conslt Aggregate Year-to-Date ▼ 315.00	
Full Name (Last, First, Middle Initial) Sheila Bhattacharya Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311048 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Pharmaceuticals	Occupation Sr Assoc Dir National Account	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial)		Data of Bassint
Mailing Address One Health Plaza		Date of Receipt 10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310481 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	-
Sandoz Inc. Receipt For: Primary General Other (specify) ▼	Project Mgmt-Info Tech - Sr Co Aggregate Year-to-Date ▼ 242.34	
SURTOTAL of Receipts This Page (options	l) >	76.16
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Any information copied from such Reports and Sour for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) A. Edward J Blair		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code	Transaction ID : A2013-4310923
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Product/Brand Mgmt - Asoc Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	242.34	
Full Name (Last, First, Middle Initial) Eduardo Blas		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	10 31 2013 Transaction ID : A2013-4242666
East Hanover	NJ 07936	Transaction ID : A2013-4242666 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.76
Name of Employer	Occupation	
Alcon Laboratories Inc.	Information Systems - Vice Pre	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 322.98	
Full Name (Last, First, Middle Initial) C. Lisa M Blizzard		Date of Receipt
Mailing Address One Health Plaza		10 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4311219
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Managed Care Marketing - Direc	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	242.34	
SUBTOTAL of Receipts This Page (optional)	>	76.92
TOTAL This Period (last page this line number of	only)	

SCHEDULE A (FEC Form 3X) ITEMIZ

FOR LINE NUMBER: PAGE 11 OF 105

ZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	١.		ck only 11a 13	on	e) 11b 14		11c		12 16		17
mation copied from such Reports and Statements ma	ay not be sold or used by any pe	rsoı	n fo	or the p	urp	ose o	f so	oliciting	cor	ntributio	ns	

Any infor

Full Name (Last, First, Middle Initial) A. Peril K Bonner Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing feederal political committee. Name of Employer Occupation St Account Manager Receipt For: Primary General City State Zip Code NJ 07936 FEU IN Name (Last, First, Middle Initial) Troy L Borill Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing feederal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) City State Zip Code NJ 07936 FEC ID number of contributing feederal political committee. Name of Employer Occupation Oncology Sr Specialist Receipt For: Primary General Other (specify) ▼ State Zip Code NJ 07936 Date of Receipt Tanasaction ID: A2013-4311148 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2013-4310758 Transaction ID: A2013-4310758 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2013-4310758 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of Each Receipt this Period Transaction ID: A2013-4310758 Amount of	or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political	the name and address of any political committee to Action Committee	o solicit contributions from such committee.
Date of Receipt Mailing Address One Health Plaza	Full Name (Last, First, Middle Initial) Peri K Bonner Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Account Manager Aggregate Year-to-Date ▼ 592.30	10 31 2013 Transaction ID : A2013-4310795
Daniel S Bortfeld Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼ Date of Receipt Transaction ID : A2013-4310758 Amount of Each Receipt this Period C 23.	Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	NJ 07936 C Occupation Oncology Sr Specialist Aggregate Year-to-Date ▼	10 31 2013 Transaction ID : A2013-4311148
SUBTOTAL of Receipts This Page (optional)	City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	NJ 07936 C Occupation Staffing - Director Aggregate Year-to-Date ▼	10 31 2013 Transaction ID : A2013-4310758
	SUBTOTAL of Receipts This Page (optional)	>	117.10

	FOF	LINE	NU	IMBER	:	PAGE	•	12 OF	1	05
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
_ common common, cogo		13		14		15		16		17

or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Karen M Boyajian Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2013 Transaction ID: A2013-4310770
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	NJ 07936 C Occupation Neuro-Psych Executive Speciali Aggregate Year-to-Date ▼ 213.15	Amount of Each Receipt this Period 20.30
Full Name (Last, First, Middle Initial) Leonard J Brandt Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 10 31 2013 Transaction ID : A2013-4310992
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	C Occupation Promotion/Advertising - Direct Aggregate Year-to-Date ▼	Amount of Each Receipt this Period 46.16
Other (specify) ▼ Full Name (Last, First, Middle Initial) Ellen M Browne Mailing Address One Health Plaza	484.68	Date of Receipt 10 31 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Info Sys- Ex Dir Aggregate Year-to-Date ▼ 484.68	Transaction ID : A2013-4311039 Amount of Each Receipt this Period 46.16
SUBTOTAL of Receipts This Page (optional)		112.62
TOTAL This Period (last page this line numbe	r only)	

FOR LINE NUMBER: PAGE 13 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lucinda A Bruere Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310718 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals PC Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311225 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sys Plan and Architecture - Di Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Burns Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310626 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Regional Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 96.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Timothy S Byler Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310861 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Patricia A Cannon Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310568 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 70.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) c. James P Carey Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311113 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 99.20 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 1036.50 Other (specify) 192.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAGE 15 OF 1									
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Detailed Summary Page		11a	11b		11c		12			
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Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any per- g the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) James L Carrico Mailing Address One Health Plaza	Trico One Health Plaza State Zip Code NJ 07936 of contributing committee. Occupation PC Sr Area Business Leader Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ First, Middle Initial) Sserly One Health Plaza State Zip Code NJ 07936	Date of Receipt
		10 31 2013
City	•	Transaction ID : A2013-4310745
East Hanover FEC ID number of contributing federal political committee.		Amount of Each Receipt this Period 27.70
Name of Employer Novartis Pharmaceuticals	· ·	
Receipt For: Primary General Other (specify) ▼		
Full Name (Last, First, Middle Initial) Daniel P Casserly Mailing Address Co. 14 July 81		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	•	Transaction ID : A2013-4310814
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	276.92
Name of Employer Novartis Services Incorporated	Occupation Fed and Legislative Affairs -	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2907.66	
Full Name (Last, First, Middle Initial)	•	Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311229 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Compliance - Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 484.68	
SUBTOTAL of Receipts This Page (options	al)	350.78
	·	
TOTAL This Period (last page this line nur	nber only)	

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James E Chastain Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4242673 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Pharmacokinetics/Drug Meta - E Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name (Last, First, Middle Initial) B. Atindra N Chaturvedi Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310932 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Sys- Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) c. Katie Chon Date of Receipt Mailing Address One Health Plaza 10 01 2013 City Zip Code State Transaction ID: A2013-4322236 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Affairs Approval -Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 1065.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FO	R LINE	NU	IMBER	:	PAGE	 17 OF	= 10
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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any personant and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) A. Barbara Christensen-Boner Mailing Address One Health Plaza		Date of Receipt
		10 31 2013
City	State Zip Code	Transaction ID : A2013-4310652
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	54.18
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Senior Sp	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	566.87	
Full Name (Last, First, Middle Initial) Sean T Clark		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code NJ 07936	Transaction ID : A2013-4310454
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	
CIBA Vision Corporation	Product/Brand Mgmt - VP	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	385.00	
Full Name (Last, First, Middle Initial) C. Julie A Collins		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code	Transaction ID : A2013-4310455
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
CIBA Vision Corporation	Professional Svcs-Mktg - Dir	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	969.15	
SUBTOTAL of Receipts This Page (optional)		206.48
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 18 OF 105 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael A Conley Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310875 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Sales-RAM-Pharma Acct Mgmt - E Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) B. Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 10 31 2013 City State Zip Code Transaction ID: A2013-4310544 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Product Marketing - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) c. David C Creedon Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311524 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Relationship Mgmt - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 168.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	19 OF	= 1	10
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for each category of the Detailed Summary Page	X	11a		11b		11c	12		
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		tatements may not be sold or used by any person name and address of any political committee to	
	NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Α.	Full Name (Last, First, Middle Initial) Rhonda N Crichlow		Date of Receipt
	Mailing Address One Health Plaza		10 31 2013
	City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311382 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	23.08
	Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Diversity Workforce Mgmt - VP Aggregate Year-to-Date ▼	
	Other (specify)	242.34	
В.	Full Name (Last, First, Middle Initial) Charles S Crouch Mailing Address One Health Plaza		Date of Receipt
		01.1. 7. 0.1.	10 31 2013
	City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4242714 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	19.24
	Name of Employer Alcon Laboratories Inc.	Occupation Cont Process Improv Anlys - Di	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 202.02	
<u> </u>	Full Name (Last, First, Middle Initial) Thomas G Cullen		Date of Receipt
	Mailing Address One Health Plaza		10 31 _2013 _
	City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310492 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	23.08
	Name of Employer	Occupation	
	Sandoz Inc.	Sci Acquist & Lic Invest - Spe	
	Receipt For: Primary General	Aggregate Year-to-Date ▼	
	Other (specify)	242.34	
H	SUBTOTAL of Receipts This Page (optional)	` _	65.40
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
cannon, rage		13		14		15		16		17

		atements may not be sold or used by any person name and address of any political committee to	
\	IAME OF COMMITTEE (In Full)		
angle N	Novartis Corporation Political Ac	tion Committee	
	full Name (Last, First, Middle Initial) William E Darnall		Date of Receipt
M	Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
_	Ste 725	Choto 7'- O-1	10 31 2013
	ity East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310506
_		01300	Amount of Each Receipt this Period
	EC ID number of contributing ederal political committee.	C	100.00
N	lame of Employer	Occupation	
_	lovartis Vaccines & Diagnostics	Med Science Liaison (MD) - Dir	
R	Receipt For:	Aggregate Year-to-Date ▼	
[Primary General	1050.00	
	Other (specify) ▼	1050.00	
3. <u>F</u>	full Name (Last, First, Middle Initial) R. Deck		Date of Receipt
M	Mailing Address 350 Massachusetts Avenue		M = M / D = D / Y = Y = Y = Y
C	Dity	State Zip Code	10 31 2013 Transaction ID : A2013-4310554
	Cambridge	MA 02139	Amount of Each Receipt this Period
	EC ID number of contributing		
	ederal political committee.	C	23.08
	lame of Employer	Occupation	
No	lovartis Vaccines & Diagnostics	Project Management - Director	
R	Receipt For:	Aggregate Year-to-Date ▼	
[Primary General		
	Other (specify) ▼	242.34	
	full Name (Last, First, Middle Initial) Clinton D Degner		Date of Receipt
_	Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
_	'itay	State 7:- Cod-	10 31 2013
	City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310699
_	EC ID number of contributing		Amount of Each Receipt this Period
	ederal political committee.	C	23.08
N	lame of Employer	Occupation	
	Novartis Pharmaceuticals	Sr Account Manager	
R	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General	242.34	
	Other (specify) ▼	242.34	
SUE	BTOTAL of Receipts This Page (optional)		146.16
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FOR LINE NUMBER: PAGE 21 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joanne Del Rio Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310962 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Facilities - Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Lynne M DeLisi Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311408 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals General Counsel - Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Randal Dias Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310945 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Project Management - Executive Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAGE 22 OF 1									
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Detailed Summary Page	X 11a 11b	Н	11c	$ \frac{12}{12}$						
	13 14		15	116	1 11/					

NAME OF COMMITTEE (In Full)	ng the name and address of any political committee	e to solicit contributions from such committee.
Novartis Corporation Politic	al Action Committee	
Full Name (Last, First, Middle Initial) Candace B Dibblee		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code	Transaction ID : A2013-4311052
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	230.76
Name of Employer	Occupation	
Novartis Services Incorporated	Government Affairs - Associate	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	.199.594.6 154. 6 54.6 7	
Other (specify) ▼	2422.98	
Full Name (Last, First, Middle Initial) Anthony Diorio	,	Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code	Transaction ID : A2013-4310566
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	,	
Receipt For:	District Sales Manager	_
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	315.00	
Full Name (Last, First, Middle Initial)	<u>'</u>	Date of Receipt
Mailing Address One Health Plaza		10 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4242702
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	19.24
Name of Employer	Occupation	
Alcon Laboratories Inc.	Product/Brand Mgmt - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		1
Other (specify) ▼	202.02	
SUBTOTAL of Receipts This Page (option	al)	280.00
TOTAL This Period (last page this line nu	mber only)	

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or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Christos Dogas Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Auditing - Director Aggregate Year-to-Date ▼	Date of Receipt 10 31 2013 Transaction ID: A2013-4310498 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) Alastair M Douglas Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sales Administration-Alcon - E Aggregate Year-to-Date ▼ 242.34	Date of Receipt 10 31 2013 Transaction ID: A2013-4242692 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) David P Drake Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation Government Affairs - Executive Aggregate Year-to-Date ▼ 1869.24	Date of Receipt 10 31 2013 Transaction ID: A2013-4310931 Amount of Each Receipt this Period 200.00
SUBTOTAL of Receipts This Page (optional)	•	246.16
TOTAL This Period (last page this line numbe	r only)	

	FOR LINE NUMBER:	PAGE	24 OF	105
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Detailed Summary Page	X 11a 11b	11c	12	_
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	Statements may not be sold or used by any perse name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Carter L Dutch Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	10 31 2013 Transaction ID : A2013-4311558
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 100.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Sales Management - Executive D Aggregate Year-to-Date ▼ 600.00	
Full Name (Last, First, Middle Initial) Carol D DuVall Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2013-4242655 Amount of Each Receipt this Period 30.76
Name of Employer Alcon Laboratories Inc. Receipt For:	Occupation Auditing - Vice President	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 322.98	
Full Name (Last, First, Middle Initial) David M Eberenz Jr. Mailing Address One Health Plaza		Date of Receipt 10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310851 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Executive Sales Consultant Aggregate Year-to-Date ▼ 290.85	
SUBTOTAL of Receipts This Page (optional)	>	158.46
TOTAL This Period (last page this line number	only)	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 25 OF 105

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Any information copied from such Reports and Statement or for commercial purposes, other than using the name a		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C	Committee	
Receipt For: Primary General Other (specify) ▼	07936	Date of Receipt 10 31 2013 Transaction ID: A2013-4242645 Amount of Each Receipt this Period 30.76
Pagaint For:	07936	Date of Receipt 10 31 2013 Transaction ID: A2013-4310602 Amount of Each Receipt this Period 28.08
Possint For:	07936	Date of Receipt 10 31 2013 Transaction ID : A2013-4242707 Amount of Each Receipt this Period
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number only)	······••••••••••••••••••••••••••••••••	78.08

FOR LINE NUMBER: PAGE 26 OF 105 Use separate schedule(s) (check only one) X 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard T Entrekin Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4242731 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Research Eng-Mftg - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. David R Epstein Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310968 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) c. Judith J Ewalt Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310828 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 146.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 27 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael E Fairchild Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4242663 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation FINANCE - DEV ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311057 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science Liaison (Non-MD) -Receipt For: Aggregate Year-to-Date ▼ Primary General 673.12 Other (specify) Full Name (Last, First, Middle Initial) c. James E Foley Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311041 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Clin Rsrch (Non-MD) - Dir (GM) Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 153.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE	NUMBER:	: PAGI	E 28 OF	= 10
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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Matthew C Foster Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	10 31 2013 Transaction ID : A2013-4310821 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation PC Sr Area Business Leader Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial) Steven Franchetti Mailing Address 350 Massachusetts Avenue		Date of Receipt 10 31 _2013 _
City Cambridge	State Zip Code MA 02139	Transaction ID : A2013-4310543 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Vaccines & Diagnostics	Occupation Auditing - Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial) C. Patrick G Francke		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311412 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer Novartis Pharmaceuticals	Occupation Sr Sales Consultant	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 294.84	
SUBTOTAL of Receipts This Page (optional)		74.24
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) H. P Frederick Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310865 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 51.66 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals PC Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 542.43 Other (specify) Full Name (Last, First, Middle Initial) B. Jon S Freeland Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311559 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) c. Debra E Freire Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311003 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation External Comms - Ex Dir Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 122.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 30 OF 105

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Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any per name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) Neely T Frye Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Government Affairs - Senior Sp Aggregate Year-to-Date ▼ 1262.11	Date of Receipt 10 31 2013 Transaction ID: A2013-4310890 Amount of Each Receipt this Period 120.70
Full Name (Last, First, Middle Initial) Steven J Gabor Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Product/Brand Mgmt - Asoc Dir Aggregate Year-to-Date ▼ 367.50	Date of Receipt 10 31 2013 Transaction ID : A2013-4310552 Amount of Each Receipt this Period 35.00
Full Name (Last, First, Middle Initial) Jill H Gaither Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Oncology Sr Specialist Aggregate Year-to-Date ▼ 242.34	Date of Receipt 10 31 2013 Transaction ID : A2013-4311059 Amount of Each Receipt this Period 23.08
SUBTOTAL of Receipts This Page (optional)	·····	178.78
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

105

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Albert Galloza Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310621 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Tech-Lab - Suppt Spec Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) B. Owen H Gan Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242642 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Research Pharma/Bio Proc- Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name (Last, First, Middle Initial) c. Martin J Gargiule Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310484 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Sandoz Inc. Financial Analysis - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 62.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Parameswar Garimella Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer	State Zip Code MA 02139 C Occupation	Date of Receipt 10 31 2013 Transaction ID: A2013-4310558 Amount of Each Receipt this Period 23.08
Novartis Vaccines & Diagnostics Receipt For: □ Primary □ General Other (specify) ▼	Information Systems - Associat Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial) B. Michael L Gentry Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2013 Transaction ID : A2013-4242654
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 24.00
Name of Employer Alcon Laboratories Inc. Receipt For: Primary Other (specify) ▼	Occupation Programmer/Analyst - Executive Aggregate Year-to-Date ▼ 277.90	
Full Name (Last, First, Middle Initial) C. Erwin A Gomez Valladares Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Latin America Service Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Procurement - Director Aggregate Year-to-Date ▼ 969.15	Transaction ID : A2013-4310471 Amount of Each Receipt this Period 92.30
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb	<u>^</u>	139.38

FOR LINE NUMBER: PAGE 33 OF 105 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Roger K Gravitte Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4309801 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Sales Management-OTC - Vice Pr Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name (Last, First, Middle Initial) B. Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311519 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Clin Rsrch - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph Guido Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311251 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Sys- Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 101.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 34 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David E Gulick Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310677 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) B. Kurt Habel Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310928 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Operations Research - Sr Consl Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) c. James P Hafner Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311546 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation MS Area Business Leader II Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 120.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 35 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Laura A Hagan Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311511 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Med Science Liaison (Non-MD) -Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas Halbur Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4296583 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Animal Health US Inc. Research/Devel Mgmt - Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) **c.** Philip D Hall Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242627 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Sales-Medical Devices - Manage Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 92.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 36 OF 105

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Any information copied from such Reports and State or for commercial purposes, other than using the na		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti	on Committee	
Alcon Research E	State Zip Code NJ 07936 C Description Business Development - Vice Pr Aggregate Year-to-Date ▼ 322.98	Date of Receipt 10 31 2013 Transaction ID: A2013-4242647 Amount of Each Receipt this Period 30.76
Novartis Services Incorporated	State Zip Code NJ 07936 C Description Government Affairs - Vice Pres Aggregate Year-to-Date ▼ 484.68	Date of Receipt 10 31 2013 Transaction ID: A2013-4310873 Amount of Each Receipt this Period 46.16
Novartis Pharmaceuticals Page 15 For:	State Zip Code NJ 07936 C Decupation External Comms - Ex Dir Aggregate Year-to-Date ▼ 300.00	Date of Receipt 10 31 2013 Transaction ID: A2013-4310948 Amount of Each Receipt this Period 60.00
SUBTOTAL of Receipts This Page (optional)	•	136.92
TOTAL This Period (last page this line number only	v)	

FOR LINE NUMBER: PAGE 37 OF 105 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Veronica Harris Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311503 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Management - Sr Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Rachel S Hartman Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242685 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Clinical Education - Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name (Last, First, Middle Initial) c. Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310894 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 65.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	38 OF	10
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or for commercial purposes, other than using the	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Joseph P Hazelton Mailing Address One Health Plaza		Date of Receipt 10 31 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Managed Care Marketing - Direc Aggregate Year-to-Date ▼	Transaction ID: A2013-4310914 Amount of Each Receipt this Period 40.90
Other (specify) ▼ Full Name (Last, First, Middle Initial) Thomas M Head Mailing Address One Health Plaza	426.81	Date of Receipt 10 31 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For:	State Zip Code NJ 07936 C Occupation Corp Social Responsibility - E	Transaction ID: A2013-4242676 Amount of Each Receipt this Period 23.08
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial) David N Hering Mailing Address 350 Massachusetts Avenue 350 MA # 234D City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Program Manager - Executive Di Aggregate Year-to-Date ▼ 242.34	Date of Receipt 10 31 2013 Transaction ID: A2013-4310503 Amount of Each Receipt this Period 23.08
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William S Higgins Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310838 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name (Last, First, Middle Initial) **B.** Robert J Hilkert Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311457 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med AFFAIRS Ex (MD) - VP Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) c. John A Hohneker Date of Receipt Mailing Address One Health Plaza 10 31 2013 Zip Code City State Transaction ID: A2013-4310955 NJ East Hanover 07936 Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)		99.24
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250.00

COMMERCIAL & GEN MGMT - CEG RO

Aggregate Year-to-Date ▼

С

Occupation

25.00

FEC ID number of contributing

General

federal political committee.

Novartis Pharmaceuticals

Other (specify)

Name of Employer

Primary

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Any information copied from such Reports and St or for commercial purposes, other than using the	tatements may not be sold or used by any personame and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) William C Hokanson Mailing Address One Health Plaza		Date of Receipt
	7.0.1	10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310824
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 27.70
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales-Reg Act Mgmt-Sales Ops -	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 290.85	
Full Name (Last, First, Middle Initial) 3. Jeanne P Hood		Date of Receipt
Mailing Address One Health Plaza		10 31 _2013 _
City	State Zip Code	Transaction ID : A2013-4242703
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.24
Name of Employer Alcon Laboratories Inc.	Occupation Software Devel - Asoc Dir	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 202.02	
Full Name (Last, First, Middle Initial) C. Herve J Hoppenot		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311260 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.16
Name of Employer	Occupation	
Novartis Pharmaceuticals	COMMERCIAL & GEN MGMT - CEG RO	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	484.68	
SUBTOTAL of Receipts This Page (optional)		93.10
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	FOR LINE NUMBER:	PAGE 41 OF 105
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) Otto C Horstmann II II Mailing Address One Health Plaza		Date of Receipt
		10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311030
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Employee Benefits - Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial) 3. Cyrus M Hoseyni		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code	Transaction ID : A2013-4311527
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	23.08
Name of Employer Novartis Pharmaceuticals	Occupation DEVELOPMENT & MEDICAL - CEG RO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial) Linda M Howe		Date of Receipt
Mailing Address 350 Massachusetts Avenue 350 MA # 254J		10 31 2013
City Cambridge	State Zip Code MA 02139	Transaction ID : A2013-4242623 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.38
Name of Employer	Occupation	
Alcon Research	QA Mgmt-Plant - Dir	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	203.49	
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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Melody Hughson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt 10 31 2013 Transaction ID: A2013-4311548 Amount of Each Receipt this Period
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Government Affairs - Executive Aggregate Year-to-Date ▼ 1050.00	
Full Name (Last, First, Middle Initial) James F Immormino Mailing Address One Health Plaza City	Date of Receipt 10 17 2013 Transaction ID : A2013-4322240	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation COMMERCIAL & GEN MGMT - DEV RO	Amount of Each Receipt this Period 300.00
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	
Full Name (Last, First, Middle Initial) Karen A Jacobs Mailing Address One Health Plaza	•	Date of Receipt 10 31 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Operations Research - Director Aggregate Year-to-Date ▼ 387.66	Transaction ID : A2013-4310984 Amount of Each Receipt this Period 36.92
SUBTOTAL of Receipts This Page (optional).	•	436.92
TOTAL This Period (last page this line number	er only)	

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	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Edgar L Jarvis Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation PC Sr Area Business Leader Aggregate Year-to-Date ▼ 339.15	Date of Receipt 10 31 2013 Transaction ID: A2013-4310724 Amount of Each Receipt this Period 32.30
Full Name (Last, First, Middle Initial) Scott R Jauch Mailing Address 508 Ellworth Dr. City Silver Spring FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MD 20910 C Occupation Government Affairs - Director Aggregate Year-to-Date ▼ 525.00	Date of Receipt 10 31 2013 Transaction ID: A2013-4310567 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Mark J Jeffers Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Training-Sales - Senior Consul Aggregate Year-to-Date ▼ 202.02	Date of Receipt 10 31 2013 Transaction ID: A2013-4242671 Amount of Each Receipt this Period 19.24
SUBTOTAL of Receipts This Page (optional)	>	101.54
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	FOR LINE NUMBER:	PAGE 44 OF 105
Use separate schedule(s) for each category of the	(check only one)	
Detailed Summary Page	X 11a 11b	11c 12
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31

Transaction ID: A2013-4296585

Amount of Each Receipt this Period

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242.34

Zip Code

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Aggregate Year-to-Date ▼

07936

State

NJ

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Occupation

2013

23.08

City

East Hanover

Name of Employer

Primary

Receipt For:

FEC ID number of contributing

Novartis Animal Health US Inc.

Other (specify)

General

federal political committee.

FOR LINE NUMBER: PAGE 45 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Deborah Juterbock Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311130 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Svcs-Tech - Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310976 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Marketing - Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mutlu Karakelle Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242657 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation Pharma/Bio Proc- VP Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) 133.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bella B Karbachinskaya Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311123 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Operations Research - Sr Consl Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311269 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MS Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) Full Name (Last, First, Middle Initial) c. Claudia Kelly Date of Receipt Mailing Address 350 Massachusetts Avenue 10 31 2013 City Zip Code State Transaction ID: A2013-4310545 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Product/Brand Management - Dir Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 73.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Thomas N Kendris Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C Occupation LEGAL & IP - CEG ROLE Aggregate Year-to-Date ▼	Date of Receipt 10 31 2013 Transaction ID: A2013-4310600 Amount of Each Receipt this Period 92.30
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 969.15	
Full Name (Last, First, Middle Initial) Michael C Kincaid Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2013
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Transaction ID: A2013-4311271 Amount of Each Receipt this Period 28.08
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation ED Oncology Sales Aggregate Year-to-Date ▼ 294.84	
Full Name (Last, First, Middle Initial) Shannon T Klinger Mailing Address One Health Plaza		Date of Receipt 10 31 2013
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: □ Primary □ General □ Other (specify) ▼	State Zip Code NJ 07936 C Occupation Global Head Lgl & Gen Counsel Aggregate Year-to-Date ▼ 1153.80	Transaction ID : A2013-4310493 Amount of Each Receipt this Period 115.38
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Any informat or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard E Knapp Date of Receipt Mailing Address One Health Plaza 2013 10 31 City State Zip Code Transaction ID: A2013-4310769 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 2422.98 Other (specify) Full Name (Last, First, Middle Initial) B. Paul M Kotch Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242688 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales Mgr-Reg-Alcon I - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Robert W Kowalski Date of Receipt Mailing Address One Health Plaza 10 31 2013 Zip Code City State Transaction ID: A2013-4311520 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С

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COMMERCIAL & GEN MGMT - CEG RO

969.15

Aggregate Year-to-Date ▼

Occupation

federal political committee.

Novartis Pharmaceuticals

Other (specify)

General

Name of Employer

Primary

Receipt For:

	FOR	LINE	NU	MBER	:	PAGE	 19 O	F	105
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or for commercial purposes, other than using the	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Gregory D Kunst Mailing Address One Health Plaza City	State Zip Code NJ 07936	Date of Receipt 10 31 2013 Transaction ID : A2013-4242698
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Product/Brand Mgmt - Ex Dir Aggregate Year-to-Date 202.02	Amount of Each Receipt this Period 19.24
Full Name (Last, First, Middle Initial) Keith A LaDue Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 10 31 2013 Transaction ID: A2013-4310920
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Division - Vice President Aggregate Year-to-Date	Amount of Each Receipt this Period 23.08
Primary General Other (specify) ▼	230.80	
Full Name (Last, First, Middle Initial) Robert D Larsen Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 10 31 2013 Transaction ID: A2013-4242718 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: □ Primary □ General Other (specify) ▼	Occupation Sci Acquist & Lic Invest - Sr Aggregate Year-to-Date ▼ 202.02	19.24
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FOR LINE NUMBER: PAGE 50 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Steven D Lau Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310759 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Neuro-Psych Executive Speciali Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Leigh A Leas Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311388 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name (Last, First, Middle Initial) c. Christian Lease Date of Receipt Mailing Address 350 Massachusetts Avenue 10 31 2013 350 MA # 212E City Zip Code State Transaction ID: A2013-4310499 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Cont Process Improv Anlys - Di Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 74.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 51 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 52 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Manuel B Litchman Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311046 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Global Program Head - Vice Pre Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Lloyd Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4309799 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) c. Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310475 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation External Communications - Vice NIBRI Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 161.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 53 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311013 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name (Last, First, Middle Initial) B. Frederic D Loveland Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310947 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals R & D Operations - Executive D Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Brenda Luckritz Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310683 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 2525.00 Other (specify) 101.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 54 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Paul O Lund Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310459 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.84 federal political committee. Name of Employer Occupation Mftg Plant Mgmt - Asoc Dir **CIBA Vision Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 218.82 Other (specify) Full Name (Last, First, Middle Initial) B. David T Mac Askill Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **BU Sr Account Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Joanne K Machalaba Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310957 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-Reg Act Mgmt - VP Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 67.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 55 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

ITEMIZED RECEIPTS for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kimberly A MacKay Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311277 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals General Counsel - Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Judith Madsen Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310670 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Frank Maness Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311475 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Top Shared Svcs Ex- VP Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) 74.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 56 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Frank Manolios Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310836 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sridhar V Mantha Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242712 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Quality Assurance-Compliance -Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Kerry L Markwardt Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242640 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Project Mgmt - Life Cycle - Pr Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 76.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 57 OF 105 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Charles J Marshall Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4242664 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Product/Brand Mgmt - Ex Dir Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Teresa Mason Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311536 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) **c.** Julie Masow Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311495 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation External Communications - Dire Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 138.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 58 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William R Matthews Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310881 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.22 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 430.61 Other (specify) Full Name (Last, First, Middle Initial) B. Arthur M Mauceri Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311280 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Stefanie L Maurer Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311050 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Neuro-Psych Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 255.75 Other (specify) 80.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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105

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65.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

242.34

Occupation

Procurement - Director

Aggregate Year-to-Date ▼

Name of Employer

Primary

Receipt For:

Novartis Pharmaceuticals

Other (specify)

General

	FOR LINE NUMBER:	PAGE	60 OF	105
Use separate schedule(s) for each category of the	(check only one)			
Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) J'ai B McClellan Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242644 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.76 federal political committee. Name of Employer Occupation Government Affairs - Vice Pres Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) Full Name (Last, First, Middle Initial) B. Michael P McDermott Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4296587 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee.

Name of Novartis A	Employer nimal Health US Inc.	Occupation Product/Brand Management - Dir	
	for: nary General er (specify) ▼	Aggregate Year-to-Date ▼	
	e (Last, First, Middle Initial) rine M McGeehan		Date of Receipt
	ddress One Health Plaza		10 31 2013
City		State Zip Code	Transaction ID : A2013-4310790
East Han	over	NJ 07936	Amount of Each Receipt this Period
	umber of contributing plitical committee.	С	92.30
Name of	Employer	Occupation	
	Pharmaceuticals	Government Affairs - Senior Sp	
	for: nary ☐ General er (specify) ▼	Aggregate Year-to-Date ▼ 969.15	
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FOR LINE NUMBER: PAGE 61 OF 105 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Paul J McGinley Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311285 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Mktg and Sales Ex-TP Area-Ex D Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name (Last, First, Middle Initial) B. Edward D McGough Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242653 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. PRODUCTION - CEG ROLE Receipt For: Aggregate Year-to-Date ▼ Primary General 2422.98 Other (specify) Full Name (Last, First, Middle Initial) c. Edward J McKenna Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311444 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Manager Business Solutions II Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 286.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 62 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) William D McLaury Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310815 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Supply Chain Plan - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) B. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4309798 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) c. Gary J Menichini Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310453 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO CIBA Vision Corporation Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) 234.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Wayne P Merkelson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Finance Corporation Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Tax - Vice President Aggregate Year-to-Date ▼ 727.02	Date of Receipt 10 31 2013 Transaction ID: A2013-4311009 Amount of Each Receipt this Period 69.24
Full Name (Last, First, Middle Initial) Susan J Millard Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer CIBA Vision Corporation Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation HR - CEG ROLE Aggregate Year-to-Date ▼ 525.00	Date of Receipt 10 31 2013 Transaction ID: A2013-4310460 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Donald J Miller Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Managed Care Marketing - Direc Aggregate Year-to-Date ▼ 242.34	Date of Receipt 10 31 2013 Transaction ID: A2013-4311288 Amount of Each Receipt this Period 23.08
SUBTOTAL of Receipts This Page (optional	l) >	142.32
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SCHEDULE A (FEC Form 3X) ITE

FOR LINE NUMBER: PAGE 64 OF 105

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An or f NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Laurie C Mills Date of Receipt Mailing Address One Health Plaza 2013 10 31 City State Zip Code Transaction ID: A2013-4310468 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation CRM - Spec II **Novartis Clinical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Moore Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4296588 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Animal Health US Inc. Tech/Sci Sales Svc-NAHPSV-Sr Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Stacey L Moore Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310772 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 35.32 federal political committee. Name of Employer Occupation BU Account Manager I Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 369.50 Other (specify) 86.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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105

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Glenn H Morton Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	10 31 2013 Transaction ID : A2013-4310910
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer Novartis Pharmaceuticals	Occupation Project Management - Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1095.79	
Full Name (Last, First, Middle Initial) Polly L Murphy Mailing Address One Health Plaza		Date of Receipt
	7:0:	10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311293 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	28.08
Name of Employer Novartis Pharmaceuticals	Occupation Executive Sales Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 294.84	
Full Name (Last, First, Middle Initial) C. Vasant Narasimhan		Date of Receipt
Mailing Address 350 Massachusetts Avenue		Date of Receipt 10 31 2013
City Cambridge	State Zip Code MA 02139	Transaction ID : A2013-4310555 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	-
Novartis Vaccines & Diagnostics Receipt For:	COMMERCIAL & GEN MGMT - CEG RO	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 969.15	
SUBTOTAL of Receipts This Page (optional)		140.38
TOTAL This Period (last page this line number		

FOR LINE NUMBER: PAGE 66 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Clifford R Neukrug Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311436 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. An V Nguyen Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311396 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sys Anlys and Programming - As Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. James R Niebanck Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310878 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Operations Research - Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) 74.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 67 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sharon L Nobles Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310752 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals BU Account Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin A Nugent Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242747 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Research Regulatory Affairs Approval -Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name (Last, First, Middle Initial) c. Hugh M O'Dowd Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310774 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 88.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 68 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

105

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Shawn O'Neail Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311534 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Government Affairs - Executive Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) B. Michael S Oehrlein Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311299 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Oncology Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 1350.00 Other (specify) Full Name (Last, First, Middle Initial) c. Sharon N Olmstead Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311552 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Fed and Legislative Affairs -Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 288.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 69 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John J Orloff Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311300 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals DEVELOPMENT & MEDICAL - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Craig S Osten Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310496 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Controller Corporate - Vice Pr Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) c. Serafina Oxner Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311026 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Strategic Planning - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 115.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 70 OF 105

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) John M Pakulski Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Reg Aff Approval - Ex Dir Aggregate Year-to-Date ▼	Date of Receipt 10 31 2013 Transaction ID: A2013-4310491 Amount of Each Receipt this Period 92.30
Other (specify) ▼ Full Name (Last, First, Middle Initial) 3. Joseph S Palumbo	969.15	Date of Receipt
Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	10 31 2013 Transaction ID: A2013-4310985 Amount of Each Receipt this Period 23.08
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Organization Devel - Asoc Dir Aggregate Year-to-Date ▼ 242.34	
Full Name (Last, First, Middle Initial) Melissa A Parker Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2013 Transaction ID : A2013-4311061
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	NJ 07936 C Occupation Regional Director Acct Mgmt Aggregate Year-to-Date ▼ 290.85	Amount of Each Receipt this Period 27.70
SUBTOTAL of Receipts This Page (optional)		143.08
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	FOR LINE NUMBER:	PAGE	71 OF	105
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Elizabeth Power Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation External Communications - Dire Aggregate Year-to-Date 360.00	Date of Receipt 10 31 2013 Transaction ID: A2013-4310561 Amount of Each Receipt this Period 60.00
Full Name (Last, First, Middle Initial) Stuart Raetzman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation COMMERCIAL & GEN MGMT - CEG RO Aggregate Year-to-Date ▼ 230.80	Date of Receipt 10 31 2013 Transaction ID: A2013-4242650 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) Anne Ramsey Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation District Sales Manager Aggregate Year-to-Date ▼ 420.00	Date of Receipt 10 31 2013 Transaction ID: A2013-4310563 Amount of Each Receipt this Period 40.00
SUBTOTAL of Receipts This Page (optional).	>	123.08
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105

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	NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Α.			Date of Receipt
	Mailing Address One Health Plaza		10 31 <u>Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y</u>
	City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4242699
		140 07930	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	19.24
	Name of Employer	Occupation	
	Alcon Laboratories Inc.	Human Resources Generalist - D	
	Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 202.02	
В.	Full Name (Last, First, Middle Initial) Marc B Reuss		Date of Receipt
	Mailing Address 350 Massachusetts Avenue		10 31 2013
	City	State Zip Code	Transaction ID : A2013-4310557
	Cambridge	MA 02139	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С	50.00
	Name of Employer Novartis Vaccines & Diagnostics	Occupation HR - CEG ROLE	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
_	Full Name (Last, First, Middle Initial)		D. (D.)
Ü.	Margaret Riccobono Mailing Address One Health Plaza		Date of Receipt
	One Health Plaza		10 31 _ 2013 _
	City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310488 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	23.08
	Name of Employer	Occupation	
	Sandoz Inc.	Training - Assoc Dir	
	Receipt For:	Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	242.34	
H	SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number	<u>_</u> _	92.32

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Ledward C Richards		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4242735 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.76
Name of Employer Alcon Research Receipt For: Primary General Other (specify) ▼	Occupation Mftg Plant Mgmt - VP Aggregate Year-to-Date ▼ 322.98	_
Full Name (Last, First, Middle Initial) Kevin T Rigby Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310980 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	230.76
Name of Employer Novartis Pharmaceuticals	Occupation CORPORATE AFFAIRS - CEG ROLE	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2422.98	
Full Name (Last, First, Middle Initial) C. Randi C Roberts		Date of Receipt
Mailing Address One Health Plaza		Date of Receipt 10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4311314 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	-
Novartis Pharmaceuticals Receipt For:	VP Oncology Sales	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 484.68	
SUBTOTAL of Receipts This Page (optional).	>	307.68
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 75 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David J Robertson Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4242677 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation PROCUREMENT - DEV ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name (Last, First, Middle Initial) B. Allan P Robinson Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311440 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals PC Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Diane M Rogers Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310553 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Sales Management-V&D - Vice Pr Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 70.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Rodney M Roggow Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310688 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas M Ruggia Jr. Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4242683 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Business Devel - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jason T Russell Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310643 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 58.48 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 610.66 Other (specify) 106.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 77 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Alan D Ryan Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311439 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 241.50 Other (specify) Full Name (Last, First, Middle Initial) B. Peter Rzewnicki Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 234F 10 31 2013 City State Zip Code Transaction ID: A2013-4310504 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Product Marketing - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Ahmad M Saad Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242734 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Alcon Research Engineering-Test - Senior Cons Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 69.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David A Schoening Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4242728 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation QA Mgmt-Plant - VP Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) Full Name (Last, First, Middle Initial) B. S. M Seeland Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311127 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Director Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Sellin Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311474 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Business Process Anlys - Sr Co Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 81.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 79 OF 105 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christi L Shaw Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311535 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) B. Sherrie L Simms Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311329 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals HR Generalist - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph M Simon Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4310803 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.92 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MS Executive Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 387.66 Other (specify) 106.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 80 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael F Smith Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311533 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Clinical Devel Operations - VP Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Katherine E Solon Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311383 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 2422.98 Other (specify) Full Name (Last, First, Middle Initial) c. Rajat Soni Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242678 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 С federal political committee. Name of Employer Occupation Product/Brand Management - Dir Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 273.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 81 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William S Spelta Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310628 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Area Sales Mgr - Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name (Last, First, Middle Initial) B. Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 10 31 2013 City State Zip Code Transaction ID: A2013-4311562 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Mgmt-Onco Mgd Mkts - VP Receipt For: Aggregate Year-to-Date ▼ Primary General 1800.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donald R Stanski Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311375 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Clinical Research - Vice Presi Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 251.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 82 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Donald E Stecher Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4311075 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310595 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 106.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 1114.68 Other (specify) Full Name (Last, First, Middle Initial) c. Philip G Stehle Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242719 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Cont Process Improv Anlys - Sr Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 148.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE	NUMBER:	PAGE	= 83 OF
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for each category of the Detailed Summary Page	X 11a	11b	11c	12
	13	14	15	16

105

	Detailed Summary Fage	13 14 15 16 17
	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) 1. Donald P Stevens		Date of Receipt
Mailing Address One Health Plaza	0L	10 31 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310703
	140 07930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Government Affairs - Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	727.02	
Other (specify) ▼	121.02	
Full Name (Last, First, Middle Initial) 3. Lesley J Stickley		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code	Transaction ID : A2013-4310765
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing	C	23.08
federal political committee.	O , , , , , , , ,	23.08
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Sr Account Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	242.34	
Other (specify) ▼	242,34	
Full Name (Last, First, Middle Initial) Peter N Streit		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City	State Zip Code	Transaction ID : A2013-4311006
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	1
Novartis Pharmaceuticals	MARKETING - DEV ROLE	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	200.95	
Other (specify) ▼	290.85	
SUBTOTAL of Receipts This Page (optional)	•	120.02
TOTAL This Period (last page this line numbe	r only)	

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105

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NAME OF COMMITTEE (In Full) Novartis Corporation Political		-								
Full Name (Last, First, Middle Initial) Mary R Strickland Mailing Address One Health Plaza					Date of		ceipt	/ Y	Y I Y	Y
City	State NJ	Zip Code 07936		_	10 Trans	actio	31 on ID : A		2013 3 10490	
East Hanover FEC ID number of contributing federal political committee.	C	01830			Amount	of E	ach Re	ceipt this	s Period 46.	16
Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼		t Affairs - Direc Year-to-Date ▼								
Full Name (Last, First, Middle Initial) Joanne Strunk-Walsh Mailing Address One Health Plaza					Date of	Rec	D D	/ Y	2013	Y
City East Hanover FEC ID number of contributing	State NJ	Zip Code 07936					31 on ID : A Each Re		s Period	20
federal political committee. Name of Employer Novartis Vaccines & Diagnostics	Occupation	maceutical - Se	nior				,	<u> </u>	180.0	JU
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼	435.00							
Full Name (Last, First, Middle Initial) Nirupama Subramanian Mailing Address are Market at the Market at the Address are Market at the Mar					Date of					
Mailing Address 350 Massachusetts Avenue 350 MA # 232A City	State	Zip Code			10		31 on ID : A	L	2013	Y
Cambridge	MA	02139							s Period	
FEC ID number of contributing federal political committee.	С				L.		,	- 7	23.0	08
Name of Employer Novartis Vaccines & Diagnostics	Occupation Clin Rsrch -									
Receipt For: Primary General Other (specify)		Year-to-Date ▼	242.34							
SUBTOTAL of Receipts This Page (optional).				•			,	-5-	249.2	24
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FOR LINE NUMBER: PAGE 85 OF 105 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John Suchorsky Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310977 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Relationship Management - Dire Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) B. Xiaowei Sun Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310495 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. QC Anlys and TstSvcs - Sr Con Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas A Suter Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311498 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 115.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 86 OF 105

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Any information copied from such Reports and or for commercial purposes, other than using to	Statements may not be sold or used by any pers he name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Richard Tessier Mailing Address One Health Plaza		Date of Receipt
		10 31 2013
City	State Zip Code	Transaction ID : A2013-4311042
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Engineering Facilities - Direc	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 484.68	
Full Name (Last, First, Middle Initial) 3. Barbara A Tombros		Date of Receipt
Mailing Address One Health Plaza		10 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-4310930 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	71.06
Name of Employer Novartis Pharmaceuticals	Occupation Strategic Planning - Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 743.54	
Full Name (Last, First, Middle Initial) 2. Seth A Townsend	1	Date of Receipt
Mailing Address 608 Fifth Avenue		10 31 2013
City New York	State Zip Code NY 10020	Transaction ID : A2013-4311568 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	60.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Financial Analysis - Director	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	300.00	
SUBTOTAL of Receipts This Page (optional)	•	177.22
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 87 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

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SUBTOTAL of Receipts This Page (optional)					9.24	
TOTAL This Period (last page this line number only)					·	

242.34

Other (specify)

FOR LINE NUMBER: PAGE 89 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) William W Voegtli Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310672 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reimbursement-Sr Mgr - Senior Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew J Volante Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310869 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew M von Wellsheim Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4242656 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation IT - CEG ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 166.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Anthony Wallace Mailing Address One Health Plaza		Date of Receipt
Ste 725		10 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-4310510
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Sales Mgr-Reg-V&D - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	Augregate real to Bate V	
Other (specify) ▼	315.00	
Full Name (Last, First, Middle Initial) John Ward		Date of Receipt
Mailing Address 350 Massachusetts Avenue		M = M / D = D / Y = Y = Y
C:h.	Ctata Zin Cada	10 31 2013
City	State Zip Code MA 02139	Transaction ID : A2013-4310556
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	23.08
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	General Counsel - Senior Consu	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	242.34	
Full Name (Last, First, Middle Initial) C. Robert K Warner		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
		10 31 2013
City	State Zip Code	Transaction ID : A2013-4242662
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	
Alcon Laboratories Inc.	COMMERCIAL & GEN MGMT - CEG RO	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	484.68	
SUBTOTAL of Receipts This Page (optional)		99.24
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 91 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

105

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James T Wassil Date of Receipt Mailing Address 350 Massachusetts Avenue 2013 10 31 City Zip Code State Transaction ID: A2013-4310559 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Clin Rsrch (MD) - Ex Dir Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Jane E Welborn Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4310722 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 49.10 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 515.55 Other (specify) Full Name (Last, First, Middle Initial) c. James E Williams Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311490 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Clinical Research (MD) - Princ Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) 164.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 92 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Brad C Willie Date of Receipt Mailing Address One Health Plaza 2013 10 31 City Zip Code State Transaction ID: A2013-4310691 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name (Last, First, Middle Initial) B. Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 10 31 2013 City State Zip Code Transaction ID: A2013-4311023 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Financial Analysis - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name (Last, First, Middle Initial) c. Christine D Wyble Date of Receipt Mailing Address One Health Plaza 10 31 2013 City Zip Code State Transaction ID: A2013-4311522 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Medical Information Services -Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 92.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 93 OF (check only one) X 11a 11b 12 11c

105 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Fan Zhang Date of Receipt Mailing Address One Health Plaza 2013 10 31 City State Zip Code Transaction ID: A2013-4242700 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 19.24 federal political committee. Name of Employer Occupation Alcon Research Toxicology - Senior Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name (Last, First, Middle Initial) В. Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 19.24 SUBTOTAL of Receipts This Page (optional)..... 12833.86 TOTAL This Period (last page this line number only).....

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SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER:	PAGE 94 OF 105			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only		24 25 26			
	Detailed Summary Page	27	28a 28b	28c 29 30k			
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NAME OF COMMITTEE (In Full)	The and address of any politica	ai committee to	SOIICIT COTITIDATIONS II	om such committee.			
Novartis Corporation Political Action	on Committee						
Full Name (Last, First, Middle Initial)			Data of Diahumaana				
A. Capital One Bank			Date of Disbursement				
Mailing Address 701 Pennsylvania Ave. NW			10 01	2013			
City	State Zip Code		Transaction ID : I	3481869			
Washington Purpose of Disbursement	DC 20004						
Bank Service Charge		001	Amount of Each Di	sbursement this Period			
Candidate Name		Category/		37.77			
Office Sought: House Disburse	ement For: 2013	Туре		, , , , , ,			
Senate	Primary General						
President	Other (specify) ▼						
State: DC District:	Not Applicable						
Full Name (Last, First, Middle Initial) B. Capital One Bank			Date of Disburseme	ant			
B. Capital One Bank			M M / D D	/ Y Y Y Y			
Mailing Address 701 Pennsylvania Ave. NW	dress 701 Pennsylvania Ave. NW		10 28	2013			
City Washington	State Zip Code DC 20004		Transaction ID : I	B481870			
Purpose of Disbursement Bank Service Charge		001	Amount of Each Di	sbursement this Period			
Candidate Name	category/			48.52			
Office Sought: House Disburse	ment For: 2013						
Senate President	Primary General						
State: DC District:	Other (specify) ▼ Not Applicable						
Full Name (Last, First, Middle Initial)							
C.			Date of Disburseme	_			
Mailing Address			M M / D D	/			
City	State Zip Code						
Purpose of Disbursement							
Candidate Name		Category/ Type	Amount of Each Di	sbursement this Period			
Office Sought: House Disburse	ement For:						
Senate	Primary General						
President	Other (specify)						
State: District:							
SUBTOTAL of Disbursements This Page (optional).				86.29			
				20.00			
TOTAL This Period (last page this line number only	/)	·····•		86.29			

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or	for commercial purposes, other than using the name	ne and address of any pol	litical cor	nmittee 1	o solicit co	ntribution	s from	such c	commit	ttee.
	NAME OF COMMITTEE (In Full)	<u> </u>								
$ \rangle$	Novartis Corporation Political Actio	n Committee								
<u></u>	Full Name (Last, First, Middle Initial)									
A.	Lisa Murkowski for US Senate				Date of	of Disburs	ement			
					M = M	/ D	D /	Υ	Y	Y
	Mailing Address 900 19th St. NW 8th Floor				10		22	2	2013	
	City	State Zip Code			+					
	Washington	DC 20006			Trans	saction ID) : B47	5376		
	Purpose of Disbursement			-	1					
	Contribution)11	Amour	nt of Each	Disbu	ırsemer	nt this	Period
	Candidate Name			egory/				-	100	0.00
	Lisa Murkowski Office Sought: House Disbursen	ment For: 2016	T	уре		- 7	_	7		-
		Primary General								
	President	Other (specify) ▼								
	State: AK District:									
	Full Name (Last, First, Middle Initial)									
В.	Bera for Congress				Date of	of Disburs	ement			
	Mailing Address B.O. Bay 500 400				10		22		y y y 2013	Y
	Mailing Address P.O. Box 582496				10		22	4	2013	
	City	State Zip Code			Tran	saction II) · B47	75381		
	Elk Grove	CA 95758			_ ITAII	saction it	J . D41	JJ01		
	Purpose of Disbursement Contribution			011	Amour	nt of Each	Dieh	ırcamar	nt thic	Pariod
	Candidate Name		-		Amour	it of Laci	Disbo	ii 30iii Ci	11 11113	Toriou
	Amerish Bera			egory/ ype				,	200	0.00
	Office Sought: House Disbursen	nent For: 2014			1					
		Primary General								
		Other (specify) ▼								
_	State: CA District: 07 Full Name (Last, First, Middle Initial)				+					
C.	Darrell Issa Victory Fund				Date of	of Disburs	ement			
					M M		D /	Υ	YY	Υ
	Mailing Address P.O. Box 760				10		25		2013	
	01.	21. O. J.								
	,	State Zip Code CA 92085			Trans	saction II) : B48	80310		
	Purpose of Disbursement	02000		_	+					
	Contribution		()11	Amour	nt of Each	Disbu	ırsemer	nt this	Period
	Candidate Name			egory/				-	200	0.00
	Office Sought: House Dishursen	ment Ferr 2010	Т	уре	-	7		7	200	0.00
	Office Sought: House Disbursen Senate	nent For: 2013 Primary General	1							
		Other (specify)	•							
	State: CA District:	Not Applica	able							
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s	UBTOTAL of Disbursements This Page (optional)			····· •		- 1		7	5000	0.00
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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 96 (
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the) (check only	one)			
	Detailed Summary Page	21b	22 X 23 24 25 28a 28b 28c 29			
[27				
Any information copied from such Reports and Sta or for commercial purposes, other than using the r	tements may not be sold or u name and address of any polit	sed by any perso ical committee to	on for the purpose of soliciting control solicit contributions from such com	ibutions mittee.		
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Act	ion Committee					
Full Name (Last, First, Middle Initial)						
A. Devin Nunes Campaign Committ	ee	Date of Disbursement				
Mailing Address PO Box 6545			10 25 2013	3		
City	State Zip Code		Transaction ID : B480235			
Visalia	CA 93290		Transaction ib . b400233			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement th	is Period		
Candidate Name		Category/	10	000.00		
Devin Nunes		Туре		000.00		
Office Sought: House Disburs	sement For: 2014 ✓ Primary General Other (specify) ▼					
State: CA District: 22						
Full Name (Last, First, Middle Initial)						
B. Carper for Senate			Date of Disbursement	Y		
Mailing Address 303 Massachusetts Ave. NE			10 25 2013			
City Washington	State Zip Code DC 20002		Transaction ID : B480241			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement th	is Period		
Candidate Name		Category/		202.22		
Tom Carper		Type	2	00.00		
	sement For: 2018 ✓ Primary General Other (specify) ▼					
Full Name (Last, First, Middle Initial) C. lowans for Latham			Date of Disbursement			
o. Iowans for Latham			M M / D D / Y Y	V		
Mailing Address 217 Third Street SE			10 22 2013			
City Washington	State Zip Code DC 20003		Transaction ID : B475379			
Purpose of Disbursement Contribution	20000	244				
Candidate Name		011	Amount of Each Disbursement th	is Period		
Tom Latham		Category/ Type	10	00.00		
	sement For: 2014 Primary General Other (specify) ▼	Туре				
State: IA District: 03						
SUBTOTAL of Disbursements This Page (optional	·		, , , , 40	00.00		
TOTAL This Period (last page this line number or	шу,					

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NAME OF COMMITTEE (In Full)	To and address of any point		construction from cash committee.
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			
A. Upton for All of Us			Date of Disbursement
Mailing Address 104 Hume Avenue			10 22 2013
	State Zip Code		Transaction ID : B475378
Alexandria Purpose of Disbursement	VA 22301		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Frederick S Upton		Type	1000.00
	ment For: 2014 Primary General Other (specify)		
State: MI District: 06			
Full Name (Last, First, Middle Initial)			
B. Lee Terry for Congress			Date of Disbursement
Mailing Address 1707 Prince Street #5			10 15 2013
City Alexandria	State Zip Code VA 22314		Transaction ID : B475383
Purpose of Disbursement Contribution		044	Amount of Each Dichurance at this Device
Candidate Name		011	Amount of Each Disbursement this Period
Lee R Terry		Category/ Type	2000.00
•	ment For: 2014	1,750	
Senate President	Primary General Other (specify) ▼		
President State: NE District: 02			
President			Date of Disbursement
President State: NE District: 02 Full Name (Last, First, Middle Initial)	Other (specify) ▼		Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603	Other (specify) ▼		10 25 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City Washington	Other (specify) ▼		M M / D D / Y Y Y Y
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City	Other (specify) ▼ State Zip Code	011	10 25 2013 Transaction ID : B480236
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City Washington Purpose of Disbursement	Other (specify) ▼ State Zip Code	011	10 25 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City Washington Purpose of Disbursement Contribution	Other (specify) ▼ State Zip Code	011 Category/ Type	10 25 2013 Transaction ID : B480236
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City Washington Purpose of Disbursement Contribution Candidate Name Frank Pallone Jr. Office Sought: House Senate Disburser	Other (specify) State Zip Code DC 20002 ment For: 2014 Primary General	Category/	Transaction ID: B480236 Amount of Each Disbursement this Period
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City Washington Purpose of Disbursement Contribution Candidate Name Frank Pallone Jr. Office Sought: House Senate President Disburser	Other (specify) State Zip Code DC 20002	Category/	Transaction ID: B480236 Amount of Each Disbursement this Period
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City Washington Purpose of Disbursement Contribution Candidate Name Frank Pallone Jr. Office Sought: House Senate Disburser	Other (specify) State Zip Code DC 20002 ment For: 2014 Primary General	Category/	Transaction ID: B480236 Amount of Each Disbursement this Period
President State: NE District: 02 Full Name (Last, First, Middle Initial) C. Pallone for Congress Mailing Address 236 Massachusetts Ave. NE #603 City Washington Purpose of Disbursement Contribution Candidate Name Frank Pallone Jr. Office Sought: House Senate President Disburser	Other (specify) State Zip Code DC 20002 ment For: 2014 Primary General Other (specify) Other (specify)	Category/ Type	Transaction ID: B480236 Amount of Each Disbursement this Period

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 98 OF 105
ITEMIZED DISBURSEMENTS	Use separate schedule(s) (check only	TTO MIDELLE.
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NAME OF COMMITTEE (In Full)			
Novartis Corporation Political A	Action Committee		
Full Name (Last, First, Middle Initial)		1	
A. Steve Israel for Congress Com	nmittee		Date of Disbursement
Oteve larder for Congress Con	iiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiiii		M M / D D / Y Y Y Y
Mailing Address PO Box 1400			10 22 2013
011	7: 0 1		
City Melville	State Zip Code NY 11747		Transaction ID : B475377
Purpose of Disbursement	11/4/		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Steve Israel		Type	1500.00
Office Sought: House Dis	bursement For: 2014	·	
Senate	Primary General		
State: NY District: 03	Other (specify)		
			_
Full Name (Last, First, Middle Initial) B. Walden for Congress			Date of Disbursement
- Walder for Congress			M M / D D / Y Y Y Y
Mailing Address 213 Ashby Street			10 25 2013
City	State Zip Code		Transaction ID : B480238
Alexandria Purpose of Disbursement	VA 22305		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Gregory Paul Walden		Type	2500.00
Office Sought: House Dis	bursement For: 2014		
Senate	Primary General		
President	Other (specify)		
State: OR District: 02			
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Keystone America PAC			M M / D D / Y Y Y Y
Mailing Address P.O. Box 5876			10 25 2013
City	State Zip Code		Transaction ID : B480237
Philadelphia Purpose of Disbursement	PA 19102		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	Amount of Lacif Disbursement this Period
		Type	2000.00
Office Sought: House Dis	bursement For: 2013		,
Senate	Primary General		
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CURTOTAL of Disharman Till B			6000.00
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SCHEDULE B (FEC Form 3X)	11	FOR LINE		PAGE 99 OF 105				
ITEMIZED DISBURSEMENTS	Use separate schedule(for each category of the	(orlook orliny						
	Detailed Summary Page		22 X 23 28a 28b	24 25 26 28c 29 30				
Any information copied from such Reports and Statem			on for the purpose of	soliciting contributions				
or for commercial purposes, other than using the nam	e and address of any pol	litical committee to	solicit contributions for	rom such committee.				
NAME OF COMMITTEE (In Full)	.							
Novartis Corporation Political Action	n Committee							
Full Name (Last, First, Middle Initial)								
A. Gene Green Congressional Campa	nign		Date of Disbursement					
Mailing Address PO Box 16128			10 22	2013				
,	tate Zip Code		Transaction ID : B475375					
· · · · · · · · · · · · · · · · · · ·	TX 77222		mansaction ib .	D473373				
Purpose of Disbursement Contribution		011	Amount of Each D	isbursement this Period				
Candidate Name		Category/		1000.00				
Raymond Gene Green		Type	7	1000.00				
	nent For: 2014 Primary General							
	Other (specify)							
State: TX District: 29	(-p y) \							
Full Name (Last, First, Middle Initial)								
B. Marc Veasey Congressional Camp	aign Cmte		Date of Disbursem	ent				
			M = M / D = D					
Mailing Address PO Box 50084			10 22	2013				
,	state Zip Code		Transaction ID :	B475384				
Fort Worth Purpose of Disbursement	TX 76105							
Contribution		011	Amount of Each D	isbursement this Period				
Candidate Name		Category/						
Marc Veasey		Type		2000.00				
Office Sought: House Disbursem	nent For: 2014							
	Primary General							
	Other (specify) ▼							
State: TX District: 33 Full Name (Last, First, Middle Initial)								
C.			Date of Disbursem	ent				
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Mailing Address								
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			Amount of Each D	isbursement this Period				
Candidate Name		Category/						
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	Primary General							
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	for commercial purposes, other than using the name									
	NAME OF COMMITTEE (In Full)									
$ \rangle$	Novartis Corporation Political Actio	n Committee								
\angle	·									
_	Full Name (Last, First, Middle Initial)									
A.	Nancy Barto for Senate				Date	of D	isburse	ment		
	Moiling Address 900 N. 5th Ave			M M / D D / Y Y Y Y						
	Mailing Address 822 N. 5th Avenue					J	2	4	2013	-
	City	State Zip Code								
	Phoenix	AZ 85003			Tra	nsac	tion ID	: B4761	54	
	Purpose of Disbursement			-						
	P-2014 State Senate 15 AZ		01	11	Amo	unt of	Each	Disburse	ment this	Period
	Candidate Name			gory/					1/	00.00
	Nancy Barto		Ту	ре	_		7	7		3.30
		ment For: 2014								
	Senate President	Primary General Other (specify) ▼								
	State: District:	outer (specify)								
_	Full Name (Last, First, Middle Initial)									
В.					Date	of D	isburse	ment		
	Diadicy for Condition					M M / D D / Y Y Y Y				
	Mailing Address 822 N 5th Avenue				1	_		2	2013	
	•	State Zip Code			Tra	nsac	tion ID	: B4761	63	
	Phoenix Purpose of Disbursement	AZ 85003								
	P-2014 State Senate 10 AZ		0.	11	Amo	unt of	Each	Disburse	ment this	Period
	Candidate Name	Category/ Type				Amount of Each Disbursement this Period 100.00				
	David Bradley									00.00
		nent For: 2014	, ,							
		Primary General								
	President	Other (specify) ▼								
	State: District:									
_	Full Name (Last, First, Middle Initial)									
C.	Cmte to Re-elect Chad Campbell				Date	of D	isburse	ment		
	Mailian Adduses 200 N 50				_	M	D		2012	Y
	Mailing Address 822 N 5th Avenue				1	J	2:	4	2013	
	City 5	State Zip Code			+ _					
	Phoenix	AZ 85003			Tra	nsac	tion ID	: B4761	59	
	Purpose of Disbursement			-						
	P-2014 State House 24 AZ		01	11	Amo	unt of	Each	Disburse	ment this	Period
	Candidate Name			gory/			-		10	00.00
	Chad Campbell	and Fam	Ту	ре		-	7		10	33.30
		ment For: 2014								
	Senate President	Primary General Other (specify) ▼								
	State: District:	onioi (specify) ▼								
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S	CHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 101 OF 105				
IT	EMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only	one)				
		Detailed Summary Page	21b	22 23 24 25 26				
·			27	28a 28b 28c X 29 30b				
	y information copied from such Reports and Statem for commercial purposes, other than using the name							
	NAME OF COMMITTEE (In Full)	<u> </u>						
\angle	Novartis Corporation Political Action	n Committee						
_	Full Name (Last, First, Middle Initial)							
Α.	Vote Heather Carter			Date of Disbursement				
	Mailing Address 822 N 5th Avenue			10 22 2013				
	,	State Zip Code	Transaction ID : B476157					
		AZ 85003		Transaction is . B470107				
	Purpose of Disbursement P-2014 State House 15 AZ		011	Amount of Each Disbursement this Period				
	Candidate Name		Category/	150.00				
	Heather Carter Office Sought: House Disbursem	ant For: 0044	Туре	100.00				
	Senate	nent For: 2014 Primary General Other (specify) ▼						
	State: District:							
	Full Name (Last, First, Middle Initial)							
B.	Friends of Ruben Gallego			Date of Disbursement				
	Mailing Address 822 N. 5th Avenue	N. 5th Avenue		10 22 2013				
	Phoenix	State Zip Code AZ 85003		Transaction ID : B476158				
	Purpose of Disbursement P-2014 State House 27 AZ		011	Amount of Each Disbursement this Period				
	Candidate Name		Category/	100.00				
	Ruben Gallego		Type	100.00				
	Senate	nent For: 2014 Primary General Other (specify)						
	Full Name (Last, First, Middle Initial)							
C.	Hobbs 2014			Date of Disbursement				
	Mailing Address 822 N. 5th Avenue			10 D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y				
	City	State Zip Code		Transaction ID : B476170				
		AZ 85003		1141134041011 ID . D-1/01/0				
	Purpose of Disbursement P-2014 State Senate 15 AZ			Amount of Each Disbursement this Period				
	Candidate Name		Category/	100.00				
	Katie Hobbs		Type	100.00				
	Senate	nent For: 2014 Primary General Other (specify)						
_	State: District:							
H	UBTOTAL of Disbursements This Page (optional) OTAL This Period (last page this line number only).			350.00				

S	CHEDULE B (FEC Form 3X)			FOR LINE N	NUMBFR:		PAG	GE 102	OF 105	
IT	EMIZED DISBURSEMENTS	Use separate schedule for each category of the		(check only						
-		Detailed Summary Page		21b	22	23	24	25	26	
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l or		ie aliu audiess ol any p	unical	committee to	SOUCH COULTI	Julions if	nii Suc	ii comm	ilee.	
$ \rangle$	NAME OF COMMITTEE (In Full)	n Committee								
\mathbb{Z}	Novartis Corporation Political Actio	ii Committee								
_	Full Name (Last, First, Middle Initial)									
Α.	Linda Lopez for State Senate				Date of Di	sburseme	nt			
	Mailing Address 822 N 5th Avenue						10 22 7 2013			
	City	State Zin Code								
	Phoenix	State Zip Code AZ 85003			Transact	ion ID : B	47616	5		
	Purpose of Disbursement									
	P-2014 State Senate 2 AZ			011	Amount of	Each Dis	burser	nent this	Period	
	Candidate Name			Category/				10	00.00	
	Linda Lopez			Type		7	- 7	10	,0.00	
		nent For: 2014 Primary General	al							
	President	Other (specify)	al							
	State: District:	Caron (opcony)								
_	Full Name (Last, First, Middle Initial)									
В.	•				Date of Di	sburseme	nt			
					M = M /	D I D	/ Y	YYY	Y	
	Mailing Address 822 N 5th Avenue				10	22	L	2013		
	•	State Zip Code			Transact	ion ID : B	47615	6		
	Phoenix Purpose of Disbursement	AZ 85003						-		
	P-2014 State House 22 AZ			011	Amount of	Each Dis	burser	nent this	Period	
	Candidate Name			Amount of Each Disbursement this Period						
	Phil Lovas			Category/ Type					00.00	
	Office Sought: House Disbursen	nent For: 2014								
		Primary General	al							
		Other (specify) ▼								
_	State: District:									
_	Full Name (Last, First, Middle Initial)				Date of Di	shursama	nt			
٥.	Friends of John McComish						. n.	YY	V	
	Mailing Address 822 N 5th Avenue				10	22	ľ	2013	- 1	
	City 5	State Zip Code			-		4764-			
	Phoenix	AZ 85003			Iransact	ion ID : B	4/615	5		
	Purpose of Disbursement P-2014 State Senate 18 AZ		Г	011	Amount of	Each Dis	burser	nent this	Period	
	Candidate Name			Category/	-			45	:0.00	
	John McComish			Туре		7	- 7	15	50.00	
		ment For: 2014	-1							
		Other (energy) — General	al							
	State: District:	Other (specify) ▼								
г	District.									
۱ ,	SUBTOTAL of Disbursements This Page (optional)							35	0.00	
Ĕ					-	7	- 1	++		
l٠	OTAL This Period (last page this line number only)									

S	CHEDULE B (FEC Form 3X)		EOD II	INE NUMBER: PAGE 103 OF 105
	EMIZED DISBURSEMENTS	Use separate schedule(s)		only one)
. 1	LIVIIZED DISBUTTSEIVILIATS	for each category of the Detailed Summary Page	I . —	21b 22 23 24 25 26
		Detailed Suffillary Page		27 28a 28b 28c X 29 30k
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	for commercial purposes, other than using the name			
\setminus	NAME OF COMMITTEE (In Full)			
$ \rangle$	Novartis Corporation Political Actio	n Committee		
\angle	·			
_	Full Name (Last, First, Middle Initial)			
A.	Kate Brophy McGee 2014			Date of Disbursement
	Mailing Address 000 N 5th Asset			M M / D D / Y Y Y Y Y
	Mailing Address 822 N 5th Avenue			10 22 2013
	City S	State Zip Code		
	Phoenix	AZ 85003		Transaction ID : B476161
	Purpose of Disbursement			7
	P-2014 State House 28 AZ		011	Amount of Each Disbursement this Period
	Candidate Name		Category	100.00
	Kate McGee		Type	100.00
		nent For: 2014		
		Other (energity) —		
	State: President State:	Other (specify) ▼		
_	Full Name (Last, First, Middle Initial)			
В.				Date of Disbursement
٥.	11005011 20 14			
	Mailing Address 822 N 5th Avenue			10 22 2013
	5 322 11 3017 11 311 40			
	City	State Zip Code		Transaction ID : B476160
	Phoenix	AZ 85003		
	Purpose of Disbursement P-2014 State House 18 AZ		011	Amount of Each Dishuracment this Desired
	Candidate Name		011	Amount of Each Disbursement this Period
	Robert Robson		Category, Type	150.00
		nent For: 2014	Type	
		Primary General		
	President	Other (specify)		
	State: District:	· · · · · · · · · · · · · · · · · · ·		
	Full Name (Last, First, Middle Initial)			
C.	Anna Tovar for Senate			Date of Disbursement
				M M / D D / Y Y Y Y
	Mailing Address 822 N 5th Avenue			10 22 2013
	City	State 7in Code		
	City S Phoenix	State Zip Code AZ 85003		Transaction ID : B476167
	Purpose of Disbursement	00000		-
	P-2014 State Senate 19 AZ		011	Amount of Each Disbursement this Period
	Candidate Name		Category	
	Anna Tovar		Type	150.00
		nent For: 2014		
	Senate	Primary General		
	President	Other (specify) ▼		
_	State: District:			
_ ا				400.00
Ls	UBTOTAL of Disbursements This Page (optional)			400.00
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SC	CHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 104 OF 105								
	EMIZED DISBURSEMENTS	Use separate schedule(s			nt NOME only one)	SIVIDEI (.					
II LIVIIZED DISDUNSEIVIEN IS		for each category of the	'			22 23 24 25					
		Detailed Summary Page		2	7 2	28a	28b	280	X 29	30b	
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	for commercial purposes, other than using the nam										
\setminus	NAME OF COMMITTEE (In Full)										
$ \rangle$	Novartis Corporation Political Actio	n Committee									
\angle	·										
Α.	Full Name (Last, First, Middle Initial)				_		\!_k				
A.	Bob Worsley for State Senate					Date of Disbursement					
	Mailing Address 822 N 5th Avenue					10 22 2013					
	Maining / Mail 600 OZZ 14 Juli Avellue					10		2	2013		
	City State Zip Code					Transaction ID : B476150					
	Phoenix	AZ 85003				ransac	tion ID	: B4761	50		
	Purpose of Disbursement P-2014 State Senate 25 AZ		_	4.4	1 .			.			
			0	11	Am	nount o	t Each	Disburs	ement this	s Period	
	Candidate Name Robert Worsley			egory/				-	10	00.00	
		nent For: 2014	1	ype		_	7	,			
		Primary General									
	President	Other (specify)									
	State: District:	· •									
	Full Name (Last, First, Middle Initial)										
В.	Re-elect Senator Daryl Beall					Date of Disbursement					
	Mailing Address 1928 N. 22nd Street					10	2	25	2013		
	City State Zip Code										
	Fort Dodge	IA 50501			T	ransac	tion ID	: B4802	227		
	Purpose of Disbursement			_	1						
	P-2014 State Senate 5 IA				Am	Amount of Each Disbursement this Period					
	Candidate Name		itegory/		-	-		2	50.00		
	Daryl Beall		T	ype	_	-	7	7		00.00	
		nent For: 2014									
		Primary General Other (specify) ▼									
	State: District:	outer (apooliy)									
_	Full Name (Last, First, Middle Initial)										
C.	Northey for Iowa Agriculture					te of D	isburse	ement			
					M	10 25 / Y Y Y Y Y					
	Mailing Address P.O. Box 8451										
	City S Des Moines	State Zip Code IA 50301			Т	ransac	tion ID	: B4802	225		
	Purpose of Disbursement	77 JUJUT			_						
	P-2014 State Agric. Comm. IA				Δm	Amount of Each Disbursement this Period					
	Candidate Name		Cate	egory/	4 7.11		20011				
	Bill Northey			уре					50	00.00	
		nent For: 2014			7 -						
		Primary General									
	President	Other (specify) ▼									
	State: District:										
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