



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

Paul M. Smith Sr CLU, Treasurer
National Association of Life Underwriters
Political Action Committee
1922 F Street, NW
Washington, DC 20006

FEB 12 1997

Identification Number C00005249

Reference: 30 Day Post-General Report (10/17/96-11/25/96)

Dear Mr. Smith:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-All reports filed with the Commission must be legible in order to be microfilmed and placed on the public record. Please resubmit a legible report, as the original is unacceptable. Your filing will not be considered complete until a legible report is submitted. 11 CFR §104.5

-Schedule B of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act. 2 U.S.C. §441a(a) precludes a multicandidate committee from making a contribution to a national political party in excess of \$15,000 in a calendar year.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. If you have made an excessive contribution, you should notify the recipient and request a refund of the amount in excess of \$15,000. In the best interest of your committee, all refunds should be made within sixty days of the treasurer's receipt of the contribution.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of the refund request sent to the recipient

committee(s). In addition, any refunds should be disclosed on Schedule A supporting Line 16 of the report covering the period during which they are received.

Although the Commission may take further legal action concerning the excessive contribution(s), prompt action in obtaining a refund will be taken into consideration.

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,

A handwritten signature in black ink, appearing to be 'NE' with a stylized flourish.

Neil Evans
Reports Analyst
Reports Analysis Division

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary PagePAGE 1 OF 1
FOR LINE NUMBER 23

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

National Association of Life Underwriters Political Action Committee

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Friends of Chris Dodd 313 3rd Street, NE Washington, DC 20002	Christopher J. Dodd CT-SEN \$2,000.00 Disbursement for: ☒ Primary ☐ General ☐ Other (specify) 1998	10/22/96	\$2,000.00
Democratic Senatorial Campaign Committee 430 South Capitol Street SE Washington, DC 20003	Democratic Sen. Campaign Comm \$20,000.00 Disbursement for: ☐ Primary ☒ General ☐ Other (specify) 1996	10/23/96	\$5,000.00
Ken Bentsen For Congress 3260 South Loop West Houston, TX 77025	Ken Bentsen TX-25 \$1,000.00 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Runoff 1996	11/14/96	\$1,000.00
Brady for Congress P.O. Box 8277 The Woodlands, TX 77387	Kevin Brady TX-8 \$3,500.00 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Runoff 1996	11/14/96	\$2,500.00
Friends of Steve Stockman PO Box 57135 Webster, TX 77598	Steve Stockman TX-9 \$1,000.00 Disbursement for: ☐ Primary ☐ General ☒ Other (specify) Runoff 1996	11/14/96	\$1,000.00
Hutchinson For Senate PO Box 747 Little Rock, AR 72203-9538	Tim Hutchinson AR-SEN \$7,000.00 Disbursement for: ☐ Primary ☒ General ☐ Other (specify) 1996	11/14/96	\$5,000.00
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

\$16,500.00

TOTAL This Period (last page this line number only)

\$16,500.00

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NAME OF COMMITTEE (in Full)

National Association of Life Underwriters Political Action Committee

A. Full Name, Mailing Address and ZIP Code Democratic Senatorial Campaign Committee 430 South Capitol Street SE Washington, DC 20003	Purpose of Disbursement Democratic Sen. Campaign Comm \$15,000.00 Disbursement for: ☐ Primary ☐ General ☐ Other (specify) Annual 1996	Date (month, day, year) 01/24/96	Amount of Each Disbursement This Period \$15,000.00
B. Full Name, Mailing Address and ZIP Code Democratic Congressional Campaign Committee 430 South Capitol St. SE Washington, DC 20003	Purpose of Disbursement Democratic Cong'l Campaign \$15,000.00 Disbursement for: ☐ Primary ☐ General ☐ Other (specify) Annual 1996	Date (month, day, year) 01/24/96	Amount of Each Disbursement This Period \$15,000.00
C. Full Name, Mailing Address and ZIP Code Stevens For Senate Committee Box 1776 Washington, DC 20013	Purpose of Disbursement Ted Stevens AK SEN Disbursement for: ☐ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 01/25/96	Amount of Each Disbursement This Period \$4,000.00
D. Full Name, Mailing Address and ZIP Code Craig for Senate Committee Box 2754 Boise, ID 82701	Purpose of Disbursement Larry E. Craig ID SEN Disbursement for: ☐ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 01/25/96	Amount of Each Disbursement This Period \$4,000.00
E. Full Name, Mailing Address and ZIP Code Citizens For Cochran Box 22761 Jackson, MS 39225	Purpose of Disbursement Thad Cochran MS SEN Disbursement for: ☐ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 01/25/96	Amount of Each Disbursement This Period \$3,000.00
F. Full Name, Mailing Address and ZIP Code Re-elect Thurmond Committee Box 11691 Columbia, SC 29211	Purpose of Disbursement Strom Thurmond SC SEN Disbursement for: ☐ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 01/25/96	Amount of Each Disbursement This Period \$3,000.00
G. Full Name, Mailing Address and ZIP Code Friends of Max Baucus Box 6268 Bozeman, MT 59715	Purpose of Disbursement Max Baucus MT SEN Disbursement for: ☐ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 01/25/96	Amount of Each Disbursement This Period \$3,000.00
H. Full Name, Mailing Address and ZIP Code Albert Wynn for Congress 8700 Central Ave., Ste-306 Landover, MD 20785	Purpose of Disbursement Albert Wynn MD 4 Disbursement for: ☐ Primary ☐ General ☐ Other (specify) 1996	Date (month, day, year) 01/30/96	Amount of Each Disbursement This Period \$500.00
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: ☐ Primary ☐ General ☐ Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period

SUBTOTAL of Disbursements This Page (optional)

\$47,500.00

TOTAL This Period (last page this line number only)

\$60,750.00

