PAGE 1 / 29

Image# 14961157836

### **FEC** FORM 3Y

## **REPORT OF RECEIPTS AND DISBURSEMENTS**

TONIN 3X   F	For Other Than An Aut	norized Committee	Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	Political Action Comm	ittee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW	Suite 725	
Check if different			
than previously reported. (ACC)	Washington		DC 20004-2608
2. FEC IDENTIFICATION NU	JMBER ▼ CIT	Y 🛦	STATE ▲ ZIP CODE ▲
C C00033969		S THIS X NEW (N) C	R AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) X May 20 (	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M 20 (M4) Jul 20 (M	(Non-Election Year Only)
April 15 Quarterly Report (C	01)	20 (M4) Jul 20 (M	
July 15 Quarterly Report (C	PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (C	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (Y	(E) Election	on on	in the State of
July 31 Mid-Year Report (Non-electio Year Only) (MY)	n (d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	on on	in the State of
5. Covering Period 04	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	through 04	M / D D / Y Y Y Y Y Y 30 2014
I certify that I have examined th	is Report and to the best of	my knowledge and belief it i	s true, correct and complete.
Type or Print Name of Treasure	r Shawn O'Neail		
Signature of Treasurer Shaw	n O'Neail	[Electronically Filed]	Date 05 / 19 / 2014
NOTE: Submission of false, errone	eous, or incomplete information	n may subject the person signi	ng this Report to the penalties of 2 U.S.C. §437g.
Office Use Only			FEC FORM 3X Rev. 12/2004

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 04 01 2014 To: 04 30 2014

		COLUMN A This Period				
6.	(a) Cash on Hand January 1, 2014		198729.54			
	(b) Cash on Hand at Beginning of Reporting Period	95409.99				
	(c) Total Receipts (from Line 19)	23531.04	98784.62			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	118941.03	297514.16			
7.	Total Disbursements (from Line 31)	28607.28	207180.41			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	90333.75	90333.75			
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### Novartis Corporation Political Action Committee

Report Covering the Period: From: 04	01 2014 To:	04 30 7 2014
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	5801.46	15361.58
(i) Itemized (use Schedule A)	7	
(ii) Unitemized	17729.58	81423.04
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	23531.04	96784.62
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5)	23531.04	96784.62
totals to Line 33, page 3)	1300.10	
Party Committees	0.00	0.00
rany commission		
8. All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
6. Refunds of Contributions Made		
to Federal Candidates and Other		
Political Committees	0.00	2000.00
7. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account	0.00	0.00
(from Schedule H3)	0.00	0.00
(1)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
(c) Total Translers (add To(a) and To(b)).	0.00	0.00
D. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	23531.04	98784.62
_	, , , , , , , , , , , , , , , , , , , ,	
. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	23531.04	98784.62

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures:	iotai iiiis Feliou	Calcilual Teal-10-Date
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) N 5 1 101	0.00	0.00
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00
Expenditures	107.28	280.41
(c) Total Operating Expenditures		
(add 21(a)(i), (a)(ii), and (b))▶	107.28	280.41
Transfers to Affiliated/Other Party		
Committees Contributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	28500.00	201000.00
Independent Expenditures	0.00	0.00
(use Schedule E) Coordinated Party Expenditures	0.00	0.00
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans Made	0.00	0.00
Refunds of Contributions To: (a) Individuals/Persons Other		
Than Political Committees	0.00	0.00
		0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(3001 43 1 703)	0.00	
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))▶	0.00	0.00
Ollow Birth and the		5000.00
Other Disbursements	0.00	5900.00
Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity		
(from Schedule H6)		0.00
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(ii) Levin Share(b) Federal Election Activity Paid Entirely		
With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	28607.28	207180.41
	20001.20	20/180.41
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	28607.28	207180.41

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

1 EO 1 01111 3X (Nev. 02/2003)		i age 3
III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	23531.04	96784.62
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	23531.04	96784.62
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	107.28	280.41
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	107.28	280.41

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE		6	OF		29	
	(check only one)										
	X	11a		11b		11c		12	2		
		13		14		15		16	6		17

	and Statements may not be sold or used by any per- ig the name and address of any political committee t					
NAME OF COMMITTEE (In Full)						
Novartis Corporation Politica	al Action Committee					
Full Name (Last, First, Middle Initial)  Neilda A Baron		Date of Receipt				
Mailing Address One Health Plaza		04 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y				
City	State Zip Code	Transaction ID : A2014-798110				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	100.00				
Name of Employer	Occupation	†				
Novartis Pharmaceuticals	Med Affairs (MD) - Ex Dir					
Receipt For:	Aggregate Year-to-Date ▼	7				
Primary General						
Other (specify) ▼	400.00					
Full Name (Last, First, Middle Initial)  Tracy L Baroni Allmon		Date of Receipt				
Mailing Address One Health Plaza		04 30 _2014 _				
City	State Zip Code	Transaction ID : A2014-798131				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing		1				
federal political committee.	C	92.30				
Name of Employer	Occupation	]				
Novartis Pharmaceuticals	Onco Government Affairs - Exec	_				
Receipt For:	Aggregate Year-to-Date ▼					
Primary General						
Other (specify) ▼	369.20					
Full Name (Last, First, Middle Initial)  C. Patricia A Cannon		Date of Receipt				
Mailing Address One Health Plaza		04 30 2014				
City	State Zip Code	Transaction ID : A2014-797105				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	70.00				
Name of Employer	Occupation	-				
Novartis Vaccines & Diagnostics						
Receipt For:	eceipt For: Aggregate Year-to-Date ▼					
Primary General	200.00					
Other (specify) ▼	280.00					
SUBTOTAL of Receipts This Page (optional	al)	262.30				
TOTAL This Period (last page this line nun	mber only)					

FOR LINE NUMBER: **PAGE** 7 (check only one) X 11a 11b 11c

OF 29 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James P Carey Date of Receipt Mailing Address One Health Plaza 04 30 2014 City Zip Code State Transaction ID: A2014-797734 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.20 federal political committee. Name of Employer Occupation Government Affairs - Vice Pres Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 398.55 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 30 04 2014 City State Zip Code Transaction ID: A2014-797447 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 276.92 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Fed and Legislative Affairs -Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.68 Other (specify) Full Name (Last, First, Middle Initial) c. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 30 04 2014 City Zip Code State Transaction ID: A2014-797289 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 55.52 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 219.07 Other (specify) 432.64 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE		8	OF		29	
	(check only one)										
	<b>X</b> 11a		11b		11c		12				
	13		14		15		16			17	

Any information copied from such Reports and St or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)	<b>,</b> ,	
Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) Sean T Clark		Date of Receipt
Mailing Address One Health Plaza		04 30 2014
City	State Zip Code	Transaction ID : A2014-796810
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	
Alcon Laboratories Inc.	Product/Brand Mgmt - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	240.00	
Full Name (Last, First, Middle Initial)  Cathryn M Clary		Date of Receipt
Mailing Address One Health Plaza		04 30 2014
City	State Zip Code	Transaction ID : A2014-798188
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	200.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	DEVELOPMENT & MEDICAL - CEG RO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  800.00	
Full Name (Last, First, Middle Initial)  C. Julie A Collins		Date of Receipt
Mailing Address One Health Plaza		04 30 _2014
City	State Zip Code	7 Transaction ID : A2014-796811
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	92.30
Name of Employer	Occupation	
Alcon Laboratories Inc.	Professional Svcs-Mktg - Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	369.20	
SUBTOTAL of Receipts This Page (optional)		352.30
TOTAL This Period (last page this line number of	ınly)	

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE		9	OF		29	
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	×	11a		11b		11c		12			
		13		14		15		16	;		17

Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any person e name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  Seth Coombs  Mailing Address 350 Massachusetts Avenue		Date of Receipt
		04 30 2014
City	State Zip Code	Transaction ID : A2014-797071
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Product Marketing - Executive	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	369.20	
Full Name (Last, First, Middle Initial)  3. William E Darnall		Date of Receipt
Mailing Address One Health Plaza Ste 725		04 30 / Y = Y = Y = Y = Y
City	State Zip Code	Transaction ID : A2014-797033
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Med Science Liaison (Non-MD) -	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	400.00	
Full Name (Last, First, Middle Initial)  Candace B Dibblee		Date of Receipt
Mailing Address One Health Plaza		04 30 / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City	State Zip Code	Transaction ID : A2014-797673
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	
Novartis Services Incorporated	Government Affairs - Associate	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	240.00	
SUBTOTAL of Receipts This Page (optional)		252.30
TOTAL This Period (last page this line number		

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

29

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David P Drake Date of Receipt Mailing Address One Health Plaza 04 30 2014 City Zip Code State Transaction ID: A2014-797557 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Government Affairs - Executive Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. Carter L Dutch Date of Receipt Mailing Address One Health Plaza 30 04 2014 City State Zip Code Transaction ID: A2014-798181 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-Reg Act Mgmt - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. David R Epstein Date of Receipt Mailing Address One Health Plaza 30 04 2014 City Zip Code State Transaction ID: A2014-797592 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 400.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

FOR LINE NUMBER:					PAGE	_ 1	11	OF		29
(check only one)										
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	13		14		15		16	;		17

	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Thomas S Fellers  Mailing Address One Health Plaza		Date of Receipt  Output  Date of Receipt  Output  Date of Receipt  Output  Date of Receipt  Date of Receipt  2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-797678  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation  Med Science Liaison (Non-MD) -  Aggregate Year-to-Date ▼  400.00	
Full Name (Last, First, Middle Initial)  Neely T Frye  Mailing Address One Health Plaza		Date of Receipt  O4 30 _2014 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-797518  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	122.50
Name of Employer Novartis Pharmaceuticals	Occupation Government Affairs - Senior Sp	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 485.95	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		04 30 _2014 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-798159  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer  Novartis Pharmaceuticals	Occupation  Regulatory Compliance - Ex Dir	
Receipt For:  Primary General  Other (specify)	Aggregate Year-to-Date ▼  400.00	
SUBTOTAL of Receipts This Page (optional)		322.50
TOTAL This Period (last page this line numbe	er only)	

	FOR LINE NUMBER:	PAGE	12 OF	2
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	T <sub>15</sub>	16	<u> </u>

Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any personne name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Erwin A Gomez Valladares  Mailing Address One Health Plaza		Date of Receipt
		04 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-796993
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  92.30
Name of Employer  Novartis Latin America Service	Occupation Procurement - Director	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 369.20	
Full Name (Last, First, Middle Initial)  Nancy J Grande  Mailing Address One Health Plaza	•	Date of Receipt
	0	04 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-798010
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer  Novartis Pharmaceuticals	Occupation Drug Sfty Surv (MD) - Ex Dir	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	
Full Name (Last, First, Middle Initial)  C. Kris Grzegorzewski	1	Date of Receipt
Mailing Address One Health Plaza		04 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-798135  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	80.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Onco Ex Dir Clinical Res Phys	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  320.00	
SUBTOTAL of Receipts This Page (optional)		272.30
TOTAL This Period (last page this line number		

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

29

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Melody Hughson Date of Receipt Mailing Address One Health Plaza 04 30 2014 City Zip Code State Transaction ID: A2014-798164 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sarah G Kan Date of Receipt Mailing Address One Health Plaza 30 04 2014 City State Zip Code Transaction ID: A2014-798207 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 106.14 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 424.56 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 30 04 2014 City Zip Code State Transaction ID: A2014-797240 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation LEGAL & IP - CEG ROLE Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) 298.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE	NUMBER	:   PAGE	E 14 OF
Use separate schedule(s)	(check on	ly one)		
for each category of the Detailed Summary Page	X 11a	11b	11c	12
,	13	14	15	16

or for commercial purposes, other than using the	Statements may not be sold or used by any personal ename and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Shannon T Klinger  Mailing Address One Health Plaza		Date of Receipt  04 30 2014
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Sandoz Inc.	State Zip Code NJ 07936  C  Occupation Global Head Lgl & Gen Counsel	Transaction ID : A2014-797018  Amount of Each Receipt this Period  115.38
Receipt For:  Primary General  Other (specify)	Aggregate Year-to-Date ▼  461.52	
Full Name (Last, First, Middle Initial)  3. Richard E Knapp  Mailing Address One Health Plaza		Date of Receipt  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2014-797402  Amount of Each Receipt this Period  230.76
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation Government Affairs - Executive  Aggregate Year-to-Date ▼  923.04	
Full Name (Last, First, Middle Initial)  Robert W Kowalski  Mailing Address One Health Plaza		Date of Receipt  04 30 2014
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation COMMERCIAL & GEN MGMT - CEG RO  Aggregate Year-to-Date ▼  369.20	Transaction ID : A2014-798136  Amount of Each Receipt this Period  92.30
SUBTOTAL of Receipts This Page (optional)	<u> </u>	438.44
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 15 OF 29 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard E Lemire Date of Receipt Mailing Address One Health Plaza 04 30 2014 City Zip Code State Transaction ID: A2014-797218 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 86.52 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Engineering-Facilities - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 346.08 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Lloyd Date of Receipt Mailing Address One Health Plaza 04 30 2014 City State Zip Code Transaction ID: A2014-796988 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher B McDonald Date of Receipt Mailing Address 475 Green Oaks Parkway 30 04 2014 City Zip Code State Transaction ID: A2014-797086 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Mftg Plant Mgmt - VP Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 278.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	1	16 OF	:	2
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		1

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NAME OF COMMITTEE (In Full)	I A ation On the little	
Novartis Corporation Politica	ai Action Committee	
Full Name (Last, First, Middle Initial)  Catharine M McGeehan		Date of Receipt
Mailing Address One Health Plaza		04 30 2014
City	State Zip Code	Transaction ID : A2014-797422
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	$\exists$
Novartis Pharmaceuticals	Government Affairs - Senior Sp	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		1
Other (specify) ▼	369.20	
Full Name (Last, First, Middle Initial)  Edward D McGough		Date of Receipt
Mailing Address One Health Plaza		04 30 _ 2014 _
City	State Zip Code	Transaction ID : A2014-796852
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	[C]	230.76
Name of Employer	Occupation	
Alcon Laboratories Inc.	PRODUCTION - CEG ROLE	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	923.04	
Full Name (Last, First, Middle Initial)  C. William D McLaury		Date of Receipt
Mailing Address One Health Plaza		04 30 2014
City	State Zip Code	Transaction ID : A2014-797448
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Pharmaceuticals	Supply Chain Plan - Ex Dir	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	200.00	
Other (specify) ▼	369.20	
SUBTOTAL of Receipts This Page (options	al)	415.36
	·	
TOTAL This Period (last page this line num	nber only)	

	FOR LINE NUMBER:	PAGE 17 OF 2	29
Use separate schedule(s) for each category of the Detailed Summary Page	(check only one)  X 11a 11b 11 13 14	11c 12	17

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Brian J McNamara  Mailing Address One Health Plaza		Date of Receipt
City	State 7in Code	04 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-796987
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  92.30
Name of Employer  Novartis Consumer Health Inc.	Occupation COMMERCIAL & GEN MGMT - CEG RO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 369.20	
Full Name (Last, First, Middle Initial)  Regina M Mitchell  Mailing Address One Health Plaza	•	Date of Receipt
OTIETIEAIUTFIAZA		04 30 2014
City	State Zip Code	Transaction ID : A2014-797111
East Hanover FEC ID number of contributing	NJ 07936	Amount of Each Receipt this Period
federal political committee.	C	60.00
Name of Employer Novartis Vaccines & Diagnostics	Occupation Sales-Pharmaceutical - Special	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  240.00	
Full Name (Last, First, Middle Initial)  C. Vasant Narasimhan	ı	Date of Receipt
Mailing Address 350 Massachusetts Avenue		04 30 2014
City Cambridge	State Zip Code MA 02139	Transaction ID : A2014-797089  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	92.30
Name of Employer	Occupation	-
Novartis Vaccines & Diagnostics	COMMERCIAL & GEN MGMT - CEG RO	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	369.20	
SUBTOTAL of Receipts This Page (optional)		244.60
TOTAL This Period (last page this line number	conly)	

	FOR LINE N	NUMBER:	PAGE	E 18 OF	2
Use separate schedule(s)	(check only	one)			
for each category of the Detailed Summary Page	X 11a	11b	11c	12	
	13	14	15	16	П1

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NAME OF COMMITTEE (In Full)		
igr > Novartis Corporation Politic	al Action Committee	
Full Name (Last, First, Middle Initial)  Shawn O'Neail		Date of Receipt
Mailing Address 608 Fifth Avenue		M M / D D / Y Y Y Y
		04 30 2014
City	State Zip Code	Transaction ID : A2014-798150
New York	NY 10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Services Incorporated	Government Affairs - Executive	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	369.20	
Other (specify) ▼	303.20	
Full Name (Last, First, Middle Initial)  3. Michael S Oehrlein	I	Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y
		04 30 2014
City	State Zip Code	Transaction ID : A2014-797916
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	VP Oncology Sales	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	600.00	
Other (specify)	, , , , , ,	
Full Name (Last, First, Middle Initial)		
. John M Pakulski		Date of Receipt
Mailing Address One Health Plaza		04 30 2014
City	State Zip Code	Transaction ID : A2014-797016
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	_
Sandoz Inc.	Reg Aff Approval - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	369.20	
Other (specify) ▼	309.20	
SUBTOTAL of Receipts This Page (option	nal)	334.60
TOTAL This Period (last page this line nu	ımber only)	

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Candice C Phipps Date of Receipt Mailing Address One Health Plaza 04 30 2014 City Zip Code State Transaction ID: A2014-797021 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Government Affairs - Director Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. Elizabeth Power Date of Receipt Mailing Address 350 Massachusetts Avenue 30 04 2014 City State Zip Code Transaction ID: A2014-798176 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics External Communications - Dire Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 30 04 2014 City Zip Code State Transaction ID: A2014-797604 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation CORPORATE AFFAIRS - CEG ROLE Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 923.04 Other (specify) 490.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X 11a		11b		11c		12		
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	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political	I Action Committee	
Full Name (Last, First, Middle Initial) Renee C Rodgers  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Internet Marketing/E-Business  Aggregate Year-to-Date ▼  240.00	Date of Receipt  04 30 2014  Transaction ID: A2014-798171  Amount of Each Receipt this Period  60.00
Full Name (Last, First, Middle Initial)  Jason T Russell  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Account Manager  Aggregate Year-to-Date ▼  237.32	Date of Receipt  04 30 2014  Transaction ID : A2014-797280  Amount of Each Receipt this Period  60.42
Full Name (Last, First, Middle Initial)  Joseph M Ryan  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C Occupation Clinical Research - Vice Presi Aggregate Year-to-Date ▼ 400.00	Date of Receipt  04 30 2014  Transaction ID : A2014-798186  Amount of Each Receipt this Period  100.00
SUBTOTAL of Receipts This Page (optional	)	220.42
TOTAL This Period (last page this line number	ber only).	

## SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 21 OF

FEMIZED DECEMBE	Use separate schedule(s)	(check only one)						
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NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 30 2014 City State Zip Code Transaction ID : A2014-798190 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Mgmt-Onco Mgd Mkts - VP Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 04 30 2014 City State Zip Code **Transaction ID: A2014-797235** East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 106.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 424.64 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 30 2014 04 Zip Code City State Transaction ID: A2014-797337 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 69.24 federal political committee. Name of Employer Occupation Government Affairs - Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 375.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) X 11a 11b 11c

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Barbara A Tombros Date of Receipt Mailing Address One Health Plaza 04 30 2014 City Zip Code State Transaction ID: A2014-797556 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 72.20 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Strategic Planning - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 286.24 Other (specify) Full Name (Last, First, Middle Initial) B. Seth A Townsend Date of Receipt Mailing Address 608 Fifth Avenue 30 04 2014 City State Zip Code Transaction ID: A2014-798195 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Financial Analysis - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christina M Tremains Date of Receipt Mailing Address One Health Plaza 30 04 2014 City Zip Code State Transaction ID: A2014-797703 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 63.48 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **BU Sr Account Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 251.54 Other (specify) 195.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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d Summary Page	>	<b>1</b> 1a		11b		11c		12		
a cummary rage		13		14		15		16		17

	Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Andrew J Volante  Mailing Address One Health Plaza		Date of Receipt
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation COMMERCIAL & GEN MGMT - CEG RO  Aggregate Year-to-Date ▼  369.20	O4 30 2014 Transaction ID : A2014-797497 Amount of Each Receipt this Period 92.30
Full Name (Last, First, Middle Initial)  Kristopher Weidling  Mailing Address 475 Green Oaks Parkway  City  Holly Springs  FEC ID number of contributing federal political committee.  Name of Employer Novartis Vaccines & Diagnostics	State Zip Code NC 27540  C  Occupation Human Resources Generalist - D	Date of Receipt  04 30 2014  Transaction ID : A2014-797094  Amount of Each Receipt this Period  30.00
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  210.00	
Full Name (Last, First, Middle Initial)  James E Williams  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Clinical Research(MD) Principa  Aggregate Year-to-Date ▼  369.20	Date of Receipt  04 30 2014  Transaction ID : A2014-798098  Amount of Each Receipt this Period  92.30
SUBTOTAL of Receipts This Page (optional).		214.60
TOTAL This Period (last page this line number	er only)	5801.46

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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 24 OF 29			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) (check of		k only one)		
	for each category of the Detailed Summary Page	X 21b	· — · —	23 24 25 26	
		27	28a	28b 28c 29 30	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam	nents may not be sold or u	sed by any pers	on for the purp	ose of soliciting contributions	
NAME OF COMMITTEE (In Full)	e and address of any point	car committee to	5 Solicit Continue	ations from such committee.	
Novartis Corporation Political Action	n Committee				
Full Name (Last, First, Middle Initial)			Date of Disl	hursement	
A. Capital One Bank			M M /	D D / Y Y Y Y	
Mailing Address 701 Pennsylvania Ave. NW			04	25 2014	
City	state Zip Code		Transaction	on ID : B496215	
rraeg.e	DC 20004		Transactio	ON ID : 6490215	
Purpose of Disbursement Bank Service Charge		001	Amount of F	Each Disbursement this Period	
Candidate Name			, another of E	Eddit Blobardonioni tino i dilod	
		Category/ Type		107.28	
	nent For: 2014				
	Primary General				
State: President	Other (specify) ▼ Not Applicab	le			
Full Name (Last, First, Middle Initial)	Ttot / tppilodo				
В.			Date of Disl	bursement	
			M = M /	D D / Y Y Y Y	
Mailing Address					
City	state Zip Code				
Purpose of Disbursement					
Candidate Name			Amount of E	Each Disbursement this Period	
Candidate Name		Category/ Type			
Office Sought: House Disbursem	nent For:	Турс		, , , , , , , , , , , , , , , , , , , ,	
Senate	Primary General				
	Other (specify) ▼				
State: District:					
Full Name (Last, First, Middle Initial)  C.			Date of Disl	bursement	
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Mailing Address					
City	itate Zip Code				
Purpose of Disbursement			-		
•			Amount of E	Each Disbursement this Period	
Candidate Name		Category/			
Office Sought: House Disbursem	nent For:	Type			
	Primary General				
President	Other (specify) ▼				
State: District:					
				107.20	
SUBTOTAL of Disbursements This Page (optional)		·····•		107.28	
TOTAL This Period (last page this line number only).				107.28	

Transaction ID: B494823  Amount of Each Disbursement Confided Name  Linda Sanchez  Office Sought:  First State PAC  Mailing Address 303 Massachusetts Ave. NE  City Washington  President  State:  City Washington  City Washington  Confided Sanchez  Disbursement  City Washington  City State  Disbursement  City State  Disbursement  City Washington  Confided Name  City Senate President  State:  City Washington  City State  Disbursement  City Washington  City State  Disbursement  City City State  Disbursement  City State  Disbursement  City Washington  City State  Disbursement  City Washington  City State  Disbursement  City Washington  City Washington  City  City State  Disbursement  City Washington  City Washingto	SCHEDULE B (FEC Form 3X)	Han any series 1 1 1 1 1	, FOR LINE	NUMBER:	PAGE 25 OF 29
Transaction ID : B494823  Amount of Each Disbursement Contribution State: CA District: 38 Full Name (Last, First, Middle Initial)  Full Sanue (Last, First, Middle Initial)  Full Name (Last, First, Mid	ITEMIZED DISBURSEMENTS		(orleast orling	•	
Try information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions of or commercial purposes, other than using the name and address of any political committee or solicit contributions from such committee.  NAME OF COMMITTEE (in Full)  Novartis Corporation Political Action Committee  Full Name (Last, First, Middle Initial)  Come to Re-elect Linda Sanchez  Mailing Address 410 1st St SS Suite 310  City  Washington  DC 20003  Purpose of Disbursement Contribution Contribution Condidato Name Linda Sanchez  Office Sought: State: CA Disfirct 38  Full Name (Last, First, Middle Initial)  First State PAC  Mailing Address 303 Massachusetts Ave. NE  City  Washington  DC 20002  Transaction ID: B494823  Amount of Each Disbursement  Other (specify)   Date of Disbursement  Other (specify)   Transaction ID: B494823  Amount of Each Disbursement  Other (specify)   Transaction ID: B494823  Amount of Each Disbursement  Other (specify)   Transaction ID: B494823  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Transaction ID: B494824  Amount of Each Disbursement  Other (specify)   Transaction ID: B494824  Tran			210		
NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee  Full Name (Last, First, Middle Initial) Cardidate Name Contribution Cardidate Name Combined Sanchez  Mailing Address 410 1st St St Suite 310  City Washington Primary Sanchez  Office Sought: Sanchez  Other (specify)  State Contribution  Candidate Name Contribution  Candidate Name  Contribution  Candidate Name  Office Sought: State:  Office Sought: Sanchez  Office Sought: Sanchez  Office Sought: Sanchez  Other (specify)  State Sanchez  Other (specify)  Other (specify)  Not Applicable  Full Name (Last, First, Middle Initial)  Date of Disbursement  Other (specify)  Transaction ID: B494365  Amount of Each Disbursement this Period  Category/ Type  Other (specify)  Transaction ID: B494365  Amount of Each Disbursement this Period  Other (specify)  Transaction ID: B494365  Amount of Each Disbursement this Period  Other (specify)  Transaction ID: B494365  Amount of Each Disbursement this Period  Other (specify)  Transaction ID: B494365  Amount of Each Disbursement  Other (specify)  Transaction ID: B494365  Amount of Each Disbursement  Other (specify)  Transaction ID: B494824  Transaction ID: B494824  Amount of Each Disbursement  Other (specify)  Transaction ID: B494824  Transaction ID: B494824  Transaction ID: B494824  Amount of Each Disbursement  Other (specify)  Transaction ID: B494824  Transaction ID: B494824  Transaction ID: B494824  Amount of Each Disbursement  Other (specify)  Transaction ID: B494824  Tran					
Novartis Corporation Political Action Committee  Full Name (Last, First, Middle Initial)  City State Zip Code Washington DC 20003  Purpose of Disbursement Contribution  Candidate Name Candidate Name Confide Name Disbursement For: 2014  Full Name (Last, First, Middle Initial)  State: District: District: District: Disbursement For: 2014  Candidate Name Confide Name Confide Name Confide Name Disbursement For: 2014  City State Zip Code Category' Type  Date of Disbursement this Period Category' Type  Transaction ID: 8494823  Amount of Each Disbursement  Category' Type  Transaction ID: 8494825  Amount of Each Disbursement this Period Category' Type  Transaction ID: 8494365  Amount of Each Disbursement this Period Category' Type  Transaction ID: 8494365  Amount of Each Disbursement this Period Category' Type  Transaction ID: 8494365  Amount of Each Disbursement this Period Category' Type  Transaction ID: 8494365  Amount of Each Disbursement this Period District: Not Applicable  Full Name (Last, First, Middle Initial)  Date of Disbursement this Period Category' Type  Transaction ID: 8494824  Amount of Each Disbursement this Period District: District: District: District: Office Sought: President District: Office Sought: Office Sought: Office Sought: President District: Office Sought:	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	ne and address of any poli	tical committee to	solicit contributions fr	om such committee.
Full Name (Last, First, Middle Initial)  City State Zip Code DC 20003  Purpose of Disbursement Contribution Candidate Name Linda Sanchez  Mailing Address 303 Massachusetts Ave. NE  City State PAC  Mailing Address 303 Massachusetts Ave. NE  City State Phone Contribution Candidate Name Category/ Type  Date of Disbursement this Period Category/ Type  Date of Disbursement this Period  Amount of Each Disbursement this Period  Category/ Type  Date of Disbursement this Period  Candidate Name Category/ Type  Date of Disbursement this Period  Date of Disbursement this Period  Candidate Name Category/ Type  Date of Disbursement  Date of Di		•			
Mailing Address 410 1st St SE Suite 310  City State Zip Code Washington DC 20003  Purpose of Disbursement Contribution Candidate Name Linda Sanchez  Mailing Address 303 Massachusetts Ave. NE  City State PAC  Mailing Address 303 Massachusetts Ave. NE  City State Zip Code 20003  Full Name (Last, First, Middle Initial)  First State PAC  Mailing Address 303 Massachusetts Ave. NE  City State Zip Code 20002  Purpose of Disbursement For: 2014  Washington DC 20002  Purpose of Disbursement Contribution Candidate Name  Category/ Type  Disbursement  Category/ Type  Disbursement	Novartis Corporation Political Action	n Committee			
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Senate President Other (specify) Tother					1000.00
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Candidate Name  John Carney  Office Sought:  Senate  President  State: DE  Disbursements This Page (optional)  Amount of Each Disbursement this Period  Category/ Type  1000.00  Senate  Primary  Other (specify)  State: DE  Disbursements This Page (optional)			011		
John Carney  Office Sought: House Senate President President State: DE District: 01  SUBTOTAL of Disbursements This Page (optional)				Amount of Each Di	sbursement this Period
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SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 26 OF	29
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only		
	for each category of the Detailed Summary Page	21b	22 🗶 23 🔲 24 📗 25 📗	26
	, ,	27	28a 28b 28c 29	30b
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NAME OF COMMITTEE (In Full)				
Novartis Corporation Political Action	on Committee			
Full Name (Last, First, Middle Initial)				
A. Madison PAC			Date of Disbursement	
Mailing Address 235 State Street #206			04 28 2014	
City	State Zip Code		Transaction ID : B494364	
Springfield	MA 01103		Transaction ID . D434304	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	od
Candidate Name		Category/	4000.00	
Office Sought: House Disburse	ment For: 2014	Туре		_
Senate Seaght.	Primary General			
President	Other (specify) ▼			
State: District:	Not Applicable	•		
Full Name (Last, First, Middle Initial)				
B. Butterfield for Congress Cmte			Date of Disbursement	
Mailing Address 3701 Porter Street NW			04 29 2014	
City Washington	State Zip Code DC 20016		Transaction ID : B494928	
Purpose of Disbursement	20016			
Contribution		011	Amount of Each Disbursement this Period	od
Candidate Name		Category/	1000.00	
G.K. Butterfield	_	Туре	1000.00	_
	ment For: 2014 Primary General			
President	Other (specify)			
State: NC District: 01	Canon (opposity)			
Full Name (Last, First, Middle Initial)				
C. Cory Booker for Senate			Date of Disbursement	
Mailing Address PO Box 32237			04 28 2014	
Maining / Marcoso   O Box 32237			20 2011	
City	State Zip Code		Transaction ID : B494366	
Newark Purpose of Disbursement	NJ 07102			
Contribution		011	Amount of Each Disbursement this Perio	od
Candidate Name		Category/	2500.00	
Cory Booker		Туре	2300.00	
Office Sought: House Disburse	ment For: 2014 Primary General			
President	Other (specify)			
State: NJ District:	- √-1. √ <b>1</b> ) . ★			
SUBTOTAL of Disbursements This Page (optional)		·····	7500.00	
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SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 27 OF 29
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	, ,	27	28a 28b 28c 29 30b
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NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			
A. Lance for Congress			Date of Disbursement
Mailing Address PO Box 225			04 29 2014
City	state Zip Code		Transaction ID : B494988
Colonia	NJ 07067		Transaction ID: 6494988
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Leonard Lance		Type	1000.00
	nent For: 2014 Primary General		
	Other (specify) ▼		
State: NJ District: 07			
Full Name (Last, First, Middle Initial)			Data of Dishausannant
B. Friends for Harry Reid			Date of Disbursement
Mailing Address 426 C St. NE Rear Building			04 29 2014
•	itate Zip Code DC 20002		Transaction ID : B494922
Washington Purpose of Disbursement	DC 20002		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Harry Reid		Type	2500.00
	nent For: 2016		
	Primary General		
State: NV District:	Other (specify) ▼		
Full Name (Last, First, Middle Initial)			
C. Friends of Schumer			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 220 I Street NE Suite 250			04 29 2014
,	state Zip Code		Transaction ID : B494924
Purpose of Disbursement	DC 20002		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Charles E Schumer		Туре	1000.00
Senate President	nent For: 2016 Primary General Other (specify)		
State: NY District:			
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 28 OF 29
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Any information copied from such Reports and State or for commercial purposes, other than using the r	tements may not be sold or u ame and address of any polit	sed by any perso ical committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Act	ion Committee		
Full Name (Last, First, Middle Initial)			
A. Tiberi for Congress			Date of Disbursement
Mailing Address 217 Third Street SE			04 29 2014
City	State Zip Code		Transaction ID : B494926
Washington	DC 20003		Transaction is . B404020
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Pat Tiberi  Office Sought:  House Disburs		Туре	1000.00
Office Sought: House Disburs Senate President	sement For: 2014  Primary General  Other (specify) ▼		
State: OH District: 12			
Full Name (Last, First, Middle Initial)			
B. Friends of Joe Pitts			Date of Disbursement
Mailing Address 1707 Prince Street #5			04 28 2014
City Alexandria	State Zip Code VA 22314		Transaction ID : B494367
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name  Joseph R Pitts		Category/ Type	2500.00
	sement For: 2014	.,,,,,	
Senate	Y Primary General		
President State: PA District: 16	Other (specify) ▼		
Full Name (Last, First, Middle Initial)			5
C. Michael Burgess for Congress			Date of Disbursement
Mailing Address 217 Third Street SE			04 28 2014
City	State Zip Code		Transaction ID : B494817
Washington Purpose of Disbursement	DC 20003		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	0500.00
Michael C. Burgess		Type	2500.00
Office Sought:    House   Disburs	sement For: 2014 Primary ☐ General Other (specify) ▼		
State: TX District: 26			
SUBTOTAL of Disbursements This Page (optional	)	<u> </u>	6000.00
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SCHEDULE B (FEC Form 3X)			FOR LINE NUMBER: PAGE 29 OF 29				
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				son for the purpose of soliciting contributions			
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I \	E OF COMMITTEE (In Full)	on Committee					
1401	vartis Corporation Political Action						
_	Name (Last, First, Middle Initial)						
A. Car	ntor for Congress			Date of Disbursement			
Mailir	ng Address P.O. Box 17813			04 28 2014			
	5 - Face Fig. Box from			2017			
City		State Zip Code		Transaction ID : B494822			
Richn	nond ose of Disbursement	VA 23226	T	_			
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Office		ment For: 2014					
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Full N	Name (Last, First, Middle Initial)						
B. Ped	ople for Patty Murray			Date of Disbursement			
	Address in the second s			M M / D D / Y Y Y Y			
Mailir	ng Address 1602 Belle view Boulevard #510			04 28 2014			
City		State Zip Code		Transaction ID : B494821			
	andria	VA 22307					
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Cand	idate Name			Amount of Each Disbursement this Fellou			
Pat	ty Murray		Category/ Type	2500.00			
	Sought: House Disburser	ment For: 2016	1 77				
		Primary General					
State	President  : WA District:	Other (specify) ▼					
	Name (Last, First, Middle Initial)						
C.	(2005, 1.05, 1110010 111101)			Date of Disbursement			
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	President	Other (specify)					
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