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1

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

| FORIVI 3X | For Other Than | An Authorized | Committee | Office | e Use Only |
|---|----------------------------|----------------------|---|------------------------|---|
| NAME OF COMMITTEE (in full) | TYPE OR PRINT | | nple: If typing, type the lines. | 12FE4M5 | |
| Novartis Corporation | Political Action | Committee | | | |
| <u> </u> | | | | | |
| ADDRESS (number and street) | 801 Pennsylvania | a Ave. NW Suite 700 | | | |
| Check if different than previously reported. (ACC) | Washington | | | DC 20 | 004-2608 |
| 2. FEC IDENTIFICATION N | UMBER ▼ | CITY 🛦 | | STATE ▲ | ZIP CODE ▲ |
| C C00033969 | | 3. IS THIS REPORT | NEW (N) OR | AMENDE (A) | ED |
| 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (July 15 | (c) 12-Day | | May 20 (Ms Jun 20 (M6 Jul 20 (M7) Primary (12P) | Sep 20 (M | 9) Poc 20 (M12) (Non-Election Year Only) Poc 20 (M12) (Non-Election Year Only) |
| Quarterly Report (October 15 Quarterly Report (January 31 Year-End Report (| Q3) | | Convention (12C) | Special (12S) | in the State of |
| July 31 Mid-Year Report (Non-electi Year Only) (MY) Termination Repor | on (d) 30-Day POST- Report | | General (30G) | Runoff (30R) | Special (30S) |
| (TER) | | Election on | , , , , , , | | in the State of |
| 5. Covering Period 0 | 3 01 | 2018 | through 03 | | 2018 |
| I certify that I have examined t Type or Print Name of Treasur | O'Neail, Shawn, | | ledge and belief it is | true, correct and com | plete. |
| Signature of Treasurer | eail, Shawn, , , | [. | Electronically Filed] | Date 04 / | 19 / 2018 |
| NOTE: Submission of false, error | neous, or incomplete | information may sub | ject the person signing | this Report to the pen | alties of 52 U.S.C. § 30109 |
| Office Use | | | | FE | EC FORM 3X Rev. 05/2016 |

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)
Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 03 01 2018 To: 03 31 2018

| | | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|-----|--|-------------------------|-----------------------------------|
| 6. | (a) Cash on Hand January 1, 2018 | | 115984.18 |
| | (b) Cash on Hand at Beginning of Reporting Period | 90922.97 | |
| | (c) Total Receipts (from Line 19) | 27843.97 | 63806.99 |
| | (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 118766.94 | 179791.17 |
| 7. | Total Disbursements (from Line 31) | 29511.23 | 90535.46 |
| 8. | Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 89255.71 | 89255.71 |
| 9. | Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. | Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

| R | eport Covering the Period: From: 03 | 01 2018 To | |
|--------------|--|-------------------------------|-----------------------------------|
| | I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
| 11. | Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees | | |
| | (i) Itemized (use Schedule A) | 9580.82 | 13262.86 |
| | (ii) Unitemized | 18263.15 | 50544.13 |
| | (iii) TOTAL (add Lines 11(a)(i) and (ii)▶ | 27843.97 | 63806.99 |
| | (b) Political Party Committees | 0.00 | 0.00 |
| | (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| 12 | (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) | 27843.97 | 63806.99 |
| 12. | Party Committees | 0.00 | 0.00 |
| 13. | All Loans Received | 0.00 | 0.00 |
| | Loan Repayments Received Offsets To Operating Expenditures | 0.00 | 0.00 |
| 16. | (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) Refunds of Contributions Made | 0.00 | 0.00 |
| . - 7 | to Federal Candidates and Other Political Committees | 0.00 | 0.00 |
| | Other Federal Receipts (Dividends, Interest, etc.) Transfers from Non-Federal and Levin Funds | 0.00 | 0.00 |
| | (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| | (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| | (c) Total Transfers (add 18(a) and 18(b)) | 0.00 | 0.00 |
| | | | |
| 19. | Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶ | 27843.97 | 63806.99 |
| 20. | Total Federal Receipts (subtract Line 18(c) from Line 19)▶ | 27843.97 | 63806.99 |

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date | | |
|---|-------------------------------|--|--|--|
| Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | Caroniaa Tour to Date | | |
| (i) Federal Share | 0.00 | 0.00 | | |
| (ii) Non-Federal Share | 0.00 | 0.00 | | |
| (b) Other Federal Operating | | | | |
| Expenditures | 11.23 | 35.46 | | |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶ | 11.23 | 35.46 | | |
| Transfers to Affiliated/Other Party | 0.00 | 0.00 | | |
| Committees Contributions to Federal Candidates/Committees | 0.00 | 0.00 | | |
| and Other Political Committees | 29500.00 | 90500.00 | | |
| Independent Expenditures (use Schedule E) | 0.00 | 0.00 | | |
| Coordinated Party Expenditures (52 U.S.C. § 30116(d)) | 4 4 | | | |
| (use Schedule F) | 0.00 | 0.00 | | |
| Loan Repayments Made | 0.00 | 0.00 | | |
| Loans Made Refunds of Contributions To: | 0.00 | 0.00 | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 0.00 | | |
| (b) Delitical Deuts Committee | 7 7 7 | 4 4 4 | | |
| (b) Political Party Committees(c) Other Political Committees | 0.00 | 0.00 | | |
| (such as PACs) | 0.00 | 0.00 | | |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) | 0.00 | 0.00 | | |
| | 4 4 | 4-14-14-14-14-14-14-14-14-14-14-14-14-14 | | |
| Other Disbursements (Including Non-Federal Donations) | 0.00 | 0.00 | | |
| , | 4 4 | 4 4 | | |
| Federal Election Activity (52 U.S.C. § 30101(2) (a) Allocated Federal Election Activity | 0)) | | | |
| (from Schedule H6) | | | | |
| (i) Federal Share | 0.00 | 0.00 | | |
| (ii) "Levin" Share | 0.00 | 0.00 | | |
| (b) Federal Election Activity Paid | 4 4 | 4 4 | | |
| Entirely With Federal Funds | 0.00 | 0.00 | | |
| Lines 30(a)(i), 30(a)(ii) and 30(b)) | 0.00 | 0.00 | | |
| Total Disbursements (add Lines 21(c), 22, | | | | |
| 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) | 29511.23 | 90535.46 | | |
| Total Federal Disbursements | | | | |
| (subtract Line 21(a)(ii) and Line 30(a)(ii) | | | | |
| from Line 31) | 29511.23 | 90535.46 | | |

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 05/2016)

of Disbursements

| 12010111 01 (1101. 00/2010) | | 1 490 0 |
|--|-------------------------------|-----------------------------------|
| III. Net Contributions/ Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 27843.97 | 63806.99 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 0.00 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 27843.97 | 63806.99 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶ | 11.23 | 35.46 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 11.23 | 35.46 |

Use separate schedule(s) for each category of the Detailed Summary Page

| FC | R LINE | NUMBER | : | PAGE | - | 6 | OF | | 5/ | |
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| [3 | 1 1a | 11b | | 11c | | 12 | | | | |
| | 13 | 14 | | 15 | | 16 | ; | | 17 | |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ammon, Brian, S,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607258 NJ 07936 East Hanover Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) NBS Deputy Head NPMR Alcon & SDZ Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2018

| City | State Zip Code | Transaction ID : A2018-568266 |
|--|--|---|
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer (for Individual) Oncology | Occupation (for Individual) Ex Dir Medical Services | Memo Item |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 250.00 | |
| Full Name of Individual (Last, First, Middle Baron, Neilda, A, , | Initial) or Full Organization Name | Date of Receipt |
| Mailing Address One Health Plaza | | 03 16 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | State Zip Code NJ 07936 | Transaction ID : A2018-566910 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 50.00 |
| Name of Employer (for Individual) Oncology | Occupation (for Individual) Ex Dir Medical Services | Memo Item |
| Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (optional) | | 130.00 |
| TOTAL This Period (last page this line numb | per only) | |
| | | FEC Schedule A (Form 3X) Rev. 06/2 |

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Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

Use separate schedule(s)

| l | FOF | R LINE | NUMBER | PAGE | | 8 (| OF | 57 | | | |
|---|------------------|--------|--------|------|-----|-----|----|----|----|--|--|
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607381 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **ED State Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baroni Allmon, Tracy, L, Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID : A2018-566791 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 170.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

| F | OR | LINE | PAGE | | 9 | OF | | 57 | | | |
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607262 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568314 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 16 2018 City State Zip Code Transaction ID: A2018-566959 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607429 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568514 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head of Fed Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 961.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Casserly, Daniel, P, , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566795 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1153.80 Other (specify) 434.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

| F | OR | LINE | PAGE | • | 11 | OF | | 57 | | | |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607266 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1346.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607267 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 58.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 247.95 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clary, Cathryn, M., Date of Receipt Mailing Address One Health Plaza 02 2018 City State Zip Code Transaction ID: A2018-568417 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 327.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566982 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Patient Affairs and Policy Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607452 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 02 2018 City Zip Code State Transaction ID: A2018-568349 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Digital Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 200.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566618 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Digital Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607171 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Head Digital Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 323.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Conoshenti, Joseph, J., Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID : A2018-607461 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strategic Account Alliances Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 122.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566802 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 235.38 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607273 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 293.07 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 02 2018 City Zip Code State Transaction ID: A2018-568585 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Oncology and Injectable Products Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 161.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 16 2018 City Zip Code State Transaction ID: A2018-566865 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2018 City State Zip Code Transaction ID : A2018-607337 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 323.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Dixon, Dwayne, T, Date of Receipt Mailing Address One Health Plaza 02 2018 City Zip Code State Transaction ID: A2018-568353 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Market Development HQ Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 142.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FEC ID number of contributing

federal political committee.

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dixon, Dwayne, T,, Date of Receipt Mailing Address One Health Plaza 2018 16 City State Zip Code Transaction ID: A2018-566622 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Director Market Development HQ Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dixon, Dwayne, T,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607175 East Hanover NJ 07936 Amount of Each Receipt this Period

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| | Receipt For: Primary General Other (specify) ▼ | Aggrega | ate Yea | r-to-Date ▼ 350.00 | | | | |
| C. | Full Name of Individual (Last, First, Middle Init Esquea, Alison, , , Mailing Address 608 Fifth Avenue | ial) or Ful | ll Orgar | nization Name | Date of Receipt 03 30 2018 | | | |
| | City | State | | Zip Code | Transaction ID : A2018-607339 | | | |
| | New York | NY | | 10020 | Amount of Each Receipt this Period | | | |
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| | Name of Employer (for Individual) | C | Occupat | ion (for Individual) | Memo Item | | | |
| | Sandoz Inc. | [| Director | Sandoz Health Policy | — | | | |
| | Receipt For: Primary General Other (specify) | Aggrega | ate Yea | r-to-Date ▼ 210.00 | | | | |
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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Farber, Leo, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-568524 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Dir Fed Govt Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-566805 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607276 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fellers, Thomas, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-568643 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-567047 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fellers, Thomas, S., Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607517 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Medical Account Management & FME Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fry, Amy, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-568501 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP US Country Head Communications Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fry, Amy, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-566782 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP US Country Head Communications Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Fry, Amy, , , Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607253 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP US Country Head Communications Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-568526 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Svc Del & Ops Lead Connectivity **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-566807 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 462.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607278 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name George, Deidre, T,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-568527 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 334.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568672 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Legal Section Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Goldfarb, Steven, L, , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-567076 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 404.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607546 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grande, Nancy, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568677 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Grande, Nancy, J., Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID : A2018-567081 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify)

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grande, Nancy, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607551 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Proc Improv & Compliance IMS Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-567086 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology VP CDMA Solid Tumors Franchise Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607556 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP CDMA Solid Tumors Franchise Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607559 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haller, Sarah, E,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568532 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566812 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 184.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607283 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-566813 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate ED Federal Public Policy&Reimbursem Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607284 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy&Reimburseme Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 245.00 Other (specify) 147.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| | NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti | ion Comm | nittee | |
| ١. | Full Name of Individual (Last, First, Middle Initial Hellberg, Mark, R, , | Date of Receipt | | |
| | Mailing Address One Health Plaza | 03 02 2018 | | |
| | City East Hanover | State NJ | Zip Code 07936 | Transaction ID : A2018-568333 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer (for Individual) NIBR | | tion (for Individual) ive Director Chemical Technolog | Memo Item |
| | Receipt For: Primary General Other (specify) ▼ | ar-to-Date ▼ 250.00 | | |
| 3. | Full Name of Individual (Last, First, Middle Initial Hellberg, Mark, R, , Mailing Address One Health Plaza |) or Full Orga | nization Name | Date of Receipt |
| | City | Zip Code | 03 16 2018 Transaction ID : A2018-566602 | |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer (for Individual) NIBR | | ation (for Individual) ive Director Chemical Technolog | Memo Item |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Ye | ar-to-Date ▼ 300.00 | |
| <u> </u> | Full Name of Individual (Last, First, Middle Initial Hellberg, Mark, R, , |) or Full Orga | nization Name | Date of Receipt |
| | Mailing Address One Health Plaza | | | 03 30 / 2018 |
| | City East Hanover | State NJ | Zip Code 07936 | Transaction ID : A2018-607965 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | С | | 50.00 |
| | Name of Employer (for Individual) NIBR | | ntion (for Individual) ve Director Chemical Technologi | Memo Item |
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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607288 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568538 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate ED Federal Public Policy & Reimburser Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566818 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy & Reimbursem Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607289 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy & Reimbursen Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568750 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology VP-Glb Head of Bus. Exc & Oper Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 16 2018 City State Zip Code Transaction ID: A2018-567154 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Glb Head of Bus. Exc & Oper Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607624 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Glb Head of Bus. Exc & Oper Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kan, Sarah, G,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-568541 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kan, Sarah, G, Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID : A2018-566821 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State Public Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 204.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607292 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568503 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kendris, Thomas, N., Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566784 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 277.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kowalski, Robert, W, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607648 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head RA US Head Developmen Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 323.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Krayacich, John, M, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607649 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Head NPC Strategic Plng & BD & L Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lawrence, Todd, , , Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607666 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director Systems of Care Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 126.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-568545 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP and U.S. Country Head Public Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-566825 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP and U.S. Country Head Public Polic Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Leas, Leigh Anne, A, Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607296 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP and U.S. Country Head Public Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 700.00 Other (specify) 300.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-567203 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568869 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head of Global Market Access Oncolog Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-567215 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Global Market Access Oncology Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 192.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| $\overline{}$ | NAME OF COMMITTEE (In Full) | | | | | | | | | | | | | | |
| \rangle | Novartis Corporation Political Acti | ion Comr | nittee | | | | | | | | | | | | |
| ١. | Full Name of Individual (Last, First, Middle Initial Lloyd, Richard, , , | D | ate of | Receipt | | | | | | | | | | | |
| | Mailing Address One Health Plaza | ╛┖ | 03 | 30 |) / Y | 2018 | | | | | | | | | |
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| | Mailing Address One Health Plaza | | | | | | 30 | | 2018 | | | | | | |
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| | Mailing Address One Health Plaza | | | | | M M M | / 02 | | 2018 |] | | | | | |
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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607307 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID : A2018-568557 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head Federal Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 592.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 16 2018 City Zip Code State Transaction ID: A2018-566837 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 784.60 Other (specify) 434.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-607309 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 976.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568559 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Phipps, Candice, C, Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566839 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 412.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607311 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 775.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-568595 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **VP Communications** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566875 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 215.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 43 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-607347 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607835 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schweitzer, Mark, G, Date of Receipt Mailing Address One Health Plaza 02 2018 City Zip Code State Transaction ID: A2018-568565 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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44 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schweitzer, Mark, G,, Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566845 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GLHd AS&T SCI Initiatives Oversight **Novartis Technical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schweitzer, Mark, G,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607317 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 350.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Brian, P,, Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607972 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Senior Director Biostatistics Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 45 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-569069 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Polic Receipt For: Aggregate Year-to-Date ▼ Primary General 575.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID: A2018-566521 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Police Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 690.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spurr, Robert, A, Date of Receipt Mailing Address 608 Fifth Avenue 30 2018 City Zip Code State Transaction ID: A2018-607884 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology US Mkt Access & Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 805.00 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

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FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-568572 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Strategic Alliance Dev. Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 216.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID : A2018-566852 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Strategic Alliance Dev. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 312.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 30 2018 City Zip Code State Transaction ID: A2018-607324 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Strategic Alliance Dev. Receipt For: Aggregate Year-to-Date ▼ Primary General 408.45 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2018 16 City Zip Code State Transaction ID: A2018-566854 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607326 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 327.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 30 2018 City State Zip Code Transaction ID: A2018-607329 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Quality Ext Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 164.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-568604 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Service Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-566884 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Managed Markets Specialty Service Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 462.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 30 2018 City Zip Code State Transaction ID: A2018-607356 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Services Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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49 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 16 2018 City Zip Code State Transaction ID: A2018-566860 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Federal Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-607332 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Federal Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 02 2018 City Zip Code State Transaction ID: A2018-568605 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Counse Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 170.00 SUBTOTAL of Receipts This Page (optional).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the **Detailed Summary Page** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 16 2018 City Zip Code State Transaction ID: A2018-566885 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Legal Ops. & Ass. Gen. Counse Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID : A2018-607357 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Couns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 350.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional).....

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| SCHEDULE B (FEC Form 3X) | Use separate schedule(| FOR LINE | |
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| ITEMIZED DISBURSEMENTS | for each category of the | 21h | one) 22 X 23 26 27 |
| | Detailed Summary Page | 28a | 28b 28c 29 30b |
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| or for commercial purposes, other than using the name | ne and address of any poli | itical committee to | o solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | n Committee | | |
| Full Name (Last, First, Middle Initial) | | | Data of Dishara and |
| A. Andy Harris for Congress | | | Date of Disbursement |
| Mailing Address 617 E. Custis Avenue | | | 03 22 2018 |
| , | State Zip Code VA 22301 | | FEC Identification Number |
| Alexandria Purpose of Disbursement | VA 22301 | | C C00435974 |
| Contribution | | 011 | Transaction ID : B682963 |
| Candidate Name | | Category/ | Amount of Each Disbursement this Period |
| Harris, Andy, , , Office Sought: House Disbursem | nent For: 2018 | Type | 1000.00 |
| | Primary General | | 7 7 7 |
| | Other (specify) ▼ | | Memo Item |
| Full Name (Last, First, Middle Initial) | | | |
| B. Bera for Congress | | | Date of Disbursement |
| Mailing Address PO Box 582496 | | | 03 22 2018 |
| , | State Zip Code | | FEC Identification Number |
| Elk Grove Purpose of Disbursement | CA 95758 | | C C00461061 |
| Contribution | | 011 | Transaction ID : B682964 |
| Candidate Name | | Category/ | Amount of Each Disbursement this Period |
| Bera, Amerish, , , Office Sought: House Disbursem | ant Fam. and | Type | 1000.00 |
| | nent For: 2018 Primary General | | 1000.00 |
| | Other (specify) | | Mama Itam |
| State: CA District: 07 | | | Memo Item |
| Full Name (Last, First, Middle Initial) | | | Date of Disbursement |
| C. Brady for Congress | | | M M / D D / Y Y Y Y |
| Mailing Address 104 Hume Avenue | | | 03 22 2018 |
| , | State Zip Code | | FEC Identification Number |
| Alexandria Purpose of Disbursement | VA 22301 | T | C C00311043 |
| Contribution | | 011 | C C00311043 Transaction ID : B682952 |
| Candidate Name | | Category/ | Amount of Each Disbursement this Period |
| Brady, Kevin, P, , Office Sought: House Disbursem | and Fam. 2010 | Туре | 1000.00 |
| | nent For: 2018 Primary | | 1000.00 |
| | Other (specify) ▼ | | Memo Item |
| State: TX District: 08 | | | Wollie Relii |
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| SCHEDULE B (FEC Form 3X) | 11 | FOR LINE | NUMBER: PAGE 52 OF 57 |
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| or for commercial purposes, other than using the nar | | | |
| NAME OF COMMITTEE (In Full) | | | |
| Novartis Corporation Political Action | on Committee | | |
| Full Name (Last, First, Middle Initial) | | | |
| A. Bucshon for Congress | | | Date of Disbursement |
| AA 31 | | | M M / D D / Y Y Y Y |
| Mailing Address 526 6th Street SE | | | 03 22 2018 |
| , | State Zip Code | | FEC Identification Number |
| Washington Purpose of Disbursement | DC 20003 | | |
| Contribution | | 011 | C C00468256 |
| Candidate Name | | Category/ | Transaction ID : B682966 Amount of Each Disbursement this Period |
| Bucshon, Larry, D, , | | Type | |
| | ment For: 2018 | | 1000.00 |
| Senate x | Primary General | | |
| State: IN District: 08 | Other (specify) ▼ | | Memo Item |
| Full Name (Last, First, Middle Initial) | | | |
| B. Cmte to Re-elect Linda Sanchez | | | Date of Disbursement |
| | | | M M / D D / Y Y Y Y |
| Mailing Address 415 New Jersey Avenue SE #1 | | | 03 22 2018 |
| City | State Zip Code | | FEC Identification Number |
| Washington Purpose of Disbursement | DC 20003 | | 0 000001057 |
| Contribution | | 011 | C C00384057 |
| Candidate Name | | Category/ | Transaction ID : B682919 Amount of Each Disbursement this Period |
| Sanchez, Linda, , , | | Type | |
| | ment For: 2018 | | 3500.00 |
| Senate President | Primary General Other (specify) | | |
| State: CA District: 38 | Cirio (apoony) | | Memo Item |
| Full Name (Last, First, Middle Initial) | | | |
| C. Cory Gardner for Senate | | | Date of Disbursement |
| Mailing Address 1020 N. Fairfax St. Suite 201 | | | 03 22 2018 |
| Mailing Address 1020 N. Famax St. Suite 201 | | | 03 22 2010 |
| City | State Zip Code | | FEC Identification Number |
| Alexandria Purpose of Disbursement | VA 22314 | | 0 000 100 151 |
| Contribution | | 011 | C C00492454 |
| Candidate Name | | Category/ | Transaction ID : B682950 Amount of Each Disbursement this Period |
| Gardner, Cory, , , | | Type | |
| Conoto | ment For: 2020 | | 1000.00 |
| Senate President | Primary General Other (specify) | | |
| State: CO District: | caro. (opcomy) | | Memo Item |
| | | | |
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| SCHEDULE B (FEC Form 3X) | | FOR LINE | NUMBER: PAGE 53 OF 57 |
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| or for commercial purposes, other than using the nam | | | |
| NAME OF COMMITTEE (In Full) | | | |
| Novartis Corporation Political Action | n Committee | | |
| Full Name (Last, First, Middle Initial) | | | |
| A. Donnelly for Indiana | | | Date of Disbursement |
| Mailing Address 303 Massachusetts Avenue NE | | | 03 22 7 2018 |
| City | State Zip Code | | FFO Islandification Number |
| Washington | DC 20002 | | FEC Identification Number |
| Purpose of Disbursement Contribution | | 100 | C C00393652 |
| | | 011 | Transaction ID : B682953 |
| Candidate Name Donnelly, Joseph, S, , | | Category/ | Amount of Each Disbursement this Period |
| | nent For: 2018 | Туре | 1000.00 |
| | Primary General | | |
| | Other (specify) ▼ | | Memo Item |
| Full Name (Last, First, Middle Initial) | | | |
| B. Friends of Mazie Hirono | | | Date of Disbursement |
| THEHAS OF WAZIE THIOHO | | | M = M / D = D / Y = Y = Y |
| Mailing Address 303 Massachusetts Ave. NE | | | 03 22 2018 |
| , | State Zip Code | | FEC Identification Number |
| · · · · · · · · · · · · · · · · · · · | DC 20002 | | |
| Purpose of Disbursement Contribution | | 011 | C C00420760 |
| Candidate Name | | | Transaction ID : B682939 |
| Hirono, Mazie, , , | | Category/ Type | Amount of Each Disbursement this Period |
| | nent For: 2018 | , , , , , , , , , , , , , , , , , , , | 1000.00 |
| | Primary General | | |
| | Other (specify) | | Memo Item |
| State: HI District: | | | |
| Full Name (Last, First, Middle Initial) | | | Date of Disbursement |
| C. Heidi for Senate | | | M M / D D / Y Y Y Y |
| Mailing Address 1140 3rd Street NE Suite 200 | | | 03 22 2018 |
| | T | | |
| City S Washington | State Zip Code 20002 | | FEC Identification Number |
| Purpose of Disbursement | 20002 | | C C00505552 |
| Contribution | | 011 | Transaction ID : B682941 |
| Candidate Name | | Category/ | Amount of Each Disbursement this Period |
| Heitkamp, Heidi, , , | | Type | 2000.00 |
| \vdash | nent For: 2018 | | 3000.00 |
| | Primary | | |
| State: ND District: | Canon (opcomy) | | Memo Item |
| | | | |
| SUBTOTAL of Disbursements This Page (optional) | | ·····• | 5000.00 |
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| TOTAL This Period (last page this line number only). | | | |

| SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS | Use separate schedule(s | (orlook orliy orlo) | | | | |
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| | for each category of the Detailed Summary Page | 21b 28a | 22 X 23 26 27 28b 28c 29 30b | | | |
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| NAME OF COMMITTEE (In Full) | | | | | | |
| Novartis Corporation Political Actio | n Committee | | | | | |
| Full Name (Last, First, Middle Initial) | | | Data of Dishuraamast | | | |
| A. Hudson for Congress | | | Date of Disbursement | | | |
| Mailing Address 412 S. Capitol St. SE Suite B | | | 03 22 2018 | | | |
| Washington | State Zip Code 20003 | | FEC Identification Number | | | |
| Purpose of Disbursement Contribution | | 011 | C C00504522 | | | |
| Candidate Name | | Category/ | Transaction ID : B682942 Amount of Each Disbursement this Period | | | |
| Hudson, Richard, , , | | Type | | | | |
| Office Sought: House Disburser Senate | 1000.00 | | | | | |
| Senate President State: NC District: 08 | Primary General Other (specify) ▼ | | Memo Item | | | |
| Full Name (Last, First, Middle Initial) | | | | | | |
| B. Lance for Congress | Date of Disbursement | | | | | |
| Mailing Address 10006 Pendleton Street | 03 22 2018 | | | | | |
| , | State Zip Code | | FEC Identification Number | | | |
| Alexandria Purpose of Disbursement | VA 22314 | | C C00444224 | | | |
| Contribution | | 011 | Transaction ID : B682969 | | | |
| Candidate Name | Category/ | | | | | |
| Lance, Leonard, , , Office Sought: House Disburser | ment For: 2018 | Туре | 2500.00 | | | |
| | Primary General | | 2300.00 | | | |
| State: NJ District: 07 | Other (specify) | | Memo Item | | | |
| Full Name (Last, First, Middle Initial) | | | Date of Disbursement | | | |
| - Lone Star Leadership PAC | Lone Star Leadership PAC | | | | | |
| Mailing Address P.O. Box 15239 | 03 22 2018 | | | | | |
| | State Zip Code | | FEC Identification Number | | | |
| | Washington DC 20003 Purpose of Disbursement Contribution 011 | | | | | |
| | | | | | | |
| Candidate Name | Transaction ID: B682971 Amount of Each Disbursement this Period | | | | | |
| | Office Sought: Disbursement For: 2018 | | | | | |
| Senate President | Other (specify) — | | | | | |
| State: District: | Other (specify) ▼ Not Applicab | ole | Memo Item | | | |
| | | | 4500.00 | | | |
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| SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS | Use separate schedule(s | | | | | |
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| NAME OF COMMITTEE (In Full) | and address of any point | | Solution in the contraction of t | | | |
| Novartis Corporation Political Action | n Committee | | | | | |
| Full Name (Last, First, Middle Initial) | | | Date of Disbursement | | | |
| A. Mike Crapo for US Senate | M M / D D / Y Y Y Y | | | | | |
| Mailing Address 25 E Masonic View Avenue | | | 03 22 2018 | | | |
| , | State Zip Code VA 22301 | | FEC Identification Number | | | |
| Purpose of Disbursement Contribution | | | C C00330886 | | | |
| Candidate Name | | 011 | Transaction ID : B682968 | | | |
| Crapo, Michael, D, , | | Category/ Type | Amount of Each Disbursement this Period | | | |
| • | nent For: 2022 | .,,,,, | 1000.00 | | | |
| | Primary General | | | | | |
| State: ID District: | Other (specify) ▼ | | Memo Item | | | |
| Full Name (Last, First, Middle Initial) | | | | | | |
| B. Moulton for Congress Committee | | | Date of Disbursement | | | |
| | M M / D D / Y Y Y Y | | | | | |
| Mailing Address 415 New Jersey Ave. SE #1 | 03 22 2018 | | | | | |
| · · · · · · · · · · · · · · · · · · · | State Zip Code DC 20003 | | FEC Identification Number | | | |
| Washington Purpose of Disbursement | DC 20003 | | C C00547240 | | | |
| Contribution | | 011 | C C00547240 Transaction ID : B682962 | | | |
| Candidate Name | | Category/ | Amount of Each Disbursement this Period | | | |
| Moulton, Seth, , , Office Sought: House Disbursem | ant Fam. 2015 | Type | 1000.00 | | | |
| | nent For: 2018 Primary General | | 1000.00 | | | |
| | Other (specify) | | Mama Itam | | | |
| State: MA District: 06 | | | Memo Item | | | |
| Full Name (Last, First, Middle Initial) | | | Date of Disbursement | | | |
| - People for Patty Murray | People for Patty Murray | | | | | |
| Mailing Address 1602 Belle View Boulevard #510 | 03 22 2018 | | | | | |
| City | State Zip Code | | FEC Identification Number | | | |
| | VA 22307 | | | | | |
| Purpose of Disbursement Contribution 011 | | | C C00257642 | | | |
| Candidate Name | Transaction ID: B682967 Amount of Each Disbursement this Period | | | | | |
| Murray, Patty, , , | Category/ Type | Amount of Each Bioduscinicity and Ferica | | | | |
| Office Sought: House Disbursem | Office Sought: House Disbursement For: 2022 | | | | | |
| | Other (specify) — | | | | | |
| State: WA District: | Other (specify) ▼ | | Memo Item | | | |
| | | | 2000.00 | | | |
| SUBTOTAL of Disbursements This Page (optional) | | ·····• | 3000.00 | | | |
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| IT | EMIZED DISBURSEMENTS | | rate schedule(s) category of the | (check only | ′ | |
| | | | Summary Page | 21b 28a | 22 x 23 26 29 28c 29 | 27 30b |
| ۸۰ | ny information copied from such Reports and Statem | nonto mov n | est he cold or use | | | |
| | for commercial purposes, other than using the nam | | | | | |
| $\overline{\ }$ | NAME OF COMMITTEE (In Full) | | | | | |
| \rangle | Novartis Corporation Political Actio | n Comm | ittee | | | |
| _ | Full Name (Last, First, Middle Initial) | | | | | |
| Α. | Ryan For Congress | | | | Date of Disbursement | |
| | Mailing Address 320 First Street SE | | | | | 2018 |
| | City | State | Zip Code | | FEC Identification Number | |
| | Washington | DC | 20003 | | TEC Identification Number | - |
| | Purpose of Disbursement Contribution | | | 011 | C C00330894 | |
| | Candidate Name | | I | | Transaction ID : B68294 | - |
| | Ryan, Paul, D, , | | | Category/ Type | Amount of Each Disburseme | nt this Period |
| | | nent For: 2 | 018 | 71 | | 2500.00 |
| | | Primary | General | | | |
| | | Other (spec | ify) ▼ | | Memo Item | |
| | State: WI District: 01 | | | | | |
| В. | Full Name (Last, First, Middle Initial) Stivers for Congress | | | | Date of Disbursement | |
| | Olivers for Congress | | | | M = M / D = D / Y = | Y Y Y |
| | Mailing Address 217 Third Street SE | | | | 03 22 | 2018 |
| | , | State | Zip Code | | FEC Identification Number | |
| | Washington Purpose of Disbursement | DC | 20003 | | 0 000444050 | - |
| | Contribution | | | 011 | C C00441352 | |
| | Candidate Name | | | Category/ | Transaction ID: B68296 Amount of Each Disburseme | - |
| | Stivers, Steve, , , | | | Type | Timount of Each Biobardonio | THE LINE T CHICA |
| | | nent For: 2 | | | | 5000.00 |
| | | Primary Other (spec | ★ General | | _ | |
| | State: OH District: 15 | Other (spec | iiy) | | Memo Item | |
| | Full Name (Last, First, Middle Initial) | | | | | |
| C. | Walker 4 NC | | | | Date of Disbursement | |
| | | | | | M M / D D / Y | Y Y Y |
| | Mailing Address 1001 Pennsylvania Ave NW #1300 | | | | 03 22 | 2018 |
| | City | State | Zip Code | | CCO Identification Number | |
| | Washington | DC | 20004 | | FEC Identification Number | - |
| | Purpose of Disbursement Contribution | | | 044 | C C00543231 | |
| | Candidate Name | | | 011 | Transaction ID : B68297 | |
| | Walker, Mark, , , | | | Category/ Type | Amount of Each Disburseme | nt this Period |
| | | nent For: 2 | 018 | 71 | | 1000.00 |
| | Senate x | Primary | General | | | |
| | | Other (spec | ify) 🔻 | | Memo Item | |
| | State: NC District: 06 | | | | | |
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| SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS | Use separate schedule(s | FOR LINE (check only | NUMBER: PAGE 57 OF 57 y one) | | |
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| NAME OF COMMITTEE (In Full) | | | | | |
| Novartis Corporation Political Action | on Committee | | | | |
| Full Name (Last, First, Middle Initial) | | | Date of Disbursement | | |
| A. Carper for Senate | | | M M / D D / Y Y Y Y Y | | |
| Mailing Address 303 Massachusetts Avenue NE | | | 02 27 2018 | | |
| City Washington | State Zip Code DC 20002 | | FEC Identification Number | | |
| Purpose of Disbursement | 20002 | I | C C00349217 | | |
| Contribution | | 011 | C C00349217 Transaction ID : B681228 | | |
| Candidate Name | | Category/ | Amount of Each Disbursement this Period | | |
| Carper, Tom, , , Office Sought: House Disburser | ment For: 2018 | Туре | 1000.00 | | |
| x Senate | Primary General | | 7 7 7 | | |
| President | Other (specify) ▼ | | As reported on 2018 March Memo Item Monthly(M3) report | | |
| State: DE District: Full Name (Last, First, Middle Initial) | | | | | |
| B. Carper for Senate | | | Date of Disbursement | | |
| · | M M / D D / Y Y Y Y | | | | |
| Mailing Address 303 Massachusetts Avenue NE | Mailing Address 303 Massachusetts Avenue NE | | | | |
| City Sashington | State Zip Code DC 20002 | | FEC Identification Number | | |
| Purpose of Disbursement | 20002 | | C C00349217 | | |
| Contribution | | 011 | Transaction ID : B681229 | | |
| Candidate Name | | Category/ | Amount of Each Disbursement this Period | | |
| Carper, Tom, , , Office Sought: House Disburser | ment For: 2018 | Туре | 1000.00 | | |
| | Primary General | | 4-14-14-14-14-14-14-14-14-14-14-14-14-14 | | |
| President | Other (specify) | | Redesignation of above contribut **Memo Item | | |
| State: DE District: | | | ivienio item | | |
| Full Name (Last, First, Middle Initial) C. | | | Date of Disbursement | | |
| | | | | | |
| Mailing Address | | | | | |
| City | State Zip Code | | FEC Identification Number | | |
| Purpose of Disbursement | | | C | | |
| | | | | | |
| Candidate Name | | Category/ Type | Amount of Each Disbursement this Period | | |
| Office Sought: House Disburser | ment For: | | | | |
| Senate | Primary General | | , | | |
| President | Other (specify) ▼ | | Memo Item | | |
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