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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	For Other Than An Author	onzed Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation	Political Action Commit	tee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW S	uite 725	
Check if different than previously reported. (ACC)	Washington		DC 20004-2608 –
2. FEC IDENTIFICATION N	IUMBER ▼ CITY	' \	STATE ▲ ZIP CODE ▲
C C00033969	3. IS RE	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M6)	(Non-Election Year Only)
April 15 Quarterly Report ((Q1)	0 (M4) Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (PRF-Election	Primary (12P) Convention (12C)	General (12G) Runoff (12R) Special (12S)
October 15 Quarterly Report (·		
January 31 Year-End Report (YE) Election	on//	in the State of
July 31 Mid-Year Report (Non-electi Year Only) (MY)	POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Repor (TER)	t Election	on//	in the State of
	0 01 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	through 10	31 2017
I certify that I have examined to Type or Print Name of Treasur	this Report and to the best of n O'Neail, Shawn, , , er	ny knowledge and belief it is t	rue, correct and complete.
Signature of Treasurer	leail, Shawn, , ,	[Electronically Filed]	Date 11 13 / 2017
NOTE: Submission of false, error	neous, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 3010
Office Use			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 10 01 2017 To: 10 31 2017

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2017		82321.29
	(b) Cash on Hand at Beginning of Reporting Period	93957.68	
	(c) Total Receipts (from Line 19)	19679.14	198832.24
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	113636.82	281153.53
7.	Total Disbursements (from Line 31)	16014.06	183530.77
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	97622.76	97622.76
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

10 2017 10 31 2017 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 12081.77 77587.75 (i) Itemized (use Schedule A)..... 7597.37 120244.49 (ii) Unitemized (iii) TOTAL (add 197832.24 19679.14 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 197832.24 19679.14 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 1000.00 0.00 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 198832.24 19679.14 20. Total Federal Receipts 19679.14 198832.24 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date				
. Operating Expenditures: — (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Caronaa Tour to Date				
(i) Federal Share	0.00	0.00				
(ii) Non-Federal Share	0.00	0.00				
(b) Other Federal Operating Expenditures	14.06	180.77				
(c) Total Operating Expenditures	17:00	7 7				
(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	14.06	180.77				
Committees	0.00	0.00				
Federal Candidates/Committees and Other Political Committees	16000.00	186500.00				
Independent Expenditures (use Schedule E)	0.00	0.00				
Coordinated Party Expenditures (52 U.S.C. § 30116(d))		7 7 7				
(use Schedule F)	0.00	0.00				
Loan Repayments Made	0.00	0.00				
Loans MadeRefunds of Contributions To:	0.00	0.00				
(a) Individuals/Persons Other Than Political Committees	0.00	150.00				
(b) Political Party Committees	0.00	0.00				
(c) Other Political Committees (such as PACs)	0.00	0.00				
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	150.00				
Other Disbursements (Including	7 7 7	4 4				
Non-Federal Donations)	0.00	- 3300.00				
Federal Election Activity (52 U.S.C. § 30101(20) (a) Allocated Federal Election Activity (from Schedule H6)						
(i) Federal Share	0.00	0.00				
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00				
Entirely With Federal Funds	0.00	0.00				
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00				
Total Disbursements (add Lines 21(c), 22,						
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	16014.06	183530.77				
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)						
from Line 31)	16014.06	183530.77				

DETAILED SUMMARY PAGE

of Disbursements

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III. Net Contributions/ Operating Expenditures				
3. Total Contributions (other than loans) (from Line 11(d), page 3)	19679.14	197832.24		
4. Total Contribution Refunds (from Line 28(d))	0.00	150.00		
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19679.14	197682.24		
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	14.06	180.77		
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00		
8. Net Operating Expenditures (subtract Line 37 from Line 36)	14.06	180.77		

Use separate schedule(s) for each category of the Detailed Summary Page

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(0	(check only one)										
	X 11a 11b					11c		12			
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ackerman Jr., Robert, E, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206602 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head REFS Alcon & Head REFS Ameri **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ackerman Jr., Robert, E, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327197 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Head REFS Alcon & Head REFS Amer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ahmed, Imran, , , Date of Receipt Mailing Address 6201 South Freeway 13 2017 City State Zip Code Transaction ID: A2017-2206008 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Principal Fellow Receipt For: Aggregate Year-to-Date ▼ Primary General 201.81 Other (specify) 32.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

: 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF HZ G7 < 98 I @ 'CF' + H9 A = N5 H= CB

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ahmed, Imran, , , Date of Receipt Mailing Address 6201 South Freeway 2017 City Zip Code State Transaction ID: A2017-2327256 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Fellow Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Algozzine, Thomas, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206009 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Algozzine, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327257 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 29.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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(check only one)											
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206018 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Cardio Regional Sales Dir-Great Lakes Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327266 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardio Regional Sales Dir-Great Lakes Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 304.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baker, Jeanne, E, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327272 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Area Business Leader II Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 36.93 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barbier, Pierre, R,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206568 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Director Receipt For: Aggregate Year-to-Date ▼ Primary General 201.81 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barbier, Pierre, R, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327119 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barkhausen, Susana, V., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206026 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Miami Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 34.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barninger, Michael, C, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206027 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Prof Strat & Bus Development Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 357.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barninger, Michael, C, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327275 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Director Prof Strat & Bus Development Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 374.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baron, Neilda, A, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206028 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 84.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327276 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206606 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327201 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Director Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1320.00 Other (specify) 170.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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FOR LINE NUMBER: PAGE 16 OF 168 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Borill, Troy, L,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206053 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.77 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 431.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Borill, Troy, L, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327005 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 452.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bortfeld, Daniel, S., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206054 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head HR Operational Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 52.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bortfeld, Daniel, S,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-2327006 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Global Head HR Operational Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206064 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General

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City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2017-2327016 Amount of Each Receipt this Period
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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Buckley, Matthew, H,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327020 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director Strategic Market Access** Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Burke, John, S,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327025 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular Area Business Leader I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 203.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206175 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 68.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327029 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Byler, Timothy, S, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206608 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 525.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Byler, Timothy, S, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327203 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Campbell, Kimberley, J, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2205978 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Head Oncology Medical Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Campbell, Kimberley, J,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327226 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Head Oncology Medical Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carl, Kevin, M., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206183 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global RA Franchise Head EM&ED Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carl, Kevin, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327037 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global RA Franchise Head EM&ED Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carpenter, Matthew, D, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206184 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carpenter, Matthew, D., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327038 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF 168 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carter, Charles, C,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206610 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Field Skills Trainer Hem/ Onc/ RD **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Charles, C,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327205 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Field Skills Trainer Hem/ Onc/ RD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Casserly, Daniel, P, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206611 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 3769.10 Other (specify) 212.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327206 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 3961.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chastain, James, E, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206526 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 202.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chastain, James, E, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326775 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) 211.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206612 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 31.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 641.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327207 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 31.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 672.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Civiello, Matthew, D., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327046 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Senior ABL Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 72.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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An or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206196 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Patient Affairs and Policy Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1678.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327050 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1755.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Cofone, Stephen, R., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206616 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Strategic Programs & Roadmaps Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 169.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conry, Scott, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327061 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director MSLs** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206618 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-2327213 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2017 City Zip Code State Transaction ID: A2017-2205981 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Oncology and Injectable Products Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 10 2017 City State Zip Code Transaction ID: A2017-2327229 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1015.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Coraggio, Ryan, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206210 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **AD Strategic Market Access** Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) 117.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coraggio, Ryan, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327064 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD Strategic Market Access Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Corcoran, Mary, E, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327065 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology MSL Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 203.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Couture, Eric, C, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206216 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 54.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Couture, Eric, C, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327070 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Regulatory C&G TU Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Crippen, David, L,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206218 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Crippen, David, L, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327072 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Reimbursement Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 40.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cullen, Thomas, G,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205982 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cullen, Thomas, G,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327230 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cummings, Robert, A., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206220 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 390.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 ABL II - PHOENIX Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 413.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Jeanmarie, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206638 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Prof Rel Advocate Development Receipt For: Aggregate Year-to-Date ▼ Primary General 201.81 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Davis, Jeanmarie, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326786 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Prof Rel Advocate Development Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** De Valroger, Timothy, K, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326919 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 28.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Degner, Clinton, D, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326925 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director Regional Accounts Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dixon, Dwayne, T,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206640 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Director Market Development HQ Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 605.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Dixon, Dwayne, T, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326788 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Market Development HQ Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 655.82 Other (specify) 111.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Douglas, Alastair, M, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206641 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head US Training Surgical Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Douglas, Alastair, M, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326789 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head US Training Surgical Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Eberenz Jr., David, M., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206255 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - GREENVILLE NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 287.00 Other (specify) 33.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eberenz Jr., David, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326945 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - GREENVILLE NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 297.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ellis, Fred, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206256 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Executive Director - Professional Affa Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 379.18 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Ellis, Fred, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326946 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Executive Director - Professional Affa Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 400.18 Other (specify) 52.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Emch, Michael, R,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206258 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Emch, Michael, R, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326948 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 308.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Ewalt, Judith, J., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206261 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 39.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ewalt, Judith, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326952 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fairchild, Michael, E, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206642 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head IRIS Bus Process Transformation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 322.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fairchild, Michael, E, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326790 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head IRIS Bus Process Transformation Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 42.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Farber, Leo, A,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206621 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327283 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206263 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Medical Account Management & FME Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fellers, Thomas, S,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326954 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Medical Account Management & FME Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Footo, Andrew, T, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326960 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Area Sales Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 203.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Foster, Matthew, C, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206271 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Derrm Sales Regional Director- Central Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify)

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Matthew, C,, Date of Receipt Mailing Address One Health Plaza 2017 State Zip Code Transaction ID: A2017-2326962 NJ 07936 East Hanover Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Name of Employer (for Individual) Occupation (for Individual) Memo Item Derrm Sales Regional Director- Central Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) ▼ Full Name of Individual (Last First Middle Initial) or Full Organization Name

Freeland, Jon, S, ,	Name	Date of Receipt	
Mailing Address One Health Plaza			10 13 2017
City	State Zip Cod	de	Transaction ID : A2017-2206272
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		12.50
Name of Employer (for Individual) Oncology	Occupation (for Sr. Oncology Sa	·	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	262.50	
Full Name of Individual (Last, First, Middle In Freeland, Jon, S, ,	itial) or Full Organization	Name	Date of Receipt
Mailing Address One Health Plaza			10 D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Cod NJ 07936		Transaction ID : A2017-2326963
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		12.50
Name of Employer (for Individual) Oncology	Occupation (for Sr. Oncology Sa	,	Memo Item
Receipt For:	Aggregate Year-to-Date	-	
Primary General Other (specify)	Aggregate Teal to Date	275.00	
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fry, Amy, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206599 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) VP Comm NPC CountryCommhead Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fry, Amy, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327194 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Comm NPC CountryCommhead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Frye, Neely, T, Date of Receipt Mailing Address One Health Plaza 13 2017 City State Zip Code Transaction ID: A2017-2206623 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1341.64 Other (specify) 165.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaudin, David, E, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206281 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gaudin, David, E,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327391 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Geier, Carolyn, A, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206284 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - Escondido CA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 40.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Geier, Carolyn, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327394 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - Escondido CA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206624 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1617.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327285 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General 1694.00 Other (specify) 164.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name George, Deidre, T,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206625 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 441.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** George, Deidre, T, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327286 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 462.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Goldfarb, Steven, L, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206295 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1617.00 Other (specify) 119.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327405 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1694.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorcz, Damon, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206298 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Specialty Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 315.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gorcz, Damon, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327408 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Specialty Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 107.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grande, Nancy, J,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206300 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Proc Improv & Compliance IMS Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grande, Nancy, J, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327410 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Grossman, Benjamin, A., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206304 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD Melanoma Expert Liaison Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 110.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Full Name of Individual (Last, First, Middle In Grossmann, Harold, R, ,	itial) or Full Orga	anization Name	Date of Receipt
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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guidi, Joseph, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326574 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Director Commercial Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gulick, David, E,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206308 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326575 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director New Products** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206629 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Goaling Design and Analytics **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327342 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 507.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haberthur, Charles, L, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206309 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SPEC - SAN ANTONIO W TX Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 262.50 Other (specify) 58.66 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hagan, Laura, A, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206313 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Clinical Disclosure Office Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hagan, Laura, A, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326580 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Clinical Disclosure Office Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 253.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hallen, Paul, R., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206646 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head VITRet & Glaucoma Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) 38.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hawkins, Adrian, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326595 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2205823 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Public Health Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 735.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327344 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Public Health Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Henderson, Richard, N., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326601 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hilkert, Robert, J, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206662 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Clinical Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 484.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hilkert, Robert, J., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326607 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Clinical Head Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 56.16 SUBTOTAL of Receipts This Page (optional).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205826 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Insurance Exchange Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327347 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Insurance Exchange Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 660.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hughes, Gene, M, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206085 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 252.00 Other (specify) 72.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name lartchouk, Oleg, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206528 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 260.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Director Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jarvis, Edgar, L, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206094 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 Sr ABL - HOUSTON Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 339.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Jarvis, Edgar, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326628 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 16.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL - HOUSTON Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 355.30 Other (specify) 292.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jeffers, Mark, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206651 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Srg Sls Trng Global Fran Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jeffers, Mark, J,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326799 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 211.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jennings, Kathryn, E, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326629 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Pharmaceuticals** Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 28.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jesudas, Dinesh, C,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206529 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Data Engineer **NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jesudas, Dinesh, C,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326777 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Principal Data Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Johngrass, James, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326630 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 101.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - PHOENIX W AZ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 201.00 Other (specify) 121.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Bruce, S,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326631 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology GI Mktg Franchise Hd Malignant Hemat Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Heather, L, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2326632 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regional Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 203.06 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Joines, Mark, A, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326637 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Inflammatory Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 214.60 Other (specify) 33.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jones, Heather, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206105 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jones, Heather, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326640 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City State Zip Code Transaction ID: A2017-2206109 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Glb Head of Bus. Exc & Oper Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326644 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Glb Head of Bus. Exc & Oper Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kameros, Dean, B,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2205829 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Lead Incentive Comp and Awards Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kameros, Dean, B, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327350 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NBS Lead Incentive Comp and Awards Receipt For: Aggregate Year-to-Date ▼ Primary General 265.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2205830 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Heath Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1617.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kan, Sarah, G,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327351 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Heath Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1694.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kapin, Michael, A, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206111 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Global Medical Writing Receipt For: Aggregate Year-to-Date ▼ Primary General 201.81 Other (specify) 163.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kendris, Thomas, N,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206601 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327196 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1015.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kettler III, Edward, W., Date of Receipt Mailing Address 6201 South Freeway 13 2017 City State Zip Code Transaction ID: A2017-2205831 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Domain Architect Generics & Biosimilar Receipt For: Aggregate Year-to-Date ▼ Primary General 251.60 Other (specify) 113.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leslie, Vinson, G, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326694 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lindemon, Susan, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206165 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lindemon, Susan, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326701 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 91 OF 168 Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lund, Paul, O, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206542 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir Glb Ops Excellence Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 218.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lund, Paul, O, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326809 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 229.24 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lusso, Steven, J., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205887 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00

federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 272.04 Other (specify) 41.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 FEC Schedule A (Form 3X) Rev. 06/2016

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MacKay, Kimberly, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2205838 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Legal and Compliance **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. MacKay, Kimberly, A, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326818 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Head Legal and Compliance Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 253.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mandala, John, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326861 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Lead Specialty Channels Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mc Leer, Arlene, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327142 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Junior Global Regulatory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McBride, Catharine, M, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2205840 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1050.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McBride, Catharine, M, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326820 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 115.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McBurney, Christopher, R,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327143 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Breast Sales** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206546 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon SVP Global Mfg & Tech Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2422.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGough, Edward, D., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327095 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 2538.36 Other (specify) 239.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205841 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326821 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McKenna, Edward, J., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205920 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 113.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKenna, Edward, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327147 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McNulty, Bruce, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2205921 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR ABL - Boston Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McNulty, Bruce, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327148 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR ABL - Boston Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) 43.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Meakim, James, T,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2205922 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma Sr Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Meyer, Stephanie, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2205928 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 ABL I - Pittsburgh Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Meyer, Stephanie, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327154 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 ABL I - Pittsburgh Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) 230.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Millard, Susan, J, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206547 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head HR Alcon R&D Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Millard, Susan, J,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327096 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head HR Alcon R&D Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Miller, Donald, J., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205931 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Donald, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327157 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Minetto, Alejandro, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206548 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon **ERP Finance Leader** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 201.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Minetto, Alejandro, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327097 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ERP Finance Leader** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) 30.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moore, Kenneth, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2205940 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2205941 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Resp Integrated Account Spec Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 423.03 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327166 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.18 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Resp Integrated Account Spec Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 444.21 Other (specify) 242.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Murthy, Narashima, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-2205846 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Enterprise Application Archite** US Novartis Services Inc Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Murthy, Narashima, , , Date of Receipt Mailing Address 608 Fifth Avenue 10 2017 City State Zip Code Transaction ID: A2017-2326826 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Novartis Services Inc **Enterprise Application Archite** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Myrie, Donna, H, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205955 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Dir Strategic Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 540.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myrie, Donna, H,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327355 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Associate Dir Strategic Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Naessens, Robert, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2205956 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 EXEC SPEC - SAGINAW MI Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Naessens, Robert, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327356 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 EXEC SPEC - SAGINAW MI Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-2326829 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1998.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Olmstead, Sharon, N,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206333 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 484.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Olmstead, Sharon, N., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327376 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 146.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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۹.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C, ,					Date of Receipt							
	Mailing Address One Health Plaza					10 13 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y							
1.			State Zip Code			Transaction ID : A2017-2205851							
	East Hanover	NJ 07936 C Occupation (for Individual)				Amount of Each Receipt this Period							
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	Primary General				7								
	Other (specify) ▼	2310.00											
	Full Name of Individual (Last, First, Middle Initial)	or Full O	rganization	Name									
3.	Phipps, Candice, C, ,				Date of	f Receipt							
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	Primary General	nggregate	Total to Da										
	Other (specify) ▼		,	2420.00	4								
- С.	Full Name of Individual (Last, First, Middle Initial) Pierson, Bradley, J, ,	or Full O	rganization	Name		Date of	f Receipt						
	Mailing Address One Health Plaza					10	13)17				
	City	State	Zip Co			Trans	saction ID:	2017-2206	357				
	East Hanover	NJ	0793	6		Amoun	t of Each Re	ceipt this F	Period				
	FEC ID number of contributing federal political committee.	C				10.00							
	Name of Employer (for Individual)	Occi	Occupation (for Individual)			М	emo Item						
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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2205993 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327241 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **VP Communications** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1100.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Praeger, Lisa, M, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206550 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Head US Customer Operations Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) 115.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rouyer, Marc, G, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206583 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 441.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rouyer, Marc, G,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327134 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Principal Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 462.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ryan, Alan, D., Date of Receipt Mailing Address One Health Plaza 13 2017 City State Zip Code Transaction ID: A2017-2205996 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 62.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Soules, Shane, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206559 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Regional Service Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Soules, Shane, A,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327109 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Regional Service Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Spelta, William, S., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206437 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD-Regional Acct. Management Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) 44.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stamatis, Demetre, A,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206443 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Reg Affairs-TA Asc Dir Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stamatis, Demetre, A, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327328 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Reg Affairs-TA Asc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 220.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stanley Jr., Danney, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327184 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Mfg Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stecher, Donald, E, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205863 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stecher, Donald, E, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326903 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 253.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stehle, Philip, G., Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206560 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir Glb Ops Excellence Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 32.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stillwell, Matthew, C, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327336 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Strategic Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stricker, Edson, J, , Date of Receipt Mailing Address 6201 South Freeway 10 2017 City State Zip Code Transaction ID: A2017-2206590 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Mgr Materials Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 242.13 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stricker, Edson, J., Date of Receipt Mailing Address 6201 South Freeway 2017 City State Zip Code Transaction ID: A2017-2327185 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Mgr Materials Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) 32.29 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-2205866 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Strategic Alliance Dev. Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 10 2017 City State Zip Code Transaction ID: A2017-2326906 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Strategic Alliance Dev. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 270.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sullivan, Jessica, L, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206458 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Account Manager-Academic Develop Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Troisi, Brian, L,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326911 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** IT Security Operations Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Trout, Gretchen, S,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206487 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head North America Policy & FDA Liais Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Trout, Gretchen, S., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326737 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head North America Policy & FDA Liaiso Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 41.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tuffin, Nancy, N,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2206561 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Health Policy/Govt Rel Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 242.13 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tuffin, Nancy, N, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2327111 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head Health Policy/Govt Rel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 253.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Urban, Thomas, A, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206489 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 18.44 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ExecRespiratorySalesSpecialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 366.11 Other (specify) 41.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2205872 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Quality Ext Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326912 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Quality Ext Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vander Veen, Edward, S, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206495 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Commercial Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vander Veen, Edward, S, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326745 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Commercial Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vanhaecke, Erwin, , , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID : A2017-2327112 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon IACH-Head NVS Group Qlty Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206002 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Services Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1617.00 Other (specify) 115.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327250 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Service Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1694.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Voegtli, William, W,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206497 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 294.84 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Voegtli, William, W., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326747 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Reimbursement Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) 105.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Volk, Christen, L, , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206499 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Director National Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Volk, Christen, L,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326749 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Director National Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vollert-Parrotto, Denise, A, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326913 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** US Finance PLS Head Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 40.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilkinson, Erik, A,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206510 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma MS Exec Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 262.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wilkinson, Erik, A, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326760 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Exec Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 275.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2205875 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) 55.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326915 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Williams, Kenneth, K, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206593 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Sr. Principal Scientist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 201.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Kenneth, K., Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327188 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Principal Scientist Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) 49.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilshire, Karen, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326765 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncology Sales Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 10 2017 City State Zip Code Transaction ID: A2017-2206003 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Couns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1050.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-2327251 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Counse Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 109.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wyble, Christine, D,, Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206519 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glbl Hd of FME Digital Med Info & Com Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wyble, Christine, D,, Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2326768 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Glbl Hd of FME Digital Med Info & Com Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 253.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zhang, Fan, , , Date of Receipt Mailing Address One Health Plaza 13 2017 City Zip Code State Transaction ID: A2017-2206595 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 32.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zhang, Fan, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2327190 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zuluaga, Juan, C, , Date of Receipt Mailing Address One Health Plaza 10 2017 City State Zip Code Transaction ID: A2017-2206525 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular ABL Central NJ Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 441.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zuluaga, Juan, C, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-2326774 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular ABL Central NJ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 51.62 SUBTOTAL of Receipts This Page (optional)..... 12081.77 TOTAL This Period (last page this line number only).....

	CHEDULE B (FEC Form 3X)			DR LINE NUMBER: PAGE 165 OF 168							
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	for commercial purposes, other than using the name										
$\overline{\ }$	NAME OF COMMITTEE (In Full)										
/	Novartis Corporation Political Actio	n Comm	ittee								
Λ.	Full Name (Last, First, Middle Initial)	D.4.0			Data	. Dialaumaan					
Α.	Republican Main Street Partnership		f Disburser								
	Mailing Address 1300 Pennsylvania Ave. NW Box 1	10	7 1 1 1 1 1 1								
	City	State	Zip Code		FFC Id	entification	Number				
	Washington	DC	20004				Trainboi				
	Purpose of Disbursement Contribution			011		C C00165159					
	Candidate Name		I			Transaction ID : B640400					
				Category/ Type	Amoun	t of Each L	Jisbursem	ent this Pe	riod		
	Office Sought: House Disburser	ment For: 2	017		$\exists \vdash \vdash$			5000.00			
		Primary	General			,	,				
		Other (spec			Me	mo Item					
	State: District: Full Name (Last, First, Middle Initial)		Not Applicable		+-						
В.	Bill Flores for Congress				Date of	f Disburser	nent				
	Dill 1 lores for congress	M = M	M M / D D / Y Y Y Y								
	Mailing Address 412 S. Capitol St. SE Suite B				10	10 26 2017					
	,	State DC	Zip Code 20003		FEC Id	FEC Identification Number					
	Washington Purpose of Disbursement										
	Contribution			011	C C00472241 Transaction ID : B668228 Amount of Each Disbursement this Period						
	Candidate Name			Category/							
	Flores, Bill, , ,			Type		1000.00					
		ment For: 2				1000.00					
		Primary Other (spec	General			п.,					
	State: TX District: 17	C.I.O. (OPCO	,,		Me	mo Item					
	Full Name (Last, First, Middle Initial)										
C.	Billy Long for Congress				Date of	f Disburser	nent				
	Mailing Address 400 C Capital Ct CW Cuita 400				10	7 D 1 26		2017	1		
	Mailing Address 499 S. Capitol St. SW Suite 420				10	20		2017			
	City	State	Zip Code		EEC Id	entification	Number				
	Washington	DC	20003								
	Purpose of Disbursement Contribution			011		C0046006					
	Candidate Name		I			ansaction I		238 ient this Pe	riod		
	Long, Billy, , ,			Category/ Type	Amoun	t of Lacif L	JISDUI SEITI	ient tins i e	iiou		
	Office Sought: House Disburser	ment For: 2	018			7		1000.00			
		Primary	General								
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	CHEDULE B (FEC Form 3X)	Use senar	rate schedule(s)	FOR LINE N	PAGE 166 OF 168				
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\rangle	NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	n Comm	ittee						
_	Full Name (Last, First, Middle Initial)				Data of Dishamana				
Α.	Blue Power PAC								
	Mailing Address PO Box 8846				10 26	2017			
	,	State NJ	Zip Code 08108		FEC Identification Nu	mber			
	Collingswood Purpose of Disbursement	INJ	08108		C C00575894				
	Contribution			011		B668364			
	Candidate Name			Category/					
	Office Sought: House Disburser	ment For: 20	017	Туре		500.00			
		Primary	General		7	7 7			
		Other (speci			Memo Item				
	State: District: Full Name (Last, First, Middle Initial)		Not Applicable						
В.	DelBene for Congress				Date of Disbursement	t			
					M = M / D = D	/ Y Y Y Y			
	Mailing Address 499 S. Capitol St. SW Suite 422				10 26	2017			
	City Swashington	State DC	Zip Code 20003		FEC Identification Nu	mber			
	Purpose of Disbursement		20000		C C00459099				
	Contribution			011		B668225			
	Candidate Name DelBene, Suzan, K, ,			Category/ Type	Amount of Each Disb	ursement this Period			
		ment For: 2	018	Турс		1000.00			
		Senate Primary General							
	State: WA District: 01	Other (speci	ify)		Memo Item				
	Full Name (Last, First, Middle Initial)				_				
C.	Engel for Congress				Date of Disbursement	t			
	Mailing Addross 28 hay Street SE				M M / D D	7 Y Y Y Y Y Y 2017			
	Mailing Address 38 Ivy Street SE				Date of Disbursement Date of Disbursement C C00575894 Transaction ID: B668364 Amount of Each Disbursement this Period Date of Disbursement C C00459099 Transaction ID: B668225 Amount of Each Disbursement this Period Date of Disbursement Date of Disbursement this Period Tooo.00 Memo Item Date of Disbursement Memo Item Date of Disbursement Memo Item Date of Disbursement Memo Item Date of Disbursement In: Period Tooo.00 Memo Item				
	,	State	Zip Code		FEC Identification Nu	mber			
	Washington Purpose of Disbursement	DC	20003		C C00236513				
	Contribution			011	011				
	Candidate Name			Category/					
	Engel, Eliot, L, , Office Sought: March Disburser	ment For: 20		Туре		1000.00			
		Primary	General			4-1-4-1			
	President	Other (speci	ify) ▼		Memo Item				
	State: NY District: 16								
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NAME OF COMMITTEE (In Full)								
Novartis Corporation Political Action	n Comm	ittee						
Full Name (Last, First, Middle Initial)								
^{A.} Friends of Erik Paulsen				Date of Disbursen	nent			
Mailing Address 1006 Pendleton Street				10 26 2017				
City	State	Zip Code		FEC Identification	Number			
, nonarara	VA	22314		TEO Identification	Number			
Purpose of Disbursement Contribution			011	C C0043966	1			
Candidate Name				Transaction I				
Paulsen, Erik, , ,			Category/ Type	Amount of Each L	Disbursement this Period			
	nent For: 20	018		1000.00				
	Primary	General						
	Other (speci	ify) ▼		Memo Item				
State: MN District: 03 Full Name (Last, First, Middle Initial)								
B. Gene Green Congressional Campa	aian			Date of Disbursen	nent			
Gene Green Gongressional Gampe	aigi i			M = M / D = D / Y = Y = Y				
Mailing Address PO Box 16128	10 26 2017							
,	State	Zip Code		FEC Identification	Number			
Houston Purpose of Disbursement	TX	77222		C C00254185				
Contribution			011					
Candidate Name			Category/	Transaction II Amount of Each D	D: B668224 Disbursement this Period			
Green, Raymond Gene, , ,			Type	1500.00				
	nent For: 2							
	Primary Other (speci	General						
State: TX District: 29	Cirioi (opool	,		Memo Item				
Full Name (Last, First, Middle Initial)								
C. Manchin for West Virginia				Date of Disbursen	nent			
M. W. Ald				M = M / D = D				
Mailing Address 660 Pennsylvania Ave. SE Ste. 201				10 26	2017			
City	State	Zip Code		FEC Identification	Number			
Washington	DC	20003			Number			
Purpose of Disbursement Contribution	011	C C00486563	3					
Candidate Name	Candidate Name							
Manchin, Joseph, , ,	Category/							
Office Sought: House Disbursem			1000.00					
	Primary	General			,			
	Other (speci	ify) 🔻		Memo Item				
State: WV District:								
SUBTOTAL of Disbursements This Page (optional)			··········· <u></u>	7	3500.00			
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s	FOR LINE NUMBER: PAGE 168				
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or for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full)	le and address of any polit	icai committee to	solicit contributions from such committee.			
Novartis Corporation Political Action	n Committee					
Full Name (Last, First, Middle Initial)			B (B)			
A. Marc Veasey Congressional Camp	aign Cmte		Date of Disbursement			
Mailing Address 499 S. Capitol St. SW Suite 422			10 26 2017			
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20003		0.00500000			
Contribution		011	C C00506832			
Candidate Name		Category/	Transaction ID: B668227 Amount of Each Disbursement this Period			
Veasey, Marc, , ,		Type	1000.00			
0	nent For: 2018 Primary General		1000.00			
	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial)						
B. Martin Heinrich for Senate			Date of Disbursement			
Mailing Address 660 Pennsylvania Ave. SE			10 26 2017			
City	State Zip Code		CC Identification Number			
· · · · · · · · · · · · · · · · · · ·	DC 20003		FEC Identification Number			
Purpose of Disbursement Contribution		011	C C00434563			
Candidate Name			Transaction ID: B668226			
Heinrich, Martin, T, ,		Category/ Type	Amount of Each Disbursement this Period			
	nent For: 2018		1000.00			
	Primary General					
State: NM District:	Other (specify)		Memo Item			
Full Name (Last, First, Middle Initial)						
C. Pascrell for Congress			Date of Disbursement			
Mailing Address 38 Ivy Street SE	Mailing Address 38 Ivy Street SE					
City	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20003					
Contribution		011	C C00313510			
Candidate Name		Category/	Transaction ID: B668223 Amount of Each Disbursement this Period			
Pascrell, William, J, , Jr.		Type	1000.00			
	Conste					
<u> </u>	Primary General Other (specify) ▼		П м			
State: NJ District: 09	· · · · · · · · · · · · · · · · · · ·		Memo Item			
SUBTOTAL of Disbursements This Page (optional)			3000.00			
COLINE OF DISSURGEMENTS THIS I age (optional)			7 7 7			
TOTAL This Period (last page this line number only).			16000.00			