

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Novartis Corporation Political Action Committee

ADDRESS (number and street) ▼

701 Pennsylvania Ave. NW Suite 725

☐ Check if different than previously reported. (ACC)

Washington

DC

20004-2608

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00033969

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☒ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Shawn O'Neil

Signature of Treasurer

Shawn O'Neil

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M M	/	D D	/	Y Y Y Y Y
10		01		2015

To:

M M	/	D D	/	Y Y Y Y Y
10		31		2015

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <div>Y Y Y Y Y 2015</div>		<div>193839.62</div>
(b) Cash on Hand at Beginning of Reporting Period.....	<div>117854.31</div>	
(c) Total Receipts (from Line 19)	<div>25731.52</div>	<div>214159.90</div>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<div>143585.83</div>	<div>407999.52</div>
7. Total Disbursements (from Line 31).....	<div>14543.04</div>	<div>278956.73</div>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	<div>129042.79</div>	<div>129042.79</div>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<div>0.00</div>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<div>0.00</div>	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y
10 01 2015

To:

M M / D D / Y Y Y Y Y
10 31 2015
I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

17036.45

78416.74

(ii) Unitemized

8695.07

131493.16

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

25731.52

209909.90

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

25731.52

209909.90

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

4250.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

25731.52

214159.90

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

25731.52

214159.90

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	43.04	556.73
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	43.04	556.73
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	13500.00	271500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	1000.00	6900.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	14543.04	278956.73
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	14543.04	278956.73

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	25731.52	209909.90
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	25731.52	209909.90
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	43.04	556.73
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	43.04	556.73

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert E Ackerman Jr.

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

US Country Head REFS

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400222

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Imran Ahmed

Mailing Address 6201 South Freeway

City

Fort Worth

State

TX

Zip Code

76134-2001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Head Pharmaceutics

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2399943

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

C. Laman Alani

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

VP Pharma Product & Tech Dev

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

338.36

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2399917

Amount of Each Receipt this Period

46.14

SUBTOTAL of Receipts This Page (optional)..... ►

109.59

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 OF 112

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas Algozzine

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regl Acct MSL Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400667

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Heather R Anderson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400615

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. Gary J Appio

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head US Safety Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400218

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional)..... ►

116.55

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 8 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Brad Arlett

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Director BIM

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400604

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Andrew Arline

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Cardiovascular ABL Detroit MI area

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400411

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

C. Jeanne E Baker

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Business Leader II

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400102

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

103.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Banko

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals AD IT Service Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400277

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Pierre R Barbier

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Alcon Research Head IOL Design Control

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399928

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

C. Susana V Barkhausen

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Sr Cardiovascular Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400131

Amount of Each Receipt this Period

45.00

SUBTOTAL of Receipts This Page (optional)..... ►

143.07

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Dimitrios Barlos

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400668

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Michael C Barninger

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Strategy & Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400416

Amount of Each Receipt this Period

51.00

Full Name (Last, First, Middle Initial)

C. Neilda A Baron

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Medical Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400595

Amount of Each Receipt this Period

150.00

SUBTOTAL of Receipts This Page (optional)..... ►

246.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 11 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Tracy L Baroni Allmon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Director Health Policy

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1320.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400605

Amount of Each Receipt this Period

180.00

Full Name (Last, First, Middle Initial)

B. Michael J Billings

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Heart Failure Comms Lead/BU Liaiso

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

278.10

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400418

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. Edward J Blair

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Director Product Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400214

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

259.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER:
 (check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lisa M Blizzard

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Consumer Marketing

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400419

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Mojtaba Bonakdar

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Hd R & D Analytical Chemistry

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.66

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399873

Amount of Each Receipt this Period

34.59

Full Name (Last, First, Middle Initial)

C. Troy L Borill

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Oncology Specialist

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

432.79

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400374

Amount of Each Receipt this Period

59.52

SUBTOTAL of Receipts This Page (optional).....▶

128.73

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X)**ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 13 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Daniel S Bortfeld

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Staffing Operations

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400108

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Michael D Brooks

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ASM II/Sr Oncol Area Sales Mgr

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400134

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

C. Thomas R Brunner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IT Expert 1

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

770.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400425

Amount of Each Receipt this Period

105.00

SUBTOTAL of Receipts This Page (optional)..... ►

199.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew H Buckley

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Regional Dir Acct Management

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399998

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Mayumi K Buckoski

Mailing Address 475 Green Oaks Parkway

City State Zip Code
 Holly Springs NC 27540

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Vaccines & Diagnostics Associate Director Supply Chain

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400726

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. John S Burke

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Respiratory Account Manager I

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400281

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

100.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 15 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard Burns

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400028

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

B. Steven J Busch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400541

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Angela D Bylancik

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir BD&L Alliance Mgmt

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400103

Amount of Each Receipt this Period

150.00

SUBTOTAL of Receipts This Page (optional)..... ►

219.24

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy S Byler

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

395.78

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400172

Amount of Each Receipt this Period

63.00

Full Name (Last, First, Middle Initial)

B. Kimberley J Campbell

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

MSL Director Oncology

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

325.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400724

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

C. Patricia A Cannon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State Health Policy

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

770.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400658

Amount of Each Receipt this Period

105.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

243.00

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Charles C Carter

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Mgr Logistics Plng & Field Skills Trng

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400428

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Daniel P Casserly

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Head of Fed Government Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3046.12

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400146

Amount of Each Receipt this Period

415.38

Full Name (Last, First, Middle Initial)

C. John H Caywood Jr. Jr.

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Government Pricing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399868

Amount of Each Receipt this Period

28.83

SUBTOTAL of Receipts This Page (optional)..... ►

474.21

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 18 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Cynthia C Cetani

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Chf Compliance Offcr/U.S. Hd

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400429

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. James E Chastain

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Head of Ocular PK/Disposition

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399885

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

C. Barbara Christensen-Boner

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State&External Affrs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

649.01

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400046

Amount of Each Receipt this Period

88.95

SUBTOTAL of Receipts This Page (optional)..... ►

187.05

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 19 OF 112

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew D Civiello

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD Regional Strategy Lead

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400537

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Sean T Clark

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head US Marketing Surgical

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399831

Amount of Each Receipt this Period

90.00

Full Name (Last, First, Middle Initial)

C. Cathryn M Clary

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head US CDMA

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400659

Amount of Each Receipt this Period

300.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

417.69

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 20 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Noelle L Cloud Dugan

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

VP Govt. Affr. & Public Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399972

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

B. Stephen R Cofone

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Strategic Programs & Roadmaps Lead

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400644

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

C. Julie A Collins

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Director Digital Mktg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1015.30

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399832

Amount of Each Receipt this Period

138.45

SUBTOTAL of Receipts This Page (optional)..... ►

363.45

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Scott G Colpitts

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head of Facilities & Utility Maint. (A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400662

Amount of Each Receipt this Period

66.00

Full Name (Last, First, Middle Initial)

B. Matt Compton

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Oncology Portfolio Account Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400703

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. William W Conkling

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Pricing Access & Control

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400432

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

121.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER: PAGE 22 OF 112
 (check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael A Conley

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exe Dir Account Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400181

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Joseph J Conoshenti

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managed Care Marketing - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400670

Amount of Each Receipt this Period

90.00

Full Name (Last, First, Middle Initial)

C. Burt Cook

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Respiratory SalesSpecialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400337

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

186.93

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Seth Coombs

Mailing Address 350 Massachusetts Avenue

City	State	Zip Code
Cambridge	MA	02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Executive Director Oncology Injectable

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1015.30

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400719

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

B. Mary E Corcoran

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Scientific Associate Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400433

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Eric C Couture

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Regulatory C&G TU

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400571

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional).....▶

226.14

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David C Creedon

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir BIM

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400617

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Rhonda N Crichlow

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

US VP Diversity

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400516

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. David L Crippen

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Reimbursement Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400671

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

109.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas G Cullen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Advisor Scientific

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400717

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Spencer E Davidson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400093

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Jeanmarie Davis

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Mgr Global GPD Technical

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399921

Amount of Each Receipt this Period

28.83

SUBTOTAL of Receipts This Page (optional)..... ►

91.14

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Timothy K De Valroger

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD Product Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400436

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Terry H Deason

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

National Acct Scientific Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400650

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

C. R. R Deck

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Senior Dir. Government Contracts

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400727

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

122.31

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Clinton D Degner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Regional Account Manager

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400075

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Joanne Del Rio

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Facilities Sr. Specialist

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400242

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. Lynne M DeLisi

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Pharmaceutical Counsel

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400532

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

103.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Randal Dias

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Gbl CoE Head for Design&Const Mgt NBS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400232

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Dwayne T Dixon

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Director Customer Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399907

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

C. Alastair M Douglas

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head US Training Surgical

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399901

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

98.10

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kent Douglas

Mailing Address 5984 Tripperary Drive

City State Zip Code
Plano TX 75093

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Segment Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400729

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Monica M Dunn

Mailing Address 475 Green Oaks Parkway

City State Zip Code
Holly Springs NC 27540

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Global Bus. Ops - MS&T

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400728

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. David M Eberenz Jr.

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Cardiovascular Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400165

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional)..... ►

116.55

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Fred Ellis

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Professional Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

338.36

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 30 2015

Transaction ID : A2015-2399863

Amount of Each Receipt this Period

46.14

Full Name (Last, First, Middle Initial)

B. Michael R Emch

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

308.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 30 2015

Transaction ID : A2015-2400012

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

C. David R Epstein

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Head Pharma AG

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 30 2015

Transaction ID : A2015-2400247

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

188.26

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 31 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Judith J Ewalt

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400155

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Michael E Fairchild

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

IRIS Area Bus. Deployment Head

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

338.36

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399878

Amount of Each Receipt this Period

46.14

Full Name (Last, First, Middle Initial)

C. Leo A Farber

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Exec Dir Fed Govt Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400690

Amount of Each Receipt this Period

150.00

SUBTOTAL of Receipts This Page (optional)..... ►

230.76

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas S Fellers

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Medical Account Management & FME

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400309

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

B. James E Foley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

GMA Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400301

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. Andrew T Footo

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager I

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400343

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

212.31

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew C Foster

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dermatology Sr. Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400149

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Anna M Frable

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Comm NPC CountryCommhead

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400240

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

c. Jon S Freeland

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Dir. Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400651

Amount of Each Receipt this Period

37.50

SUBTOTAL of Receipts This Page (optional)..... ►

99.81

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 34 OF 112

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert M Freeman

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Head Manufacturing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2399833

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Debra E Freire

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Advocacy & Ext Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

484.68

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400275

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Neely T Frye

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1379.70

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400192

Amount of Each Receipt this Period

189.27

SUBTOTAL of Receipts This Page (optional)..... ►

280.43

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jill H Gaither

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400311

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Edward G Gajewski

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Exec Dir National & Regional Accounts

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400101

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

C. Carolyn A Geier

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Senior Cardiovascular Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400439

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.62

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael L Gentry

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP IT Division Head

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399870

Amount of Each Receipt this Period

36.00

Full Name (Last, First, Middle Initial)

B. Shaun L Gentry

Mailing Address 6201 South Freeway

City State Zip Code
Fort Worth TX 76134-2001

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Group Dir National Accounts

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399853

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

c. Deidre T George

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400693

Amount of Each Receipt this Period

63.00

SUBTOTAL of Receipts This Page (optional)..... ►

127.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 37 OF 112

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lisa M Goldman

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Regulatory Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400631

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

B. Mindy H Goss

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc. Dir. Onc. Business Unit A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400441

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

c. Christopher K Grady

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Regional Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.66

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399854

Amount of Each Receipt this Period

34.59

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

229.59

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 38 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Nancy J Grande

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Proc Improv & Compliance IMS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400531

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

B. Benjamin A Grossman

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Area Sales Manager I

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400444

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Harold R Grossmann

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Real Estate Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400246

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

210.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kris Grzegorzewski

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Onco Ex Dir Clinical Res Phys

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

880.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400609

Amount of Each Receipt this Period

120.00

Full Name (Last, First, Middle Initial)

B. Joseph M Guidi

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Commercial Strategy

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400592

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

C. Joseph Guido

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IT Head of Operations NBS

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400445

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

210.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 40 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David E Gulick

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Startegic Market Acc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400060

Amount of Each Receipt this Period

90.00

Full Name (Last, First, Middle Initial)

B. Kurt Habel

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Incentive Modeling/Des

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400220

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

c. Charles L Haberthur

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Cardiovascular Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.60

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400082

Amount of Each Receipt this Period

37.50

SUBTOTAL of Receipts This Page (optional)..... ►

196.74

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 41 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. James P Hafner

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Business Leader II

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400632

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

B. Laura A Hagan

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Clinical Disclosure Office

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400601

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. Paul R Hallen

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Global Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

338.36

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2399864

Amount of Each Receipt this Period

46.14

SUBTOTAL of Receipts This Page (optional)..... ►

122.31

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 42 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sarah E Haller

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Intl Public Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1694.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400179

Amount of Each Receipt this Period

231.00

Full Name (Last, First, Middle Initial)

B. Thomas E Harper

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

National Leader - Account Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400145

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Kay Harris

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Global Graphics

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399939

Amount of Each Receipt this Period

28.83

SUBTOTAL of Receipts This Page (optional)..... ►

287.52

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 43 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Veronica Harris

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD Product Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400596

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Rachel S Hartman

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Mgr Srg Sls Trng Global Fran

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399894

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

C. Sherri J Hatch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Scientific Associate Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400448

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

91.17

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kathy-Jo B Hayden

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Public Health Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

745.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400196

Amount of Each Receipt this Period

105.00

Full Name (Last, First, Middle Initial)

B. Sarah Hersey

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Global Program Diagnostic Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400683

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Robert J Hilkert

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Medical Unit Head Critcl Care

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400560

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

204.24

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William Hinshaw

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec VP Oncology US

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400577

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. William C Hokanson

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assc Dir Regl Bus Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400152

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. Xin Hong

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Research

Occupation

Head Optics COE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399898

Amount of Each Receipt this Period

28.83

SUBTOTAL of Receipts This Page (optional)..... ►

103.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeanne P Hood

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Assoc Dir IT - Software Dev

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399908

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

B. Otto C Horstmann II II

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Employee Benefits

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400293

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

c. Charles F Hough

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Head Strategy and Stakeholder Engageme

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400588

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional)..... ►

123.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 47 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Linda M Howe
 Mailing Address 350 Massachusetts Avenue
 350 MA # 254J

City	State	Zip Code
Cambridge	MA	02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Research

Occupation

Site Quality Head

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

213.18

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399846

Amount of Each Receipt this Period

29.07

Full Name (Last, First, Middle Initial)

B. Kirk R Huber

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

HR Business Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400239

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Donald Hughes

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Insurance Exchange Ext Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400701

Amount of Each Receipt this Period

90.00

SUBTOTAL of Receipts This Page (optional)..... ►

146.76

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gene M Hughes

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Regional Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400354

Amount of Each Receipt this Period

36.00

Full Name (Last, First, Middle Initial)

B. Melody Hughson

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Director Public Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400633

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

C. Edgar L Jarvis

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Cardiovascular Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

355.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400089

Amount of Each Receipt this Period

48.45

SUBTOTAL of Receipts This Page (optional)..... ►

234.45

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mark J Jeffers

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Srg Sls Trng Global Fran

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399884

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

B. Kathryn E Jennings

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Cardiovascular Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400453

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Jason Jimenez

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Hospital and Corp Accts

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399834

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

86.55

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bruce S Johnson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Marketing

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400016

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Heather L Johnson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of US Training

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2399825

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Sara Johnson-Davis

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400391

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

83.07

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mark A Joines

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Inflammatory Account Mgr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400136

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Deborah Juterbock

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Of Global Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400360

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. Tawfik Kamal

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IACH-Capability Bldg Academy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400254

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

112.31

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

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 (check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Dean B Kameros

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Mktg Res/Planning

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400581

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Michael A Kapin

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Mgr Clinical Data Mgmt

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399872

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

C. Erik L Karlsons

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MS Sr Area Business Leader

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400457

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional)..... ►

100.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Claudia M Kelly

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Market Access

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400725

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Sara Kelly

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head PMO - Transformational Projects

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399913

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Carey P Kelson

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Global Product Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399923

Amount of Each Receipt this Period

31.26

SUBTOTAL of Receipts This Page (optional)..... ►

93.57

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 54 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas N Kendris

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VPGeneral CounselINPCCountry

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1015.30

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400010

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

B. Edward W Kettler III

Mailing Address 6201 South Freeway

City

Fort Worth

State

TX

Zip Code

76134-2001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Assoc Dir IT

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.66

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399945

Amount of Each Receipt this Period

34.59

Full Name (Last, First, Middle Initial)

C. Michael C Kincaid

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Oncology Sales

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

308.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400459

Amount of Each Receipt this Period

42.12

SUBTOTAL of Receipts This Page (optional)..... ►

215.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 OF 112

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Shannon T Klinger

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Global Head Lgl & Gen Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1153.80

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400720

Amount of Each Receipt this Period

115.38

Full Name (Last, First, Middle Initial)

B. Robert W Kowalski

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Expat_CH_Global Head DRA

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

830.70

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400610

Amount of Each Receipt this Period

46.15

Full Name (Last, First, Middle Initial)

C. Kathleen M Kulesher

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc. Dir. State and External Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400675

Amount of Each Receipt this Period

75.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

236.53

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Keith A LaDue

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP IT Division Head

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.80

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400211

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Francis C Landrus

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Research

Occupation

Sr Project Manager II

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399866

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

C. Robert D Larsen

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Research

Occupation

Hd Process Dev & API

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399919

Amount of Each Receipt this Period

28.86

SUBTOTAL of Receipts This Page (optional)..... ►

80.77

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 57 OF 112

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Shawn Lavery

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Cardiovascular Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400227

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. LeighAnne A Leas

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Health Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1369.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400518

Amount of Each Receipt this Period

231.00

Full Name (Last, First, Middle Initial)

C. Richard E Lemire

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head of Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

951.72

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399996

Amount of Each Receipt this Period

129.78

SUBTOTAL of Receipts This Page (optional)..... ►

388.47

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Leslie E Lemke-Boutcher

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Sr Project Toxicologist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399891

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

B. Vinson G Leslie

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400460

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Richard Lloyd

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head US Oncology Mgd Markets & Mkt Acc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1015.30

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400589

Amount of Each Receipt this Period

138.45

SUBTOTAL of Receipts This Page (optional)..... ►

194.97

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)**ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 59 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffrey W Lockwood

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

NIBRI

Occupation

Head NIBR Communications

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399978

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Konstantine G Lolos

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

308.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400283

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

C. Donald R Losordo

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400302

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

139.05

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 60 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Frederic D Loveland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Director R&D Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400234

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Paul O Lund

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Assoc Dir Glb Ops Excellence

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399837

Amount of Each Receipt this Period

31.26

Full Name (Last, First, Middle Initial)

C. David T Mac Askill

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Hospital Executive Account Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400140

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

100.50

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 61 OF 112

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kimberly A MacKay

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Deputy Compliance Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400463

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. John Mandala

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Lead Specialty Channels

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400127

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Frank Maness

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP North Amer Cross Div HR Svc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

308.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400574

Amount of Each Receipt this Period

42.12

SUBTOTAL of Receipts This Page (optional)..... ►

104.43

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 62 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Frank Manolios

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400158

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Annette A Mansfield

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Account Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400263

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Sridhar V Mantha

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Qty SysStds and Aff QA

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2399914

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

107.31

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)**ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 63 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael G Marks

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head R and D QA Surgical

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399890

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

B. Penny A Marks

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400119

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Kerry L Markwardt

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Project Head IV - Pharma

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399861

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

93.45

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Charles J Marshall

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head Cataract Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2399879

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Julie Masow

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Global HeadOncology Public Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400590

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. William R Matthews

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

488.20

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400186

Amount of Each Receipt this Period

67.23

SUBTOTAL of Receipts This Page (optional)..... ►

136.47

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)**ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anne W Mauzy

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MSL Director

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400466

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Brian M Mayhew

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Regulatory Affairs

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400666

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Aaron A Mays

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Global Product Director Monofocal IOLs

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399897

Amount of Each Receipt this Period

28.86

SUBTOTAL of Receipts This Page (optional)..... ►

103.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen W Mc Laughlin

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Director Patient Marketing

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400083

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Arlene J Mc Leer

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Junior Global Regulatory Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400384

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. Catharine M McBride

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1073.05

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400130

Amount of Each Receipt this Period

150.00

SUBTOTAL of Receipts This Page (optional)..... ►

222.69

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christopher R McBurney

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Oncology Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400467

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Edward D McGough

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

SVP Global Mfg & Tech Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2538.36

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399869

Amount of Each Receipt this Period

346.14

Full Name (Last, First, Middle Initial)

C. Edward J McKenna

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Regional Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400549

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

415.38

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew A McNally

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400579

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Brian J McNamara

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

Head Europe and Americas

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1015.30

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399970

Amount of Each Receipt this Period

138.45

Full Name (Last, First, Middle Initial)

C. Kathy E Meissner

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Health Safety and Envi

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399922

Amount of Each Receipt this Period

28.83

SUBTOTAL of Receipts This Page (optional)..... ►

194.97

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gary J Menichini

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP/GM U.S. Pharmaceuticals

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2399830

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

B. Susan J Millard

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head HR Alcon R&D

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2399838

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

C. Donald J Miller

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Customer Mktg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400469

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

184.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Alejandro Minetto

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Finance Business Process Lead

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2399900

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

B. Stacey L Moore

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Resp Integrated Account Spec

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

401.02

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400115

Amount of Each Receipt this Period

54.90

Full Name (Last, First, Middle Initial)

C. Polly L Murphy

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

308.88

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400471

Amount of Each Receipt this Period

42.12

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

125.85

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Donna H Myrie

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Associate Director Contracting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400208

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

B. Alissa J Nagler

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals ED PAP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400352

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Jyun J Nakamura

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Strategic Account Mgr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400552

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

132.69

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Vasant K Narasimhan

Mailing Address 350 Massachusetts Avenue

City	State	Zip Code
Cambridge	MA	02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IACH-Head Gbl Dev

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

461.50

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400645

Amount of Each Receipt this Period

46.15

Full Name (Last, First, Middle Initial)

B. Mary E Naughton

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regulatory Affairs - TA Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400473

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Thomas B Neumeyer

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Associate II IPQA

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400722

Amount of Each Receipt this Period

36.00

SUBTOTAL of Receipts This Page (optional)..... ►

112.15

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 73 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. An V Nguyen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD Sr Application Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400523

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. James R Niebanck

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Strategy & Operations

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

308.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400184

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

C. Michael A Niesel

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dermatology Executive Specialist

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400475

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

104.43

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sharon L Nobles

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dermatology Executive Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400104

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Kevin A Nugent

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Head of Regulatory CMC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399941

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

c. Hugh M O'Dowd

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IAGB-CPO Head UK & Ireland

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.12

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400117

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

86.56

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER: PAGE 75 OF 112
 (check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Shawn O'Neil

Mailing Address 608 Fifth Avenue

City
New YorkState Zip Code
NY 10020FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Legislative Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1210.00

Date of Receipt

 M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400624

Amount of Each Receipt this Period

165.00

Full Name (Last, First, Middle Initial)

B. Shawn M O'Neil

Mailing Address One Health Plaza

City
East HanoverState Zip Code
NJ 07936FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir of Pharmaceutical Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.66

Date of Receipt

 M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2399876

Amount of Each Receipt this Period

34.59

Full Name (Last, First, Middle Initial)

C. Sharon N Olmstead

Mailing Address One Health Plaza

City
East HanoverState Zip Code
NJ 07936FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP GL.Hd Reg Policy & Intelli

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

 M M / D D / Y Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400637

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

268.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 76 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffrey S Osmundson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Lead Field Bus Relations Mgr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400068

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Craig S Osten

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Finance Corporation

Occupation

Vice President & Treasurer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400015

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

C. Serafina Oxner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Healthcare Contract Adm

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400289

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

166.17

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

 FOR LINE NUMBER:
 (check only one)

PAGE 77 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John M Pakulski

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Exec Director Regulatory Affai

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

969.15

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400716

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Joseph S Palumbo

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Org. Effectiveness

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400261

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. Melissa A Parker

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Dir Acct Management

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400313

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional).....▶

168.47

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 78 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bin Peng

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Of OTM China

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400611

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Bret D Peterson

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ExecRespiratorySalesSpecialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400379

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Efthimios Petroutsas

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400542

Amount of Each Receipt this Period

37.50

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

115.19

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 79 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Candice C Phipps

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Director Federal Government Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400684

Amount of Each Receipt this Period

300.00

Full Name (Last, First, Middle Initial)

B. Bradley J Pierson

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400653

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Elizabeth A Pinamonti

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400160

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

357.69

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 80 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bernard M Porter

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Dir Account Mgt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400288

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Elizabeth Power

Mailing Address 350 Massachusetts Avenue

City	State	Zip Code
Cambridge	MA	02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Dir. Global Media Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400646

Amount of Each Receipt this Period

90.00

Full Name (Last, First, Middle Initial)

C. Lisa M Praeger

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP Head US Sales & Mktg Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

338.36

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399865

Amount of Each Receipt this Period

46.14

SUBTOTAL of Receipts This Page (optional)..... ►

163.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 81 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kelly D Prather

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400370

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Jeffrey P Prejean

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Cardiovascular Sr. Area Business Leade

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400373

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. J. C Proctor

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400513

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

83.07

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 82 OF 112

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Eileen M Quinn

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400116

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Susan R Rendon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Total Global Rewards

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

202.02

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399905

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

C. Elizabeth Renz

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Communications for Biopharma

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400723

Amount of Each Receipt this Period

90.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

146.55

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 83 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Margaret Riccobono

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Associate Director Talent Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400714

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Edward C Richards

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

VP General Mgr Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

338.36

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399930

Amount of Each Receipt this Period

46.14

Full Name (Last, First, Middle Initial)

C. Sheila A Riddell

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Cardiovascular Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400190

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

108.45

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Keith C Riddiford

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head CS&O

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400142

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. David J Robertson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

GCM Wholesalers & Distribution

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399888

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

C. Renee C Rodgers

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Digital Strategy And Svc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

660.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400639

Amount of Each Receipt this Period

90.00

SUBTOTAL of Receipts This Page (optional)..... ►

146.55

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 85 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Laurie J Roemisch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400320

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Jason T Russell

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Business Relationship Mgr.

Receipt For:

☐ Primary
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

710.82

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400041

Amount of Each Receipt this Period

96.93

Full Name (Last, First, Middle Initial)

C. Alan D Ryan

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Dir. US Advocacy

Receipt For:

☐ Primary
☐ Other (specify) ▼
☐ General

Aggregate Year-to-Date ▼

415.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400708

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional)..... ►

184.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 86 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph M Ryan

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Therapeutic Area Head

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400655

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

B. Peter Rzewnicki

Mailing Address 350 Massachusetts Avenue
350 MA # 234F

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head of Pricing Strategy

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400715

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

c. Ahmad M Saad

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Principal Engineer Test

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2399929

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

219.24

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Harry J Sacks

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Medl & Scientific Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400661

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

B. Roger F Samartino

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Strategic Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400268

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Adwoa A Sanderson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Advocacy & Access

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400680

Amount of Each Receipt this Period

75.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

162.69

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 88 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Cristina L Santos

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Gbl Sales Excellence & Traini

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M	/	D D	/	Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400622

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Robert J Sardinha

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Dir Payor Mktg

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M	/	D D	/	Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400482

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Corey Schmidt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Director Labeling Specification

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M	/	D D	/	Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400512

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

85.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 89 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David A Schoening

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP Global Quality Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

338.36

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2399925

Amount of Each Receipt this Period

46.14

Full Name (Last, First, Middle Initial)

B. Nancy S Schwartz

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Managed Care Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2399963

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

C. Mark G Schweitzer

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Gbl Head Analytical Science & Technolog

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 30 / 2015

Transaction ID : A2015-2400689

Amount of Each Receipt this Period

150.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

224.97

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 90 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Russell E Seay Jr.

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regl Med Lead NS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400483

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. S. M Seeland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

RD MS Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400357

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

C. Dawn M Shaffer

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400125

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

107.31

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 91 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christi L Shaw

Mailing Address 44 Guinea Hollow Rd

City
Lebanon

State
NJ

Zip Code
08833

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

US Country President & President NPC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1320.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400625

Amount of Each Receipt this Period

180.00

Full Name (Last, First, Middle Initial)

B. Deborah B Shertzer

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Regulatory Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400485

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Sherrie L Simms

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Global HR Business Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400488

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

242.31

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

FOR LINE NUMBER: PAGE 92 OF 112

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph M Simon

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MS Exec Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

406.12

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400138

Amount of Each Receipt this Period

55.38

Full Name (Last, First, Middle Initial)

B. William E Simon

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Cardiovascular Sales Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400191

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. David P Sipe

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400169

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

110.76

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John G Slick

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Hospital Executive Account Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400336

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. James E Sluck

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir US Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.66

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2399875

Amount of Each Receipt this Period

34.59

Full Name (Last, First, Middle Initial)

C. Gregory R Slyfield

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED State & External Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

266.53

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400489

Amount of Each Receipt this Period

45.00

SUBTOTAL of Receipts This Page (optional)..... ►

107.28

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 94 OF 112
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen H Speares

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head Global Customer Excellence

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

307.80

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2399887

Amount of Each Receipt this Period

15.39

Full Name (Last, First, Middle Initial)

B. William S Spelta

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

308.88

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400030

Amount of Each Receipt this Period

42.12

Full Name (Last, First, Middle Initial)

C. Robert A Spurr

Mailing Address 608 Fifth Avenue

City State Zip Code
 New York NY 10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

US Co Head & VP Patient Access & Healt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 30 2015

Transaction ID : A2015-2400663

Amount of Each Receipt this Period

300.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

357.51

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 95 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Demetre A Stamatis

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Reg Affairs-TA Asc Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400681

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Danney M Stanley Jr.

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Mgr Materials

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399924

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Donald E Stecher

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400323

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

92.31

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Philip G Stehle

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Assoc Dir Glb Ops Excellence

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2399920

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

B. Ashley D Stephens

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Business Leader

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400340

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Donald P Stevens

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State&External Affrs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

761.64

Date of Receipt

10 / 30 / 2015

Transaction ID : A2015-2400078

Amount of Each Receipt this Period

103.86

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

160.41

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lesley J Stickley

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Regional Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400112

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Matthew C Stillwell

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

National Leader - Institute Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400084

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

C. Peter N Streit

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Professional Strat & Bus Developme

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400278

Amount of Each Receipt this Period

41.55

SUBTOTAL of Receipts This Page (optional)..... ►

103.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edson J Stricker

Mailing Address 6201 South Freeway

City

Fort Worth

State

TX

Zip Code

76134-2001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Sr Dir Engineering

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.66

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399946

Amount of Each Receipt this Period

34.59

Full Name (Last, First, Middle Initial)

B. John Suchorsky

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Demand Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400255

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

C. Thomas A Suter

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir State & External Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

611.56

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400593

Amount of Each Receipt this Period

90.00

SUBTOTAL of Receipts This Page (optional)..... ►

193.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sonya R Taylor

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Respiratory Sr. Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400496

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Gretchen S Trout

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head NA Policy & FDA Liaison

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400656

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Nancy N Tuffin

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head Health Policy/Govt Rel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.71

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399969

Amount of Each Receipt this Period

34.59

SUBTOTAL of Receipts This Page (optional)..... ►

92.28

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas A Urban

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ExecRespiratorySalesSpecialist

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

351.37

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400006

Amount of Each Receipt this Period

48.03

Full Name (Last, First, Middle Initial)

B. Lisa R Utt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Patient Services Liaison II

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

304.70

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400061

Amount of Each Receipt this Period

41.55

Full Name (Last, First, Middle Initial)

C. Jason Van Pelt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Exec Dir Fed Govt Affairs

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1386.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400691

Amount of Each Receipt this Period

231.00

SUBTOTAL of Receipts This Page (optional)..... ►

320.58

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 101 OF 112
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edward S Vander Veen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

North America Comm Officer

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400500

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Erwin Vanhaecke

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

IACH-Head NVS Group Qlty

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

230.80

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399899

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

C. William W Voegtli

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Reimbursement Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

308.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400057

Amount of Each Receipt this Period

42.12

SUBTOTAL of Receipts This Page (optional)..... ►

110.20

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christen L Volk

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. AD-National Accounts

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400657

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Denise A Vollert-Parrotto

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Business Planning &

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400501

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Matthew M von Wellsheim

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP IT & CIO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399871

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

144.24

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

 Use separate schedule(s)
 for each category of the
 Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert K Warner

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Global Franchise Head Vision Care

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399877

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Stephanie B Waugh

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Glb Mktg Dir Ext Eye Disease

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2399965

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

C. Randy S Wilbur

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Manager Process Excellence

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		30		2015

Transaction ID : A2015-2400304

Amount of Each Receipt this Period

27.69

SUBTOTAL of Receipts This Page (optional)..... ►

141.93

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edward S Williams

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400164

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Kenneth K Williams

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Sr Project Toxicologist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.42

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2399889

Amount of Each Receipt this Period

28.83

Full Name (Last, First, Middle Initial)

C. Brad C Willie

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Dir Medicaid/GPO Account

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400070

Amount of Each Receipt this Period

34.62

SUBTOTAL of Receipts This Page (optional)..... ►

91.14

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Karen M Wilshire

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

203.06

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400080

Amount of Each Receipt this Period

27.69

Full Name (Last, First, Middle Initial)

B. Amy B Wilson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Perf Mgmt. & Standards Lead

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400527

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Stephen A Woolford

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Business Plang & Analy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

507.76

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 30 / 2015

Transaction ID : A2015-2400287

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

126.93

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 106 OF 112

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christine D Wyble

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Gbl Hd Medical Info & Sci Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2400613

Amount of Each Receipt this Period

34.62

Full Name (Last, First, Middle Initial)

B. Fan Zhang

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Head PCS Lab Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

211.64

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	30	/	2015

Transaction ID : A2015-2399906

Amount of Each Receipt this Period

28.86

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

63.48

TOTAL This Period (last page this line number only)..... ►

17036.45

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

Novartis Corporation Political Action Committee

A. Capital One Bank

The image shows three 10-pin D-sub connectors. The first connector is labeled 'M10' and has two pins labeled 'M'. The second connector is labeled 'D26' and has two pins labeled 'D'. The third connector is labeled 'Y2015' and has four pins labeled 'Y'.

001

Category/
Type

State: District: Not Applicable

43.04

B.

Category/
TypeCategory/
Type

State: District:

C.

Category/
TypeCategory/
Type

State: District:

SUBTOTAL of Disbursements This Page (optional).....

43.04

TOTAL This Period (last page this line number only).....

43.04

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 108 OF 112

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Walters for Congress

Mailing Address P.O. Box 15239

City Washington	State DC	Zip Code 20003
--------------------	-------------	-------------------

Purpose of Disbursement
Contribution

Candidate Name

Mimi WaltersOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: CA District: 45

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		28		2015

Transaction ID : B586460

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Price for Congress

Mailing Address 412 S. Capitol St. SE Suite B

City Washington	State DC	Zip Code 20003
--------------------	-------------	-------------------

Purpose of Disbursement
Contribution

Candidate Name

Thomas E PriceOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: GA District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		28		2015

Transaction ID : B586569

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. Volunteers for Shimkus

Mailing Address 499 South Capitol St. SW Suite 420

City Washington	State DC	Zip Code 20003
--------------------	-------------	-------------------

Purpose of Disbursement
Contribution

Candidate Name

John M ShimkusOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: IL District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		28		2015

Transaction ID : B586458

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4000.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 109 OF 112

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Pat Roberts for U S Senate Inc

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Mailing Address 325 7th Street NW Suite 400

City	State	Zip Code
Washington	DC	20004

Purpose of Disbursement
Contribution

011

Transaction ID : B586457

Amount of Each Disbursement this Period

1000.00

Candidate Name

Pat RobertsCategory/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2020

☒ Primary ☐ General
☐ Other (specify) ▼

State: KS

District:

Full Name (Last, First, Middle Initial)

B. Guthrie for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Mailing Address PO Box 9639

City	State	Zip Code
Bowling Green	KY	42102

Purpose of Disbursement
Contribution

011

Transaction ID : B586568

Amount of Each Disbursement this Period

1000.00

Candidate Name

Brett GuthrieCategory/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

State: KY

District: 02

Full Name (Last, First, Middle Initial)

C. Pallone for Congress

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Mailing Address PO Box 3176

City	State	Zip Code
Long Branch	NJ	07740

Purpose of Disbursement
Contribution

011

Transaction ID : B586454

Amount of Each Disbursement this Period

500.00

Candidate Name

Frank Pallone Jr.Category/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2016

☒ Primary ☐ General
☐ Other (specify) ▼

State: NJ

District: 06

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 110 OF 112

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Tiberi for Congress

Mailing Address 217 3rd Street SE

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

Pat TiberiCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: OH District: 12

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Transaction ID : B586571

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Lone Star Leadership PAC

Mailing Address 217 Third Street SE

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

Category/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2015
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Transaction ID : B586570

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. Making America Prosperous PAC

Mailing Address PO Box 2485

City	State	Zip Code
Springfield	VA	22152

Purpose of Disbursement
Contribution

011

Candidate Name

Category/
Type

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2015
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Transaction ID : B586461

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 111 OF 112

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Burgess for Congress

Mailing Address 217 Third Street SE

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

Candidate Name

Michael C. Burgess

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: TX	District: 26

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Transaction ID : B586456

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Marc Veasey Congressional Campaign Cmte

Mailing Address PO Box 50084

City	State	Zip Code
Fort Worth	TX	76105

Purpose of Disbursement
Contribution

Candidate Name

Marc Veasey

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: TX	District: 33

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		28		2015

Transaction ID : B586459

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State:	District:

Disbursement For:
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00

13500.00
