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Image# 201511209003425816

FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FORIM 3X F	For Other Than	An Authorized	d Committe	e		Office Use Only	
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼		mple: If typin r the lines.	g, type	12FE4M5		
Novartis Corporation F	Political Action	Committee					
ADDRESS (number and street)	701 Pennsylvania	Ave. NW Suite 725	5				
Check if different than previously reported. (ACC)	Washington				DC	20004-2608	
2. FEC IDENTIFICATION NU	JMBER ▼	CITY 🛦		;	STATE A	ZIP CC	DDE 🛦
C C00033969		3. IS THIS REPORT	× (N	EW N) OR	AM (A)	IENDED	
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Classical Contents) July 15 Quarterly Report (Classical Contents) October 15 Quarterly Report (Classical Contents) January 31 Year-End Report (Ylear Report (Non-election Year Only) (MY) Termination Report (TER)	(c) 12-Day PRE-EI Report (d) 30-Day POST-I	ection for the:	J	2C)	Sep	in the	Special (30S)
5. Covering Period 10		2015	through	10	31/	2015	
I certify that I have examined th	·	ne best of my kno	wledge and b	elief it is tru	e, correct and	d complete.	
Type or Print Name of Treasure	r Shawn O'Neail						
Signature of Treasurer Shaw	n O'Neail		[Electronically	Filed]	ate 11	16	2015
NOTE: Submission of false, erron	eous, or incomplete	information may su	bject the pers	on signing th	is Report to th	ne penalties of 2	U.S.C. §437g.
Office Use						FEC FOF Rev. 12/2	

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 10 01 2015 To: 10 31 2015

		COLUMN B Calendar Year-to-Date	
6.	(a) Cash on Hand January 1, 2015		193839.62
	(b) Cash on Hand at Beginning of Reporting Period	117854.31	
	(c) Total Receipts (from Line 19)	25731.52	214159.90
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	143585.83	407999.52
7.	Total Disbursements (from Line 31)	14543.04	278956.73
3.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	129042.79	129042.79
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From:	01 2015 To			
I. Receipts	COLUMN B Calendar Year-to-Date			
. Contributions (other than loans) From:				
(a) Individuals/Persons Other				
Than Political Committees	17036.45	78416.74		
(i) Itemized (use Schedule A)	7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7			
(ii) Unitemized	8695.07	131493.16		
(iii) TOTAL (add				
Lines 11(a)(i) and (ii)▶	25731.52	209909.90		
	0.00	0.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	0.00	0.00		
(such as PACs)(d) Total Contributions (add Lines		0.00		
11(a)(iii), (b), and (c)) (Carry				
Totals to Line 33, page 5)	25731.52	209909.90		
2. Transfers From Affiliated/Other				
Party Committees	0.00	0.00		
B. All Loans Received	0.00	0.00		
Loan Repayments Received	0.00	0.00		
6. Offsets To Operating Expenditures		, , , , , , , , , , , , , , , , , , , ,		
(Refunds, Rebates, etc.)	0.00	0.00		
(Carry Totals to Line 37, page 5)	0.00	0.00		
Refunds of Contributions Made to Federal Candidates and Other				
Political Committees	0.00	4250.00		
7. Other Federal Receipts	7	7 7		
(Dividends, Interest, etc.)	0.00	0.00		
B. Transfers from Non-Federal and Levin Funds	3.00			
(a) Non-Federal Account				
(from Schedule H3)	0.00	0.00		
=				
(b) Levin Funds (from Schedule H5)	0.00	0.00		
=				
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00		
7. Total Receipts (add Lines 11(d),7. 12, 13, 14, 15, 16, 17, and 18(c))	25731.52	214159.90		
). Total Federal Receipts	25724 52	04.4450.07		
(subtract Line 18(c) from Line 19)▶	25731.52	214159.90		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

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II. Disbursements		Total This Period				
1.	Operating Expenditures: – (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calendar Year-to-Date			
	(i) Federal Share	0.00	0.00			
	· ·					
	(ii) Non-Federal Share	0.00	0.00			
	(b) Other Federal Operating Expenditures	43.04	556.73			
	(c) Total Operating Expenditures					
	(add 21(a)(i), (a)(ii), and (b))▶	43.04	556.73			
	Transfers to Affiliated/Other Party					
	Committees Contributions to	0.00	0.00			
	Federal Candidates/Committees and Other Political Committees	13500.00	271500.00			
	Independent Expenditures	0.00	0.00			
	(use Schedule E)	0.00	0.00			
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
	Loan Repayments Made	0.00	0.00			
	Loans Made	0.00	0.00			
	Refunds of Contributions To: (a) Individuals/Persons Other					
	Than Political Committees	0.00	0.00			
	Ī		0.00			
	(b) Political Party Committees	0.00	0.00			
	(c) Other Political Committees (such as PACs)	0.00	0.00			
	,					
	(d) Total Contribution Refunds	0.00				
	(add Lines 28(a), (b), and (c))▶	0.00	0.00			
	Other Disbursements	1000.00	6900.00			
	L.					
	Federal Election Activity (2 U.S.C. §431(20))					
	(a) Allocated Federal Election Activity					
	(from Schedule H6) (i) Federal Share	0.00	0.00			
	(i) i ederal offare					
	(ii) "Levin" Share	0.00	0.00			
	(b) Federal Election Activity Paid Entirely					
	With Federal Funds	0.00	0.00			
	(c) Total Federal Election Activity (add	0.00	0.00			
	Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00			
	Total Disbursements (add Lines 21(c), 22,					
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	14543.04	278956.73			
	Total Federal Disbursements					
	(subtract Line 21(a)(ii) and Line 30(a)(ii)	1,510.01	270070 70			
	from Line 31)	14543.04	278956.73			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	· · · · · · · · · · · · · · · · · · ·			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	25731.52	209909.90		
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00		
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	25731.52	209909.90		
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	43.04	556.73		
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00		
3. Net Operating Expenditures (subtract Line 37 from Line 36)	43.04	556.73		

FOR LINE NUMBER: **PAGE** 6 (check only one) X 11a 11b 11c

OF 112 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400222 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals US Country Head REFS Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Imran Ahmed Date of Receipt Mailing Address 6201 South Freeway 10 30 2015 City State Zip Code Transaction ID: A2015-2399943 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Research Head Pharmaceutics Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) c. Laman Alani Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399917 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 С federal political committee. Name of Employer Occupation VP Pharma Product & Tech Dev Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 109.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: **PAGE** 7 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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FOR LINE NUMBER: **PAGE** 9 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

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FEC ID number of contributing

	FOR LINE NUMBER:	PAGE	10 OF	112						
Use separate schedule(s)	(check only one)									
for each category of the Detailed Summary Page	X 11a 11b	11c	12							
,	13 14	15	16	717						

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federal political committee.	C	51.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Director Strategy & Operations Aggregate Year-to-Date ▼ 374.00	_
Full Name (Last, First, Middle Initial) C. Neilda A Baron		Date of Receipt
Mailing Address One Health Plaza		10 30 _2015 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2400595 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Ex Dir Medical Services	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
SUBTOTAL of Receipts This Page (optional)	246.00

C

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 12 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Lisa M Blizzard Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400419 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Consumer Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Mojtaba Bonakdar Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399873 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.59 federal political committee. Name of Employer Occupation Alcon Research Hd R & D Analytical Chemistry Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) Full Name (Last, First, Middle Initial) **c.** Troy L Borill Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400374 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 59.52 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 432.79 Other (specify) 128.73 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 13 OF 112 Use separate schedule(s) (check only one) X 11a 11b 12 11c

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FOR LINE NUMBER: PAGE 15 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard Burns Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400028 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) B. Steven J Busch Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400541 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Angela D Bylancik Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400103 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Ex Dir BD&L Alliance Mgmt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 219.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Charles C Carter Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400428 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Mgr Logistics Plng & Field Skills Trng Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400146 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 415.38 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Head of Fed Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 3046.12 Other (specify) Full Name (Last, First, Middle Initial) c. John H Caywood Jr. Jr. Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399868 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head of Government Pricing Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 474.21 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Cynthia C Cetani		Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City	State Zip Code	Transaction ID : A2015-2400429
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	†
Novartis Pharmaceuticals	VP Chf Compliance Offcr/U.S. Hd	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	507.76	
Full Name (Last, First, Middle Initial) 3. James E Chastain	-	Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
		10 30 2015
City	State Zip Code	Transaction ID : A2015-2399885
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.86
Name of Employer	Occupation	1
Alcon Research	Head of Ocular PK/Disposition	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	211.64	
Full Name (Last, First, Middle Initial)	<u> </u>	Date of Passint
Barbara Christensen-Boner Mailing Address One Health Blaze		Date of Receipt
Mailing Address One Health Plaza		10 30 _ 2015 _
City	State Zip Code	Transaction ID : A2015-2400046
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	88.95
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Director State&External Affrs	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	649.01	
SUBTOTAL of Receipts This Page (optional))	187.05
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Matthew D Civiello Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 10 30 2015 Transaction ID: A2015-2400537 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation AD Regional Strategy Lead Aggregate Year-to-Date ▼ 203.06	27.69
Full Name (Last, First, Middle Initial) Sean T Clark Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 30 2015 Transaction ID : A2015-2399831
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For:	NJ 07936 C Occupation Head US Marketing Surgical Aggregate Year-to-Date ▼	Amount of Each Receipt this Period 90.00
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Cathryn M Clary	660.00	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head US CDMA Aggregate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID: A2015-2400659 Amount of Each Receipt this Period 300.00
SUBTOTAL of Receipts This Page (optional).	>	417.69
TOTAL This Period (last page this line number	er only)	

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or for commercial purposes, other than using t	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Noelle L Cloud Dugan Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Consumer Health Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP Govt. Affr. & Public Policy Aggregate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID: A2015-2399972 Amount of Each Receipt this Period 150.00
Full Name (Last, First, Middle Initial) Stephen R Cofone Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Strategic Programs & Roadmaps Lead Aggregate Year-to-Date ▼ 275.00	Date of Receipt 10 30 2015 Transaction ID : A2015-2400644 Amount of Each Receipt this Period 75.00
Full Name (Last, First, Middle Initial) Julie A Collins Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Digital Mktg Aggregate Year-to-Date ▼ 1015.30	Date of Receipt 10 30 2015 Transaction ID: A2015-2399832 Amount of Each Receipt this Period 138.45
SUBTOTAL of Receipts This Page (optional)	•	363.45
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Scott G Colpitts Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400662 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 66.00 federal political committee. Name of Employer Occupation Head of Facilities & Utility Maint. (A Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 484.00 Other (specify) Full Name (Last, First, Middle Initial) B. Matt Compton Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400703 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Sandoz Inc. Oncology Portfolio Account Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. William W Conkling Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400432 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation **ED Pricing Access & Control** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 121.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael A Conley Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400181 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exe Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph J Conoshenti Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400670 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name (Last, First, Middle Initial) c. Burt Cook Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400337 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Respiratory SalesSpecialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 186.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2015 10 City Zip Code State Transaction ID: A2015-2400719 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Sandoz Inc. **Executive Director Oncology Injectable** Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) Full Name (Last, First, Middle Initial) B. Mary E Corcoran Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400433 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Scientific Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Eric C Couture Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400571 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) 226.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) David C Creedon Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 30 2015
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Transaction ID : A2015-2400617 Amount of Each Receipt this Period 45.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Exec Dir BIM Aggregate Year-to-Date ▼ 330.00	
Full Name (Last, First, Middle Initial) 3. Rhonda N Crichlow Mailing Address One Health Plaza		Date of Receipt 10 30 2015
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2015-2400516 Amount of Each Receipt this Period 34.62
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupation US VP Diversity Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) David L Crippen Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 10 30 2015 Transaction ID : A2015-2400671 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Senior Reimbursement Manager Aggregate Year-to-Date ▼ 220.00	30.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	109.62
TOTAL This Period (last page this line numb	er only)	

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Thomas G Cullen Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400717 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Advisor Scientific Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Spencer E Davidson Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400093 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Jeanmarie Davis Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399921 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 С federal political committee. Name of Employer Occupation Mgr Global GPD Technical Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) 91.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Timothy K De Valroger Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation AD Product Manager Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 30 2015 Transaction ID: A2015-2400436 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Terry H Deason Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation National Acct Scientific Dir Aggregate Year-to-Date ▼ 440.00	Date of Receipt 10 30 2015 Transaction ID: A2015-2400650 Amount of Each Receipt this Period 60.00
Full Name (Last, First, Middle Initial) R. R Deck Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Senior Dir. Government Contracts Aggregate Year-to-Date 253.88	Date of Receipt 10 30 2015 Transaction ID: A2015-2400727 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)	<u> </u>	122.31
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or for commercial purposes, other than using the	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Randal Dias Mailing Address One Health Plaza		Date of Receipt 10 30 2015
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Glbl CoE Head for Design&Const Mgt NBS Aggregate Year-to-Date ▼ 253.88	Transaction ID : A2015-2400232 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Dwayne T Dixon Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 10 30 2015 Transaction ID: A2015-2399907 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	C Occupation Director Customer Management Aggregate Year-to-Date ▼ 211.64	28.86
Full Name (Last, First, Middle Initial) Alastair M Douglas Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head US Training Surgical Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 30 2015 Transaction ID: A2015-2399901 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)	>	98.10
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kent Douglas Date of Receipt Mailing Address 5984 Tripperary Drive 30 2015 10 City State Zip Code Transaction ID: A2015-2400729 TX Plano 75093 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Segment Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Monica M Dunn Date of Receipt Mailing Address 475 Green Oaks Parkway 10 30 2015 City State Zip Code Transaction ID: A2015-2400728 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Head Global Bus. Ops - MS&T Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. David M Eberenz Jr. Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400165 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) 116.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Fred Ellis Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399863 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Head of Professional Affairs Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) Full Name (Last, First, Middle Initial) B. Michael R Emch Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400012 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. David R Epstein Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400247 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Head Pharma AG Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 188.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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Any information copied from	such Reports and Statements	may not be sold or used	d by any person for the purpose	of soliciting contributions
or for commercial purposes,	other than using the name an	d address of any political	I committee to solicit contributio	ns from such committee.
NAME OF COMMITTEE	(In Full)			

Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Judith J Ewalt Mailing Address One Health Plaza		Date of Receipt
		10 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2400155
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 34.62
Name of Employer Novartis Pharmaceuticals	Occupation Sr Oncol Area Sales Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Michael E Fairchild Mailing Address On Harth Blaze	•	Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City	State Zip Code	Transaction ID : A2015-2399878
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.14
Name of Employer Alcon Laboratories Inc.	Occupation IRIS Area Bus. Deployment Head	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 338.36	
Full Name (Last, First, Middle Initial)	1	Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2400690 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer	Occupation	-
Novartis Services Incorporated	Exec Dir Fed Govt Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
SUBTOTAL of Receipts This Page (optional)		230.76
TOTAL This Period (last page this line number		

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400309 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. James E Foley Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400301 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **GMA Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew T Footo Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400343 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 212.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pene name and address of any political committee	rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Matthew C Foster Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dermatology Sr. Area Business Leader Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 30 2015 Transaction ID : A2015-2400149 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Anna M Frable Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP Comm NPC CountryCommhead Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 30 2015 Transaction ID: A2015-2400240 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Jon S Freeland Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Associate Dir. Business Development Aggregate Year-to-Date ▼ 275.00	Date of Receipt 10 30 2015 Transaction ID : A2015-2400651 Amount of Each Receipt this Period 37.50
SUBTOTAL of Receipts This Page (optional)	•	99.81
TOTAL This Period (last page this line numbe	r only)	

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for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		717

	Statements may not be sold or used by any personal he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Robert M Freeman Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	10 30 2015 Transaction ID : A2015-2399833 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer	Occupation	45.00
Alcon Research Receipt For: Primary General Other (specify) ▼	Head Manufacturing Aggregate Year-to-Date ▼ 330.00	
Full Name (Last, First, Middle Initial) 3. Debra E Freire Mailing Address One Health Plaza		Date of Receipt 10 302015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2400275 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer Novartis Pharmaceuticals	Occupation Head Advocacy & Ext Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 484.68	
Full Name (Last, First, Middle Initial) C. Neely T Frye		Date of Receipt
Mailing Address One Health Plaza		10 30 Y = Y = Y = Y = Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2400192 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	189.27
Name of Employer Novartis Pharmaceuticals	Occupation AD State & External Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1379.70	
SUBTOTAL of Receipts This Page (optional)		280.43
TOTAL This Period (last page this line number	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jill H Gaither Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400311 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Edward G Gajewski Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400101 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir National & Regional Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) c. Carolyn A Geier Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400439 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 124.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael L Gentry Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399870 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.00 federal political committee. Name of Employer Occupation VP IT Division Head Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 264.00 Other (specify) Full Name (Last, First, Middle Initial) B. Shaun L Gentry Date of Receipt Mailing Address 6201 South Freeway 10 30 2015 City State Zip Code Transaction ID: A2015-2399853 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Group Dir National Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) c. Deidre T George Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400693 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 63.00 С federal political committee. Name of Employer Occupation AD State & External Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 127.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lisa M Goldman Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400631 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Exec Dir Regulatory Compliance** Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mindy H Goss Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400441 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc. Dir. Onc. Business Unit A Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher K Grady Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399854 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.59 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Dir Regional Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) 229.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Nancy J Grande Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400531 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Benjamin A Grossman Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400444 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Harold R Grossmann Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400246 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Real Estate Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 210.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400609 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Onco Ex Dir Clinical Res Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 880.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400592 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Commercial Strategy** Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph Guido Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400445 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation IT Head of Operations NBS Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 210.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David E Gulick Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400060 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Startegic Market Acc Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kurt Habel Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400220 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Incentive Modeling/Des Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) c. Charles L Haberthur Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400082 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 37.50 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 235.60 Other (specify) 196.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James P Hafner Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400632 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) B. Laura A Hagan Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400601 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Clinical Disclosure Office Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Paul R Hallen Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399864 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Dir Global Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 122.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sarah E Haller Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400179 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 231.00 federal political committee. Name of Employer Occupation VP Intl Public Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1694.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas E Harper Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400145 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Leader - Account Management Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Kay Harris Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399939 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 С federal political committee. Name of Employer Occupation Dir Global Graphics Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 287.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Veronica Harris Mailing Address One Health Plaza		Date of Receipt
		10 30 2015
City	State Zip Code	Transaction ID : A2015-2400596
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.62
Name of Employer	Occupation	-
Novartis Pharmaceuticals	AD Product Management	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 253.88	
Other (specify)	255.88	
Full Name (Last, First, Middle Initial) Rachel S Hartman		Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City	State Zip Code	Transaction ID : A2015-2399894
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	28.86
Name of Employer	Occupation	1
Alcon Laboratories Inc.	Mgr Srg Sls Trng Global Fran	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) Other (specify)	211.64	
Full Name (Last, First, Middle Initial) C. Sherri J Hatch		Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City	State Zip Code	Transaction ID : A2015-2400448
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Regional Scientific Associate Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	203.06	
SUBTOTAL of Receipts This Page (optional)		91.17
TOTAL This Period (last page this line numb		

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112 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400196 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 105.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 745.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Sarah Hersey Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400683 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Global Program Diagnostic Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Robert J Hilkert Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400560 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Medical Unit Head Critcl Care Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 204.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William Hinshaw Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400577 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec VP Oncology US Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. William C Hokanson Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400152 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assc Dir Regl Bus Development Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. Xin Hong Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399898 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 С federal political committee. Name of Employer Occupation Head Optics COE Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 103.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TOTAL This Period (last page this line number only).....

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TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Gene M Hughes Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400354 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 264.00 Other (specify) Full Name (Last, First, Middle Initial) B. Melody Hughson Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400633 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Director Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) c. Edgar L Jarvis Date of Receipt Mailing Address One Health Plaza 30 10 2015 Zip Code City State Transaction ID: A2015-2400089 NJ East Hanover 07936 Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)			7			7	2	34.4	5	
TOTAL This Period (last page this line number only)		_	7	_	_	7	_		_]

355.30

Sr Cardiovascular Area Business Leader

Aggregate Year-to-Date ▼

С

Occupation

48.45

FEC ID number of contributing

General

federal political committee.

Novartis Pharmaceuticals

Other (specify)

Name of Employer

Primary

Receipt For:

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Mark J Jeffers Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399884 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Dir Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) B. Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400453 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Jason Jimenez Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399834 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Dir Hospital and Corp Accts Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 86.55 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bruce S Johnson Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400016 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Heather L Johnson Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399825 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head of US Training Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Sara Johnson-Davis Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400391 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 83.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Mark A Joines Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400136 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Inflammatory Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) **B.** Deborah Juterbock Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400360 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Of Global Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) **c.** Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400254 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation IACH-Capability Bldg Academy Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 112.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Dean B Kameros Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400581 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Mktg Res/Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael A Kapin Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399872 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Research Mgr Clinical Data Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) c. Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400457 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation MS Sr Area Business Leader Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) 100.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

SCHEDULE A (FEC Form 3X)

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Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
,	13 14	15	16	17

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Claudia M Kelly Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2015 10 City Zip Code State Transaction ID: A2015-2400725 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics **Head Market Access** Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) **B.** Sara Kelly Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399913 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head PMO - Transformational Projects Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Carey P Kelson Date of Receipt Mailing Address One Health Plaza 30 10 2015 Zip Code City State Transaction ID: A2015-2399923 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 31.26 С federal political committee. Name of Employer Occupation Global Product Director Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 229.24 Other (specify)

SUBTOTAL of Receipts This Page (optional)			7		Ξ	7	Ξ	93.5	7	
TOTAL This Period (last page this line number only)		_	7	_	_	7	_		_]

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400010 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VPGeneral CounselNPCCountry Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) Full Name (Last, First, Middle Initial) B. Edward W Kettler III Date of Receipt Mailing Address 6201 South Freeway 10 30 2015 City State Zip Code Transaction ID: A2015-2399945 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 34.59 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Assoc Dir IT Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) Full Name (Last, First, Middle Initial) c. Michael C Kincaid Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400459 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) 215.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400720 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Name of Employer Occupation Sandoz Inc. Global Head Lgl & Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1153.80 Other (specify) Full Name (Last, First, Middle Initial) B. Robert W Kowalski Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400610 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Expat_CH_Global Head DRA Receipt For: Aggregate Year-to-Date ▼ Primary General 830.70 Other (specify) Full Name (Last, First, Middle Initial) c. Kathleen M Kulesher Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400675 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Assoc. Dir. State and External Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 236.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Keith A LaDue Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400211 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP IT Division Head Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) Full Name (Last, First, Middle Initial) B. Francis C Landrus Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399866 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Research Sr Project Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) c. Robert D Larsen Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399919 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 С federal political committee. Name of Employer Occupation Hd Process Dev & API Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Shawn Laverty Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400227 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. LeighAnne A Leas Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400518 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 231.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1369.00 Other (specify) Full Name (Last, First, Middle Initial) c. Richard E Lemire Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399996 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 129.78 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 951.72 Other (specify) 388.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Leslie E Lemke-Boutcher Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399891 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Research Sr Project Toxicologist Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) B. Vinson G Leslie Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400460 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Lloyd Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400589 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head US Oncology Mgd Markets & Mkt Acc Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) 194.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399978 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation NIBRI Head NIBR Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) B. Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400283 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. Donald R Losordo Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400302 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 139.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	16	17

Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any per- the name and address of any political committee t	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Frederic D Loveland Mailing Address One Health Plaza		Date of Receipt
		10 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2400234
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 34.62
Name of Employer Novartis Pharmaceuticals	Occupation Executive Director R&D Ops	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Paul O Lund Mailing Address One Health Plaza		Date of Receipt
		10 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2399837
FEC ID number of contributing federal political committee.	C 0/936	Amount of Each Receipt this Period 31.26
Name of Employer Alcon Laboratories Inc.	Occupation Assoc Dir Glb Ops Excellence	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 229.24	
Full Name (Last, First, Middle Initial) C. David T Mac Askill		Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2400140 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Hospital Executive Account Specialist	_
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88	
SUBTOTAL of Receipts This Page (optional).		100.50
TOTAL This Period (last page this line numb	·	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kimberly A MacKay Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400463 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Deputy Compliance Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. John Mandala Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400127 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Lead Specialty Channels Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Frank Maness Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400574 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 С federal political committee. Name of Employer Occupation VP North Amer Cross Div HR Svc Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) 104.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael G Marks Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399890 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Head R and D QA Surgical Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) B. Penny A Marks Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400119 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kerry L Markwardt Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399861 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Project Head IV - Pharma Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 93.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and Statements or for commercial purposes, other than using the name and		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Co	ommittee	
Receipt For: Primary General Other (specify) ▼ Aggrega	Zip Code 07936 tion ataract Marketing ate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID: A2015-2399879 Amount of Each Receipt this Period 34.62
Pagaint For:	Zip Code 07936 tion leadOncology Public Relations ate Year-to-Date ▼ 253.88	Date of Receipt 10 30 2015 Transaction ID: A2015-2400590 Amount of Each Receipt this Period 34.62
Pagaint For:	Zip Code 07936 tion of Area Sales Manager ate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID: A2015-2400186 Amount of Each Receipt this Period 67.23
SUBTOTAL of Receipts This Page (optional)		136.47

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Anne W Mauzy Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400466 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brian M Mayhew Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400666 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Regulatory Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Aaron A Mays Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399897 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 С federal political committee. Name of Employer Occupation Global Product Director Monofocal IOLs Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) 103.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAGE 66 OF 112	<u>-</u>
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Detailed Summary Page	X 11a 11b 11c 12	
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	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Stephen W Mc Laughlin Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Associate Director Patient Marketing Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 30 2015 Transaction ID: A2015-2400083 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Arlene J Mc Leer Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Junior Global Regulatory Manager Aggregate Year-to-Date ▼ 330.00	Date of Receipt 10 30 2015 Transaction ID: A2015-2400384 Amount of Each Receipt this Period 45.00
Full Name (Last, First, Middle Initial) Catharine M McBride Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation AD State & External Affairs Aggregate Year-to-Date ▼ 1073.05	Date of Receipt 10 30 2015 Transaction ID: A2015-2400130 Amount of Each Receipt this Period 150.00
SUBTOTAL of Receipts This Page (optional)	222.69
TOTAL This Period (last page this line numl	ber only).	

Mailing Address One Health Plaza

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Detailed Summary Page	X 11a 11b	11c	12	
,	13 14	15	16	17

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Zip Code

07936

Amount of Each Receipt this Period FEC ID number of contributing С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

State

NJ

415.38

30

Transaction ID: A2015-2400549

10

2015

41.55

City

East Hanover

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew A McNally Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400579 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399970 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. Head Europe and Americas Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) Full Name (Last, First, Middle Initial) c. Kathy E Meissner Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399922 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head of Health Safety and Envi Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 194.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Gary J Menichini Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399830 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation VP/GM U.S. Pharmaceuticals Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Susan J Millard Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head HR Alcon R&D Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donald J Miller Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400469 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 184.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Alejandro Minetto Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399900 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Finance Business Process Lead Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) B. Stacey L Moore Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400115 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 54.90 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Resp Integrated Account Spec Receipt For: Aggregate Year-to-Date ▼ Primary General 401.02 Other (specify) Full Name (Last, First, Middle Initial) c. Polly L Murphy Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400471 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) 125.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 71 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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FOR LINE NUMBER: PAGE 72 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sharon L Nobles Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400104 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Dermatology Executive Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin A Nugent Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399941 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Research Head of Regulatory CMC Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) c. Hugh M O'Dowd Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400117 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation IAGB-CPO Head UK & Ireland Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 323.12 Other (specify) 86.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Shawn O'Neail Date of Receipt Mailing Address 608 Fifth Avenue 30 2015 10 City Zip Code State Transaction ID: A2015-2400624 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 165.00 federal political committee. Name of Employer Occupation Ex Dir Legislative Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1210.00 Other (specify) Full Name (Last, First, Middle Initial) B. Shawn M O'Neil Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399876 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.59 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Dir of Pharmaceutical Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) Full Name (Last, First, Middle Initial) c. Sharon N Olmstead Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400637 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation VP GL.Hd Reg Policy & Intelli Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 268.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey S Osmundson Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400068 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Lead Field Bus Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Craig S Osten Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400015 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation **Novartis Finance Corporation** Vice President & Treasurer Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) c. Serafina Oxner Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400289 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Ex Dir Healthcare Contract Adm Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 166.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John M Pakulski Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400716 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Sandoz Inc. Exec Director Regulatory Affai Receipt For: Aggregate Year-to-Date ▼ Primary General 969.15 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph S Palumbo Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400261 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Org. Effectiveness Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Melissa A Parker Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400313 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Dir Acct Management Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) 168.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bin Peng Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400611 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Of OTM China Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bret D Peterson Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400379 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ExecRespiratorySalesSpecialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) **c.** Efthimios Petroutsas Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400542 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 37.50 С federal political committee. Name of Employer Occupation **Product Director** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 115.19 SUBTOTAL of Receipts This Page (optional).....

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TOTAL This Period (last page this line number only).....

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		13		14		15		16		717

	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Candice C Phipps Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Federal Government Affairs Aggregate Year-to-Date ▼ 2200.00	Date of Receipt 10 30 2015 Transaction ID : A2015-2400684 Amount of Each Receipt this Period 300.00
Full Name (Last, First, Middle Initial) Bradley J Pierson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼ 220.00	Date of Receipt 10 30 2015 Transaction ID : A2015-2400653 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Elizabeth A Pinamonti Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 30 2015 Transaction ID: A2015-2400160 Amount of Each Receipt this Period 27.69
SUBTOTAL of Receipts This Page (optional))	357.69
TOTAL This Period (last page this line numb	per only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kelly D Prather Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400370 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey P Prejean Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400373 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Cardiovascular Sr. Area Business Leade Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) **c.** J. C Proctor Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400513 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 83.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Eileen M Quinn Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400116 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Susan R Rendon Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399905 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head of Total Global Rewards Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name (Last, First, Middle Initial) c. Elizabeth Renz Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400723 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 С federal political committee. Name of Employer Occupation Sandoz Inc. Director Communications for Biopharma Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 146.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Margaret Riccobono Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Associate Director Talent Management Aggregate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID: A2015-2400714 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) B. Edward C Richards Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research	State Zip Code NJ 07936 C Occupation VP General Mgr Ops	Date of Receipt 10 30 2015 Transaction ID : A2015-2399930 Amount of Each Receipt this Period 46.14
Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) C. Sheila A Riddell	Aggregate Year-to-Date ▼ 338.36	Date of Receipt
Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Senior Cardiovascular Sales Specialist Aggregate Year-to-Date ▼ 203.06	Transaction ID : A2015-2400190 Amount of Each Receipt this Period 27.69
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number)	<u> </u>	108.45

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Keith C Riddiford Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400142 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head CS&O Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. David J Robertson Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399888 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. GCM Wholesalers & Distribution Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) c. Renee C Rodgers Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400639 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 С federal political committee. Name of Employer Occupation Head Digital Strategy And Svc Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 146.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Laurie J Roemisch Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400320 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Jason T Russell Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400041 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.93 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Business Relationship Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 710.82 Other (specify) Full Name (Last, First, Middle Initial) c. Alan D Ryan Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400708 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Sandoz Inc. Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General 415.00 Other (specify) 184.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 88 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee	
Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) Other (specify)	State Zip Code NJ 07936 C ccupation egl Med Lead NS ggregate Year-to-Date ▼ 330.00	Date of Receipt 10 30 2015 Transaction ID: A2015-2400483 Amount of Each Receipt this Period 45.00
Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C ccupation D MS Sales ggregate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID: A2015-2400357 Amount of Each Receipt this Period 34.62
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Second For:	State Zip Code NJ 07936 C ccupation r Oncol Area Sales Manager ggregate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID : A2015-2400125 Amount of Each Receipt this Period 27.69
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number only		107.31

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OF 112 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Christi L Shaw Date of Receipt Mailing Address 44 Guinea Hollow Rd 30 2015 10 City Zip Code State Transaction ID: A2015-2400625 Lebanon NJ 08833 Amount of Each Receipt this Period FEC ID number of contributing 180.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals US Country President & President NPC Receipt For: Aggregate Year-to-Date ▼ Primary General 1320.00 Other (specify) Full Name (Last, First, Middle Initial) B. Deborah B Shertzer Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400485 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Regulatory Compliance Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Sherrie L Simms Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400488 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Global HR Business Partner Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 242.31 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Joseph M Simon Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400138 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 55.38 federal political committee. Name of Employer Occupation MS Exec Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 406.12 Other (specify) Full Name (Last, First, Middle Initial) B. William E Simon Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400191 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. David P Sipe Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400169 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 110.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) John G Slick Mailing Address One Health Plaza		Date of Receipt
		10 30 2015
City	State Zip Code NJ 07936	Transaction ID : A2015-2400336
East Hanover	INJ 0/930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Hospital Executive Account Specialist	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	203.06	
Full Name (Last, First, Middle Initial) James E Sluck		Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City	State Zip Code	Transaction ID : A2015-2399875
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.59
Name of Employer	Occupation	1
Alcon Laboratories Inc.	Dir US Marketing	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	253.66	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		10 30 2015
City	State Zip Code	Transaction ID : A2015-2400489
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.00
Name of Employer	Occupation	†
Novartis Pharmaceuticals	ED State & External Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	266.53	
SUBTOTAL of Receipts This Page (ontion:	al)	107.28
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TOTAL This Period (last page this line nur	nber only).	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stephen H Speares Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399887 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.39 federal political committee. Name of Employer Occupation Head Global Customer Excellence Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 307.80 Other (specify) Full Name (Last, First, Middle Initial) B. William S Spelta Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400030 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 30 10 2015 City Zip Code State Transaction ID: A2015-2400663 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 300.00 С federal political committee. Name of Employer Occupation US Co Head & VP Patient Access & Healt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 2200.00 Other (specify) 357.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Demetre A Stamatis Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400681 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Reg Affairs-TA Asc Dir Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Danney M Stanley Jr. Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399924 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Alcon Research Mgr Materials Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Donald E Stecher Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400323 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation AD State & External Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 92.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Philip G Stehle Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399920 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) B. Ashley D Stephens Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400340 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400078 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 103.86 С federal political committee. Name of Employer Occupation Director State&External Affrs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 761.64 Other (specify) 160.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Sta or for commercial purposes, other than using the	atements may not be sold or used by any per name and address of any political committee	son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) Lesley J Stickley Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr. Regional Account Manager Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 30 2015 Transaction ID: A2015-2400112 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Matthew C Stillwell Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation National Leader - Institute Specialist Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 30 2015 Transaction ID: A2015-2400084 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Peter N Streit Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Professional Strat & Bus Developme Aggregate Year-to-Date ▼ 304.70	Date of Receipt 10 30 2015 Transaction ID : A2015-2400278 Amount of Each Receipt this Period 41.55
SUBTOTAL of Receipts This Page (optional)	>	103.86
TOTAL This Period (last page this line number o	nly)	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 98 OF 112

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any per name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Edson J Stricker Mailing Address 6201 South Freeway City Fort Worth FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code TX 76134-2001 C Occupation Sr Dir Engineering Aggregate Year-to-Date ▼ 253.66	Date of Receipt 10 30 2015 Transaction ID: A2015-2399946 Amount of Each Receipt this Period 34.59
Full Name (Last, First, Middle Initial) John Suchorsky Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Demand Manager Aggregate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID : A2015-2400255 Amount of Each Receipt this Period 69.24
Full Name (Last, First, Middle Initial) Thomas A Suter Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir State & External Affairs Aggregate Year-to-Date ▼ 611.56	Date of Receipt 10 30 2015 Transaction ID: A2015-2400593 Amount of Each Receipt this Period 90.00
SUBTOTAL of Receipts This Page (optional)		193.83
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 99 OF 112 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sonya R Taylor Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400496 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Respiratory Sr. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Gretchen S Trout Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400656 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head NA Policy & FDA Liaison Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Nancy N Tuffin Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399969 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.59 С federal political committee. Name of Employer Occupation Head Health Policy/Govt Rel Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.71 Other (specify) 92.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 100 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas A Urban Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400006 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 48.03 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ExecRespiratorySalesSpecialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 351.37 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa R Utt Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400061 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Patient Services Liaison II Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) c. Jason Van Pelt Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400691 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 231.00 С federal political committee. Name of Employer Occupation Exec Dir Fed Govt Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1386.00 Other (specify) 320.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 101 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward S Vander Veen Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400500 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals North America Comm Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Erwin Vanhaecke Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399899 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. IACH-Head NVS Group Qlty Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) Full Name (Last, First, Middle Initial) c. William W Voegtli Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400057 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) 110.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: PAGE 102 OF 112 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Christen L Volk Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400657 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. AD-National Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Denise A Vollert-Parrotto Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2400501 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Business Planning & Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew M von Wellsheim Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2399871 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation VP IT & CIO Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 144.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 103 OF 112 Use separate schedule(s) (check only one) X 11a 11b 11c 12 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert K Warner Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2399877 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Global Franchise Head Vision Care Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) B. Stephanie B Waugh Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399965 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Glb Mktg Dir Ext Eye Disease Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randy S Wilbur Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400304 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Manager Process Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 141.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: PAGE 104 OF 112 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward S Williams Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400164 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Kenneth K Williams Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399889 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Research Sr Project Toxicologist Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) c. Brad C Willie Date of Receipt Mailing Address One Health Plaza 30 10 2015 City Zip Code State Transaction ID: A2015-2400070 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Assoc Dir Medicaid/GPO Account Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 91.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 105 OF 112

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Report or for commercial purposes, other than u	s and Statements may not be sold or used by any posing the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Politi	ical Action Committee	
Full Name (Last, First, Middle Initial) Karen M Wilshire Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Oncology Specialist Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 30 2015 Transaction ID: A2015-2400080 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Amy B Wilson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Perf Mgmt. & Standards Lead Aggregate Year-to-Date ▼	Date of Receipt 10 30 2015 Transaction ID : A2015-2400527 Amount of Each Receipt this Period 30.00
Other (specify) ▼ Full Name (Last, First, Middle Initial) Stephen A Woolford Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Ex Dir Business Plang & Analy Aggregate Year-to-Date ▼ 507.76	Date of Receipt 10 30 2015 Transaction ID: A2015-2400287 Amount of Each Receipt this Period 69.24
SUBTOTAL of Receipts This Page (option	onal)	126.93
TOTAL This Period (last page this line r	number only)	

FOR LINE NUMBER: PAGE 106 OF 112 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christine D Wyble Date of Receipt Mailing Address One Health Plaza 30 2015 10 City Zip Code State Transaction ID: A2015-2400613 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Name of Employer Occupation Glbl Hd Medical Info & Sci Ops Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Fan Zhang Date of Receipt Mailing Address One Health Plaza 10 30 2015 City State Zip Code Transaction ID: A2015-2399906 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Research Head PCS Lab Services Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 63.48 SUBTOTAL of Receipts This Page (optional)..... 17036.45 TOTAL This Period (last page this line number only).....

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S	CHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 107 OF												112
	EMIZED DISBURSEMENTS	Use separate schedule(s)				ly one)									- · · -
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\setminus	NAME OF COMMITTEE (In Full)														
	Novartis Corporation Political Actio	n Committee													
^	Full Name (Last, First, Middle Initial)						Date of	-							
Α.	Capital One Bank						M I M	_		en	_		Y Y	l V	
	Mailing Address 701 Pennsylvania Ave. NW						10		_	26	_		2015		
	,	State Zip Code					Tran	sact	tion IC	.	B58	7559			
	Washington Purpose of Disbursement	DC 20004				-	· · · · · ·	Juo		•	500	,, 000			
	Bank Service Charge		0	01	П		Amoui	nt of	Each	ı D	Disbu	ırseme	nt this	Per	iod
	Candidate Name		Cate	าดกร	rv/			-	-	÷	_	-	-		
				/pe	· y,			_	7	_		7		3.04	
	Senate	nent For: 2015 Primary General													
		Other (specify) ▼													
_	State: District: Full Name (Last, First, Middle Initial)	Not Applicable	,			+					—				
В.	Tuli Name (Last, Tilst, Middle Illidal)						Date of	of Di	isburs	en	nent				
	Mailing Address					-	M = N	// /	D	D) /	Υ	Y	Y	
	Mailing Address														
	City	State Zip Code													
	Purpose of Disbursement		_	7			Amoui	nt of	Each	ı C	Disbu	ırseme	nt this	Per	iod
	Candidate Name	Category/ Type						Ţ	4			7			
	Office Sought: House Disbursen	nent For:	ent For:						,						
	Senate	,													
	President State: District:	Other (specify) ▼													
_	Full Name (Last, First, Middle Initial)					+				_	_				
C.	Tan Name (East, Firet, Medie Hillar)						Date of	of Di	isburs	en	nent				
	Mailing Address						M = N	/	D	D	/	Y	Y Y	Y	
	City	State Zip Code													
	Purpose of Disbursement		-	_	_										
	Candidate Name		Cate	egor /pe	ry/	Amount of Each Disbursement this Period								iod	
	Office Sought: House Disburser	nent For:				1			7			,			
		Primary General													
	State: District:	Other (specify) ▼													
г	orate. District.										_				
s	UBTOTAL of Disbursements This Page (optional)				•				46			40° -	4	3.04	
H					_		产	÷	7		=	7		0.01	Ħ
Т	OTAL This Period (last page this line number only)				•				,			,	4	3.04	

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 108 OF 112			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)		
	Detailed Summary Page		22 X 23 24 25 28 28b 28c 29		
Any information conied from such Departs and Older	monto move not be seld and				
Any information copied from such Reports and State or for commercial purposes, other than using the na	ments may not be sold or t me and address of any poli	used by any perso tical committee to	solicit contributions from such committee		
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Action	on Committee				
·					
Full Name (Last, First, Middle Initial)			Data of Bishamanan		
A. Walters for Congress			Date of Disbursement		
Mailing Address P.O. Box 15239			10 28 2015		
City	State Zip Code				
Washington	DC 20003		Transaction ID : B586460		
Purpose of Disbursement					
Contribution		011	Amount of Each Disbursement this Per		
Candidate Name		Category/	1000.00		
Mimi Walters Office Sought: ✓ House Disburse	ment For: 2016	Туре	7.000		
Senate Disburse	Primary General				
President	Other (specify)				
State: CA District: 45	(-II), \				
Full Name (Last, First, Middle Initial)					
Price for Congress			Date of Disbursement		
			M = M / D = D / Y = Y = Y		
Mailing Address 412 S. Capitol St. SE Suite B			10 28 2015		
City	State Zip Code		Transaction ID : B586569		
Washington Purpose of Disbursement	DC 20003				
Contribution		011	Amount of Each Disbursement this Pe		
Candidate Name		Cotogony			
Thomas E Price		Category/ Type	2000.0		
Office Sought:	ment For: 2016				
	Primary General				
President	Other (specify) ▼				
State: GA District: 06					
Full Name (Last, First, Middle Initial)			Date of Disbursement		
C. Volunteers for Shimkus					
Mailing Address 499 South Capitol St. SW Suite 42	20		10 28 2015		
City	State Zip Code		Transaction ID : B586458		
Washington	DC 20003				
Purpose of Disbursement Contribution		011			
Candidate Name			Amount of Each Disbursement this Per		
John M Shimkus		Category/ Type	1000.00		
	ment For: 2016	1,400			
Senate	Primary General				
President	Other (specify) ▼				
State: IL District: 15	· 				
'					
SUBTOTAL of Disbursements This Page (optional).		·····	4000.00		
TOTAL This Period (last page this line number only	')				

SCHEDULE B (FEC Form 3X)	Lles separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 🔀 23 24 25 26
	Detailed Summary Page	27	28a 28b 28c 29 30b
Any information copied from such Reports and Staten	nents may not be sold or us	sed by any perso	on for the purpose of soliciting contributions
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			
A. Pat Roberts for US Senate Inc			Date of Disbursement
Mailing Address 325 7th Street NW Suite 400			10 28 2015
City	State Zip Code		Transaction ID D500457
Washington	DC 20004		Transaction ID : B586457
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Pat Roberts		Type	1000.00
	nent For: 2020		
Senate President	Primary General Other (specify)		
State: KS District:	Carlot (opcomy)		
Full Name (Last, First, Middle Initial)			
B. Guthrie for Congress			Date of Disbursement
Mailing Address PO Box 9639			10 28 2015
City Bowling Green	State Zip Code KY 42102		Transaction ID : B586568
Purpose of Disbursement	42102		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Brett Guthrie Office Sought: Y House Disbursen	nent For: 2016	Type	, , , ,
	Primary General		
President	Other (specify) ▼		
State: KY District: 02			
Full Name (Last, First, Middle Initial)			
C. Pallone for Congress			Date of Disbursement
Mailing Address PO Box 3176			10 28 2015
g			
,	State Zip Code		Transaction ID : B586454
Long Branch Purpose of Disbursement	NJ 07740		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Frank Pallone Jr.		Type	500.00
	nent For: 2016		
President	Primary General Other (specify) ▼		
State: NJ District: 06	(-11-1-1)/		
State: NJ District: 06			
State: NJ District: 06 SUBTOTAL of Disbursements This Page (optional)		·····	2500.00

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SCHEDULE B (FEC Form 3X)			FOR LINE NUMBER: PAGE 110 OF 112			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27	one) 22 X 23 28a 28b	24 25 26 28c 29 30		
Any information copied from such Reports and Statemer or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee					
Full Name (Last, First, Middle Initial)						
Tiberi for Congress			Date of Disburse	D / Y Y Y Y		
Mailing Address 217 3rd Street SE			10 2	28 2015		
Washington	tate Zip Code DC 20003		Transaction ID	: B586571		
Purpose of Disbursement Contribution		011	Amount of Each	Disbursement this Period		
Candidate Name Pat Tiberi		Category/ Type		1000.00		
Senate President	ent For: 2016 Primary General Other (specify)	71	,	,		
State: OH District: 12 Full Name (Last, First, Middle Initial) 3- Lone Star Leadership PAC			Date of Disburse	ement		
Mailing Address 217 Third Street SE			10 / 2	28 2015		
Washington [tate Zip Code DC 20003		Transaction ID) : B586570		
Purpose of Disbursement Contribution		011	Amount of Each	Disbursement this Period		
Candidate Name		Category/ Type		1500.00		
Senate F	ent For: 2015 Primary General Other (specify) ▼ Not Applicable	le				
Full Name (Last, First, Middle Initial) Making America Prosperous PAC			Date of Disburse			
Mailing Address PO Box 2485			10 2	28 2015		
Springfield \	tate Zip Code VA 22152		Transaction ID) : B586461		
Purpose of Disbursement Contribution Candidate Name		011 Category/ Type	Amount of Each	Disbursement this Period		
Senate F	eent For: 2015 Primary General Other (specify) ▼ Not Applicabl					
State: District: SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)		>		3500.00		

SCHEDULE B (FEC Form 3X)		. FOR LINE	NUMBER: PAGE 111 OF 112
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	e(s) (check only	
		ge 27	28a 28b 28c 29 30
Any information copied from such Reports and Staten			
or for commercial purposes, other than using the name	ie and address of any po	onucai committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	n Committee		
Novartis Corporation Political Actio	π Committee		
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Michael Burgess for Congress			Man / Dab / Yayayay
Mailing Address 217 Third Street SE			10 28 2015
	State Zip Code		Transaction ID : B586456
Washington	DC 20003		
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Michael C. Burgess		Type	1000.00
	nent For: 2016	si.	
	Other (appoint) General	al	
State: TX District: 26	Other (specify) ▼		
Full Name (Last, First, Middle Initial)			
B. Marc Veasey Congressional Camp	aign Cmte		Date of Disbursement
ware veasey congressional Camp	aigii Oilite		M = M / D = D / Y = Y = Y
Mailing Address PO Box 50084			10 28 2015
City	State Zip Code		Transaction ID : B586459
Fort Worth	TX 76105		Tanodollon ID . Doortog
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	25222
Marc Veasey		Type	2500.00
	nent For: 2016 Primary Genera	al	
President	Other (specify) ▼		
State: TX District: 33			
Full Name (Last, First, Middle Initial) C.			Date of Disbursement
 Mailing Address			M = M / D = D / Y = Y = Y
Mailing Address			
City	State Zip Code		
Purpose of Disbursement		T	
		_	Amount of Each Disbursement this Period
Candidate Name		Category/ Type	
Office Sought: House Disbursen	nent For:	1,750	7
	Primary Genera	al	
President	Other (specify) ▼		
State: District:	· 		
•			0.000.00
SUBTOTAL of Disbursements This Page (optional)		·····	3500.00
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