



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

March 29, 2007

Carol Davis, Treasurer
Sanders for Senate
P.O. Box 391
Burlington, VT 05402

**Response Due Date:
April 30, 2007**

Identification Number: C00411330

Reference: October Quarterly Report (8/24/06 – 9/30/06)

Dear Ms. Davis:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above.** An itemization of the information needed follows:

-Line 12 of the Detailed Summary Page discloses a transfer from a joint fundraising committee. However, the sum of memo Schedules A supporting Line 12 is less than the amount transferred. Please note that the sum of memo Schedules A supporting a transfer from a joint fundraising committee should be greater than or equal to the amount reported on Line 12. Each participating committee shall report its share of net proceeds received from the joint fundraising committee as a transfer-in on Line 12. Each participating committee shall also file memo Schedules A itemizing its share of the gross receipts as contributions from the original donors. (11 CFR § 102.17(c)(8)(i)(B)) Please amend your report to correct this discrepancy.

-Your committee filed a 48 hour notice disclosing a "last minute" contribution from Kate Nicklin on 9/8/06. This contribution does not appear on a Schedule A of this report. Please amend your report to include this contribution or provide an explanation of this apparent discrepancy. (11 CFR § 104.3(a)(4)(i))

-Schedule A of your report discloses one or more contributions received after the primary election that are designated for the primary. These contributions may only be accepted to the extent that the committee has net debts outstanding from the primary election. (11 CFR § 110.1(b)(3)(i))

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A contribution is considered made when the contributor relinquishes control over the contribution. A contributor shall be considered to have relinquished control over the contribution when it is delivered to the candidate, when it is delivered to an authorized committee of the candidate, or to an agent of an authorized committee of the candidate. A contribution that is mailed to any of the aforementioned recipients will be considered made on the date of the postmark. Envelopes should be retained for the committee's records. (11 CFR § 110.1(b)(6))

If any contribution in question was incompletely or incorrectly reported, you must amend your original report with the clarifying information.

If a contribution exceeds the amount of net debts outstanding from the primary election, you may have to refund or redesignate the contribution.

The funds can be retained if within sixty (60) days of receipt the excessive amount was properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election, or (2) the committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B))

If the foregoing conditions for redesignations are not met within 60 days of receipt, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters redesignating the contributions in question. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation is received. (11 CFR § 104.8(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund or redesignate the excessive amount will be taken into consideration.

-Schedule A of your report indicates that your committee may have failed to timely file one or more of the required 48 hour notices regarding "last minute" contributions received by your committee after the close of books for the 12 Day Pre-Primary report (see attached). A principal campaign committee must notify the Commission, in writing, within 48 hours of any contribution of \$1,000 or more received between two and twenty days before an election. These contributions are then reported on the next report required to be filed by the committee. To ensure that the Commission is notified of last minute contributions of \$1,000 or more to your campaign, it is recommended that you review your procedures for checking contributions received during the aforementioned time period. The failure to file 48-hour notices may result in civil money penalties or legal enforcement action. If any contribution of \$1,000 or more was incorrectly reported, you must amend your original report with the clarifying information. (11 CFR § 104.5(f))

Please note you will not receive an additional notice from the Commission on this matter. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. If you should have any questions regarding this matter or wish to

verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1154.

Sincerely,



Leah S. Palmer
Senior Campaign Finance Analyst
Reports Analysis Division

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Missing 48 Hour Notices
Sanders for Senate (C00411330)
October Quarterly Report (8/24/06 - 9/30/06)
Primary Election 2006
48 Hour Notice Period (8/24/06 - 9/9/06)

CONTRIBUTOR NAME	DATE	AMOUNT	ELECTION	RECEIVED
ALTHER, RICHARD	9/8/2006	\$ 1,000.00	P, 2006	9/13/2006
COLE, LILLIAN B	9/8/2006	\$ 1,000.00	G, 2006	9/13/2006
GOODWIN, NEIL	9/7/2006	\$ 1,000.00	P, 2006	9/11/2006
GRIGG, SUSAN	9/8/2006	\$ 1,000.00	P, 2006	9/13/2006
JARECKI, HENRY G	9/8/2006	\$ 2,100.00	G, 2006	9/14/2006
KWAN, RUTH J	9/8/2006	\$ 1,000.00	P, 2006	9/13/2006
MILLIKEN, GERRISH H	9/6/2006	\$ 1,000.00	G, 2006	9/11/2006
NEW, WILLIAM	9/6/2006	\$ 900.00	G, 2006	9/11/2006
NICKLIN, KATE	9/8/2006	\$ 1,000.00	Not Available	9/13/2006
PETE, TERESA & RICK	9/8/2006	\$ 1,000.00	G, 2006	9/13/2006
PHYSICAL THERAPY PAC	9/8/2006	\$ 1,500.00	P, 2006	9/14/2006
TOLLEFSON, DONALD	9/8/2006	\$ 1,065.00	G, 2006	9/13/2006
WALDEN, BARBARA J	9/8/2006	\$ 1,000.00	G, 2006	9/13/2006
WOOD, VIRGINIA C	9/7/2006	\$ 1,000.00	P, 2006	9/11/2006

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