

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) 75 State Street
24th Floor
 Check if different than previously reported. (ACC) Boston MA 02109

2. **FEC IDENTIFICATION NUMBER ▼** C C00486217 **CITY ▲** **STATE ▲** **ZIP CODE ▲**

3. IS THIS REPORT **NEW (N)** OR **AMENDED (A)**

4. **TYPE OF REPORT** (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

<input type="checkbox"/> Feb 20 (M2)	<input type="checkbox"/> May 20 (M5)	<input type="checkbox"/> Aug 20 (M8)	<input type="checkbox"/> Nov 20 (M11) (Non-Election Year Only)
<input type="checkbox"/> Mar 20 (M3)	<input type="checkbox"/> Jun 20 (M6)	<input type="checkbox"/> Sep 20 (M9)	<input type="checkbox"/> Dec 20 (M12) (Non-Election Year Only)
<input type="checkbox"/> Apr 20 (M4)	<input type="checkbox"/> Jul 20 (M7)	<input type="checkbox"/> Oct 20 (M10)	<input type="checkbox"/> Jan 31 (YE)

(c) 12-Day **PRE-Election** Report for the:

<input type="checkbox"/> Primary (12P)	<input type="checkbox"/> General (12G)	<input type="checkbox"/> Runoff (12R)
<input type="checkbox"/> Convention (12C)	<input type="checkbox"/> Special (12S)	

Election on M M M / D D D / Y Y Y Y Y Y Y Y in the State of

(d) 30-Day **POST-Election** Report for the:

<input type="checkbox"/> General (30G)	<input type="checkbox"/> Runoff (30R)	<input type="checkbox"/> Special (30S)
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Election on M M M / D D D / Y Y Y Y Y Y Y Y in the State of

5. Covering Period M M M / D D D / Y Y Y Y Y Y Y Y 07 / 16 / 2013 through 12 / 31 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Keith Shores

Signature of Treasurer Keith Shores [Electronically Filed] Date M M M / D D D / Y Y Y Y Y Y Y Y 01 / 29 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2013"/>		106431.46
(b) Cash on Hand at Beginning of Reporting Period.....	143069.71	
(c) Total Receipts (from Line 19)	94460.33	202338.75
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	237530.04	308770.21
7. Total Disbursements (from Line 31).....	37480.54	108720.71
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	200049.50	200049.50
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	86761.11	187490.98
(ii) Unitemized	7699.22	14571.07
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	94460.33	202062.05
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	94460.33	202062.05
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	276.70
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	94460.33	202338.75
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	94460.33	202338.75

DETAILED SUMMARY PAGE

of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	380.54	1076.99
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	380.54	1076.99
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	37000.00	107000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	100.00	643.72
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	100.00	643.72
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	37480.54	108720.71
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	37480.54	108720.71

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	94460.33	202062.05
34. Total Contribution Refunds (from Line 28(d))	100.00	643.72
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	94360.33	201418.33
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	380.54	1076.99
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	276.70
38. Net Operating Expenditures (subtract Line 37 from Line 36)	380.54	800.29

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 63
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Nabil S. Abdelhamid
 Full Name (Last, First, Middle Initial)
 Mailing Address 510 Inverrary Ct
 City Eureka State MO Zip Code 63025-2076
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Legends Financial Services Occupation President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **499.92**

Date of Receipt **12 / 31 / 2013**
Transaction ID : A7896281AF8DB427BA4D
 Amount of Each Receipt this Period **229.13**
 Payroll Deduction: \$20.83/Bi-Monthly

B. JOHN M ABOOD
 Full Name (Last, First, Middle Initial)
 Mailing Address 3334 Coachman Rd
 City Wilmington State DE Zip Code 19803-1942
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **499.92**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AC38E99BC22AA496DA3B
 Amount of Each Receipt this Period **229.13**
 Payroll Deduction: \$20.83/Bi-Monthly

C. David R Allen
 Full Name (Last, First, Middle Initial)
 Mailing Address 113 Front St
 City Owego State NY Zip Code 13827-1518
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **499.92**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AAC9EB6D12F31481DA90
 Amount of Each Receipt this Period **229.13**
 Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	687.39
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Steve Ayala
Full Name (Last, First, Middle Initial)

Mailing Address 5014 Maynard Street

City San Diego State CA Zip Code 92122-3934

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Finance

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1200.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
12 / 20 / 2013

Transaction ID : A1902DB07C90B445BA5D

Amount of Each Receipt this Period
550.00

Payroll Deduction: \$50.00/Bi-Monthly

B. ROBERT J. BACINO
Full Name (Last, First, Middle Initial)

Mailing Address 262 Old Farm Rd

City Flemington State NJ Zip Code 08822-6901

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **249.84**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
12 / 31 / 2013

Transaction ID : AD9CD2E9C4A7B4CF0933

Amount of Each Receipt this Period
114.51

Payroll Deduction: \$10.41/Bi-Monthly

C. Kathy Kathy Bakke
Full Name (Last, First, Middle Initial)

Mailing Address 13928 Rocky Gap Lane

City Charlotte State NC Zip Code 28278-8615

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation SVP, Human Resources

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.08**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
12 / 20 / 2013

Transaction ID : A263E2413FB7049C2AA7

Amount of Each Receipt this Period
114.62

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	779.13
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. James EDWARD Bashaw		Date of Receipt 12 / 31 / 2013 Transaction ID : AF45C6A2223C848C98DE
Mailing Address 5908 Riverview Way		Amount of Each Receipt this Period 2291.63
City Houston	State TX	Zip Code 77057-1434
FEC ID number of contributing federal political committee. C	Payroll Deduction: \$208.33/Bi-Monthly	
Name of Employer James E. Bashaw & Co.	Occupation CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4999.92	

Full Name (Last, First, Middle Initial) B. David P. Bergers		Date of Receipt 08 / 22 / 2013 Transaction ID : ADC52FAE0A8E24BA8ACA
Mailing Address 268 Fair Oaks Lane		Amount of Each Receipt this Period 1000.00
City Cohasset	State MA	Zip Code 02025
FEC ID number of contributing federal political committee. C		
Name of Employer LPL Financial LLC	Occupation MD, Gen Counsel & Govt Relatns	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) C. Harvey STUART Berk		Date of Receipt 12 / 31 / 2013 Transaction ID : AF615C8AF09A3403487A
Mailing Address 9 Conrad Ln		Amount of Each Receipt this Period 916.63
City New City	State NY	Zip Code 10956-6851
FEC ID number of contributing federal political committee. C	Payroll Deduction: \$83.33/Bi-Monthly	
Name of Employer Berk Wealth Management	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1999.92	

SUBTOTAL of Receipts This Page (optional).....▶	4208.26
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Mimi Mimi Bock
Full Name (Last, First, Middle Initial)

Mailing Address 6053 Seacrest View Road

City San Diego	State CA	Zip Code 92121-4593
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation EVP, Business Consulting
---------------------------------------	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2375.04

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A872FB30E368F4C758C9

Amount of Each Receipt this Period
1145.87

Payroll Deduction: \$104.17/Bi-Monthly

B. Paul WILLIAM Botte
Full Name (Last, First, Middle Initial)

Mailing Address 1691 Burris Dr

City El Cajon	State CA	Zip Code 92019-3596
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation Financial Advisor
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
499.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : AE525EE0B1CED41429FC

Amount of Each Receipt this Period
229.13

Payroll Deduction: \$20.83/Bi-Monthly

C. Michael S Brady
Full Name (Last, First, Middle Initial)

Mailing Address 22 Plymouth Rd

City Springfield	State PA	Zip Code 19064-1307
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial	Occupation Financial Consultant
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2333.33

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : AE154C6568AF14EA0AE5

Amount of Each Receipt this Period
1250.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	2625.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 10 OF 63
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. David A. A. Brounley
Full Name (Last, First, Middle Initial)

Mailing Address 407 Calle Robles

City San Clemente	State CA	Zip Code 92672-2127
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation SVP, Retirement Worksite
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **500.16**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A201936D33A644962A2B

Amount of Each Receipt this Period

229.24

Payroll Deduction: \$20.84/Bi-Monthly

B. Derek Derek Bruton
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 3070

City Rancho Santa Fe	State CA	Zip Code 92067-3070
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation MD, Independent Advisor Svcs
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1000.08**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A9A705353097F4512901

Amount of Each Receipt this Period

458.37

Payroll Deduction: \$41.67/Bi-Monthly

C. Jeffrey Jeffrey Buchheister
Full Name (Last, First, Middle Initial)

Mailing Address 2367 Juan St

City San Diego	State CA	Zip Code 92103-1110
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation SVP, Business Mgmt
---------------------------------------	----------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **600.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : AAC67AD37C1D44B4CB6B

Amount of Each Receipt this Period

275.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	962.61
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Steven ANDREW Budin
 Full Name (Last, First, Middle Initial)
 Mailing Address 25 Morninglow Pass
 City Henderson State NV Zip Code 89052-6683
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation Financial Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1999.92

Date of Receipt 12 / 31 / 2013
Transaction ID : AA14B46FDBF054E1998D
 Amount of Each Receipt this Period 916.63
 Payroll Deduction: \$83.33/Bi-Monthly

B. Robert Burns
 Full Name (Last, First, Middle Initial)
 Mailing Address 24151 Cascades Dr
 City Laguna Niguel State CA Zip Code 92677-2418
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation Financial Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 778.63

Date of Receipt 12 / 31 / 2013
Transaction ID : AD095AA77425E4408A47
 Amount of Each Receipt this Period 374.94
 Payroll Deduction: \$41.66/Bi-Monthly

C. Kathryn Kathryn Busch
 Full Name (Last, First, Middle Initial)
 Mailing Address 7162-A Calabria Court Unit A
 City San Diego State CA Zip Code 92122-5514
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation VP, Marketing
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.08

Date of Receipt 12 / 20 / 2013
Transaction ID : A2083636E16EE43DDA41
 Amount of Each Receipt this Period 114.62
 Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	1406.19
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. R. Craig Byrd
Full Name (Last, First, Middle Initial)

Mailing Address 1723 SE 270th Pl

City Camas	State WA	Zip Code 98607-8585
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation Financial Advisor
---------------------------------------	---------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
4999.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A300AB33E45ED48DA8CE

Amount of Each Receipt this Period
2291.63

Payroll Deduction: \$208.33/Bi-Monthly

B. Kelly PETER Campbell
Full Name (Last, First, Middle Initial)

Mailing Address 11727 River Dr

City Lorton	State VA	Zip Code 22079-4104
----------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Campbell Wealth Management	Occupation CEO
--	-------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
4791.59

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A1BD68515CF9D4A42B50

Amount of Each Receipt this Period
2291.63

Payroll Deduction: \$208.33/Bi-Monthly

C. ANNE CECILIA CATALANO
Full Name (Last, First, Middle Initial)

Mailing Address 808 Old Vestal Ln

City Owego	State NY	Zip Code 13827-0000
---------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Financial Advisor
---------------------------------------	---------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A716B6E6839054544B87

Amount of Each Receipt this Period
114.51

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	4697.77
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Bill Chetney
Full Name (Last, First, Middle Initial)

Mailing Address 35705 Beach Road

City Capistrano Beach State CA Zip Code 92624-1711

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation President, Retirement Partners

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 2400.00

Date of Receipt 12 / 20 / 2013
Transaction ID : A5B66F9CF5F9744948F5

Amount of Each Receipt this Period 1100.00

Payroll Deduction: \$100.00/Bi-Monthly

B. Suzanne H. Christian
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 1237

City Claremont State CA Zip Code 91711-1237

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Branch Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 999.84

Date of Receipt 12 / 31 / 2013
Transaction ID : A580457228A09479DA70

Amount of Each Receipt this Period 458.26

Payroll Deduction: \$41.66/Bi-Monthly

C. James THOMAS Christy
Full Name (Last, First, Middle Initial)

Mailing Address 1305 Stamford Way

City Herndon State VA Zip Code 20194-1315

FEC ID number of contributing federal political committee. **C**

Name of Employer Northwest Financial Occupation Sr. Wealth Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 08 / 26 / 2013
Transaction ID : ADF599A9E8E8045538F5

Amount of Each Receipt this Period 250.00

SUBTOTAL of Receipts This Page (optional).....▶ 1808.26

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. Crystal Crystal Clifford		Date of Receipt 12 / 20 / 2013 Transaction ID : A2A41DAD71D094790AE2
Mailing Address 1968 Sakina St		Amount of Each Receipt this Period 343.75
City El Cajon	State CA	Zip Code 92019-3404
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation SVP, Technology	Payroll Deduction: \$31.25/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	

Full Name (Last, First, Middle Initial) B. Heidi GRACE Clute		Date of Receipt 12 / 31 / 2013 Transaction ID : A5C10939BD17E43FDB48
Mailing Address 21 Pine Ridge Dr		Amount of Each Receipt this Period 749.97
City Morrisonville	State NY	Zip Code 12962-9671
FEC ID number of contributing federal political committee.	C	
Name of Employer Clute Wealth Management	Occupation Owner	Payroll Deduction: \$83.33/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1166.62	

Full Name (Last, First, Middle Initial) C. Scott A A Conroy		Date of Receipt 12 / 20 / 2013 Transaction ID : A4B68177E6E79423EB32
Mailing Address 11104 Caminito Inocenta		Amount of Each Receipt this Period 114.62
City San Diego	State CA	Zip Code 92126-6108
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation VP, RIA Solutions	Payroll Deduction: \$10.42/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.08	

SUBTOTAL of Receipts This Page (optional).....▶	1208.34
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/>	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. James Cox III
Full Name (Last, First, Middle Initial)

Mailing Address 9116 Atlee Lake Ct

City Mechanicsville	State VA	Zip Code 23116-2871
------------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Advisor
---------------------------------------	-----------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
208.32

Date of Receipt
12 / 15 / 2013
Transaction ID : **A3826AC4DFF08477BB97**

Amount of Each Receipt this Period
208.32

Payroll Deduction: \$104.16/Bi-Monthly

B. Kevin WAYNE Crumly
Full Name (Last, First, Middle Initial)

Mailing Address 804 Wood Pointe Dr

City Springfield	State IL	Zip Code 62702-3363
---------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Troxell Financial Advisors	Occupation President
--	-------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.84

Date of Receipt
12 / 31 / 2013
Transaction ID : **AAC11F0CC04884E77BB7**

Amount of Each Receipt this Period
114.51

Payroll Deduction: \$10.41/Bi-Monthly

C. Geff Daly
Full Name (Last, First, Middle Initial)

Mailing Address 71 Longwood Circle

City Kingston	State MA	Zip Code 02364-1353
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation AVP, Business Consulting
---------------------------------------	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.08

Date of Receipt
12 / 20 / 2013
Transaction ID : **ACBEDCF45C6F441288CA**

Amount of Each Receipt this Period
114.62

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	437.45
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Angela LOUISE Denham
 Full Name (Last, First, Middle Initial)
 Mailing Address 17602 Pecan Shadows Dr
 City Baton Rouge State LA Zip Code 70810-6581
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **333.28**

Date of Receipt **12 / 31 / 2013**
Transaction ID : A27AD2D312E5142CCBA1
 Amount of Each Receipt this Period **333.28**
 Payroll Deduction: \$41.66/Bi-Monthly

B. Joe Joe DeVierno
 Full Name (Last, First, Middle Initial)
 Mailing Address 13129 Wildflower Lane
 City Poway State CA Zip Code 92064-2048
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation SVP, Govn, Risk, & Compliance
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **220.00**

Date of Receipt **11 / 22 / 2013**
Transaction ID : A0989656E499D478DA4C
 Amount of Each Receipt this Period **90.00**
 Payroll Deduction: \$10.00/Bi-Monthly

C. Diane A Dix
 Full Name (Last, First, Middle Initial)
 Mailing Address 429 Shawmont Ave Apt A
 City Philadelphia State PA Zip Code 19128-4048
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Dix Financial Services LLC Occupation Financial Consultant
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **08 / 26 / 2013**
Transaction ID : A78D1F8DCF2804429A68
 Amount of Each Receipt this Period **250.00**

SUBTOTAL of Receipts This Page (optional)..... **673.28**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. David STAPLEY Dixon		Date of Receipt
Mailing Address 1419 Drakewood Ave		<input type="text" value="12"/> / <input type="text" value="31"/> / <input type="text" value="2013"/>
City	State	Zip Code
North Las Vegas	NV	89031-1694
FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer	Occupation	Transaction ID : A936CCA36A07B4BA8BAE
Lpl Financial Llc	Financial Advisor	
Receipt For:		Amount of Each Receipt this Period
<input type="checkbox"/> Primary	<input type="checkbox"/> General	<input type="text" value="458.26"/>
<input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	Payroll Deduction: \$41.66/Bi-Monthly
	<input type="text" value="999.84"/>	

Full Name (Last, First, Middle Initial) B. Richard CALVERT Donahue		Date of Receipt
Mailing Address 2407 Princeton Ct Apt 11		<input type="text" value="12"/> / <input type="text" value="31"/> / <input type="text" value="2013"/>
City	State	Zip Code
Bellingham	WA	98229-8840
FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer	Occupation	Transaction ID : AB3D52E457CFB47C1922
Asset Advisors, LLC	Financial Advisor	
Receipt For:		Amount of Each Receipt this Period
<input type="checkbox"/> Primary	<input type="checkbox"/> General	<input type="text" value="1145.76"/>
<input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	Payroll Deduction: \$104.16/Bi-Monthly
	<input type="text" value="2395.68"/>	

Full Name (Last, First, Middle Initial) C. Matthew Matthew Enyedi		Date of Receipt
Mailing Address 16058 Wayne Hill		<input type="text" value="12"/> / <input type="text" value="20"/> / <input type="text" value="2013"/>
City	State	Zip Code
San Diego	CA	92127-4182
FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer	Occupation	Transaction ID : A1EF446C344AC49FBA31
Lpl Financial Llc	SVP, RIA Solutions	
Receipt For:		Amount of Each Receipt this Period
<input type="checkbox"/> Primary	<input type="checkbox"/> General	<input type="text" value="229.24"/>
<input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼	Payroll Deduction: \$20.84/Bi-Monthly
	<input type="text" value="500.16"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="1833.26"/>
TOTAL This Period (last page this line number only).....▶	<input type="text" value=""/>

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Robert L Errico
Full Name (Last, First, Middle Initial)
Mailing Address 10 Villeneuve
City Newport Beach State CA Zip Code 92657-1023
FEC ID number of contributing federal political committee. **C**
Name of Employer Lpl Financial Llc Occupation Financial Advisor
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 3000.00

Date of Receipt 12 / 31 / 2013
Transaction ID : A309DB17F85754F639B2
Amount of Each Receipt this Period 1375.00
Payroll Deduction: \$125.00/Bi-Monthly

B. Sharon JOY Fall
Full Name (Last, First, Middle Initial)
Mailing Address 25 E Queen Elizabeth Ct
City Chester State MD Zip Code 21619
FEC ID number of contributing federal political committee. **C**
Name of Employer Sandy Spring Wealth Management Occupation financial planner
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 999.84

Date of Receipt 12 / 31 / 2013
Transaction ID : AD5F66FC927404267B1A
Amount of Each Receipt this Period 458.26
Payroll Deduction: \$41.66/Bi-Monthly

C. Mr. Richard NORTHRUP Fisher Jr.
Full Name (Last, First, Middle Initial)
Mailing Address 7003 Windsor Way
City Elon State NC Zip Code 27244-9404
FEC ID number of contributing federal political committee. **C**
Name of Employer Fisher Wealth Management Occupation Managing Partner
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 999.84

Date of Receipt 12 / 31 / 2013
Transaction ID : A49A8746B120B437CA92
Amount of Each Receipt this Period 458.26
Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶ 2291.52
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 19 OF 63
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Fitz Fisher
Full Name (Last, First, Middle Initial)
Mailing Address 867 Homestake Court
City Castle Rock State CO Zip Code 80108-9081
FEC ID number of contributing federal political committee. **C**
Name of Employer LPL Financial LLC Occupation EVP, Technology Risk Mgmt
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **1800.00**

Date of Receipt **12 / 20 / 2013**
Transaction ID : A0E4F132916AF443ABE0
Amount of Each Receipt this Period **825.00**
Payroll Deduction: \$75.00/Bi-Monthly

B. William ROBERT Flynn
Full Name (Last, First, Middle Initial)
Mailing Address 6027 Skyline Dr
City East Lansing State MI Zip Code 48823-1603
FEC ID number of contributing federal political committee. **C**
Name of Employer Michigan Financial Group Occupation Financial Consultant
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **249.84**

Date of Receipt **12 / 31 / 2013**
Transaction ID : ACCB2E9A00AAC4FEEA66
Amount of Each Receipt this Period **114.51**
Payroll Deduction: \$10.41/Bi-Monthly

C. Robert IVANO Fragasso
Full Name (Last, First, Middle Initial)
Mailing Address 611 Keith Rd
City Bethel Park State PA Zip Code 15102-3741
FEC ID number of contributing federal political committee. **C**
Name of Employer LPL Financial LLC Occupation Financial Advisor
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **2375.00**

Date of Receipt **12 / 31 / 2013**
Transaction ID : A5F3B4643585D4B4DACA
Amount of Each Receipt this Period **1125.00**
Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	2064.51
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Marc STUART Freedman
Full Name (Last, First, Middle Initial)

Mailing Address 119 Kara Dr

City	State	Zip Code
North Andover	MA	01845-4834

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Freedman Financial	President & CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **3666.52**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A63FCE4AF21F94867ADC

Amount of Each Receipt this Period

1833.26

Payroll Deduction: \$166.66/Bi-Monthly

B. Loreen MICHELLE Gilbert
Full Name (Last, First, Middle Initial)

Mailing Address 250 Beverly St

City	State	Zip Code
Laguna Beach	CA	92651-1402

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
WealthWise Financial Services	President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **333.28**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A7747AF2D22B049288BB

Amount of Each Receipt this Period

333.28

Payroll Deduction: \$41.66/Bi-Monthly

C. Jen Gloss
Full Name (Last, First, Middle Initial)

Mailing Address 4143 Bachman Pl

City	State	Zip Code
San Diego	CA	92103-2027

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Lpl Financial LLC	SVP, Business Consulting

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **375.12**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A7BAA27C46B4440B19DF

Amount of Each Receipt this Period

229.24

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	2395.78
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. Joy Joy Goble		Date of Receipt 12 / 20 / 2013 Transaction ID : A00DB99CE4D1248B8B83
Mailing Address 3217 Selwyn Farms Ln		Amount of Each Receipt this Period 385.00
City Charlotte	State NC	Zip Code 28209-4009
FEC ID number of contributing federal political committee. C	Payroll Deduction: \$35.00/Bi-Monthly	
Name of Employer Lpl Financial Llc	Occupation SVP, Product Management	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 840.00	

Full Name (Last, First, Middle Initial) B. William E Hamm Jr.		Date of Receipt 12 / 31 / 2013 Transaction ID : A9F1EE660BA60467BBF0
Mailing Address 657 Saxony Blvd		Amount of Each Receipt this Period 2291.63
City Saint Petersburg	State FL	Zip Code 33716-1297
FEC ID number of contributing federal political committee. C	Payroll Deduction: \$208.33/Bi-Monthly	
Name of Employer IFP	Occupation CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4583.26	

Full Name (Last, First, Middle Initial) C. Sharyn Handelsman		Date of Receipt 07 / 22 / 2013 Transaction ID : AEA7984270558464AA5F
Mailing Address 4504 Swing Lane		Amount of Each Receipt this Period 750.00
City Charlotte	State NC	Zip Code 28226-3422
FEC ID number of contributing federal political committee. C		
Name of Employer LPL Financial LLC	Occupation EVP, Compliance	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	

SUBTOTAL of Receipts This Page (optional).....▶	3426.63
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 22 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. Melanie Melanie Hardin		Date of Receipt 12 / 20 / 2013 Transaction ID : AD0095034BE4E93B71
Mailing Address 700 South Lake St		Amount of Each Receipt this Period 110.00
City Pasadena	State CA	Zip Code 91106-1127
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation EVP, Invstment & Pltfrm Sltms	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 240.00	
		Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial) B. Allison Allison Harris		Date of Receipt 12 / 20 / 2013 Transaction ID : ABC76FAAFA6BA440A871
Mailing Address 7354 Caminito Carlotta		Amount of Each Receipt this Period 45.87
City San Diego	State CA	Zip Code 92120-2215
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation VP, Events & Conferences	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 200.08	
		Payroll Deduction: \$4.17/Bi-Monthly

Full Name (Last, First, Middle Initial) C. EDWARD JAMES HARRIS		Date of Receipt 12 / 31 / 2013 Transaction ID : A0EAC3C3320D84C9FA77
Mailing Address 60 Park Ter		Amount of Each Receipt this Period 458.26
City Washington	State PA	Zip Code 15301-3055
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 999.84	
		Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	614.13
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Michael PATRICK Hatch
Full Name (Last, First, Middle Initial)

Mailing Address 3618 San Pasqual St

City	State	Zip Code
Pasadena	CA	91107-5419

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
The Sterling Group	Financial Advisor & Principal

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1833.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 31 / 2013

Transaction ID : A1209ED76ECFA4F3E98D

Amount of Each Receipt this Period
833.30

Payroll Deduction: \$83.33/Bi-Monthly

B. Brad Hearn
Full Name (Last, First, Middle Initial)

Mailing Address 7293 Calle Conifera

City	State	Zip Code
Carlsbad	CA	92009-8699

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	EVP, Insurance Associates

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1008.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2013

Transaction ID : A6CDEDE613B5B74735BEA

Amount of Each Receipt this Period
462.00

Payroll Deduction: \$42.00/Bi-Monthly

C. Mark Mark Helliker
Full Name (Last, First, Middle Initial)

Mailing Address 700 W Harbor Drive

City	State	Zip Code
San Diego	CA	92101-1409

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Lpl Financial Llc	MD, Broker Dealer Support Svcs

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
3600.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2013

Transaction ID : A6E2183C018604F68942

Amount of Each Receipt this Period
1650.00

Payroll Deduction: \$150.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	2945.30
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. D'Arcy D'Arcy Henson
Full Name (Last, First, Middle Initial)

Mailing Address 8503 Priory Ct

City Waxhaw	State NC	Zip Code 28173-6542
----------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation VP, Govn, Risk & Compliance
---------------------------------------	---

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.08**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A85CD5A7799714730BDO

Amount of Each Receipt this Period

114.62

Payroll Deduction: \$10.42/Bi-Monthly

B. David GEORGE Herbst
Full Name (Last, First, Middle Initial)

Mailing Address 925 Burgess Hill Rd

City Naperville	State IL	Zip Code 60565-6106
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation Financial Advisor
---------------------------------------	---------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **3999.84**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : AF53ABF2EFFBF46A989E

Amount of Each Receipt this Period

1833.26

Payroll Deduction: \$166.66/Bi-Monthly

C. Peggy Ho
Full Name (Last, First, Middle Initial)

Mailing Address 6 Lilac Circle

City Wellesley	State MA	Zip Code 02482-4518
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial LLC	Occupation SVP, Associate Counsel
---------------------------------------	--------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **395.77**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A15EAEC32841D455FBF7

Amount of Each Receipt this Period

229.13

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	2177.01
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 25 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Brad Brad Jacobs
Full Name (Last, First, Middle Initial)

Mailing Address 5 Beacham Place

City Hingham	State MA	Zip Code 02043-3331
-----------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation SVP, Associate Counsel
---------------------------------------	--------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **600.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A5651C42DB6F64B02B43

Amount of Each Receipt this Period

275.00

Payroll Deduction: \$25.00/Bi-Monthly

B. Michael WILLIAM Jowdy
Full Name (Last, First, Middle Initial)

Mailing Address 715 Marvista Ave

City Seal Beach	State CA	Zip Code 90740-5835
--------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation CFP
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **302.02**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
07	/	31	/	2013

Transaction ID : A6CB3BAF719E0476193F

Amount of Each Receipt this Period

25.00

C. Michael WILLIAM Jowdy
Full Name (Last, First, Middle Initial)

Mailing Address 715 Marvista Ave

City Seal Beach	State CA	Zip Code 90740-5835
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation CFP
---------------------------------------	-------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1135.32**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A1D2772B4A10046F4B2A

Amount of Each Receipt this Period

916.63

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	1216.63
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 26 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Andy Kalbaugh
Full Name (Last, First, Middle Initial)

Mailing Address 6713 Seton House Lane

City Charlotte State NC Zip Code 28277-4519

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation MD, Institution Services

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.08

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 20 / 2013

Transaction ID : A65F869D599BC4D19A1D

Amount of Each Receipt this Period
 1145.87

Payroll Deduction: \$104.17/Bi-Monthly

B. Joy DAVIS Kirsch
Full Name (Last, First, Middle Initial)

Mailing Address 2324A Cheek-Sparger Rd

City Dallas State TX Zip Code 76021

FEC ID number of contributing federal political committee. **C**

Name of Employer Kirsch & Associates Occupation CFO of Life Changing Events

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 11 / 2013

Transaction ID : A1E5ED9CC9B1245F0B7C

Amount of Each Receipt this Period
 250.00

C. David BLANDIN La Pointe
Full Name (Last, First, Middle Initial)

Mailing Address 37919 Luna Dr

City Temecula State CA Zip Code 92592-9053

FEC ID number of contributing federal political committee. **C**

Name of Employer La Pointe Wealth Management Occupation Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 4583.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 12 / 31 / 2013

Transaction ID : A6B22F3169A694A7BA2E

Amount of Each Receipt this Period
 2083.30

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	3479.17
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 27 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Stephen Stephen Lank
Full Name (Last, First, Middle Initial)

Mailing Address 15936 Glen Miro Drive

City State Zip Code
Huntersville NC 28078-2255

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Lpl Financial Llc EVP, Operations

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1200.00

Date of Receipt
12 / 20 / 2013
Transaction ID : ABAE4B53F8B2B499D8F5

Amount of Each Receipt this Period
550.00

Payroll Deduction: \$50.00/Bi-Monthly

B. Sallie R. R. Larsen
Full Name (Last, First, Middle Initial)

Mailing Address 1407 Olivet Lane

City State Zip Code
San Diego CA 92037-3837

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
LPL Financial LLC MD, Chief HC Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt
07 / 17 / 2013
Transaction ID : A16A2F0254980430CBEB

Amount of Each Receipt this Period
500.00

C. Mark EDWARD Leibman
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 165

City State Zip Code
Cedar Creek NE 68016-0165

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
LPL Financial LLC Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
350.00

Date of Receipt
12 / 31 / 2013
Transaction ID : AF0313BE6809244B3B73

Amount of Each Receipt this Period
350.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶ 1400.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 28 OF 63
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. Lawrence NELSON Leitch		Date of Receipt 12 / 31 / 2013 Transaction ID : A4FA7C341A1DB4484A01
Mailing Address 29 Windflower Ct		Amount of Each Receipt this Period 550.00
City Reisterstown	State MD	Zip Code 21136-5651
FEC ID number of contributing federal political committee. C	Payroll Deduction: \$50.00/Bi-Monthly	
Name of Employer Synergy Financial Group	Occupation Partner	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 900.00	

Full Name (Last, First, Middle Initial) B. Mr. DANIEL W LEVERONI		Date of Receipt 12 / 31 / 2013 Transaction ID : A518F1F496DBF4E1CBC5
Mailing Address 853 Main St		Amount of Each Receipt this Period 229.13
City Cotuit	State MA	Zip Code 02635-3111
FEC ID number of contributing federal political committee. C	Payroll Deduction: \$20.83/Bi-Monthly	
Name of Employer LPL Financial LLC	Occupation Financial Advisor	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 479.09	

Full Name (Last, First, Middle Initial) C. Timothy W Leveroni		Date of Receipt 12 / 31 / 2013 Transaction ID : AEAD946F3899A4C5AB3A
Mailing Address 1100 Randolph Ave		Amount of Each Receipt this Period 229.13
City Milton	State MA	Zip Code 02186-5235
FEC ID number of contributing federal political committee. C	Payroll Deduction: \$20.83/Bi-Monthly	
Name of Employer LPL Financial LLC	Occupation Financial Advisor	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 499.92	

SUBTOTAL of Receipts This Page (optional).....▶	1008.26
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 29 OF 63
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. William LEE Litton
Full Name (Last, First, Middle Initial)

Mailing Address 10309 Avenida Magnifica

City San Diego State CA Zip Code 92131-1402

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **600.00**

Date of Receipt **12 / 31 / 2013**

Transaction ID : A7B01D3BF92A3459BB89

Amount of Each Receipt this Period **275.00**

Payroll Deduction: \$25.00/Bi-Monthly

B. Tom Lux
Full Name (Last, First, Middle Initial)

Mailing Address 5420 LaJolla Boulevard, Unit B203
Unit B203

City San Diego State CA Zip Code 92037-7683

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation EVP, Chief Accounting Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2500.08**

Date of Receipt **12 / 20 / 2013**

Transaction ID : AEFEC39E502EE480CBAB

Amount of Each Receipt this Period **1145.87**

Payroll Deduction: \$104.17/Bi-Monthly

c. Mr. Cam JOEL Mader
Full Name (Last, First, Middle Initial)

Mailing Address 10660 Glen Eagle Rd

City Saint Paul State MN Zip Code 55129-4223

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **291.62**

Date of Receipt **12 / 31 / 2013**

Transaction ID : A82198BC33D3847B99B7

Amount of Each Receipt this Period **291.62**

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	1712.49
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 30 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Laila SOBHI Marshall-Pence
 Full Name (Last, First, Middle Initial)
 Mailing Address 10572 Villa Del Cerro
 City Santa Ana State CA Zip Code 92705-1486
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Pence Wealth Management Occupation President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 4999.92

Date of Receipt 12 / 31 / 2013
Transaction ID : A26EF7A03D6BF4895A52
 Amount of Each Receipt this Period 2291.63
 Payroll Deduction: \$208.33/Bi-Monthly

B. Theodore ROCCO Massaro
 Full Name (Last, First, Middle Initial)
 Mailing Address 36 Harvest Ln
 City Medford State NJ Zip Code 08055-8450
 FEC ID number of contributing federal political committee. **C**
 Name of Employer M Financial Planning Services Occupation Financial Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 3000.00

Date of Receipt 12 / 31 / 2013
Transaction ID : AF0FF7D27C30C4FDBA3F
 Amount of Each Receipt this Period 1375.00
 Payroll Deduction: \$125.00/Bi-Monthly

C. Robert ARTHUR Matson
 Full Name (Last, First, Middle Initial)
 Mailing Address 333 Orchard St
 City Millis State MA Zip Code 02054-1025
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Robert A Matson CLU, ChFC Occupation Owner
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 999.84

Date of Receipt 12 / 31 / 2013
Transaction ID : A7A05672DB595475E8D8
 Amount of Each Receipt this Period 458.26
 Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	4124.89
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 31 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. DAVID JOHN MC MENAMIN
 Full Name (Last, First, Middle Initial)
 Mailing Address 5100 W Rhyolite Loop
 City Tucson State AZ Zip Code 85745-8967
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1499.94**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AE015A2D010274074822
 Amount of Each Receipt this Period **666.64**
 Payroll Deduction: \$83.33/Bi-Monthly

B. Robert EDWARD Micone
 Full Name (Last, First, Middle Initial)
 Mailing Address 360 E 1st St
 City Tustin State CA Zip Code 92780-3211
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL-IAS Occupation Registered Principal
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **2250.00**

Date of Receipt **12 / 31 / 2013**
Transaction ID : A85230277F6954FE0A80
 Amount of Each Receipt this Period **1375.00**
 Payroll Deduction: \$125.00/Bi-Monthly

C. Paul Paul Middlemiss
 Full Name (Last, First, Middle Initial)
 Mailing Address 721 Governor Morrison Street
 City Charlotte State NC Zip Code 28211-3339
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation EVP, CCO Advisory
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.08**

Date of Receipt **12 / 20 / 2013**
Transaction ID : AABC37DF668B401CB10
 Amount of Each Receipt this Period **114.62**
 Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	2156.26
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 32 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. John John Milder		Date of Receipt 12 / 20 / 2013 Transaction ID : AA2A434DC3A9741DAB8C
Mailing Address 1031 N Marion St		Amount of Each Receipt this Period 114.62
City Oak Park	State IL	Zip Code 60302-1374
FEC ID number of contributing federal political committee. C	Name of Employer Lpl Financial Llc	Occupation VP, Regional Adv Consultant
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.08	
Payroll Deduction: \$10.42/Bi-Monthly		

Full Name (Last, First, Middle Initial) B. EDWARD BRUCE MILLER		Date of Receipt 12 / 31 / 2013 Transaction ID : A0C8CB1CC011841EE818
Mailing Address 1721 Bardstown Rd		Amount of Each Receipt this Period 1666.64
City Charlotte	State NC	Zip Code 28226-0915
FEC ID number of contributing federal political committee. C	Name of Employer LPL Financial LLC	Occupation Advisor
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1666.64	
Payroll Deduction: \$208.33/Bi-Monthly		

Full Name (Last, First, Middle Initial) C. Shari DIANE Miller		Date of Receipt 12 / 31 / 2013 Transaction ID : ACAC7988AB9ED458FBE4
Mailing Address 4250 Shorepointe Way		Amount of Each Receipt this Period 416.60
City San Diego	State CA	Zip Code 92130-8634
FEC ID number of contributing federal political committee. C	Name of Employer Lpl Financial Llc	Occupation manager
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 916.52	
Payroll Deduction: \$41.66/Bi-Monthly		

SUBTOTAL of Receipts This Page (optional).....▶	2197.86
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 33 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 18

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. Robert Robert Moore		Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 20 / 2013
Mailing Address PO Box 2764		Transaction ID : AF7D8B71C0DBC4A638D1
City Rancho Santa Fe	State CA	Zip Code 92067-2764
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4999.92	
		Amount of Each Receipt this Period 2291.63
		Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial) B. Bill Morrissey		Date of Receipt M M / D D / Y Y Y Y Y Y 08 / 22 / 2013
Mailing Address 5703 Beaumont Avenue		Transaction ID : AE80E61674B2E4F68AB8
City La Jolla	State CA	Zip Code 92037-7307
FEC ID number of contributing federal political committee.	C	
Name of Employer LPL Financial LLC	Occupation EVP, IAS Business Development	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
		Amount of Each Receipt this Period 1000.00

Full Name (Last, First, Middle Initial) C. Thomas Thomas Murphy		Date of Receipt M M / D D / Y Y Y Y Y Y 12 / 20 / 2013
Mailing Address 4475 Osprey St		Transaction ID : AA24BFA29033B4118930
City San Diego	State CA	Zip Code 92107-4030
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation VP, Product Management	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.08	
		Amount of Each Receipt this Period 114.62
		Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	3406.25
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 34 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. William O'Connor
Full Name (Last, First, Middle Initial)

Mailing Address 100 Pacifica Suite 400

City Irvine State CA Zip Code 92618-7449

FEC ID number of contributing federal political committee. **C**

Name of Employer Applied Financial Planning, Inc. Occupation Founder

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt 08 / 08 / 2013
Transaction ID : A17BF8A6930794EFE9F7

Amount of Each Receipt this Period 500.00

B. John Oettinger
Full Name (Last, First, Middle Initial)

Mailing Address 17805 Buehler Rd Apt 116

City Olney State MD Zip Code 20832-2353

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 499.92

Date of Receipt 12 / 31 / 2013
Transaction ID : A5B5B9032F5094C9F8A9

Amount of Each Receipt this Period 229.13

Payroll Deduction: \$20.83/Bi-Monthly

C. Carlo ANTHONY Panaccione
Full Name (Last, First, Middle Initial)

Mailing Address 12760 Dianne Dr

City Los Altos Hills State CA Zip Code 94022-4606

FEC ID number of contributing federal political committee. **C**

Name of Employer Navigation Group, Inc. Occupation Private Wealth Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 3166.54

Date of Receipt 12 / 31 / 2013
Transaction ID : AD69EF92D0BE84AEEAE9

Amount of Each Receipt this Period 1166.62

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶ 1895.75

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 35 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. VICKIE LINN PETIX
Full Name (Last, First, Middle Initial)

Mailing Address 2529 Castellon Ter

City El Cajon State CA Zip Code 92019-3859

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Financial Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **499.92**

Date of Receipt **12 / 31 / 2013**

Transaction ID : A3928BD0C923043A4B00

Amount of Each Receipt this Period **229.13**

Payroll Deduction: \$20.83/Bi-Monthly

B. David ANTHONY Pickler
Full Name (Last, First, Middle Initial)

Mailing Address 2268 Birchton Dr

City Germantown State TN Zip Code 38139-5251

FEC ID number of contributing federal political committee. **C**

Name of Employer Pickler Wealth Advisors Occupation CEO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **3999.84**

Date of Receipt **12 / 31 / 2013**

Transaction ID : A5CF69B0E84754DAFA56

Amount of Each Receipt this Period **1833.26**

Payroll Deduction: \$166.66/Bi-Monthly

C. Andrew Andrew Putterman
Full Name (Last, First, Middle Initial)

Mailing Address 6603 Glenbrook Road

City Chevy Chase State MD Zip Code 20815-6514

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Chairman Emeritus

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **500.16**

Date of Receipt **12 / 20 / 2013**

Transaction ID : A5357AE83D44B4989B3B

Amount of Each Receipt this Period **229.24**

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... **2291.63**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 36 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. David Reich
Full Name (Last, First, Middle Initial)

Mailing Address 7995 Entrada De Luz West

City	State	Zip Code
San Diego	CA	92127-2512

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	EVP, Retirement Platform Dev

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2500.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : AFEEFF1ACE54941B882E

Amount of Each Receipt this Period
1145.87

Payroll Deduction: \$104.17/Bi-Monthly

B. Barry JONATHAN Reid
Full Name (Last, First, Middle Initial)

Mailing Address 27604 Marine View Dr S

City	State	Zip Code
Des Moines	WA	98198-9347

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial	Registered Principal

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
249.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A983560FE6DE14E4AB7F

Amount of Each Receipt this Period
114.51

Payroll Deduction: \$10.41/Bi-Monthly

C. Lee Richardson
Full Name (Last, First, Middle Initial)

Mailing Address 14823 Asheton Creek Dr

City	State	Zip Code
Charlotte	NC	28273-3448

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	VP, Technology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.02

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A2BCEE7E283DB41E7944

Amount of Each Receipt this Period
250.02

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	1510.40
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 37 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Richard ROBERTS Robie
Full Name (Last, First, Middle Initial)

Mailing Address 106 Mist Flower St

City Lake Jackson State TX Zip Code 77566-6031

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Financial Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **249.84**

Date of Receipt **12 / 31 / 2013**

Transaction ID : A1C227BB3BCAD47FEA41

Amount of Each Receipt this Period **114.51**

Payroll Deduction: \$10.41/Bi-Monthly

B. Carol L. Rogers
Full Name (Last, First, Middle Initial)

Mailing Address 1306 Conway Oaks Dr

City Chesterfield State MO Zip Code 63017-1958

FEC ID number of contributing federal political committee. **C**

Name of Employer Rogers & Company, A Wealth Management Occupation President, CEO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **999.84**

Date of Receipt **12 / 31 / 2013**

Transaction ID : ACB55B48C4A19476DB92

Amount of Each Receipt this Period **458.26**

Payroll Deduction: \$41.66/Bi-Monthly

C. Michelle Michelle Rohrbach
Full Name (Last, First, Middle Initial)

Mailing Address 5661 La Jolla Hermosa Avenue

City San Diego State CA Zip Code 92037-7549

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation SVP, Business Consulting

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **250.08**

Date of Receipt **12 / 20 / 2013**

Transaction ID : A2CB2DEEAB7974C51AF3

Amount of Each Receipt this Period **114.62**

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	687.39
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 38 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Karl HORACE Romero
 Full Name (Last, First, Middle Initial)
 Mailing Address 6 De Soto Way
 City Trabuco Canyon State CA Zip Code 92679-3600
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation Principal
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1999.92

Date of Receipt 12 / 31 / 2013
Transaction ID : A027B7C7B83724209AEF
 Amount of Each Receipt this Period 916.63
 Payroll Deduction: \$83.33/Bi-Monthly

B. Philip Philip Rosplock
 Full Name (Last, First, Middle Initial)
 Mailing Address 6822 Williams Road
 City Concord State OH Zip Code 44077-9513
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation VP, Trust Officer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 500.16

Date of Receipt 12 / 20 / 2013
Transaction ID : A196EB18DF2B245E1847
 Amount of Each Receipt this Period 229.24
 Payroll Deduction: \$20.84/Bi-Monthly

C. Arthur R. Salomon
 Full Name (Last, First, Middle Initial)
 Mailing Address 459 Woodlake Way
 City Lexington State KY Zip Code 40502-2535
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Salomon & Co. Occupation Financial Advisor-CEO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 680.26

Date of Receipt 07 / 31 / 2013
Transaction ID : AF661CB59B3EC4188B2F
 Amount of Each Receipt this Period 30.26
 Payroll Deduction: \$30.26/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶ 1176.13
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 39 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Arthur R. Salomon
Full Name (Last, First, Middle Initial)

Mailing Address 459 Woodlake Way

City Lexington State KY Zip Code 40502-2535

FEC ID number of contributing federal political committee. **C**

Name of Employer Salomon & Co. Occupation Financial Advisor-CEO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **1180.26**

Date of Receipt **12 / 31 / 2013**

Transaction ID : A73F46A0F1BE94A91A64

Amount of Each Receipt this Period **500.00**

Payroll Deduction: \$50.00/Bi-Monthly

B. Dan Schuck
Full Name (Last, First, Middle Initial)

Mailing Address 10370 Crosscreek Terrace

City San Diego State CA Zip Code 92131-4207

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Technology

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **2400.00**

Date of Receipt **12 / 20 / 2013**

Transaction ID : ACCD6446200A6437087B

Amount of Each Receipt this Period **1100.00**

Payroll Deduction: \$100.00/Bi-Monthly

c. Charles Charles Schwab
Full Name (Last, First, Middle Initial)

Mailing Address 3501 Lone Hill Lane

City Encinitas State CA Zip Code 92024-7276

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation EVP, BTS

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **750.06**

Date of Receipt **12 / 20 / 2013**

Transaction ID : AC74D5D3B545C49F09E2

Amount of Each Receipt this Period **458.37**

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	2058.37
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 40 OF 63
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Thomas Seeburger
 Full Name (Last, First, Middle Initial)
 Mailing Address 423 South Nevada Street
 City Oceanside State CA Zip Code 92054-4038
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial LLC Occupation SVP, Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 499.92

Date of Receipt 12 / 20 / 2013
Transaction ID : A02B7A7E8E2F8407EB97
 Amount of Each Receipt this Period 229.13
 Payroll Deduction: \$20.83/Bi-Monthly

B. Keith Shores
 Full Name (Last, First, Middle Initial)
 Mailing Address 4923 Bradshaw Court
 City San Diego State CA Zip Code 92130-2751
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation SVP, Treasurer
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 791.73

Date of Receipt 12 / 20 / 2013
Transaction ID : AA7EB608455144D28B53
 Amount of Each Receipt this Period 458.37
 Payroll Deduction: \$41.67/Bi-Monthly

C. Jim Shorris
 Full Name (Last, First, Middle Initial)
 Mailing Address 4113 Avalon Drive
 City Sharon State MA Zip Code 02067-3504
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial LLC Occupation EVP, Deputy General Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 374.94

Date of Receipt 12 / 20 / 2013
Transaction ID : A9EF3DD7A4023420E815
 Amount of Each Receipt this Period 229.13
 Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	916.63
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 41 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Gerry Soderstrom
Full Name (Last, First, Middle Initial)

Mailing Address 5518 Bellevue Ave

City	State	Zip Code
La Jolla	CA	92037-7626

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	SVP, Internal Audit

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1200.00**

Date of Receipt
12 / 20 / 2013
Transaction ID : A1355D0DE237F434F901

Amount of Each Receipt this Period
550.00

Payroll Deduction: \$50.00/Bi-Monthly

B. Adam Sokolic
Full Name (Last, First, Middle Initial)

Mailing Address 2752 29th St

City	State	Zip Code
San Diego	CA	92104-4909

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Lpl Financial LLC	SVP, Retirement Partners

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **499.92**

Date of Receipt
12 / 20 / 2013
Transaction ID : AFDB3ADDDF0EB4BE6831

Amount of Each Receipt this Period
229.13

Payroll Deduction: \$20.83/Bi-Monthly

C. Greg ROBERT Solis
Full Name (Last, First, Middle Initial)

Mailing Address 49335 Avenida Fernando

City	State	Zip Code
La Quinta	CA	92253-2744

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Lpl Financial Llc	Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1999.92**

Date of Receipt
12 / 31 / 2013
Transaction ID : A6D53D46FD3B84160ADA

Amount of Each Receipt this Period
916.63

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	1695.76
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 42 OF 63
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Joe Sovcik
Full Name (Last, First, Middle Initial)

Mailing Address 2007 18th Ave. NE

City Issaquah	State WA	Zip Code 98029-7375
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation VP, Strategy
---------------------------------------	----------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	20	/	2013

Transaction ID : A97ED9BF6C07F4801BC2

Amount of Each Receipt this Period
114.62

Payroll Deduction: \$10.42/Bi-Monthly

B. Gary M Stanislowski
Full Name (Last, First, Middle Initial)

Mailing Address 2992 E 78th St

City Tulsa	State OK	Zip Code 74136-8732
---------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Regent Financial Services, Inc.	Occupation President
---	-------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1999.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A283FA7B052814B93999

Amount of Each Receipt this Period
916.63

Payroll Deduction: \$83.33/Bi-Monthly

C. John P Stevens
Full Name (Last, First, Middle Initial)

Mailing Address 6697 Mahogany Ct

City Liberty Township	State OH	Zip Code 45044-8894
--------------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Stevens Investment Group, Inc	Occupation Wealth Advisor
---	------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
999.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A247ED5B6F30B4804B36

Amount of Each Receipt this Period
458.26

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	1489.51
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 43 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Kevin PETER Supka
 Full Name (Last, First, Middle Initial)
 Mailing Address 1408 Stocton Rd
 City Jenkintown State PA Zip Code 19046-1131
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial Occupation Registered Principal
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **2999.88**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AC58881AA0F064CC1A1C
 Amount of Each Receipt this Period **1833.26**
 Payroll Deduction: \$166.66/Bi-Monthly

B. Cindy Cindy Swank
 Full Name (Last, First, Middle Initial)
 Mailing Address 5138 Bevington Place
 City Charlotte State NC Zip Code 28277-5505
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation EVP, Service Value Commitment
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.08**

Date of Receipt **12 / 20 / 2013**
Transaction ID : A532CF21D175B48C9A51
 Amount of Each Receipt this Period **114.62**
 Payroll Deduction: \$10.42/Bi-Monthly

C. Kimberly Kimberly Sweet
 Full Name (Last, First, Middle Initial)
 Mailing Address 11153 Gatemoore Way
 City San Diego State CA Zip Code 92131-3645
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation SVP, Business Mgmt
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.08**

Date of Receipt **12 / 20 / 2013**
Transaction ID : A2BB959D8092C4157862
 Amount of Each Receipt this Period **114.62**
 Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	2062.50
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 44 OF 63
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15
<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. Todd Todd Tharp		Date of Receipt M M / D D / Y Y Y Y Y 12 / 20 / 2013 Transaction ID : AF80425772387485E997
Mailing Address 6209 Beaumont		Amount of Each Receipt this Period 458.37
City La Jolla	State CA	Zip Code 92037-5305
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation EVP, Broker Dealer Supt Svcs	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 708.39	
Payroll Deduction: \$41.67/Bi-Monthly		

Full Name (Last, First, Middle Initial) B. Cinda Cinda Todd		Date of Receipt M M / D D / Y Y Y Y Y 12 / 20 / 2013 Transaction ID : AD766C18BA42C485B8CA
Mailing Address 9320 Praful Court		Amount of Each Receipt this Period 114.62
City Santee	State CA	Zip Code 92071-2237
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation VP, Business Mgmt	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.08	
Payroll Deduction: \$10.42/Bi-Monthly		

Full Name (Last, First, Middle Initial) C. Joan MARIE Valenti		Date of Receipt M M / D D / Y Y Y Y Y 12 / 31 / 2013 Transaction ID : A9F6306AF6E6F4E369E0
Mailing Address 6 Morgan Pl		Amount of Each Receipt this Period 2291.63
City Unionville	State CT	Zip Code 06085-1178
FEC ID number of contributing federal political committee.	C	
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4999.92	
Payroll Deduction: \$208.33/Bi-Monthly		

SUBTOTAL of Receipts This Page (optional).....▶	2864.62
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 45 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Kenneth WILLIAM Van Leeuwen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1010 Barclay Blvd
 City Princeton State NJ Zip Code 08540-5883
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Van Leeuwen& Co. LLC Occupation Managing Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **3000.00**

Date of Receipt **12 / 31 / 2013**
Transaction ID : A8F753544C48B484092B
 Amount of Each Receipt this Period **1375.00**
 Payroll Deduction: \$125.00/Bi-Monthly

B. Scott Scott Vock
 Full Name (Last, First, Middle Initial)
 Mailing Address 2503 Roswell Avenue
 City Charlotte State NC Zip Code 28209-1659
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation VP, Technology
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **499.92**

Date of Receipt **12 / 20 / 2013**
Transaction ID : A035A2F51501149F3817
 Amount of Each Receipt this Period **229.13**
 Payroll Deduction: \$20.83/Bi-Monthly

C. Wayne von Borstel
 Full Name (Last, First, Middle Initial)
 Mailing Address 1603 Morton St
 City The Dalles State OR Zip Code 97058-4006
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial LLC Occupation financial planner
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **2083.30**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AFDB60FA84AA846608DD
 Amount of Each Receipt this Period **2083.30**
 Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	3687.43
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 46 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Wayne DAVID Wagner Jr.
 Full Name (Last, First, Middle Initial)
 Mailing Address 8 Leap Dr
 City Pennsville State NJ Zip Code 08070-1117
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Vizionary Wealth Management Occupation President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **3000.00**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AF339B7457F3149C0909
 Amount of Each Receipt this Period **1375.00**
 Payroll Deduction: \$125.00/Bi-Monthly

B. Michael JAMES Ward
 Full Name (Last, First, Middle Initial)
 Mailing Address 1491 Berne-Altamont Rd
 City Albany State NY Zip Code 12209-0000
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **499.92**

Date of Receipt **12 / 31 / 2013**
Transaction ID : A83BB128D7F5A46AE8C5
 Amount of Each Receipt this Period **229.13**
 Payroll Deduction: \$20.83/Bi-Monthly

C. Jeffrey Jeffrey Warren
 Full Name (Last, First, Middle Initial)
 Mailing Address 7137 Sherbourne Lane
 City San Diego State CA Zip Code 92129-4655
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation SVP, Service
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **720.00**

Date of Receipt **12 / 20 / 2013**
Transaction ID : A6B4569E43CB8499DB7A
 Amount of Each Receipt this Period **330.00**
 Payroll Deduction: \$30.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... **1934.13**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 47 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Michael J Watson
Full Name (Last, First, Middle Initial)

Mailing Address 2029 14th St

City Encinitas State CA Zip Code 92024-6806

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation SVP, Business Consulting

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **333.28**

Date of Receipt **08 / 16 / 2013**

Transaction ID : AFA1FE21C10CE47649CB

Amount of Each Receipt this Period **62.49**

Payroll Deduction: \$20.83/Bi-Monthly

B. Kelly RAE Welker
Full Name (Last, First, Middle Initial)

Mailing Address 22332 Fossil Rdg

City San Antonio State TX Zip Code 78261-3015

FEC ID number of contributing federal political committee. **C**

Name of Employer Renaissance Wealth Management Group of Occupation Registered Principle

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **791.54**

Date of Receipt **10 / 15 / 2013**

Transaction ID : ACBFF0F9820914E91911

Amount of Each Receipt this Period **249.96**

Payroll Deduction: \$41.66/Bi-Monthly

C. Geoffrey Geoffrey White
Full Name (Last, First, Middle Initial)

Mailing Address 7454 Sundial Place

City Carlsbad State CA Zip Code 92011-4688

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation SVP, Retirement Recruiting

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **240.00**

Date of Receipt **12 / 20 / 2013**

Transaction ID : A901E928CD7324972B36

Amount of Each Receipt this Period **110.00**

Payroll Deduction: \$10.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... **422.45**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 48 OF 63
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Michael C. F. Williams
 Full Name (Last, First, Middle Initial)
 Mailing Address 15 Ivy Ln
 City Chester Springs State PA Zip Code 19425-3316
 FEC ID number of contributing federal political committee. **C**
 Name of Employer First Financial Networks. Inc Occupation President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **3999.84**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AC3C7DB87949C4913A4D
 Amount of Each Receipt this Period **1833.26**
 Payroll Deduction: \$166.66/Bi-Monthly

B. Warren DAVID Wise
 Full Name (Last, First, Middle Initial)
 Mailing Address 437 Wedgewood Ct
 City Eden State NC Zip Code 27288-2841
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **2499.84**

Date of Receipt **12 / 31 / 2013**
Transaction ID : AF6F8FA8733F042FAB38
 Amount of Each Receipt this Period **1145.76**
 Payroll Deduction: \$104.16/Bi-Monthly

C. Gregory M. M. Woods
 Full Name (Last, First, Middle Initial)
 Mailing Address 116 Pembroke Street
 City Boston State MA Zip Code 02118-1208
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Lpl Financial Llc Occupation EVP, Deputy General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1000.08**

Date of Receipt **12 / 20 / 2013**
Transaction ID : AA2BF0A4DB86243E5AFD
 Amount of Each Receipt this Period **458.37**
 Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	3437.39
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 49 OF 63
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)
A. Robert ALAN Young

Mailing Address 14504 County Road 96B

City	State	Zip Code
Woodland	CA	95695-9221

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Main Street Wealth Group	Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **499.92**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : A03717E766F294625B3E

Amount of Each Receipt this Period

687.39

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)
B. Mark G Zitzelsberger

Mailing Address 1048 Clubhouse Dr

City	State	Zip Code
Weidman	MI	48893-9339

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Lpl Financial Llc	Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **999.84**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2013

Transaction ID : AD1C5564A87314BE48C0

Amount of Each Receipt this Period

458.26

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)
C.

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
------------------	------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
-------	---	-------	---	-------------

Amount of Each Receipt this Period

687.39

SUBTOTAL of Receipts This Page (optional).....▶	687.39
TOTAL This Period (last page this line number only).....▶	86761.11

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
07 / 16 / 2013

Transaction ID : **B0F6CF7BE453A480D9E0**

Amount of Each Disbursement this Period

1.25

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
07 / 29 / 2013

Transaction ID : **BB47F72729E4D429FB64**

Amount of Each Disbursement this Period

25.00

Full Name (Last, First, Middle Initial)

C. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
08 / 05 / 2013

Transaction ID : **B5838A6E98DC74352AAC**

Amount of Each Disbursement this Period

37.50

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

63.75

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
08 / 13 / 2013

Transaction ID : BE414F13E95DC4CC4939

Amount of Each Disbursement this Period

18.75

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
08 / 19 / 2013

Transaction ID : B09BB4B4B2C6341529B3

Amount of Each Disbursement this Period

26.50

Full Name (Last, First, Middle Initial)

C. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
08 / 26 / 2013

Transaction ID : B380989520F0741ABB7D

Amount of Each Disbursement this Period

37.45

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

82.70

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
09 / 09 / 2013

Transaction ID : B9F7EE9B9FD9FE4456BBA

Amount of Each Disbursement this Period

60.00

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
09 / 23 / 2013

Transaction ID : B5D870CB5BBBE426EACC

Amount of Each Disbursement this Period

17.50

Full Name (Last, First, Middle Initial)

C. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
09 / 30 / 2013

Transaction ID : BE6713EF888724BD6902

Amount of Each Disbursement this Period

2.50

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

80.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y Y
10 / 07 / 2013

Transaction ID : B323D386C46704FE5AB7

Amount of Each Disbursement this Period

13.75

B. Aristotle International

Full Name (Last, First, Middle Initial)

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y Y
10 / 15 / 2013

Transaction ID : B03B768874CAF438CA43

Amount of Each Disbursement this Period

5.00

C. Intuit Inc.

Full Name (Last, First, Middle Initial)

Mailing Address 2632 Marine Way

City Mountain View State CA Zip Code 94043-1126

Purpose of Disbursement
Check Stock

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y Y
12 / 31 / 2013

Transaction ID : B932B48AB81454C54B76

Amount of Each Disbursement this Period

135.34

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

154.09

380.54

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. BEN CARDIN FOR SENATE

Mailing Address P.O. BOX 21093

City State Zip Code
Catonsville MD 21228-0593

Purpose of Disbursement
MD US Senate

Candidate Name
Sen. Ben L. Cardin

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: MD District:

Date of Disbursement

/ /
09 / 06 / 2013

Transaction ID : BE2E3EE59A70C4FC786D

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. BENNET FOR COLORADO

Mailing Address PO BOX 3078

City State Zip Code
DENVER CO 80201

Purpose of Disbursement
CO US Senate

Candidate Name
Sen. Michael F. Bennet

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify) ▼
State: CO District:

Date of Disbursement

/ /
10 / 08 / 2013

Transaction ID : B110E6A8B90FB478E8C2

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. BOB CASEY FOR SENATE INC

Mailing Address PO BOX 58746

City State Zip Code
PHILADELPHIA PA 19102

Purpose of Disbursement
PA US Senate

Candidate Name
Sen. Robert P Casey JR

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: PA District:

Date of Disbursement

/ /
11 / 12 / 2013

Transaction ID : BDA9E88095C7E46089AD

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

4000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CAPUANO FOR CONGRESS COMMITTEE

Mailing Address PO BOX 440305

City SOMERVILLE State MA Zip Code 02144

Purpose of Disbursement
MA US House

Candidate Name
Rep. Michael E. Capuano

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: MA District: 07

Date of Disbursement

MM / DD / YYYY
09 / 06 / 2013

Transaction ID : B83597F69936D456BADC

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. CROWLEY FOR CONGRESS

Mailing Address 50 E Street, SE - Suite 1

City Washington State DC Zip Code 20003

Purpose of Disbursement
NY US House

Candidate Name
Rep. Joseph Crowley

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NY District: 14

Date of Disbursement

MM / DD / YYYY
09 / 06 / 2013

Transaction ID : BC0E061D77162476A81F

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. DAVID SCOTT FOR CONGRESS

Mailing Address P.O. BOX 960821

City RIVERDALE State GA Zip Code 30296

Purpose of Disbursement
GA US House

Candidate Name
Rep. David A. Scott

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: GA District: 13

Date of Disbursement

MM / DD / YYYY
11 / 12 / 2013

Transaction ID : BBF1CF5B5A6554CCABF4

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

5000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. DUFFY FOR CONGRESS

Mailing Address PO BOX 538

City WAUSAU State WI Zip Code 54402

Purpose of Disbursement
WI US House

Candidate Name
Rep. Sean P. Duffy

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: WI District: 07

Date of Disbursement

MM / DD / YYYY
08 / 08 / 2013

Transaction ID : **B80CEF4051C254CB2994**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. FRIENDS FOR GREGORY MEEKS

Mailing Address 499 South Capitol Street
Suite 404

City Washington State DC Zip Code 20003-4004

Purpose of Disbursement
NY US House

Candidate Name
Rep. Gregory W. Meeks

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NY District: 05

Date of Disbursement

MM / DD / YYYY
07 / 16 / 2013

Transaction ID : **B3317FC5F96224716B25**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. FRIENDS OF CAROLYN MCCARTHY

Mailing Address 151 LINDEN ROAD

City MINEOLA State NY Zip Code 11501

Purpose of Disbursement
NY US House

Candidate Name
Rep. Carolyn McCarthy

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NY District: 04

Date of Disbursement

MM / DD / YYYY
09 / 06 / 2013

Transaction ID : **BC2648F18BAA44CBD8B6**

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

3000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. FRIENDS OF JOHN BOEHNER

Mailing Address 7908 CINCINNATI DAYTON ROAD
SUITE I

City WEST CHESTER State OH Zip Code 45069

Purpose of Disbursement
OH US House

Candidate Name
Rep. John A. Boehner

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: OH District: 08

Date of Disbursement

MM / DD / YYYY
09 / 17 / 2013

Transaction ID : **BDAB382D5FB2F4506A7E**

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

B. FRIENDS OF MARK WARNER

Mailing Address 201 NORTH UNION STREET SUITE 300

City ALEXANDRIA State VA Zip Code 22314

Purpose of Disbursement
VA US Senate

Candidate Name
Sen. Mark R. Warner

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: VA District:

Date of Disbursement

MM / DD / YYYY
09 / 06 / 2013

Transaction ID : **BECE7F68ADB984B9C9EA**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. FRIENDS OF PAT TOOMEY

Mailing Address 228 S. WASHINGTON ST., SUITE 115

City ALEXANDRIA State VA Zip Code 22314

Purpose of Disbursement
PA US Senate

Candidate Name
Sen. Pat J. Toomey

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify) ▼
State: PA District:

Date of Disbursement

MM / DD / YYYY
09 / 17 / 2013

Transaction ID : **BE9003EBE50E84DB4835**

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

4500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. JEFF MERKLEY FOR OREGON

Mailing Address PO BOX 42307

City Portland State OR Zip Code 97242-0307

Purpose of Disbursement
OR US Senate

Candidate Name
Sen. Jeff A. Merkley

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: OR District:

Date of Disbursement

MM / DD / YYYY
09 / 06 / 2013

Transaction ID : B77470CC66FF5444B9FB

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. JOHN TIERNEY FOR CONGRESS

Mailing Address 12 HUSSEY AVENUE

City Danvers State MA Zip Code 01923-3955

Purpose of Disbursement
MA 6 US House

Candidate Name
Rep. John F. Tierney

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: MA District: 06

Date of Disbursement

MM / DD / YYYY
10 / 30 / 2013

Transaction ID : BA1459B44A5EE4A16B9B

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. KEVIN MCCARTHY FOR CONGRESS

Mailing Address 213 Ashby St.

City Alexandria State VA Zip Code 22305-2902

Purpose of Disbursement
CA US House

Candidate Name
Rep. Kevin McCarthy

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: CA District: 23

Date of Disbursement

MM / DD / YYYY
12 / 23 / 2013

Transaction ID : BDBE03431AB324F28805

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

3000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. LYNN JENKINS FOR CONGRESS

Mailing Address PO BOX 1441

City TOPEKA State KS Zip Code 66601

Purpose of Disbursement
KS US House

Candidate Name
Rep. Lynn M. Jenkins

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: KS District: 02

Date of Disbursement

MM / DD / YYYY
09 / 17 / 2013

Transaction ID : BFF6F78E641564EB9A29

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. MCHENRY FOR CONGRESS

Mailing Address PO BOX 1406

City HICKORY State NC Zip Code 28603

Purpose of Disbursement
NC US House

Candidate Name
Rep. Patrick T. McHenry

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NC District: 10

Date of Disbursement

MM / DD / YYYY
12 / 23 / 2013

Transaction ID : B163027B61D0442CA9B6

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. MONTANANS FOR TESTER

Mailing Address 236 Massachusetts Ave, NE
Suite 207

City Washington State DC Zip Code 20002-4980

Purpose of Disbursement
MT US Senate

Candidate Name
Sen. Jon Tester

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: MT District:

Date of Disbursement

MM / DD / YYYY
11 / 05 / 2013

Transaction ID : B4091783696C340AC8FB

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

3000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. NEUGEBAUER CONGRESSIONAL COMMITTEE

Mailing Address 104 Hume Ave.

City Alexandria State VA Zip Code 22301-1015

Purpose of Disbursement
TX US House

Candidate Name
Rep. Randy Neugebauer

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: TX District: 19

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			15			2013			

Transaction ID : BFF4C7F72F01544A7B6C

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

B. PAT ROBERTS FOR US SENATE INC

Mailing Address PO BOX 433

City Great Bend State KS Zip Code 67530-0433

Purpose of Disbursement
KS US Senate

Candidate Name
Sen. Pat Roberts

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: KS District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
12			23			2013			

Transaction ID : B27F7176546964DDABDA

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. PITTENGER FOR CONGRESS LLC

Mailing Address PO BOX 11207

City CHARLOTTE State NC Zip Code 28220

Purpose of Disbursement
NC US House

Candidate Name
Rep. Robert M. Pittenger

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NC District: 09

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
09			17			2013			

Transaction ID : BF2CD73BFF4F945A3BE9

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4500.00

TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ROYCE CAMPAIGN COMMITTEE

Mailing Address PO BOX 3249

City Fullerton State CA Zip Code 92834-3249

Purpose of Disbursement
CA US House

Candidate Name
Rep. Ed R. Royce

Office Sought: House Senate President
State: CA District: 39

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
10 / 08 / 2013

Transaction ID : **BD5A35083527C4BC4AA3**

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

B. SCOTT GARRETT FOR CONGRESS

Mailing Address P.O. Box 905

City Newton State NJ Zip Code 07860

Purpose of Disbursement
NJ US House

Candidate Name
Rep. Scott Garrett

Office Sought: House Senate President
State: NJ District: 05

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
12 / 12 / 2013

Transaction ID : **B4DB2118459524EA0B9C**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. SHELLEY MOORE CAPITO FOR CONGRESS

Mailing Address 1006 Pendleton Street

City Alexandria State VA Zip Code 22314-1837

Purpose of Disbursement
WV US House

Candidate Name
Rep. Shelley Moore Capito

Office Sought: House Senate President
State: WV District:

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
11 / 26 / 2013

Transaction ID : **BAE784FBCF3644A1DAEE**

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

7000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. STEPHEN F. LYNCH FOR CONGRESS COMMITTEE

Mailing Address 105 FARRAGUT ROAD

City SOUTH BOSTON State MA Zip Code 02127

Purpose of Disbursement
MA US House

Candidate Name
Rep. Stephen F. Lynch

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: MA District: 08

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	2		0	4		2	0	1	3

Transaction ID : **BE8442E58DC0247D0A79**

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0

Full Name (Last, First, Middle Initial)

B. TIM SCOTT FOR SENATE

Mailing Address 1405 ASHLEY RIVER ROAD

City Charleston State SC Zip Code 29407-5305

Purpose of Disbursement
SC US Senate

Candidate Name
Sen. Tim E. Scott

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: SC District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	1		1	5		2	0	1	3

Transaction ID : **BFBF38CB1D72543E4BAE**

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0

Full Name (Last, First, Middle Initial)

C. TOM REED FOR CONGRESS

Mailing Address PO BOX 391

City Geneva State NY Zip Code 14456-0391

Purpose of Disbursement
NY US House

Candidate Name
Rep. Tom W. Reed II

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NY District: 23

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	7		2	0	1	3

Transaction ID : **B97E0D7B768094653B1A**

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

3	0	0	0	0	0	0	0	0	0
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3	7	0	0	0	0	0	0	0	0
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Sybil MAY Praski

Mailing Address 842 S Clarkson St

City State Zip Code
Denver CO 80209-4326

Purpose of Disbursement
Refund of Contribution Made

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Category/
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
07	/	31	/	2013

Transaction ID : B7B7BFE769589401AB35

Amount of Each Disbursement this Period

100.00

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Category/
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
	/		/	

Amount of Each Disbursement this Period

--

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

Category/
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
	/		/	

Amount of Each Disbursement this Period

--

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

100.00

100.00
