Image# 14960073762				PAGE 1 / 63
	EPORT OF F ND DISBURS Other Than An Author	SEMENTS	Office	Use Only
	PE OR PRINT V	Example: If typing, type	12FE4M5	
COMMITTEE (in full)		over the lines.		-
LPL Financial LLC Politic	al Action Committee	e (LPL PAC)		
ADDRESS (number and street)	5 State Street			
Check if different	4th Floor			
then providually	Boston		MA 021	09
2. FEC IDENTIFICATION NUME	SER V CITY	A	STATE	ZIP CODE
C C00486217	3. IS ⁻ REI	THIS X NEW PORT X (N) OF	AMENDEI (A)	כ
 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: 	(b) Monthly Report Due On: Apr 20) (M3) Jun 20 (M6	S) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only) (Non-Election Year Only)
April 15 Quarterly Report (Q1) July 15 Quarterly Report (Q2) October 15	(c) 12-Day PRE-Election Report for the:	Primary (12P) Convention (12C)	General (12G) Special (12S)	Runoff (12R)
Quarterly Report (Q3) X January 31 Year-End Report (YE)	Election	on	Y I Y I Y I Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST -Election Report for the:	General (30G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Election	on	Y Y Y Y Y	in the State of
5. Covering Period 07	16 / YTYTY 2013	through 12		013
I certify that I have examined this F	-	y knowledge and belief it is	true, correct and comp	lete.
Type or Print Name of Treasurer	Keith Shores			
Signature of Treasurer	res	[Electronically Filed]		29 / 2014
NOTE: Submission of false, erroneous	, or incomplete information r	nay subject the person signing	this Report to the pena	lties of 2 U.S.C. §437g.
Office Use Only			FE	C FORM 3X Rev. 12/2004

01/29/2014 10 : 51

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name LPL Financial LLC Political Action Committee (LPL PAC) M Y М N 16 2013 Report Covering the Period: 07 2013 12 31 From: To: COLUMN A COLUMN B This Period Calendar Year-to-Date (a) Cash on Hand 6. 106431.46 January 1, 2013 (b) Cash on Hand at 143069.71 Beginning of Reporting Period..... 202338.75 94460.33 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 237530.04 308770.21 6(a) and 6(c) for Column B)..... 37480.54 108720.71 7. Total Disbursements (from Line 31)..... Cash on Hand at Close of 8. Reporting Period 200049.50 200049.50 (subtract Line 7 from Line 6(d)) Debts and Obligations Owed TO 9. the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

X

1	TAILED SUMMARY PAGE of Receipts	٦
FEC Form 3X (Rev. 06/2004)	•	Page 3
Write or Type Committee Name		
LPL Financial LLC Political Action Co	ommittee (LPL PAC)	
Report Covering the Period: From: 07	/ D D / Y Y Y Y 16 2013	To: 12 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	86761.11	187490.98
(i) Itemized (use Schedule A)	7 7	
(ii) Unitemized	7699.22	14571.07
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	94460.33	202062.05
The second se		0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	94460.33	202062.05
12. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
	0.00	
13. All Loans Received	0.00	0.00
r		
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)	0.00	276.70
(Carry Totals to Line 37, page 5) 16. Refunds of Contributions Made		7 7 7
to Federal Candidates and Other		
Political Committees	0.00	0.00
17. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds	,	
(a) Non-Federal Account	0.00	
(from Schedule H3)	0.00	0.00
	0.00	0.00
(b) Levin Funds (from Schedule H5)	7 7 7	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d),		000000.75
12, 13, 14, 15, 16, 17, and 18(c))►	94460.33	202338.75
20. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	94460.33	202338.75
	7 7 7	

Image# 14960073764

DETAILED SUMMARY PAGE

of Disbursements

	FEC Form 3X (Rev. 02/2003)	of Disbursements	Page 4
	II. Disbursements	COLUMN A Total This Period	COLUMN B
(a)	ating Expenditures: Allocated Federal/Non-Federal Activity (from Schedule H4)		Calendar Year-to-Date
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
	Other Federal Operating Expenditures	380.54	1076.99
	Total Operating Expenditures	7 7 7 000.04	
	(add 21(a)(i), (a)(ii), and (b))► sfers to Affiliated/Other Party	380.54	1076.99
Com	mittees	0.00	0.00
Fede	ributions to eral Candidates/Committees Other Political Committees	37000.00	107000.00
	pendent Expenditures	0.00	0.00
5. Coor (2 U (use	Schedule E) dinated Party Expenditures .S.C. §441a(d)) Schedule F)	0.00	0.00
	Repayments Made	0.00	0.00
Refu	ns Made nds of Contributions To: Individuals/Persons Other	0.00	0.00
	Than Political Committees	100.00	643.72
• •	Political Party Committees Other Political Committees	0.00	0.00
• • •	(such as PACs)	0.00	0.00
()	Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	100.00	643.72
9. Othe	r Disbursements	0.00	0.00
(a)	eral Election Activity (2 U.S.C. §431(20)) Allocated Federal Election Activity (from Schedule H6)		
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share Federal Election Activity Paid Entirely	0.00	0.00
	Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c)	Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))►	0.00	0.00
	Disbursements (add Lines 21(c), 22,		
23, ž	24, 25, 26, 27, 28(d), 29 and 30(c))	37480.54	108720.71
	Federal Disbursements		
	tract Line 21(a)(ii) and Line 30(a)(ii) Line 31)	37480.54	108720.71

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DETAILED SUMMARY PAGE

of Disbursements

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
 Total Contributions (other than loans) (from Line 11(d), page 3) 	94460.33	202062.05
 Total Contribution Refunds (from Line 28(d)) 	100.00	643.72
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	94360.33	201418.33
 Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) 	380.54	1076.99
 Offsets to Operating Expenditures (from Line 15, page 3) 	0.00	276.70
8. Net Operating Expenditures (subtract Line 37 from Line 36)	380.54	800.29

FOR LINE NUMBER:

(check only one)

PAGE 6 OF

ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17				
or for commercial purposes, other than using			erson for the purpose of soliciting contributions to solicit contributions from such committee.				
NAME OF COMMITTEE (In Full)	ction Comm	ittee (LPL PAC)					
Full Name (Last, First, Middle Initial) A. Nabil S. Abdelhamid Mailing Address 510 Inverrary Ct City Eureka FEC ID number of contributing	Nabil S. Abdelhamid Mailing Address 510 Inverrary Ct City State Zip Code Eureka MO 63025-2076						
federal political committee. Name of Employer Legends Financial Services Receipt For: Primary General Other (specify) ▼	C Occupation President Aggregate	Year-to-Date ▼ 499.92	Payroll Deduction: \$20.83/Bi-Monthly				
Full Name (Last, First, Middle Initial) B. JOHN M ABOOD Mailing Address 3334 Coachman Rd City Wilmington FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify) ▼	State DE C Occupation Financial Ac Aggregate		Date of Receipt				
Full Name (Last, First, Middle Initial) C. David R Allen Mailing Address 113 Front St City Owego FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify) ▼	State NY C Occupation Financial Ad Aggregate		Date of Receipt 12 31 2013 Transaction ID : AAC9EB6D12F31481DA9 Amount of Each Receipt this Period 229.13 Payroll Deduction: \$20.83/Bi-Monthly				
SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line numl			687.39				

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER:

PAGE 7 OF

ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	(check only	y one)	11c	12	
			13	14	15	16	17
Any information copied from such Reports and or for commercial purposes, other than using t							
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac	tion Comn	nittee (LPL PAC)					
Full Name (Last, First, Middle Initial) A. Steve Ayala			Date of	Receipt			
Mailing Address 5014 Maynard Street	Mailing Address 5014 Maynard Street						Y
City San Diego	State CA	Zip Code 92122-3934		action ID:			445BA5D
FEC ID number of contributing federal political committee.	С			7		550	.00
Name of Employer	Occupation	1	Payroll [Deduction: \$	50.00/Bi	-Monthly	
LPL Financial LLC	SVP, Finar	ce					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1200.00]				
Full Name (Last, First, Middle Initial) B. ROBERT J. BACINO	-		Date of	Receipt			
Mailing Address 262 Old Farm Rd		1 / D D 31	/ Y	2013	Y		
City Flemington	State NJ	Zip Code 08822-6901	Trans	action ID : /		E9C4A7E	34CF0933
FEC ID number of contributing federal political committee.	С					114	.51
Name of Employer LPL Financial LLC	Occupation Advisor	1	— Payroll D	eduction: \$	10.41/Bi-	Monthly	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 249.84					
Full Name (Last, First, Middle Initial) C. Kathy Kathy Bakke		, ,	Date of	Receipt			
Mailing Address 13928 Rocky Gap Lane			Bate of	20	/ Y	2013	Y
City Charlotte	State NC	Zip Code 28278-8615		action ID :			19C2AA7
FEC ID number of contributing federal political committee.	С			,		114	.62
Name of Employer	Occupation	1	Payroll [Deduction: \$	510.42/Bi	-Monthly	
Lpl Financial Llc	SVP, Huma	an Resources					
Receipt For: Primary General Other (specify) v	Aggregate	Year-to-Date ▼ 250.08]				
SUBTOTAL of Receipts This Page (optional).					- T	779.	13

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:

(check only one)

PAGE 8 OF

	EMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
	for commercial purposes, other than using			erson for the purpose of soliciting contributions e to solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac	ction Comm	nittee (LPL PAC)	
Α.	Full Name (Last, First, Middle Initial) James EDWARD Bashaw Mailing Address 5908 Riverview Way	Date of Receipt		
	City Houston	State TX	Zip Code 77057-1434	12 31 2013 Transaction ID : AF45C6A2223C848C98DE Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		2291.63
	Name of Employer James E. Bashaw & Co.	Occupation CEO	1	Payroll Deduction: \$208.33/Bi-Monthly
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 4999.92]
в.	Full Name (Last, First, Middle Initial) David P. Bergers Mailing Address 268 Fairoaks Lane			Date of Receipt
	City Cohasset FEC ID number of contributing	State MA	Zip Code 02025	08 22 2013 Transaction ID : ADC52FAE0A8E24BA8AC Amount of Each Receipt this Period 1000.00
	federal political committee. Name of Employer LPL Financial LLC	Occupation	ounsel & Govt Relatns	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.00]
<u> </u>	Full Name (Last, First, Middle Initial) Harvey STUART Berk			Date of Receipt
	Mailing Address 9 Conrad Ln			12 31 2013
	City New City	State NY	Zip Code 10956-6851	Transaction ID : AF615C8AF09A3403487A Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		916.63
	Name of Employer Berk Wealth Management	Occupation President	1	Payroll Deduction: \$83.33/Bi-Monthly
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1999.92]
s	UBTOTAL of Receipts This Page (optional).			4208.26
Т	OTAL This Period (last page this line numb	er only)		

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:

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PAGE 9 OF

			Detailed Summary Page		11a		11b	11c	12							
			, ,		13		14	15	16	17						
	y information copied from such Reports and St for commercial purposes, other than using the															
$\overline{)}$	NAME OF COMMITTEE (In Full)															
/	LPL Financial LLC Political Action		nittee (LPL PAC)													
۹.	Full Name (Last, First, Middle Initial) Mimi Mimi Bock			1	Date of Receipt											
••	Mailing Address 6053 Seacrest View Road						12 20 _ 2013 _									
	City	State	Zip Code	Transaction ID : A872FB30E368F4C758C Amount of Each Receipt this Period 1145.87 Payroll Deduction: \$104.17/Bi-Monthly												
	San Diego	CA	92121-4593													
	FEC ID number of contributing federal political committee.	С														
	Name of Employer	Occupation			ayroll D	Dedu	uction:	\$104.17/E	Bi-Monthly							
	LPL Financial LLC	EVP, Busin	ess Consulting													
	Receipt For:	Aggregate	Year-to-Date ▼													
	Primary General Other (specify)		2375.04]												
	Full Name (Last, First, Middle Initial) Paul WILLIAM Botte															
5.	Mailing Address 1691 Burris Dr				Date of	Re										
	Maining Address 1691 Burns Dr						12 31 _2013 _									
	City	State	Zip Code	Transaction ID : AE525EE0B1CED4142												
	El Cajon	CA	92019-3596	A				Receipt th								
	FEC ID number of contributing federal political committee.	С		229.13												
	Name of Employer Lpl Financial Llc	Occupation Financial A		Pa	ayroll D	edu	iction: \$	\$20.83/Bi-	Monthly							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 499.92]												
	Full Name (Last, First, Middle Initial) Michael S Brady				Date of	Re	ceipt									
	Mailing Address 22 Plymouth Rd				м м 12	/	31		2013	Y						
	City	State PA	Zip Code 19064-1307						6568AF14	4EA0AE						
	Springfield	FA	19064-1307	/	Amount	of	Each F	Receipt th	is Period							
	FEC ID number of contributing federal political committee.	С				Jod	,	¢125.00/E	1250 Bi-Monthly							
	Name of Employer	Occupation			ayioli L	Jeur		φ123.00/L	51-IVIOITUTIY							
	LPL Financial	Financial C	onsultant													
	Receipt For:	Aggregate	Year-to-Date ▼													
	Primary General Other (specify) ▼		2333.33]												
s	JBTOTAL of Receipts This Page (optional)			 ▶					2625.	00						

Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

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PAGE 10 OF

			Detailed Summary Page		11a		11		11c		12			
	y information copied from such Reports and SI for commercial purposes, other than using the							se of s						
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action													
A.	Full Name (Last, First, Middle Initial) David A. A. Brounley			[Date of Receipt									
	Mailing Address 407 Calle Robles				м м 12	/		20	/ Y		013			
	City San Clemente	State CA	Zip Code 92672-2127	A			-		201930 ceipt th			62A2B		
	FEC ID number of contributing federal political committee.	С					7		3	_	229.2	4		
	Name of Employer Lpl Financial Llc	Occupation SVP, Retire	ment Worksite	— P:	ayroll D	Dedu	ucti	ion: \$2	0.84/Bi	-Mor	nthly			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.16											
в.	Full Name (Last, First, Middle Initial) Derek Derek Bruton				Date of	Re	ecei	ipt						
	Mailing Address PO Box 3070				M M	/		20	/ Y)13			
	City Rancho Santa Fe	State CA	A					9A705: ceipt th)97F45 Period	12901			
	FEC ID number of contributing federal political committee.	С					,		7	_	458.3	7		
	Name of Employer Lpl Financial Llc	Occupation MD, Indepe	ndent Advisor Svcs	— Pa	ayroll D	edu	uctio	on: \$4 ⁻	1.67/Bi-	Mon	ithly			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.08											
с.	Full Name (Last, First, Middle Initial) Jeffrey Jeffrey Buchheister				Date of	Re	ecei	ipt						
	Mailing Address 2367 Juan St				м м 12	/		20	/ Y)13			
	City San Diego	-							AC674			4B4CB6		
	FEC ID number of contributing federal political committee.	С					,		,	_	275.0	00		
	Name of Employer	Occupation		- P	ayroli L	Jedi	ucti	ion: \$2	5.00/Bi	-Mor	nthly			
	Lpl Financial Llc	SVP, Busin	ess Mgmt											
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 600.00											
s	UBTOTAL of Receipts This Page (optional)			•			,		7	_	962.6	1		
т	OTAL This Period (last page this line number of	only)					-		-					

FOR LINE NUMBER:

(check only one)

PAGE 11 OF

			Detailed Summary Page		11a		111	b	11c	1	2				
			, ,		13		14		15		6	17			
or	/ information copied from such Reports and for commercial purposes, other than using the														
	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act	tion Comm	hittee (LPL PAC)												
Α.	Full Name (Last, First, Middle Initial) Steven ANDREW Budin			(Date of Receipt										
	Mailing Address 25 Morninglow Pass		12 31 Y Y Y Y 12 31 2013												
	City Henderson	State NV	Zip Code 89052-6683	Transaction ID : AA14B46FDBF054E Amount of Each Receipt this Period											
	FEC ID number of contributing federal political committee.	С		916.63 Payroll Deduction: \$83.33/Bi-Monthly											
	Name of Employer	Occupation			ayroll [Ded	uctio	on: \$8	3.33/Bi	-Mont	hly				
	Lpl Financial Llc	Financial A	dvisor												
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1999.92]											
	Full Name (Last, First, Middle Initial) Robert Burns					f Re	ecei	pt							
	Mailing Address 24151 Cascades Dr						12 31 2013								
	City	State	Zip Code		Transaction ID : AD095AA77425E4408A4										
	Laguna Niguel	CA	92677-2418	/	Amoun	t of	Ead	ch Re	ceipt th	is Pe	riod				
	FEC ID number of contributing federal political committee.	374.94													
	Name of Employer LpI Financial Llc	Occupation Financial A			ayroll E	Dedu	uctic	on: \$4 ⁻	1.66/Bi-	Montl	hly				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 778.63]											
	Full Name (Last, First, Middle Initial) Kathryn Kathryn Busch				Date of	f Re	ecei	pt							
	Mailing Address 7162-A Calabria Court Unit A		^M M 12	/		20	/ Y	201		Y					
	City State San Diego CA								208363 ceipt th			3DDA4			
	FEC ID number of contributing federal political committee.	С					,				114.	62			
	Name of Employer	ne of Employer Occupation									thly				
	Lpl Financial Llc	VP, Market	ing												
	Receipt For:	Aggregate	Year-to-Date ▼												
	Other (specify) ▼		250.08]											
s	JBTOTAL of Receipts This Page (optional)						7		7	1	406.1	9			
т	OTAL This Period (last page this line number	r only)				_	,								

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PAGE 12 OF

ITEMIZED RECEIPTS			Use separate schedule(s)	(cł	(check only one)									
	1513		for each category of the Detailed Summary Page		X 11a 13	111		11c 15	12 16	17				
			ny not be sold or used by any ddress of any political committ		for the	purpose	e of so	liciting	contribu	itions				
NAME OF COMMITT	EE (In Full) LC Political Actio	on Comm	ittee (LPL PAC)											
Full Name (Last, First A. R. Craig Byrd	, Middle Initial)				Date of	Receip	ot							
Mailing Address 1723	SE 270th PI				M M	/ D	31	/ Y	y y 2013	Y				
City Camas		State WA	Zip Code 98607-8585		Trans		ID : A3			D48DA8CE				
FEC ID number of co federal political comm	0	С				,		7	2291					
Name of Employer Lpl Financial Llc Receipt For: Primary Other (specify)	General	Occupation Financial Ac Aggregate			Payroll D	Deductio	on: \$20	8.33/Bi	-Monthly	/				
Full Name (Last, First B. Kelly PETER Ca	mpbell				Date of	Receip	ot							
Mailing Address 1172	7 River Dr				^M 12	/ D	31	/ Y	2013	Y				
City Lorton		State VA	Zip Code 22079-4104	_					15CF9D s Period	04A42B50				
FEC ID number of co federal political comm	0	С							2291	.63				
Name of Employer Campbell Wealth Mana Receipt For:	agement	Occupation CEO Aggregate	Year-to-Date ▼		Payroll D	eductio	on: \$208	3.33/Bi-	·Monthly	,				
Other (specify)	General		, 4791.59											
Full Name (Last, First C. ANNE CECILIA	CATALANO				Date of	Receip	ot							
Mailing Address 808	Old Vestal Ln				м м 12	/ D	31	/ Y	2013	Y				
City Owego		State NY	Zip Code 13827-0000						6839054 s Period	4544B87				
FEC ID number of co federal political comm	0	С			Payroll [1	op: ¢10	, 41/Di I		4.51				
Name of Employer		Occupation Financial A			Faylon	Jeuucii	JII. 	.4 1/ DI-1	vioritiny					
Receipt For: Primary Other (specify)	General	Aggregate	Year-to-Date ▼ 249.84											
	,			▶ ▶		- 7		7	4697	.77				

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIRTS

Use separate schedule(s)

FOR LINE NUMBER:

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PAGE 13 OF

	IMIZED RECEIPTS		for each category of the Detailed Summary Page		K 11a		11	1b	11c		12	
Δ	information panied from such Departure 10	4-4			13		14		15		16	17
or f	information copied from such Reports and S or commercial purposes, other than using the											
\	VAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	on Comm	hittee (LPL PAC)									
	^F ull Name (Last, First, Middle Initial) Bill Chetney				Date c	of Re	ece	ipt				
_	Mailing Address 35705 Beach Road				M N	/	I	20	/ Y	ү 20	13	Y
	City Conjetrane Reach	State CA	Zip Code 92624-1711				-		45B66F			4948F5
-	Capistrano Beach		JZUZ7-1/11	—	Amour	nt of	Ea	ach Re	eceipt th	iis Pe	eriod	
	EC ID number of contributing ederal political committee.	C					7			-	1100.	00
1	Name of Employer	Occupation			Payroll	Dedu	uct	ion: \$	100.00/E	3i-Mo	onthly	
_	PL Financial LLC	President, F	Retirement Partners									
F	Receipt For:	Aggregate	Year-to-Date ▼									
	Other (specify)		2400.00									
	Full Name (Last, First, Middle Initial) Suzanne H. Christian				Date c	of Re	ece	ipt				
N	Mailing Address PO Box 1237				M N		_	D D D 31	/ Y	۲ 201	13	Y
Ċ	Dity	State	Zip Code		Trans	sacti	ion	ID : 4	\$\$80457			9DA70
_	Claremont	CA	91711-1237		Amour	nt of	Ea	ach Re	eceipt th	is Pe	eriod	
	EC ID number of contributing ederal political committee.	С					,		7		458.	26
	Vame of Employer .pl Financial Llc	Occupation Branch Mar		F	Payroll I	Dedu	ucti	ion: \$4	1.66/Bi-	Mont	thly	
Ē	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 999.84]								
	Full Name (Last, First, Middle Initial) James THOMAS Christy				Date c	of Re	ace	int				
-	Aailing Address 1305 Stamford Way				08		_	26	/ Y	۲ 20	ү 13	Y
	City	State	Zip Code		Tran	sact	tior	ו ID : ג	ADF599	A9E8	8E804	5538F5
-	Herndon	VA	20194-1315		Amour	nt of	Ea	ach Re	eceipt th	is Pe	eriod	
	EC ID number of contributing ederal political committee.	С					,		7	_	250.	00
1	Name of Employer	Occupation										
	Northwest Financial	Sr. Wealth	Advisor									
F	Receipt For:	Aggregate	Year-to-Date ▼									
	Other (specify)		250.00]								
su	BTOTAL of Receipts This Page (optional)			 ►			-			1	1808.2	26
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Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

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		Detailed Summary Page		11a	Ш	11b	11c	12	
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NAME OF COMMITTEE (In Full)		,							
LPL Financial LLC Political Act	ion Comm	nittee (LPL PAC)							
Full Name (Last, First, Middle Initial)				Date of	Red	ceipt			
Mailing Address 1968 Sakina St				м м 12	_	· .		2013	Y
City	State	Zip Code			acti			AD71D09	4790A
El Cajon	CA	92019-3404	/	\mount	of	Each F	Receipt th	nis Period	
FEC ID number of contributing federal political committee.	С						¢24.25/Di		75
Name of Employer	Occupation			ayroll E	Jedu	uction: \	\$31.25/Bi-	-Monthly	
Lpl Financial Llc	SVP, Techn	nology							
Receipt For:	Aggregate	Year-to-Date ▼							
Primary General Other (specify) ▼		750.00							
Full Name (Last, First, Middle Initial) 3. Heidi GRACE Clute	<u> </u>			Date of	Rer	ceipt			
Mailing Address 21 Pine Ridge Dr				12	_	31		2013	Y
City	State	Zip Code			actio			39BD17E4	3FDB4
Morrisonville	NY	12962-9671					Receipt th		
FEC ID number of contributing federal political committee.	С			_					97
Name of Employer Clute Wealth Management	Occupation Owner		P;	ayroll D)edu	iction: \$	\$83.33/Bi-	-Monthly	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1166.62							
Full Name (Last, First, Middle Initial) Scott A A Conroy				Date of	Red	ceipt			
Mailing Address 11104 Caminito Inocenta				м м 12] ′	20		2013	Y
City San Diego	State CA	Zip Code 92126-6108		Trans		ion ID :		77E6E794	23EB3
FEC ID number of contributing federal political committee.	С					,		114.	.62
	Occupation		P	ayroll L	Dedu	uction:	\$10.42/Bi-	-Monthly	
Name of Employer	VP, RIA So								
Name of Employer Lpl Financial Llc									
		Year-to-Date ▼							
Lpl Financial Llc Receipt For: Primary General			-						
Lpl Financial Llc Receipt For:		Year-to-Date ▼ 250.08							
Lpl Financial Llc Receipt For: Primary General	Aggregate	250.08		-				1208.3	34

Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

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			Detailed Summary Page		11a		11b		11c	12	
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	y information copied from such Reports and S for commercial purposes, other than using the										
\rangle	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti	on Comm	hittee (LPL PAC)								
A.	Full Name (Last, First, Middle Initial)				ate of	f Re	eceipt				
	Mailing Address 9116 Atlee Lake Ct				^M M 12	/		D 15	/ Y	ү ү 2013	Y
	City Mechanicsville	State VA	Zip Code 23116-2871	A						C4DFF08 is Period	477BB97
	FEC ID number of contributing federal political committee.	С								208	
	Name of Employer LPL Financial LLC	Occupation Advisor	I	— Pa	ayroll E	Dedu	uction	n: \$1	04.16/B	i-Monthly	
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 208.32	1							
	Full Name (Last, First, Middle Initial) Kevin WAYNE Crumly				ate of	f Re	eceipt				
	Mailing Address 804 Wood Pointe Dr				^M M 12	/	2	D 31	/ Y	y y 2013	Y
	City Springfield	State IL	Zip Code 62702-3363							0CC04884 is Period	4E77BB7
	FEC ID number of contributing federal political committee.	С					7		7	114.	51
	Name of Employer Troxell Financial Advisors	Occupation President		- Pa	iyroll D)edu	uction	: \$1	0.41/Bi-l	Monthly	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 249.84								
с.	Full Name (Last, First, Middle Initial)				ate of	f Re	eceipt				
	Mailing Address 71 Longwood Circle				м м 12	1		D 20	/ Y	y y 2013	Y
	City Kingston	State MA	Zip Code 02364-1353	A						CF45C6F 4 is Period	441288C
	FEC ID number of contributing federal political committee.	С				Dod	, uction		, 10.42/Bi	114 Monthly	.62
	Name of Employer	Occupation				Jeur	uctioi	ι. φι	0.42/DI-	wonuny	
	Lpl Financial Llc Receipt For:	AVP, Busir	ess Consulting								
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.08								
s	UBTOTAL of Receipts This Page (optional)						7		- 7	437.	45
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PAGE 16 OF

ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than using			erson for the purpose of soliciting contributions e to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	ction Comm	ittee (LPL PAC)	
Full Name (Last, First, Middle Initial) A. Angela LOUISE Denham			Date of Receipt
Mailing Address 17602 Pecan Shadows Dr	State	Zip Code	12 31 2013 Transaction ID : A27AD2D312E5142CCBA
Baton Rouge	LA	70810-6581	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		333.28
Name of Employer	Occupation		Payroll Deduction: \$41.66/Bi-Monthly
LPL Financial LLC Receipt For:	Advisor		
Primary General	Aggregate `	Year-to-Date ▼	_
Other (specify)		333.28]
Full Name (Last, First, Middle Initial) B. Joe Joe DeVierno			Date of Receipt
Mailing Address 13129 Wildflower Lane			11 22 2013
City	State CA	Zip Code	Transaction ID : A0989656E499D478DA4C
Poway FEC ID number of contributing federal political committee.	C	92064-2048	Amount of Each Receipt this Period
Name of Employer	Occupation		Payroll Deduction: \$10.00/Bi-Monthly
Lpl Financial Llc		Risk, & Compliance	
Receipt For: Primary General Other (specify) v	Aggregate	Year-to-Date ▼ 220.00]
Full Name (Last, First, Middle Initial) C. Diane A Dix			Date of Receipt
Mailing Address 429 Shawmont Ave Apt A			M M / D D / Y Y Y Y Y 08 26 2013
City Philadelphia	State PA	Zip Code 19128-4048	Transaction ID : A78D1F8DCF2804429A68 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		250.00
Name of Employer	Occupation		-
Dix Financial Services LLC	Financial Co		_
Receipt For:	Aggregate `	Year-to-Date ▼	
Other (specify) ▼		250.00	1
SUBTOTAL of Receipts This Page (optional).			673.28
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		Detailed Summary Page		11a		-	1b	11c		12	
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or for commercial purposes, other than u											
NAME OF COMMITTEE (In Full) LPL Financial LLC Politica	al Action Comm	nittee (LPL PAC)									
Full Name (Last, First, Middle Initial) A. David STAPLEY Dixon				Date of	f Re	ece	eipt				
Mailing Address 1419 Drakewood Ave)			м м 12	/	ſ	D D 31	/ 7		013	Y
City	State	Zip Code		Trans	sact	ior	n ID :	A936C0			4BA8B
North Las Vegas	NV	89031-1694		Amoun	t of	Ea	ach R	eceipt t	his F	Period	
FEC ID number of contributing federal political committee.	C					7				458	26
Name of Employer	Occupation	1	— F	Payroll [Ded	uct	tion: \$	41.66/B	i-Mo	nthly	
Lpl Financial Llc	Financial A	dvisor									
Receipt For:	Aggregate	Year-to-Date ▼									
Primary General Other (specify) ▼		999.84]								
Full Name (Last, First, Middle Initial) B. Richard CALVERT Donahue	1			Date of	f Re	ece	eipt				
Mailing Address 2407 Princeton Ct Apt 11				^M 12	/	E	D D 31	/ Y)13	Y
City	State	Zip Code		Trans	acti	ion	n ID : /	AB3D52	2E45	7CFB	47C192
Bellingham	WA	98229-8840		Amoun	t of	Ea	ach R	eceipt t	his F	Period	
FEC ID number of contributing federal political committee.	C					7		,		1145.	76
Name of Employer	Occupation	1	P	ayroll D	Dedu	ucti	ion: \$	104.16/	Bi-Mo	onthly	
Asset Advisors, LLC	Financial A	dvisor									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2395.68									
Full Name (Last, First, Middle Initial) C. Matthew Matthew Enyedi	<u> </u>			Date of	f Re	ece	eipt				
Mailing Address 16058 Wayne Hill				м м 12	/	[D D 20	/ Y)13	Y
City San Diego	State CA	Zip Code 92127-4182						A1EF44 eceipt t			49FBA3
FEC ID number of contributing federal political committee.	C					7				229	24
Name of Employer	Occupation	1	ŀ	ayroll I	Ded	luct	tion: \$	20.84/B	I-Mo	nthly	
Lpl Financial Llc	SVP, RIA S	Solutions									
Receipt For:	Aggregate	Year-to-Date ▼									
Primary General Other (specify) ▼		500.16]								
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SCHEDULE A	(FEC	Form	3X)
ITEMIZED REC	EIPTS	5	

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	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti	on Comm	nittee (LPL PAC)								
Α.	Full Name (Last, First, Middle Initial) Robert L Errico				Date of	Receip	ot				
	Mailing Address 10 Villeneuve				M M	/ D	31	/ Y	ү 20	ү 13	Y
	City Newport Beach	State CA	Zip Code 92657-1023		Trans Amount						F639B2
	FEC ID number of contributing federal political committee.	С				7				1375.(00
	Name of Employer Lpl Financial Llc	Occupation Financial A			Payroll E	Deductio	on: \$1	25.00/E	Bi-Mo	onthly	
	Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 3000.00]							
в.	Full Name (Last, First, Middle Initial) Sharon JOY Fall				Date of	Receip	ot				
	Mailing Address 25 E Queen Elizabeth Ct				12	/ D	31	/ Y	۲ 20	ү 13	Y
	City	State	Zip Code								267B1A
	Chester	MD	21619	\neg	Amount	of Ead	ch Re	ceipt th	nis Pe	eriod	_
	FEC ID number of contributing federal political committee.	С			L					458.2	26
	Name of Employer Sandy Spring Wealth Management	Occupation financial pla			ayroll D	eductio	on: \$4 ⁻	1.66/Bi-	Mon	thly	
	Receipt For:	Aggregate	Year-to-Date ▼								
	Other (specify)		999.84								
с.	Full Name (Last, First, Middle Initial) Mr. Richard NORTHRUP Fisher J	lr.			Date of	Receip	ot				
	Mailing Address 7003 Windsor Way				^M ^M 12	/ D	31	/ Y	20 [°]	ү 13	Y
	City Elon	State NC	Zip Code 27244-9404		Trans Amount						37CA92
	FEC ID number of contributing federal political committee.	С			Payroll [Deductiv	on: \$4	1 66/Bi	-Mon	458.2	26
	Name of Employer	Occupation				Jeuucii	JΠ. φ 4	1.00/DI	-10101	iuny	
	Fisher Wealth Management Receipt For:	Managing F									
	Primary General Other (specify)	Aggregate	Year-to-Date ▼ 999.84								
s	UBTOTAL of Receipts This Page (optional)			<u> </u>		7		3	2	2291.5	2
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	y information copied from such Reports and S for commercial purposes, other than using the											
\backslash	NAME OF COMMITTEE (In Full)											
$\Big\rangle$	LPL Financial LLC Political Action	on Comm	hittee (LPL PAC)									
	Full Name (Last, First, Middle Initial) Fitz Fisher				Date of	f Re	ece	eipt				
	Mailing Address 867 Homestake Court				м м 12	/		D 20		/ Y	ү ү 2013	Y
	City	State	Zip Code		Trans	sacti	io	n ID :	: A	0E4F13	32916AF	443ABEC
	Castle Rock	CO	80108-9081	_ /	Amoun	t of	E	ach F	Rec	eipt th	is Perio	d
	FEC ID number of contributing federal political committee.	С					7			7		5.00
	Name of Employer	Occupation		— P	ayroll [Dedu	uc	tion:	\$75	5.00/Bi-	-Monthly	
	LPL Financial LLC	EVP, Techr	ology Risk Mgmt									
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General Other (specify) ▼		1800.00]								
	Full Name (Last, First, Middle Initial) William ROBERT Flynn				Date of	f Re	ece	eipt				
	Mailing Address 6027 Skyline Dr				M M	/	ſ	D 31		/ Y	2013	Y
	City	State	Zip Code		Trans	acti	io	n ID :	A	CCB2E		AC4FEEA
	East Lansing	MI	48823-1603		Amoun	t of	E	ach F	Rec	eipt th	is Perio	d
	FEC ID number of contributing federal political committee.	С					7			7	11	4.51
	Name of Employer	Occupation		- P	ayroll D	Dedu	uct	tion: \$	\$10	.41/Bi-	Monthly	
	Michigan Financial Group	Financial Co	onsultant									
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General Other (specify) ▼		249.84]								
	Full Name (Last, First, Middle Initial) Robert IVANO Fragasso				Date of	f Re	ece	eipt				
	Mailing Address 611 Keith Rd				м м 12	/		D 31		/ Y	2013	Y
	City Dathal Dad	State	Zip Code									04B4DAC
	Bethel Park	PA	15102-3741	/	Amoun	t of	E	ach F	Rec	eipt th	is Perio	d
	FEC ID number of contributing federal political committee.	С					,			7		5.00
	Name of Employer	Occupation		F	ayroll I	Ded	luc	tion:	\$12	25.00/E	Bi-Month	ly
	LPL Financial LLC	Financial A	dvisor									
	Receipt For:	Agareaate	Year-to-Date ▼									
	Primary General Other (specify) ▼		2375.00]								
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SCHEDULE A	(FEC	Form	3X)
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PAGE 20 OF

ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	
or for commercial purposes, other than using		<i>v</i> any person for the purpose of soliciting contributions mmittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	ction Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) A. Marc STUART Freedman		Date of Receipt
Mailing Address 119 Kara Dr 	State Zip Code	12 31 2013
North Andover	MA 01845-4834	Transaction ID : A63FCE4AF21F94867ADC Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1833.26
Name of Employer Freedman Financial	Occupation President & CEO	Payroll Deduction: \$166.66/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 3666.	.52
Full Name (Last, First, Middle Initial) B. Loreen MICHELLE Gilbert		Date of Receipt
Mailing Address 250 Beverly St		M M / D D / Y Y Y Y 12 31 2013
City Laguna Beach	StateZip CodeCA92651-1402	Transaction ID : A7747AF2D22B049288BB Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	333.28
Name of Employer WealthWise Financial Services	Occupation President	Payroll Deduction: \$41.66/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 333.	.28
Full Name (Last, First, Middle Initial) C. Jen Gloss		Date of Receipt
Mailing Address 4143 Bachman Pl		M M / D D / Y Y Y Y Y 12 20 2013
City San Diego	State Zip Code CA 92103-2027	Transaction ID : A7BAA27C46B4440B19DF Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	229.24
Name of Employer	Occupation	Payroll Deduction: \$20.84/Bi-Monthly
Lpl Financial LLC	SVP, Business Consulting	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 375	.12
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ITEMIZED RECEIPTS	for each catego Detailed Summ	
or for commercial purposes, other than using th		r used by any person for the purpose of soliciting contributions plitical committee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	ion Committee (LPL P	PAC)
Full Name (Last, First, Middle Initial) A. Joy Joy Goble Mailing Address 3217 Selwyn Farms Ln		Date of Receipt
City	State Zip Code	12 20 2013
Charlotte	NC 28209-4009	Transaction ID : A00DB99CE4D1248B8BB Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	385.00
Name of Employer Lpl Financial Llc	Occupation SVP, Product Management	Payroll Deduction: \$35.00/Bi-Monthly
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	7	840.00
Full Name (Last, First, Middle Initial) B. William E Hamm Jr.		Date of Receipt
Mailing Address 657 Saxony Blvd		12 31 2013
City Saint Petersburg	StateZip CodeFL33716-1297	Transaction ID : A9F1EE660BA60467BBF Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2291.63
Name of Employer IFP	Occupation CEO	Payroll Deduction: \$208.33/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	4583.26
Full Name (Last, First, Middle Initial) C. Sharyn Handelsman	I	Date of Receipt
Mailing Address 4504 Swing Lane		07 22 2013
City Charlotte	State Zip Code NC 28226-3422	Transaction ID : AEA7984270558464AA5 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	750.00
Name of Employer	Occupation	
LPL Financial LLC	EVP, Compliance	
Receipt For:	Aggregate Year-to-Date ▼	
Other (specify) ▼		750.00
SUBTOTAL of Receipts This Page (optional)		
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$\left \right\rangle$	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti	on Comm	nittee (LPL PAC)								
Α.	Full Name (Last, First, Middle Initial) Melanie Melanie Hardin				Date o	f Bec	eint				
~ .	Mailing Address 700 South Lake St				M M		D E) / Y	Y Y	Y	
		<u> </u>			12		20		2013		
	City Pasadena	State CA	Zip Code 91106-1127					AD0095 Receipt th			3B71
	FEC ID number of contributing federal political committee.	С								10.00	
	Name of Employer	Occupation			Payroll [Deduc	ction: \$	\$10.00/Bi	i-Monthly	y	
	Lpl Financial Llc	EVP, Invstr	nent & Pltfm Sltns								
	Receipt For:	Aggregate	Year-to-Date ▼								
	Other (specify)		240.00	4							
В.	Full Name (Last, First, Middle Initial) Allison Allison Harris				Date o	f Rec	eipt				
	Mailing Address 7354 Caminito Carlotta				M M	/	20) / Y	2013	Y	
	City	State	Zip Code		Trans	actio	n ID :	ABC76F		BA44	0A871
	San Diego	CA	92120-2215		Amoun	t of E	ach F	Receipt th	nis Perio	bd	
	FEC ID number of contributing federal political committee.	С				,		7	4	15.87	
	Name of Employer	Occupation	I		Payroll D	Deduc	tion: \$	i4.17/Bi-N	Nonthly		
	Lpl Financial Llc	VP, Events	& Conferences								
	Receipt For:	Aggregate	Year-to-Date ▼								
	Other (specify)		200.08								
<u>с</u> .	Full Name (Last, First, Middle Initial) EDWARD JAMES HARRIS				Date o	f Rec	eipt				
	Mailing Address 60 Park Ter				м м 12	/	31) / Y	2013	Y	
	City Washington	State PA	Zip Code 15301-3055					A0EAC			FA77
			15501-5055	_	Amoun	t of E	ach F	Receipt th	nis Perio	od	_
	FEC ID number of contributing federal political committee.	С			Payroll	Deduc	ction: 9	\$41.66/B		58.26	
	Name of Employer	Occupation			i ayioii i	Deuut	50011.	₽41.00/D		у	
	Lpl Financial Llc	Financial A	dvisor		_						
	Receipt For: Primary General	Aggregate	Year-to-Date ▼								
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	EMIZED RECEIPTS		Detailed Summary Page			11c		12					
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	NAME OF COMMITTEE (In Full)		,,										
$\Big\rangle$	LPL Financial LLC Political Activ	on Comm	nittee (LPL PAC)										
Α.	Full Name (Last, First, Middle Initial) Michael PATRICK Hatch				Date of	f Re	ece	ipt					
	Mailing Address 3618 San Pasqual St				м м 12	1	Γ	0 D	1		y y y 2013	Y	
	City	State	Zip Code		Trans	acti	ior	ו ID : ג	A1209	ED7	6ECFA	4F3E98	
	Pasadena	CA	91107-5419		Amount	t of	Ea	ach R	eceipt	this	Period		
	FEC ID number of contributing federal political committee.	С					,				833	.30	
	Name of Employer	Occupation			Payroll [Dedu	uct	ion: \$	83.33/	Bi-M	onthly		
	The Sterling Group	FInancial A	dvisor & Principal										
	Receipt For:	Aggregate	Year-to-Date V										
	Primary General Other (specify) ▼		1833.26]									
в.	Full Name (Last, First, Middle Initial) Brad Hearn				Date of	f Re	ece	ipt					
	Mailing Address 7293 Calle Conifera				12 20 2013					Y			
	City	State	Zip Code		Trans	acti	ion	1D : /	A6CDI	ED61	13B5B7	4735BE	
	Carlsbad	CA	92009-8699		Amount	t of	Ea	ach R	eceipt	this	Period		
	FEC ID number of contributing federal political committee.	С			462	00							
	Name of Employer LPL Financial LLC	Occupation EVP, Insura	ince Associates		Payroll Deduction: \$42.00/Bi-Monthly								
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1008.00										
<u>с.</u>	Full Name (Last, First, Middle Initial) Mark Mark Helliker				Date of	f Re	ece	ipt					
	Mailing Address 700 W Harbor Drive				м м 12	1	Γ	20	1		y y 2013	Y	
	City San Diego	State CA	Zip Code 92101-1409		Transaction ID : A6E2183C018604F6894 Amount of Each Receipt this Period					F68942			
	FEC ID number of contributing federal political committee.	С			1650.00								
	Name of Employer	Occupation		'	Payroll Deduction: \$150.00/Bi-Monthly								
	Lpl Financial Llc	MD, Broker	Dealer Support Svcs										
	Receipt For:	Aggregate	Year-to-Date ▼										
	Primary General		3600.00	11									
	Other (specify)		3000.00	4									
s	UBTOTAL of Receipts This Page (optional)			•			5				2945.	30	
т	OTAL This Period (last page this line number	only)		•									

Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

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······		Detailed Summary Page		11a		11b	11c	12						
Any information conied from and D	and Statement			13		14	15	16	tions					
Any information copied from such Reports or for commercial purposes, other than us														
NAME OF COMMITTEE (In Full) LPL Financial LLC Politica	I Action Comm	nittee (LPL PAC)												
Full Name (Last, First, Middle Initial) A. D'Arcy D'Arcy Henson				Date of	f Re	ceipt								
Mailing Address 8503 Priory Ct				M M 12] ′	20		2013	Y					
City	State	Zip Code						5A779971						
Waxhaw	NC	28173-6542	/	Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	C			Payroll Deduction: \$10.42/Bi-Monthly					.62					
Name of Employer	Occupation			ayroll i	∪edı	uction: \	∍1∪.42/Bi	-wonthly						
Lpl Financial Llc	VP, Govn, H	Risk & Compliance												
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.08]											
Full Name (Last, First, Middle Initial) B. David GEORGE Herbst	·			Date of Receipt										
Mailing Address 925 Burgess Hill Rd									Y					
City	State	Zip Code						F2EFFBF						
Naperville	IL	60565-6106	<i>F</i>	۹moun	t of	Each F	Receipt th	nis Period						
FEC ID number of contributing federal political committee.	C			1833.2										
Name of Employer Lpl Financial Llc	Occupation Financial Ac		P;	 Payroll Deduction: \$166.66/Bi-Monthly 										
Receipt For: Primary General Other (specify) ▼	Aggregate]												
Full Name (Last, First, Middle Initial) C. Peggy Ho	l			Date of	f Re	ceipt								
Mailing Address 6 Lilac Circle				м м 12] ′	- 20		2013	Y					
City Wellesley	State MA	Zip Code 02482-4518						EC32841E						
				۹moun	τ of	⊨ach F	ieceipt th	nis Period						
FEC ID number of contributing federal political committee.	C			avroll	Jedu	Jction: 9	\$20.83/Bi		9.13					
Name of Employer	Occupation			- 10 II i				onuny						
Lpl Financial LLC Receipt For:		ciate Counsel	_											
Receipt For:	Aggregate	Year-to-Date ▼												
Other (specify) ▼		395.77												
SUBTOTAL of Receipts This Page (optic	י סnal)	······	▶]		=	,	- 7	2177	.01					
TOTAL This Period (last page this line r	umber only)		▶											

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TEMIZED RECEIPTS		Detailed Summary Page		11a		-	1b	11c		12	
Any information copied from such Reports ar	nd Statements ma	ay not be sold or used by any p	erson 1	13 for the	puri	14 12 12		15 soliciting	g co	16 ntribut	17 ions
or for commercial purposes, other than using											
NAME OF COMMITTEE (In Full)	ction Comm	hittee (LPL PAC)									
Full Name (Last, First, Middle Initial) A. Brad Brad Jacobs			Date of	Re	ece	eipt					
Mailing Address 5 Beacham Place				M M	/	Γ	20	/ Y		013	Y
City	State	Zip Code		Trans	acti	ion	ו ID : <i>ו</i>	A5651C			B02B43
Hingham	MA	02043-3331		Amount	t of	Ea	ach Re	eceipt tl	his F	Period	
FEC ID number of contributing federal political committee.	С					,		7		275.	00
Name of Employer	Occupation	 	P	ayroll E	Dedu	uct	tion: \$2	25.00/B	i-Mo	nthly	
Lpl Financial Llc	SVP, Assoc	ciate Counsel									
Receipt For:	Aggregate	Year-to-Date ▼									
Primary General Other (specify) ▼		600.00]								
Full Name (Last, First, Middle Initial) B. Michael WILLIAM Jowdy				Date of	Re	ece	eipt				
Mailing Address 715 Marvista Ave				07 31 2013					Ŷ		
City	State	Zip Code		Trans	acti	ion	n ID : /	A6CB3E	BAF7	719E0	476193F
Seal Beach	CA	90740-5835	/	Amount	t of	Ea	ach Re	eceipt tl	his F	Period	
FEC ID number of contributing federal political committee.	С					,		- 7		25.	00
Name of Employer LPL Financial LLC	Occupation CFP										
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 302.02									
Full Name (Last, First, Middle Initial) C. Michael WILLIAM Jowdy				Date of	Re	ece	eipt				
Mailing Address 715 Marvista Ave				м м 12	/	Γ	D D 31	/ Y)13	Y
City Seal Beach	State CA	Zip Code 90740-5835									6F4B2A
FEC ID number of contributing federal political committee.	С			Amount of Each Receipt this Period			916	63			
Name of Employer	Occupation	I	F	'ayroll [Jed	luct	tion: \$	83.33/B	I-Mo	nthly	
LPL Financial LLC	CFP										
Receipt For: Primary General Other (specify) ▼	Primary General General										
SUBTOTAL of Receipts This Page (optional	,					1	-		-	1216.	63

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER:

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)				
		for each category of the Detailed Summary Page	X 11a 11b 11c 12				
			13 14 15 16 1 berson for the purpose of soliciting contributions e to solicit contributions from such committee.				
NAME OF COMMITTEE (In Full) LPL Financial LLC Politica	I Action Comm	nittee (LPL PAC)					
Full Name (Last, First, Middle Initial) Andy Kalbaugh			Date of Receipt				
Mailing Address 6713 Seton House La	ne		12 20 2013				
City Charlotte	State NC	Zip Code 28277-4519	Transaction ID : A65F869D599BC4D19A Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C		1145.87				
Name of Employer LPL Financial LLC Receipt For: Primary General Other (specify)		tion Services Year-to-Date ▼ 2500.08	Payroll Deduction: \$104.17/Bi-Monthly				
Full Name (Last, First, Middle Initial) B. Joy DAVIS Kirsch		3	Date of Receipt				
Mailing Address 2324A Cheek-Sparger		7.0.1	09 / Y Y Y Y 2013				
City Dallas	State TX	Zip Code 76021	Transaction ID : A1E5ED9CC9B1245F0E Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С		, 250.00				
Name of Employer Kirsch & Associates	Occupation CFO of Life	n Changing Events					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00]				
Full Name (Last, First, Middle Initial) C. David BLANDIN La Pointe			Date of Receipt				
Mailing Address 37919 Luna Dr	State	Zip Code	12 31 2013 Transaction ID : A6B22F3169A694A7BA				
Temecula	CA	92592-9053	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C		2083.30 Payroll Deduction: \$208.33/Bi-Monthly				
Name of Employer La Pointe Wealth Management Receipt For:	Occupation Financial A	dvisor					
Primary General Other (specify) V	Aggregate	Year-to-Date ▼ 4583.26					
SUBTOTAL of Receipts This Page (opti-	, onal)		3479.17				
TOTAL This Period (last page this line r	number only)						

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PAGE 27 OF

		Detailed Summary Page		11a		11b	11c	12		
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Any information copied from such Reports and or for commercial purposes, other than using										
NAME OF COMMITTEE (In Full)										
LPL Financial LLC Political Ac	ction Comr	nittee (LPL PAC)			_					
Full Name (Last, First, Middle Initial) Stephen Stephen Lank				Date of	Rec	ceipt				
Mailing Address 15936 Glen Miro Drive			_ [м м 12		20		у у 2013		
City Huntersville	State NC	Zip Code 28078-2255						353F8B2B		
Huntersville		20010-2200	A	۱mount	t of	Each R	eceipt th	nis Period		
FEC ID number of contributing federal political committee.	C			550.00 Payroll Deduction: \$50.00/Bi-Monthly					.00	
Name of Employer	Occupation	1		ayroll L	Jedu	uction: \$	50.00/Bi	-Monthly		
Lpl Financial Llc	EVP, Opera	ations								
Receipt For:	Aggregate	Year-to-Date ▼								
Primary General Other (specify) ▼		1200.00]							
Full Name (Last, First, Middle Initial) 3. Sallie R. R. Larsen			D	Date of Receipt						
Mailing Address 1407 Olivet Lane									Y	
City	State	Zip Code			actic		A16A2F0	02549804	<u>30CB</u> E	
San Diego	CA	92037-3837						nis Period		
FEC ID number of contributing federal political committee.	С					500.	.00			
Name of Employer	Occupation		\neg							
LPL Financial LLC	MD, Chief H	IC Officer								
Receipt For:	Aggregate	Year-to-Date ▼								
Primary General Other (specify)		500.00	ן ר							
Full Name (Last, First, Middle Initial)				Date of	Rec	ceipt				
Mailing Address PO Box 165				м м 12	1	D D 31	/ Y	2013	Ŷ	
City Coder Crock	State	Zip Code						BE680924		
Cedar Creek	NE	68016-0165	A	\mount	of	Each R	eceipt th	nis Period		
FEC ID number of contributing federal political committee.	С			-		,	,	350	0.00	
Name of Employer	Occupation		Pi	ayroll L	Jedu	uction: \$	50.00/Bi-	-Monthly		
LPL Financial LLC	Advisor									
Receipt For:		Year-to-Date ▼								
Primary General	55 - 34.0		7 -							
Other (specify)		350.00	L							
SUBTOTAL of Receipts This Page (optional).			<u> </u>	-	-		- 7	1400.	.00	
TOTAL This Period (last page this line numb	ver only)		► [_	_	7				

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PAGE 28 OF

		1	Detailed Summary Page		. 11a	\square	11b	11c	12		_
Anı	y information copied from such Reports and	Statements may	y not be sold or used by any n		13 or the r		14 pose o	15 of solicitin	16 na contri		17 0ns
	for commercial purposes, other than using th										
1	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act	ion Comm	ittee (LPL PAC)			_	_			_	
	Full Name (Last, First, Middle Initial) Lawrence NELSON Leitch			C	Date of	Red	ceipt				
-	Mailing Address 29 Windflower Ct				M M				2013]
	City	State MD	Zip Code		Transa		ion ID :	: A4FA7	C341A1	DB44	484A
-	Reisterstown	21136-5651	A	۱mount	of	Each .	Receipt t	this Peri	iod		
	FEC ID number of contributing federal political committee.	С							550.00	0	
Ì	Name of Employer	Occupation			ayroll L	Jedr	uction:	\$50.00/B	3I-Month	ily	
	Synergy Financial Group	Partner									
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 900.00]]						
	Full Name (Last, First, Middle Initial) Mr. DANIEL W LEVERONI				Date of Receipt						
 	Mailing Address 853 Main St			_	12	_	31		2013]
	City	State	Zip Code		Transa		on ID :	: A518F1	1F496DE	BF4E	1CB
-	Cotuit	MA	02635-3111	A	\mount	of	Each	Receipt t	this Peri	iod	
	FEC ID number of contributing federal political committee.	С			_			,		229.13	3
	Name of Employer LPL Financial LLC	Occupation Financial Ad			ayroll D	vedu	iction:	\$20.83/B	si-Monthl	ly	
Ï	Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 479.09]]						
).	Full Name (Last, First, Middle Initial) Timothy W Leveroni				Date of	Red	ceipt				
l	Mailing Address 1100 Randolph Ave				M M 12	/	31		2013		1
	City Milton	State MA	Zip Code 02186-5235		Transa		ion ID	: AEAD9	946F389	9A4C	C5AI
	FEC ID number of contributing federal political committee.	C			Amount of Each Receipt this Period				229.13	3	
1	Name of Employer	Occupation		P.	ayroll L	Dedu	uction:	\$20.83/E	3i-Month	ıly	
	LPL Financial LLC	Financial Ad									
	Receipt For: Primary General		Year-to-Date ▼								
	Other (specify) ▼		499.92								
	UBTOTAL of Receipts This Page (optional)					—				08.26	;

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for each category of the
Detailed Summary Page

FOR LINE NUMBER:

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		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17						
or for commercial purposes, other than usin			erson for the purpose of soliciting contributions e to solicit contributions from such committee.						
NAME OF COMMITTEE (In Full)	Action Comn	nittee (LPL PAC)							
Full Name (Last, First, Middle Initial) A. William LEE Litton Mailing Address 10309 Avenida Magnifica	a		Date of Receipt						
City	State	Zip Code	12 31 2013 Transaction ID : A7B01D3BF92A3459BB						
San Diego	CA	92131-1402	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		275.00						
Name of Employer	Occupation	1	Payroll Deduction: \$25.00/Bi-Monthly						
Lpl Financial Llc	Financial A	dvisor							
Receipt For:									
Other (specify)		600.00	1						
Full Name (Last, First, Middle Initial) B. Tom Lux			Date of Receipt						
Mailing Address 5420 LaJolla Boulevard, Unit B203	Unit B203		12 20 2013						
City									
San Diego	CA	92037-7683	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		1145.87						
Name of Employer LPL Financial LLC	Occupation EVP, Chief	Accounting Officer	Payroll Deduction: \$104.17/Bi-Monthly						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2500.08]						
Full Name (Last, First, Middle Initial) C. Mr. Cam JOEL Mader			Date of Receipt						
Mailing Address 10660 Glen Eagle Rd			M M / D D / Y Y Y Y 12 31 2013						
City Saint Paul									
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period						
Name of Employer	Occupation	1	Payroll Deduction: \$41.66/Bi-Monthly						
LPL Financial LLC	Advisor								
Receipt For:									
Other (specify)	1								
SUBTOTAL of Receipts This Page (optional	al)		1712.49						
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	for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17				
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NAME OF COMMITTEE (In Full)	n Committee (LPL PAC)					
Full Name (Last, First, Middle Initial) Laila SOBHI Marshall-Pence Mailing Address 10572 Villa Del Cerro City Santa Ana FEC ID number of contributing federal political committee. Name of Employer Pence Wealth Management Receipt For: Primary General Other (specify) ▼	State Zip Code CA 92705-1486 C Occupation President Aggregate Year-to-Date ▼ Aggregate Year-to-Date 4999.92	Date of Receipt				
Full Name (Last, First, Middle Initial) Theodore ROCCO Massaro Mailing Address 36 Harvest Ln City Medford	State Zip Code NJ 08055-8450	Date of Receipt				
FEC ID number of contributing federal political committee. Name of Employer M Financial Planning Services Receipt For: Primary General Other (specify) ▼	C Occupation Financial Advisor Aggregate Year-to-Date 3000.00	1375.00 Payroll Deduction: \$125.00/Bi-Monthly				
Full Name (Last, First, Middle Initial) C. Robert ARTHUR Matson Mailing Address 333 Orchard St City Millis FEC ID number of contributing federal political committee. Name of Employer Robert A Matson CLU, ChFC Receipt For: Primary General Other (specify) ▼	State MA Zip Code 02054-1025 C Occupation Occupation Owner Aggregate Year-to-Date ▼ 999.84	Date of Receipt 12 31 2013 Transaction ID : A7A05672DB595475E8D8 Amount of Each Receipt this Period 458.26 Payroll Deduction: \$41.66/Bi-Monthly				
SUBTOTAL of Receipts This Page (optional)		4124.89				

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Detailed Summary Page

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ITEMIZED RECEIPTS	- /	Use separate schedule(s) for each category of the	(check only one)								
		Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17								
			person for the purpose of soliciting contributions e to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full)											
LPL Financial LLC Politica	al Action Comm	nittee (LPL PAC)									
Full Name (Last, First, Middle Initial) A. DAVID JOHN MC MENAMIN			Date of Receipt								
Mailing Address 5100 W Rhyolite Loo	p		12 31 2013								
City	State	Zip Code	Transaction ID : AE015A2D01027407482								
Tucson	AZ	85745-8967	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C		666.64								
Name of Employer	Occupation	1	Payroll Deduction: \$83.33/Bi-Monthly								
Lpl Financial Llc	Financial A	dvisor									
Receipt For:	Aggregate	Year-to-Date V									
Primary General		1499.94	1								
Other (specify)		1	1								
Full Name (Last, First, Middle Initial) B. Robert EDWARD Micone			Date of Receipt								
Mailing Address 360 E 1st St			12 31 _2013 _								
City	State	Zip Code	Transaction ID : A85230277F6954FE0A8								
Tustin	CA	92780-3211	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C		1375.00								
Name of Employer	Occupation	1	Payroll Deduction: \$125.00/Bi-Monthly								
LPL-IAS	Registered	Principal									
Receipt For:	Aggregate	Year-to-Date ▼									
Primary General Other (specify) ▼		2250.00]								
Full Name (Last, First, Middle Initial) C. Paul Paul Middlemiss			Date of Receipt								
Mailing Address 721 Governor Morrise	on Street		12 20 2013								
City	State	Zip Code	Transaction ID : AABCB37DF668B401CE								
Charlotte	NC	28211-3339	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C		114.62								
Name of Employer	Occupation	1	Payroll Deduction: \$10.42/Bi-Monthly								
Lpl Financial Llc	EVP, CCO	Advisory									
Receipt For:	Aggregate	Year-to-Date V									
Primary General		250.08	1								
Other (specify)		200.00	1								
SUBTOTAL of Receipts This Page (opt	ional)		2156.26								
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SCHEDULE A (FEC Form 3X) -----

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ITEMIZED RECEIPTS		Use separate schedule(s)	(check only one)									
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			13 14 15 16 Derson for the purpose of soliciting contribution e to solicit contributions from such committee.									
NAME OF COMMITTEE (In I LPL Financial LLC F	Full) Political Action Comm	nittee (LPL PAC)										
Full Name (Last, First, Middle A. John John Milder	ə Initial)		Date of Receipt									
Mailing Address 1031 N Mari	on St		12 20 2013									
City Oak Park	State IL	Zip Code 60302-1374	Transaction ID : AA2A434DC3A97411 Amount of Each Receipt this Period	DAB8								
FEC ID number of contributir federal political committee.	C		114.62									
Name of Employer Lpl Financial Llc	Occupation VP, Region	n al Adv Consultant	Payroll Deduction: \$10.42/Bi-Monthly									
Receipt For: Primary Gene Other (specify) ▼		Year-to-Date ▼ 250.08]									
Full Name (Last, First, Middle B. EDWARD BRUCE MIL			Date of Receipt									
Mailing Address 1721 Bardsto			12 31 2013									
City Charlotte	State NC	Zip Code 28226-0915	Transaction ID : A0C8CB1CC011841E Amount of Each Receipt this Period	EE818								
FEC ID number of contributir federal political committee.	ng C		1666.64									
Name of Employer LPL Financial LLC	Occupation Advisor	1	Payroll Deduction: \$208.33/Bi-Monthly									
Receipt For: Primary Gene Other (specify) ▼		Year-to-Date ▼ 1666.64	1									
Full Name (Last, First, Middle C. Shari DIANE Miller	e Initial)		Date of Receipt									
Mailing Address 4250 Shore	pointe Way		12 31 2013									
City San Diego	State CA	Zip Code 92130-8634	Transaction ID : ACAC7988AB9ED45 Amount of Each Receipt this Period	8FBE								
FEC ID number of contributir federal political committee.	C		416.60									
Name of Employer Lpl Financial Llc	Occupation manager	1	Payroll Deduction: \$41.66/Bi-Monthly									
Receipt For: Primary Gene Other (specify) ▼		Year-to-Date ▼ 916.52	1									
SUBTOTAL of Receipts This P	age (optional)		2197.86									
TOTAL This Period (last page	this line number only)			ī								

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SCHEDULE A (FEC Form 3X) DEACH

FOR LINE NUMBER:

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		Use separate schedule(s)	(check only one)								
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b		12	<u> </u>					
Any information copied from such Reports a or for commercial purposes, other than using											
NAME OF COMMITTEE (In Full) LPL Financial LLC Political A	Action Comn	nittee (LPL PAC)									
Full Name (Last, First, Middle Initial) A. Robert Robert Moore			Date of Receip	ot							
Mailing Address PO Box 2764			12 M M / D	20 / Y	2013	Y					
City Rancho Santa Fe	State CA	Zip Code 92067-2764	Amount of Eac	ID : AF7D8B	71C0DBC	4A638D					
FEC ID number of contributing federal political committee.	C				2291.6	63					
Name of Employer Lpl Financial Llc	Occupation President	1	Payroll Deductio	ın: \$208.33/B	i-Monthly						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 4999.92]								
Full Name (Last, First, Middle Initial) B. Bill Morrissey	·		Date of Receip	ot							
Mailing Address 5703 Beaumont Avenue			M M / D D / Y Y Y Y Y 08 22 2013								
City La Jolla	State CA	Zip Code 92037-7307	Transaction ID : AE80E61674B2E4F68AB Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C				1000.0	00					
Name of Employer LPL Financial LLC	Occupation EVP, IAS B	n Business Development									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.00									
Full Name (Last, First, Middle Initial) C. Thomas Thomas Murphy			Date of Receip	ot							
Mailing Address 4475 Osprey St			- · · ·		2013	Y					
City San Diego	State CA	Zip Code 92107-4030	Transaction Amount of Eac			118930					
FEC ID number of contributing federal political committee.	C			¢40.40/D	114.0 Manthhu	62					
Name of Employer	Occupation		Payroll Deduction	л: \$10.42/ВІ-	INIONTINIY						
Lpl Financial Llc Receipt For:		ct Management	_								
Primary General Other (specify)	Aggregate	Year-to-Date ▼ 250.08									
SUBTOTAL of Receipts This Page (optiona	al)				3406.2	25					
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	EMIZED RECEIPTS		Detailed Summary Page		11a		111		11c	12							
Ar	y information copied from such Reports and	Statements ma	av not be sold or used by any n	erson f	13 or the	DUr	14 005		15 olicitino	16 contrib	utions						
	for commercial purposes, other than using t																
\backslash	NAME OF COMMITTEE (In Full)																
	LPL Financial LLC Political Ac	tion Comm	nittee (LPL PAC)														
Α.	Full Name (Last, First, Middle Initial) William O'Connor						Date of Receipt										
	Mailing Address 100 Pacifica Suite 400				м м 08	/		08	/ Y	2013	Y						
	City Irvine	State CA	Zip Code 92618-7449				-				94EFE9F7						
	FEC ID number of contributing federal political committee.	С			Amoun	t of	Ead	cn Re	ceipt th	nis Perio 50	a)0.00						
	Name of Employer Applied Financial Planning, Inc.	Occupation Founder	1														
	Receipt For:		Year-to-Date ▼	_													
	Primary General Other (specify) ▼		500.00]													
В.	Full Name (Last, First, Middle Initial) John Oettinger				Date of	f Re	ecei	pt									
	Mailing Address 17805 Buehler Rd Apt 116			12 31 2013													
	City	State	Zip Code								94C9F8A9						
-	Olney	MD	20832-2353	/	Amount of Each Receipt this Period						d						
	FEC ID number of contributing federal political committee.	С			229.13												
	Name of Employer LPL Financial LLC	Occupation Advisor		Pa	ayroll E)edu	uctic	on: \$2	0.83/Bi-	Monthly							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 499.92]													
с.	Full Name (Last, First, Middle Initial) Carlo ANTHONY Panaccione				Date of	f Re	ecei	pt									
	Mailing Address 12760 Dianne Dr				м м 12	1		31	/ Y	2013	Y						
	City Los Altos Hills	State CA	Zip Code 94022-4606								E84AEEAE						
	FEC ID number of contributing federal political committee.	С			Amoun		Ea0	ch Re	ceipt in	nis Perio 116	6.62						
	Name of Employer	Occupation		Р	ayroll [Dedu	ucti	on: \$1	66.66/E	Bi-Month	ly						
	Navigation Group, Inc.	Private We	alth Advisor														
	Receipt For: Primary General	Aggregate	Year-to-Date ▼														
	Other (specify)		3166.54														
s	UBTOTAL of Receipts This Page (optional).						,		3	189	5.75						
т	OTAL This Period (last page this line number	er only)															

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PAGE 35 OF

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	commercial purposes, other than using th												
	ME OF COMMITTEE (In Full) PL Financial LLC Political Act	ion Comm	nittee (LPL PAC)										
Ful A.V	I Name (Last, First, Middle Initial) ICKIE LINN PETIX			Date of Receipt									
Ма	iling Address 2529 Castellon Ter				^M M	/	Γ	31	/ Y		013	Y	
Cit		State	Zip Code		Trans	act	ion	ID : /	\3928 B	DOC	92304	3A4B00	
EI	Cajon	CA	92019-3859		Amount	t of	Ea	ch Re	eceipt th	nis F	'eriod		
	C ID number of contributing eral political committee.	С					7		7	_	229.	13	
Na	me of Employer	Occupation			Payroll [Dedu	ucti	ion: \$2	20.83/B	i-Mo	nthly		
Lpl	Financial Llc	Financial A	dvisor										
Re	ceipt For:	Aggregate	Year-to-Date ▼										
	Primary General Other (specify)		499.92	1									
	I Name (Last, First, Middle Initial) avid ANTHONY Pickler	1			Date of	f Re	ecei	ipt					
Ма	iling Address 2268 Birchton Dr		12 31 2013										
Cit	у	State	Zip Code		Trans	acti	ion	ID : A	5CF69	B0E	84754	DAFA5	
Ge	ermantown	TN	38139-5251	Amount of Each Receipt this Perio						'eriod			
	C ID number of contributing eral political committee.	С		1833.26									
	me of Employer kler Wealth Advisors	Occupation CEO		— P	ayroll D)edu	uctio	on: \$1	66.66/E	3i-Mo	onthly		
Re	ceipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 3999.84										
	I Name (Last, First, Middle Initial) ndrew Andrew Putterman	I			Date of	f Re	ecei	ipt					
Ма	iling Address 6603 Glenbrook Road				м м 12	/	Г	20	/ Y) 13	Y	
City Cł	y nevy Chase	State MD	Zip Code 20815-6514	-	Trans Amount							4989B3E	
FE	C ID number of contributing eral political committee.	С					7				229	24	
Na	me of Employer	Occupation		F	Payroll [Ded	lucti	ion: \$2	20.84/B	i-Mo	nthly		
Lpl	l Financial Llc	Chairman E	Emeritus										
Re	ceipt For:	Aggregate	Year-to-Date ▼										
	Primary General Other (specify) ▼		500.16	1									
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тот/	AL This Period (last page this line number	r only)			L			_					

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PAGE 36 OF

		Detailed Summary Page		11a 13		11b 14	11c	12	17			
Any information copied from such Reports a or for commercial purposes, other than usir			person for	or the p	purpo	ose of s	soliciting	contribu	tions			
NAME OF COMMITTEE (In Full) LPL Financial LLC Political	Action Comm	nittee (LPL PAC)										
Full Name (Last, First, Middle Initial) A. David Reich			Da	ate of	Rec	eipt						
Mailing Address 7995 Entrada De Luz W	12 20 2013											
City	State	Zip Code	Transaction ID : AFEEFF1ACE54941B882									
San Diego	CA	92127-2512	A	mount	of E	Each Re	eceipt thi	is Period				
FEC ID number of contributing federal political committee.	C						104.47/D	1145				
Name of Employer	Occupation	1	Pa	iyroll D	Jeduc	ction: \$	104.17/B	i-Monthly	/			
LPL Financial LLC	EVP, Retire	ement Platform Dev										
Receipt For:	Aggregate	Year-to-Date ▼										
Other (specify) ▼		2500.00]									
Full Name (Last, First, Middle Initial) B. Barry JONATHAN Reid			Da	ate of	Rec	eipt						
Mailing Address 27604 Marine View Dr S				^M M 12	1	D D 31	/ Y	ү ү 2013	Y			
City	State	Zip Code						FE6DE14				
Des Moines	WA	98198-9347	A	is Period								
FEC ID number of contributing federal political committee.	C		114.51									
Name of Employer LPL Financial	Occupation Registered I		Paj	yroll D	educ	ction: \$1	10.41/Bi- I	Monthly				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 249.84]									
Full Name (Last, First, Middle Initial) Lee Richardson			Da	ate of	Rec	eipt						
Mailing Address 14823 Asheton Creek D				м м 12	1	D D 20	/ Y	y y 2013	Y			
City Charlotte	State NC	Zip Code 28273-3448						7E283DE is Period				
FEC ID number of contributing federal political committee.	C								0.02			
Name of Employer	Occupation		Pa	ayroll C	Deduc	ction: \$	41.67/Bi-	Monthly				
LPL Financial LLC	VP, Techno	ology	_									
Receipt For:	Aggregate	Year-to-Date ▼										
Primary General Other (specify) ▼		250.02]									
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TOTAL This Period (last page this line num	mber only)		•				_					

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	Detailed Summary Page	X 11a 11b 11c	12
	may not be sold or used by any	13 14 15 erson for the purpose of soliciting co	16 17
		to solicit contributions from such co	
	nmittee (LPL PAC)		
		Date of Receipt	
)13
	Zip Code	Transaction ID : A1C227BB3	BCAD47FEA
	77566-6031	Amount of Each Receipt this P	eriod
			114.51
	tion	Payroll Deduction: \$10.41/Bi-Mo	nthly
	al Advisor		
	ate Year-to-Date ▼	-	
_	249.84		
		Date of Receipt	
			13
	Zip Code	Transaction ID : ACB55B48C	
	63017-1958	Amount of Each Receipt this P	
			458.26
	tion nt, CEO	Payroll Deduction: \$41.66/Bi-Mor	thly
_	ate Year-to-Date ▼ 999.84		
		Date of Receipt	
			13
	Zip Code	Transaction ID : A2CB2DEE	\B7974C51A
	92037-7549	Amount of Each Receipt this P	eriod
		7	114.62
	tion	Payroll Deduction: \$10.42/Bi-Mo	nthly
	usiness Consulting		
	ate Year-to-Date ▼		
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	250.08		
			687.39
	250.08		- 7 - I

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PAGE 38 OF

	Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16
		person for the purpose of soliciting contributions
		Date of Receipt
0404-	Zin Code	12 31 2013
CA	92679-3600	Transaction ID : A027B7C7B83724209 Amount of Each Receipt this Period
С		916.63
Occupation Principal		Payroll Deduction: \$83.33/Bi-Monthly
·	/ear-to-Date ▼ 1999.92	
		Date of Receipt
		12 20 2013
State OH	Zip Code 44077-9513	Transaction ID : A196EB18DF2B245E1
C		Amount of Each Receipt this Period
Occupation VP, Trust Of	ficer	Payroll Deduction: \$20.84/Bi-Monthly
Aggregate	/ear-to-Date ▼ 500.16]
		Date of Receipt
		07 31 2013
State KY	Zip Code 40502-2535	Transaction ID : AF661CB59B3EC418 Amount of Each Receipt this Period
C		30.26
Occupation		Payroll Deduction: \$30.26/Bi-Monthly
Aggregate `	lear-to-Date ▼	
	the name and ac ction Comm State CA C Occupation Principal Aggregate V State OH C Occupation VP, Trust Off Aggregate V State C Occupation	CA 92679-3600 C Occupation Principal Aggregate Year-to-Date ▼ 1999.92 State Zip Code OH 44077-9513 C Occupation VP, Trust Officer Aggregate Year-to-Date ▼ 500.16 State Zip Code Aggregate Year-to-Date ▼ 500.16

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An	y information copied from such Reports and S	tatements ma	w not be sold or used by any n	erson fo	13 or the l		14 0056		15 Soliciting	16	17 tions
	for commercial purposes, other than using the										
$\overline{\}$	NAME OF COMMITTEE (In Full)										
\rangle	LPL Financial LLC Political Action	on Comr	nittee (LPL PAC)								
١.	Full Name (Last, First, Middle Initial) Arthur R. Salomon				Date of	Re	ceip	pt			
	Mailing Address 459 Woodlake Way				м м 12		L	31		2013	
	City	State KY	Zip Code 40502-2535							AOF1BE9	4A91A
				A	mount	τof	Ead	cn Re	ceipt th	is Period	
	FEC ID number of contributing federal political committee.	С					3			500	.00
	Name of Employer	Occupation			ayroll E	Jedu	uctio	on: \$5	0.00/Bi-	-Monthly	
	Salomon & Co.	Financial Ac	dvisor-CEO								
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General Other (specify)		1180.26]							
	Full Name (Last, First, Middle Initial) Dan Schuck				Date of	[:] Re	ceir	pt			
	Mailing Address 10370 Crosscreek Terrace				M M M			20	/ Y	2013	Y
	City	State	Zip Code			acti	ion		<u>CCD</u> 64	46200A6	4 <u>370</u> 87
	San Diego	CA	92131-4207							is Period	
	FEC ID number of contributing federal political committee.	С			_		7		7	1100	.00
	Name of Employer	Occupation		- Pa	ayroll D)edu	ıctio	on: \$1	00.00/B	i-Monthly	
	LPL Financial LLC	SVP, Techn									
	Receipt For:	,	Year-to-Date ▼								
	Primary General	ggi oguio		1							
	Other (specify)		2400.00								
).	Full Name (Last, First, Middle Initial) Charles Charles Schwab				Date of	Re	ceip	pt			
	Mailing Address 3501 Lone Hill Lane				м м 12	1		20	/ Y	2013	Y
	City	State	Zip Code		Trans	acti	ion	ID : A	C74D5	D3B5450	49F09
	Encinitas	CA	92024-7276	A	\mount	t of	Ead	ch Re	ceipt th	is Period	
	FEC ID number of contributing federal political committee.	С			_		7			458	.37
	Name of Employer	Occupation			ayroll [Dedu	uctio	on: \$4	↓1.67/Bi	-Monthly	
	Lpl Financial Llc	EVP, BTS									
	Receipt For:	Aggregate	Year-to-Date ▼								
	Primary General			1							
	Other (specify)		750.06	1							
s	UBTOTAL of Receipts This Page (optional)			• [_		-			2058.	37
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PAGE 40 OF

			Detailed Summary Page		11a		11b	11c	12	<u> </u>
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or	for commercial purposes, other than using the	e name and a	ddress of any political committee	e to sol	licit coi	ntrib	outions fr	rom such	1 committ	ee.
\backslash	NAME OF COMMITTEE (In Full)									
Ż	² LPL Financial LLC Political Acti					_				
Α.	Full Name (Last, First, Middle Initial) Thomas Seeburger				Date of	Re	ceipt			
	Mailing Address 423 South Nevada Street				м м 12			/ Y	y y 2013	Y
	City	State	Zip Code			<u>ac</u> ti		A02B7A7	7E8E2F8	407EB9
	Oceanside	CA	92054-4038					eceipt thi		
	FEC ID number of contributing federal political committee.	С					3		229	
	Name of Employer	Occupation			'ayroll L	Dedi	uction: \$.	20.83/Bi-	Monthly	
	Lpl Financial LLC	SVP, Opera	ations	_						
	Receipt For:	_ _	Year-to-Date ▼							
	Primary General			1						
	Other (specify)		499.92	1						
B	Full Name (Last, First, Middle Initial) Keith Shores				Date of	: Re	ceipt			
~.	Mailing Address 4923 Bradshaw Court			_	M M		DD	/ Y	2013	Y
	City	State	Zip Code	- L	12 Trans	. 	20		2013 08455144	
	San Diego	CA	92130-2751					AA7EB60 eceipt thi	08455144 is Period	
	FEC ID number of contributing				Juil			. sipe th		
	federal political committee.	С					uction: \$4	-	458 Monthly	.37
	Name of Employer	Occupation			ayroll [Jedı	นิติเอก: \$₁	41.67/Bi-N	wonthly	
	LPL Financial LLC	SVP, Treasu	urer							
	Receipt For:	Aggregate	Year-to-Date ▼							
	Primary General		791.73	1						
	Other (specify)		/91./3	J		_				
с.	Full Name (Last, First, Middle Initial) Jim Shorris				Date of	Re	ceipt			
	Mailing Address 4113 Avalon Drive				M M 12		20	/ Y	үүү 2013	Y
	City	State	Zip Code			acti		A9EF3DI	D7A4023	420E8
	Sharon	MA	02067-3504					eceipt thi		
	FEC ID number of contributing federal political committee.	C					7		229	
	Name of Employer	Occupation		P.	'ayroll L	Dedu	uction: \$	20.83/Bi-	-Monthly	
	Lpl Financial LLC		ty General Counsel							
	Receipt For:	·	Year-to-Date ▼							
	Primary General	JJ. Jgald		1						
	Other (specify)		374.94	1						
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	-	Use separate schedule(s)	(check only one)	
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page		2
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NAME OF COMMITTEE (In Full)	ical Action Comn	nittee (LPL PAC)		
Full Name (Last, First, Middle Initia A. Gerry Soderstrom	al)		Date of Receipt	
Mailing Address 5518 Bellevue Ave	9		M M / D D / Y Y 12 20 201	
City La Jolla	State CA	Zip Code 92037-7626	Transaction ID : A1355D0DE2: Amount of Each Receipt this Per	37F434F901
FEC ID number of contributing federal political committee.	C			550.00
Name of Employer LPL Financial LLC Receipt For:	Occupation SVP, Interr	nal Audit	Payroll Deduction: \$50.00/Bi-Montl	ıly
Other (specify) ▼	Aggregate	Year-to-Date ▼ 1200.00]	
Full Name (Last, First, Middle Initia B. Adam Sokolic	al)		Date of Receipt	
Mailing Address 2752 29th St			M M / D D / Y Y 12 20 201:	
City San Diego	State CA	Zip Code 92104-4909	Transaction ID : AFDB3ADDDI Amount of Each Receipt this Per	F0EB4BE683
FEC ID number of contributing federal political committee.	C		7	229.13
Name of Employer Lpl Financial LLC	Occupation SVP, Retire	n ement Partners	Payroll Deduction: \$20.83/Bi-Month	ıly
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 499.92]	
Full Name (Last, First, Middle Initia C. Greg ROBERT Solis	al)		Date of Receipt	
Mailing Address 49335 Avenida Fe	rnando		12 31 _201	
City La Quinta	State CA	Zip Code 92253-2744	Transaction ID : A6D53D46FD Amount of Each Receipt this Per	
FEC ID number of contributing federal political committee.	C		Payroll Deduction: \$83.33/Bi-Mont	916.63
Name of Employer	Occupation			пу
Lpl Financial Llc Receipt For: Primary General Other (specify)	Financial A Aggregate	Year-to-Date ▼ 1999.92	1	
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SCHEDULE A	(FEC	Form	3X)
ITEMIZED REC	EIPTS	5	

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••			Detailed Summary Page		11a 13	\vdash	111 14		11c 15		12 16	17
	ny information copied from such Reports and S for commercial purposes, other than using the								oliciting		ntribut	ions
$\left\rangle$	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti											
A.	Full Name (Last, First, Middle Initial) Joe Sovcik Mailing Address 2007 18th Ave. NE				Date of	_		pt	/ Y	Y	Y	Y
	City Issaquah	State WA	Zip Code 98029-7375						.97ED9 ceipt th	BF6		4801BC2
	FEC ID number of contributing federal political committee.	С			Payroll	Jodu	,	on: \$1	0.42/Bi	Moi	114. otbly	62
	Name of Employer LPL Financial LLC Receipt For:	Occupation VP, Strateg	У		ayron L	Jeau	ucuo	оп: 	0.42/DI	·IVIOI	IIIIY	
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.08									
в.	Full Name (Last, First, Middle Initial) Gary M Stanislawski				Date of	Re	ecei	pt				
	Mailing Address 2992 E 78th St	State	Zip Code		M M 12	1	L	31	/ Y	20)13	Y
	Tulsa	OK	74136-8732						283FA			B93999
	FEC ID number of contributing federal political committee.	С					,				916.	63
	Name of Employer Regent Financial Services, Inc.	Occupation President			ayroll D)edu	uctic	on: \$8	3.33/Bi-	Mon	ithly	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1999.92									
c.	Full Name (Last, First, Middle Initial) John P Stevens				Date of	Re	ecei	pt				
	Mailing Address 6697 Mahogany Ct				^M 12	1		31	/ Y)13	Y
	City Liberty Township	State OH	Zip Code 45044-8894						247ED			4804B36
	FEC ID number of contributing federal political committee.	С					7			_	458	.26
	Name of Employer	Occupation	1	F	Payroll [Ded	ucti	ion: \$4	1.66/Bi	-Moi	∩thly	
	Stevens Investment Group, Inc	Wealth Adv	visor									
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 999.84									
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			Detailed Summary Page		1		1.	. ⊢	11c	\vdash	12	
	y information copied from such Reports and							se of		ng con		
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\rangle	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act	ion Comm	nittee (LPL PAC)									
Α.	Full Name (Last, First, Middle Initial) Kevin PETER Supka				Date of	Re	ecei	ipt				
	Mailing Address 1408 Stocton Rd				12 ^M	/	C	0 D	/	y y 20		Y
	City	State	Zip Code		Trans	acti	ion	ID : /	AC588	81AA0)F064	CC1A1
	Jenkintown	PA	19046-1131	_	Amount	of	Ea	ich Re	eceipt t	this Pe	eriod	
	FEC ID number of contributing federal political committee.	С					,				1833.	26
	Name of Employer	Occupation	1	— F	Payroll [Dedu	ucti	ion: \$	166.66/	/Bi-Mo	onthly	
	LPL Financial	Registered	Principal									
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General Other (specify) ▼		2999.88									
	Full Name (Last, First, Middle Initial) Cindy Cindy Swank				Date of	Re	ecei	ipt				
	Mailing Address 5138 Bevington Place				M M 12	/	_	20	/	201	13	Y
	City	State	Zip Code			acti	ion		4532CI			8C9A5
	Charlotte	NC	28277-5505		Amount	t of	Ea	ich Re	eceipt t	this Pe	eriod	
	FEC ID number of contributing federal political committee.	С					7		,		114.	62
	Name of Employer LPL Financial LLC	Occupation EVP, Servic	ce Value Commitment	P	ayroll D	edu)	ucti	on: \$1	10.42/B	i-Mont	thly	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.08									
	Full Name (Last, First, Middle Initial) Kimberly Kimberly Sweet				Date of	Re	ecei	ipt				
	Mailing Address 11153 Gatemoore Way				м м 12	/	ľ	20	/	y y 201		Y
	City	State	Zip Code		Trans	acti	ion	1D : /	A2BB9	59D8(092C4	15786
	San Diego	CA	92131-3645		Amount	t of	Ea	ich Re	eceipt t	this Pe	eriod	
	FEC ID number of contributing federal political committee.	С					7		7		114.	62
	Name of Employer	Occupation		F	Payroll [Dedu	uct	ion: \$	10.42/E	Bi-Mon	thly	
	Lpl Financial Llc	SVP, Busin	ess Mgmt									
	Receipt For:	Aggregate	Year-to-Date ▼									
	Primary General Other (specify)		250.08									
SI	UBTOTAL of Receipts This Page (optional)			<u> </u>			7			2	2062.	50

SCHEDULE A (FEC Form 3X) -----

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171			Use separate schedule(s)	(ch	eck only	/ on	e)	L		
11	EMIZED RECEIPTS		for each category of the Detailed Summary Page		< 11a		11b	11c	12	
	y information copied from such Reports and S for commercial purposes, other than using the									
	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti	on Comm	hittee (LPL PAC)							
Α.	Full Name (Last, First, Middle Initial) Todd Todd Tharp				Date of	Re	ceipt			
	Mailing Address 6209 Beaumont				M M	/	20	/ Y	үүү 2013	Y
	City La Jolla	State CA	Zip Code 92037-5305						57723874 his Period	
	FEC ID number of contributing federal political committee.	С					,		458	.37
	Name of Employer Lpl Financial Llc	Occupation EVP, Broke	r Dealer Supt Svcs	F	Payroll D)edu	iction: \$	641.67/Bi	-Monthly	
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 708.39							
в.	Full Name (Last, First, Middle Initial) Cinda Cinda Todd				Date of	Re	ceipt			
	Mailing Address 9320 Praful Court				12	/	20	/ Y	ү ү 2013	Y
	City Santee	State CA	Zip Code 92071-2237						18BA42C	485B8CA
	FEC ID number of contributing federal political committee.	С					,		114	
	Name of Employer Lpl Financial Llc	Occupation VP, Busines		F	Payroll D	edu	ction: \$	10.42/Bi-	Monthly	
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 250.08							
<u> </u>	Full Name (Last, First, Middle Initial) Joan MARIE Valenti				Date of	Re	ceipt			
	Mailing Address 6 Morgan Pl	_			м м 12	/	31	JL	ү ү 2013	
	City Unionville	State CT	Zip Code 06085-1178						6AF6E6F nis Period	4E369E0
	FEC ID number of contributing federal political committee.	С					, ,		2291 Bi-Monthly	
	Name of Employer Lpl Financial Llc	Occupation Financial A			Payroll L	Jeau	iction: 4	5206.33/E	SI-IVIORITII	/
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 4999.92							
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SCHEDULE A (FEC Form 3X) -----

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Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act Full Name (Last, First, Middle Initial) Kenneth WILLIAM Van Leeuwen Mailing Address 1010 Barclay Blvd City Princeton	the name and a ction Comm State NJ	ddress of any political committee	X 11a 11b 11c 12 13 14 15 16 erson for the purpose of soliciting contributions from such committee Date of Receipt 12 31 2013
or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act Full Name (Last, First, Middle Initial) Kenneth WILLIAM Van Leeuwen Mailing Address 1010 Barclay Blvd City	the name and a ction Comm State NJ	ddress of any political committee	Date of Receipt
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-	NJ		
		08540-5883	Transaction ID : A8F753544C48B48 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		1375.0
Name of Employer Van Leeuwen& Co. LLC	Occupation Managing D	Director	Payroll Deduction: \$125.00/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 3000.00	
Full Name (Last, First, Middle Initial) B. Scott Scott Vock			Date of Receipt
Mailing Address 2503 Roswell Avenue			12 20 2013
City Charlotte	State NC	Zip Code 28209-1659	Transaction ID : A035A2F51501149F Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		229.13
Name of Employer Lpl Financial Llc	Occupation VP, Techno	logy	Payroll Deduction: \$20.83/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 499.92	
Full Name (Last, First, Middle Initial) C. Wayne von Borstel			Date of Receipt
Mailing Address 1603 Morton St			12 31 2013
City The Dalles	State OR	Zip Code 97058-4006	Transaction ID : AFDB60FA84AA84 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		2083.3
Name of Employer Lpl Financial LLC	Occupation financial pla	Inner	Payroll Deduction: \$208.33/Bi-Monthly
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 2083.30	1
SUBTOTAL of Receipts This Page (optional).			3687.43

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti	ion Comm	nittee (LPL PAC)									
Α.	Full Name (Last, First, Middle Initial) Michael J Watson			1	Date o	f Re	ece	ipt				
	Mailing Address 2029 14th St				м м 08	/	l	16	1		y y 2013	Y
	City Encinitas	State CA	Zip Code 92024-6806									E47649C
	FEC ID number of contributing federal political committee.	С			Amoun	t of	Ea	ICN R	eceip	this		2.49
	Name of Employer Lpl Financial Llc	Occupation SVP. Busin	ess Consulting	P	ayroll I	Dedu	uct	ion: \$	20.83	/Bi-M	onthly	
	Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 333.28]								
в.	Full Name (Last, First, Middle Initial) Kelly RAE Welker				Date o	f Re	ece	ipt				
	Mailing Address 22332 Fossil Rdg				м м 10	/	ľ	D D 15	/		y y 2013	Y
	City San Antonio	State TX	Zip Code 78261-3015		Trans							4E91911
	FEC ID number of contributing federal political committee.	С					7		,			9.96
	Name of Employer Renaissance Wealth Management Group of	Occupation Registered		Pa	ayroll E	Dedu	ucti	on: \$	41.66/	′Bi-Mo	onthly	
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 791.54									
<u>с.</u>	Full Name (Last, First, Middle Initial) Geoffrey Geoffrey White				Date o	f Re	ece	ipt				
	Mailing Address 7454 Sundial Place				м м 12	/	ľ	20	/		y y 2013	Y
	City Carlsbad	State CA	Zip Code 92011-4688		Trans Amoun							2 4972B36 d
	FEC ID number of contributing federal political committee.	С					7		,		_	0.00
	Name of Employer	Occupation		P	ayroll	Dedi	uct	ion: \$	10.00	/BI-M	onthly	
	Lpl Financial Llc Receipt For:	SVP, Retire	ement Recruiting									
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	nd Statements ma g the name and a	y not be sold or used by any po ddress of any political committee	erson for the purpo	14 15 ose of soliciting tions from sucl	16 contributi committe	0ns e.
NAME OF COMMITTEE (In Full)	Action Comm	ittee (LPL PAC)				
Full Name (Last, First, Middle Initial) A. Michael C. F. Williams			Date of Rec	eipt		
Mailing Address 15 Ivy Ln			M M /	D D / Y 31	2013	Y
City Chester Springs	State PA	Zip Code 19425-3316		on ID : AC3C7E Each Receipt th		1913A4
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Name of Employer First Financial Networks. Inc	Occupation President		— Payroll Deduc	ction: \$166.66/E	3i-Monthly	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 3999.84				
Full Name (Last, First, Middle Initial) 3. Warren DAVID Wise			Date of Rec	eipt		
Mailing Address 437 Wedgewood Ct			12 /	D D / Y 31	2013	Y
City Eden	State NC	Zip Code 27288-2841		n ID : AF6F8F ach Receipt th		2FAB38
FEC ID number of contributing federal political committee.	С				1145.7	76
Name of Employer Lpl Financial Llc	Occupation Financial Ac	lvisor	Payroll Deduc	tion: \$104.16/B	i-Monthly	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2499.84				
Full Name (Last, First, Middle Initial) C. Gregory M. M. Woods			Date of Rec	eipt		
Mailing Address 116 Pembroke Street			12 ^M		у у 2013	Y
City Boston	State MA	Zip Code 02118-1208		on ID : AA2BFC Each Receipt th		43E5AF
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Name of Employer Lpl Financial Llc	Occupation EVP, Deput	y General Counsel	Payroll Deduc	ction: \$41.67/Bi	-Monthly	
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NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act											
Full Name (Last, First, Middle Initial) A. Robert ALAN Young				Date of	f Re	ece	eipt				
Mailing Address 14504 County Road 96B				м – м 12	/	ľ	o ∎ o 31	/		у у у 2013	Y
City Woodland	State CA	Zip Code 95695-9221		Trans							4625B3E
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Name of Employer Main Street Wealth Group	Occupation Financial A			ayroll [Dedu	uct	tion: \$	20.83	/Bi-M	lonthly	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 499.92									
Full Name (Last, First, Middle Initial) B. Mark G Zitzelsberger				Date of	f Re						
Mailing Address 1048 Clubhouse Dr	State	Zip Code		12	/	Į,	D D D		2	2013	
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Name of Employer Lpl Financial Llc	Occupation Financial A		— P:	ayroll C)edu	ucti	ion: \$4	41.66	/Bi-M	onthly	
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ar for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NMME OF COMMITTEE (in Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) A. Aristotie International Mailing Address 205 Pennsylvania Ave SE City Washington Credit Card Processing Fees Candidate Name Category/ Type District Purpose of Disbursement Credit Card Processing Fees Candidate Name Category Type Candidate Name Category Type Coffice Sought: House District Primary General Other (specify) ▼ Date of Disbursement Category Type Category Category Type Category Category Type Category						×		-				\vdash		-		26 30b
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	Sen. Michael F. Bennet Office Sought: House Disburse	ment For:		Т	уре)		<u> </u>		7	-	- 7		2000		
		Primary	2016 General													
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C.	BOB CASEY FOR SENATE INC							Date o				nt				
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	LPL Financial LLC Political Action	Committee (LPL PA	AC)					
Α.	Full Name (Last, First, Middle Initial) CAPUANO FOR CONGRESS CO	MMITTEE		Date of Di	D	D / Y	YYY	Y
	Mailing Address PO BOX 440305			09	06		2013	
	City SOMERVILLE Purpose of Disbursement	State Zip Code MA 02144		Transact	tion ID :	B83597	F69936D	456BADC
	MA US House Candidate Name			Amount of	Each [Disburser	ment this	Period
	Rep. Michael E. Capuano		Category/ Type		7		100	00.00
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в.	State: MA District: 07 Full Name (Last, First, Middle Initial) CROWLEY FOR CONGRESS			Date of Di	D	D / Y	Y Y	Y
	Mailing Address 50 E Street, SE - Suite 1			09	06		2013	
	Washington	StateZip CodeDC20003		Transact	tion ID :	BC0E0	61D7716	2476A81F
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C.	Full Name (Last, First, Middle Initial) DAVID SCOTT FOR CONGRESS			Date of Di				
	Mailing Address P.O. BOX 960821			11	12		2013	= Y
	City RIVERDALE Purpose of Disbursement	State Zip Code GA 30296		Transact	tion ID :	: BBF1C	F5B5A65	54CCABF
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В.	FRIENDS FOR GREGORY MEEK	(S					Date of	of Dis	sburse				
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	Suite 404										20		
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	Rep. Gregory W. Meeks			T	ype			-	7		-	1000	.00
		ment For: Primary	2014 General										
	President	Other (sp											
	State: NY District: 05		•										
_	Full Name (Last, First, Middle Initial)												
C.	FRIENDS OF CAROLYN MCCAR	THY					Date of	_					
	Mailing Address 151 LINDEN ROAD						09	1 /	D 0		20		Y
	City	State	Zip Code				Tran	sacti	ion ID	: BC264	85185	3 4 4 4	
	MINEOLA Purpose of Disbursement	NY	11501				nan	5461		. 00204			
	NY US House						Amou	at of	Each	Disburse	mont	thia E	Dariad
	Candidate Name			Cat	egory	v/	Amour		Each	DISDUISE	ment	uns r	renou
	Rep. Carolyn McCarthy				ype	y,						1000	.00
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	Senate President	Primary Other (sp	General										
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S	CHEDULE B (FEC Form 3X)			F	OR		UMBE	R:		P	AGE	57 (DF 63
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	y information copied from such Reports and Stater for commercial purposes, other than using the nan												
	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	Commit	tee (LPL PA	C)									
Α.	Full Name (Last, First, Middle Initial) FRIENDS OF JOHN BOEHNER						Date	_	sburse		Y Y	Y	Y
	Mailing Address 7908 CINCINNATI DAYTON ROAD						09		1	7	2	013	
	WEST CHESTER	State OH	Zip Code 45069				Trai	nsact	ion ID	: BDAB	382D	5FB2I	4506A7E
	Purpose of Disbursement OH US House						Amou	int of	Each	Disburse	ement	t this	Period
	Candidate Name Rep. John A. Boehner				egor ype	ry/			,			2500	0.00
	Office Sought: House Disburser Senate President State: OH District: 08	nent For: 2 Primary Other (spe	General										
в.	Full Name (Last, First, Middle Initial) FRIENDS OF MARK WARNER						М	M /	sburse	D /		042	Y
	Mailing Address 201 NORTH UNION STREET SUI		7				09	9		06	2	013	
	ALEXANDRIA	State VA	Zip Code 22314				Tra	nsaci	tion ID	: BECE	7F68/	ADB9	84B9C9E
	Purpose of Disbursement VA US Senate Candidate Name			Cat	egor	ту/	Amou	int of	Each	Disburse	ement	t this	_
		nent For: Primary Other (spe	General	T	ӯре				7			1000	,
C.	Full Name (Last, First, Middle Initial) FRIENDS OF PAT TOOMEY								sburse				
	Mailing Address 228 S. WASHINGTON ST., SUITE	115					09		1	7		013	Y
	ALEXANDRIA	State VA	Zip Code 22314				Tra	nsact	ion ID	: BE900	3EBI	E50E8	4DB4835
	Purpose of Disbursement PA US Senate Candidate Name			Cat	egor	24/	Amou	int of	Each	Disburs	ement	t this	Period
	Sen. Pat J. Toomey Office Sought: House Disburser Senate President X State: PA District:	ment For: ; Primary Other (spe	General		ype	y/	L.		7			1000	0.00
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SC	HEDULE B (FEC Form 3X)	<u>.</u>		FC	DR LIN	NE NU	IMBER:			PA	GE 58	OF 63
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	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	Commi	ttee (LPL PA	C)								
-	Full Name (Last, First, Middle Initial) JEFF MERKLEY FOR OREGON						Date of	[:] Disb	ourser		Y Y	Y
	Mailing Address PO BOX 42307						09		<u>0</u> 6	3	2013	
	Portland	State OR	Zip Code 97242-0307				Trans	actio	n ID :	: B77470	CC66FF	5444B9FB
	Purpose of Disbursement OR US Senate						Amount	of E	ach I	Disburse	ment this	s Period
	Candidate Name Sen. Jeff A. Merkley				egory/ /pe					,	10	00.00
	Office Sought: House Disburser Senate President State: OR District:	ment For: Primary Other (sp	General									
В.	Full Name (Last, First, Middle Initial) JOHN TIERNEY FOR CONGRES	S					Date of	[:] Disb	D	D / Y	Y Y	Ŷ
	Mailing Address 12 HUSSEY AVENUE	<u></u>	7. 0. 1				10		30)	2013	
	City Danvers Purpose of Disbursement	State MA	Zip Code 01923-3955				Trans	actio	n ID	: BA1459	9B44A5E	EE4A16B9E
	MA 6 US House Candidate Name						Amount	ofE	ach I	Disburse	ment this	s Period
	Rep. John F. Tierney Office Sought: Y House Disburser	ment For:	2014		egory/ /pe			- 7			10	00.00
	č A	Primary Other (sp	General									
C.	Full Name (Last, First, Middle Initial) KEVIN MCCARTHY FOR CONGR	ESS					Date of	[:] Disb	ourser		YY	V
	Mailing Address 213 Ashby St.						12		23		2013	
	Alexandria	State VA	Zip Code 22305-2902				Trans	actio	n ID	: BDBE0	3431AB	324F28805
	Purpose of Disbursement CA US House Candidate Name						Amount	of E	ach I	Disburse	ment this	s Period
	Rep. Kevin McCarthy Office Sought: Y House Disburser	ment For:	2014		egory/ /pe	_		. ,			10	00.00
	State: CA District: 23	Primary Other (sp	General									
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			Summary Page			2	1b 7	22 28a	×	23 28b	$\left \right $	24		25 29		26 30b
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\square	NAME OF COMMITTEE (In Full)															
	LPL Financial LLC Political Action	Commit	ttee (LPL PA	.C)												
Α.	Full Name (Last, First, Middle Initial)	6						Date o	f Dis	sburse	em	nent				
	Mailing Address PO BOX 1441							м м 09	/	D 1	17	/		2013	Y	
	City S TOPEKA	State KS	Zip Code 66601					Trans	sacti	ion ID):	BFF6	F78E	64156	4EB9A	29
	Purpose of Disbursement KS US House						1	Amoun	t of	Each	D)isburs	semer	it this	Period	
	Candidate Name Rep. Lynn M. Jenkins			Cat	ego ype						1			100	0.00	1
	· · ·	nent For:	2014		ype	;	_			7	1					
	Senate X	Primary Other (spe	General													
	State: KS District: 02		<i>37</i> •													
в.	Full Name (Last, First, Middle Initial)							Date o	f Dis	sburse	em	nent				
	Mailing Address PO BOX 1406							м м 12	/	D	23			2013	Y	
	City S HICKORY	State NC	Zip Code					Trans	sacti	ion ID):	B163	027B	61D04	42CA9	B6
	Purpose of Disbursement NC US House	NO	28603					A 100 0 1 10	+ ~ f	Tash) ob w		t this	Deried	
	Candidate Name			Cat	000	nu/		Amoun		Each		isbuis	emer		Fenou	1
	Rep. Patrick T. McHenry				ype			L.		,	_			100	0.00	4
	Senate	nent For: Primary Other (spe	General													
	State: NC District: 10						_									
C.	Full Name (Last, First, Middle Initial) MONTANANS FOR TESTER							Date o	_	sburse				Y Y		
	Mailing Address 236 Massachusetts Ave, NE Suite 207							M M 11)5			2013		
	Washington	State DC	Zip Code 20002-4980					Trans	sacti	ion ID):	B409	17836	696C3	40AC8	FB
	Purpose of Disbursement MT US Senate						1	Amoun	t of	Fach	Г)iehurg	omor	nt this	Period	
	Candidate Name Sen. Jon Tester			Cat	ego ype			Amoun		Laon		13001			0.00	1
	Office Sought: House Disburser Senate President	nent For: Primary Other (spe	General		урс	-				3		3				1
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	ny information copied from such Reports and Staten for commercial purposes, other than using the name																
	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	Commi	ttee (LPL PA	C)													
Α.	Full Name (Last, First, Middle Initial) • NEUGEBAUER CONGRESSIONAL COMMITTEE								Date of Disbursement								
	Mailing Address 104 Hume Ave.								11 15 2013								
	Alexandria	StateZip CodeVA22301-1015					Transaction ID : BFF4C7F72F01544A7B6C										
	Purpose of Disbursement TX US House						Amoun	t of	Each	D)isburse	ment	t this	Period			
	Candidate Name Rep. Randy Neugebauer				ego ype	ry/			,				2500).00			
	Office Sought: House Disburser Senate President State: TX District: 19	nent For: Primary Other (sp	General														
в.	Full Name (Last, First, Middle Initial) PAT ROBERTS FOR US SENATE	INC					Date o	_	sburse			Y Y	Y	Y			
	Mailing Address PO BOX 433								12 23 2013								
	Great Bend	State KS	Zip Code 67530-0433				Trans	sacti	ion IE) :	B27F7	1765	46964	DDABDA			
	Purpose of Disbursement KS US Senate Candidate Name Sen. Pat Roberts							Amount of Each Disbursement this Period									
	Office Sought: House Disbursen X Senate X	nent For: Primary Other (sp	General	1	ӯре				,								
c.	Full Name (Last, First, Middle Initial) PITTENGER FOR CONGRESS LLC						Date of Disbursement							V			
	Mailing Address PO BOX 11207			09 17 2013													
	CityStateZip CodeCHARLOTTENC28220							Transaction ID : BF2CD73BFF4F945A									
	Purpose of Disbursement NC US House Candidate Name Rep. Robert M. Pittenger	Category/ Type			Amount of Each Disbursement this Period 1000.00												
	Office Sought: House Disburser	nent For: Primary Other (sp	General	1	уре												
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\square	NAME OF COMMITTEE (In Full)																
	LPL Financial LLC Political Action	Commit	ttee (LPL PA	.C)													
Α.	Full Name (Last, First, Middle Initial) ROYCE CAMPAIGN COMMITTEE						Date	of Di	sburse	en	nent						
	Mailing Address PO BOX 3249								10 / Y Y Y Y Y 2013								
	Fullerton	State Zip Code CA 92834-3249					Transaction ID : BD5A35083527C4BC4AA										
	Purpose of Disbursement CA US House						Amount of Each Disbursement this Period										
	Candidate Name Rep. Ed R. Royce			Cate	egoi ype					7			5000	0.00			
		nent For: Primary Other (spe	General		<u>, , , , , , , , , , , , , , , , , , , </u>												
в.	Full Name (Last, First, Middle Initial) SCOTT GARRETT FOR CONGRE	SS					Date		sburse			/ = Y	Y	Y			
	Mailing Address P.O. Box 905								12 12 2013								
	Newton	State NJ	Zip Code 07860				Transaction ID : B4DB2118459524EA0B90							4EA0B9C			
	Purpose of Disbursement NJ US House				Amount of Each Disbursement this Period												
	Candidate Name		egoi	ry/								0.00					
	Rep. Scott GarrettOffice Sought:Y HouseDisburser	nent For:	2014	Туре					7	1		-	1000				
	Senate	Primary Other (spe	General														
_	Full Name (Last, First, Middle Initial)																
C.	SHELLEY MOORE CAPITO FOR CONGRESS						Date		sburse			(Y	Y	Y			
	Mailing Address 1006 Pendleton Street								11 26 2013								
	City State Zip Code Alexandria VA 22314-1837							nsact	tion ID):	BAE78	4FB(CF364	4A1DAE			
	Purpose of Disbursement WV US House			٦	Amount of Each Disbursement this Period												
	Candidate Name Rep. Shelley Moore Capito	tegory/ Type		1000.00													
	Office Sought: House Disburser Senate President	nent For: Primary Other (spe	General		<u>, , , , , , , , , , , , , , , , , , , </u>												
	State: WV District:										_	_	_				
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SCHEDULE B (FEC Form 3X)		FOR LINE	R LINE NUMBER: PAGE 62 OF 63									
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NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	n Committee (LPL PA	C)										
Full Name (Last, First, Middle Initial) A. STEPHEN F. LYNCH FOR CON	GRESS COMMITTEE		Date of Disbursement									
Mailing Address 105 FARRAGUT ROAD			12 04 _2013 _									
City SOUTH BOSTON Purpose of Disbursement	StateZip CodeMA02127		Transaction ID : BE8442E58DC0247D0A79									
MA US House Candidate Name		Amount of Each Disbursement this Period										
Rep. Stephen F. Lynch		Category/ Type	1000.00									
Senate President	ement For: 2014 Primary General Other (specify) ▼											
State: MA District: 08 Full Name (Last, First, Middle Initial) B. TIM SCOTT FOR SENATE			Date of Disbursement									
Mailing Address 1405 ASHLEY RIVER ROAD	State Zip Code		11 15 2013									
Charleston Purpose of Disbursement	SC 29407-5305		Transaction ID : BFBF38CB1D72543E4BAI									
SC US Senate Candidate Name		Cotogony	Amount of Each Disbursement this Period									
	ement For: 2014 Primary General Other (specify) ▼	Category/ Type	1000.00									
Full Name (Last, First, Middle Initial) C. TOM REED FOR CONGRESS			Date of Disbursement									
Mailing Address PO BOX 391		09 / 17 / Y Y Y Y 2013										
City Geneva Purpose of Disbursement		Transaction ID : B97E0D7B768094653B1A										
NY US House Candidate Name Rep. Tom W. Reed II		Category/ Type	Amount of Each Disbursement this Period 1000.00									
	ement For: 2014 Primary General Other (specify) ▼											
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SCHEDULE B (FEC Form 3X)		FOR I		IUMBER	:		PA	AGE 6	3 OF	63		
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LPL Financial LLC Political Action	Committee (LPL PAC	<i>.</i>)										
Full Name (Last, First, Middle Initial)				Data	(D)	- 1						
A. Sybil MAY Praski				Date of Disbursement								
Mailing Address 842 S Clarkson St		07 <u>31</u> 2013										
,									58940 1	IAB35		
Denver Purpose of Disbursement	CO 80209-4326		_									
Refund of Contribution Made										riod		
Candidate Name		100.00										
Office Sought: House Disburse	ment For:	Туре			-	7						
Senate	Primary General											
President	Other (specify)											
State: District: Full Name (Last, First, Middle Initial)												
B.				Date c	of Di	sburse	ment					
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Mailing Address				<u> </u>	_							
City	State Zip Code											
Purpose of Disbursement												
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Candidate Name		Category Type	//									
Office Sought: House Disburse	ment For:	1,900										
Senate	Primary General											
State: District:	Other (specify)											
Full Name (Last, First, Middle Initial)												
С.				Date c	of Di	sburse	ment					
Mailing Address		M M / D D / Y Y Y Y										
City	City State Zip Code											
Purpose of Disbursement	Purpose of Disbursement											
Candidate Name	Category Type	y/	Amount of Each Disbursement this Period									
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Senate President	Primary General Other (specify)											
State: District:	Other (specify)											
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