Image# 14952854756 PAGE 1 / 133

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

		All Authorized				Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT 1		ample: If typir or the lines.	ng, type	12FE4M5	
Novartis Corporation Po	olitical Action	Committee				ı
ADDRESS (number and street)	701 Pennsylvania	a Ave. NW Suite 72	5			
Check if different						
than previously reported. (ACC)	Washington				DC	20004-2608
2. FEC IDENTIFICATION NU	MBER ▼	CITY 🛦			STATE A	ZIP CODE ▲
C C00033969		3. IS THIS REPORT	\ <u>\</u>	NEW OR	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)		May 20 (M5)	Aug	20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Buc on:	Mar 20 (M3)		Jun 20 (M6)	H	20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1) (c) 12-Day	Apr 20 (M4)	Primary (12P	Jul 20 (M7)	General (20 (M10) Jan 31 (YE) 12G) Runoff (12R)
July 15 Quarterly Report (Q2	PRE-E		Convention (Special (
October 15 Quarterly Report (Q3	3)		,	_		,
January 31 Year-End Report (YE	<u> </u>	Election on	M M /	D D /	Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	POST-	Election X	General (300	G)	Runoff (3	0R) Special (30S)
Termination Report (TER)	Tiopoit	Election on	11 /	04	2014	in the State of KY
5. Covering Period 10	16	2014	through	M M	/ D D /	2014
I certify that I have examined this	Report and to the	ne best of my kno	wledge and b	pelief it is tru	e, correct and	complete.
Type or Print Name of Treasurer	Shawn O'Neail					
Signature of Treasurer Shawn	O'Neail		[Electronically	Filed] D	ate 12	/ D D / Y Y Y Y Y Y 2014
NOTE: Submission of false, errone	ous, or incomplete	information may su	ubject the pers	son signing th	is Report to th	e penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 10 16 2014 To: 11 24 2014

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2014		198729.54
	(b) Cash on Hand at Beginning of Reporting Period	137292.22	
	(c) Total Receipts (from Line 19)	33633.10	270118.41
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	170925.32	468847.95
7.	Total Disbursements (from Line 31)	15540.83	313463.46
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	155384.49	155384.49
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

I. Receipts ontributions (other than loans) From: a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
a) Individuals/Persons Other Than Political Committees		
Than Political Committees		
(i) Itemized (use Schedule A)	20975.40	95713.71
	20373.40	33710.71
(ii) Unitemized(iii) TOTAL (add	12657.70	168154.70
Lines 11(a)(i) and (ii)	33633.10	263868.41
o) Political Party Committees	0.00	0.00
c) Other Political Committees (such as PACs)	0.00	0.00
	33633.10	263868.41
arty Committees	0.00	0.00
	0.00	0.00
Il Loans Received	0.00	0.00
pan Repayments Received	0.00	0.00
ffsets To Operating Expenditures	, , , , , , , , , , , , , , , , , , , ,	
Refunds, Rebates, etc.)		
Carry Totals to Line 37, page 5)	0.00	0.00
efunds of Contributions Made	, , , , , , , , , , , , , , , , , , , ,	
Federal Candidates and Other		
olitical Committees	0.00	6250.00
ther Federal Receipts	, , , , , , , , , , , , , , , , , , , ,	
Dividends, Interest, etc.)	0.00	0.00
ransfers from Non-Federal and Levin Funds	7	7
a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
	, , , , , , , , , , , , , , , , , , , ,	
o) Levin Funds (from Schedule H5)	0.00	0.00
c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
	33633.10	270118.41
· · · · · · · · · · · · · · · · · · ·	33633.10	270118.41
	(such as PACs)	the federal Receipts 1) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	Total This Period			
 Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4) 		Calendar Year-to-Date		
(i) Federal Share	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating Expenditures	65.83	853.10		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	65.83	853.10		
Transfers to Affiliated/Other Party	3			
Committees Contributions to	0.00	0.00		
Federal Candidates/Committees and Other Political Committees	9500.00	283000.00		
Independent Expenditures (use Schedule E)	0.00	0.00		
Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
. Loan Repayments Made	0.00	0.00		
. Loans Made	0.00	0.00		
Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees	0.00	1385.36		
(b) Political Party Committees	0.00	0.00		
(such as PACs)	0.00	0.00		
(d) Total Contribution Refunds	0.00	1385.36		
(add Lines 28(a), (b), and (c))▶		1000.00		
Other Disbursements	5975.00	28225.00		
. Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity				
(from Schedule H6) (i) Federal Share	0.00	0.00		
	0.00	0.00		
(ii) "Levin" Share(b) Federal Election Activity Paid Entirely	0.00			
With Federal Funds(c) Total Federal Election Activity (add	0.00	0.00		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
Total Disbursements (add Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	15540.83	313463.46		
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	15540.83	313463.46		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

	201111111	
III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	33633.10	263868.41
4. Total Contribution Refunds (from Line 28(d))	0.00	1385.36
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	33633.10	262483.05
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	65.83	853.10
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	65.83	853.10

FOR LINE NUMBER: **PAGE** 6 OF 133 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671177 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Top Shared Svcs Exec-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Robin D Adelstein Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665982 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Name of Employer Occupation Sandoz Inc. Legal General CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 396.18 Other (specify) Full Name (Last, First, Middle Initial) c. Imran Ahmed Date of Receipt Mailing Address 6201 South Freeway 10 31 2014 City Zip Code State Transaction ID: A2014-2665779 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 28.83 С federal political committee. Name of Employer Occupation Pharma/Bio Proc -MGT GJFA 3 Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 183.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: **PAGE** 7 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Laman Alani Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665751 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Formulation-MGT GJFA 1 Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) Full Name (Last, First, Middle Initial) B. Kim R Alford Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2670987 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas Algozzine Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671739 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science LiaisonNonMD-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 103.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: **PAGE** 8 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Patricio A Alveal Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2666066 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Business Process Analysis-GJFA Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Gary J Appio Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671173 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product Sfty Risk Mgmt-MGT GJF Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) c. Felipe Arenas Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671412 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 99.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) **Brad Arlett** Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671665 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals RELATIONSHIP MANAGER-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew Arline Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671413 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) c. Marc W Bacon Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671416 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Analysis-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 103.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 10 OF 133

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the	atements may not be sold or used by any per name and address of any political committee	son for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) Nadia F Bailey Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Pharma/Bio Proc -MGT GJFA 3 Aggregate Year-to-Date ▼ 250.00	Date of Receipt 10 31 2014 Transaction ID: A2014-2665716 Amount of Each Receipt this Period 250.00
Full Name (Last, First, Middle Initial) Jeanne E Baker Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Spec Frst LvI Super- B-MGT GJF Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 31 2014 Transaction ID: A2014-2671027 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Michael Banko Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation DATABASE ANALYST LEAD-GJFA 4 Aggregate Year-to-Date ▼ 507.76	Date of Receipt 10 31 2014 Transaction ID: A2014-2671244 Amount of Each Receipt this Period 69.24
SUBTOTAL of Receipts This Page (optional)	>	346.93
TOTAL This Period (last page this line number o	nlv)	

FOR LINE NUMBER: PAGE 11 OF 133 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Pierre R Barbier Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665763 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Research Eng - Med Devic Product Devel-Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) B. Susana V Barkhausen Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671062 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals First Level Supervisor - A-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 208.80 Other (specify) Full Name (Last, First, Middle Initial) c. DIMITRIOS BARLOS Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671740 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С federal political committee. Name of Employer Occupation Product/Brand Manager - A-GJFA Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 118.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FO	R LINE	NU	IMBER	:	PAGE	1	i2 Of	=	13
Use separate schedule(s)	(che	eck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		717

or for commercial purposes, other than using t	Statements may not be sold or used by any persible name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Michael C Barninger Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Sales Operations-MGT Aggregate Year-to-Date ▼	Date of Receipt 10 31 2014 Transaction ID: A2014-2671420 Amount of Each Receipt this Period 51.00
Full Name (Last, First, Middle Initial) Neilda A Baron Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 10 31 2014 Transaction ID : A2014-2671650 Amount of Each Receipt this Period
Receipt For: Primary Other (specify) ▼ Name of Employer Novartis Pharmaceuticals General	Occupation Medical Affairs (M.D.)-MGT GJF Aggregate Year-to-Date ▼ 1100.00	150.00
Full Name (Last, First, Middle Initial) Tracy L Baroni Allmon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Government Affairs-MGT GJFA 2 Aggregate Year-to-Date ▼ 1139.95	Date of Receipt 10 31 2014 Transaction ID: A2014-2671666 Amount of Each Receipt this Period 180.00
SUBTOTAL of Receipts This Page (optional).	<u> </u>	381.00
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 13 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Andrew R Bayard Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671114 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Fld Sls Mngd Hlth Care-B-M Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Antonio M Benitz Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665819 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Animal Health US Inc. Research/Devel Mgmt-MGT GJFA 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Ted R Benson Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665980 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С federal political committee. Name of Employer Occupation Sandoz Inc. Sales - Pharma - Spec-GJFA 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 100.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sheila Bhattacharya Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671278 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation National Account Mgr/Dir - B-G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Edward J Blair Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671170 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Director-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Eduardo Blas Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665706 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 С federal political committee. Name of Employer Occupation Info Sys - Gen/Multi (Tech)-MG Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 115.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR	LINE	NU	IMBER	:	PAGE	•	15 OF	1	133
Use separate schedule(s)	(check only one)									
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and or for commercial purposes, other than using to	d Statements may not be sold or used by any pers the name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Lisa M Blizzard Mailing Address One Health Plaze		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671423
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	†
Novartis Pharmaceuticals	Managed Care Mktg/Access Dir-M	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	253.88	
Full Name (Last, First, Middle Initial) Mojtaba Bonakdar		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2665698
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.59
Name of Employer Alcon Research	Occupation	
	Chemistry - Analytical-MGT GJF	-
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.66	
Full Name (Last, First, Middle Initial)		
C. Troy L Borill		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671362 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	57.06
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec SIs Rep-4 - Onco-GJFA 6	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	417.18	
SUBTOTAL of Receipts This Page (optional).		126.27
TOTAL This Period (last page this line number	er only)	

	FOR LINE NUMBER: PAGE 16									133
Use separate schedule(s)	(check only one)									
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

	Statements may not be sold or used by any pershe name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Daniel S Bortfeld Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671034 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.62
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Staffing-MGT GJFA 3 Aggregate Year-to-Date ▼	
Other (specify) ▼ Full Name (Last, First, Middle Initial) Karen M Boyajian Mailing Address One Health Plaza	253.88	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2014-2671042 Amount of Each Receipt this Period 30.45
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Spec SIs Rep-4 - Psychiatric/C Aggregate Year-to-Date ▼	
Other (specify) ▼ Full Name (Last, First, Middle Initial) Michael D Brooks Mailing Address One Health Plaza	223.30	Date of Receipt 10 31 2014
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2014-2671069 Amount of Each Receipt this Period 60.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Spec Frst Lvl Super- A - Onco- Aggregate Year-to-Date ▼ 400.00	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	125.07
TOTAL This Period (last page this line number	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Lucinda A Bruere Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671004 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals First Level Supervisor - A-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671430 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 105.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals SYSTEMS PLNNG & ARCH HD-MGT GJ Receipt For: Aggregate Year-to-Date ▼ Primary General 591.20 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew H Buckley Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2670894 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Dir Fld Sls Mngd Hlth Care-B-M Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 167.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	d Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Mayumi K Buckoski		Date of Receipt
Mailing Address 475 Green Oaks Parkway		10 31 2014
City Holly Springs	State Zip Code NC 27540	Transaction ID : A2014-2666051 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.00
Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	Occupation Logistics Management-MGT GJFA Aggregate Year-to-Date ▼ 330.00	
Full Name (Last, First, Middle Initial) John S Burke Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671248 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Spec SIs Rep-4-Other/Combinati	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
Full Name (Last, First, Middle Initial) C. Richard Burns		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2670932 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	41.55
Name of Employer	Occupation	
Novartis Pharmaceuticals Receipt For:	Sales Management-MGT GJFA 3	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 304.70	
SUBTOTAL of Receipts This Page (optional)		114.24
TOTAL This Period (last page this line numb	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Steven J Busch Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671584 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy S Byler Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671118 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Lindsay R Callaghan Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671390 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page	13 14	15 16 17									

	nd Statements may not be sold or used by any per- the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Committee	
Full Name (Last, First, Middle Initial) Patricia A Cannon Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	10 31 2014 Transaction ID : A2014-2671730
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	105.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Government Affairs-MGT GJFA 3	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 805.00	
Full Name (Last, First, Middle Initial) Charles C Carter		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671433
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer Novartis Pharmaceuticals	Occupation Spec Sls Rep-4 - Onco-GJFA 6	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Daniel P Casserly		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671082 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	415.38
Name of Employer	Occupation	1
Novartis Services Incorporated	Top Fed & Legislat Aff Exec-MG	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	3046.12	
SUBTOTAL of Receipts This Page (optional)	550.38
TOTAL This Period (last page this line num	ber only)	

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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) John H Caywood Jr. Jr. Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2665691 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.83
Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	Occupation Auditing-MGT GJFA 3 Aggregate Year-to-Date ▼ 211.42	
Full Name (Last, First, Middle Initial) 3. Cynthia C Cetani Mailing Address One Health Plaza		Date of Receipt 10 31 _2014 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671434 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer Novartis Pharmaceuticals	Occupation Top Compliance Exec-MGT GJFA 1	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 507.76	
Full Name (Last, First, Middle Initial) C. James E Chastain		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2665712 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.86
Name of Employer Alcon Research	Occupation Pharmacokinetics/Drug Meta-MGT	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 211.64	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	126.93
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Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 31 2014 10 City State Zip Code Transaction ID: A2014-2670952 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 86.76 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 614.67 Other (specify) Full Name (Last, First, Middle Initial) B. Charles J Ciccia Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671435 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals**

Full Name (Last, First, Middle Initial) C. Matthew D Civiello Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671580 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec Frst Lvl Super- A-MGT GJF	
Receipt For:	Aggregate Year-to-Date ▼	

Spec Frst Lvl Super- A-MGT GJF

203.06

203.06

Aggregate Year-to-Date ▼

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sean T Clark Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665650 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Product/Brand Management-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Cathryn M Clary Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671731 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals R&D General CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 2200.00 Other (specify) Full Name (Last, First, Middle Initial) c. Noelle L Cloud Dugan Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665868 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. Government Affairs-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 540.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Julie A Collins Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665651 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Prof Svcs - Mktg-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) Full Name (Last, First, Middle Initial) B. Matt Compton Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671014 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Fld Sls Mngd Hlth Care-B-M Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. William W Conkling Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671438 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Therapeutic Head Marketing-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 193.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael A Conley Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671129 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Fld Sls Mngd Hlth Care-A-M Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph J Conoshenti Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671743 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Mktg/Access Dir-M Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) c. Burt Cook Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671321 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep - 3 - Other/Comb-Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 186.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Seth Coombs Mailing Address 350 Massachusetts Avenue		Date of Receipt 10 31 2014
City Cambridge FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code MA 02139 C Occupation Product/Brand Management-MGT G Aggregate Year-to-Date ▼ 1015.30	Transaction ID : A2014-2665992 Amount of Each Receipt this Period 138.45
Full Name (Last, First, Middle Initial) Mary E Corcoran Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 10 31 2014 Transaction ID : A2014-2671439 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	C Occupation Med Science LiaisonNonMD-GJFA Aggregate Year-to-Date ▼ 203.06	27.69
Full Name (Last, First, Middle Initial) Eric C Couture Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt 10 31 2014 Transaction ID : A2014-2671623 Amount of Each Receipt this Period 60.00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Reg Aff Exec-Domestic-MGT GJFA Aggregate Year-to-Date ▼ 440.00	
SUBTOTAL of Receipts This Page (optional)		226.14
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David C Creedon Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671680 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals RELATIONSHIP MANAGEMENT HD-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Rhonda N Crichlow Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671550 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Top Diversity Executive-MGT GJ Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. David L Crippen Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671744 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reimbursement Specialist-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 109.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Thomas G Cullen Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665991 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Sandoz Inc. Sci Acquist & Lic Invest-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Sally R Daniels Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671651 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Management-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. William E Darnall Date of Receipt Mailing Address One Health Plaza 10 31 2014 Ste 725 City Zip Code State Transaction ID: A2014-2666008 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Med Science LiaisonNonMD-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 259.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any personame and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Spencer E Davidson Mailing Address One Health Plaza		Date of Receipt
City	State Zin Code	10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671015
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec SIs Rep-4 - Onco-GJFA 6	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
Full Name (Last, First, Middle Initial) 3. Jeanmarie Davis		Date of Receipt
Mailing Address One Health Plaza		10 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2014-2665755
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.83
Name of Employer Alcon Laboratories Inc.	Occupation Tech/Sci Sales Svc-GJFA 3	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 211.42	
Full Name (Last, First, Middle Initial) C. Timothy K De Valroger		Date of Receipt
Mailing Address One Health Plaza		10 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671442 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Product/Brand Manager - A-GJFA	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
SUBTOTAL of Receipts This Page (optional)	_	84.21
TOTAL This Period (last page this line number		

SCHEDULE A (FEC Form 3X)

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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify)	cupation d Science LiaisonNonMD-GJFA gregate Year-to-Date ▼ 440.00	Date of Receipt M M M / 31 2014 Transaction ID: A2014-2671721 Amount of Each Receipt this Period 60.00
Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Property Contributing federal political committee.	cupation ject Management-MGT GJFA 3 gregate Year-to-Date ▼	Date of Receipt 10 31 2014 Transaction ID: A2014-2666054 Amount of Each Receipt this Period 34.62
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Page int Ferri	cupation a Account Manager-GJFA 4 gregate Year-to-Date ▼ 253.88	Date of Receipt 10 31 2014 Transaction ID : A2014-2670988 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)		129.24
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Joanne Del Rio Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671202 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Facilities Management-GJFA 5 Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Lynne M DeLisi Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671572 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Pharmaceuticals	Occupation Law - General-GJFA 2	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) C. Scott DeLong		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2665773 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.83
Name of Employer Alcon LenSx Inc	Occupation Engineering - Manufacturing-MG	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 211.42	
SUBTOTAL of Receipts This Page (optional).		98.07
TOTAL This Period (last page this line number	er only)	

SCHEDULE A (FEC Form 3X)

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Daniel P DeVries Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Price Strat and Policy Anlys-G Aggregate Year-to-Date ▼	Date of Receipt 10 31 2014 Transaction ID : A2014-2671218 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Randal Dias Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Project Management-MGT GJFA 2 Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 31 2014 Transaction ID: A2014-2671188 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Candace B Dibblee Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Government Affairs-MGT GJFA 4 Aggregate Year-to-Date ▼ 1425.00	Date of Receipt 10 31 2014 Transaction ID: A2014-2671282 Amount of Each Receipt this Period 345.00
SUBTOTAL of Receipts This Page (optional)	·····	409.62
TOTAL This Period (last page this line number	only)	

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	d Statements may not be sold or used by any per- the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Anthony M Diorio Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Business Intel Anlys-MGT GJFA Aggregate Year-to-Date ▼ 330.00	Date of Receipt 10 31 2014 Transaction ID: A2014-2666064 Amount of Each Receipt this Period 45.00
Full Name (Last, First, Middle Initial) Dwayne T Dixon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Product/Brand Management-MGT G Aggregate Year-to-Date ▼ 211.64	Date of Receipt 10 31 2014 Transaction ID: A2014-2665738 Amount of Each Receipt this Period 28.86
Full Name (Last, First, Middle Initial) Christos Dogas Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Auditing-MGT GJFA 3 Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 31 2014 Transaction ID : A2014-2666001 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)	>	108.48
TOTAL This Period (last page this line numb	er only)	

	FOR LINE NUMBER:	PAGE	34 OF	133
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Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Alastair M Douglas Date of Receipt Mailing Address One Health Plaza 2014 10 31 City State Zip Code Transaction ID: A2014-2665731 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Training - Sales-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Kent Douglas Date of Receipt Mailing Address 5984 Tripperary Drive 10 31 2014 City State Zip Code Transaction ID: A2014-2666065 TX Plano 75093 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Product/Brand Management-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Monica M Dunn Date of Receipt Mailing Address 475 Green Oaks Parkway 10 31 2014 City Zip Code State Transaction ID: A2014-2666058 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С

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330.00

Project Management-MGT GJFA 4

Aggregate Year-to-Date ▼

Occupation

federal political committee.

Novartis Vaccines & Diagnostics

Other (specify)

General

Name of Employer

Primary

Receipt For:

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Carter L Dutch		Date of Receipt
Mailing Address One Health Plaza		10 31 _ 2014 _
City	State Zip Code	Transaction ID : A2014-2671722
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Fld Sls Mngd Hlth Care-A-M	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
Full Name (Last, First, Middle Initial)		
Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City	State Zip Code	Transaction ID : A2014-2665694
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.14
Name of Employer	Occupation	
Alcon Laboratories Inc.	Auditing-MGT GJFA 2	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 338.36	
Full Name (Last, First, Middle Initial) C. David M Eberenz Jr.		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671109
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.55
Name of Employer	Occupation	
Novartis Pharmaceuticals	Medical Sales Representative -	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	304.70	
SUBTOTAL of Receipts This Page (optional)	•	237.69
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 36 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Fred Ellis Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665686 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Med Science LiaisonNonMD-MGT G Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) Full Name (Last, First, Middle Initial) B. Michael R Emch Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2670913 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. Nancy E Emerson Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665743 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Tech/Sci Sales Svc-GJFA 3 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 284.30 Other (specify) 148.26 SUBTOTAL of Receipts This Page (optional).....

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TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 37 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David R Epstein Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671208 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation General Management CEG 0 Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Judith J Ewalt Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671092 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Michael E Fairchild Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665703 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 С federal political committee. Name of Employer Occupation DEVELOPMENTAL-MGT GJFA 2 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 180.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 38 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671286 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science LiaisonNonMD-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. James E Foley Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671271 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Clinical Research (Non M.D.)-M Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew T Footo Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671327 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- B - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 212.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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or for commercial purposes, other than using	d Statements may not be sold or used by any pers the name and address of any political committee t	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Matthew C Foster Mailing Address One Health Plaza		Date of Receipt
		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671085
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec Frst Lvl Super- A-MGT GJF	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) 3. Anna M Frable		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671199
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Communications - External-MGT	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
Full Name (Last, First, Middle Initial) C. Kathryn M Freeborn		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2670965 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Spec SIs Rep-4 - Onco-GJFA 6	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	203.06	
SUBTOTAL of Receipts This Page (optional)		90.00
TOTAL This Period (last page this line numb	per only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jon S Freeland Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671723 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 37.50 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asoc Mgr Reg SIs Operations-M Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert M Freeman Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665652 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Alcon Research Mftg Plant Mgmt-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. Debra E Freire Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671242 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Communications - External-MGT Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 151.74 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 41 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neely T Frye Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671143 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 183.75 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1343.45 Other (specify) Full Name (Last, First, Middle Initial) B. Steven J Gabor Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2666049 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 52.50 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Product/Brand Head Marketing-Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jill H Gaither Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671288 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 270.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward G Gajewski Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671026 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Fld Sls Mngd Hlth Care-A-M Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name (Last, First, Middle Initial) B. Owen H Gan Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665683 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Research Pharma/Bio Proc -MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) c. Martin J Gargiule Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665985 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Sandoz Inc. Financial Analysis-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 123.48 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael L Gentry Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665693 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.00 federal political committee. Name of Employer Occupation DIVISION HEAD-MGT GJFA 2 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 264.00 Other (specify) Full Name (Last, First, Middle Initial) B. Shaun L Gentry Date of Receipt Mailing Address 6201 South Freeway 10 31 2014 City State Zip Code Transaction ID: A2014-2665673 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales - Reg Act Mgmt-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa M Goldman Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671698 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Compliance-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 214.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Erwin A Gomez Valladares Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665870 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Latin America Service Procurement-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) Full Name (Last, First, Middle Initial) B. Mindy H Goss Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671447 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Mktg/Access Dir-M Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher K Grady Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665674 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.59 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales Management-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) 218.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671647 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Head Marketing-Receipt For: Aggregate Year-to-Date ▼ Primary General 440.00 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph Guido Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671451 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Sys - Gen/Multi (Tech)-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name (Last, First, Middle Initial) c. David E Gulick Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2670969 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Mktg/Access Dir-M Receipt For: Aggregate Year-to-Date ▼ Primary General 570.04 Other (specify) 180.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kurt Habel Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671175 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Operations Research-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) B. James P Hafner Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671700 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- B - MS-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) c. Laura A Hagan Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671661 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Med Science LiaisonNonMD-MGT G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 145.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Thomas Halbur Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665814 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Product/Brand Head Marketing-Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Philip D Hall Date of Receipt Mailing Address 6201 South Freeway 10 31 2014 City State Zip Code Transaction ID: A2014-2665670 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales - Medical Devices-MGT GJ Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) c. Paul R Hallen Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665687 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 С federal political committee. Name of Employer Occupation Product/Brand Management-MGT G Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

/	•		
A. Sara	h E Haller Address One Health Plaza		Date of Receipt
City East H FEC ID federal Name Novarti Receip	danover Discrete number of contributing political committee. of Employer is Services Incorporated of For: Primary General Dither (specify)	State Zip Code NJ 07936 C Occupation Government Affairs-MGT GJFA 1 Aggregate Year-to-Date ▼ 993.04	Transaction ID : A2014-2671127 Amount of Each Receipt this Period 231.00
Thon Mailing	ame (Last, First, Middle Initial) mas E Harper J Address One Health Plaza	Otate 7. Out	Date of Receipt 10 31 2014
FEC ID	lanover O number of contributing political committee.	State Zip Code NJ 07936	Transaction ID : A2014-2671081 Amount of Each Receipt this Period 27.69
Novarti Receip	of Employer is Pharmaceuticals of For: Primary General	Occupation Dir Fld Sls Mngd Hlth Care-B-M Aggregate Year-to-Date ▼	
Full Na	Other (specify) ame (Last, First, Middle Initial) Harris	203.06	Date of Receipt
Mailing City	Address One Health Plaza	State Zip Code NJ 07936	10 31 2014 Transaction ID : A2014-2665774
FEC ID	O number of contributing political committee.	C	Amount of Each Receipt this Period 28.83
Alcon L Receip	Laboratories Inc.	Labeling Specifications-MGT GJ Aggregate Year-to-Date ▼ 211.42	
SUBTOT	AL of Receipts This Page (optional)	<u> </u>	287.52
TOTAL T	This Period (last page this line number o	nly)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Veronica Harris Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671652 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Manager - A-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Rachel S Hartman Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665723 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Training - Sales-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) c. Sherri J Hatch Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671455 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science LiaisonNonMD-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 91.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 10 31 2014 Transaction ID: A2014-2671147 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Government Affairs-MGT GJFA 3 Aggregate Year-to-Date ▼ 456.94	90.00
Full Name (Last, First, Middle Initial) Richard Heddens Mailing Address One Health Plaza Ste 725 City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Product/Brand Head Marketing- Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 31 2014 Transaction ID: A2014-2666016 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) David N Hering Mailing Address 350 Massachusetts Avenue 350 MA # 234D City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Product/Brand Management-MGT G Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 31 2014 Transaction ID: A2014-2666005 Amount of Each Receipt this Period 34.62
SUBTOTAL of Receipts This Page (optional)	<u> </u>	152.31
TOTAL This Period (last page this line number	only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sarah Hersey Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671764 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Project Management-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Robert J Hilkert Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671612 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Affairs (M.D.)-MGT GJF Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) c. John A Hohneker Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671196 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) 174.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any personance name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) William C Hokanson Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671088 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	45.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Asoc Mgr Reg SIs Operations-M Aggregate Year-to-Date ▼ 330.00	
Full Name (Last, First, Middle Initial) Xin Hong Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2665728 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	28.83
Name of Employer Alcon Research	Occupation Biology - Discovery-MGT GJFA 3	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 211.42	
Full Name (Last, First, Middle Initial) Jeanne P Hood		Date of Receipt
Mailing Address One Health Plaza	Ctoto 7:- Code	10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2665739 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.86
Name of Employer	Occupation	
Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	Bus Ops Integration-MGT GJFA 3 Aggregate Year-to-Date ▼ 211.64	
SUBTOTAL of Receipts This Page (optional)		102.69
TOTAL This Period (last page this line number	only)	

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	Statements may not be sold or used by any person ename and address of any political committee to	
NAME OF COMMITTEE (In Full)		
igr > Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Otto C Horstmann II II		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671263
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer	Occupation	
Novartis Pharmaceuticals	Employee Benefits-MGT GJFA 3	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	253.88	
Full Name (Last, First, Middle Initial) 3. Cyrus M Hoseyni		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	10 31 2014
East Hanover	NJ 07936	Transaction ID : A2014-2671683 Amount of Each Receipt this Period
FEC ID number of contributing		, amount of Each Heceipt this Fellou
federal political committee.	C	300.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	R&D General CEG 0	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1050.02	
☐ Other (specily) ▼	1000.02	
Full Name (Last, First, Middle Initial) Linda M Howe		Date of Receipt
Mailing Address 350 Massachusetts Avenue 350 MA # 254J		10 31 2014
City	State Zip Code	Transaction ID : A2014-2665665
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	29.07
Name of Employer	Occupation	
Alcon Research	QA Mgmt - Plant-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	213.18	
SUBTOTAL of Receipts This Page (optional)	····	363.69
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kirk R Huber Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671195 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals HR - Multi Funct-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Gene M Hughes Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671340 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 564.00 Other (specify) Full Name (Last, First, Middle Initial) c. Melody Hughson Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671702 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) 213.69 SUBTOTAL of Receipts This Page (optional).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edgar L Jarvis Date of Receipt Mailing Address One Health Plaza 10 2014 31 City State Zip Code Transaction ID: A2014-2671009 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 48.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals First Level Supervisor - A-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 355.30 Other (specify) Full Name (Last, First, Middle Initial) B. Mark J Jeffers Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665711 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Training - Sales-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) c. Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671464 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Sales Representative -Receipt For: Aggregate Year-to-Date ▼ Primary General

105.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

203.06

Other (specify)

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Any information copied from such Reports and or for commercial purposes, other than using th	Statements may not be sold or used by any perse e name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Jason Jimenez Mailing Address One Health Plaza		Date of Receipt
		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2665653
FEC ID number of contributing federal political committee.	C 07936	Amount of Each Receipt this Period 30.00
Name of Employer Alcon Laboratories Inc.	Occupation Sales - National Accounts-MGT	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) James P Jogerst Mailing Address One Health Plaza	Date of Receipt	
City	State Zip Code	10 31 2014
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.14
Name of Employer Alcon Laboratories Inc.	Occupation Acquist Allianc & Licens-MGT	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 338.36	
Full Name (Last, First, Middle Initial) Bruce S Johnson		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2670917 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	
Novartis Pharmaceuticals	Therapeutic Head Marketing-MG	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
SUBTOTAL of Receipts This Page (optional)		103.83
TOTAL This Period (last page this line number	<u> </u>	

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Heather L Johnson Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665645 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales - Reg Act Mgmt-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) **B.** Sara Johnson-Davis Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671381 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Mark A Joines Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671071 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 83.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Robert W Jones Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665816 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation General Management CEG 0 Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) **B.** Deborah Juterbock Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671346 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Project Management-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) **c.** Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671215 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Marketing - Vice President Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 119.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Dean B Kameros Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671636 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Marketing Research/Planning-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sarah G Kan Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671748 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 159.21 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1167.54 Other (specify) Full Name (Last, First, Middle Initial) c. Michael A Kapin Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665697 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 С federal political committee. Name of Employer Occupation Medical Writing-MGT GJFA 3 Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 211.42 218.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Mutlu Karakelle Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 10 31 2014 Transaction ID : A2014-2665696 Amount of Each Receipt this Period
federal political committee. Name of Employer Alcon Research Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Eng - Med Devic Product Devel- Aggregate Year-to-Date ▼ 338.36	46.14
Full Name (Last, First, Middle Initial) Bella B Karbachinskaya Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation Operations Research-GJFA 4	Amount of Each Receipt this Period 34.62
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Erik L Karlsons Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Spec Frst Lvl Super- A - MS-MG Aggregate Year-to-Date 304.70	Transaction ID : A2014-2671468 Amount of Each Receipt this Period 41.55
SUBTOTAL of Receipts This Page (optional).	•	122.31
TOTAL This Period (last page this line numb	er only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Claudia M Kelly		Date of Receipt
Mailing Address 350 Massachusetts Avenue		10 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Cambridge	State Zip Code MA 02139	Transaction ID : A2014-2666040 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.62
Name of Employer Novartis Vaccines & Diagnostics Receipt For: □ Primary □ General Other (specify) ▼	Occupation Product Marketing-MGT GJFA 3 Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Carey P Kelson Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2665758 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	31.26
Name of Employer Alcon Laboratories Inc.	Occupation Consumer Promotions-MGT GJFA 4	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 229.24	
Full Name (Last, First, Middle Initial) C. Thomas N Kendris		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2670911 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	138.45
Name of Employer	Occupation	
Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Legal General CEG 0 Aggregate Year-to-Date ▼ 1015.30	
SUBTOTAL of Receipts This Page (optional)		204.33
TOTAL This Period (last page this line number	<u> </u>	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward W Kettler III Date of Receipt Mailing Address 6201 South Freeway 2014 10 31 City State Zip Code Transaction ID: A2014-2665781 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 34.59 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Programmer/Analyst-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) Full Name (Last, First, Middle Initial) B. Michael C Kincaid Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671470 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Director I-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665993 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 С federal political committee. Name of Employer Occupation Sandoz Inc. Global Head Lgl & Gen Counsel-Receipt For: Aggregate Year-to-Date ▼ Primary General 1153.80 Other (specify) 192.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	ion Committee	
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Government Affairs-MGT GJFA 2 Aggregate Year-to-Date ▼ 2538.36	Date of Receipt 10 31 2014 Transaction ID: A2014-2671041 Amount of Each Receipt this Period 346.14
Alaan Laharatariaa laa	State Zip Code NJ 07936 C Occupation Sales Management-MGT GJFA 2 Aggregate Year-to-Date ▼ 431.54	Date of Receipt 10 31 2014 Transaction ID : A2014-2665727 Amount of Each Receipt this Period 60.00
' '	State Zip Code NJ 07936 C Occupation General Management CEG 0 Aggregate Year-to-Date ▼ 1015.30	Date of Receipt 10 31 2014 Transaction ID: A2014-2671672 Amount of Each Receipt this Period 138.45
SUBTOTAL of Receipts This Page (optional))	544.59
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Gregory D Kunst Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2014 Transaction ID : A2014-2665735
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	Occupation Product/Brand Management-MGT G Aggregate Year-to-Date 211.64	Amount of Each Receipt this Period 28.86
Full Name (Last, First, Middle Initial) Keith A LaDue Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2014 Transaction ID : A2014-2671167
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	NJ 07936 C Occupation DIVISION HEAD-MGT GJFA 1 Aggregate Year-to-Date ▼	Amount of Each Receipt this Period 23.08
Primary General Other (specify) ▼	230.80	
Full Name (Last, First, Middle Initial) Francis C Landrus Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Project Management-GJFA 5 Aggregate Year-to-Date ▼	Date of Receipt 10 31 2014 Transaction ID: A2014-2665689 Amount of Each Receipt this Period 28.83
SUBTOTAL of Receipts This Page (optional)		80.77
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Novartis Pharmaceuticals

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert D Larsen Date of Receipt Mailing Address One Health Plaza 2014 10 31 City State Zip Code Transaction ID: A2014-2665753 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 28.86 federal political committee. Name of Employer Occupation Pharma/Bio Proc -MGT GJFA 3 Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) B. Shawn Laverty Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671183 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation

Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
Full Name (Last, First, Middle Initial) Leigh A Leas Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2014-2671553 Amount of Each Receipt this Period
Name of Employer Novartis Pharmaceuticals	Occupation Government Affairs-MGT GJFA 2	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 560.60	

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150.00

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Christian A Lease Mailing Address 350 Massachusetts Avenue 350 MA # 212E City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Cont Process Improv Anlys-MGT Aggregate Year-to-Date 253.88	Date of Receipt 10 31 2014 Transaction ID: A2014-2666002 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Richard E Lemire Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Engineering - Facilities-MGT G Aggregate Year-to-Date ▼ 951.72	Date of Receipt 10 31 2014 Transaction ID: A2014-2670891 Amount of Each Receipt this Period 129.78
Full Name (Last, First, Middle Initial) Leslie E Lemke Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Toxicology (Non-D.V.M.)-GJFA 4 Aggregate Year-to-Date ▼ 211.42	Date of Receipt 10 31 2014 Transaction ID : A2014-2665719 Amount of Each Receipt this Period 28.83
SUBTOTAL of Receipts This Page (optional).	<u> </u>	193.23
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Aggregate Year-to-Date ▼

Primary General Other (specify) ▼	1015.30	
Full Name (Last, First, Middle Initial) Jeffrey W Lockwood Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	10 31 2014 Transaction ID : A2014-2665872 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	69.24
Name of Employer NIBRI	Occupation Communications - External-MGT	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 507.76	
Full Name (Last, First, Middle Initial)	•	2. (2

c. Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 31 2014 City State Zip Code Transaction ID: A2014-2671251 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 42.12 federal political committee. Name of Employer Occupation Spec Frst Lvl Super- A - Onco-Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Michael J Lorenz		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2666000 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	Occupation Training - Sales-MGT GJFA 3 Aggregate Year-to-Date ▼ 203.06	
Full Name (Last, First, Middle Initial) Donald R Losordo Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671273 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.69
Name of Employer Novartis Pharmaceuticals	Occupation Spec SIs Rep-4 - Onco-GJFA 6	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671190 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.62
Name of Employer Novartis Pharmaceuticals	Occupation Hd of R&D Plan & Prog Coord-MG	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 253.88	
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) David T Mac Askill Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C Occupation	Date of Receipt 10 31 2014 Transaction ID: A2014-2671075 Amount of Each Receipt this Period 34.62
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Dir Fld SIs Mngd Hlth Care-B-M Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Joanne K Machalaba Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2014 Transaction ID : A2014-2671198
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	NJ 07936 C Occupation	Amount of Each Receipt this Period 34.62
Receipt For: Primary General Other (specify) ▼	Head of Account Management-MGT Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Kimberly A MacKay Mailing Address One Health Plaza	•	Date of Receipt 10 31 2014
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Regulatory Compliance-MGT GJFA Aggregate Year-to-Date ▼ 253.88	Transaction ID : A2014-2671474 Amount of Each Receipt this Period 34.62
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John Mandala Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671058 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Fld Sls Mngd Hlth Care-B-M Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Frank Maness Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671627 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals HR Shared Svcs Exec-MGT GJFA 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. Frank Manolios Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671099 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-3 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 114.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Annette A Mansfield Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671228 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation National Account Mgr/Dir - B-G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Sridhar V Mantha Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665748 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. QA - Compliance-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Bryan A Maring Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671406 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A-MGT GJF Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael G Marks Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665718 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation QA - Compliance-MGT GJFA 2 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kerry L Markwardt Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665682 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Alcon Research Project Management - Life Cycl Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Charles J Marshall Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665704 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Product/Brand Head Marketing-Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 98.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Julie Masow Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671645 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Communications - External-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. William R Matthews Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671136 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 64.02 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 468.08 Other (specify) Full Name (Last, First, Middle Initial) c. Anne W Mauzy Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671477 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С federal political committee. Name of Employer Occupation Med Science LiaisonNonMD-MGT G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 143.64 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	cupation nsumer Promotions-MGT GJFA 3 gregate Year-to-Date ▼	Date of Receipt 10 31 2014 Transaction ID: A2014-2665726 Amount of Each Receipt this Period 28.86
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt Ferti	State Zip Code NJ 07936 Cupation Diaged Care Mktg/Access Dir-M Gregate Year-to-Date ▼ 203.06	Date of Receipt 10 31 2014 Transaction ID: A2014-2671001 Amount of Each Receipt this Period 27.69
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Reg	citate Zip Code NJ 07936 Cupation gulatory Affairs Liaison-GJF gregate Year-to-Date ▼ 330.00	Date of Receipt 10 31 2014 Transaction ID : A2014-2671374 Amount of Each Receipt this Period 45.00
SUBTOTAL of Receipts This Page (optional)	>	101.55

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Catharine M McBride Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671061 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher R McBurney Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671478 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science LiaisonNonMD-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. J'ai B McClellan Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665685 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Government Affairs-MGT GJFA 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 212.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Christopher B McDonald Date of Receipt Mailing Address 475 Green Oaks Parkway 2014 10 31 City Zip Code State Transaction ID: A2014-2666052 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Mftg Plant Mgmt-MGT GJFA 1 Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul J McGinley Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671479 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Therapeutic Head Marketing-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. Edward D McGough Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665692 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 346.14 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Manufacturing General CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 2538.36 Other (specify) 538.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the	(check only one)								
Detailed Summary Page	X 11a 11b 11c	12							
	13 14 15	□ 16 □17							

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward J McKenna Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671598 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew A McNally Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671634 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-3 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665864 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. General Management CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) 207.69 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joshua J Meikrantz Date of Receipt Mailing Address One Health Plaza Ste 725 2014 10 31 City State Zip Code Transaction ID: A2014-2666015 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Sales Manager - Regional-MGT G Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Kathy E Meissner Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665756 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Eng - EH&S -MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) c. Gary J Menichini Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665649 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. General Management CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 131.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page	X 11a	11b	11c	12	
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	Statements may not be sold or used by any perse name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Susan J Millard Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	10 31 2014 Transaction ID : A2014-2665657 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary Other (specify)	Occupation HR General CEG 0 Aggregate Year-to-Date ▼	75.00
Full Name (Last, First, Middle Initial) 3. Donald J Miller Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 10 31 2014
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Transaction ID : A2014-2671481 Amount of Each Receipt this Period 34.62
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Managed Care Mktg/Access Dir-M Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Laurie C Mills Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Clinical Operations Receipt For:	State Zip Code NJ 07936 C Occupation Clinical Research Monitoring-G	Date of Receipt 10 31 2014 Transaction ID : A2014-2665871 Amount of Each Receipt this Period 41.55
Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional)		151.17
TOTAL This Period (last page this line number	r only)	7 7 7

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Alejandro Minetto Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665730 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.83 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Cont Process Improv Anlys-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 211.42 Other (specify) Full Name (Last, First, Middle Initial) B. Regina M Mitchell Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2666070 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Spec SIs Rep - 3 - Vaccine XP-Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Moore Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665817 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Tech/Sci Sales Svc-GJFA 5 Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 153.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stacey L Moore Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671044 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 53.85 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 393.59 Other (specify) Full Name (Last, First, Middle Initial) B. Jacqueline M Mullens Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671763 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals First Level Supervisor - B-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name (Last, First, Middle Initial) c. James M Murphy Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665709 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 С federal political committee. Name of Employer Occupation Sales CEG 0 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) 174.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

/		
Full Name (Last, First, Middle Initial) Polly L Murphy Mailing Address One Health Plaza	Date of Receipt	
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	State Zip Code NJ 07936 C Occupation Spec Sls Rep-4-Other/Combinati	Transaction ID : A2014-2671485 Amount of Each Receipt this Period 42.12
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 308.88	
Full Name (Last, First, Middle Initial) 3. Jyun J Nakamura Mailing Address One Health Plaza		Date of Receipt 10 31 2014
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2014-2671601 Amount of Each Receipt this Period 27.69
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Area Account Manager-GJFA 4 Aggregate Year-to-Date ▼ 203.06	
Full Name (Last, First, Middle Initial) Vasant K Narasimhan Mailing Address 350 Massachusetts Avenue		Date of Receipt 10 31 2014
City Cambridge FEC ID number of contributing federal political committee.	State Zip Code MA 02139	Transaction ID : A2014-2671714 Amount of Each Receipt this Period 46.15
Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation General Management CEG 0 Aggregate Year-to-Date ▼ 692.25	
SUBTOTAL of Receipts This Page (optional)	•	115.96
TOTAL This Period (last page this line number	only)	

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Mary E Naughton Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671488 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Affairs Liaison-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) B. Clifford R Neukrug Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671592 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Peter Ng Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671250 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Project Management-MGT GJFA 3 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 92.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) An V Nguyen Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671560 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals SYST ANLY/PRGMG ASSOC DIR-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. James D Nickoli Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671311 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Psychiatric/C Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. James R Niebanck Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671133 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 С federal political committee. Name of Employer Occupation Operations Research-MGT GJFA 3 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) 104.43 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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Any information copied from such Reports and Sta or for commercial purposes, other than using the r		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	tion Committee	
Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Spec SIs Rep-4-Other/Combinati Aggregate Year-to-Date ▼ 203.06	Date of Receipt 10 31 2014 Transaction ID: A2014-2671490 Amount of Each Receipt this Period 27.69
Full Name (Last, First, Middle Initial) Sharon L Nobles Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Area Account Manager-GJFA 5 Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 31 2014 Transaction ID: A2014-2671029 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Kevin A Nugent Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Regulatory Affairs Liaison-MGT Aggregate Year-to-Date ▼ 211.64	Date of Receipt 10 31 2014 Transaction ID: A2014-2665776 Amount of Each Receipt this Period 28.86
SUBTOTAL of Receipts This Page (optional)		91.17
TOTAL This Period (last page this line number or	nly)	

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	Statements may not be sold or used by any persole name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) 1. Hugh M O'Dowd		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671046
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	1
Novartis Pharmaceuticals	General Management CEG 0	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	507.76	
Full Name (Last, First, Middle Initial) 3. Shawn O'Neail		Date of Receipt
Mailing Address 608 Fifth Avenue		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671690
New York	NY 10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	165.00
Name of Employer	Occupation	
Novartis Services Incorporated	Government Affairs-MGT GJFA 2	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1077.25	
Full Name (Last, First, Middle Initial) C. Shawn M O'Neil		Date of Receipt
Mailing Address One Health Plaza		10 31 _ 2014 _
City	State Zip Code	Transaction ID : A2014-2665701
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.59
Name of Employer	Occupation	
Alcon Laboratories Inc.	Sales Management-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	219.07	
SUBTOTAL of Receipts This Page (optional)		268.83
TOTAL This Period (last page this line number	<u> </u>	

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	Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Sharon N Olmstead Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2014-2671707 Amount of Each Receipt this Period 69.24
federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Top Fed & Legislat Aff Exec-MG Aggregate Year-to-Date ▼ 507.76	
Full Name (Last, First, Middle Initial) 3. Jeffrey S Osmundson Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2014-2670979 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Reimbursement Specialist-GJFA Aggregate Year-to-Date ▼ 203.06	27.69
Full Name (Last, First, Middle Initial) Craig S Osten Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2014-2665998 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General	Occupation Controller - Corporate-MGT GJF Aggregate Year-to-Date ▼	69.24
Other (specify) ▼	507.76	166.17
	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Serafina Oxner Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671259 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Strategic Planning-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) B. John M Pakulski Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2665990 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Sandoz Inc. Regulatory Affairs Liaison-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph S Palumbo Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671225 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Organization Development-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 242.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any perse name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Melissa A Parker Mailing Address One Health Plaza		Date of Receipt
		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671290
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 41.55
Name of Employer Novartis Pharmaceuticals	Occupation Dir Fld Sls Mngd Hlth Care-B-M	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 304.70	
Full Name (Last, First, Middle Initial) Bin Peng Mailing Address & Address		Date of Receipt
Mailing Address One Health Plaza		10 31 _ 2014 _
City	State Zip Code	Transaction ID : A2014-2671674
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Novartis Pharmaceuticals	Occupation Clinical Research (M.D.)-MGT G	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Dean A Penneman		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671494 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec SIs Rep-4 - Onco-GJFA 6	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	220.00	
SUBTOTAL of Receipts This Page (optional)		121.55
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bret D Peterson Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671368 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Spec SIs Rep-4-Other/Combinati Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Efthimios Petroutsas Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671585 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 37.50 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Management-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Candice C Phipps Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665997 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 300.00 С federal political committee. Name of Employer Occupation Sandoz Inc. Government Affairs-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 2200.00 Other (specify) 365.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Elizabeth A Pinamonti Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671102 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Elvira Ponce Date of Receipt Mailing Address 350 Massachusetts Avenue 10 31 2014 City State Zip Code Transaction ID: A2014-2666048 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Medical Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Barbara Porcher Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671495 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Sales Representative -Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bernard M Porter Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671258 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation National Account Mgr/Dir - B-G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) **B.** Elizabeth Power Date of Receipt Mailing Address 350 Massachusetts Avenue 10 31 2014 City State Zip Code Transaction ID: A2014-2671716 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Communications - Internal-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name (Last, First, Middle Initial) c. Kelly D Prather Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671358 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 145.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jeffrey P Prejean Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671361 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals First Level Supervisor - B-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. J.C. Proctor Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671543 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-3 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Deborah A Quinn Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671569 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Clinical Research (M.D.)-MGT G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 85.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Eileen M Quinn Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671045 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Anne H Ramsey Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2666061 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Spec Frst Lvl Super- B-MGT GJF Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Susan R Rendon Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665736 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Human Resources Generalist-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) 86.55 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Marc B Reuss Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation HR General CEG 0 Aggregate Year-to-Date ▼	Date of Receipt 10 31 2014 Transaction ID: A2014-2666056 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Margaret Riccobono Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Training-MGT GJFA 4 Aggregate Year-to-Date ▼	Date of Receipt 10 31 2014 Transaction ID : A2014-2665988 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Cadmus C Rich Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation DEVELOPMENTAL-MGT GJFA 2 Aggregate Year-to-Date ▼ 253.66	Date of Receipt 10 31 2014 Transaction ID: A2014-2665757 Amount of Each Receipt this Period 34.59
SUBTOTAL of Receipts This Page (optional)		119.21
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward C Richards Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665765 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.14 federal political committee. Name of Employer Occupation Mftg Plant Mgmt-MGT GJFA 1 Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 338.36 Other (specify) Full Name (Last, First, Middle Initial) B. Sheila A Riddell Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671141 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Sales Representative -Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Keith C Riddiford Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671078 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Sales Operations-MGT GJFA 1 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 101.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Roger F Samartino Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671233 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Fld Sls Mngd Hlth Care-B-M Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Adwoa A Sanderson Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671756 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Communications - External-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Cristina L Santos Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671688 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Training Manager-MGT GJF Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) 130.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and or for commercial purposes, other than using t			rson for the	purpose of	soliciting	contributi	ons
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Com	nmittee					
Full Name (Last, First, Middle Initial) Robert J Sardinha Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)		Zip Code 07936 are Mktg/Access Dir-M Year-to-Date ▼	10 Trans	Receipt 31 action ID: A of Each Re			Ý 69
Full Name (Last, First, Middle Initial) Corey Schmidt Mailing Address One Health Plaza	2011	To Oak	10	Receipt / 31	/ Y	2014	Y
City East Hanover FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936		action ID : A			00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼		ecifications-MGT GJ Year-to-Date ▼ 220.00					
Full Name (Last, First, Middle Initial) David A Schoening Mailing Address One Health Plaza City	State	Zip Code	10	Receipt / 31 saction ID : A	/ Y	2014 665760	Y
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation QA Mgmt -	07936 Plant-MGT GJFA 1 Year-to-Date ▼ 338.36		t of Each Re			14
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	d Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Deborah B Shertzer		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671507 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Budgeting-MGT GJFA 3 Aggregate Year-to-Date ▼ 203.06	-
Full Name (Last, First, Middle Initial) Joseph M Simon Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671073 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	55.38
Name of Employer Novartis Pharmaceuticals	Occupation Spec SIs Rep-4 - MS-GJFA 6	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 406.12	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2671142 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	-
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Medical Sales Representative - Aggregate Year-to-Date ▼ 203.06	_
SUBTOTAL of Receipts This Page (optional)		110.76
TOTAL This Period (last page this line numb	per only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David P Sipe Date of Receipt Mailing Address One Health Plaza 2014 10 31 City State Zip Code Transaction ID: A2014-2671115 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. John G Slick Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671320 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. James E Sluck Date of Receipt Mailing Address One Health Plaza 10 31 2014 Zip Code City State Transaction ID: A2014-2665700 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.59 С federal political committee. Name of Employer Occupation Product/Brand Head Marketing-Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

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253.66

Other (specify)

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Name of Employer	Occupation	
Novartis Pharmaceuticals	Top Clin Dev Ops Exec-MGT GJFA	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) Stephen H Speares		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2665714
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.17
Name of Employer	Occupation	
Alcon Laboratories Inc.	General Management CEG 0	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	338.58	

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108.48

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William S Spelta Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2670934 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) B. Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 10 31 2014 City State Zip Code Transaction ID: A2014-2671735 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Top Managed Health Care Exec-M Receipt For: Aggregate Year-to-Date ▼ Primary General 2200.00 Other (specify) Full Name (Last, First, Middle Initial) c. Demetre A Stamatis Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671758 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Affairs Liaison-GJF Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 372.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Danney M Stanley Jr. Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665759 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Mtl and Dist-Gen/Mult Func-MGT Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Donald E Stecher Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671302 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) c. Philip G Stehle Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665754 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.86 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Cont Process Improv Anlys-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) 91.17 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew C Stillwell Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671003 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Fld Sls Mngd Hlth Care-B-M Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) B. Peter N Streit Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671245 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Management-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) c. Edson J Stricker Date of Receipt Mailing Address 6201 South Freeway 10 31 2014 City Zip Code State Transaction ID: A2014-2665782 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 34.59 federal political committee. Name of Employer Occupation Alcon Research Engineering - Manufacturing-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 253.66 Other (specify) 103.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard Tessier Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671272 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Engineering - Facilities-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) Full Name (Last, First, Middle Initial) B. Christina M Tremains Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671308 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 95.22 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 695.90 Other (specify) Full Name (Last, First, Middle Initial) c. Nancy N Tuffin Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665807 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Government Affairs-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 194.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas A Urban Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2670907 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 47.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4-Other/Combinati Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa R Utt Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2670970 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.55 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-4 - Psychiatric/C Receipt For: Aggregate Year-to-Date ▼ Primary General 304.70 Other (specify) Full Name (Last, First, Middle Initial) c. Edward S Vander Veen Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671525 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales and Mktg Exec 2nd Ivl-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) 134.01 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erwin Vanhaecke Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2665729 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation PRODUCTION - CEG ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) Full Name (Last, First, Middle Initial) B. William W Voegtli Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2670966 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.12 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reimbursement Specialist-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 308.88 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew J Volante Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671123 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 С federal political committee. Name of Employer Occupation Mktg and Sales Exec-TP Area-MG Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1015.30 Other (specify) 203.65 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Christen L Volk Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2671729 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.00 federal political committee. Name of Employer Occupation National Account Mgr/Dir - B-G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Denise A Vollert-Parrotto Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2671526 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Financial Analysis-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew M von Wellsheim Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2665695 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation IT Management CEG 0 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 507.76 Other (specify) 144.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial)	T	
A. Anthony J Wallace		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
Ste 725		10 31 2014
City East Hangyar	State Zip Code	Transaction ID : A2014-2666012
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing	C	45.00
federal political committee.		
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Sales Operations-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	330.00	
Salot (specify) ▼	330.00	
Full Name (Last, First, Middle Initial)	+	
3. Steven A Waller		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671298
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing	C	30.00
federal political committee.		
Name of Employer	Occupation	
Novartis Pharmaceuticals	Medical Sales Representative -	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	220.00	
Other (specify) ▼	220.00	
Full Name (Last, First, Middle Initial)	+	
John F Ward		Date of Receipt
Mailing Address 350 Massachusetts Avenue		M = M / D = D / Y = Y = Y
	Chata 71. O. I	10 31 2014
City Cambridge	State Zip Code MA 02139	Transaction ID : A2014-2666055
	02133	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	34.62
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Law - General-GJFA 3	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	253.88	
L		400.00
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Any information copied from such Reports and or for commercial purposes, other than using to	I Statements may not be sold or used by any per- the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Randy S Wilbur		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671277
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.69
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Cont Process Improv Anlys-GJFA	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General Other (specify) ▼	203.06	
Full Name (Last, First, Middle Initial) Edward S Williams		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2671108
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.69
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Spec Sls Rep-4 - Onco-GJFA 6	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	203.06	
Full Name (Last, First, Middle Initial) C. Kenneth K Williams		Date of Receipt
Mailing Address One Health Plaza		10 31 2014
City	State Zip Code	Transaction ID : A2014-2665717
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	28.83
Name of Employer	Occupation	1
Alcon Research	Toxicology (Non-D.V.M.)-GJFA 4	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	211.42	
SUBTOTAL of Receipts This Page (optional).		84.21
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 124 OF 133 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Brad C Willie Date of Receipt Mailing Address One Health Plaza 2014 10 31 City Zip Code State Transaction ID: A2014-2670982 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Name of Employer Occupation National Account Mgr/Dir - B-G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Karen M Wilshire Date of Receipt Mailing Address One Health Plaza 10 31 2014 City State Zip Code Transaction ID: A2014-2670993 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.69 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec SIs Rep-3 - Onco-GJFA 6 Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name (Last, First, Middle Initial) c. Amy B Wilson Date of Receipt Mailing Address One Health Plaza 10 31 2014 City Zip Code State Transaction ID: A2014-2671565 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Compliance-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 92.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Stephen A Woolford Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Financial Analysis-MGT GJFA 2 Aggregate Year-to-Date ▼ 507.76	Date of Receipt 10 31 2014 Transaction ID: A2014-2671256 Amount of Each Receipt this Period 69.24
Full Name (Last, First, Middle Initial) Christine D Wyble Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Medical Information Services-M Aggregate Year-to-Date ▼ 253.88	Date of Receipt 10 31 2014 Transaction ID: A2014-2671676 Amount of Each Receipt this Period 34.62
Full Name (Last, First, Middle Initial) Nuray Yurt Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Operations Research-MGT GJFA 3 Aggregate Year-to-Date ▼ 330.00	Date of Receipt 10 31 2014 Transaction ID: A2014-2671659 Amount of Each Receipt this Period 45.00
SUBTOTAL of Receipts This Page (optional)	<u> </u>	148.86
TOTAL This Period (last page this line numbe	r only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Fan Zhang Date of Receipt Mailing Address One Health Plaza 2014 10 31 City State Zip Code Transaction ID: A2014-2665737 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 28.86 federal political committee. Name of Employer Occupation Alcon Research Toxicology (Non-D.V.M.)-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 211.64 Other (specify) Full Name (Last, First, Middle Initial) В. Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 28.86 SUBTOTAL of Receipts This Page (optional)..... 20975.40 TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)													
ig/ Novartis Corporation Political Act	ion Committee												
Full Name (Last, First, Middle Initial)													
A. Reinventing a New Direction-RAI	NDPAC		Date of Disbursement										
			M M / D D / Y Y Y Y										
Mailing Address 20 F St. NW Suite 400			10 27 2014										
City	State Zip Code												
Washington	DC 20001		Transaction ID: B535557										
Purpose of Disbursement													
Contribution		011	Amount of Each Disbursement this Period										
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Senate President	Primary General ✓ Other (specify) ▼												
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B. McConnell Senate Committee '14	1		Date of Disbursement										
Widdennian Conditio Committee	I		M M / D D / Y Y Y Y										
Mailing Address PO Box 1496			10 27 2014										
City	State Zip Code KY 40201		Transaction ID : B538042										
Louisville Purpose of Disbursement	KY 40201												
Contribution		011	Amount of Each Disbursement this Period										
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President	Other (specify) ▼												
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Full Name (Last, First, Middle Initial) New Pioneers PAC			Date of Disbursement										
. New Ploneers PAC			M M / D D / Y Y Y Y										
Mailing Address 600 Pennsylvania Ave. SE #300			10 22 _2014 _										
City	State Zip Code		Transaction ID : B535558										
Washington Purpose of Disbursement	DC 20003												
Contribution		011											
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Office Sought: House Disburs	sement For: 2014	71											
Senate	Primary General												
President	Other (specify) ▼												
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NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			2
Making America Prosperous PAC			Date of Disbursement
Mailing Address PO Box 2485			11 12 2014
City	State Zip Code		Transaction ID : B539177
Springfield	VA 22152		Hansaction id . 6559177
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Office Sought: House Disburser	ment For: 2014	Туре	7
Senate Senate	Primary General		
President	Other (specify) ▼		
State: District:	Not Applica	ıble	
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	Office (Specify)		
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Novartis Corporation Political Action Committee							
A. Joe Bolkcom for Iowa Senate Mailing Address 728 2nd Avenue City State Zip Code IA 52245 City State Senate 43 IA 52245 Furpose of Disbursement G-2014 State Senate 43 IA Candidate Name Joe Bolkcom Office Sought: House Disbursement For: 2014 Full Name (Last, First, Middle Initial) B. Cittzens for Anne Gonzales Mailing Address 865 Macon Alley City State Zip Code OH 43206 OH 43206 Purpose of Disbursement For: 2014 Candidate Name Annount of Each Disbursement this Period Date of Disbursement this Period Category' 200.00 Transaction ID: 8535556 Amount of Each Disbursement this Period Category' 200.00 Transaction ID: 8538045 Amount of Each Disbursement Bord Category' 200.00 Date of Disbursement Category' 200.00 Transaction ID: 8538045 Amount of Each Disbursement Bord Category' 200.00 Transaction ID: 8538045 Amount of Each Disbursement Bord Category' 100.00 Transaction ID: 8538045 Transaction ID: 8538045 Amount of Each Disbursement Bord Category' 1000.00 Transaction ID: 8538045 Transaction ID: 8538045 Amount of Each Disbursement Bord Category' 1000.00 Transaction ID: 8538045 Transaction ID: 8538044 Transaction ID: 8538044 Transaction ID: 8538044 Amount of Each Disbursement Bord Category' 1700.00 Transaction ID: 8538044 Transaction ID: 8538045 Transaction ID: 8538044 Transaction ID: 8538045	NAME OF COMMITTEE (In Full)						
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Mailing Address 728 2nd Avenue City City City Cova Cit							
City State Zip Code IA 52245 Purpose of Disbursement G-2014 State Senate 43 IA Candidate Name Joe Bolkcom Office Sought: House Senate Water House Office Sought: President State: OH District: 19 Full Name (Last, First, Middle Initial) State: OH District: 19 Full Name (Last, First, Middle Initial) State: OH District: 19 Full Name (Last, First, Middle Initial) City State Zip Code Office Sought: President Senate Primary Anne Gonzales Mailing Address 865 Macon Ailey Transaction ID: B538556 Amount of Each Disbursement His Period Category/ Type Date of Disbursement 10 27 2014 Transaction ID: B538045 Amount of Each Disbursement His Period Category/ Type Disbursement For: 2014 Amount of Each Disbursement this Period Category/ Type Date of Disbursement 10 27 2014 Transaction ID: B538045 Amount of Each Disbursement His Period Category/ Type Date of Disbursement 10 27 2014 Transaction ID: B538045 Transaction ID: B538045 Amount of Each Disbursement His Period Category/ Type Date of Disbursement this Period Transaction ID: B538045 Transaction ID: B538045 Amount of Each Disbursement His Period Category/ Type Date of Disbursement His Period Transaction ID: B538045 Transaction ID: B538045 Amount of Each Disbursement 10 27 2014 Transaction ID: B538045 Transaction ID: B538045 Transaction ID: B538045 Transaction ID: B538045 Amount of Each Disbursement 10 27 2014 Transaction ID: B538044 Transaction ID: B538044 Amount of Each Disbursement 10 27 2014 Transaction ID: B538044 Transaction ID: B538045 Transaction ID	A. Joe Bolkcom for Iowa Senate						
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Mailing Address 865 Macon Alley City State Zip Code OH 43206 Purpose of Disbursement G-2014 State House 19 OH Candidate Name Anne Gonzales Office Sought:				Data of Diskumanust			
City	Citizens for Anne Gonzales						
Columbus OH 43206 Purpose of Disbursement G-2014 State House 19 OH Candidate Name Anne Gonzales Office Sought: State: OH District: 19 Full Name (Last, First, Middle Initial) City Springboro Purpose of Disbursement G-2014 State Senate G-2014 State Senate 7 OH Candidate Name Mailing Address 800 Valley View Point City Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Primary Disbursement For: 2014 Category/ Type Transaction ID: B3844 Amount of Each Disbursement Date of Disbursement Transaction ID: B538044 Amount of Each Disbursement Category/ Type Transaction ID: B538044 Amount of Each Disbursement this Period Category/ Type 1000.00	Mailing Address 865 Macon Alley						
G-2014 State House 19 OH Candidate Name Anne Gonzales Office Sought:				Transaction ID : B538045			
Candidate Name Anne Gonzales Office Sought:			011	Amount of Each Dishursoment this Period			
Anne Gonzales Office Sought: House Senate Primary General Other (specify) Full Name (Last, First, Middle Initial) C: Friends of Shannon Jones Mailing Address 800 Valley View Point City State Zip Code Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Primary General Other (specify) State Zip Code OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Primary General Other (specify) Senate President Other (specify) State: OH District: 07				Amount of Each dispulsement this Period			
Office Sought:				1000.00			
State: OH District: 19 Full Name (Last, First, Middle Initial) Friends of Shannon Jones Mailing Address 800 Valley View Point City State Zip Code Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Senate President State: OH District: 07 Other (specify) ▼ Date of Disbursement Transaction ID: B538044 Amount of Each Disbursement this Period Category/ Type 1000.00		ement For: 2014	- 7/6-5	,			
State: OH District: 19 Full Name (Last, First, Middle Initial) C. Friends of Shannon Jones Mailing Address 800 Valley View Point City State Zip Code Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Primary General Other (specify) State: OH District: 07 Date of Disbursement Transaction ID: B538044 Amount of Each Disbursement this Period Category/ Type Other (specify) Other (specify) Other (specify) Total Candidate Name Senate Primary General Other (specify) State: OH District: 07	Senate	Primary General					
Full Name (Last, First, Middle Initial) Friends of Shannon Jones Mailing Address 800 Valley View Point City Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House President State: OH District: 07 Date of Disbursement Transaction ID: B538044 Amount of Each Disbursement this Period Category/ Type Other (specify) Other (specify) Transaction ID: B538044 Amount of Each Disbursement this Period Other (specify) Total Category/ Type Other (specify) Other (specify)	President	Other (specify) ▼					
Mailing Address 800 Valley View Point City Springboro Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House President State: OH Date of Disbursement							
Mailing Address 800 Valley View Point City State Zip Code Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Primary General President State: OH District: 07 Transaction ID: B538044 Amount of Each Disbursement this Period Category/ Type 1000.00		Data of Dishuwaamant					
Mailing Address 800 Valley View Point City State Zip Code Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Primary Senate President State: OH District: 07 Transaction ID: B538044 Amount of Each Disbursement this Period Category/ Type 1000.00	• Friends of Shannon Jones	M M / D D / Y Y Y Y					
Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House President President State: OH District: 07 House President State: OH District: 07 House President Other (specify) State: OH District: 07	Mailing Address 800 Valley View Point						
Springboro OH 45066 Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House President President State: OH District: 07 House Other (specify) State: OH District: 07	City	City State Zin Code					
Purpose of Disbursement G-2014 State Senate 7 OH Candidate Name Shannon Jones Office Sought: House Primary President State: OH District: 07 Amount of Each Disbursement this Period Category/ Type Amount of Each Disbursement this Period Other (specify) Type Amount of Each Disbursement this Period Other (specify) Type Amount of Each Disbursement this Period Other (specify) Type				Transaction ID: B538044			
Candidate Name Shannon Jones Office Sought: House Senate President State: OH District: 07 Amount of Each Disbursement this Period Category/ Type Amount of Each Disbursement this Period Category/ Type Other (specify) ▼ Amount of Each Disbursement this Period							
Shannon Jones Office Sought: House Senate President State: OH District: 07 Disbursement For: 2014 Primary General Other (specify) ▼ Other (specify) Type 1000.00		011	Amount of Each Disbursement this Period				
Office Sought: House Senate President State: OH District: 07 Disbursement For: 2014 Primary General Other (specify) ▼			1000.00				
Senate President Other (specify) ▼ State: OH District: 07		ment Fee: 0044	Туре	7			
President State: OH District: 07 Other (specify) ▼							
State: OH District: 07							
2200.00] · · · · · · · · · · · · · · · · · · ·					
SUBTOTAL of Disbursements This Page (optional)							
	SUBTOTAL of Disbursements This Page (optional)		·····•	2200.00			
TOTAL This Period (last page this line number only)	TOTAL This Period (last page this line number onli	v)					

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 131 OF 133		
ITEMIZED DISBURSEMENTS	Use separate schedule(s	(check only	NOMBER:		
TILIMIZED DIODONOLIVILITIO	for each category of the Detailed Summary Page	21b	22 23 24 25 26		
		27	28a 28b 28c X 29 30l		
Any information copied from such Reports and Stat					
or for commercial purposes, other than using the n	ame and address of any polit	ical committee to	solicit contributions from such committee.		
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Act	ion Committee				
Full Name (Last, First, Middle Initial)			Date of Disbursement		
A. Citizens for Amstutz	Citizens for Amstutz				
Mailing Address 4456 Woodlake Trail			11 24 2014		
City	State Zip Code				
Wooster	OH 44691		Transaction ID: B539673		
Purpose of Disbursement G-2014 State House 01 OH		244			
Candidate Name		011	Amount of Each Disbursement this Period		
Ron Amstutz		Category/ Type	1000.00		
	ement For: 2014	71	,		
Senate	Primary General				
President	Other (specify) ▼				
State: OH District: 01					
B. Friends of Nickie J. Antonio	Full Name (Last, First, Middle Initial)				
I HEHUS OF MICKIE J. AHUUHU			Date of Disbursement		
Mailing Address 1305 Belle Avenue	Mailing Address 1305 Belle Avenue				
City	State Zip Code				
Lakewood	OH 44107		Transaction ID : B539671		
Purpose of Disbursement G-2014 State House 13 OH		044	Amount of Each Dishurses and this Day I		
Candidate Name		011	Amount of Each Disbursement this Period		
Nickie Antonio		Category/ Type	250.00		
	ement For: 2014	1,700			
Senate	Primary General				
President	Other (specify) ▼				
State: OH District: 13					
Full Name (Last, First, Middle Initial)	Date of Disbursement				
Heather Bishoff for State Rep					
Mailing Address 2902 Braden Way	11 24 2014				
City	State Zip Code				
Blacklick	OH 43004		Transaction ID : B539667		
Purpose of Disbursement					
G-2014 State House 20 OH		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	250.00		
Heather Bishoff Office Sought: House Disburs	ement For: 2014	Туре	7		
Senate Sought.	Primary General				
President	Other (specify)				
State: OH District: 20					
SUBTOTAL of Disbursements This Page (optional))		1500.00		
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TOTAL This Period (last page this line number on	ly)				

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S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER	:	PAGE 132 OF 133			
IT	EMIZED DISBURSEMENTS		parate schedule(s) category of the	(check only one)						
			Summary Page	21b	22	23	24 25 26			
				27	28a	28b	28c 🗙 29 30b			
Ar or	ny information copied from such Reports and Statem for commercial purposes, other than using the name	ents may e and add	not be sold or us dress of any polition	ed by any personal committee to	son for the o solicit co	purpose of ntributions for	soliciting contributions rom such committee.			
\setminus	NAME OF COMMITTEE (In Full)									
$ \rangle$	Novartis Corporation Political Actio	n Comi	mittee							
_	Full Name (Last, First, Middle Initial)									
Α.	Team Burke					Date of Disbursement				
	Mailing Address 275 West 4th St.					24	2014			
	City	State	Zip Code		Tuess	antina ID a	DE20075			
		ОН	43040		Irans	saction ID :	B539675			
	Purpose of Disbursement G-2014 State Senate 26 OH			011	Amoun	t of Each D	isbursement this Period			
	Candidate Name			Category/			1000.00			
	Dave Burke			Туре		7	1000.00			
		nent For: Primary Other (spe	X General							
	State: OH District: 26	` '	, ,							
	Full Name (Last, First, Middle Initial)									
В.	Committee to Elect Jeff McClain			Date of Disbursement						
	Mailing Address 555 Sunset Drive					11 24 2014				
	Upper Sanduscky	State OH	Zip Code 43351		Trans	saction ID :	B539672			
	Purpose of Disbursement G-2014 State House 87 OH				Amoun	t of Each D	isbursement this Period			
Candidate Name				Category/		500.00				
Jeffrey (Jeff) McClain				Type		500.00				
	Office Sought: House Disbursem									
		Primary	rimary							
	State: OH District: 87	Otrici (Spt	Colly) \							
	Full Name (Last, First, Middle Initial)									
C.	Oelslager for Ohio Committee			Date of Disbursement						
	Mailing Address 6706 Lake Cable Ave. NW				11	24	2014			
	City State Zip Code									
		OH	44720		Trans	saction ID :	B539674			
	Purpose of Disbursement G-2014 State Senate 29 OH									
	Candidate Name				Amoun	t of Each D	isbursement this Period			
	Categ			Category/ Type			500.00			
	Office Sought: House Disbursen	nent For:	2014	туре	-	7	7			
		Primary	▼ General							
	President	Other (spe	ecify) 🔻							
_	State: OH District: 29									
s	SUBTOTAL of Disbursements This Page (optional)					7	2000.00			
 	OTAL This Davied (last page this line growth as and)									
1 '	'OTAL This Period (last page this line number only).									

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 133 OF 13				
TEMIZED DISBURSEMENTS	Use separate schedule(s) (check petalled Summary Page		nly one) b 22 23 24 25 26				
		27	28a 28b 28c X 29 30				
Any information copied from such Reports and State or for commercial purposes, other than using the nar							
NAME OF COMMITTEE (In Full)							
Novartis Corporation Political Action	on Committee						
Full Name (Last, First, Middle Initial)			Data of Dishurasment				
A. Friends of Mark Keller	Date of Disbursement						
Mailing Address 6441 Waggoners Gap Road	10 27 2014						
City Landisburg	State Zip Code PA 17040		Transaction ID : B538043				
Purpose of Disbursement	17040						
G-2014 State House 86 PA		011	Amount of Each Disbursement this Period				
Candidate Name Mark Keller		Category/ Type	275.00				
	ment For: 2014		· · ·				
Senate President	Primary General						
State: PA District: 86	Other (specify) ▼						
Full Name (Last, First, Middle Initial)							
3.			Date of Disbursement				
Mailing Address			M M / D D / Y Y Y Y				
City	State Zip Code						
Purpose of Disbursement		_					
Condidate Name			Amount of Each Disbursement this Period				
Candidate Name		Category/ Type					
	ment For:						
Senate President	Primary General Other (specify) ▼						
State: District:	Other (speedily)						
Full Name (Last, First, Middle Initial)	Date of Dishuranment						
C.	Date of Disbursement						
Mailing Address							
City	State Zip Code						
Purpose of Disbursement							
	Amount of Each Disbursement this Period						
Candidate Name		Category/ Type					
Office Sought: House Disburse	ment For:	- 7 -					
Senate	Primary General						
	Other (specify) ▼						
President State: District:	Carrer (epocary)						
State: President District:	(case: (cpcc::,)						
		·····•	275.00				