

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

Novartis Corporation Political Action Committee

ADDRESS (number and street) 701 Pennsylvania Ave. NW Suite 725

Check if different than previously reported. (ACC)

Washington DC 20004-2608

2. **FEC IDENTIFICATION NUMBER ▼** C C00033969

CITY ▲ Washington STATE ▲ DC ZIP CODE ▲ 20004-2608

3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. **TYPE OF REPORT** (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report (Q1)

July 15 Quarterly Report (Q2)

October 15 Quarterly Report (Q3)

January 31 Year-End Report (YE)

July 31 Mid-Year Report (Non-election Year Only) (MY)

Termination Report (TER)

(b) Monthly Report Due On:

Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)

Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)

Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)

(c) 12-Day **PRE-Election** Report for the:

Primary (12P) General (12G) Runoff (12R)

Convention (12C) Special (12S)

Election on M M / D D / Y Y Y Y Y Y in the State of

(d) 30-Day **POST-Election** Report for the:

General (30G) Runoff (30R) Special (30S)

Election on M M / D D / Y Y Y Y Y Y in the State of

5. Covering Period 09 / 01 / 2013 through 09 / 30 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Shawn O'Neil

Signature of Treasurer Shawn O'Neil *[Electronically Filed]* Date 11 / 19 / 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only							
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**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2013"/>	<input type="text" value="202800.39"/>	<input type="text" value="202800.39"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="161851.28"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="22307.81"/>	<input type="text" value="235045.39"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="184159.09"/>	<input type="text" value="437845.78"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="38067.43"/>	<input type="text" value="291754.12"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="146091.66"/>	<input type="text" value="146091.66"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	11308.94	78333.29
(ii) Unitemized	10998.87	150712.10
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	22307.81	229045.39
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	22307.81	229045.39
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	6000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	22307.81	235045.39
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	22307.81	235045.39

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	67.43	512.44
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	67.43	512.44
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	22000.00	275000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	41.68
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	41.68
29. Other Disbursements	16000.00	16200.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	38067.43	291754.12
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	38067.43	291754.12

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	22307.81	229045.39
34. Total Contribution Refunds (from Line 28(d))	0.00	41.68
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	22307.81	229003.71
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	67.43	512.44
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	67.43	512.44

: 97 `A-G79 @C B9CI G`H9LH`F9 @ H98 `HC`5 `F9DCFH`G7 <98I @ `CF`+H9A-N5HCB

Form/Schedule: F3XA
Transaction ID :

Please note that the PAC is amending this report to disclose a contribution to the PAC that was not reported on the original M10.

Form/Schedule:
Transaction ID:

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 7 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Robert E Ackerman Jr.
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Administration - Vice Presiden
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105737
 Amount of Each Receipt this Period
 23.08

B. Robin D Adelstein
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Sandoz Inc. Occupation LEGAL & IP - CEG ROLE
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105289
 Amount of Each Receipt this Period
 23.08

C. Laman Alani
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Research Occupation Top Formulation and Pharmaceut
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 292.22

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105177
 Amount of Each Receipt this Period
 30.76

SUBTOTAL of Receipts This Page (optional).....▶	76.92
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Tracy E Andricsak
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Communications - Vice Presiden

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **500.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 12 / 2013

Transaction ID : A2013-4321302

Amount of Each Receipt this Period
500.00

B. Gary J Appio
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product Sfty Risk Mgmt - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105730

Amount of Each Receipt this Period
27.70

C. Brad Arlett
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Relationship Mgmt - Asoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106313

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **550.78**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Andrew Arline

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106010

Amount of Each Receipt this Period
27.70

Full Name (Last, First, Middle Initial)
B. Michael Banko

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Database Analysis - Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105809

Amount of Each Receipt this Period
46.16

Full Name (Last, First, Middle Initial)
C. Tracy L Baroni Allmon

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106315

Amount of Each Receipt this Period
92.30

SUBTOTAL of Receipts This Page (optional)..... ▶ **166.16**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Ted R Benson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Sales-PH-Spec - Sr Conslt

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105286

Amount of Each Receipt this Period
30.00

B. Sheila Bhattacharya
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Assoc Dir National Account

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105852

Amount of Each Receipt this Period
23.08

C. Darrell E Biggs
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Project Mgmt-Info Tech - Sr Co

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105288

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **76.16**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 11 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edward J Blair
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Mgmt - Asoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105727

Amount of Each Receipt this Period
23.08

B. Eduardo Blas
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Information Systems - Vice Pre

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105127

Amount of Each Receipt this Period
30.76

C. Lisa M Blizzard
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Managed Care Marketing - Direc

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106022

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....▶	76.92
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 12 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Peri K Bonner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **535.76**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105600

Amount of Each Receipt this Period
56.54

B. Troy L Borill
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **354.12**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105951

Amount of Each Receipt this Period
37.48

C. Daniel S Bortfeld
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Staffing - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105563

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... ▶ **117.10**

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 13 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Leonard J Brandt		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105796
Mailing Address One Health Plaza		Amount of Each Receipt this Period 46.16
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Promotion/Advertising - Direct	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	

Full Name (Last, First, Middle Initial) B. Ellen M Browne		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105843
Mailing Address One Health Plaza		Amount of Each Receipt this Period 46.16
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Info Sys- Ex Dir	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	

Full Name (Last, First, Middle Initial) C. Lucinda A Bruere		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105523
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation PC Sr Area Business Leader	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

SUBTOTAL of Receipts This Page (optional).....▶	115.40
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 14 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas R Brunner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sys Plan and Architecture - Di

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106028

Amount of Each Receipt this Period
46.16

B. Richard Burns
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Regional Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105431

Amount of Each Receipt this Period
27.70

C. Timothy S Byler
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105665

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....▶	96.94
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 15 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James P Carey
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Vice Pres

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **937.30**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105917

Amount of Each Receipt this Period
99.20

B. James L Carrico
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105550

Amount of Each Receipt this Period
27.70

C. Daniel P Casserly
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Fed and Legislative Affairs -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2630.74**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105618

Amount of Each Receipt this Period
276.92

SUBTOTAL of Receipts This Page (optional).....▶	403.82
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Cynthia C Cetani
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Compliance - Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **438.52**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4106032
 Amount of Each Receipt this Period **46.16**

B. Atindra N Chaturvedi
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Sys- Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **438.52**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105736
 Amount of Each Receipt this Period **46.16**

C. Barbara Christensen-Boner
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **512.69**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105457
 Amount of Each Receipt this Period **54.18**

SUBTOTAL of Receipts This Page (optional)..... ▶ **146.50**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Sean T Clark

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer CIBA Vision Corporation Occupation Product/Brand Mgmt - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **325.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105257

Amount of Each Receipt this Period
60.00

Full Name (Last, First, Middle Initial)
B. Julie A Collins

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer CIBA Vision Corporation Occupation Professional Svcs-Mktg - Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105258

Amount of Each Receipt this Period
92.30

Full Name (Last, First, Middle Initial)
c. Michael A Conley

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales-RAM-Pharma Acct Mgmt - E

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105679

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... **198.46**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 18 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Seth Coombs
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge	State MA	Zip Code 02139
-------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics	Occupation Product Marketing - Executive
---	---

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-4105351

Amount of Each Receipt this Period

145.38	145.38	145.38	145.38	145.38
--------	--------	--------	--------	--------

92.30

B. David C Creedon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover	State NJ	Zip Code 07936
----------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals	Occupation Relationship Mgmt - Ex Dir
--	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-4106323

Amount of Each Receipt this Period

145.38	145.38	145.38	145.38	145.38
--------	--------	--------	--------	--------

30.00

C. Rhonda N Crichlow
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover	State NJ	Zip Code 07936
----------------------	-------------	-------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals	Occupation Diversity Workforce Mgmt - VP
--	---

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-4106181

Amount of Each Receipt this Period

145.38	145.38	145.38	145.38	145.38
--------	--------	--------	--------	--------

23.08

SUBTOTAL of Receipts This Page (optional).....▶	145.38
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 19 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas G Cullen
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Sci Acquist & Lic Invest - Spe

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105299

Amount of Each Receipt this Period **23.08**

B. William E Darnall
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza Ste 725

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Med Science Liaison (MD) - Dir

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **950.00**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105313

Amount of Each Receipt this Period **100.00**

C. R. Deck
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Project Management - Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105360

Amount of Each Receipt this Period **23.08**

SUBTOTAL of Receipts This Page (optional)..... ▶ **146.16**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 20 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Clinton D Degner
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105504
 Amount of Each Receipt this Period
 23.08

B. Joanne Del Rio
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Facilities - Specialist
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105766
 Amount of Each Receipt this Period
 23.08

C. Lynne M DeLisi
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation General Counsel - Principal
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106207
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional).....▶	69.24
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Randal Dias			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4105749
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="23.08"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Project Management - Executive		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Candace B Dibblee			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4105856
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="230.76"/>
Name of Employer	Occupation		
Novartis Services Incorporated	Government Affairs - Associate		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="2192.22"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Anthony Diorio			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code	Transaction ID : A2013-4105371
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="30.00"/>
Name of Employer	Occupation		
Novartis Vaccines & Diagnostics	District Sales Manager		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="285.00"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="283.84"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 22 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Christos Dogas
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Auditing - Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105305

Amount of Each Receipt this Period **23.08**

B. Alastair M Douglas
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales Administration-Alcon - E

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105153

Amount of Each Receipt this Period **23.08**

C. David P Drake
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Executive

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **1669.24**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105735

Amount of Each Receipt this Period **200.00**

SUBTOTAL of Receipts This Page (optional)..... **246.16**

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Carter L Dutch

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales Management - Executive D

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **500.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106357

Amount of Each Receipt this Period
100.00

Full Name (Last, First, Middle Initial)
B. Carol D DuVall

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Auditing - Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105116

Amount of Each Receipt this Period
30.76

Full Name (Last, First, Middle Initial)
C. David M Eberenz Jr.

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105655

Amount of Each Receipt this Period
27.70

SUBTOTAL of Receipts This Page (optional)..... ▶ **158.46**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Fred Ellis
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales Management-Alcon - Vice

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105106

Amount of Each Receipt this Period
30.76

B. Michael R Emch
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **266.76**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105407

Amount of Each Receipt this Period
28.08

C. Richard T Entrekin
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Eng-Mftg - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105192

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **81.92**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 25 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. David R Epstein
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **900.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013
Transaction ID : A2013-4105772

Amount of Each Receipt this Period
100.00

B. Judith J Ewalt
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013
Transaction ID : A2013-4105632

Amount of Each Receipt this Period
23.08

C. Michael E Fairchild
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation FINANCE - DEV ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013
Transaction ID : A2013-4105124

Amount of Each Receipt this Period
30.76

SUBTOTAL of Receipts This Page (optional)..... **153.84**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 26 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas S Fellers
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med Science Liaison (Non-MD) -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **573.12**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105861

Amount of Each Receipt this Period
100.00

B. James E Foley
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Clin Rsrch (Non-MD) - Dir (GM)

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105845

Amount of Each Receipt this Period
23.08

C. Matthew C Foster
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105625

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....▶	146.16
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 27 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Steven Franchetti		Date of Receipt
Mailing Address 350 Massachusetts Ave.		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4105350
Cambridge	MA	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Auditing - Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Patrick G Francke		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4106211
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="28.08"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Sales Consultant	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="266.76"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. H. P Frederick		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4105669
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="51.66"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	PC Sr Area Business Leader	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="490.77"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="102.82"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 28 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Debra E Freire
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation External Comms - Ex Dir
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ **438.52**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105807
Amount of Each Receipt this Period **46.16**

B. Neely T Frye
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ **1141.41**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105694
Amount of Each Receipt this Period **120.70**

C. Steven J Gabor
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Vaccines & Diagnostics Occupation Product/Brand Mgmt - Assoc Dir
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ **332.50**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105358
Amount of Each Receipt this Period **35.00**

SUBTOTAL of Receipts This Page (optional)..... **201.86**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 29 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Jill H Gaither		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105863
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Oncology Sr Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Martin J Gargiule		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105291
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="23.08"/>
Name of Employer	Occupation	
Sandoz Inc.	Financial Analysis - Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Parameswar Garimella		Date of Receipt
Mailing Address 350 Massachusetts Avenue		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
Cambridge	MA	02139
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105364
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Information Systems - Associat	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="69.24"/>
TOTAL This Period (last page this line number only).....▶	<input type="text" value=""/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 30 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Michael L Gentry

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Programmer/Analyst - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **253.90**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105115

Amount of Each Receipt this Period
24.00

Full Name (Last, First, Middle Initial)
B. Erwin A Gomez Valladares

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Latin America Service Occupation Procurement - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105278

Amount of Each Receipt this Period
92.30

Full Name (Last, First, Middle Initial)
C. Roger K Gravitte

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Consumer Health Inc. Occupation Sales Management-OTC - Vice Pr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **266.76**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105274

Amount of Each Receipt this Period
28.08

SUBTOTAL of Receipts This Page (optional)..... **144.38**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 31 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Kris Grzegorzewski			Date of Receipt M M / D D / Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4106318		
Mailing Address One Health Plaza			Amount of Each Receipt this Period 23.08		
City East Hanover	State NJ	Zip Code 07936			
FEC ID number of contributing federal political committee. C					
Name of Employer Novartis Pharmaceuticals		Occupation Clin Rsrch - Ex Dir			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 219.26			

Full Name (Last, First, Middle Initial) B. Joseph Guido			Date of Receipt M M / D D / Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4106054		
Mailing Address One Health Plaza			Amount of Each Receipt this Period 50.00		
City East Hanover	State NJ	Zip Code 07936			
FEC ID number of contributing federal political committee. C					
Name of Employer Novartis Pharmaceuticals		Occupation Info Sys- Ex Dir			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 300.00			

Full Name (Last, First, Middle Initial) C. David E Gulick			Date of Receipt M M / D D / Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105482		
Mailing Address One Health Plaza			Amount of Each Receipt this Period 46.16		
City East Hanover	State NJ	Zip Code 07936			
FEC ID number of contributing federal political committee. C					
Name of Employer Novartis Pharmaceuticals		Occupation Managed Care Marketing - Direc			
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 438.52			

SUBTOTAL of Receipts This Page (optional).....▶	119.24
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 32 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Kurt Habel
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Operations Research - Sr Consl

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105732

Amount of Each Receipt this Period
46.16

B. James P Hafner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation MS Area Business Leader II

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106344

Amount of Each Receipt this Period
27.70

C. Laura A Hagan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med Science Liaison (Non-MD) -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106310

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **96.94**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 33 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Thomas Halbur		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105228
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Animal Health US Inc.	Research/Devel Mgmt - Dir	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Philip D Hall		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105088
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="46.16"/>
Name of Employer	Occupation	
Alcon Laboratories Inc.	Sales-Medical Devices - Manage	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="438.52"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Paul R Hallen		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105108
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="30.76"/>
Name of Employer	Occupation	
Alcon Research	Business Development - Vice Pr	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="292.22"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="100.00"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 34 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Sarah E Haller		Date of Receipt MM / DD / YYYY 09 / 30 / 2013 Transaction ID : A2013-4105677
Mailing Address One Health Plaza		Amount of Each Receipt this Period 46.16
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Services Incorporated	Occupation Government Affairs - Vice Pres
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	

Full Name (Last, First, Middle Initial) B. Mary J Halliwell		Date of Receipt MM / DD / YYYY 09 / 30 / 2013 Transaction ID : A2013-4105752
Mailing Address One Health Plaza		Amount of Each Receipt this Period 60.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	Occupation External Comms - Ex Dir
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 240.00	

Full Name (Last, First, Middle Initial) C. Veronica Harris		Date of Receipt MM / DD / YYYY 09 / 30 / 2013 Transaction ID : A2013-4106302
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	Occupation Product/Brand Management - Sr
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

SUBTOTAL of Receipts This Page (optional).....▶	129.24
TOTAL This Period (last page this line number only).....▶	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 35 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Kathy-Jo B Hayden
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Director
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105698
 Amount of Each Receipt this Period
 23.08

B. Joseph P Hazelton
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Managed Care Marketing - Direc
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 385.91

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105718
 Amount of Each Receipt this Period
 40.90

C. Thomas M Head
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Corp Social Responsibility - E
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105137
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional).....▶	87.06
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 36 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. David N Hering		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105310
Mailing Address 350 Massachusetts Avenue 350 MA # 234D		Amount of Each Receipt this Period 23.08
City Cambridge	State MA	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 219.26
Name of Employer Novartis Vaccines & Diagnostics	Occupation Program Manager - Executive Di	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. William S Higgins		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105642
Mailing Address One Health Plaza		Amount of Each Receipt this Period 28.08
City East Hanover	State NJ	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 266.76
Name of Employer Novartis Pharmaceuticals	Occupation Executive Sales Consultant	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Robert J Hilkert		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4106256
Mailing Address One Health Plaza		Amount of Each Receipt this Period 46.16
City East Hanover	State NJ	
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date ▼ 438.52
Name of Employer Novartis Pharmaceuticals	Occupation Med AFFAIRS Ex (MD) - VP	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	97.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 37 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. John A Hohneker
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **225.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105759

Amount of Each Receipt this Period
25.00

B. William C Hokanson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales-Reg Act Mgmt-Sales Ops -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105628

Amount of Each Receipt this Period
27.70

C. Herve J Hoppenot
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106063

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... **98.86**

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 38 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Otto C Horstmann II II
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Employee Benefits - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013
Transaction ID : A2013-4105834

Amount of Each Receipt this Period
23.08

B. Cyrus M Hoseyni
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation DEVELOPMENT & MEDICAL - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013
Transaction ID : A2013-4106326

Amount of Each Receipt this Period
23.08

C. Melody Hughson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013
Transaction ID : A2013-4106346

Amount of Each Receipt this Period
100.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **146.16**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 39 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Karen A Jacobs

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Operations Research - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **350.74**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105788

Amount of Each Receipt this Period
36.92

Full Name (Last, First, Middle Initial)
B. Edgar L Jarvis

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **306.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105529

Amount of Each Receipt this Period
32.30

Full Name (Last, First, Middle Initial)
C. Scott R Jauch

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **475.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105372

Amount of Each Receipt this Period
50.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **119.22**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 40 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Joseph N Jensen
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Product/Brand Mgmt - Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105133
 Amount of Each Receipt this Period
 23.08

B. James P Jogerst
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Acquist Allianc & Licens - Ex
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 292.22

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105165
 Amount of Each Receipt this Period
 30.76

C. Robert W Jones
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Animal Health US Inc. Occupation COMMERCIAL & GEN MGMT - CEG RO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105230
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional).....▶	76.92
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 41 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Deborah Juterbock
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Svcs-Tech - Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105933
 Amount of Each Receipt this Period
 23.08

B. Tawfik Kamal
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Marketing - Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 760.00

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105780
 Amount of Each Receipt this Period
 80.00

C. Mutlu Karakelle
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Research Occupation Pharma/Bio Proc- VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 292.22

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105118
 Amount of Each Receipt this Period
 30.76

SUBTOTAL of Receipts This Page (optional).....▶	133.84
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 42 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Bella B Karbachinskaya
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Operations Research - Sr Consl
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105926
 Amount of Each Receipt this Period
 23.08

B. Erik L Karlsons
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation MS Area Business Leader II
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 263.15

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106072
 Amount of Each Receipt this Period
 27.70

C. Claudia Kelly
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Product/Brand Management - Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105352
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional).....▶	73.86
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 43 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas N Kendris
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation LEGAL & IP - CEG ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105405

Amount of Each Receipt this Period
92.30

B. Michael C Kincaid
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation ED Oncology Sales

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **266.76**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106074

Amount of Each Receipt this Period
28.08

C. Shannon T Klinger
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Global Head Lgl & Gen Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1038.42**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105300

Amount of Each Receipt this Period
115.38

SUBTOTAL of Receipts This Page (optional)..... ▶ **235.76**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 44 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Richard E Knapp
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2192.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105574

Amount of Each Receipt this Period
230.76

B. Paul M Kotch
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales Mgr-Reg-Alcon I - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105149

Amount of Each Receipt this Period
23.08

C. Robert W Kowalski
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106319

Amount of Each Receipt this Period
92.30

SUBTOTAL of Receipts This Page (optional).....▶	346.14
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 45 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Keith A LaDue
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Division - Vice President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **207.72**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105724
 Amount of Each Receipt this Period
23.08

B. Steven D Lau
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Neuro-Psych Executive Speciali
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105564
 Amount of Each Receipt this Period
23.08

C. Leigh A Leas
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Executive
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **266.76**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106187
 Amount of Each Receipt this Period
28.08

SUBTOTAL of Receipts This Page (optional)..... **74.24**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 46 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Christian Lease

Mailing Address 350 Massachusetts Avenue
350 MA # 212E

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer: Novartis Vaccines & Diagnostics Occupation: Cont Process Improv Anlys - Di

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105306

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
B. Richard E Lemire

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer: Novartis Pharmaceuticals Occupation: Engineering-Facilities - Direc

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **819.13**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105383

Amount of Each Receipt this Period
86.52

Full Name (Last, First, Middle Initial)
C. Lee C Lensky

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer: Novartis Pharmaceuticals Occupation: E-Commerce - Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106348

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....▶	132.68
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 47 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Clement S Lewin
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 350 MA # 232E
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Med Science Liaison (MD) - VP
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105308
 Amount of Each Receipt this Period
23.08

B. Manuel B Litchman
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Global Program Head - Vice Pre
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105850
 Amount of Each Receipt this Period
23.08

C. Richard Lloyd
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Consumer Health Inc. Occupation COMMERCIAL & GEN MGMT - CEG RO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105272
 Amount of Each Receipt this Period
92.30

SUBTOTAL of Receipts This Page (optional).....	138.46
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 48 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Jeffrey W Lockwood
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer NIBRI Occupation External Communications - Vice
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 438.52

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105281
 Amount of Each Receipt this Period
 46.16

B. Konstantine G Lolos
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 266.76

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105817
 Amount of Each Receipt this Period
 28.08

C. Frederic D Loveland
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation R & D Operations - Executive D
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105751
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional).....▶	97.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 49 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Brenda Luckritz
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Executive

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **2475.00**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105488

Amount of Each Receipt this Period **50.00**

B. David T Mac Askill
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation BU Sr Account Manager

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105611

Amount of Each Receipt this Period **23.08**

C. Joanne K Machalaba
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales-Reg Act Mgmt - VP

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105761

Amount of Each Receipt this Period **23.08**

SUBTOTAL of Receipts This Page (optional)..... **96.16**

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 50 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Kimberly A MacKay
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation General Counsel - Principal
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106080
 Amount of Each Receipt this Period
 23.08

B. Judith Madsen
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Sales Consultant
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105475
 Amount of Each Receipt this Period
 23.08

C. Frank Maness
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Top Shared Svcs Ex- VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 266.76

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106274
 Amount of Each Receipt this Period
 28.08

SUBTOTAL of Receipts This Page (optional).....▶	74.24
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 51 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Frank Manolios
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105640

Amount of Each Receipt this Period **30.00**

B. Sridhar V Mantha
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Quality Assurance-Compliance -

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105173

Amount of Each Receipt this Period **23.08**

C. Kerry L Markwardt
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Project Mgmt - Life Cycle - Pr

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105101

Amount of Each Receipt this Period **23.08**

SUBTOTAL of Receipts This Page (optional)..... **76.16**

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 52 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Charles J Marshall
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Product/Brand Mgmt - Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105125
 Amount of Each Receipt this Period
 23.08

B. Teresa Mason
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Vice Pres
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 876.85

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106335
 Amount of Each Receipt this Period
 92.30

C. Julie Masow
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation External Communications - Dire
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106294
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional).....▶	138.46
TOTAL This Period (last page this line number only).....▶	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 53 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. William R Matthews

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **389.39**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105685

Amount of Each Receipt this Period
41.22

Full Name (Last, First, Middle Initial)
B. Arthur M Mauceri

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106083

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
C. Stefanie L Maurer

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Neuro-Psych Specialist

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **239.75**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105854

Amount of Each Receipt this Period
16.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **80.30**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 54 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. John C Mc Partland
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Sys- Ex Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106084
 Amount of Each Receipt this Period
23.08

B. Vivian H McCain
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Procurement - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105386
 Amount of Each Receipt this Period
23.08

C. J'ai B McClellan
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Government Affairs - Vice Pres
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105105
 Amount of Each Receipt this Period
30.76

SUBTOTAL of Receipts This Page (optional)..... **76.92**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 55 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Michael P McDermott
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Product/Brand Management - Dir

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105232

Amount of Each Receipt this Period **23.08**

B. Catharine M McGeehan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Senior Sp

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105595

Amount of Each Receipt this Period **92.30**

C. Paul J McGinley
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Mktg and Sales Ex-TP Area-Ex D

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **266.76**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4106088

Amount of Each Receipt this Period **28.08**

SUBTOTAL of Receipts This Page (optional)..... **143.46**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 56 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edward D McGough
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation PRODUCTION - CEG ROLE

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **2192.22**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105114

Amount of Each Receipt this Period **230.76**

B. Edward J McKenna
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Manager Business Solutions II

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4106243

Amount of Each Receipt this Period **27.70**

C. William D McLaury
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Supply Chain Plan - Ex Dir

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105619

Amount of Each Receipt this Period **92.30**

SUBTOTAL of Receipts This Page (optional)..... **350.76**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 57 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Brian J McNamara		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105271
Mailing Address One Health Plaza		Amount of Each Receipt this Period 92.30
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Consumer Health Inc.	Occupation COMMERCIAL & GEN MGMT - CEG RO
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 876.85	

Full Name (Last, First, Middle Initial) B. Gary J Menichini		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105256
Mailing Address One Health Plaza		Amount of Each Receipt this Period 50.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer CIBA Vision Corporation	Occupation COMMERCIAL & GEN MGMT - CEG RO
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 475.00	

Full Name (Last, First, Middle Initial) C. Wayne P Merkelson		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105813
Mailing Address One Health Plaza		Amount of Each Receipt this Period 69.24
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Finance Corporation	Occupation Tax - Vice President
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 657.78	

SUBTOTAL of Receipts This Page (optional).....▶	211.54
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 58 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Susan J Millard
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer CIBA Vision Corporation Occupation HR - CEG ROLE
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 475.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105263
 Amount of Each Receipt this Period
 50.00

B. Donald J Miller
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Managed Care Marketing - Direc
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106091
 Amount of Each Receipt this Period
 23.08

C. Laurie C Mills
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Clinical Operations Occupation CRM - Spec II
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 263.15

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105277
 Amount of Each Receipt this Period
 27.70

SUBTOTAL of Receipts This Page (optional).....▶	100.78
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 59 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Michael Moore

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Tech/Sci Sales Svc-NAHPSV-Sr

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105233

Amount of Each Receipt this Period **23.08**

Full Name (Last, First, Middle Initial)
B. Stacey L Moore

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation BU Account Manager I

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **334.18**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105577

Amount of Each Receipt this Period **35.32**

Full Name (Last, First, Middle Initial)
C. Glenn H Morton

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Project Management - Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **1075.79**

Date of Receipt **09 / 30 / 2013**

Transaction ID : A2013-4105714

Amount of Each Receipt this Period **20.00**

SUBTOTAL of Receipts This Page (optional)..... **78.40**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 60 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Polly L Murphy
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Specialist
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **266.76**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4106095
Amount of Each Receipt this Period **28.08**

B. Vasant Narasimhan
Full Name (Last, First, Middle Initial)
Mailing Address 350 Massachusetts Avenue
City Cambridge State MA Zip Code 02139
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Vaccines & Diagnostics Occupation COMMERCIAL & GEN MGMT - CEG RO
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **876.85**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4105361
Amount of Each Receipt this Period **92.30**

C. Clifford R Neukrug
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **219.26**

Date of Receipt **09 / 30 / 2013**
Transaction ID : A2013-4106235
Amount of Each Receipt this Period **23.08**

SUBTOTAL of Receipts This Page (optional)..... **143.46**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 61 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. An V Nguyen		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4106195
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sys Anlys and Programming - As	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. James R Niebanck		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105682
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="28.08"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Operations Research - Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="266.76"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Sharon L Nobles		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID : A2013-4105557
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period
		<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	BU Account Manager II	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="74.24"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 62 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Hugh M O'Dowd
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105579

Amount of Each Receipt this Period
46.16

B. Shawn O'Neil
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106333

Amount of Each Receipt this Period
92.30

C. Michael S Oehrlein
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation VP Oncology Sales

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1200.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106100

Amount of Each Receipt this Period
150.00

SUBTOTAL of Receipts This Page (optional).....▶	288.46
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 63 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Sharon N Olmstead
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Fed and Legislative Affairs -

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106350

Amount of Each Receipt this Period
46.16

B. John J Orloff
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation DEVELOPMENT & MEDICAL - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106101

Amount of Each Receipt this Period
23.08

c. Craig S Osten
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Controller Corporate - Vice Pr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105303

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional).....▶	115.40
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 64 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Serafina Oxner
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Strategic Planning - Executive
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **438.52**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105830
 Amount of Each Receipt this Period
46.16

B. John M Pakulski
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Sandoz Inc. Occupation Reg Aff Approval - Ex Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **876.85**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105298
 Amount of Each Receipt this Period
92.30

C. Joseph S Palumbo
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Organization Devel - Asoc Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105789
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....	161.54
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 65 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Melissa A Parker
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Regional Director Acct Mgmt
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105865
 Amount of Each Receipt this Period
27.70

B. Gregory A Peters
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation BU Sr Account Manager
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105560
 Amount of Each Receipt this Period
23.08

C. David Picard
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Sandoz Inc. Occupation Top Mktg and Sales Ex- VP
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105294
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....	73.86
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 66 OF 97
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Elvira Ponce

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Novartis Vaccines & Diagnostics Medical Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
219.26

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105357

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
B. Elizabeth Power

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Novartis Vaccines & Diagnostics External Communications - Dire

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
300.00

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105366

Amount of Each Receipt this Period
60.00

Full Name (Last, First, Middle Initial)
C. Stuart Raetzman

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Alcon Laboratories Inc. COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
207.72

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105111

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... ► **106.16**

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:	PAGE 67 OF 97
	(check only one)	
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Anne Ramsey
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation District Sales Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **380.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105368

Amount of Each Receipt this Period
40.00

B. Marc B Reuss
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation HR - CEG ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **450.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105363

Amount of Each Receipt this Period
50.00

C. Margaret Riccobono
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Training - Assoc Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105295

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **113.08**

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 68 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edward C Richards
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Mfg Plant Mgmt - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105196

Amount of Each Receipt this Period
30.76

B. Kevin T Rigby
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation CORPORATE AFFAIRS - CEG ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2192.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105784

Amount of Each Receipt this Period
230.76

C. Randi C Roberts
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation VP Oncology Sales

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106115

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional).....▶	307.68
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 69 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Allan P Robinson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation PC Sr Area Business Leader

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106239

Amount of Each Receipt this Period
23.08

B. Diane M Rogers
Full Name (Last, First, Middle Initial)

Mailing Address 608 Fifth Avenue

City New York State NY Zip Code 10020

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Sales Management-V&D - Vice Pr

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105359

Amount of Each Receipt this Period
27.70

C. Rodney M Roggow
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105493

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....▶	73.86
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 70 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas M Ruggia Jr.
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Business Devel - Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 225.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105144
 Amount of Each Receipt this Period
 25.00

B. Jason T Russell
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 552.18

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105448
 Amount of Each Receipt this Period
 58.48

c. Alan D Ryan
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Assoc Dir Account Mgmt
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 218.50

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106238
 Amount of Each Receipt this Period
 23.00

SUBTOTAL of Receipts This Page (optional).....▶	106.48
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 71 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Peter Rzewnicki
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue
350 MA # 234F

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Product Marketing - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
219.26

Date of Receipt
09 / 30 / 2013
Transaction ID : A2013-4105311

Amount of Each Receipt this Period
23.08

B. Ahmad M Saad
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Engineering-Test - Senior Cons

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
219.26

Date of Receipt
09 / 30 / 2013
Transaction ID : A2013-4105195

Amount of Each Receipt this Period
23.08

C. David A Schoening
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation QA Mgmt-Plant - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
292.22

Date of Receipt
09 / 30 / 2013
Transaction ID : A2013-4105189

Amount of Each Receipt this Period
30.76

SUBTOTAL of Receipts This Page (optional)..... ▶ 76.92

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 72 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. S. M Seeland
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Regional Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105930

Amount of Each Receipt this Period
23.08

B. Christopher Sellin
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Business Process Anlys - Sr Co

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106273

Amount of Each Receipt this Period
27.70

C. Christi L Shaw
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
M M / D D / Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106334

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... **96.94**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 73 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Sherrie L Simms
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation HR Generalist - Ex Dir
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106130
 Amount of Each Receipt this Period
23.08

B. Joseph M Simon
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation MS Executive Specialist
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **350.74**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105608
 Amount of Each Receipt this Period
36.92

C. Michael F Smith
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Clinical Devel Operations - VP
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106332
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....▶	83.08
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 74 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Katherine E Solon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs - Executive

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2192.22**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106182

Amount of Each Receipt this Period
230.76

B. William S Spelta
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Area Sales Mgr - Oncology

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **266.76**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105433

Amount of Each Receipt this Period
28.08

C. Robert A Spurr
Full Name (Last, First, Middle Initial)

Mailing Address 608 Fifth Avenue

City New York State NY Zip Code 10020

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales Mgmt-Onco Mgd Mkts - VP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1600.00**

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4106361

Amount of Each Receipt this Period
200.00

SUBTOTAL of Receipts This Page (optional).....▶	458.84
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 75 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Donald R Stanski		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4106174
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Clinical Research - Vice Presi	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) B. Donald E Stecher		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4105879
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="23.08"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Senior Sp	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>	
<input type="checkbox"/> Other (specify) ▼		

Full Name (Last, First, Middle Initial) C. Lisa A Steelman		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Transaction ID : A2013-4105400
East Hanover	NJ	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<input type="text" value="106.16"/>
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Director	
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="1008.52"/>	
<input type="checkbox"/> Other (specify) ▼		

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="152.32"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 76 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Donald P Stevens
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **657.78**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105508

Amount of Each Receipt this Period
69.24

B. Lesley J Stickley
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105570

Amount of Each Receipt this Period
23.08

C. Peter N Streit
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation MARKETING - DEV ROLE

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105810

Amount of Each Receipt this Period
27.70

SUBTOTAL of Receipts This Page (optional)..... ▶ **120.02**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 77 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Mary R Strickland

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105297

Amount of Each Receipt this Period
46.16

Full Name (Last, First, Middle Initial)
B. Nirupama Subramanian

Mailing Address 350 Massachusetts Avenue
350 MA # 232A

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Clin Rsrch - Ex Dir

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105307

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
C. John Suchorsky

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Relationship Management - Dire

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105781

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... ▶ **115.40**

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 78 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Xiaowei Sun

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation QC Anlys and TstSvcs - Sr Con

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105302

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
B. Thomas A Suter

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106297

Amount of Each Receipt this Period
46.16

Full Name (Last, First, Middle Initial)
C. Richard Tessier

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Engineering Facilities - Direc

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105846

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... ▶ **115.40**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 79 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Barbara A Tombros

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Strategic Planning - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **672.48**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105734

Amount of Each Receipt this Period
71.06

Full Name (Last, First, Middle Initial)
B. Seth A Townsend

Mailing Address 608 Fifth Avenue

City New York State NY Zip Code 10020

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Financial Analysis - Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **240.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4106367

Amount of Each Receipt this Period
60.00

Full Name (Last, First, Middle Initial)
C. Christina M Tremains

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation BU Sr Account Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **590.97**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2013

Transaction ID : A2013-4105886

Amount of Each Receipt this Period
62.42

SUBTOTAL of Receipts This Page (optional)..... **193.48**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 80 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Thomas A Urban
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Executive Sales Specialist
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 291.82

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105401
 Amount of Each Receipt this Period
 30.82

B. Lisa R Utt
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Neuro-Psych Executive Speciali
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 263.15

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105483
 Amount of Each Receipt this Period
 27.70

C. Erwin Vanhaecke
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation PRODUCTION - CEG ROLE
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 253.88

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105151
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional)..... ▶ 81.60
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 81 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Russell E Veitenheimer		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2013-4105506
Name of Employer	Occupation	Amount of Each Receipt this Period
Novartis Pharmaceuticals	PC Sr Area Business Leader	<input type="text" value="23.08"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="219.26"/>	

Full Name (Last, First, Middle Initial) B. William W Voegtli		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2013-4105477
Name of Employer	Occupation	Amount of Each Receipt this Period
Novartis Pharmaceuticals	Reimbursement-Sr Mgr - Senior	<input type="text" value="28.08"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="266.76"/>	

Full Name (Last, First, Middle Initial) C. Andrew J Volante		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2013"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2013-4105673
Name of Employer	Occupation	Amount of Each Receipt this Period
Novartis Pharmaceuticals	COMMERCIAL & GEN MGMT - CEG RO	<input type="text" value="92.30"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="876.85"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="143.46"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 82 OF 97
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Matthew M von Wellsheim		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105117
Mailing Address One Health Plaza		Amount of Each Receipt this Period 46.16
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Alcon Laboratories Inc.	Occupation IT - CEG ROLE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	

Full Name (Last, First, Middle Initial) B. Anthony Wallace		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105317
Mailing Address One Health Plaza Ste 725		Amount of Each Receipt this Period 30.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Vaccines & Diagnostics	Occupation Sales Mgr-Reg-V&D - Ex Dir	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 285.00	

Full Name (Last, First, Middle Initial) C. John Ward		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2013 Transaction ID : A2013-4105362
Mailing Address 350 Massachusetts Avenue		Amount of Each Receipt this Period 23.08
City Cambridge	State MA	Zip Code 02139
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Vaccines & Diagnostics	Occupation General Counsel - Senior Consu	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

SUBTOTAL of Receipts This Page (optional).....▶	99.24
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 83 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Robert K Warner
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation COMMERCIAL & GEN MGMT - CEG RO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105123
 Amount of Each Receipt this Period
46.16

B. James T Wassil
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Clin Rsrch (MD) - Ex Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105365
 Amount of Each Receipt this Period
23.08

C. Jane E Welborn
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Oncology Sr Specialist
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **466.45**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2013
Transaction ID : A2013-4105527
 Amount of Each Receipt this Period
49.10

SUBTOTAL of Receipts This Page (optional)..... **118.34**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 84 OF 97
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. James E Williams		Date of Receipt MM / DD / YYYY 09 / 30 / 2013 Transaction ID : A2013-4106289
Mailing Address One Health Plaza		Amount of Each Receipt this Period 92.30
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Clinical Research (MD) - Princ	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 876.85	

Full Name (Last, First, Middle Initial) B. Brad C Willie		Date of Receipt MM / DD / YYYY 09 / 30 / 2013 Transaction ID : A2013-4105496
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Assoc Dir Account Mgmt	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) C. Stephen A Woolford		Date of Receipt MM / DD / YYYY 09 / 30 / 2013 Transaction ID : A2013-4105827
Mailing Address One Health Plaza		Amount of Each Receipt this Period 46.16
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Financial Analysis - Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	

SUBTOTAL of Receipts This Page (optional).....▶	161.54
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 85 OF 97
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Christine D Wyble
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Medical Information Services -
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
09 / 30 / 2013
Transaction ID : A2013-4106321
 Amount of Each Receipt this Period
23.08

B.
 Full Name (Last, First, Middle Initial)
 Mailing Address
 City State Zip Code
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 Amount of Each Receipt this Period

C.
 Full Name (Last, First, Middle Initial)
 Mailing Address
 City State Zip Code
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....▶	23.08
TOTAL This Period (last page this line number only).....▶	11308.94

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Capital One Bank

Mailing Address 701 Pennsylvania Ave. NW

City Washington State DC Zip Code 20004

Purpose of Disbursement
Bank Service Charge

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For: 2013
 Primary General
 Other (specify) ▼
Not Applicable

State: DC District:

Date of Disbursement

/ /

Transaction ID : B475898

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

/ /

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

/ /

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mark Pryor for US Senate Cmte

Mailing Address 420 C Street NE

City Washington State DC Zip Code 20002

Purpose of Disbursement
Contribution

011

Candidate Name

Mark Pryor

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: AR District:

Date of Disbursement

MM / DD / YYYY
09 / 20 / 2013

Transaction ID : B472940

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

B. Udall for Colorado

Mailing Address 220 I Street NE Suite 250

City Washington State DC Zip Code 20002

Purpose of Disbursement
Contribution

011

Candidate Name

Mark Udall

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: CO District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472930

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. Moran for Kansas

Mailing Address PO Box 1151

City Hays State KS Zip Code 67601

Purpose of Disbursement
Contribution

011

Candidate Name

Jerry Moran

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: KS District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472936

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Roberts for Senate

Mailing Address 1737 H St. NW 2nd Floor

City Washington State DC Zip Code 20006

Purpose of Disbursement
Contribution

011

Candidate Name
Pat Roberts

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: KS District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472938

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Mikulski for Senate

Mailing Address 10 G Street NE Suite 470

City Washington State DC Zip Code 20002

Purpose of Disbursement
Contribution

011

Candidate Name
Barbara A Mikulski

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: MD District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472932

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Dave Camp for Congress

Mailing Address 20 F St. NW Suite 500

City Washington State DC Zip Code 20001

Purpose of Disbursement
Contribution

011

Candidate Name
Dave Camp

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: MI District: 04

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472939

Amount of Each Disbursement this Period

2500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

6000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Citizens for Cochran

Mailing Address 228 S. Washington St. Suite B-20

City Alexandria State VA Zip Code 22314

Purpose of Disbursement Contribution

011

Candidate Name

Thad Cochran

Category/Type

Office Sought: House Senate President

Disbursement For: 2014 Primary General Other (specify) ▼

State: MS District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472937

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Nunnelee for Congress

Mailing Address P.O. Box 7092

City Tupelo State MS Zip Code 38802

Purpose of Disbursement Contribution

011

Candidate Name

Patrick A Nunnelee

Category/Type

Office Sought: House Senate President

Disbursement For: 2014 Primary General Other (specify) ▼

State: MS District: 01

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472934

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Longleaf Pine PAC

Mailing Address 700 13th Street NW #600

City Washington State DC Zip Code 20005

Purpose of Disbursement Contribution

011

Candidate Name

Category/Type

Office Sought: House Senate President

Disbursement For: 2013 Primary General Other (specify) ▼ Not Applicable

State: NC District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472118

Amount of Each Disbursement this Period

3500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

5500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kay Hagan for US Senate

Mailing Address 220 I St. NE Suite 250

City Washington State DC Zip Code 20002

Purpose of Disbursement
Contribution

011

Candidate Name

Kay R Hagan

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	9		2	0	1	3

Transaction ID : B472117

Amount of Each Disbursement this Period

1	5	0	0	.	0	0
---	---	---	---	---	---	---

Full Name (Last, First, Middle Initial)

B. Cory Booker for Senate

Mailing Address PO Box 32237

City Newark State NJ Zip Code 07102

Purpose of Disbursement
Contribution

011

Candidate Name

Cory Booker

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2013
 Primary General
 Other (specify) ▼
Special General

State: NJ District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	9		2	0	1	3

Transaction ID : B472943

Amount of Each Disbursement this Period

1	0	0	0	.	0	0
---	---	---	---	---	---	---

Full Name (Last, First, Middle Initial)

C. Pascrell for Congress

Mailing Address 38 Ivy Street SE

City Washington State DC Zip Code 20003

Purpose of Disbursement
Contribution

011

Candidate Name

William J Pascrell Jr.

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NJ District: 09

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	9		2	0	1	3

Transaction ID : B472941

Amount of Each Disbursement this Period

1	0	0	0	.	0	0
---	---	---	---	---	---	---

SUBTOTAL of Disbursements This Page (optional)..... ▶

3	5	0	0	.	0	0
---	---	---	---	---	---	---

TOTAL This Period (last page this line number only)..... ▶

3	5	0	0	.	0	0
---	---	---	---	---	---	---

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Friends For Harry Reid

Mailing Address 426 C St. NE Rear Building

City Washington State DC Zip Code 20002

Purpose of Disbursement
Contribution

011

Category/
Type

Candidate Name

Harry Reid

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: NV District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
09 / 19 / 2013

Transaction ID : B472942

Amount of Each Disbursement this Period

2500.00

B.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

C.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ▶

2500.00

TOTAL This Period (last page this line number only)..... ▶

22000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Apodaca for NC Senate Cmte

Mailing Address 1504 Fifth Ave West

City Hendersonville State NC Zip Code 28739

Purpose of Disbursement
P-2014 State Senate 48 NC

011

Category/
Type

Candidate Name

Tom Apodaca

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472133

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Cmte to Elect Marilyn Avila

Mailing Address 11312 Derby Lane

City Raleigh State NC Zip Code 27613

Purpose of Disbursement
P-2014 State House 40 NC

011

Category/
Type

Candidate Name

Marilyn Avila

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472126

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Citizens for Tamara Barringer

Mailing Address P.O. Box 5365

City Cary State NC Zip Code 27512

Purpose of Disbursement
P-2014 State Senate 17 NC

011

Category/
Type

Candidate Name

Tamara Barringer

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472138

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William Brisson for NC House

Mailing Address PO Box 531

City State Zip Code
Dublin NC 28332

Purpose of Disbursement
P-2014 State House 22 NC

011

Category/
Type

Candidate Name

William Brisson

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472131

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Brunstetter for NC Senate

Mailing Address 2521 Bitting Rd

City State Zip Code
Winston Salem NC 27104

Purpose of Disbursement
P-2014 State Senate 31 NC

011

Category/
Type

Candidate Name

Pete Brunstetter

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472125

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Justin Burr for NC House

Mailing Address P.O. Box 1966

City State Zip Code
Albemarle NC 28802

Purpose of Disbursement
P-2014 State House 67 NC

011

Category/
Type

Candidate Name

Justin Burr

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472129

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Dan Clodfelter for Senate

Mailing Address 910 East Boulevard

City Charlotte State NC Zip Code 28203

Purpose of Disbursement
P-2014 State Senate 37 NC

011

Category/
Type

Candidate Name

Daniel G Clodfelter

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472135

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Dollar for House

Mailing Address P.O. Box 1352

City Carey State NC Zip Code 27512

Purpose of Disbursement
P-2014 State House 36 NC

011

Category/
Type

Candidate Name

Nelson Dollar

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472132

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Beverly Earle Campaign Cmte

Mailing Address 230 Kingsway Circle

City Charlotte State NC Zip Code 28214

Purpose of Disbursement
P-2014 State House 101 NC

011

Category/
Type

Candidate Name

Beverly Earle

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 19 / 2013

Transaction ID : B472127

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ralph Hise for NC Senate

Mailing Address PO Box 86

City Spruce Pine State NC Zip Code 28777

Purpose of Disbursement
P-2014 State Senate 47 NC

011

Category/
Type

Candidate Name

Ralph E Hise Jr.

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	9		2	0	1	3

Transaction ID : B472136

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

Full Name (Last, First, Middle Initial)

B. Hollo for House

Mailing Address P.O. Box 88

City Taylorsville State NC Zip Code 28681

Purpose of Disbursement
P-2014 State House 73 NC

011

Category/
Type

Candidate Name

Mark Hollo

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	9		2	0	1	3

Transaction ID : B472128

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

Full Name (Last, First, Middle Initial)

C. Darren Jackson for NC House

Mailing Address 1525 Crickett Road

City Raleigh State NC Zip Code 27610

Purpose of Disbursement
P-2014 State House 39 NC

011

Category/
Type

Candidate Name

Darren Jackson

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	9		2	0	1	3

Transaction ID : B472124

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

SUBTOTAL of Disbursements This Page (optional)..... ▶

3	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

TOTAL This Period (last page this line number only)..... ▶

3	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. NC Healthy Leadership Committee

Mailing Address P.O. Box 1054

City Morrisville State NC Zip Code 27560

Purpose of Disbursement
P-2014 State House 41 NC

011

Category/
Type

Candidate Name

Thomas O Murry

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
09 / 20 / 2013

Transaction ID : B473837

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ▶

1000.00

TOTAL This Period (last page this line number only)..... ▶

16000.00