

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐ Check if different than previously reported. (ACC)

Washington

DC

20004-2608

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00033969

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☒ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

08

01

2014

08

31

2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Shawn O'Neil

Signature of Treasurer

Shawn O'Neil

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

09

19

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y 08 / 01 / 2014 To: M M / D D / Y Y Y Y Y 08 / 31 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y</span> 2014		198729.54
(b) Cash on Hand at Beginning of Reporting Period.....	115373.01	
(c) Total Receipts (from Line 19) .....	29185.08	210036.91
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	144558.09	408766.45
7. Total Disbursements (from Line 31) .....	58.87	264267.23
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	144499.22	144499.22
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	8		0	1		2	0	1	4

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	4

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	10618.21	60329.08
(ii) Unitemized .....	14316.87	143457.83
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	24935.08	203786.91
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	24935.08	203786.91
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	4250.00	6250.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	29185.08	210036.91
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	29185.08	210036.91

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	58.87	617.23
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	58.87	617.23
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	252000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	11650.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	58.87	264267.23
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	58.87	264267.23

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	24935.08	203786.91
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	24935.08	203786.91
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	58.87	617.23
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	58.87	617.23

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lisa A Aghabi**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Quality Assurance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 08 / 2014

**Transaction ID : A2014-2102209**

Amount of Each Receipt this Period

800.00

Full Name (Last, First, Middle Initial)

**B. Laman Alani**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Research

Occupation

VP Pharma Product & Tech Dev

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2099901**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**C. Gary J Appio**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head US Safety Risk Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100369**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

858.46

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew Arline**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Key Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100609**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Michael Banko**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD IT Service Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100441**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. DIMITRIOS BARLOS**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product/Brand Management - Sr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100938**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

103.86

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Neilda A Baron**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Ex Dir Medical Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100853**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Tracy L Baroni Allmon**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Exec Director Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

839.95

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100869**

Amount of Each Receipt this Period

120.00

Full Name (Last, First, Middle Initial)

**C. Ted R Benson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Sandoz Inc.

Occupation  
 Manager Area Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2099985**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

250.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Eduardo Blas**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP Information Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099856**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**B. Troy L Borill**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.08

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100559**

Amount of Each Receipt this Period

38.04

Full Name (Last, First, Middle Initial)

**C. Michael D Brooks**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ASM II/Sr Oncol Area Sales Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100264**

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

108.80

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas R Brunner**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 IT Expert 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.20

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100626**

Amount of Each Receipt this Period

70.00

Full Name (Last, First, Middle Initial)

**B. Mayumi K Buckoski**

Mailing Address 475 Green Oaks Parkway

City State Zip Code  
 Holly Springs NC 27540

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Assoc Dir Supply Chain

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100061**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C. Richard Burns**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Dir Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100129**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

127.70

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 11 OF 60

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Patricia A Cannon**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

630.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100930**

Amount of Each Receipt this Period

70.00

Full Name (Last, First, Middle Initial)

## **B. Daniel P Casserly**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Head of Fed Government Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2353.82

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100277**

Amount of Each Receipt this Period

276.92

Full Name (Last, First, Middle Initial)

## **C. Julie A Cave**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

National Acct Scientific Dir

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100736**

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

596.92

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Cynthia C Cetani**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Chf Compliance Offcr/U.S. Hd

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 29 2014

**Transaction ID : A2014-2100630**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

## **B. Atindra N Chaturvedi**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head DataCenter Svcs America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 29 2014

**Transaction ID : A2014-2100373**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

## **C. Barbara Christensen-Boner**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

470.07

Date of Receipt

M M / D D / Y Y Y Y Y  
08 29 2014

**Transaction ID : A2014-2100149**

Amount of Each Receipt this Period

56.68

**SUBTOTAL** of Receipts This Page (optional)..... ►

149.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sean T Clark**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head US Marketing-SRG

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

510.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099802**

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

**B. Cathryn M Clary**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head US Med & Chief Scien Offc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1700.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100931**

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

**C. Noelle L Cloud Dugan**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

VP Global Policy OTC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099969**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

360.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Julie A Collins**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Director Digital Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099803**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Michael A Conley**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exe Dir Account Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100324**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Seth Coombs**

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sr Dir Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099999**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

230.76

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 15 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Eric C Couture**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Global DRA TA Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

340.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100826**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **B. David C Creedon**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir BIM

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100882**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

## **C. Sally R Daniels**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100854**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

120.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William E Darnall**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Director Immunization Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100016**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Terry H Deason**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

National Acct Scientific Dir

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

340.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100921**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C. Candace B Dibblee**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Asc Dir Fegl Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100479**

Amount of Each Receipt this Period

230.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

370.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Anthony M Diorio**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Development Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100074**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Monica M Dunn**

Mailing Address 475 Green Oaks Parkway

City

Holly Springs

State

NC

Zip Code

27540

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Global Bus. Ops - MS&T

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100068**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C. Carter L Dutch**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Regional Account Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100922**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

160.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Carol D DuVall**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Financial Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099844**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**B. David M Eberenz Jr.**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100304**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**C. Fred Ellis**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Professional Affairs Pharma

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099837**

Amount of Each Receipt this Period

30.76

**SUBTOTAL** of Receipts This Page (optional)..... ►

89.22

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael R Emch**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Pharmaceuticals Sr Oncol Area Sales Manager

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100110**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**B. David R Epstein**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Novartis Services Incorporated Head Pharma AG

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100405**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Michael E Fairchild**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Alcon Laboratories Inc. IRIS Area Bus. Deployment Head

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2099853**

Amount of Each Receipt this Period

30.76

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

158.84

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Thomas S Fellers**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Med Acct Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100483**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

## **B. Jon S Freeland**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Dir. Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100923**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Robert M Freeman**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Dir Mfg High Volume

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2099805**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

155.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Debra E Freire**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Advocacy & Ext Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100439**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Neely T Frye**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1037.20

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100338**

Amount of Each Receipt this Period

122.50

Full Name (Last, First, Middle Initial)

**C. Steven J Gabor**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Director Public Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

297.50

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100059**

Amount of Each Receipt this Period

35.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

203.66

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael L Gentry**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP IT Division Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

204.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099843**

Amount of Each Receipt this Period

24.00

Full Name (Last, First, Middle Initial)

**B. Lisa M Goldman**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Regulatory Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100898**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Erwin A Gomez Valladares**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Latin America Service

Occupation

Procurement - Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099971**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

216.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mindy H Goss**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Asc. Dir. Onc. Business Unit A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100644**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Nancy J Grande**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Head Proc Improv & Compliance IMS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100769**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Kris Grzegorzewski**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Onco Ex Dir Clinical Res Phys

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

680.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100873**

Amount of Each Receipt this Period

80.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

210.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph M Guidi**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

340.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100850**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**B. Joseph Guido**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir CSO COE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

335.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100648**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. David E Gulick**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Startegic Market Acc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.04

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100166**

Amount of Each Receipt this Period

60.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

120.00

**TOTAL** This Period (last page this line number only)..... ►



# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kurt Habel**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Asc Dir Incentive Modeling/Des

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100371**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. James P Hafner**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Area Business Leader II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100900**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**C. Philip D Hall**

Mailing Address 6201 South Freeway

City State Zip Code  
Fort Worth TX 76134-2001

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Alcon Laboratories Inc.

Occupation  
Sr Division Manager - SRG

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2099821**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

120.02

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Paul R Hallen**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Global Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2099838**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**B. Sarah E Haller**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Intl Public Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

608.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100322**

Amount of Each Receipt this Period

154.00

Full Name (Last, First, Middle Initial)

**C. Kathy-Jo B Hayden**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Public Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

306.94

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100343**

Amount of Each Receipt this Period

60.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

244.76

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert J Hilkert**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Medical Unit Head Critcl Care

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100815**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. John A Hohneker**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IHC Dev. Franchise Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100393**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. William C Hokanson**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assc Dir Regl Bus Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100283**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

126.16

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Cyrus M Hoseyni**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Gbl Hd IIS and Advanced Quant. Science

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.02

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100884**

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

## **B. Gene M Hughes**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Manager Business Solutions

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

504.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100537**

Amount of Each Receipt this Period

24.00

Full Name (Last, First, Middle Initial)

## **C. Melody Hughson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Director Public Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100902**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

324.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Edgar L Jarvis**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Area Business Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

274.55

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100207**

Amount of Each Receipt this Period

32.30

Full Name (Last, First, Middle Initial)

**B. James P Jogerst**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Bus Dev & Licensing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099890**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**C. Tawfik Kamal**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IACH-Capability Bldg Academy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100412**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

113.06

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sarah G Kan**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir State & Ext Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

902.19

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100945**

Amount of Each Receipt this Period

106.14

Full Name (Last, First, Middle Initial)

**B. Mutlu Karakelle**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Research

Occupation

VP Surgical Devices Research

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099846**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**C. Erik L Karlsons**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MS Sr Area Business Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100664**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

164.60

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas N Kendris**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP General Counsel NPC Country

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100108**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Michael C Kincaid**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Oncology Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100666**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Shannon T Klinger**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Global Head Lgl & Gen Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

923.04

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100000**

Amount of Each Receipt this Period

115.38

**SUBTOTAL** of Receipts This Page (optional)..... ►

235.76

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard E Knapp**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED State & External Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100237**

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

**B. Paul M Kotch**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Regional Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

331.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2099877**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C. Robert W Kowalski**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

SVP Glb Hd DRA & Hd Glb Dev US

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100875**

Amount of Each Receipt this Period

92.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

363.06

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Leigh A Leas**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Public Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

310.60

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100754**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Richard E Lemire**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Engineering-Facilities - Direc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

735.42

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100089**

Amount of Each Receipt this Period

86.52

Full Name (Last, First, Middle Initial)

**C. Lee C Lensky**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ITTP LEAD SOLUTIONS ARCHITECT

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

233.10

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100904**

Amount of Each Receipt this Period

60.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

246.52

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 34 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Lloyd**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

Region Head North America

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2099966**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Jeffrey W Lockwood**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

NIBRI

Occupation

Head NIBR Communications

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2099975**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Konstantine G Lolos**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y  
 08 / 29 / 2014

**Transaction ID : A2014-2100448**

Amount of Each Receipt this Period

28.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

166.54

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Brenda Luckritz**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100172**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **B. Frank Maness**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP North Amer Cross Div HR Svc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100830**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

## **C. Frank Manolios**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100294**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

108.08

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William R Matthews**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

361.38

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100330**

Amount of Each Receipt this Period

42.68

Full Name (Last, First, Middle Initial)

**B. Anne W Mauzy**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Scientific Director

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100673**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C. Arlene J Mc Leer**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Jr. Global Regulatory Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100571**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

102.68

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 37 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Catharine M McBride**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

AD State & External Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100256**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. J'ai B McClellan**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP Health Policy/Govt Rel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2099836**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**c. Christopher B McDonald**

Mailing Address 475 Green Oaks Parkway

City State Zip Code  
Holly Springs NC 27540

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Site Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100062**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

223.06

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

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 (check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Paul J McGinley**

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex. Product Dir.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	29	/	2014

Transaction ID : A2014-2100676

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**B. Edward D McGough**

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

SVP Global Mfg &amp; Tech Ops

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	29	/	2014

Transaction ID : A2014-2099842

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

**C. Edward J McKenna**

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Manager Business Solutions.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
08	/	29	/	2014

Transaction ID : A2014-2100801

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

286.54

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brian J McNamara**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

Division Head OTC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099965**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Gary J Menichini**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Div Head US Pharma Mkt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099801**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Susan J Millard**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head HR Alcon R&D

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099809**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

192.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Laurie C Mills**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Clinical Operations

Occupation

Sr Clinical Research Asc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099972**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**B. Regina M Mitchell**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Senior Vaccines Solution Speci

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

510.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100081**

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

**C. Stacey L Moore**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Resp Integrated Account Spec

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

303.84

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100240**

Amount of Each Receipt this Period

35.90

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

123.60



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James M Murphy**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP/GM Consumer Products

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099859**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**B. Polly L Murphy**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100682**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**C. Vasant K Narasimhan**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

IADE-BU HD Bioph & OI

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

599.95

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100914**

Amount of Each Receipt this Period

46.15

**SUBTOTAL** of Receipts This Page (optional)..... ►

104.99

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James R Niebanck**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Strategy & Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100327**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**B. Hugh M O'Dowd**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Global Head GPS & SD

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100242**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Shawn O'Neail**

Mailing Address 608 Fifth Avenue

City

New York

State

NY

Zip Code

10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Legislative Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

802.25

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100890**

Amount of Each Receipt this Period

110.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

184.24

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sharon N Olmstead**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals VP GL.Hd Reg Policy & Intelli

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 29 2014

**Transaction ID : A2014-2100907**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Craig S Osten**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Vaccines & Diagnostics CFO - NVD US

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 29 2014

**Transaction ID : A2014-2100006**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Serafina Oxner**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
Novartis Pharmaceuticals Ex Dir Healthcare Contract Adm

Receipt For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 29 2014

**Transaction ID : A2014-2100456**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

138.48

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 44 OF 60

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John M Pakulski**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Exec Director Regulatory Affai

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099997**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**B. Melissa A Parker**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Dir Acct Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100487**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**C. Bin Peng**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Onco Ex Dir Clinical Res Phys

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100876**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

170.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Efthimios Petroutsas**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Product Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100788**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Candice C Phipps**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Government Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1700.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100005**

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

## **C. Elizabeth Power**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Dir. Global Media Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

510.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100916**

Amount of Each Receipt this Period

60.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

285.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 46 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Marc B Reuss**

Mailing Address 350 Massachusetts Avenue

City State Zip Code  
 Cambridge MA 02139

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Vaccines & Diagnostics

Occupation  
 Head Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100066**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Edward C Richards**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Alcon Research

Occupation  
 VP General Mgr. Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099915**

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

**C. Kevin T Rigby**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 VP PA NPC Country PA Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100417**

Amount of Each Receipt this Period

230.76

**SUBTOTAL** of Receipts This Page (optional)..... ►

311.52

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Renee C Rodgers**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head Digital Strategy And Svc

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

510.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100909**

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

## **B. Diane M Rogers**

Mailing Address 608 Fifth Avenue

City State Zip Code  
New York NY 10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

VP US Sales - Vaccines

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100063**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

## **C. Jason T Russell**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. Regional Key Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

509.21

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100143**

Amount of Each Receipt this Period

60.42

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

148.12

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph M Ryan**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Therapeutic Area Head

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100927**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Adwoa A Sanderson**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Advocacy & Access

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100953**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. David A Schoening**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP Global QA Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099910**

Amount of Each Receipt this Period

30.76

**SUBTOTAL** of Receipts This Page (optional)..... ►

180.76

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Russell E Seay Jr. Jr.**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Therapeutic Team Dir-MS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100704**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Christopher Sellin**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

CRM Lead

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100829**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**c. Christi L Shaw**

Mailing Address 44 Guinea Hollow Rd

City State Zip Code  
 Lebanon NJ 08833

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

US Country President & President NPC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

466.20

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100891**

Amount of Each Receipt this Period

120.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

177.70

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph M Simon**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MS Exec Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

313.82

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100268**

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

**B. Stephen H Speares**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head Glb Franch Mgmt-Catarac

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.63

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099865**

Amount of Each Receipt this Period

30.78

Full Name (Last, First, Middle Initial)

**C. William S Spelta**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100131**

Amount of Each Receipt this Period

28.08

**SUBTOTAL** of Receipts This Page (optional)..... ►

95.78

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert A Spurr**

Mailing Address 608 Fifth Avenue

City State Zip Code  
 New York NY 10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Oncology Managed Markets

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1700.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100933**

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

**B. Donald P Stevens**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State&External Affrs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

588.54

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100188**

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

**C. Peter N Streit**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Associate Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100442**

Amount of Each Receipt this Period

27.70

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

296.94

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mary R Strickland**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Exec Dir Government Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099996**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. John Suchorsky**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Demand Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100413**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**C. Thomas A Suter**

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir State & External Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100851**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

138.48

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Tessier**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Regional Project Eng

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100469**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Christina M Tremains**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

SENIOR KEY ACCOUNT MANAGER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

537.20

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100505**

Amount of Each Receipt this Period

63.48

Full Name (Last, First, Middle Initial)

**C. Harshini D Turner**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Clin Rsrch (MD) - Sr Spec Onco

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100877**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

139.64

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas A Urban**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ExecRespiratorySalesSpecialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

267.10

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100104**

Amount of Each Receipt this Period

31.64

Full Name (Last, First, Middle Initial)

**B. Lisa R Utt**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Primary Care Sales Specialis

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100167**

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

**C. Edward S Vander Veen**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

North America Comm Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100724**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

89.34

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 55 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William W Voegtli**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Reimbursement Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100163**

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

**B. Andrew J Volante**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

World Head Health Outc Val Access ONC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100318**

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

**C. Christen L Volk**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr. AD-National Accounts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100929**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

150.38

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew M von Wellsheim**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP IT & CIO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2099845**

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

**B. Anthony J Wallace**

Mailing Address One Health Plaza  
Ste 725

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Commercial Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2100020**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C. Robert K Warner**

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head US & Canada

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y  
08 / 29 / 2014

**Transaction ID : A2014-2099852**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

122.32

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 OF 60  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stephanie B Waugh**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Alcon Laboratories Inc.

Occupation  
 Product Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2099948**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Jane E Welborn**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Sr Oncology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

417.35

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100205**

Amount of Each Receipt this Period

49.10

Full Name (Last, First, Middle Initial)

**C. Stephen A Woolford**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Novartis Pharmaceuticals

Occupation  
 Ex Dir Business Plang & Analy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 29 / 2014

**Transaction ID : A2014-2100453**

Amount of Each Receipt this Period

46.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

125.26

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Nuray Yurt**

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Operations Research - Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 08 29 2014

**Transaction ID : A2014-2100862**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

30.00

10618.21

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Cantor for Congress**

Mailing Address P.O. Box 17813

City  
Richmond

State  
VA

Zip Code  
23226

FEC ID number of contributing  
federal political committee.

**C** C00355461

Name of Employer

Occupation

Receipt For: 2014

☐ Primary ☒ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4250.00

Date of Receipt

**08** / **06** / **2014**

**Transaction ID : A2014-11762**

Amount of Each Receipt this Period

4250.00

Partial refund of contribution dated 4/28/14

Full Name (Last, First, Middle Initial)

## **B.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

## **C.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

4250.00

4250.00

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 60 OF 60

☒ 21b    ☐ 22    ☐ 23    ☐ 24    ☐ 25    ☐ 26  
☐ 27    ☐ 28a    ☐ 28b    ☐ 28c    ☐ 29    ☐ 30b

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NAME OF COMMITTEE (In Full)

**Novartis Corporation Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Capital One Bank**

Mailing Address 701 Pennsylvania Ave. NW

City Washington State DC Zip Code 20004

Purpose of Disbursement  
Bank Service Charge

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☐ Primary ☐ General  
☒ Other (specify) ▼

State: District: Not Applicable

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
08 / 26 / 2014**Transaction ID : B531929**

Amount of Each Disbursement this Period

58.87

**B.**

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

**C.**

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

58.87

58.87