

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2608

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00033969

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☒

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

07

01

2007

through

07

31

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dan P. Casserly

Signature of Treasurer

Electronically Filed by Dan P. Casserly

Date

08

17

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	7	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	7	3	1	2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2007</span>		92392.10
(b) Cash on Hand at Beginning of Reporting Period .....	87554.27	
(c) Total Receipts (from Line 19) .....	31131.80	203504.53
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	118686.07	295896.63
7. Total Disbursements (from Line 31) .....	19.75	177230.31
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	118666.32	118666.32
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	7	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	7	3	1	2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	12451.33	62581.28
(i) Itemized (use Schedule A) .....	18680.47	140923.25
(ii) Unitemized .....	31131.80	203504.53
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➤	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➤	31131.80	203504.53
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	31131.80	203504.53
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	31131.80	203504.53

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)	0.00	0.00
(i) Federal Share.....		
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	19.75	19.75
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➡	19.75	19.75
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	160500.00
24. Independent Expenditure (use Schedule E) .....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	610.56
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....	0.00	610.56
29. Other Disbursements.....	0.00	16100.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share .....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	19.75	177230.31
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....	19.75	177230.31

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	31131.80	203504.53
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	610.56
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	31131.80	202893.97
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	19.75	19.75
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	19.75	19.75

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Joseph J Affuso

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive Director Environment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

927.72

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224945

Amount of Each Receipt this Period

133.46

Full Name (Last, First, Middle Initial)

**B.** Gary J Appio

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Asc Medical Info Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224574

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C.** Frank J Arena

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP Sales Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224691

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

213.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 7 / 53

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Andrew K Arline			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225229	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sales Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Michael Banko			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224690	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Systems Consulting Project Ldr		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Elisa Bauer			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1223909	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Med Info Spec/Sr Med Info Asso		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

130.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 53

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Beck			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224925	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 75.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Exec Dir Field Analysis&Incent		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 525.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Timothy C Bedman			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224630	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 42.68	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sr Scientist I		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 295.08		
<b>C.</b> Full Name (Last, First, Middle Initial) Brenda Blanchard			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224014	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 416.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Services Incorporated		Occupation VP Alliance Development		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2912.00		

**SUBTOTAL** of Receipts This Page (optional) .....

533.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Rainer Boehm			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224658	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 150.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation VP Global Marketing Oncology		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1050.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Peri K Bonner			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224309	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 47.88	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation District Manager II		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 332.16		
<b>C.</b> Full Name (Last, First, Middle Initial) Troy L Borill			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224979	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 32.87	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sales Consultant		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 227.35		

**SUBTOTAL** of Receipts This Page (optional) .....

230.75

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Leonard J Brandt			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224669	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Director Business Planning &		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		
<b>B.</b> Full Name (Last, First, Middle Initial) George G Bretz			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225006	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Area Sales Manager II		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Ellen M Browne			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224760	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Asc Dir Syst Devel		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

130.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 11 / 53

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Deborah A Bumbaugh Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Dir Gov't Affiars Pharmaceut Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 700.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224489 Amount of Each Receipt this Period 100.00
<b>B.</b> Full Name (Last, First, Middle Initial) Richard Burns Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Dir Resp/Derm SIs Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1223892 Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) Catherine T Burton Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Exec Dir Statistics Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 836.85			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224904 Amount of Each Receipt this Period 120.39

**SUBTOTAL** of Receipts This Page (optional) .....

250.39

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Ronald M Califre			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224664	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 416.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Sr VP R&D		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2912.00		
<b>B.</b> Full Name (Last, First, Middle Initial) James P Carey			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224914	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 72.90	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Exec Dir Public Health Policy		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 504.68		
<b>C.</b> Full Name (Last, First, Middle Initial) James L Carrico			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224195	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 30.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation District Manager I		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		

**SUBTOTAL** of Receipts This Page (optional) .....

518.90

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)

Daniel P Casserly

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Services Incorporated

Occupation  
Dir Government Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1400.00

Date of Receipt

M M / D D / Y Y Y Y  
 0 7 / 2 0 / 2 0 0 7

Transaction ID: A2007-1224355

Amount of Each Receipt this Period

200.00

**B.** Full Name (Last, First, Middle Initial)

Steven J Catalano

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Vice President - Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

580.00

Date of Receipt

M M / D D / Y Y Y Y  
 0 7 / 2 0 / 2 0 0 7

Transaction ID: A2007-1224947

Amount of Each Receipt this Period

84.00

**C.** Full Name (Last, First, Middle Initial)

Atindra N Chaturvedi

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Group Head E-Commerce

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y  
 0 7 / 2 0 / 2 0 0 7

Transaction ID: A2007-1224583

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

334.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Barbara Christensen-Boner			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1223947	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 48.61	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Assoc Director Gov't Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 336.09		
<b>B.</b> Full Name (Last, First, Middle Initial) Christina M Clinton			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225667	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 300.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Paulo F Costa			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1223785	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 416.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Services Incorporated		Occupation President & CEO Pharma		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2912.00		

**SUBTOTAL** of Receipts This Page (optional) .....

514.61

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Eric Dammeyer			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224241	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Dir Life Cycl Mgt Ac		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 320.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Donald W DeGolyer			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224693	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 40.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation VP US Managed Markets		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 280.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Ronald E Dei Cas			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224901	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

140.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Robert J Derbes			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224130	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 35.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Senior Area Sales Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 245.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Kimberly A Derchak			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224336	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Candace B Dibblee			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224782	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 200.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Services Incorporated		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1062.66		

**SUBTOTAL** of Receipts This Page (optional) .....

265.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) David P Drake			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224580	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 164.01	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Services Incorporated		Occupation Exec Dir Fed Gov't Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1119.87		
<b>B.</b> Full Name (Last, First, Middle Initial) David M Eberenz Jr.			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224438	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sales Consultant		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>C.</b> Full Name (Last, First, Middle Initial) James A Edwards			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224941	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation VP Materials Management		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

244.01

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) James R Elkin		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224019
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 416.00
Name of Employer Novartis Services Incorporated	Occupation VP Federal Gov't Relations	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2912.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Jeffrey J Elton		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1225310
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer NIBRI	Occupation Sales Manager	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 350.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Steven A Engelhardt		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224636
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 188.02
Name of Employer Novartis Pharmaceuticals	Occupation VP Sales	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1298.00	

**SUBTOTAL** of Receipts This Page (optional) .....

654.02

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) David R Epstein			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224638	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 100.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation President Novartis Oncology		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 700.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher Esposito			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224952	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 75.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation District Manager II		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 265.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Eric W Evans			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225312	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 100.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sales Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 300.00		

**SUBTOTAL** of Receipts This Page (optional) .....

275.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Robert E Fee			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224763	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 66.21	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive Director Informatio		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 458.76		
<b>B.</b> Full Name (Last, First, Middle Initial) Paul Frederick			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224467	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 46.72	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation District Manager II		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 323.44		
<b>C.</b> Full Name (Last, First, Middle Initial) Debra E Freire			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224685	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation VP Diversity		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

162.93

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Neely T Frye		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224524
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 108.88
Name of Employer Novartis Pharmaceuticals	Occupation EXECUTIVE	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 749.68	

<b>B.</b> Full Name (Last, First, Middle Initial) Albert Galloza		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1223882
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 40.00
Name of Employer Novartis Pharma Suffern	Occupation Pharma Operator MSP	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Valerie L Gerbino		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224829
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals	Occupation Associate Dir Customer Mktg	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 210.00	

**SUBTOTAL** of Receipts This Page (optional) .....

178.88

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Thomas E Giles Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation ExDirGovAffrsFedHlthcrPolicy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1225.00		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224692 Amount of Each Receipt this Period 175.00
<b>B.</b> Full Name (Last, First, Middle Initial) Robert Gines Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation ExDr Intrnl Adt/Rgl Adt Mgr NA Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 700.00		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224325 Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Alex Gorsky Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation CEO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1400.00		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1225331 Amount of Each Receipt this Period 200.00

**SUBTOTAL** of Receipts This Page (optional) .....

475.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Ronald E Graham			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224176	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 42.93	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Assoc Director Gov't Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 296.87		
<b>B.</b> Full Name (Last, First, Middle Initial) Mark D Grebenau			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224948	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 151.46	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Dir Medical Services		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 978.17		
<b>C.</b> Full Name (Last, First, Middle Initial) Kurt Habel			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224575	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Mgr Ops Research		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

244.39

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Sarah E Haller			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224484	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 78.55	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Services Incorporated		Occupation Dir Int'l & Public Affairs		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 542.75		
<b>B.</b> Full Name (Last, First, Middle Initial) Jeffrey W Hardy			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225344	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sales Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Daryl Harvey			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224114	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 40.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Area Sales Manager II		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 280.00		

**SUBTOTAL** of Receipts This Page (optional) .....

148.55

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 53

(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Jerilyn Hayes Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Manager Systems Development Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 245.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224646 Amount of Each Receipt this Period 35.00
<b>B.</b> Full Name (Last, First, Middle Initial) Cynthia Hogan Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals VP Business Unit Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 350.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1223816 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Woodson M Hopkins Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Regional Director Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 270.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1225028 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

135.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Gene M Hughes			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224939	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Oncology Sales Representative		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Mathias Hukkelhoven			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224625	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation VP Head of DRA US		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		
<b>C.</b> Full Name (Last, First, Middle Initial) David S Jones			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1223783	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Area Sales Manager I		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Sheldon Jones Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Finance Corporation Occupation VP Communications Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 511.83			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1223997 Amount of Each Receipt this Period 73.93
<b>B.</b> Full Name (Last, First, Middle Initial) Michael E Kehoe Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Corporation Occupation staff Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1015.30			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 0 6 / 2 0 0 7 <b>Transaction ID:</b> A2007-1158849 Amount of Each Receipt this Period 92.30
<b>C.</b> Full Name (Last, First, Middle Initial) Michael E Kehoe Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Corporation Occupation staff Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1107.60			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1155598 Amount of Each Receipt this Period 92.30

**SUBTOTAL** of Receipts This Page (optional) .....

**258.53**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Barbara K Kennedy			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224660	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Ex Dir Scientific Operations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 675.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Karen L Key			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225645	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Dennis S Keyes			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224327	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 36.98	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation District Manager II		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 254.66		

**SUBTOTAL** of Receipts This Page (optional) .....

111.98

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 53

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Richard E Knapp Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Exec Dir State Govt Af Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1400.00		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>7</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> Transaction ID: A2007-1224253 Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">200.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	7		2	0		2	0	0	7	200.00									
M	M	/	D	D	/	Y	Y	Y	Y																							
0	7		2	0		2	0	0	7																							
200.00																																
<b>B.</b> Full Name (Last, First, Middle Initial) Crystal L Kuntz Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 310.00		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>7</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> Transaction ID: A2007-1225085 Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">50.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	7		2	0		2	0	0	7	50.00									
M	M	/	D	D	/	Y	Y	Y	Y																							
0	7		2	0		2	0	0	7																							
50.00																																
<b>C.</b> Full Name (Last, First, Middle Initial) Keith A LaDue Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Exec Dir Knowledge Mgmt Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 350.00		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>7</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> Transaction ID: A2007-1224564 Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">50.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	7		2	0		2	0	0	7	50.00									
M	M	/	D	D	/	Y	Y	Y	Y																							
0	7		2	0		2	0	0	7																							
50.00																																

SUBTOTAL of Receipts This Page (optional) .....

300.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Sharon Larrison			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224881	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 40.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Dir Special Sales		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 280.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Robert F Lavery			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224665	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 100.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Finance Corporat- ion		Occupation VP Pharma Media Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 700.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Richard E Lemire			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1223797	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 77.40	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharma Suffern		Occupation Exec Director Project Manageme		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 536.56		

**SUBTOTAL** of Receipts This Page (optional) .....

217.40

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 53

(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Gary D Lindenbaum			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224586	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Area Sales Manager II		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Jeffrey W Lockwood			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224770	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer NIBRI		Occupation Dir External Public Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Lon D Lowrey			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1223985	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 125.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Director State Gov't Affairs		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 875.00		

**SUBTOTAL** of Receipts This Page (optional) .....

205.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Francis D Mangieri			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224918	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Assoc Director Gov't Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Mary L Manning			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225004	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 83.33	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Dir New Prod Mktg		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 583.31		
<b>C.</b> Full Name (Last, First, Middle Initial) Kenneth L Massey			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224394	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Ex Dir Scientific Operations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

163.33

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
William R Matthews

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sr. Area Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

267.25

Date of Receipt

**M M / D D / Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1224508

Amount of Each Receipt this Period

38.63

**B.** Full Name (Last, First, Middle Initial)  
G. M Mc Garry

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
District Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

**M M / D D / Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1224757

Amount of Each Receipt this Period

30.00

**C.** Full Name (Last, First, Middle Initial)  
William D Mc Laury

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Director Project Mgmt Plannin

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Date of Receipt

**M M / D D / Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1224357

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional) .....

168.63

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Matthew C Mc Namara Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Regional Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 280.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-1224393</b> Amount of Each Receipt this Period 40.00
<b>B.</b> Full Name (Last, First, Middle Initial) Catharine M McGeehan Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Assoc Director Gov't Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-1224294</b> Amount of Each Receipt this Period 75.00
<b>C.</b> Full Name (Last, First, Middle Initial) Brian McNamara Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Sr. Vice President OTC Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 1 5 / 2 0 0 7 <b>Transaction ID: A2007-1211576</b> Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

165.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Brian McNamara			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 3 1 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1211577	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Sr. Vice President OTC		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 600.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Wayne P Merkelson			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224697	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 75.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Finance Corporat- ion		Occupation Hd Tax Amer M&A Assoc Genl Cou		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 225.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Kathryn C Metcalfe			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225005	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 200.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1400.00		

**SUBTOTAL** of Receipts This Page (optional) .....

325.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) George L Miller			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225590	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 233.25	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Finance Corporation		Occupation Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1616.25		
<b>B.</b> Full Name (Last, First, Middle Initial) Anthony J Mixon			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224403	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 42.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Director Field Analysis		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 294.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Regina C Moran			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224929	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 61.43	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive Director Media Rela		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 426.45		

**SUBTOTAL** of Receipts This Page (optional) .....

336.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Glenn H Morton Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Director Info Security Disas Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 971.44			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-1224552</b> Amount of Each Receipt this Period 141.46
<b>B.</b> Full Name (Last, First, Middle Initial) Marion T Morton Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Product Director Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 325.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-1224676</b> Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Kathleen P Murphy Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Regl Scientific Dir Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 1079.19			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-1224683</b> Amount of Each Receipt this Period 154.17

SUBTOTAL of Receipts This Page (optional) .....

395.63

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Urs A Naegelin Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation EVP & CFO Corporate Finance Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 700.00		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224435 Amount of Each Receipt this Period 100.00
<b>B.</b> Full Name (Last, First, Middle Initial) Dennis J O'Leary Jr. Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation District Manager I Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 306.68		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224530 Amount of Each Receipt this Period 44.18
<b>C.</b> Full Name (Last, First, Middle Initial) Jennifer R Orchard Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Sales Representative Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224044 Amount of Each Receipt this Period 30.00

**SUBTOTAL** of Receipts This Page (optional) .....

174.18

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Serafina Oxner Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Director Reimbursement Mgt Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 250.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224728 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Melissa A Parker Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Regional Director Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224808 Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) Raymond Pawlicki Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation VP IS/CIO Pharma Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 700.00			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224652 Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

180.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Angela K Peck

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Sales Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1223963

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B.** Paul G Pochtar

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive Director Business R

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1388.26

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224595

Amount of Each Receipt this Period

200.26

Full Name (Last, First, Middle Initial)

**C.** Diana Potter

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Dir Scientific Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224590

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

280.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Marilyn Priestley			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224594	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 40.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive Director HRP&D		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 280.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Brian C Prout			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1225664	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 270.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Jose A Ramirez			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224066	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 75.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive Director Systems De		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 525.00		

**SUBTOTAL** of Receipts This Page (optional) .....

165.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Rebecca W Reid Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Sales Consultant Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 299.80		Date of Receipt MM / DD / YYYY 07 / 20 / 2007 <b>Transaction ID:</b> A2007-1224807 Amount of Each Receipt this Period 43.42
<b>B.</b> Full Name (Last, First, Middle Initial) David P Riedel Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Cardio Metabolic Associate Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 451.02		Date of Receipt MM / DD / YYYY 07 / 20 / 2007 <b>Transaction ID:</b> A2007-1224470 Amount of Each Receipt this Period 65.32
<b>C.</b> Full Name (Last, First, Middle Initial) Kevin T Rigby Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation VP Corporate Affairs Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1400.00		Date of Receipt MM / DD / YYYY 07 / 20 / 2007 <b>Transaction ID:</b> A2007-1224649 Amount of Each Receipt this Period 200.00

**SUBTOTAL** of Receipts This Page (optional) .....

308.74

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Brandi K Robinson

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Finance Corporat-  
ion

Occupation  
Executive Director Media Rela

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1223922

Amount of Each Receipt this Period

30.00

**B.** Full Name (Last, First, Middle Initial)  
Patrick Ronan

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1225688

Amount of Each Receipt this Period

250.00

**C.** Full Name (Last, First, Middle Initial)  
Gary E Rosenthal

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
SVP & CFO Pharma

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2912.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224753

Amount of Each Receipt this Period

416.00

**SUBTOTAL** of Receipts This Page (optional) .....

696.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Jason T Russell

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Mgr. Federal Accounts - Gover

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.41

Date of Receipt

**M M / D D / Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1223932

Amount of Each Receipt this Period

51.42

Full Name (Last, First, Middle Initial)

**B.** Tricia R Russo

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Asc Dir State Government Affrs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

**M M / D D / Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1223836

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

**C.** Bette L Schultz

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1511.72

Date of Receipt

**M M / D D / Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1223921

Amount of Each Receipt this Period

218.94

**SUBTOTAL** of Receipts This Page (optional) .....

345.36

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Ronald B Sira Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Security Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>280.00</div>		Date of Receipt <div>07 / 20 / 2007</div> <b>Transaction ID:</b> A2007-1224747 Amount of Each Receipt this Period <div>40.00</div>
<b>B.</b> Full Name (Last, First, Middle Initial) Jay S Smith Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Vice President - Sales Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>500.00</div>		Date of Receipt <div>07 / 20 / 2007</div> <b>Transaction ID:</b> A2007-1224018 Amount of Each Receipt this Period <div>100.00</div>
<b>C.</b> Full Name (Last, First, Middle Initial) Eric M Snedecor Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Director Corporate Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>350.00</div>		Date of Receipt <div>07 / 20 / 2007</div> <b>Transaction ID:</b> A2007-1223784 Amount of Each Receipt this Period <div>50.00</div>

**SUBTOTAL** of Receipts This Page (optional) .....

**190.00**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Katherine E Solon Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1076.25		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1225637 Amount of Each Receipt this Period 155.25
<b>B.</b> Full Name (Last, First, Middle Initial) Lisa A Steelman Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Assoc Director Gov't Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 805.00		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1223821 Amount of Each Receipt this Period 115.00
<b>C.</b> Full Name (Last, First, Middle Initial) Donald P Stevens Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Assoc Director Gov't Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-1224059 Amount of Each Receipt this Period 75.00

**SUBTOTAL** of Receipts This Page (optional) .....

345.25

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Gloria C Stone			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224651	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 64.50	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Director Pubic Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 447.14		
<b>B.</b> Full Name (Last, First, Middle Initial) John Suchorsky			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224645	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Associate Director Individual		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Dennis Sullivan			Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1224316	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Senior Area Sales Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		

**SUBTOTAL** of Receipts This Page (optional) .....

144.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Joseph E Talbott

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP Global Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

565.05

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224373

Amount of Each Receipt this Period

81.51

Full Name (Last, First, Middle Initial)

**B.** Kamran Tavangar

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP Investor Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1223918

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C.** Barbara A Tombros

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Dir Strategic Alliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1341.98

Date of Receipt

M M / D D / Y Y Y Y Y  
07 20 2007

Transaction ID: A2007-1224579

Amount of Each Receipt this Period

67.84

**SUBTOTAL** of Receipts This Page (optional) .....

179.35

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Christina M Tremains Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation District Manager I Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 392.36			Date of Receipt MM / DD / YYYY 07 / 20 / 2007 <b>Transaction ID:</b> A2007-1224863 Amount of Each Receipt this Period 56.78
<b>B.</b> Full Name (Last, First, Middle Initial) Lisa R Utt Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Sales Consultant Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00			Date of Receipt MM / DD / YYYY 07 / 20 / 2007 <b>Transaction ID:</b> A2007-1224008 Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) James W Ventura Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 210.00			Date of Receipt MM / DD / YYYY 07 / 20 / 2007 <b>Transaction ID:</b> A2007-1225037 Amount of Each Receipt this Period 30.00

**SUBTOTAL** of Receipts This Page (optional) .....

116.78

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Andrew J Volante

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Dir Special Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

**M M / D D / Y Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1224474

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B.** Ross D Volk

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Services Incorporated

Occupation  
Exec Dir Corp Secur In

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1750.00

Date of Receipt

**M M / D D / Y Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1224504

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**C.** Cynthia K Walker

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Mgr Reg Acct Proj

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.00

Date of Receipt

**M M / D D / Y Y Y Y Y**  
07 20 2007

Transaction ID: A2007-1224494

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional) .....

380.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 53

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Barbara E Washington		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224498
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 182.35
Name of Employer Novartis Pharmaceuticals	Occupation VP Health Policy	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1263.35	

<b>B.</b> Full Name (Last, First, Middle Initial) Stephan M Webb		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224965
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals	Occupation Dir Resp/Derm SIs	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 350.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Michael D Webster		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224819
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 38.65
Name of Employer Novartis Pharmaceuticals	Occupation Sales Consultant	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 266.87	

**SUBTOTAL** of Receipts This Page (optional) .....

271.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 53

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Jane E Welborn		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224120	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 49.16
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Senior District Manager		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 341.72		
<b>B.</b> Full Name (Last, First, Middle Initial) Stephen A Woolford		Date of Receipt M M / D D / Y Y Y Y Y 0 7 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-1224721	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 50.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Director Business Planning &		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 350.00		

SUBTOTAL of Receipts This Page (optional) .....

99.16

TOTAL This Period (last page this line number only) .....

12451.33

Form/Schedule: **SA11A1**

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

\*\*\*\*\*