

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2608

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00033969

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☒

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

03

01

2007

through

03

31

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dan P. Casserly

Signature of Treasurer

Electronically Filed by Dan P. Casserly

Date

04

17

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M		D	D		Y	Y	Y	Y
0	3		0	1		2	0	0	7

To:

M	M		D	D		Y	Y	Y	Y
0	3		3	1		2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2007</span>		92392.10
(b) Cash on Hand at Beginning of Reporting Period .....	88089.93	
(c) Total Receipts (from Line 19) .....	28244.02	84241.85
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	116333.95	176633.95
7. Total Disbursements (from Line 31) .....	32300.00	92600.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	84033.95	84033.95
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
0	3	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
0	3	3	1	2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	8591.42	17833.70
(i) Itemized (use Schedule A) .....	19652.60	66408.15
(ii) Unitemized .....	28244.02	84241.85
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➤	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➤	28244.02	84241.85
12. Transfers From Affiliated/Other Party Committees .....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	28244.02	84241.85
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	28244.02	84241.85

**DETAILED SUMMARY PAGE**

of Disbursements

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Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		31500.00	87000.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	0.00
(b) Political Party Committees		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	0.00
29. Other Disbursements.....		800.00	5600.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		32300.00	92600.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		32300.00	92600.00

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	28244.02	84241.85
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	28244.02	84241.85
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Joseph J Affuso Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Director Environment Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 393.88		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-531345</b> Amount of Each Receipt this Period 133.46
<b>B.</b> Full Name (Last, First, Middle Initial) Joan Antokol Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Global Regulatory Advisor Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 540.81		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-531424</b> Amount of Each Receipt this Period 180.27
<b>C.</b> Full Name (Last, First, Middle Initial) Michael Beck Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Exec Dir Field Analysis&Incent Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 225.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-531325</b> Amount of Each Receipt this Period 75.00

**SUBTOTAL** of Receipts This Page (optional) .....

**388.73**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Brenda Blanchard Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation VP Alliance Development Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1248.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530462 Amount of Each Receipt this Period 416.00
<b>B.</b> Full Name (Last, First, Middle Initial) Rainer Boehm Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation VP Global Marketing Oncology Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 450.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531069 Amount of Each Receipt this Period 150.00
<b>C.</b> Full Name (Last, First, Middle Initial) Deborah A Bumbaugh Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Dir Gov't Affairs Pharmaceut Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530911 Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

666.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Catherine T Burton Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Statistics Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 355.29		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531304 Amount of Each Receipt this Period 120.39
<b>B.</b> Full Name (Last, First, Middle Initial) Ronald M Califre Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Sr VP R&D Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1248.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531075 Amount of Each Receipt this Period 416.00
<b>C.</b> Full Name (Last, First, Middle Initial) James P Carey Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Public Health Policy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 213.08		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531314 Amount of Each Receipt this Period 72.90

**SUBTOTAL** of Receipts This Page (optional) .....

609.29

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Daniel P Casserly

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Services Incorporated

Occupation  
Dir Government Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y  
03 / 20 / 2007

Transaction ID: A2007-530785

Amount of Each Receipt this Period

200.00

**B.** Full Name (Last, First, Middle Initial)  
Steven J Catalano

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Vice President - Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

244.00

Date of Receipt

M M / D D / Y Y Y Y  
03 / 20 / 2007

Transaction ID: A2007-531347

Amount of Each Receipt this Period

84.00

**C.** Full Name (Last, First, Middle Initial)  
Paulo F Costa

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Services Incorporated

Occupation  
President & CEO Pharma

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1248.00

Date of Receipt

M M / D D / Y Y Y Y  
03 / 20 / 2007

Transaction ID: A2007-530242

Amount of Each Receipt this Period

416.00

**SUBTOTAL** of Receipts This Page (optional) .....

700.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Candace B Dibblee			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-531180	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 90.16	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Services Incorporated		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 262.66		
<b>B.</b> Full Name (Last, First, Middle Initial) Joseph J Donner			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7	
Mailing Address 608 Fifth Avenue			<b>Transaction ID:</b> A2007-531355	
City State Zip Code New York NY 10020			Amount of Each Receipt this Period 126.19	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Dir Internal Communications		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 370.03		
<b>C.</b> Full Name (Last, First, Middle Initial) David P Drake			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-530996	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 164.01	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Services Incorporated		Occupation Exec Dir Fed Gov't Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 463.83		

**SUBTOTAL** of Receipts This Page (optional) .....

380.36

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 31

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) James R Elkin Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation VP Federal Gov't Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1248.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530467 Amount of Each Receipt this Period 416.00
<b>B.</b> Full Name (Last, First, Middle Initial) Steven A Engelhardt Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation VP Sales Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 545.92		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531050 Amount of Each Receipt this Period 188.02
<b>C.</b> Full Name (Last, First, Middle Initial) David R Epstein Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation President Novartis Oncology Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531052 Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....**704.02****TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Neely T Frye Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation EXECUTIVE Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 314.16		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530945 Amount of Each Receipt this Period 108.88
<b>B.</b> Full Name (Last, First, Middle Initial) Thomas E Giles Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation ExDirGovAffrsFedHlthcrPolicy Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 525.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531102 Amount of Each Receipt this Period 175.00
<b>C.</b> Full Name (Last, First, Middle Initial) Robert Gines Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation ExDr Intrnl Adt/Rgl Adt Mgr NA Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530756 Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

**383.88**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Alex Gorsky Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation CEO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 600.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531728 Amount of Each Receipt this Period 200.00
<b>B.</b> Full Name (Last, First, Middle Initial) Mark D Grebenau Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Dir Medical Services Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 372.33		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531348 Amount of Each Receipt this Period 152.46
<b>C.</b> Full Name (Last, First, Middle Initial) Sarah E Haller Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Dir Int'l & Public Affairs Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 228.55		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530906 Amount of Each Receipt this Period 78.55

**SUBTOTAL** of Receipts This Page (optional) .....

**431.01**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Sheldon Jones			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7	
Mailing Address 608 Fifth Avenue			<b>Transaction ID:</b> A2007-530446	
City State Zip Code New York NY 10020			Amount of Each Receipt this Period 73.93	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Finance Corporation		Occupation VP Communications		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 216.11		
<b>B.</b> Full Name (Last, First, Middle Initial) Michael E Kehoe			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 1 6 / 2 0 0 7	
Mailing Address 608 Fifth Avenue			<b>Transaction ID:</b> A2007-436905	
City State Zip Code New York NY 10020			Amount of Each Receipt this Period 92.30	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Corporation		Occupation staff		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 276.90		
<b>C.</b> Full Name (Last, First, Middle Initial) Michael E Kehoe			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 3 0 / 2 0 0 7	
Mailing Address 608 Fifth Avenue			<b>Transaction ID:</b> A2007-466189	
City State Zip Code New York NY 10020			Amount of Each Receipt this Period 92.30	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Corporation		Occupation staff		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 369.20		

**SUBTOTAL** of Receipts This Page (optional) .....

258.53

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 31

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Barbara K Kennedy Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Ex Dir Scientific Operations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 375.00			Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>3</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> Transaction ID: A2007-531071 Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">125.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	3		2	0		2	0	0	7	125.00									
M	M	/	D	D	/	Y	Y	Y	Y																								
0	3		2	0		2	0	0	7																								
125.00																																	
<b>B.</b> Full Name (Last, First, Middle Initial) Richard E Knapp Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Exec Dir State Govt Af Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 600.00			Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>3</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> Transaction ID: A2007-530690 Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">200.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	3		2	0		2	0	0	7	200.00									
M	M	/	D	D	/	Y	Y	Y	Y																								
0	3		2	0		2	0	0	7																								
200.00																																	
<b>C.</b> Full Name (Last, First, Middle Initial) Robert F Lavery Mailing Address 608 Fifth Avenue City New York State NY Zip Code 10020 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Finance Corporation Occupation VP Pharma Media Relations Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>0</td><td>3</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> Transaction ID: A2007-531076 Amount of Each Receipt this Period <table border="1"> <tr> <td colspan="10">100.00</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	0	3		2	0		2	0	0	7	100.00									
M	M	/	D	D	/	Y	Y	Y	Y																								
0	3		2	0		2	0	0	7																								
100.00																																	

SUBTOTAL of Receipts This Page (optional) .....

425.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Richard E Lemire Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Exec Director Project Mgmt Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date ▼ <input type="checkbox"/> Other (specify) ▼ 226.96		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530254 Amount of Each Receipt this Period 77.40
<b>B.</b> Full Name (Last, First, Middle Initial) Lon D Lowrey Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Director State Gov't Affairs Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date ▼ <input type="checkbox"/> Other (specify) ▼ 375.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530434 Amount of Each Receipt this Period 125.00
<b>C.</b> Full Name (Last, First, Middle Initial) Mary L Manning Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Dir New Prod Mktg Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General Aggregate Year-to-Date ▼ <input type="checkbox"/> Other (specify) ▼ 249.99		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531406 Amount of Each Receipt this Period 83.33

**SUBTOTAL** of Receipts This Page (optional) .....

**285.73**

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 31

(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Galen W Mc Crum		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-531428	
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 75.00	
Name of Employer Novartis Pharmaceuticals	Occupation VP Special Field Forces		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 225.00		
<b>B.</b> Full Name (Last, First, Middle Initial) William D Mc Laury		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-530787	
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 100.00	
Name of Employer Novartis Pharmaceuticals	Occupation Director Project Mgmt Plannin		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Catharine M McGeehan		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-530726	
City East Hanover	State NJ	Zip Code 07936	
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 75.00	
Name of Employer Novartis Pharmaceuticals	Occupation Assoc Director Gov't Relations		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 225.00		

**SUBTOTAL** of Receipts This Page (optional) .....

250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 31

(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

A. Full Name (Last, First, Middle Initial)

Kathryn C Metcalfe

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis PharmaceuticalsOccupation  
EXECUTIVE

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y  
03 20 2007

Transaction ID: A2007-531407

Amount of Each Receipt this Period

200.00

B. Full Name (Last, First, Middle Initial)

George L Miller

Mailing Address 608 Fifth Avenue

City State Zip Code  
New York NY 10020

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis Finance Corporat-  
ionOccupation  
Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

683.25

Date of Receipt

M M / D D / Y Y Y Y Y  
03 20 2007

Transaction ID: A2007-531951

Amount of Each Receipt this Period

233.25

C. Full Name (Last, First, Middle Initial)

Glenn H Morton

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis PharmaceuticalsOccupation  
Director Info Security Disas

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

405.60

Date of Receipt

M M / D D / Y Y Y Y Y  
03 20 2007

Transaction ID: A2007-530969

Amount of Each Receipt this Period

141.46

SUBTOTAL of Receipts This Page (optional) .....

574.71

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Kathleen P Murphy Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Regl Scientific Dir Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 462.51			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531093 Amount of Each Receipt this Period 154.17
<b>B.</b> Full Name (Last, First, Middle Initial) Urs A Naegelin Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation EVP & CFO Corporate Finance Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530858 Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Raymond Pawlicki Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation VP IS/CIO Pharma Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 300.00			Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531064 Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

354.17

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Paul G Pochtar Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Director Business R Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 587.22		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531010 Amount of Each Receipt this Period 200.26
<b>B.</b> Full Name (Last, First, Middle Initial) Jose A Ramirez Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Director Systems De Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 225.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530513 Amount of Each Receipt this Period 75.00
<b>C.</b> Full Name (Last, First, Middle Initial) Kevin T Rigby Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals VP Corporate Affairs Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 600.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531061 Amount of Each Receipt this Period 200.00

**SUBTOTAL** of Receipts This Page (optional) .....

475.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Gary E Rosenthal Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation SVP & CFO Pharma Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1248.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-531150 Amount of Each Receipt this Period 416.00
<b>B.</b> Full Name (Last, First, Middle Initial) Tricia R Russo Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Asc Dir State Government Affrs Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 225.00		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530290 Amount of Each Receipt this Period 75.00
<b>C.</b> Full Name (Last, First, Middle Initial) Bette L Schultz Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation VP Business Development Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 635.96		Date of Receipt M M / D D / Y Y Y Y Y 0 3 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-530372 Amount of Each Receipt this Period 218.94

**SUBTOTAL** of Receipts This Page (optional) .....

**709.94**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Katherine E Solon  
Mailing Address 608 Fifth Avenue

City State Zip Code  
New York NY 10020

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Services Incorporated

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

455.25

Date of Receipt

M M / D D / Y Y Y Y  
0 3 / 2 0 / 2 0 0 7

Transaction ID: A2007-531998

Amount of Each Receipt this Period

155.25

**B.** Full Name (Last, First, Middle Initial)  
Lisa A Steelman  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Assoc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Date of Receipt

M M / D D / Y Y Y Y  
0 3 / 2 0 / 2 0 0 7

Transaction ID: A2007-530277

Amount of Each Receipt this Period

115.00

**C.** Full Name (Last, First, Middle Initial)  
Donald P Stevens  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Assoc Director Gov't Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y  
0 3 / 2 0 / 2 0 0 7

Transaction ID: A2007-530506

Amount of Each Receipt this Period

75.00

**SUBTOTAL** of Receipts This Page (optional) .....

345.25

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

A. Full Name (Last, First, Middle Initial)

Joseph E Talbott

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP Global Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.01

Date of Receipt

M M / D D / Y Y Y Y  
03 20 2007

Transaction ID: A2007-530802

Amount of Each Receipt this Period

81.51

B. Full Name (Last, First, Middle Initial)

Barbara A Tombros

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Dir Strategic Alliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.22

Date of Receipt

M M / D D / Y Y Y Y  
03 20 2007

Transaction ID: A2007-530995

Amount of Each Receipt this Period

135.68

C. Full Name (Last, First, Middle Initial)

Ross D Volk

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Services Incorporated

Occupation  
Exec Dir Corp Secur In

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y  
03 20 2007

Transaction ID: A2007-530924

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional) .....

467.19

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 31

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Barbara E Washington

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
VP Health Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

533.95

Date of Receipt

M M / D D / Y Y Y Y  
 0 3 / 2 0 / 2 0 0 7

Transaction ID: A2007-530919

Amount of Each Receipt this Period

182.35

**SUBTOTAL** of Receipts This Page (optional) .....

182.35

**TOTAL** This Period (last page this line number only) .....

8591.42



# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 25 / 31

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Friends of John Boehner

Mailing Address 509 7th Street NW

City  
Washington

State  
DC

Zip Code  
20004

Purpose of Disbursement  
P-2008 U.S. House 08 OH

Candidate Name  
John A Boehner

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: OH District: 08

Transaction ID: B164322

Date of Disbursement

03 / 19 / 2007

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

**B.** Scott Garrett for Congress

Mailing Address 217 Third Street SE

City  
Washington

State  
DC

Zip Code  
20003

Purpose of Disbursement  
P-2008 U.S. House 05 NJ

Candidate Name  
E. Scott Garrett

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NJ District: 05

Transaction ID: B169307

Date of Disbursement

03 / 27 / 2007

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**C.** Friends of Jim Clyburn

Mailing Address 499 S. Capitol St. SW Suite 412

City  
Washington

State  
DC

Zip Code  
20003

Purpose of Disbursement  
P-2008 U.S. House 06 SC

Candidate Name  
James E Clyburn

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: SC District: 06

Transaction ID: B169309

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

2500.00

**SUBTOTAL** of Disbursements This Page (optional) .....

6000.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 26 / 31

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Hastert for Congress Committee

Mailing Address P.O. Box 625

City State Zip Code  
 Batavia IL 60510

Purpose of Disbursement  
 P-2008 U.S. House 14 IL

Candidate Name  
 J. Dennis Hastert

011  
 Category/  
 Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IL District: 14

**Transaction ID: B169310**

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

**B.** Friends of Mike Ferguson

Mailing Address 16 Mount Bethel Rd. #353

City State Zip Code  
 Warren NJ 07059

Purpose of Disbursement  
 P-2008 U.S. House 07 NJ

Candidate Name  
 Mike Ferguson

011  
 Category/  
 Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NJ District: 07

**Transaction ID: B169311**

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

**C.** Issa for Congress

Mailing Address P.O. Box 16021

City State Zip Code  
 Alexandria VA 22302

Purpose of Disbursement  
 P-2008 U.S. House 49 CA

Candidate Name  
 Darrell Issa

011  
 Category/  
 Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CA District: 49

**Transaction ID: B169312**

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

1000.00

**SUBTOTAL** of Disbursements This Page (optional) .....

8000.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 27 / 31

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Pickering for Congress**

Mailing Address 228 South Washington St. Suite B

City Alexandria State VA Zip Code 22314

Purpose of Disbursement  
P-2008 U.S. House 03 MS

Candidate Name  
Charles W Pickering

Office Sought: ☒ House  
☐ Senate  
☐ President

State: MS District: 03

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: B169313

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

## **B. Mark Pryor for US Senate Committee**

Mailing Address 420 C Street NE

City Washington State DC Zip Code 20002

Purpose of Disbursement  
P-2008 U.S. Senate AR

Candidate Name  
Mark Pryor

Office Sought: ☐ House  
☒ Senate  
☐ President

State: AR District:

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: B169314

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

## **C. Committee to Re-Elect Ed Towns**

Mailing Address 438 Lewis Avenue

City Brooklyn State NY Zip Code 11233

Purpose of Disbursement  
P-2008 U.S. House 10 NY

Candidate Name  
Edolphus Towns

Office Sought: ☒ House  
☐ Senate  
☐ President

State: NY District: 10

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: B169316

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

1000.00

**SUBTOTAL** of Disbursements This Page (optional) .....

4000.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 28 / 31

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Dingell for Congress

Mailing Address 80 F Street NW Suite 804

City  
Washington

State  
DC

Zip Code  
10001

Purpose of Disbursement  
P-2008 U.S. House 15 MI

Candidate Name  
John D Dingell

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MI District: 15

**Transaction ID: B169318**

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

**B.** Friends of Roy Blunt

Mailing Address 209 Pennsylvania Ave. SE

City  
Washington

State  
DC

Zip Code  
20003

Purpose of Disbursement  
P-2008 U.S. House 07 MO

Candidate Name  
Roy Blunt

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MO District: 07

**Transaction ID: B169319**

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

**C.** Next Century Fund

Mailing Address 116 South Royal Street

City  
Alexandria

State  
VA

Zip Code  
22314

Purpose of Disbursement  
O-2007 Federal PAC VA

Candidate Name

011  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2007  
☐ Primary ☐ General  
☒ Other (specify) ▼

State: VA District:

Not Applicable

**Transaction ID: B169320**

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

2500.00

**SUBTOTAL** of Disbursements This Page (optional) .....

9500.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 29 / 31

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Salazar for Senate

Mailing Address 422 C St. NE Lower Level

City Washington State DC Zip Code 20002

Purpose of Disbursement  
P-2010 U.S. Senate CO

Candidate Name  
Ken Salazar

011  
Category/  
Type

Office Sought: ☐ House  
☒ Senate  
☐ President

Disbursement For: 2010  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CO District:

Transaction ID: B169321

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**B.** Roberts for Senate

Mailing Address 228 South Washington St.

City Alexandria State VA Zip Code 22314

Purpose of Disbursement  
P-2008 U.S. Senate KS

Candidate Name  
Pat Roberts

011  
Category/  
Type

Office Sought: ☐ House  
☒ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: KS District:

Transaction ID: B169329

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

**C.** Latham for Congress

Mailing Address 217 Third Street SE

City Washington State DC Zip Code 20003

Purpose of Disbursement  
P-2008 U.S. House 04 IA

Candidate Name  
Tom Latham

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IA District: 04

Transaction ID: B169306

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

1000.00

**SUBTOTAL** of Disbursements This Page (optional) .....

4000.00

**TOTAL** This Period (last page this line number only) .....

31500.00

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 30 / 31

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Commonwealth Victory Fund**

Mailing Address P.O. Box 11822

City  
Harrisburg

State  
PA

Zip Code  
17108

Purpose of Disbursement  
O-2007 State Party Cmte PA

Candidate Name

011  
Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State: PA

District:

Disbursement For: 2007  
☐ Primary ☐ General  
☒ Other (specify) ▼

Not Applicable

Transaction ID: B169356

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

## **B. Friends of Ron Raymond**

Mailing Address P.O. Box 3

City  
Ridley Park

State  
PA

Zip Code  
19078

Purpose of Disbursement  
P-2008 State House 162 PA

Candidate Name  
Ron C Raymond

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

State: PA

District: 16

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID: B169322

Date of Disbursement

03 / 28 / 2007

Amount of Each Disbursement this Period

300.00

**SUBTOTAL** of Disbursements This Page (optional) .....

800.00

**TOTAL** This Period (last page this line number only) .....

800.00

Form/Schedule: **SA11A1**

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.