



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C.

RQ-2

June 17, 2024

LISA LISKER, TREASURER
MCCONNELL SENATE COMMITTEE
PO BOX 1496
LOUISVILLE, KY 40201

Response Due Date

07/22/2024

IDENTIFICATION NUMBER: C00193342

REFERENCE: YEAR-END REPORT (10/01/2023 - 12/31/2023)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 3 item(s):

1. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached).

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution(s) to a candidate for federal office in excess of \$3,300 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if, within 60 days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for each option are provided below.

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For reattributions, excessive contributions from individuals can be retained if, within 60 days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor; or (2) the committee reattributes by presumption the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if, within 60 days of receipt, the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election; or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters reattributing or redesignating the contributions in question.

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Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund, redesignate, and/or reattribute the excessive amount will be taken into consideration.

2. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached).

An individual or a political committee other than an authorized committee or a qualified multi-candidate committee may not make a contribution(s) to a candidate for federal office in excess of \$3,300 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and their affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR §§ 110.1(b), (e) and (k), and 102.13(c))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information.

The Commission notes your refund of some of these contributions. Please be reminded that all refunds, redesignations, and reattributions must be made within 60 days of receipt of the contribution. To date, one or more of the apparent excessive contributions have not been refunded, redesignated, or reattributed within the 60-day time frame. These contributions must be refunded immediately.

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters redesignating or reattributing the contributions in question. Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed

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Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund the excessive amount will be taken into consideration.

3. Schedule B of your report discloses disbursements with the purpose of "Void Check Issued 4/25/19 - Payment Not Due" and "Void Check Issued 11/20/11 - Payment Not Due." Please be advised that if the committee received goods or services from a vendor without making any payment for the services rendered, the committee may be in receipt of a prohibited contribution. The Commission notes your additional explanation regarding the committee's corrective action taken for all of these voided checks. 52 U.S.C. § 30118(a) prohibits the receipt of contributions from corporations unless made from separate segregated funds established by the corporations and labor organizations. Any prohibited contribution must be refunded or disgorged. (11 CFR § 103.3(b)(1) and (2)) The refund must be made within 30 days of the treasurer becoming aware of the possible illegality of the contribution. (11 CFR § 103.3(b)(2)) If the identity of the contributor cannot be determined, the committee must disgorge funds to a governmental entity or to a qualified charitable organization described in 26 U.S.C. § 170(c). (Commission Advisory Opinions 1995-19 and 1991-39). Please take and report corrective action or amend your report if these transactions were incompletely or incorrectly disclosed.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for

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Additional Information (RFAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1620.

Sincerely,

A handwritten signature in black ink, appearing to read "Mary Seiler". The signature is fluid and cursive, with the first name "Mary" and last name "Seiler" clearly distinguishable.

Mary Seiler

Senior Campaign Finance Analyst

Apparent Excessive, Prohibited, and Impermissible Contributions
McConnell Senate Committee (C00193342)

Apparent Excessive Contributions from Individuals, Refunded Outside the Permissible 60-Day Timeframe

Contributor Name	Date	Amount	Election
Cantwell, Kerry	12/7/20	\$25.00	P2026
Cantwell, Kerry	12/15/20	\$25.00	P2026
Cantwell, Kerry	12/17/20	\$25.00	P2026
Cantwell, Kerry	12/19/20	\$25.00	P2026
Cantwell, Kerry	12/30/20	\$25.00	P2026
Cantwell, Kerry	1/7/21	\$25.00	P2026
Cantwell, Kerry	5/7/21	\$25.00	P2026
Cantwell, Kerry	5/30/21	\$25.00	P2026
Cantwell, Kerry	6/5/21	\$35.00	P2026
Cantwell, Kerry	6/7/21	\$25.00	P2026
Cantwell, Kerry	6/15/21	\$25.00	P2026
Cantwell, Kerry	6/17/21	\$25.00	P2026
Cantwell, Kerry	6/30/21	\$25.00	P2026
Cantwell, Kerry	8/30/21	\$25.00	P2026
Cantwell, Kerry	9/5/21	\$35.00	P2026
Cantwell, Kerry	9/15/21	\$25.00	P2026
Cantwell, Kerry	9/17/21	\$25.00	P2026
Cantwell, Kerry	9/30/21	\$25.00	P2026
Cantwell, Kerry	10/5/21	\$35.00	P2026
Cantwell, Kerry	10/15/21	\$25.00	P2026
Cantwell, Kerry	10/17/21	\$25.00	P2026
Cantwell, Kerry	10/30/21	\$25.00	P2026
Cantwell, Kerry	11/5/21	\$35.00	P2026
Cantwell, Kerry	11/15/21	\$25.00	P2026
Cantwell, Kerry	11/17/21	\$25.00	P2026
Cantwell, Kerry	11/30/21	\$25.00	P2026
Cantwell, Kerry	12/5/21	\$35.00	P2026
Cantwell, Kerry	12/15/21	\$25.00	P2026
Cantwell, Kerry	12/17/21	\$25.00	P2026
Cantwell, Kerry	12/30/21	\$25.00	P2026
Cantwell, Kerry	1/5/22	\$35.00	P2026
Cantwell, Kerry	1/15/22	\$25.00	P2026
Cantwell, Kerry	1/17/22	\$25.00	P2026
Cantwell, Kerry	1/30/22	\$25.00	P2026
Cantwell, Kerry	2/5/22	\$35.00	P2026
Cantwell, Kerry	2/15/22	\$25.00	P2026
Cantwell, Kerry	2/17/22	\$25.00	P2026
Cantwell, Kerry	2/28/22	\$25.00	P2026

Apparent Excessive, Prohibited, and Impermissible Contributions
McConnell Senate Committee (C00193342)

Cantwell, Kerry	3/5/22	\$35.00	P2026
Cantwell, Kerry	3/15/22	\$25.00	P2026
Cantwell, Kerry	3/17/22	\$25.00	P2026
Cantwell, Kerry	3/30/22	\$25.00	P2026
Cantwell, Kerry	4/5/22	\$35.00	P2026
Cantwell, Kerry	4/15/22	\$25.00	P2026
Cantwell, Kerry	4/17/22	\$25.00	P2026
Cantwell, Kerry	4/30/22	\$25.00	P2026
Cantwell, Kerry	5/5/22	\$35.00	P2026
Cantwell, Kerry	5/15/22	\$25.00	P2026
Cantwell, Kerry	5/17/22	\$25.00	P2026
Cantwell, Kerry	5/30/22	\$25.00	P2026
Cantwell, Kerry	6/5/22	\$35.00	P2026
Cantwell, Kerry	6/15/22	\$25.00	P2026
Cantwell, Kerry	6/17/22	\$25.00	P2026
Cantwell, Kerry	6/30/22	\$25.00	P2026
Cantwell, Kerry	7/5/22	\$35.00	P2026
Cantwell, Kerry	7/15/22	\$25.00	P2026
Cantwell, Kerry	7/17/22	\$25.00	P2026
Cantwell, Kerry	7/30/22	\$25.00	P2026
Cantwell, Kerry	8/5/22	\$35.00	P2026
Cantwell, Kerry	8/15/22	\$25.00	P2026
Cantwell, Kerry	8/17/22	\$25.00	P2026
Cantwell, Kerry	8/30/22	\$25.00	P2026
Cantwell, Kerry	9/5/22	\$35.00	P2026
Cantwell, Kerry	9/15/22	\$25.00	P2026
Cantwell, Kerry	9/17/22	\$25.00	P2026
Cantwell, Kerry	9/30/22	\$25.00	P2026
Cantwell, Kerry	10/5/22	\$35.00	P2026
Cantwell, Kerry	10/15/22	\$25.00	P2026
Cantwell, Kerry	10/17/22	\$25.00	P2026
Cantwell, Kerry	10/30/22	\$25.00	P2026
Cantwell, Kerry	11/5/22	\$35.00	P2026
Cantwell, Kerry	11/15/22	\$25.00	P2026
Cantwell, Kerry	11/17/22	\$25.00	P2026
Cantwell, Kerry	11/30/22	\$25.00	P2026
Cantwell, Kerry	12/5/22	\$35.00	P2026
Cantwell, Kerry	12/15/22	\$25.00	P2026
Cantwell, Kerry	12/17/22	\$25.00	P2026
Cantwell, Kerry	12/30/22	\$25.00	P2026
Cantwell, Kerry	1/5/23	\$35.00	P2026

Apparent Excessive, Prohibited, and Impermissible Contributions
McConnell Senate Committee (C00193342)

Cantwell, Kerry	1/15/23	\$25.00	P2026
Cantwell, Kerry	1/17/23	\$25.00	P2026
Cantwell, Kerry	1/30/23	\$25.00	P2026
Cantwell, Kerry	2/5/23	\$35.00	P2026
Cantwell, Kerry	2/15/23	\$25.00	P2026
Cantwell, Kerry	2/17/23	\$25.00	P2026
Cantwell, Kerry	2/28/23	\$25.00	P2026
Cantwell, Kerry	3/5/23	\$35.00	P2026
Cantwell, Kerry	3/15/23	\$25.00	P2026
Cantwell, Kerry	3/17/23	\$25.00	P2026
Cantwell, Kerry	3/30/23	\$25.00	P2026
Cantwell, Kerry	4/5/23	\$35.00	P2026
Cantwell, Kerry	4/15/23	\$25.00	P2026
Cantwell, Kerry	4/17/23	\$25.00	P2026
Cantwell, Kerry	4/30/23	\$25.00	P2026
Cantwell, Kerry	5/5/23	\$35.00	P2026
Cantwell, Kerry	5/15/23	\$25.00	P2026
Cantwell, Kerry	5/17/23	\$25.00	P2026
Cantwell, Kerry	5/30/23	\$25.00	P2026
Cantwell, Kerry	6/5/23	\$35.00	P2026
Cantwell, Kerry	6/15/23	\$25.00	P2026
Cantwell, Kerry	6/17/23	\$25.00	P2026
Cantwell, Kerry	6/30/23	\$25.00	P2026
Cantwell, Kerry	7/5/23	\$35.00	P2026
Cantwell, Kerry	7/15/23	\$25.00	P2026
Cantwell, Kerry	7/17/23	\$25.00	P2026
Cantwell, Kerry	7/30/23	\$25.00	P2026
Cantwell, Kerry	8/5/23	\$35.00	P2026
Cantwell, Kerry	8/15/23	\$25.00	P2026
Cantwell, Kerry	8/17/23	\$25.00	P2026
Cantwell, Kerry	8/30/23	\$25.00	P2026
Cantwell, Kerry	9/5/23	\$35.00	P2026
Cantwell, Kerry	9/15/23	\$25.00	P2026
Cantwell, Kerry	9/17/23	\$25.00	P2026
Cantwell, Kerry	9/30/23	\$25.00	P2026
Cantwell, Kerry	10/5/23	\$35.00	P2026
Cantwell, Kerry	10/15/23	\$25.00	P2026
Cantwell, Kerry	10/17/23	\$25.00	P2026
Cantwell, Kerry	10/30/23	\$25.00	P2026
Cantwell, Kerry	11/5/23	\$35.00	P2026
Cantwell, Kerry	11/15/23	\$25.00	P2026

Apparent Excessive, Prohibited, and Impermissible Contributions**McConnell Senate Committee (C00193342)**

Cantwell, Kerry	11/17/23	\$25.00	P2026
Cantwell, Kerry	11/30/23	\$25.00	P2026
Cantwell, Kerry	12/5/23	\$35.00	P2026
Cantwell, Kerry	12/15/23	\$25.00	P2026
Cantwell, Kerry	12/17/23	\$25.00	P2026
Cantwell, Kerry	12/30/23	\$25.00	P2026
Cantwell, Kerry	3/3/24	-\$25.00	P2026
Cantwell, Kerry	3/3/24	-\$25.00	P2026
Cantwell, Kerry	3/3/24	-\$35.00	P2026
Cantwell, Kerry	3/3/24	-\$25.00	P2026
Cantwell, Kerry	3/3/24	-\$25.00	P2026
Cantwell, Kerry	3/3/24	-\$25.00	P2026

Apparent Excessive Contributions from Committees

Contributor Name	Date	Amount	Election
National Assn Of Health Underwriters PAC	12/9/21	\$1,000.00	P2026
National Assn Of Health Underwriters PAC	6/30/22	\$1,000.00	P2026
National Assn Of Health Underwriters PAC	10/20/22	\$2,000.00	P2026
National Association of Benefits and Insurance Professionals PAC (NABIP PAC)	12/11/23	\$2,500.00	P2026

Apparent Excessive Contributions from Affiliated Committees

Contributor Name	Date	Amount	Election
National Association Of Federal Credit Unions PAC / NAFCU/PAC / DBA National Association Of Feder...	11/29/21	\$2,500.00	P2026
Culac PAC	12/19/23	\$5,000.00	P2026