Image# 15971219708 PAGE 1 / 40

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

	or Other Than An Auth	iorized Committee	Office Use (Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
Novartis Corporation P	olitical Action Commi	ttee		
ADDRESS (number and street)	701 Pennsylvania Ave. NW S	Suite 725		
Check if different				
than previously reported. (ACC)	Washington		DC 20004-26	608
2. FEC IDENTIFICATION NU	MBER ▼ CITY	Y 🛦	STATE ▲ ZIF	P CODE ▲
C C00033969	3. IS	THIS EPORT X (N) OR	AMENDED (A)	
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5		Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12)
(a) Quarterly Reports:		20 (M3) X Jun 20 (M6)		(Non-Election Year Only)
April 15 Quarterly Report (Q		20 (M4) Jul 20 (M7)	Oct 20 (M10)	Jan 31 (YE)
July 15 Quarterly Report (Q	(C) 12-Day	Primary (12P)	General (12G)	Runoff (12R)
October 15	Report for the:	Convention (12C)	Special (12S)	
Quarterly Report (Q January 31 Year-End Report (YI	Floation	n on		the tate of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Election	n on		the tate of
5. Covering Period 05	01 2015	through 05	31 / 2015	Y
I certify that I have examined thi	s Report and to the best of	my knowledge and belief it is t	rue, correct and complete.	
Type or Print Name of Treasurer	Shawn O'Neail			
Signature of Treasurer Shawi	ı O'Neail	[Electronically Filed]	Date 06 / 19	2015
NOTE: Submission of false, errone	ous, or incomplete information	may subject the person signing	this Report to the penalties	of 2 U.S.C. §437g.
Office Use Only				FORM 3X 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)
Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 05 01 2015 To: 05 31 2015

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2015		193839.62
	(b) Cash on Hand at Beginning of Reporting Period	115585.31	
	(c) Total Receipts (from Line 19)	28441.84	109972.68
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	144027.15	303812.30
7.	Total Disbursements (from Line 31)	24045.24	183830.39
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	119981.91	119981.91
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

utions (other than loans) From: dividuals/Persons Other an Political Committees Itemized (use Schedule A)	Total This Period	26429.74
lan Political Committees Itemized (use Schedule A)	11440.60	26429.74
Itemized (use Schedule A)	11440.60	26429.74
	11440.60	26429.74
Unitemized		
TOTAL (odd	17001.24	83542.94
) TOTAL (add Lines 11(a)(i) and (ii)▶	28441.84	109972.68
olitical Party Committees	0.00	0.00
	0.00	0.00
	0.00	0.00
	28441.84	109972.68
Committees	0.00	0.00
ns Received	0.00	0.00
	, , , , , , , , , , , , , , , , , , , ,	
Repayments Received	0.00	0.00
	7	7
· · · · · · · · · · · · · · · · · · ·	0.00	0.00
	7	7
	0.00	0.00
	7	
· · · · · · · · · · · · · · · · · · ·	0.00	0.00
	7	7
	0.00	0.00
om Ganadala ria,	7	0.00
: F - 1 ((0 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	0.00	0.00
in Funds (from Schedule H5)	7	0.00
al Transfers (add 18(a) and 18(b))	0.00	0.00
	cher Political Committees cuch as PACs)	Anther Political Committees uch as PACs)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures: —	iotai iilis Feliou	Calcilual Teal-10-Date			
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)					
(i) Federal Share	0.00	0.00			
(") N 5 1 1 0	0.00	0.00			
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00			
Expenditures	45.24	330.39			
(c) Total Operating Expenditures	7 7				
(add 21(a)(i), (a)(ii), and (b))▶	45.24	330.39			
. Transfers to Affiliated/Other Party					
Committees Contributions to	0.00	0.00			
Federal Candidates/Committees and Other Political Committees	24000.00	179500.00			
Independent Expenditures	0.00	0.00			
(use Schedule E) Coordinated Party Expenditures	0.00	0.00			
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
(222 23:0000 1)	7 7 7	7 7			
Loan Repayments Made	0.00	0.00			
Leans Made	0.00	0.00			
Loans MadeRefunds of Contributions To:	0.00	5.55			
(a) Individuals/Persons Other Than Political Committees	0.00	0.00			
-					
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees		0.00			
(such as PACs)	0.00	0.00			
(d) Total Contribution Refunds					
(add Lines 28(a), (b), and (c))▶	0.00	0.00			
_					
Other Disbursements	0.00	4000.00			
Federal Election Activity (2 U.S.C. §431(20))					
(a) Allocated Federal Election Activity					
(from Schedule H6)					
(i) Federal Share	0.00	0.00			
		0.00			
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00			
(c) Total Federal Election Activity (add	0.00				
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
Total Disbursements (add Lines 21(c), 22,					
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	24045.24	183830.39			
Total Federal Disbursements					
(subtract Line 21(a)(ii) and Line 30(a)(ii)					
from Line 31)	24045.24	183830.39			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
3. Total Contributions (other than loans) (from Line 11(d), page 3)	28441.84	109972.68		
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00		
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	28441.84	109972.68		
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	45.24	330.39		
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00		
8. Net Operating Expenditures (subtract Line 37 from Line 36)	45.24	330.39		

FOR LINE NUMBER: **PAGE** 6 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael Banko Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1148088 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD IT Service Management Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Neilda A Baron Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1148428 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1148439 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 180.00 С federal political committee. Name of Employer Occupation Exec Director Health Policy Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 399.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedul for each category of t Detailed Summary Pa

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	I Statements may not be sold or used by any persite name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Troy L Borill Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C Occupation Sr. Oncology Specialist Aggregate Year-to-Date ▼	Transaction ID: A2015-1148190 Amount of Each Receipt this Period 59.52
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial)	214.55	
Michael D Brooks Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 05 29 2015 Transaction ID : A2015-1147939
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 60.00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupation ASM II/Sr Oncol Area Sales Mgr Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupation IT Expert 1 Aggregate Year-to-Date ▼ 385.00	
SUBTOTAL of Receipts This Page (optional).	•	224.52
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: **PAGE** 8 OF 40 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Angela D Bylancik Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1147907 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Patricia A Cannon Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1148498 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 105.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name (Last, First, Middle Initial) c. Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1147951 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 415.38 С federal political committee. Name of Employer Occupation Head of Fed Government Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1523.06 Other (specify) 670.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

		R LINE			:	PAGE	9	OF		40
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Cynthia C Cetani Mailing Address One Health Plaza		Date of Receipt
		05 29 2015
City	State Zip Code	Transaction ID : A2015-1148248
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	69.24
Name of Employer	Occupation	
Novartis Pharmaceuticals	VP Chf Compliance Offcr/U.S. Hd	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 253.88	
Full Name (Last, First, Middle Initial) 3. Barbara Christensen-Boner		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City	State Zip Code	Transaction ID : A2015-1147846
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	88.95
Name of Employer Novartis Pharmaceuticals	Occupation Director State&External Affrs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 322.86	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1147588 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	90.00
Name of Employer	Occupation	-
Alcon Laboratories Inc.	Head US Marketing - SRG	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	330.00	
SUBTOTAL of Receipts This Page (optional).	>	248.19
TOTAL This Period (last page this line numb	er only)	

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	and Statements may not be sold or used by any pering the name and address of any political committee	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	05 29 2015 Transaction ID : A2015-1148499
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Head US Med & Chief Scien Offc	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1100.00	
Full Name (Last, First, Middle Initial) 3. Noelle L Cloud Dugan		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State 7in Code	05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1147741 Amount of Each Receipt this Period
FEC ID number of contributing		Amount of Lacif neceipt this Period
federal political committee.	C	150.00
Name of Employer	Occupation	
Novartis Consumer Health Inc.	VP Global Policy OTC	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	550.00	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City	State Zip Code	Transaction ID : A2015-1147589
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	138.45
Name of Employer	Occupation	+
Alcon Laboratories Inc.	Director Digital Mktg	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	507.65	
SUBTOTAL of Receipts This Page (options	al)	588.45
TOTAL This Period (last page this line nur	mber only)	

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or for commercial purposes, other than using t	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Scott G Colpitts Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt M
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Head of Facilities & Utility Maint. (A Aggregate Year-to-Date ▼ 242.00	66.00
Full Name (Last, First, Middle Initial) 3. Michael A Conley Mailing Address One Health Plaza City East Hanover	Date of Receipt 05 29 2015 Transaction ID : A2015-1147988	
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	NJ 07936 C Occupation Exe Dir Account Mgmt Aggregate Year-to-Date ▼	Amount of Each Receipt this Period 69.24
Other (specify) ▼ Full Name (Last, First, Middle Initial) Joseph J Conoshenti Mailing Address One Health Plaza	253.88	Date of Receipt 05 29 2015
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Managed Care Marketing - Director Aggregate Year-to-Date ▼ 330.00	Transaction ID : A2015-1148511 Amount of Each Receipt this Period 90.00
SUBTOTAL of Receipts This Page (optional)		225.24
TOTAL This Period (last page this line number	er only)	

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Use separate schedule(s) for each category of the	(che	eck only	or	ne)						
Detailed Summary Page	<u> </u> ×	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 2015 29 City Zip Code State Transaction ID: A2015-1147772 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation **Executive Director Oncology Injectable** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 507.65 Other (specify) Full Name (Last, First, Middle Initial) B. Eric C Couture Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1148403 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) Full Name (Last, First, Middle Initial) c. Terry H Deason Date of Receipt Mailing Address One Health Plaza 05 29 2015 Zip Code City State Transaction ID: A2015-1148490 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation National Acct Scientific Dir Novartis Pharmaceuticals Receipt For:

TOTAL This Period (last page this line number only)	SUBTOTAL of Receipts This Page (optional)	Ι	Ξ	7	Ξ	Ξ	7	Ξ	2	8.45	<u>;</u>	
	TOTAL This Period (last page this line number only)	_	Ξ	7			7	_	_		_	

220.00

Aggregate Year-to-Date ▼

Primary

Other (specify)

General

FOR LINE NUMBER: PAGE 13 OF 40 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David R Epstein Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1148056 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Head Pharma AG Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Leo A Farber Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1148530 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Exec Dir Fed Govt Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1148123 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Med Acct Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 400.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF 40 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Debra E Freire Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1148086 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Advocacy & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Neely T Frye Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1147999 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 189.27 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 685.71 Other (specify) Full Name (Last, First, Middle Initial) c. Edward G Gajewski Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1147905 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir National & Regional Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 318.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X 11a	11b	11c	12
	13	14	15	16

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) James Gerace Mailing Address One Health Plaza		Date of Receipt 05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1147780 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼	Occupation Oncology Portfolio Account Specialist Aggregate Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial) Lisa M Goldman Mailing Address One Health Plaza		Date of Receipt 05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1148468
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer Novartis Pharmaceuticals	Occupation Exec Dir Regulatory Compliance	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) C. Erwin A Gomez Valladares		Date of Receipt
Mailing Address One Health Plaza		05 29 _ 2015 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1147743 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	138.45
Name of Employer	Occupation	
Novartis Pharmaceuticals Receipt For:	Procurement-MGT GJFA 3	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 507.65	
SUBTOTAL of Receipts This Page (optional)	>	538.45
TOTAL This Period (last page this line number	only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Nancy J Grande		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1148357 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	150.00
Name of Employer Novartis Pharmaceuticals	Occupation Head Proc Improv & Compliance IMS	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) Kris Grzegorzewski		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1148443 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	120.00
Name of Employer Novartis Pharmaceuticals	Occupation Onco Ex Dir Clinical Res Phys	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 440.00	
Full Name (Last, First, Middle Initial) C. Joseph M Guidi		Date of Receipt
Mailing Address One Health Plaza		05 29 2015 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1148425 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Director Commercial Strategy Aggregate Year-to-Date ▼ 220.00	
SUBTOTAL of Receipts This Page (optional).		330.00
TOTAL This Period (last page this line numb	er only)	

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Use separate schedule(s) for each category of the	(check only one)	
Detailed Summary Page	X 11a 11b	11c 12
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Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 847.00							
SUBTOTAL of Receipts This Page (optional)	Ξ	7	_	_		390.24		
TOTAL This Period (last page this line number	Ξ	7	_	_	_			

Zip Code

07936

State

NJ

С

Occupation

VP Intl Public Affairs

231.00

Transaction ID: A2015-1147986

Amount of Each Receipt this Period

City

East Hanover

Name of Employer

FEC ID number of contributing

Novartis Services Incorporated

federal political committee.

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or for commercial purposes, other than using t	Statements may not be sold or used by any pers he name and address of any political committee to							
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee							
Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt M						
federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Director Public Health Policy Aggregate Year-to-Date ▼ 360.00	105.00						
Full Name (Last, First, Middle Initial) Robert J Hilkert Mailing Address One Health Plaza City	Ill Name (Last, First, Middle Initial) Robert J Hilkert ailing Address One Health Plaza ty State Zip Code							
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation Medical Unit Head Critcl Care	Amount of Each Receipt this Period 69.24						
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 253.88							
Full Name (Last, First, Middle Initial) Cyrus M Hoseyni Mailing Address One Health Plaza		Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y						
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Gbl Hd IIS and Advanced Quant. Science Aggregate Year-to-Date ▼ 1100.00	Transaction ID : A2015-1148454 Amount of Each Receipt this Period 300.00						
SUBTOTAL of Receipts This Page (optional).	•	474.24						
TOTAL This Period (last page this line number	er only)							

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or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Charles F Hough Mailing Address One Health Plaza		Date of Receipt O5 29 2015
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Head Strategy and Stakeholder Engageme Aggregate Year-to-Date ▼ 220.00	Transaction ID : A2015-1148421 Amount of Each Receipt this Period 60.00
Full Name (Last, First, Middle Initial) Melody Hughson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt M M
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Ex Director Public Policy Aggregate Year-to-Date ▼ 550.00	
Full Name (Last, First, Middle Initial) Tawfik Kamal Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation IACH-Capability Bldg Academy Aggregate Year-to-Date ▼	Date of Receipt 05 29 2015 Transaction ID : A2015-1148063 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional)	>	260.00
TOTAL This Period (last page this line number	r only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sarah G Kan Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1148515 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 195.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 631.49 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1147810 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VPGeneral CounselNPCCountry Receipt For: Aggregate Year-to-Date ▼ Primary General 507.65 Other (specify) Full Name (Last, First, Middle Initial) c. Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1147773 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 С federal political committee. Name of Employer Occupation Sandoz Inc. Global Head Lgl & Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 576.90 Other (specify) 448.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any per- g the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) A. Richard E Knapp Mailing Address One Health Plaza		Date of Receipt
		05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1147918
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 346.14
Name of Employer Novartis Pharmaceuticals	Occupation ED State & External Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1269.18	
Full Name (Last, First, Middle Initial) Paul M Kotch Mailing Address One Health Plaza	·	Date of Receipt
		05 29 2015
City	State Zip Code NJ 07936	Transaction ID : A2015-1147659
East Hanover FEC ID number of contributing federal political committee.	C 07936	Amount of Each Receipt this Period 60.00
Name of Employer Alcon Laboratories Inc.	Occupation Dir Regional Sales	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	
Full Name (Last, First, Middle Initial) C. Robert W Kowalski		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1148444
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 138.45
Name of Employer	Occupation	+
Novartis Pharmaceuticals	SVP Glb Hd DRA & Hd Glb Dev US	_
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 507.65	
SUBTOTAL of Receipts This Page (options	al)	544.59
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TOTAL This Period (last page this line nur	nper only)	

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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Kathleen M Kulesher		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	05 29 2015 Transaction ID : A2015-1148517
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	75.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Assoc. Dir. State and External Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	
Care. (openly) V		
Full Name (Last, First, Middle Initial) Leigh Anne A Leas		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	05 29 2015
East Hanover	NJ 07936	Transaction ID : A2015-1148345 Amount of Each Receipt this Period
FEC ID number of contributing		Amount of Each receipt this remod
federal political committee.	C	180.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Exec Dir Public Health Policy	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	590.00	
Full Name (Last, First, Middle Initial) C. Richard E Lemire		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City	State Zip Code	Transaction ID : A2015-1147795
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	129.78
Name of Employer	Occupation	
Novartis Pharmaceuticals	Engineering - Facilities-MGT G	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	475.86	
SUBTOTAL of Receipts This Page (optional)	·····	384.78
TOTAL This Period (last page this line numbe	r only)	

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	and Statements may not be sold or used by any pers g the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Lee C Lensky Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation ITTP LEAD SOLUTIONS ARCHITECT Aggregate Year-to-Date ▼ 330.00	Date of Receipt 05 29 2015 Transaction ID : A2015-1148474 Amount of Each Receipt this Period 90.00
Full Name (Last, First, Middle Initial) Richard Lloyd Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head US Oncology Mgd Markets & Mkt Acc Aggregate Year-to-Date ▼ 507.65	Date of Receipt M
Full Name (Last, First, Middle Initial) Jeffrey W Lockwood Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer NIBRI Receipt For: Primary Other (specify) General	State Zip Code NJ 07936 C Occupation Head NIBR Communications Aggregate Year-to-Date ▼ 253.88	Date of Receipt M M
SUBTOTAL of Receipts This Page (options	al)	297.69
TOTAL This Period (last page this line num	nber only)	1

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) William R Matthews Mailing Address One Health Plaza		Date of Receipt
		05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1147993
	140 0/930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	67.23
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Oncol Area Sales Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	241.69	
Full Name (Last, First, Middle Initial) Catharine M McBride		Date of Receipt
Mailing Address One Health Plaza		05 29 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code NJ 07936	Transaction ID : A2015-1147935
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	150.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	AD State & External Affairs	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	523.05	
Full Name (Last, First, Middle Initial) C. Edward D McGough		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-1147627
	140 07930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	346.14
Name of Employer	Occupation	
Alcon Laboratories Inc.	SVP Global Mfg & Tech Ops	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	1269.18	
SUBTOTAL of Receipts This Page (optional)		563.37
TOTAL This Period (last page this line number	<u> </u>	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Brian J McNamara Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1147738 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.45 federal political committee. Name of Employer Occupation **Division Head OTC** Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 507.65 Other (specify) Full Name (Last, First, Middle Initial) B. Gary J Menichini Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1147587 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. VP/GM U.S. Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) c. Susan J Millard Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1147595 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Head HR Alcon R&D Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Novartis Corporation F	Political Action Committee	
Full Name (Last, First, Middle In A. Shawn O'Neail	itial)	Date of Receipt
Mailing Address 608 Fifth Avenu	е	05 29 2015
City	State Zip Code	Transaction ID : A2015-1148461
New York	NY 10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	165.00
Name of Employer	Occupation	
Novartis Services Incorporated	Ex Dir Legislative Affairs	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 605.00	
Full Name (Last, First, Middle In B. Sharon N Olmstead	itial)	Date of Receipt
Mailing Address One Health Plaz	za	05 29 2015
City	State Zip Code	Transaction ID : A2015-1148477
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer Novartis Pharmaceuticals	Occupation VP GL.Hd Reg Policy & Intelli	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	253.88	
Full Name (Last, First, Middle In C. Craig S Osten	itial)	Date of Receipt
Mailing Address One Health Pla	za	05 29 2015
City	State Zip Code	Transaction ID : A2015-1147815
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	-
Novartis Services Incorporated	GSK PMO Finance Lead	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	253.88	
	e (optional)	303.48
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SUBTOTAL of Receipts This Page (optional)	257.69
TOTAL This Period (last page this line number only)	

250.00

Zip Code

07936

Clinical Research (M.D.)-MGT G

Aggregate Year-to-Date ▼

State

NJ

С

Occupation

2015

50.00

29

Transaction ID: A2015-1148445

Amount of Each Receipt this Period

05

City

East Hanover

Name of Employer

Primary

Receipt For:

Information Requested

Other (specify)

FEC ID number of contributing

General

federal political committee.

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Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a 11b 11	11c	12	17

	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Candice C Phipps Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Director Government Affairs Aggregate Year-to-Date ▼	Transaction ID: A2015-1147777 Amount of Each Receipt this Period 300.00
Full Name (Last, First, Middle Initial) B. Elizabeth Power Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Dir. Global Media Relations Aggregate Year-to-Date ▼ 330.00	Date of Receipt M
Full Name (Last, First, Middle Initial) Marc B Reuss Mailing Address 350 Massachusetts Avenue City Cambridge FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation IADE-Head HR Sandoz Aggregate Year-to-Date ▼	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	•	440.00
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FOR LINE NUMBER: PAGE 30 OF 40 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1148067 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 346.14 federal political committee. Name of Employer Occupation VPPA NPCCountry PA Head Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1269.18 Other (specify) Full Name (Last, First, Middle Initial) B. Renee C Rodgers Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1148479 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jason T Russell Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1147841 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.93 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Business Relationship Mgr. Receipt For: Aggregate Year-to-Date ▼ Primary General 355.41 Other (specify) 533.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 31 OF

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
	d Statements may not be sold or used by any per the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Joseph M Ryan Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Therapeutic Area Head Aggregate Year-to-Date ▼	Date of Receipt 05 29 2015 Transaction ID : A2015-1148495 Amount of Each Receipt this Period 150.00
Full Name (Last, First, Middle Initial) Harry J Sacks Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP Medl & Scientific Affairs Aggregate Year-to-Date ▼ 220.00	Date of Receipt 05 29 2015 Transaction ID : A2015-1148501 Amount of Each Receipt this Period 60.00
Full Name (Last, First, Middle Initial) Adwoa A Sanderson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Ex Dir Advocacy & Access Aggregate Year-to-Date ▼ 275.00	Date of Receipt 05 29 2015 Transaction ID : A2015-1148522 Amount of Each Receipt this Period 75.00
SUBTOTAL of Receipts This Page (optional)	······	285.00
TOTAL This Period (last page this line numb	per only)	

	FOR LINE NUMBER:	PAGE 32 OF 40
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Detailed Summary Page	X 11a 11b	11c 12
		15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Mark G Schweitzer Date of Receipt Mailing Address One Health Plaza 2015 29 City State Zip Code Transaction ID: A2015-1148529 07936 East Hanover NJ Amount of Each Receipt this Period FEC ID number of contributing C 150.00 federal political committee. Name of Employer Occupation Gbl Head Analytical Science & Technolog Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christi L Shaw Date of Receipt Mailing Address 44 Guinea Hollow Rd 05 29 2015 City State Zip Code Transaction ID: A2015-1148462 NJ 08833 Lebanon Amount of Each Receipt this Period

	FEC ID number of contributing federal political committee. Name of Employer	C	180.00
	Novartis Pharmaceuticals	US Country President & President NPC	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 660.00	
c.	Full Name (Last, First, Middle Initial) Joseph M Simon		Date of Receipt
	Mailing Address One Health Plaza		05 29 2015
	City	State Zip Code	Transaction ID : A2015-1147943
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	55.38
	Name of Employer	Occupation	
	Novartis Pharmaceuticals	MS Exec Specialist	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 203.06	
			385 38

SUBTOTAL of Receipts This Page (optional).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Robert A Spurr Mailing Address 608 Fith Avenue		Date of Receipt
Mailing Address 608 Fifth Avenue City	State Zip Code	05 29 2015 Transaction ID : A2015-1148503
New York	NY 10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Head Mgd Mkts/Mkt Acs/Est Med	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1100.00	
Full Name (Last, First, Middle Initial) Donald P Stevens		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City East Hanaver	State Zip Code NJ 07936	Transaction ID : A2015-1147881
East Hanover		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	103.86
Name of Employer Novartis Pharmaceuticals	Occupation Director State&External Affrs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 380.82	
Full Name (Last, First, Middle Initial) C. Mary R Strickland		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City	State Zip Code	Transaction ID : A2015-1147768
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	
Sandoz Inc.	Exec Dir Government Affairs	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	253.88	
SUBTOTAL of Receipts This Page (optional)		473.10
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 34 OF 40 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John Suchorsky Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1148064 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Demand Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) **B.** Thomas A Suter Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1148426 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 281.56 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Tessier Date of Receipt Mailing Address One Health Plaza 05 29 2015 City Zip Code State Transaction ID: A2015-1148115 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Regional Project Eng Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 228.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)		
igwedge Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) A. Christina M Tremains		Date of Receipt
Mailing Address One Health Plaza		05 29 2015
City	State Zip Code	Transaction ID : A2015-1148142
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	97.83
Name of Employer	Occupation	
Novartis Pharmaceuticals	SENIOR KEY ACCOUNT MANAGER	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	30 0	
Other (specify) ▼	354.80	
Full Name (Last, First, Middle Initial) 3. Jason Van Pelt		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	05 29 2015 Transaction ID : A2015-1148531
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	231.00
Name of Employer	Occupation	
Novartis Services Incorporated	Exec Dir Fed Govt Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	539.00	
Other (specify) ▼	539.00	
Full Name (Last, First, Middle Initial) C. Matthew M von Wellsheim		Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2015-1147630
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	
Alcon Laboratories Inc.	VP IT & CIO	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	253.88	
SUBTOTAL of Receipts This Page (optional)	>	398.07
TOTAL This Period (last page this line number of	nly)	

FOR LINE NUMBER: PAGE 36 OF 40 Use separate schedule(s) (check only one) X 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert K Warner Date of Receipt Mailing Address One Health Plaza 2015 City Zip Code State Transaction ID: A2015-1147636 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 69.24 federal political committee. Name of Employer Occupation Head US & Canada Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) B. Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 05 29 2015 City State Zip Code Transaction ID: A2015-1148100 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Business Plang & Analy Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 138.48 SUBTOTAL of Receipts This Page (optional)..... 11440.60 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)			FOR LINE NUMBER: PAGE 37 OF 40											
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	City	State Zip Code								D. = = = =	40			
	Washington	DC 20004				ırar	isaci	tion IL) :	B5760	46			
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	Senate	Primary General												
	President	Other (specify) ▼												
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NAME OF COMMITTEE (In Full)				_
Novartis Corporation Political Action	on Committee			
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Full Name (Last, First, Middle Initial)	2		Data of Dishurasment	
Majority Committee PACMC PAGE	j		Date of Disbursement	
Mailing Address P.O. Box 10134			05 26 2015	
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City	State Zip Code		Transaction ID : B574578	
Bakersfield	CA 93389		Transaction is . Bor 4070	
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Candidate Name			Amount of Each Bissursoment this Folioc	4
		Category/ Type	5000.00	
Office Sought: House Disburse	ment For: 2015		·	
Senate	Primary General	I		
President	Other (specify) ▼	-1-1-		
State: District:	Not Applica	able		
Full Name (Last, First, Middle Initial)			Date of Disbursement	
B. Dr. Raul Ruiz for Congress Cmte			Mam / Dan / Yayayay	
Mailing Address PO Box 3433			05 26 2015	
City	State Zip Code		Transaction ID : B574582	
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Palm Desert Purpose of Disbursement	CA 92261			
Palm Desert Purpose of Disbursement Contribution	CA 92261	011	Amount of Each Disbursement this Period	
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Purpose of Disbursement Contribution Candidate Name Raul Ruiz MD Office Sought: Senate President State: CA District: 36 Full Name (Last, First, Middle Initial) C. Project West Political Action Cmte Mailing Address 1020 N. Fairfax Street Suite 201	ement For: 2016 Primary General Other (specify)	Category/ Type	Date of Disbursement]
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NAME OF COMMITTEE (In Full)															
angle Novartis Corporation Pol	itical Actio	n Com	mittee												
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A. Continuing America's Strength and Security PAC Mailing Address P.O. Box 14331							Date of Disbursement Date of Disbursement Date of Disbursement Date of Disbursement								
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President		Other (sp	ecify) 🔻												
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Mailing Address 217 Third Street SE							05		20	6		2015			
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Washington	•	DC	20003				Trans	sactio	on ID	: B57	4576				
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State: NC District: 13		Other (sp	GOIIY) 🔻												
Full Name (Last, First, Middle Initial)					+									
C. Lance for Congress	,						Date o	f Disk	burse	ment					
						M M / D D / Y Y Y Y									
Mailing Address 38 Lakewood Drive						05 26 2015									
City	;	State	Zip Code				Trans	sactio	on ID	: B57	4581				
Denville Purpose of Disbursement		NJ	07834			\dashv									
Contribution				()11		Amoun	t of F	Each	Diob	rcome	at thic	Dorical		
Candidate Name					_		Amoun	ı Of E	_acn	טטפוע	usemer	it trils	renoa		
Leonard Lance					egory/ ype							250	0.00		
Office Sought:	Disburser	ment For:	2016			\dashv			7		7				
Senate	\times	Primary	General												
President		Other (sp	ecify) 🔻												
State: NJ District: 07															
											-				
SUBTOTAL of Disbursements This Pa	age (optional)				····· >	•					1	6000	0.00		
						-					-				
TOTAL This Period (last page this line	e number only)										m - 1				

SCHEDULE B (FEC Form 3X)		FOR LINE	OR LINE NUMBER: PAGE 40 OF 40								
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	NOMBER:								
J.ODG.IGEMEITIG	for each category of the Detailed Summary Page	21b	22 🗙 23 🔲 24 📗 25 📉 26								
	ago	27	28a 28b 28c 29 30t								
Any information copied from such Reports and State											
or for commercial purposes, other than using the nar	ne and address of any politi	cal committee to	solicit contributions from such committee.								
NAME OF COMMITTEE (In Full)	0 '''										
Novartis Corporation Political Action	n Committee										
Full Name (Last, First, Middle Initial)											
A. Pallone for Congress	Date of Disbursement										
Mailing Address PO Box 3176	05 26 2015										
City	State Zip Code		Transaction ID DETAGE								
Long Branch	NJ 07740		Transaction ID: B574579								
Purpose of Disbursement Contribution		211									
Candidate Name		011	Amount of Each Disbursement this Period								
Frank Pallone Jr.		Category/ Type	1000.00								
	ment For: 2016	туре									
Senate	Primary General										
President	Other (specify) ▼										
State: NJ District: 06											
Full Name (Last, First, Middle Initial)											
B. IMPACT			Date of Disbursement								
Mailing Address 220 I Street NE Suite 250			05 26 2015								
Mailing Address 220 Street NE Suite 250			03 20 2013								
City	State Zip Code		Transaction ID : B574580								
Washington	DC 20002		Transaction id . 5374300								
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period								
Candidate Name			Amount of Lacif Disbursement this Feriou								
		Category/ Type	5000.00								
Office Sought: House Disburse	ment For: 2015	.,,,,,									
Senate	Primary General										
	Other (specify) ▼										
State: District:	Not Applicab	le									
Full Name (Last, First, Middle Initial)			Date of Disbursement								
C. Wyden for Senate											
Mailing Address 3612 Newark Street NW	05 26 2015										
,	State Zip Code		Transaction ID : B574583								
Washington Purpose of Disbursement	DC 20016										
Contribution		011	Amount of Fook Dishurooment this Devied								
Candidate Name			Amount of Each Disbursement this Period								
Ron Wyden		Category/ Type	1000.00								
Office Sought: House Disburse	ment For: 2016										
X Senate	Primary General										
President	Other (specify) ▼										
State: OR District:											
CURTOTAL of Dishuman are sets This Days (s. 11. 1)			7000.00								
SUBTOTAL of Disbursements This Page (optional)		·····•	1000.00								
TOTAL This Period (last page this line number only	1		24000.00								