Image# 15950624706 PAGE 1 / 11

### **FEC** FORM 3X

## **REPORT OF RECEIPTS AND DISBURSEMENTS**

TOTAL P	or Other Than An Auth	orized Committee	Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	olitical Action Commi	ttee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW S	Suite 725	
Check if different			
than previously reported. (ACC)	Washington		DC 20004-2608
2. FEC IDENTIFICATION NU	IMBER ▼ CITY	<b>( ▲</b>	STATE ▲ ZIP CODE ▲
C C00033969	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5) 20 (M3) Jun 20 (M6)	Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)  Sep 20 (M9) Dec 20 (M12)
(a) Quarterly Reports:			(Non-Election Year Only)
April 15 Quarterly Report (Q	1)	20 (M4) Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q	PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (Q	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (Y	E) Election	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	n on	in the State of
5. Covering Period 01	01 2015	through 01	31 2015
I certify that I have examined thi	is Report and to the best of	my knowledge and belief it is to	rue, correct and complete.
Type or Print Name of Treasurer	Shawn O'Neail		
Signature of Treasurer Shawn	n O'Neail	[Electronically Filed]	Date 02 18 2015
NOTE: Submission of false, errone	eous, or incomplete information	may subject the person signing	this Report to the penalties of 2 U.S.C. §437g.
Office Use Only			FEC FORM 3X Rev. 12/2004

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)
Page 2

Write or Type Committee Name

#### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 01 01 2015 To: 01 31 2015

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2015		193839.62
	(b) Cash on Hand at Beginning of Reporting Period	193839.62	
	(c) Total Receipts (from Line 19)	21733.94	21733.94
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	215573.56	215573.56
7.	Total Disbursements (from Line 31)	75622.13	75622.13
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	139951.43	139951.43
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### Novartis Corporation Political Action Committee

(other than loans) From: s/Persons Other itical Committees ted (use Schedule A)  mized	COLUMN A Total This Period  1199.20  20534.74  21733.94  0.00  0.00	COLUMN B Calendar Year-to-Date  1199.20 20534.74 21733.94 0.00 0.00
s/Persons Other  itical Committees  ided (use Schedule A)	20534.74 21733.94 0.00	20534.74 21733.94 0.00
itical Committees  red (use Schedule A)  mized  L (add  11(a)(i) and (ii)  Party Committees  litical Committees  PACs)  tributions (add Lines	20534.74 21733.94 0.00	20534.74 21733.94 0.00
mized	20534.74 21733.94 0.00	20534.74 21733.94 0.00
nized	20534.74 21733.94 0.00	20534.74 21733.94 0.00
L (add  11(a)(i) and (ii)	21733.94	21733.94
Party Committees  Platty Committees  Ditical Committees PACs)	0.00	0.00
itical Committees PACs)tributions (add Lines		
PACs)tributions (add Lines	0.00	0.00
(b), and (c)) (Carry		04700.04
Line 33, page 5)▶	21733.94	21733.94
n Affiliated/Other		
ees	0.00	0.00
eived	0.00	0.00
ents Received	0.00	0.00
	7	7
· · · · · · · · · · · · · · · · · · ·	0.00	0.00
	7	7
ndidates and Other		
nittees	0.00	0.00
Receipts		
terest, etc.)	0.00	0.00
Non-Federal and Levin Funds		
nedule H3)	0.00	0.00
ds (from Schedule H5)	0.00	0.00
sfers (add 18(a) and 18(b))	0.00	0.00
	m Affiliated/Other tees	tees

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal	- Total Tills I criba	Calelidal Teal-IO-Date
Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(II) A	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating  Expenditures	122.13	122.13
(c) Total Operating Expenditures	7 7 7	
(add 21(a)(i), (a)(ii), and (b))▶	122.13	122.13
Transfers to Affiliated/Other Party		
Contributions to	0.00	0.00
Contributions to Federal Candidates/Committees and Other Political Committees	72500.00	72500.00
Independent Expenditures		
(use Schedule E) Coordinated Party Expenditures	0.00	0.00
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	2.22
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans Made	0.00	0.00
Refunds of Contributions To: (a) Individuals/Persons Other		
Than Political Committees	0.00	0.00
(h) Dalitical Danta Committee	0.00	0.00
(b) Political Party Committees(c) Other Political Committees	0.00	0.00
(such as PACs)	0.00	0.00
,		
(d) Total Contribution Refunds	0.00	
(add Lines 28(a), (b), and (c))▶	0.00	0.00
Other Dishamanana	2000.00	2000.00
Other Disbursements	3000.00	3000.00
Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity		
(from Schedule H6)		
(i) Federal Share	0.00	0.00
('') III : II OI	0.00	0.00
(ii) "Levin" Share(b) Federal Election Activity Paid Entirely	0.00	7
(b) Federal Election Activity Paid Entirely  With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
'	, , , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , , ,
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	75622.13	75622.13
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	75622.13	75622.13

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	21733.94	21733.94
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	21733.94	21733.94
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	122.13	122.13
87. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	122.13	122.13

#### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: PAGE 6 OF 11

Use separate schedule(s)	(check only one)							
for each category of the Detailed Summary Page	X 11a 11b 11c 12							
_ comment comments and	13 14 15 16	17						

for commercial purposes, other than	rts and Statements may not be sold or used by any per- using the name and address of any political committee t	
NAME OF COMMITTEE (In Full)	tical Action Occurry	
Novartis Corporation Poli	tical Action Committee	
Full Name (Last, First, Middle Initial) Daniel P Casserly		Date of Receipt
Mailing Address One Health Plaza		01 30 2015
City	State Zip Code	Transaction ID : A2015-70399
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	276.92
Name of Employer	Occupation	1
Novartis Services Incorporated	Top Fed & Legislat Aff Exec-MG	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  276.92	
Full Name (Last, First, Middle Initial)  Candace B Dibblee		Date of Receipt
Mailing Address One Health Plaza		01 30 2015
City	State Zip Code	Transaction ID : A2015-70403
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	230.00
Name of Employer	Occupation	1
Novartis Services Incorporated	Government Affairs-MGT GJFA 4	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  230.00	
Full Name (Last, First, Middle Initial) Richard E Knapp		Date of Receipt
Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	01 30 2015
East Hanover	NJ 07936	Transaction ID : A2015-70668  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Government Affairs-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	230.76	
SUBTOTAL of Receipts This Rose (or	tional)	737.68
ODITIAL OF NECERPLS TRIS Page (OP	uunai)	
FOTAL This Period (last page this line	number only)	
`		

#### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

11

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward D McGough Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-70256 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 230.76 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Manufacturing General CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 230.76 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 30 01 2015 City State Zip Code Transaction ID: A2015-70842 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Communication CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 230.76 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 461.52 SUBTOTAL of Receipts This Page (optional)..... 1199.20 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	11	, FOR LINE	NUMBER:	PAGE 8 OF 11
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only	one)	
	Detailed Summary Page		22 X 23 28a 28b	24 25 26 28c 29 30
Any information copied from such Reports and State			on for the purpose	
or for commercial purposes, other than using the nar	me and address of any poli	tical committee to	solicit contribution	s from such committee.
NAME OF COMMITTEE (In Full)	on Committee			
Novartis Corporation Political Action	on Committee			
Full Name (Last, First, Middle Initial)			Data of Dist	
A. Shore PAC			Date of Disburs	ement
Mailing Address P.O. Box 3157				2015
,	State Zip Code		Transaction II	O · B543645
Long Branch Purpose of Disbursement	NJ 07740		Transastion is	7. 20.00.0
Contribution		011	Amount of Each	Disbursement this Period
Candidate Name		Category/		2500.00
Office Sought: House Disburse	ment For: 2015	Туре		2300.00
Senate Dispurse	Primary General			
President	Other (specify) ▼			
State: District:	Not Applicat	ole		
Full Name (Last, First, Middle Initial)				
B. National Republican Senatorial Cr	nte		Date of Disburs	
Mailing Address 425 Second Street NE				16 2015
420 000011d 011001 NE				
,	State Zip Code DC 20002		Transaction II	D : B541060
Washington Purpose of Disbursement	DC 20002			
Contribution		011	Amount of Each	Disbursement this Period
Candidate Name		Category/ Type		15000.00
	ment For: 2015			
Senate President	Primary General Other (specify) ▼			
State: District:	Not Applical	ble		
Full Name (Last, First, Middle Initial)	_			
C. Democratic Senatorial Campaign (	Committee		Date of Disburs	
Mailing Address 120 Maryland Ave. NE				16 2015
City	State Zip Code		Transaction II	D · R541061
Washington Purpose of Disbursement	DC 20002		i angaonon n	J . DOTION!
Contribution		011	Amount of Each	Disbursement this Period
Candidate Name		Category/	Amount of Each	
		Type		15000.00
	ment For: 2015			
Senate President	Primary General Other (specify) ▼			
State: District:	Not Applicat	ole		
	. 11			
SUBTOTAL of Disbursements This Page (optional)		·····	7	32500.00
TOTAL This Period (last page this line number only	)		1	

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SC	HEDULE B (FEC Form 3X)			FOR LINE I	NUMBER:		PAGE	9 (	OF 11
ITE	MIZED DISBURSEMENTS		parate schedule(s) category of the	(check only	one)			7.05	
			Summary Page	21b 27	22 28a	X 23 28b	24 28c	25 29	26 30b
Δην	information copied from such Reports and Statem	ente mav	not be sold or use						
	or commercial purposes, other than using the nam								
\ \	IAME OF COMMITTEE (In Full)								
\	Novartis Corporation Political Action	n Comr	mittee						
<u></u>	full Name (Last, First, Middle Initial)								
_	National Republican Congressional	l Cmte			Date of	f Disburse	ment		
_	·				M = M	/ D		ΥΥΥ	Y
N	Mailing Address 320 1st St. SE				01	16	3	2015	
C	Sity S	State	Zip Code						
V	Vashington	DC	20003		Trans	action ID	: B541062		
	Purpose of Disbursement Contribution			011	A	of Cash	Diob. wo	nt +h!-	Dorical
_	Candidate Name			011	Amoun	i oi ⊨acn	Disburseme	iii tnis	renod
				Category/ Type				15000	0.00
Ō	Office Sought: House Disbursem	nent For:	2015	71					
		Primary	General						
c	President State: District:	Other (spe	ecify) ▼ Not Applicable						
	full Name (Last, First, Middle Initial)		Not Applicable						
_	Democratic Congressional Camp. (	?mte			Date of	f Disburse	ment		
-					M = M	/ D		ΥΥΥ	Υ
N	Mailing Address 430 S. Capitol St. SE 2nd Fl.				01	16		2015	
		State	Zip Code		Trans	action ID	: B541063		
	Vashington Purpose of Disbursement	DC	20003						
	Contribution			011	Amoun	t of Each	Disburseme	nt this	Period
C	Candidate Name			Category/				4500	2.00
_				Type		- 7		15000	J.00
Ċ	Office Sought: House Disbursem								
		Primary Other (spe	General						
S	state: District:	Cirior (Spe	Not Applicable	,					
F	full Name (Last, First, Middle Initial)								
C.	Republican Main Street Partnershi <mark>r</mark>	PAC			Date of	f Disburse	ment		
_	Apiling Address 4000 L Over LANA O 11 400 500				M M	/ D		Y   Y	Υ
IV.	Mailing Address 1220 L Street NW Suite 100-263				01	16	,	2015	
Ċ	,	State	Zip Code		Trans	action ID	: B541065		
	·	DC	20005		irans	action iD	. 6041000		
	Purpose of Disbursement Contribution			011					
ō	Candidate Name				Amoun	t of Each	Disburseme	nt this	Period
				Category/ Type				5000	0.00
C	Office Sought: House Disbursem	nent For:	2015				7		
		Primary	General						
c	President State: District:	Other (spe	•						
	District.		Not Applicable						
su	BTOTAL of Disbursements This Page (optional)							35000	0.00
<u> </u>					=				=
то	TAL This Period (last page this line number only).								

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 10 OF 11		
TEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	OMBEIT.		
	for each category of the Detailed Summary Page	21b	22 🗙 23 24 25 26		
		27	28a 28b 28c 29 30		
Any information copied from such Reports and Statem					
or for commercial purposes, other than using the name	e and address of any politi	cal committee to	solicit contributions from such committee.		
NAME OF COMMITTEE (In Full)	<b>.</b>				
Novartis Corporation Political Actio	n Committee				
Full Name (Last, First, Middle Initial)					
New Democrat Coalition PAC			Date of Disbursement		
Mailing Address 233 Pennsylvania Ave. SE 2nd Flo	0		01 21 2015		
Oib.	7:- CI-				
City S Washington	State Zip Code DC 20003		Transaction ID : B541064		
Purpose of Disbursement	20003				
Contribution		011	Amount of Each Disbursement this Period		
Candidate Name		Category/			
		Type	5000.00		
	nent For: 2015				
	Primary General				
	Other (specify)				
State: District:	Not Applicable	e			
Full Name (Last, First, Middle Initial)			Date of Disbursement		
•					
Mailing Address			M = M / D = D / Y = Y = Y		
Maining Address					
City	State Zip Code				
Purpose of Disbursement			American of Fools Dishumon and this Deviced		
Candidate Name			Amount of Each Disbursement this Period		
Candidate Name		Category/ Type			
Office Sought: House Disbursen	nent For:	Турс			
	Primary General				
President	Other (specify) ▼				
State: District:					
Full Name (Last, First, Middle Initial)					
· ·			Date of Disbursement		
			M M / D D / Y Y Y Y		
Mailing Address					
City	State Zip Code				
Purpose of Disbursement	I				
•			Amount of Each Disbursement this Period		
Candidate Name		Category/	Autount of Each Biobarconient the Folia		
		Type			
Office Sought: House Disbursen					
	Primary General				
	Other (specify) ▼				
State: District:					
			5000.00		
SUBTOTAL of Disbursements This Page (optional)		·····	5000.00		

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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 11 OF 11			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)		
	Detailed Summary Page	21b	22 23 24 25	26	
<b>.</b>	<u> </u>	27	28a 28b 28c X 29	30b	
Any information copied from such Reports and State or for commercial purposes, other than using the nar					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Action	on Committee				
Full Name (Last, First, Middle Initial)			B (B)		
A. Citizens for Tamara Barringer			Date of Disbursement		
Mailing Address P.O. Box 6365			01 19 2015		
City	State Zip Code		Transaction ID : B543670		
Cary	NC 27512		Transaction ib . B343070		
Purpose of Disbursement P-2016 State Senate 17 NC		011	Amount of Each Disbursement this Peri	iod	
Candidate Name		Category/	1000.00		
Tamara Barringer		Type	1000.00	_	
Office Sought:  House  Senate  President  Disburse	ment For: 2016 Primary General Other (specify) ▼				
State: NC District: 17					
Full Name (Last, First, Middle Initial)					
B. Dollar for House			Date of Disbursement		
Mailing Address P.O. Box 1352			01 19 2015		
City Cary	State Zip Code NC 27512		Transaction ID : B543671		
Purpose of Disbursement P-2016 State House 36 NC		011	Amount of Each Disbursement this Peri	iod	
Candidate Name Nelson Dollar		Category/ Type	1000.00	)	
	ment For: 2016	.,,,,,	,		
	Primary General Other (specify)				
State: NC District: 36	Other (specify)				
Full Name (Last, First, Middle Initial)  C. Committee to Elect David Lewis			Date of Disbursement		
Committee to Liect David Lewis			M M / D D / Y Y Y Y		
Mailing Address P.O. Box 1826			01 19 2015		
City Dunn	State Zip Code NC 28335		Transaction ID : B543672		
Purpose of Disbursement	20000				
P-2016 State House 53 NC		011	Amount of Each Disbursement this Peri	iod	
Candidate Name		Category/	4000.00		
David L Lewis		Type	1000.00		
Senate President	ment For: 2016  Primary General  Other (specify)				
State: NC District: 53					
SUBTOTAL of Disbursements This Page (optional).			3000.00		
TOTAL This Period (last page this line number only	)		3000.00		