

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee
(Summary Page)

RECEIVED
FEDERAL ELECTION
COMMISSION MAIL ROOM

JUL 27 3 51 PM '97

USE FEC MAILING LABEL
OR
TYPE OR PRINT

1. NAME OF COMMITTEE (include Securities Industry Association's Political Action Committee)		2. FEC IDENTIFICATION NUMBER C00067504
ADDRESS (number and street) <input type="checkbox"/> Check if different than previously reported 1401 I Street NW Suite 1000		
CITY, STATE and ZIP CODE Washington, DC 20005		
3. <input type="checkbox"/> This committee has qualified as a multicandidate committee. (see FEC FORM 1M)		

4. TYPE OF REPORT

- (a) April 15 Quarterly Report
- July 15 Quarterly Report
- October 15 Quarterly Report
- January 31 Year End Report
- July 31 Mid Year Report (Non-election Year Only)
- Termination Report

Monthly Report Due On:

- February 20 June 20 October 20
- March 20 July 20 November 20
- April 20 August 20 December 20
- May 20 September 20 January 31

- Twelfth day report preceding _____
(Type of Election)
- election on _____ in the State of _____
- Thirtieth day report following the General Election on _____
in the State of _____

(b) Is this Report an Amendment? YES NO

SUMMARY		COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period	6/1/97 through 6/30/97		
6. (a) Cash on Hand January 1, 19 97			\$ 14,221.14
(b) Cash on Hand at Beginning of Reporting Period		\$ 39,354.42	
(c) Total Receipts (from Line 19)		\$ 3,100.00	\$ 54,630.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)		\$ 42,454.42	\$ 68,851.14
7. Total Disbursements (from Line 30)		\$ 16,178.24	\$ 42,574.96
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))		\$ 26,276.18	\$ 26,276.18
9. Debts and Obligations Owed TO the Committee (itemize all on Schedule C and/or Schedule D)		\$ 0	For further information contact: Federal Election Commission 550 E Street, NW Washington, DC 20463 Toll Free 800-424-9530 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (itemize all on Schedule C and/or Schedule D)		\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: Steve Judge

Signature of Treasurer: *Steven Judge*

Date: 7/22/97

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. 5437g.

DETAILED SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

PAGE 2, FEC FORM 3X

(Revised 11/78)

NAME OF COMMITTEE <u>Securities Industry Association</u> <u>Political Action Committee</u>		REPORT COVERING PERIOD		
		FROM <u>6/1/97</u>	TO: <u>6/30/97</u>	
		COLUMN A Total This Period	COLUMN B Calendar Year	
I. Receipts				
11.	Contributions (other than loans) From:			
	e. Individual/Persons Other Than Political Committees			
	i. Itemized (use Schedule A)	1,650.00	16,450.00	11(a)(i)
	ii. Unitemized	1,450.00	5,180.00	11(a)(ii)
	iii. Total (add i and ii) >	3,100.00	21,630.00	11(a)(iii)
	b. Political Party Committees			11(b)
	c. Other Political Committees (such as PACs)	0	33,000.00	11(c)
	d. Total Contributions (add a iii, b and c) >	3,100.00	54,630.00	11(d)
12.	Transfers From Affiliated/Other Party Committees			12
13.	All Loans Received			13
14.	Loan Repayments Received			14
15.	Offsets To Operating Expenditures (Refunds, Rebates, etc.)			15
16.	Refunds of Contributions Made to Federal Candidates and Other Political Committees			16
17.	Other Federal Receipts (Dividends, Interest, etc.)			17
18.	Transfers from Nonfederal Account for Joint Activity			18
19.	Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) >	3,100.00	54,630.00	19
20.	Total Federal Receipts (subtract line 18 from line 19) >	3,100.00	54,630.00	20
II. Disbursements				
21.	Operating Expenditures:			
	a. Shared Federal/Non-Federal Activity (from Schedule H4)			
	i. Federal Share			21(a)(i)
	ii. Non-Federal Share			21(a)(ii)
	b. Other Federal Operating Expenditures	32.00	96.00	21(b)
	c. Total Operating Expenditures (add a i, a ii, and b) >	32.00	96.00	21(c)
22.	Transfers to Affiliated/Other Party Committees			22
23.	Contributions to Federal Candidates/Committees and Other Political Committees	16,146.24	42,078.96	23
24.	Independent Expenditures (use Schedule E)			24
25.	Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)			25
26.	Loan Repayments Made			26
27.	Loans Made			27
28.	Refunds of Contributions To:			
	a. Individual/Persons Other Than Political Committees			28(a)
	b. Political Party Committees			28(b)
	c. Other Political Committees (such as PACs)			28(c)
	d. Total Contribution Refunds (add a, b and c) >			28(d)
29.	Other Disbursements			29
30.	Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >	16,178.24	42,574.96	30
31.	Total Federal Disbursements (subtract line 21 a i from line 30) >	16,178.24	42,574.96	31
III. Net Contributions/Operating Expenditures				
32.	Total Contributions (other than loans) (from line 11d)	3,100.00	54,630.00	32
33.	Total Contribution Refunds (from line 28d)	0	0	33
34.	Net Contributions (other than loans) (subtract line 33 from 32)	3,100.00	54,630.00	34
35.	Total Federal Operating Expenditures (add 21 a i and 21 b) >	32.00	96.00	35
36.	Offsets to Operating Expenditures (from line 15)	0	0	36
37.	Net Operating Expenditures (subtract line 36 from 35) >	32.00	96.00	37

SCHEDULE A

ITEMIZED RECEIPTS

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in full)
 Securities Industry Association

A. Full Name, Mailing Address and Zip Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Ms. Gall Utter PO Box 1236 Sherman TX 75091 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	A.G. Edwards & Sons Occupation Broker	6/9/97	\$260.00
B. Full Name, Mailing Address and Zip Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Mr. Don Buchholz 885 3rd Avenue, 31st Floor New York, NY 10022 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	Southwest Securities Occupation Chairman	6/2/97	\$600.00
C. Full Name, Mailing Address and Zip Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
David Glatstein 885 3rd Avenue 31st Flr New York NY 10022 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	Southwest Securities Occupation President	6/2/97	\$200.00
D. Full Name, Mailing Address and Zip Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Raymond Woodridge 885 3rd Avenue 31st Floor New York NY 10022 Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	Southwest Securities Occupation Vice Chairman	6/2/97	\$600.00
E. Full Name, Mailing Address and Zip Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other(specify):	Occupation		
F. Full Name, Mailing Address and Zip Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other(specify):	Occupation		
G. Full Name, Mailing Address and Zip Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other(specify):	Occupation		

SUBTOTAL of Receipts This Page (optional) \$ 1,860.00
TOTAL This Period (last page this line number only) \$ 1,860.00

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 3

FOR LINE NUMBER 23

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NAME OF COMMITTEE (in full)

Securities Industry Association Political Action Committee

A. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
J.D. Hayworth for Congress PO Box 14273 Scottsdale, AZ	J.D. Hayworth (R-6-AZ) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/3/97	\$ 1,000.00
Walter Jones for Congress PO box 89667 Raleigh, NC 27824	Walter Jones (R-9-NC) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/3/97	\$ 500.00
Baker for Congress PO Box 1894 Baton Rouge, LA 70821	Richard H. Baker (R-6-LA) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/4/97	\$ 1,000.00
John D. Dingell PO Box 75214 Washington, DC 20013	John Dingell (D-16-MI) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/4/97	\$ 1,000.00
Manton for Congress, Inc. 48-12 Queens Blvd Suite 210-A Sunnyside, NY 11104	Tom Manton (D-7-NY) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/10/97	\$ 897.74
Securities Industry Association 1401 I Street NW Suite 1000 Washington, DC 20005	Tom Manton (D-7-NY) In-Kind Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/10/97	\$ 127.30 In-Kind
Friends of Newt Gingrich PO Box 1399 Roswell, GA 30077	Newt Gingrich (R-6-GA) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/17/97	\$ 1,000.00
Hulshof for Congress PO Box 16021 Alexandria, VA 22302	Kenny Hulshof (R-9-MD) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/18/97	\$ 500.00
Bliley for Congress PO Box 17095 Richmond, VA 23226	Thomas J. Blifay (R-7-VA) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/17/97	\$ 1,000.00

SUBTOTAL of Disbursements This Page (optional).....> \$ 7,025.04

TOTAL This Period (last page this line number only).....>

SCHEDULE B

ITEMIZED DISBURSEMENTS

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (in full)

Securities Industry Association Political Action Committee

A. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Friends of Jennifer Dunn PO box 70513 Washington, DC 20024	Jennifer Dunn (R-8-WA) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/17/97	\$ 500.00
Citizens for Gillmor PO Box 910 Port Clinton OH 43452	Paul Gillmor (R-5-OH) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/12/97	\$ 1,050.00
Cook for Congress PO Box 25026 Washington, DC 20007	Merrill Cook (R-2-UT) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/11/97	\$ 500.00
Friends of Rick White PO Box 8158 Kirkland, WA 98034	Rick White (R-1-WA) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/10/97	\$ 1,050.00
Friends of Maurice Hinchey PO Box 4497 Kingston, NY 12402	Maurice Hinchey (D-26-NY) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/10/97	\$ 500.00
Largent for Congress Committee C/o Craig Richardson 901 N. Stuart Street Suite 750 Arlington, VA 22203	Steve Largent (R-1-OK) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/19/97	\$ 500.00
Volunteers for Shimkus PO Box 16021 Alexandria, VA 22302	John Shimkus (R-IL-20) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/24/97	\$ 500.00
Jon Fox for Congress 4451 Brookfield Corporate Drive, Suite 200 Chantilly, VA 20151	Jon Fox (R-PA-13) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/25/97	\$ 500.00
Nathan Deal for Congress PO box 16021 Alexandria, VA 22302	Nathan Deal (R-GA) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other(specify):	6/25/97	\$ 500.00

SUBTOTAL of Disbursements This Page (optional).....> \$ 5,600.00

TOTAL This Period (last page this line number only).....>

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NAME OF COMMITTEE (In full)
Securities Industry Association Political Action Committee

A. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Pate Sessions for Congress PO Box 140970 Dallas, TX 75214	Pate Sessions (R-5-TX) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/26/97	\$ 500.00
B. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Oxley for Congress Committee PO box 1998 Findlay, OH 45839	Mike Oxley (R-4-OH) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/24/97	\$ 1,000.00
C. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Engel for Congress 115 D Street Washington, DC 20003	Elliot Engel (D-17-NY) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/28/97	\$ 909.45
D. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Securities Industry Association 1401 I Street NW Washington, DC 20005	Elliot Engel (D-17-NY) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/25/97	\$ 111.75 In-Kind
E. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Fazio for Congress PO Box 2884 Washington, DC 20013	Vic Fazio (D-CA-3) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/24/97	\$ 1,000.00
F. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
G. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
H. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		
I. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
	Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):		

SUBTOTAL of Disbursements This Page (optional)	\$ 3,521.20
TOTAL This Period (last page this line number only)	\$ 16,146.24

SCHEDULE B

ITEMIZED DISBURSEMENTS

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PAGE 1 OF 1
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NAME OF COMMITTEE (In full)
Securities Industry Association Political Action Committee

A. Full Name, Mailing Address and Zip Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement this Period
Engel for Congress 115 D Street Washington, DC 20003	Elliot Engel (D-17-NY) In-Kind Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/18/97	(Memo) \$26.80* In-Kind
Manton for Congress, Inc 46-12 Queens Blvd Suite 210-A Sunnyside NY 11104	Thomas Manton (D-7-NY) Disbursement for: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify):	6/21/97	(Memo) \$24.96* In-Kind
C. Full Name, Mailing Address and Zip Code *Used PAC supplies previously purchased to prepare and send out invitations for fundraisers	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement this Period
D. Full Name, Mailing Address and Zip Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement this Period
E. Full Name, Mailing Address and Zip Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement this Period
F. Full Name, Mailing Address and Zip Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement this Period
G. Full Name, Mailing Address and Zip Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement this Period
H. Full Name, Mailing Address and Zip Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement this Period
I. Full Name, Mailing Address and Zip Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify):	Date (month, day, year)	Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional).....>

TOTAL This Period (last page this line number only).....>

