01/28/2008 17:06

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example: If typing, type COMMITTEE (in full) OR TYPE OR PRINT over the lines Novartis Corporation Political Action Committee 701 Pennsylvania Ave. NW Suite 725 ADDRESS (number and street) Check if different than previously DC 20004 Washington 2608 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** CITY A ZIPCODE A IS THIS NEW **AMENDED** C00033969 Χ REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) (c) 12-Day Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Post -Election General (30G) Runoff (30R) Special (30S) Report for the: Termination Report (TER) in the Election on State of 12 0 1 2007 12 3 1 2007 Covering Period through I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Dan P. Casserly Type or Print Name of Treasurer Electronically Filed by Dan P. Casserly 0 1 25 2008 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use (Rev. 12/2004) Only

FE6AN026

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) OF RECEIPTS AND DISBURSEMENTS
Page 2

| F | Report Covering the Period: From: | 2007 | To: 12 31 2007 |
|-----|-------------------------------------------------------------------------------------------|-------------------------|-----------------------------------|
| | | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
| 3. | (a) Cash on Hand January 1 Ž00 ^Y 7 Y Y | | 92392.10 |
| | (b) Cash on Hand at Begining of Reporting Period | 162916.65 | |
| | (c) Total Receipts (from Line 19) | 30274.68 | 370649.54 |
| | (d) Subtotal (add lines 6(b) and | | |
| | 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 193191.33 | 463041.64 |
| 7. | Total Disbursements (from Line 31) | 24500.00 | 294350.31 |
| 3. | Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 168691.33 | 168691.33 |
| 9. | Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. | Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

From:

Report Covering the Period:

м м 1 2 01

2007

To:

м м 1 2 ^D 3 1

2007

| | I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|----------|------------------------------------------------------------------------------------------------|-------------------------------|-----------------------------------|
| 1. | Contributions (other than loans) From: (a) Individuals/Persons Other | | |
| | Than Political Committees (i) Itemized (use Schedule A) | 15668.55 | 136336.26 |
| | (ii) Unitemized | 14606.13 | 221214.28 |
| | (iii) TOTAL (add Lines 11(a)(i) and (ii) | 30274.68 | 357550.54 |
| | (b) Political Party Committees | 0.00 | 0.00 |
| | (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| | 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) | 30274.68 | 357550.54 |
| 2. | Transfers From Affiliated/Other Party Committees | 0.00 | 13099.00 |
| 3. | All Loans Received | 0.00 | 0.00 |
| 4. 5. | Loan Repayments Received Offsets To Operating Expenditures | 0.00 | 0.00 |
| 6. | (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) Refunds of Contributions Made | 0.00 | 0.00 |
| | to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 7. | Other Federal Receipts (Dividends, Interest, etc.) | 0.00 | 0.00 |
| 8. | Transfers from Non-Federal and Levin Funds | | |
| | (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| | (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| | (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 9. | Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 30274.68 | 370649.54 |
| 0. | Total Federal Receipts (subtract Line 18(c) from Line 19) | 30274.68 | 370649.54 |

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

Expenditures.....

Committees.....

II. DISBURSEMENTS

(a) Shared Federal/Non-Federal Activity (from Schedule H4)

(b) Other Federal Operating

22. Transfers to Affiliated/Other Party

Contributions to

24. Independent Expenditure

27. Loans Made..... 28. Refunds of Contributions To:

23.

(c) Total Operating Expenditures

26. Loan Repayments Made.....

Individuals/Persons Other

(b) Political Party Committees (c) Other Political Committees

(d) Total Contribution Refunds

29. Other Disbursements.....

(a) Shared Federal Election Activity

(i) Federal Share

(ii) "Levin" Share (b) Federal Election Activity Paid Entirely

With Federal Funds (c) Total Federal Election Activity (add

23, 24, 25, 26, 27, 28(d), 29 and 30(c))..

(subtract Line 21(a)(ii) and Line 30(a)(ii)

32. Total Federal Disbursements

from Line 31).....

Lines 30(a)(i), 30(a)(ii) and 30(b))....

(from Schedule H6)

Than Political Committees

(such as PACs)

21. Operating Expenditures:

of Disbursements Page 4 **COLUMN A COLUMN B Total This Period** Calendar Year-to-Date 0.00 0.00 (i) Federal Share..... 0.00 0.00 (ii) Non-Federal Share..... 0.00 814.75 0.00 814.75 (add 21(a)(i), (a)(ii) and (b))............ 0.00 0.00 Federal Candidates/Committees.....and Other Political Committees..... 19000.00 262500.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 835.56 0.00 0.00 0.00 0.00 0.00 835.56 (add Lines 28(a), (b), and (c)) 5500.00 30200.00 30. Federal Election Activity (2 U.S.C 431(20)) 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 31. Total Disbursements (add Lines 21(c), 22, 24500.00 294350.31

24500.00

294350.31

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| | III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|-----|-------------------------------------------------------------------------|-------------------------------|-----------------------------------|
| 33. | Total Contributions (other than loans) from Line 11(d), page 3) | 30274.68 | 357550.54 |
| 34. | Total Contribution Refunds (from Line 28(d)) | 0.00 | 835.56 |
| 35. | Net Contributions (other than loans) (subtract Line 34 from Line 33) | 30274.68 | 356714.98 |
| 36. | Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0.00 | 814.75 |
| 37. | Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. | Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 814.75 |

FE6AN026

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | (check only one) X 11a |
|------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using | nd Statements may the name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | n Committee | | |
| Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654789 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ | |
| Full Name (Last, First, Middle Initial) Kim R Alford | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 20 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654250 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Ascension Almanza Jr. | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655018 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07930 | 22.94 |
| Name of Employer Novartis Pharmaceuticals Occupation Executive | | | |
| Receipt For: Primary General Other (specify) ▼ | | Year-to-Date ▼ 274.20 | |
| SUBTOTAL of Receipts This Page (optional | al) | | 67.94 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 7 / 120 (check only one) X 11a |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and address of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Charles E Anderson Jr. Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 240.00 | Date of Receipt M M 20 2007 Transaction ID: A2007-2654392 Amount of Each Receipt this Period 20.00 |
| Full Name (Last, First, Middle Initial) Gary J Appio Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 360.00 | Date of Receipt M |
| Full Name (Last, First, Middle Initial) Frank J Arena Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ 600.00 | Date of Receipt M M M 20 20 2007 Transaction ID: A2007-2654893 Amount of Each Receipt this Period 50.00 |
| SUBTOTAL of Receipts This Page (optional) . | ····· | 100.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 8 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | Statements may not be sold or used by any persole name and address of any political committee to Committee | n for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Andrew K Arline Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 360.00 | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Karen E Ashcraft Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Helmut Bambl Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 220.00 | Date of Receipt M M M / D D / Y Y Y Y Y 1 2 0 7 Transaction ID: A2007-2654119 Amount of Each Receipt this Period 20.00 |
| SUBTOTAL of Receipts This Page (optional) . | · | 75.00 |

| CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS | for each ca | ate schedule(s) ategory of the ummary Page | FOR LINE NUMBER: PAGE 9 / 120 (check only one) X 11a 11b 11c 12 15 16 |
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| ny information copied from such Reports and for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | ne name and address of any po | r used by any person olitical committee to so | for the purpose of soliciting contributions |
| Novartis Corporation Political Action | Committee | | |
| Full Name (Last, First, Middle Initial) Michael Banko Mailing Address One Health Plaza | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 2007 |
| City | State Zip Code | • | Transaction ID: A2007-2654892 |
| East Hanover | NJ 07936 | | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate Year-to-Date | ▼ | |
| Primary General Other (specify) ▼ | 0 0 0 0 | 600.00 | |
| Full Name (Last, First, Middle Initial) Catherine E Banks | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 DD / YYYY 12 20 2007 |
| City | State Zip Code | • | Transaction ID: A2007-2654721 |
| East Hanover | NJ 07936 | | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate Year-to-Date | ▼ | |
| Primary General Other (specify) ▼ | 0 0 0 0 | 240.00 | |
| Full Name (Last, First, Middle Initial) Elisa Bauer | _1 | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 7 |
| City | State Zip Code |) | Transaction ID: A2007-2654112 |
| East Hanover | NJ 07936 | | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate Year-to-Date | ▼ | |
| Primary General Other (specify) ▼ | 0 0 0 0 | 600.00 | |
| SUBTOTAL of Receipts This Page (optional) | | | 120.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 10 / 120 (check only one) X 11a |
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| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | Statements may not be sold or used by any persone name and address of any political committee to | |
| Full Name (Last, First, Middle Initial) Andrew R Bayard Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 240.00 | Date of Receipt M M 20 2007 Transaction ID: A2007-2654652 Amount of Each Receipt this Period 20.00 |
| Full Name (Last, First, Middle Initial) Michael Beck Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 900.00 | Date of Receipt M M M / D D / Y Y Y Y Y Transaction ID: A2007-2655127 Amount of Each Receipt this Period 75.00 |
| Full Name (Last, First, Middle Initial) Timothy C Bedman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 508.48 | Date of Receipt M M M / 20 / 2007 Transaction ID: A2007-2654833 Amount of Each Receipt this Period 42.68 |
| SUBTOTAL of Receipts This Page (optional) | | 137.68 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | for e | e separate schedule(s) each category of the ailed Summary Page | FOR LINE NUMBER: PAGE 11 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 1 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and address o | e sold or used by any perso f any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Jeffrey Benjamin Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Finance Corporation Receipt For: Primary General Other (specify) | | p Code 7936 o-Date ▼ | Date of Receipt M M / 20 / 2007 Transaction ID: A2007-2654883 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First, Middle Initial) Sheila Bhattacharya Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | | p Code 7936 o-Date ▼ 255.00 | Date of Receipt M M J D D J Z D Z D Z D Z D Z D Z D Z D Z D |
| Full Name (Last, First, Middle Initial) Edward J Blair Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | | p Code 7936 o-Date ▼ 260.00 | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| SUBTOTAL of Receipts This Page (optional) . | | | 75.00 |

| City East Hanover NJ O7936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Primary General Other (specify) ▼ Publication ID: A2007-2654861 | SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | for each | arate schedule(s) category of the Summary Page | FOR LINE NUMBER: PAGE 12 / 120 (check only one) X 11a 11b 11c 12 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------------------------------|------------------------------------------------------|-------------------------------------------------------------------|
| Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Brenda Blanchard Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing feederal political committee. Name of Employer National Services Incorpo- Transaction ID: A2007-2654219 Amount of Each Receipt this Period Cocupation Executive Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Date of Receipt City State Zip Code NJ 07936 FELI Name (Last, First, Middle Initial) Rainer Boehm Mailing Address One Health Plaza City State Zip Code Receipt For: Primary General Occupation Executive Receipt For: Primary General Occupation Executive Receipt For: Primary General Occupation Executive Receipt For: Primary General Occupation Mailing Address One Health Plaza City State Zip Code Receipt For: Primary General Occupation Executive Receipt For: Primary General Occupation Mailing Address One Health Plaza City State Zip Code Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ | | nd Statements may not be sold the name and address of any | or used by any perso political committee to | |
| Beneda Blanchard Mailing Address One Health Plaza City East Hanover NJ 07336 FEC ID number of contributing federal political committee. C | 1 \ | on Committee | | |
| City State Zip Code FEC ID number of contributing federal political committee. Name of Employer Number of contributing federal political committee. Name of Employer Number of contributing federal political committee. Name of Employer Number of contributing federal political committee. Name of Employer Number of contributing federal political committee. Primary General Other (specify) ▼ | | | | Date of Receipt |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novaris Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Transaction ID: A2007-2654685 Name of Employer Novaris Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Transaction ID: A2007-2654685 Full Name (Last, First, Middle Initial) Rainer Boehn Mailing Address One Health Plaza Date of Receipt Transaction ID: A2007-2654685 FEC ID number of contributing federal political committee. Name of Employer Novaris Pharmaceuticals Date of Receipt Transaction ID: A2007-2654685 Full Name (Last, First, Middle Initial) Candres Receipt For: Aggregate Year-to-Date ▼ Transaction ID: A2007-2654689 Full Name (Last, First, Middle Initial) Candres Receipt For: Aggregate Year-to-Date ▼ Transaction ID: A2007-2654689 Full Name of Employer Novaris Pharmaceuticals Candres Receipt Number of contributing federal political committee. C Date of Receipt Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transact | Mailing Address One Health Plaza | | | |
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| Name of Employer Novartis Services Incorporated Executive | | NJ 07936 | | Amount of Each Receipt this Period |
| Novartis Servicés Incorporated Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Rainer Boehm Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals FEC ID number of contributing federal political committee. City State Zip Code NJ 07936 Amount of Each Receipt this Period Cocupation Executive Receipt For: Aggregate Year-to-Date ▼ Date of Receipt Transaction ID: A2007-2654861 Amount of Each Receipt this Period Date of Receipt Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2007-2654861 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654868 Amount of Each Receipt this Period Cocupation Executive Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ 1800.00 Date of Receipt Aggregate Year-to-Date ▼ 1800.00 Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Period Transaction ID: A2007-2654861 Amount of Each Receipt this Peri | | C | | 416.00 |
| Receipt For: | Novartis Servicés Incorpo- | ' | | |
| Other (specify) | Receipt For: | Aggregate Year-to-Dat | e ▼ | |
| Rainer Boehm Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novarits Pharmaceuticals Full Name (Last, First, Middle Initial) Charles R Bonanno Mailing Address One Health Plaza City State Zip Code Receipt For: Primary General Other (specify) ▼ 1800.00 Full Name (Last, First, Middle Initial) Charles R Bonanno Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novarits Pharmaceuticals Receipt For: Primary General Occupation Executive Receipt For: Primary General Occupation Executive Receipt For: Primary General Occupation Executive Aggregate Year-to-Date ▼ Occupation Executive Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ | _ | | 4992.00 | |
| City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ State Zip Code East Hanover State Dode Executive Receipt For: Primary General Other (specify) ▼ State Zip Code NJ 07936 Date of Receipt Transaction ID: A2007-2654861 Amount of Each Receipt this Period Toda Receipt For: Part of Employer Novartis Pharmaceuticals Date of Receipt Transaction ID: A2007-2654869 I 150.0 Toda Receipt For: Primary General Other (specify) ▼ Date of Receipt Transaction ID: A2007-265489 I 150.0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 0 7 2 0 0 Transaction ID: A2007-265489 Amount of Each Receipt this Period I 20 0 0 7 2 0 0 Transaction ID: A2007-265489 Amo | | | | Date of Receipt |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Charles R Bonanno Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Transaction ID: A2007-2654689 Amount of Each Receipt this Period Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ | Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Charles R Bonanno Mailing Address One Health Plaza City State Zip Code NJ 07936 East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Name of Employer State Zip Code NJ 07936 Fecent Primary General Occupation Executive Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Occupation State Sip Code NJ 07936 Transaction ID: A2007-2654689 Amount of Each Receipt this Period State Sip Code No 07936 Amount of Each Receipt This Period State Sip Code No 07936 Aggregate Year-to-Date ▼ Other (specify) ▼ Aggregate Year-to-Date ▼ Other (specify) ▼ Aggregate Year-to-Date ▼ | City | • | de | Transaction ID: A2007-2654861 |
| Name of Employer Novartis Pharmaceuticals Receipt For: | East Hanover | NJ 07936 | | Amount of Each Receipt this Period |
| Receipt For: | | C | | 150.00 |
| Primary General Other (specify) ▼ 1800.00 | Name of Employer Novartis Pharmaceuticals | · · | | |
| Charles R Bonanno Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt 12 2 0 2 0 2 0 0 Transaction ID: A2007-2654689 Amount of Each Receipt this Period 25.0 Cocupation Executive Receipt 50 7 2 0 0 Transaction ID: A2007-2654689 Amount of Each Receipt this Period 25.0 Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ | Primary General | Aggregate Year-to-Dat | 1 1 1 1 1 | |
| City East Hanover NJ 07936 City East Hanover NJ 07936 C | | | | Date of Receipt |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Amount of Each Receipt this Period 25.0 Accupation Executive Aggregate Year-to-Date ▼ | Mailing Address One Health Plaza | | | |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ For 100 | - | • | de | |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼ Occupation Executive Aggregate Year-to-Date ▼ 300.00 | • | NJ 07936 | | Amount of Each Receipt this Period |
| Receipt For: Primary General Other (specify) Other (specify) | | C | | 25.00 |
| Primary General Other (specify) 300.00 | Name of Employer Novartis Pharmaceuticals | ' | | 7 |
| 591.0 | Primary General | Aggregate Year-to-Dat | 1 1 1 1 1 | |
| SUBTOTAL of Receipts This Page (optional) | SURTOTAL of Receipts This Page (antion) | J) | | 591.00 |

| CHEDULE A (FEC Form 3X) EMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 13 / 120 (check only one) X |
|----------------------------------------------------------------------------------------------------------------------|-------------------------|-------------------------------------------------------------------------|------------------------------------------------------|
| ny information copied from such Reports and for commercial purposes, other than using to NAME OF COMMITTEE (In Full) | he name and add | not be sold or used by any person ress of any political committee to | on for the purpose of soliciting contributions |
| Novartis Corporation Political Action | Committee | | |
| Full Name (Last, First, Middle Initial) Peri K Bonner Mailing Address One Health Plaza | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 20 7 |
| City | State | Zip Code | Transaction ID: A2007-2654513 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 47.88 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate | Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 0 0 | 571.56 | |
| Full Name (Last, First, Middle Initial) Samuel J Boone | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2654659 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate | Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 0 0 | 240.00 | |
| Full Name (Last, First, Middle Initial) Rhonda M Bordeaux | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | State | Zip Code | Transaction ID: A2007-2655283 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate | Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 0 0 | 240.00 | |
| UBTOTAL of Receipts This Page (optional) | I | | 87.88 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 14 / 120 (check only one) X |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | d Statements may not be sold or used by any pers the name and address of any political committee to a Committee | |
| Full Name (Last, First, Middle Initial) Mark D Bordley Mailing Address One Health Plaza City East Hanover | State Zip Code NJ 07936 | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2655849 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 300.00 | |
| Full Name (Last, First, Middle Initial) Troy L Borill Mailing Address One Health Plaza | | Date of Receipt |
| City | State Zip Code | Transaction ID: A2007-2655180 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 32.87 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 391.70 | |
| Full Name (Last, First, Middle Initial) Daniel S Bortfeld | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 2007 |
| City | State Zip Code | Transaction ID: A2007-2654426 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Aggregate Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (optional |) | 107.87 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 15/120 (check only one) X 11a |
|------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using | nd Statements may the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions osolicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | n Committee | | |
| Full Name (Last, First, Middle Initial) Keith E Boudreau | | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y Y 1 1 2 2 0 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2654100 |
| East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Corporation | Occupation | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) John T Brady III | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654596 |
| FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Leonard J Brandt | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654871 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07330 | 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 600.00 | |
| SUBTOTAL of Receipts This Page (optiona | J) | | 100.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 16 / 120 (check only one) X |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions of solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Andy J Brant Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654311 Amount of Each Receipt this Period 17.00 |
| Receipt For: Primary Other (specify) General | Occupation Executive | | |
| Full Name (Last, First, Middle Initial) George G Bretz Mailing Address One Health Plaza | | | Date of Receipt M |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2655207 Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | Occupation Executive Aggregate | | |
| Full Name (Last, First, Middle Initial) Kathy E Bronshtein Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | 1 2 2 0 2 0 7 Transaction ID: A2007-2654424 Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | Э | |
| Receipt For: Primary General Other (specify) | Aggregate | Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional |) | | 67.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 17 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Ellen M Browne Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654965 Amount of Each Receipt this Period 50.00 |
| Receipt For: Primary Other (specify) | Occupatio Executive | | |
| Full Name (Last, First, Middle Initial) Lucinda A Bruere Mailing Address One Health Plaza | | | Date of Receipt M |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654314 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | 1 2 2 0 2 0 7 Transaction ID: A2007-2655450 Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 400.00 | |
| SUBTOTAL of Receipts This Page (optional) |) | | 125.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 18 / 120 (check only one) X |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | γ not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Bo Bujnowski Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654767 Amount of Each Receipt this Period 17.00 |
| Receipt For: Primary Other (specify) | Occupation Executive | | |
| Full Name (Last, First, Middle Initial) Robert S Bullock II Mailing Address One Health Plaza | | | Date of Receipt 1 2 2 0 2 0 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654335 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| Full Name (Last, First, Middle Initial) Deborah A Bumbaugh Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654693 Amount of Each Receipt this Period 100.00 |
| Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) | Occupation Executive | |] |
| SUBTOTAL of Receipts This Page (optional |) | | 142.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 19 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|--------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| NAME OF COMMITTEE (In Full) | Statements may not be sold or used by any perse name and address of any political committee to | |
| Novartis Corporation Political Action | Committee | |
| Full Name (Last, First, Middle Initial) Brenton D Burnett | | Date of Receipt |
| Mailing Address One Health Plaza | Ctata 7'in Cada | 12 20 20 7 |
| City <u>East Hanover</u> | State Zip Code NJ 07936 | Transaction ID: A2007-2654288 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 22.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 264.00 | |
| Full Name (Last, First, Middle Initial) Richard Burns | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 207 |
| City | State Zip Code | Transaction ID: A2007-2654096 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 30.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General | Aggregate Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 360.00 | |
| Full Name (Last, First, Middle Initial) Catherine T Burton | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 207 |
| City | State Zip Code | Transaction ID: A2007-2655106 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 120.39 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1438.80 | |
| SUBTOTAL of Receipts This Page (optional) | | 172.39 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 20 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | d Statements may not be sold or used by any person the name and address of any political committee to Committee | n for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) S P Byler Mailing Address One Health Plaza | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State Zip Code NJ 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2655376 Amount of Each Receipt this Period 20.00 |
| Receipt For: Primary Other (specify) General General | Occupation Executive Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Timothy S Byler Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 0 7 |
| City East Hanover FEC ID number of contributing | State Zip Code NJ 07936 | Transaction ID: A2007-2654660 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | Occupation Executive Aggregate Year-to-Date 210.00 | |
| Full Name (Last, First, Middle Initial) Sunny G Cade Mailing Address One Health Plaza | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654296 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: | Occupation Executive Aggregate Year-to-Date | _ |
| Primary General Other (specify) ▼ | 300.00 | |
| SUBTOTAL of Receipts This Page (optional) | | 70.00 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Χ) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 21 / 120 (check only one) X 11a |
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| Any information copied from such Reports ar or for commercial purposes, other than using | nd Statements may the name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions osolicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | n Committee | | |
| Full Name (Last, First, Middle Initial) Norman A Caldwell | | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y Y 1 1 2 2 0 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2654992 |
| East Hanover FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Ronald M Califre | <u> </u> | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654866 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07930 | 416.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | - 1 ' | e Year-to-Date ▼ 4992.00 | |
| Full Name (Last, First, Middle Initial) Lindsay R Callaghan | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655239 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07930 | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional | al) | | 456.00 |

| SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 22 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | name and address of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) William B Campbell Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 300.00 | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2654629 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First, Middle Initial) Todd D Cantin Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 240.00 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Other (specify) ▼ Full Name (Last, First, Middle Initial) James P Carey Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State Zip Code NJ 07936 C Occupation | Date of Receipt 1 2 2 0 2 0 0 7 Transaction ID: A2007-2655116 Amount of Each Receipt this Period 73.74 |
| Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Executive Aggregate Year-to-Date 872.53 | |
| SUBTOTAL of Receipts This Page (optional) | | 118.74 |

| SCHEDULE A (FEC Form 3) TEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 23 / 120 (check only one) X |
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| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) | nd Statements may the name and add | y not be sold or used by any perso dress of any political committee to | |
| Novartis Corporation Political Action | on Committee | | |
| Full Name (Last, First, Middle Initial) James L Carrico | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City | State | Zip Code | Transaction ID: A2007-2654400 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 30.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | 7 |
| Receipt For: | | e Year-to-Date ▼ | 7 |
| Primary General Other (specify) ▼ | | 360.00 | |
| Full Name (Last, First, Middle Initial) Daniel P Casserly | ı | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 207 |
| City | State | Zip Code | Transaction ID: A2007-2654562 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 200.00 |
| Name of Employer Novartis Services Incorpo- rated | Occupation Executive | | 7 |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 2400.00 | |
| Full Name (Last, First, Middle Initial) Steven J Catalano | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City | State | Zip Code | Transaction ID: A2007-2655149 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 84.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | 7 |
| Receipt For: | Aggregate | e Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | | 1000.00 | |
| SUBTOTAL of Receipts This Page (optional | | | 314.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 24 / 120 (check only one) X |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and ad | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions |
| Full Name (Last, First, Middle Initial) Atindra N Chaturvedi Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State NJ C Occupatio | | Date of Receipt M M M / 20 / 2007 Transaction ID: A2007-2654787 Amount of Each Receipt this Period 50.00 |
| Receipt For: Primary General Other (specify) | 1 | e Year-to-Date ▼ 600.00 | |
| Full Name (Last, First, Middle Initial) Stephen A Cheren Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State NJ C Occupation Executive Aggregate | | Date of Receipt M M Z 20 Z 2007 Transaction ID: A2007-2654976 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First, Middle Initial) Barbara Christensen-Boner Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State NJ C Occupation Executive Aggregate | | Date of Receipt M M / 20 |
| SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number | | • | 123.61 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 25 / 120 (check only one) X |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | Statements may not be sold or used by any personal ename and address of any political committee to Committee | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Christina M Clinton Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 550.00 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Jeffery C Cochrane Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 278.46 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Jeffrey L Collmar Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 300.00 | Date of Receipt M M J D D J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| SUBTOTAL of Receipts This Page (optional) . | | 100.36 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 26 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|-------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|
| Any information copied from such Reports and S or for commercial purposes, other than using the | tatements may not be sold or used by any perso name and address of any political committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | ommittee | |
| Full Name (Last, First, Middle Initial) Burt Cook | | Date of Receipt |
| Mailing Address One Health Plaza | | M M / D D / Y Y Y Y Y Y Y 1 2 0 0 7 |
| City | State Zip Code | Transaction ID: A2007-2655088 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | _ |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Paulo F Costa | | Date of Receipt |
| Mailing Address One Health Plaza | | M M / D D / Y Y Y Y Y Y Y 12 20 2007 |
| City | State Zip Code | Transaction ID: A2007-2653988 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 416.00 |
| Name of Employer Novartis Services Incorpo- rated | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 4992.00 | |
| Full Name (Last, First, Middle Initial) Michael L Crisci | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 7 2007 |
| City | State Zip Code | Transaction ID: A2007-2654516 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional) | | 456.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 27 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements may e name and addi | not be sold or used by any person ess of any political committee to | |
| Novartis Corporation Political Action C | Committee | | |
| Full Name (Last, First, Middle Initial) Eric Dammeyer | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 207 |
| City | State | Zip Code | Transaction ID: A2007-2654445 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate ` | Year-to-Date ▼ 570.00 | |
| Full Name (Last, First, Middle Initial) Spencer E Davidson | <u> </u> | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2654366 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate ` | Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) William S Davis IV | 1 | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | State | Zip Code | Transaction ID: A2007-2655408 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate ` | Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional) | |) | 90.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 28 / 120 (check only one) X |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|---------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Clint D Degner Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654252 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | C | n | 25.00 |
| Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Executive | |] |
| Full Name (Last, First, Middle Initial) Donald W DeGolyer Mailing Address One Health Plaza | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | State | Zip Code | Transaction ID: A2007-2654895 |
| East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 40.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 480.00 | |
| Full Name (Last, First, Middle Initial) Ronald E Dei Cas | ' | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 207 |
| City <u>East Hanover</u> | State NJ | Zip Code 07936 | Transaction ID: A2007-2655103 |
| FEC ID number of contributing federal political committee. | C | 0/930 | Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 525.00 | |
| SUBTOTAL of Receipts This Page (optional |) | | 115.00 |

| SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 29 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 1 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti | and Statements may not be sold or used by any persong the name and address of any political committee to committee | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Robert J Derbes Mailing Address One Health Plaza City | State Zip Code | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 35.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date ▼ 420.00 | |
| Full Name (Last, First, Middle Initial) Kimberly A Derchak Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2654540 Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 360.00 | |
| Full Name (Last, First, Middle Initial) Randal Dias Mailing Address One Health Plaza | | Date of Receipt |
| City | State Zip Code | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654809 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (option | al) | 90.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 30 / 120 (check only one) X |
|-------------------------------------------------------------------------------------------------|-----------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| Any information copied from such Reports and S or for commercial purposes, other than using the | tatements ma name and ad | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | Committee | | |
| Full Name (Last, First, Middle Initial) | | | Date of Descipt |
| Candace B Dibblee Mailing Address One Health Plaza | | | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y |
| City | State | Zip Code | Transaction ID: A2007-2654987 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | С | | 87.22 |
| Name of Employer Novartis Services Incorpo- rated | Occupatio Executive | | |
| Receipt For: | | e Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 0 0 | 1949.88 | |
| Full Name (Last, First, Middle Initial) Thomas D Dolan | 1 | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | State | Zip Code | Transaction ID: A2007-2654048 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Annette Donahey | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City | State | Zip Code | Transaction ID: A2007-2654386 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (optional) | | | 137.22 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 31 / 120 (check only one) X |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) David P Drake Mailing Address One Health Plaza | | | Date of Receipt 1 2 0 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654784 Amount of Each Receipt this Period 164.01 |
| Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼ | Occupatio Executive | | |
| Full Name (Last, First, Middle Initial) Steven L Dreamer Mailing Address One Health Plaza | <u> </u> | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654187 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharma Suffern Receipt For: Primary Other (specify) ▼ | Occupation Executive Aggregate | |] |
| Full Name (Last, First, Middle Initial) James S Du Bois Jr. Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654373 Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional |) | | 209.01 |

| | SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 32 / 120 (check only one) X 11a |
|----------|------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| | Any information copied from such Reports and Sor for commercial purposes, other than using the | Statements ma e name and ad | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (| Committee | | |
| Α. | Full Name (Last, First, Middle Initial) Stanley B Dudek | | | Date of Receipt |
| | Mailing Address One Health Plaza | 01-1- | 7'. 0.1. | 12 20 2007 |
| | City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654817 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 25.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| - В. | Full Name (Last, First, Middle Initial) William J Dwyer | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 207 |
| | City | State | Zip Code | Transaction ID: A2007-2654703 |
| | East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 25.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executiv | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 |] |
| с. С. | Full Name (Last, First, Middle Initial) David M Eberenz Jr. | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654643 |
| | FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 30.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 360.00 | |
| | SUBTOTAL of Receipts This Page (optional) . | | | 80.00 |
| f | TOTAL This Period (last page this line number | | <u> </u> | |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 33 / 120 (check only one) X 11a 11b 11c 12 |
|------------------------------------------------------------------------------------------|-------------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| Any information against from augh Panarta an | d Statementa ma | , , | 13 14 15 16 |
| Any information copied from such Reports an or for commercial purposes, other than using | the name and add | rnot be sold or used by any persidress of any political committee to | on for the purpose of soliciting contributions of solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | n Committee | | |
| Full Name (Last, First, Middle Initial) | | | |
| John H Eckhardt Mailing Address One Health Plaza | | | Date of Receipt M M |
| City | State | Zip Code | Transaction ID: A2007-2654884 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 18.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | | Year-to-Date ▼ | - |
| Primary General Other (specify) ▼ | , igg, egale | 216.00 | |
| Full Name (Last, First, Middle Initial) James A Edwards | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 YYYYY 12 20 2007 |
| City | State | Zip Code | Transaction ID: A2007-2655144 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 600.00 | |
| Full Name (Last, First, Middle Initial) James R Elkin | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 YYYYY 12 20 2007 |
| City | State | Zip Code | Transaction ID: A2007-2654223 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 416.00 |
| Name of Employer Novartis Services Incorpo- rated | Occupation Executive | | |
| Receipt For: | Aggregate | Year-to-Date V | |
| Primary General Other (specify) ▼ | | 4992.00 | |
| SUBTOTAL of Receipts This Page (optional |) | | 484.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | ^) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 34 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using | d Statements may the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions osolicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | n Committee | | |
| Full Name (Last, First, Middle Initial) David N Elsasser | | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y Y 1 1 2 2 0 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2654093 |
| East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Jeffrey J Elton | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 20 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655503 |
| FEC ID number of contributing federal political committee. | C | 07950 | Amount of Each Receipt this Period 50.00 |
| Name of Employer NIBRI | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | - ' | Year-to-Date ▼ 600.00 | |
| Full Name (Last, First, Middle Initial) Michael R Emch | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654046 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07330 | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (optional |) | | 100.00 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS Any information copied from such Benorts at | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 35 / 120 (check only one) X |
|------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and address of any political committee to | solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Nancy E Emerson Mailing Address One Health Plaza | | Date of Receipt |
| City | State Zip Code | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654653 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Russel A Emerson Jr. Mailing Address One Health Plaza | - | Date of Receipt |
| City | State Zip Code | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654646 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Steven A Engelhardt | 1 | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 7 20 7 |
| City <u>East Hanover</u> | State Zip Code NJ 07936 | Transaction ID: A2007-2654839 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 188.02 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 2238.10 | |
| SUBTOTAL of Receipts This Page (options | | 233.02 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 36 / 120 (check only one) X |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | γ not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Rita D Engler Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654750 Amount of Each Receipt this Period 25.70 |
| Receipt For: Primary Other (specify) | Occupation Executive | | 1 |
| Full Name (Last, First, Middle Initial) David R Epstein Mailing Address One Health Plaza | | | Date of Receipt M |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654841 Amount of Each Receipt this Period 100.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | Occupation Executive Aggregate | | |
| Full Name (Last, First, Middle Initial) David J Erb Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654727 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | Э | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] |
| SUBTOTAL of Receipts This Page (optional |) | | 150.70 |

| SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 37 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti | and Statements may not be sold or used by any persong the name and address of any political committee to on Committee | on for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Christopher Esposito Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 640.00 | Date of Receipt M |
| Full Name (Last, First, Middle Initial) Eric W Evans Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 800.00 | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Judith J Ewalt Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 300.00 | Date of Receipt M M 20 20 0 7 Transaction ID: A2007-2654589 Amount of Each Receipt this Period 25.00 |
| SUBTOTAL of Receipts This Page (option | al) | 200.00 |

| | SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 38 / 120 (check only one) X 11a |
|----------|------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| | Any information copied from such Reports and sor for commercial purposes, other than using the | Statements ma e name and ad | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (| Committee | | |
| Α. | Full Name (Last, First, Middle Initial) Philip L Faulkner Mailing Address One Health Plaza | | | Date of Receipt |
| | | 01-1- | 7'- 0-1- | 12 20 2007 |
| | City <u>East Hanover</u> | State NJ | Zip Code 07936 | Transaction ID: A2007-2655764 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executiv | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 240.00 | |
| Б. | Full Name (Last, First, Middle Initial) Robert E Fee | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 207 |
| | City | State | Zip Code | Transaction ID: A2007-2654968 |
| | East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 54.83 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executiv | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 732.91 | |
| с. С. | Full Name (Last, First, Middle Initial) Jeffery E Firment | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City <u>East Hanover</u> | State NJ | Zip Code 07936 | Transaction ID: A2007-2655210 |
| | FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 25.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| | SUBTOTAL of Receipts This Page (optional) . | 1 | | 99.83 |
| | TOTAL This Period (last page this line number | | <u> </u> | |

| SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 39 / 120 (check only one) X |
|---------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti | and Statements may not be sold or used by any persong the name and address of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) James E Foley Mailing Address One Health Plaza | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State Zip Code NJ 07936 | Transaction ID: A2007-2654969 Amount of Each Receipt this Period 25.00 |
| Receipt For: Primary Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 300.00 | 25.00 |
| Full Name (Last, First, Middle Initial) Andrew T Footo Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2655097 Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 240.00 | 1 |
| Full Name (Last, First, Middle Initial) Matthew C Foster Mailing Address One Health Plaza | | Date of Receipt |
| City East Hanover | State Zip Code NJ 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654575 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | Occupation | 25.00 |
| Receipt For: Primary General Other (specify) ▼ | Executive Aggregate Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (option | al) | 70.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 40 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | d Statements may not be sold or used by any persible name and address of any political committee to | |
| Full Name (Last, First, Middle Initial) Paul Frederick Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Date of Receipt M M |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date ▼ 557.04 | |
| Full Name (Last, First, Middle Initial) Debra E Freire Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City | State Zip Code | Transaction ID: A2007-2654887 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 600.00 | |
| Full Name (Last, First, Middle Initial) Carolyn J Fromm | | Date of Receipt |
| Mailing Address One Health Plaza | | 1 2 2 0 2 0 0 7 |
| City | State Zip Code | Transaction ID: A2007-2654972 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional | | 116.72 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | ·) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 41 / 120 (check only one) X 11a |
|------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using | d Statements may the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions osolicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | n Committee | | |
| Full Name (Last, First, Middle Initial) Neely T Frye | | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y 1 1 2 2 0 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2654728 |
| East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 110.55 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 1300.75 | |
| Full Name (Last, First, Middle Initial) Salvatore Fusco Jr. | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 20 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654938 |
| FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | · | e Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Jill H Gaither | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655002 |
| FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (optional | <u> </u> | | 155.55 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 42 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | ne name and address of any political committee | rson for the purpose of soliciting contributions to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Edward G Gajewski | | Date of Receipt |
| Mailing Address One Health Plaza | 7. 0.1 | 1 2 D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2654407 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Albert Galloza Mailing Address One Health Plaza | | Date of Receipt |
| Maining Address One Health Plaza | | 12 20 2007 |
| City | State Zip Code | Transaction ID: A2007-2654087 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 40.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 520.00 | |
| Full Name (Last, First, Middle Initial) Valerie L Gerbino | | Date of Receipt |
| Mailing Address One Health Plaza | | 1 2 2 0 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | State Zip Code | Transaction ID: A2007-2655032 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 360.00 | |
| SUBTOTAL of Receipts This Page (optional) | | 90.00 |
| TOTAL This Period (last page this line number | | |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 43 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 11 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|
| Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full) Novartis Corporation Political Activ | nd Statements may not be sold or used by any per g the name and address of any political committee on Committee | rson for the purpose of soliciting contributions to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Stephen M Gibbs Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 0 7 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2655037 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | Occupation | 20.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 240.00 | |
| Full Name (Last, First, Middle Initial) Melissa F Gifford Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City | State Zip Code | Transaction ID: A2007-2655517 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Thomas E Giles | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2654894 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 175.00 |
| Name of Employer Novartis Services Incorpo- rated | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 2100.00 | |
| SUBTOTAL of Receipts This Page (option | al) | 215.00 |

| SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 44 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | name and address of any political committee | rson for the purpose of soliciting contributions |
| Full Name (Last, First, Middle Initial) Robert Gines Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Other (specify) Full Name (Last, First, Middle Initial) Brian J Goldfus Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State Zip Code NJ 07936 C Occupation Executive | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Janna S Goodman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | Aggregate Year-to-Date 240.00 State Zip Code NJ 07936 C | Date of Receipt M M |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 298.24 | |
| SUBTOTAL of Receipts This Page (optional) | | 145.09 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 45 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | d Statements may not be sold or used by any person the name and address of any political committee to a Committee | n for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Alex Gorsky Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Transaction ID: A2007-2655523 Amount of Each Receipt this Period 200.00 |
| Full Name (Last, First, Middle Initial) Ronald E Graham Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mark D Grebenau Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State Zip Code NJ 07936 C Occupation Executive | Date of Receipt M M M / D D / Y Y Y Y Y 1 2 0 0 7 Transaction ID: A2007-2655150 Amount of Each Receipt this Period 151.46 |
| Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 1735.47 | 394.39 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 46 / 120 (check only one) X |
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| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions |
| Full Name (Last, First, Middle Initial) Clinton D Green Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2655766 Amount of Each Receipt this Period 20.00 |
| Receipt For: Primary General | Occupation Executive Aggregate | | 20.00 |
| Other (specify) ▼ Full Name (Last, First, Middle Initial) Matthew L Griggs Mailing Address One Health Plaza City | State | Zip Code | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | NJ C Occupatio Executive | 07936 | Amount of Each Receipt this Period 20.00 |
| Receipt For: Primary General Other (specify) | | e Year-to-Date ▼ 240.00 | |
| Denise F Guillen Mailing Address One Health Plaza | | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654284 Amount of Each Receipt this Period 21.04 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 251.02 | |
| SUBTOTAL of Receipts This Page (optional |) | | 61.04 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 47 / 120 (check only one) X |
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| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and ad | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions |
| Full Name (Last, First, Middle Initial) Kurt Habel Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | State NJ C Occupatio Executive Aggregate | e e Year-to-Date ▼ | Date of Receipt M M M / 20 / 2007 Transaction ID: A2007-2654779 Amount of Each Receipt this Period 50.00 |
| Full Name (Last, First, Middle Initial) Sarah E Haller Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorpo- | State NJ C Occupatio Executive | | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| rated Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Jeffrey W Hardy Mailing Address One Health Plaza City East Hanover FEC ID number of contributing | Aggregate State NJ | Zip Code 07936 | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Receipt For: Primary Other (specify) | Occupation Executive Aggregate | | 30.00 |
| SUBTOTAL of Receipts This Page (optional) . TOTAL This Period (last page this line numbe | | • | 158.55 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate so for each categor Detailed Summa | y of the (Check only one) |
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| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and address of any political | d by any person for the purpose of soliciting contributions committee to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Daryl Harvey Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | Date of Receipt M M |
| Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | Date of Receipt M M |
| Full Name (Last, First, Middle Initial) Jerilyn Hayes Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M M / 20 / 2007 Transaction ID: A2007-2654849 Amount of Each Receipt this Period 35.00 |
| SUBTOTAL of Receipts This Page (optional) . | | 100.00 |

| SCHEDULE A (FEC FOITEMIZED RECEIPTS | rm 3X) Use separate sched for each category of Detailed Summary P | the Crieck only one) |
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| Any information copied from such I or for commercial purposes, other to NAME OF COMMITTEE (In Fu Novartis Corporation Politi | nan using the name and address of any political con | any person for the purpose of soliciting contributions nmittee to solicit contributions from such committee. |
| Full Name (Last, First, Middle In Joseph P Hazelton Mailing Address One Health City East Hanover FEC ID number of contributing federal political committee. | · | Date of Receipt 1 2 2 0 2 0 7 Transaction ID: A2007-2654763 Amount of Each Receipt this Period 29.58 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 34 | 7.16 |
| Full Name (Last, First, Middle Ir Debbie L Henderson Mailing Address One Health City | · | Date of Receipt M M D D Y Y Y Y Y Y Y Y |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer | NJ 07936 C Occupation | Amount of Each Receipt this Period 25.00 |
| Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Executive Aggregate Year-to-Date ▼ 30 | 0.00 |
| Full Name (Last, First, Middle In Michelle A Hendrix Mailing Address One Health | · | Date of Receipt 1 2 2 0 2 0 0 7 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2655771 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 35.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ | 5.00 |
| SUBTOTAL of Receipts This Pag | e (optional) | 89.58 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | for each ca | ate schedule(s) tegory of the ummary Page | FOR LINE NUMBER: PAGE 50 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and address of any po | r used by any perso litical committee to | n for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) William S Higgins Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State Zip Code NJ 07936 | | Transaction ID: A2007-2654615 Amount of Each Receipt this Period |
| Receipt For: Primary General | Occupation Executive Aggregate Year-to-Date | ▼ 300.00 | 25.00 |
| Full Name (Last, First, Middle Initial) William P Hittel Mailing Address One Health Plaza City | State Zip Code | 0 0 0 | Date of Receipt 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654881 |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: | NJ 07936 C Occupation Executive Aggregate Year-to-Date | | Amount of Each Receipt this Period 25.00 |
| Primary General Other (specify) Full Name (Last, First, Middle Initial) Cynthia Hogan Mailing Address One Health Plaza | - igg egme tout to 2 ato | 300.00 | Date of Receipt 1 2 2 0 2 0 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 C | | Transaction ID: A2007-2654019 Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date | 600.00 | |
| SUBTOTAL of Receipts This Page (optional) | | ······································ | 100.00 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 51 / 120 (check only one) X |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|---------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| Any information copied from such Reports are or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions of solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) John A Hohneker | | | Date of Receipt |
| Mailing Address One Health Plaza City | State | Zip Code | 1 2 2 0 2 0 7 2 0 7 Transaction ID: A2007-2654820 |
| East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) William C Hokanson Mailing Address One Health Plaza | | | Date of Receipt |
| | State | Zip Code | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654587 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 30.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 335.00 | |
| Full Name (Last, First, Middle Initial) Woodson M Hopkins | I | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 7 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2655231 |
| East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 520.00 | |
| SUBTOTAL of Receipts This Page (optional | al) | | 105.00 |

| | SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | Use separate for each cate Detailed Sur | | FOR LINE NUMBER: PAGE 52 / 120 (check only one) X 11a 11b 11c 12 15 16 17 |
|---------|---------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------------|
| , | Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements may not be sold or use name and address of any poli | used by any person tical committee to s | for the purpose of soliciting contributions olicit contributions from such committee. |
| | Novartis Corporation Political Action | Committee | | |
| ۷. | Full Name (Last, First, Middle Initial) James P Howard | | | Date of Receipt |
| | Mailing Address One Health Plaza | Charles 7:- Condo | | 12 20 2007 |
| | City <u>East Hanover</u> | State Zip Code NJ 07936 | | Transaction ID: A2007-2655050 |
| | FEC ID number of contributing federal political committee. | C | | Amount of Each Receipt this Period 20.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date | 240.00 | |
| 3. | Full Name (Last, First, Middle Initial) Gene M Hughes | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 207 |
| | City | State Zip Code | | Transaction ID: A2007-2655142 |
| | East Hanover | NJ 07936 | | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date | 500.00 | |
| - :. | Full Name (Last, First, Middle Initial) Mathias Hukkelhoven | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 2007 |
| | City | State Zip Code | | Transaction ID: A2007-2654828 |
| | East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | 1 1 | Amount of Each Receipt this Period 50.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | - |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date | 600.00 | |
| | SUBTOTAL of Receipts This Page (optional) . | 1 | | 120.00 |

| | SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 53 / 120 (check only one) X |
|----------|---------------------------------------------------------------------------------------------------------------------------------|----------------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| <i>A</i> | Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements may e name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | Novartis Corporation Political Action | Committee | | |
| Α. | Full Name (Last, First, Middle Initial) Deborah C Hunter | | | Date of Receipt |
| | Mailing Address One Health Plaza | 2: : | 7: 0 1 | 12 20 2007 |
| | City <u>East Hanover</u> | State NJ | Zip Code 07936 | Transaction ID: A2007-2654383 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 25.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| 3. | Full Name (Last, First, Middle Initial) Steven R Hvezdos | 1 | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City | State | Zip Code | Transaction ID: A2007-2654268 |
| | East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 25.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| _ : | Full Name (Last, First, Middle Initial) Eric J Intfen | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654163 |
| | FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 23.73 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 284.34 | |
| | SUBTOTAL of Receipts This Page (optional) . | 1 | | 73.73 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Χ) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 54 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using | nd Statements may the name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions oslicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | n Committee | | |
| Full Name (Last, First, Middle Initial) Karen A Jacobs | | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y 1 1 2 2 0 2 0 0 7 |
| City East Hanover | State | Zip Code | Transaction ID: A2007-2654857 |
| FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 40.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 360.00 | |
| Full Name (Last, First, Middle Initial) Jonathan S Jaffe | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 20 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655554 |
| FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 400.00 | |
| Full Name (Last, First, Middle Initial) Mary A Jarema | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655124 |
| FEC ID number of contributing federal political committee. | C | 0/936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional | I J)(l | _ | 110.00 |

| | SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 55 / 120 (check only one) X |
|---------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| | Any information copied from such Reports and sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements ma e name and ad | y not be sold or used by any person dress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | Novartis Corporation Political Action (| Committee | | |
| Α. | Full Name (Last, First, Middle Initial) Edgar L Jarvis Mailing Address One Health Plaza | | | Date of Receipt |
| | City | State | Zip Code | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654330 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 25.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| - В. | Full Name (Last, First, Middle Initial) Gail M Jesnig | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 20 7 |
| | City | State | Zip Code | Transaction ID: A2007-2655559 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ♥ | Aggregate | e Year-to-Date ▼ 240.00 | |
| - С. | Full Name (Last, First, Middle Initial) Sara Johnson-Davis | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City | State | Zip Code | Transaction ID: A2007-2655222 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 240.00 | |
| | SUBTOTAL of Receipts This Page (optional) . | 1 | | 65.00 |
| | TOTAL This Period (last page this line number | | <u> </u> | |

| | SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 56 / 120 (check only one) X 11a |
|---------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| (| Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements ma e name and ad | y not be sold or used by any personders of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | Novartis Corporation Political Action | Committee | | |
| Α. | Full Name (Last, First, Middle Initial) Mark A Joines Mailing Address One Health Plaza | | | Date of Receipt |
| | | State | Zip Code | 12 20 2007 |
| | City East Hanover | NJ | 21p Code 07936 | Transaction ID: A2007-2654533 Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | 0.000 | 20.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 240.00 | |
| _ В. | Full Name (Last, First, Middle Initial) David S Jones | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 207 |
| | City | State | Zip Code | Transaction ID: A2007-2653986 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 50.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 600.00 | |
| _ C. | Full Name (Last, First, Middle Initial) Gregory Jones | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 207 |
| | City | State | Zip Code | Transaction ID: A2007-2654363 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 20.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 240.00 | |
| | SUBTOTAL of Receipts This Page (optional) . | | | 90.00 |
| | TOTAL This Period (last page this line number | | <u> </u> | |

| | IEDULE A (FEC Form 3X) MIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 57 / 120 (check only one) X |
|------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| or for o | formation copied from such Reports and St commercial purposes, other than using the ME OF COMMITTEE (In Full) ovartis Corporation Political Action C | name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| A. She Ma City Ea FE fed Na No ion | ast Hanover C ID number of contributing deral political committee. The proper over the contributing deral political committee. The proper over the contributing deral political committee. | State NJ C Occupation Executive Aggregate | | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2654200 Amount of Each Receipt this Period 73.93 |
| B. Yat Ma City <u>Ea</u> | ast Hanover C ID number of contributing | State NJ | Zip Code 07936 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Na No | deral political committee. Ime of Employer ovartis Pharmaceuticals oceipt For: Primary General Other (specify) | Occupation Executive | | |
| Lyr Ma City Ea | ast Hanover C ID number of contributing deral political committee. | State NJ | Zip Code 07936 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | me of Employer ovartis Pharmaceuticals ceipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| SUBT | TOTAL of Receipts This Page (optional) | | | 118.93 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 58 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | nd Statements may not be sold or used by any person the name and address of any political committee to n Committee | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Michael E Kehoe Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Corporation Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation staff Aggregate Year-to-Date 2030.60 | Date of Receipt M |
| Full Name (Last, First, Middle Initial) Michael E Kehoe Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Corporation Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation staff Aggregate Year-to-Date 2122.90 | Date of Receipt M M 2 1 2 0 0 7 |
| Full Name (Last, First, Middle Initial) Carol A Kent Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 240.00 | Date of Receipt M M M |
| SUBTOTAL of Receipts This Page (optional | l) | 204.60 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 59 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 1 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | d Statements may not be sold or used by any personal the name and address of any political committee on Committee | son for the purpose of soliciting contributions to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Andrew J Kessler Mailing Address One Health Plaza City | State Zip Code | Date of Receipt M |
| East Hanover FEC ID number of contributing | NJ 07936 | Transaction ID: A2007-2654683 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 300.00 | |
| Full Name (Last, First, Middle Initial) Karen L Key Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 0 7 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2655834 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 500.00 | |
| Full Name (Last, First, Middle Initial) Dennis S Keyes | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 / 20 / Y Y Y Y Y |
| City <u>East Hanover</u> | State Zip Code NJ 07936 | Transaction ID: A2007-2654532 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 36.98 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 439.56 | |
| SURTOTAL of Receipts This Page (ontions | l) | 111.98 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 60 / 120 (check only one) X 11a |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | d Statements may not be sold or used by any person the name and address of any political committee to an Committee | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Richard E Knapp Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt 12 20 20 7 Transaction ID: A2007-2654457 Amount of Each Receipt this Period 200.00 |
| Full Name (Last, First, Middle Initial) Karitsa E Koski Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Crystal L Kuntz Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 560.00 | Date of Receipt M M 20 20 2007 Transaction ID: A2007-2655285 Amount of Each Receipt this Period 50.00 |
| SUBTOTAL of Receipts This Page (optional | l) | 270.00 |

| | SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS | 1 | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 61 / 120 (check only one) X |
|--------|---------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| 0 | Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements may no le name and addres | t be sold or used by any persons of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | Novartis Corporation Political Action | Committee | | |
| ۷. | Full Name (Last, First, Middle Initial) Keith A LaDue | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City | State NJ | Zip Code | Transaction ID: A2007-2654768 |
| | East Hanover FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 50.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Ye | ar-to-Date ▼ 600.00 | |
| 3. | Full Name (Last, First, Middle Initial) Sharon Larrison Mailing Address One Health Plaza | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655084 |
| | FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 40.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Ye | ar-to-Date ▼ 480.00 | |
| _ | Full Name (Last, First, Middle Initial) Robert F Laverty | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 207 |
| | City | State | Zip Code | Transaction ID: A2007-2654867 |
| | East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period |
| | Name of Employer Novartis Finance Corporat- ion | Occupation Executive | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate Ye | ar-to-Date ▼ 1200.00 | |
| | SUBTOTAL of Receipts This Page (optional) | | | 190.00 |

| | CHEDULE A (FEC Form 3X) EMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 62 / 120 (check only one) X 11a |
|----------|----------------------------------------------------------------------------------------------------------------------|------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Ar or | y information copied from such Reports and for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | e name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | Novartis Corporation Political Action | Committee | | |
| | Full Name (Last, First, Middle Initial) Richard E Lemire Mailing Address One Health Plaza | | | Date of Receipt |
| | Willing Address Offe Health Flaza | | | 12 20 207 |
| | City | State | Zip Code | Transaction ID: A2007-2654000 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 77.40 |
| | Name of Employer Novartis Pharma Suffern | Occupatio Executive | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 923.56 | |
| _ | Full Name (Last, First, Middle Initial) Gary D Lindenbaum | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| | City | State | Zip Code | Transaction ID: A2007-2654790 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 30.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| | Receipt For: | Aggregate | e Year-to-Date 🔻 | |
| | Primary General Other (specify) ▼ | | 360.00 | |
| | Full Name (Last, First, Middle Initial) Manuel B Litchman | | | Date of Receipt |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 |
| | City | State | Zip Code | Transaction ID: A2007-2654978 |
| | East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| | FEC ID number of contributing federal political committee. | C | | 25.00 |
| | Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| | Receipt For: | Aggregate | e Year-to-Date ▼ | |
| | Primary General Other (specify) ▼ | | 240.00 | |
| | | | | 132.40 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 63 / 120 (check only one) X 11a |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | d Statements may not be sold or used by any pers the name and address of any political committee to a Committee | |
| Full Name (Last, First, Middle Initial) Jeffrey W Lockwood Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2654975 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer | Occupation | 50.00 |
| Name of Employer NIBRI Receipt For: Primary General Other (specify) ▼ | Executive Aggregate Year-to-Date 600.00 | |
| Full Name (Last, First, Middle Initial) Michael J Lorenz Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City | State Zip Code | Transaction ID: A2007-2655233 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Don Losordo | • | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 2007 |
| City | State Zip Code NJ 07936 | Transaction ID: A2007-2654973 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional |) | 90.00 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | X) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 64 / 120 (check only one) |
|-----------------------------------------------------------------------------------------|------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports a or for commercial purposes, other than using | and Statements may | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | <u> </u> | ,,, | |
| Full Name (Last, First, Middle Initial) Frederic D Loveland | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654810 |
| FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Lon D Lowrey Mailing Address One Health Plaza | I | | Date of Receipt |
| | 01-1- | 7'- 0-4- | 12 20 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654189 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 125.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 1500.00 | |
| Full Name (Last, First, Middle Initial) David T Mac Askill | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654545 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 0.000 | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (options | al) | | 175.00 |

| City State Zip Code | SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 65 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard L Maiocco Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmacouticals Receipt Fo: Primary General Other (specify) ▼ 240.00 Full Name (Last, First, Middle Initial) John Mandala Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Primary General City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmacouticals Cc. Full Name (Last, First, Middle Initial) Fec ID number of contributing federal political committee. Cc. Name of Employer Novartis Pharmacouticals Cc. Full Name (Last, First, Middle Initial) Frimary General Other (specify) ▼ 240.00 Cc. Full Name (Last, First, Middle Initial) Francis D Mangieri Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Cc. Full Name (Last, First, Middle Initial) Francis D Mangieri Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Cc. NJ 07936 FEC ID number of contributing federal political committee. Cc. Name of Employer Novartis Pharmaceuticals Cc. NJ 07936 FEC ID number of contributing federal political committee. Cc. Name of Employer Novartis Pharmaceuticals NJ 07936 FEC ID number of contributing federal political committee. Cc. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Aggregate Year-to-Date ▼ Primary General | or for commercial purposes, other than using the | Statements may not be sold or used by any persename and address of any political committee | son for the purpose of soliciting contributions to solicit contributions from such committee. |
| A. Richard I. Maiocoo Mailling Address One Health Plaza City State Zip Code East Hanover NJ 07936 Amount of Each Receipt this Peric Receipt For: Other (specify) ▼ State Zip Code | 1 \ | Committee | |
| City State Zip Code Rast Hanover NJ 07936 FEC ID number of contributing federal political committee. NJ 07936 C C C C C C C C C C C C C C C C C C C | Richard L Maiocco | | |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Aggregate Year-to-Date ▼ | | State 7'in Code | 12 20 2007 |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ 240.00 Full Name (Last, First, Middle Initial) John Mandala Mailing Address One Health Plaza City State Zip Code N.J 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Occupation Executive Receipt For: Primary General Other (specify) ▼ 240.00 Date of Receipt 12 2 0 2 0 2 0 12 0 12 0 12 0 12 0 12 | - | | |
| Receipt For: | FEC ID number of contributing | | 20.00 |
| Primary General Other (specify) ▼ | Name of Employer Novartis Pharmaceuticals | • | |
| John Mandala Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Full Name (Last, First, Middle Initial) Francis D Mangieri Mailing Address One Health Plaza City State Zip Code NJ 07936 C 20 Transaction ID: A2007-265448 Amount of Each Receipt this Peric 20 Date of Receipt Transaction ID: A2007-2655449 Amount of Each Receipt this Peric 20 Date of Receipt Transaction ID: A2007-265545 Executive State Zip Code NJ 07936 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 21 Date of Receipt 22 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 23 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 24 Date of Receipt 25 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 26 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 26 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 26 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 26 Amount of Each Receipt This Peric 27 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 27 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 28 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 29 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount of Each Receipt this Peric 20 Transaction ID: A2007-265512 Amount | Primary General | | |
| City State Zip Code Transaction ID: A2007-265448 East Hanover NJ 07936 PEC ID number of contributing federal political committee. C C Cocupation Executive Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ City State Zip Code NJ 07936 Transaction ID: A2007-265448 Amount of Each Receipt this Peric Peric Primary General Other (specify) ▼ Date of Receipt Transaction ID: A2007-265512 Date of Receipt Transaction ID: A2007-265512 Amount of Each Receipt Transaction ID: A2007-265512 Amount of Each Receipt Transaction ID: A2007-265512 Amount of Each Receipt this Peric Date Transaction ID: A2007-265512 Amount of Each Receipt this Peric State Sip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General Occupation Executive Aggregate Year-to-Date ▼ | John Mandala | | <u> </u> |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Francis D Mangieri Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Fec ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Occupation Executive Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ | Mailing Address One Health Plaza | | |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Francis D Mangieri Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Occupation Executive Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ | • | - | Transaction ID: A2007-2654494 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Francis D Mangieri Mailing Address One Health Plaza City State Zip Code East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Occupation Executive Aggregate Year-to-Date ▼ Transaction ID: A2007-265512 Amount of Each Receipt this Peric 30 Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ | | NJ 07936 | Amount of Each Receipt this Period |
| Novartis Pharmaceuticals Receipt For: | federal political committee. | | 20.00 |
| Primary General Other (specify) ▼ Pull Name (Last, First, Middle Initial) Francis D Mangieri Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Aggregate Year-to-Date ▼ 240.00 Date of Receipt Transaction ID: A2007-265512 Amount of Each Receipt this Perice 30 | Name of Employer Novartis Pharmaceuticals | · | |
| Transaction ID: A2007-265512 City State Zip Code East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Date of Receipt Transaction ID: A2007-265512 Amount of Each Receipt this Period Transaction ID: A2007-265512 Amount of Each Receipt this Period Aggregate Year-to-Date ▼ | Primary General | | |
| City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General 1 2 2 0 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | , , , , , , , , , , , , , , , , , , , , | 1 | Date of Receipt |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Amount of Each Receipt this Period Occupation Executive Aggregate Year-to-Date Aggregate Year-to-Date Aggregate Year-to-Date | Mailing Address One Health Plaza | | |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General C Occupation Executive Aggregate Year-to-Date | - | ' | Transaction ID: A2007-2655120 |
| Receipt For: Primary General Aggregate Year-to-Date ▼ | FEC ID number of contributing | | Amount of Each Receipt this Period 30.00 |
| Primary General 350,00 | Name of Employer Novartis Pharmaceuticals | 1 · · | |
| | Primary General | | |
| SUBTOTAL of Receipts This Page (optional) | SUBTOTAL of Receipts This Page (optional) . | | 70.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 66 / 120 (check only one) X |
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| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Mary L Manning Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2655205 Amount of Each Receipt this Period 83.33 |
| Receipt For: Primary General | Occupation Executive Aggregate | | 65.55 |
| Full Name (Last, First, Middle Initial) Annette A Mansfield Mailing Address One Health Plaza City | State | Zip Code | Date of Receipt M M 20 2007 Transaction ID: A2007-2654862 |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | Occupation Executive | 07936 n | Amount of Each Receipt this Period 20.00 |
| Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) William R Marshall II Mailing Address One Health Plaza | Aggregate | e Year-to-Date ▼ 240.00 | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654191 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation Executive Aggregate | | |
| SUBTOTAL of Receipts This Page (optional |) | | 128.33 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separat for each cate Detailed Sur | e schedule(s) egory of the | FOR LINE NUMBER: PAGE 67 / 120 (check only one) X |
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| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and address of any pol | used by any person f itical committee to so | or the purpose of soliciting contributions licit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Susan E Martin Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | 300.00 | Date of Receipt M M M / D D / Y Y Y Y Y Transaction ID: A2007-2655585 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First, Middle Initial) Kenneth L Massey Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | 600.00 | Date of Receipt M M M / D D / Y Y Y Y Y Transaction ID: A2007-2654602 Amount of Each Receipt this Period 50.00 |
| Full Name (Last, First, Middle Initial) William R Matthews Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | 460.40 | Date of Receipt M M A 20 20 7 Transaction ID: A2007-2654712 Amount of Each Receipt this Period 38.63 |
| SUBTOTAL of Receipts This Page (optional) . | | ······ | 113.63 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 68 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
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| Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | d Statements may not be sold or used by any perso the name and address of any political committee to | |
| Full Name (Last, First, Middle Initial) Arthur M Mauceri Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State Zip Code NJ 07936 C Occupation Executive | Date of Receipt M M J D D J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) | Aggregate Year-to-Date ▼ 240.00 | |
| Vivian H Mc Cain Mailing Address One Health Plaza City | State Zip Code | Date of Receipt M |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharma Suffern | NJ 07936 C Occupation Executive | Amount of Each Receipt this Period 25.00 |
| Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) G. M Mc Garry Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2654962 Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 360.00 | |
| SUBTOTAL of Receipts This Page (optional |) | 75.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 69 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
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| Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | d Statements may not be sold or used by any per the name and address of any political committee in Committee | |
| Full Name (Last, First, Middle Initial) Cheryl L Mc Intosh Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | Date of Receipt 1 2 20 7 2007 Transaction ID: A2007-2654313 Amount of Each Receipt this Period 25.00 |
| Other (specify) ▼ Full Name (Last, First, Middle Initial) Stephen W Mc Laughlin Mailing Address One Health Plaza | 300.00 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 240.00 | Transaction ID: A2007-2654310 Amount of Each Receipt this Period 20.00 |
| Full Name (Last, First, Middle Initial) William D Mc Laury Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Date of Receipt 1 2 2 0 2 0 7 Transaction ID: A2007-2654564 Amount of Each Receipt this Period |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 1200.00 | |
| SUBTOTAL of Receipts This Page (optional |) | 145.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 70 / 120 (check only one) X |
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| NAME OF COMMITTEE (In Full) | d Statements may not be sold or used by any personante name and address of any political committee to | |
| Novartis Corporation Political Action Full Name (Last, First, Middle Initial) | n Committee | Date of Descipt |
| Matthew C Mc Namara Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2654601 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 40.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 480.00 | |
| Full Name (Last, First, Middle Initial) Catharine M McGeehan | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 7 2007 |
| City | State Zip Code | Transaction ID: A2007-2654498 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 75.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 900.00 | |
| Full Name (Last, First, Middle Initial) Brian McNamara | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 14 2007 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2656594 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 50.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Sr. Vice President OTC | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1050.00 | |
| SUBTOTAL of Receipts This Page (optional |) | 165.00 |

| SCHEDULE A (FEC ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 71 / 120 (check only one) X 11a |
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| Any information copied from such or for commercial purposes, other NAME OF COMMITTEE (In Novartis Corporation Pol | r than using the name and a Full) | nay not be sold or used by any personal difference to any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Brian McNamara Mailing Address One Hea City East Hanover FEC ID number of contributin federal political committee. | th Plaza State NJ | Zip Code 07936 | Date of Receipt 1 2 2 8 2 0 0 7 Transaction ID: A2007-2656595 Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | Aggrega | e President OTC ate Year-to-Date 1100.00 | |
| Full Name (Last, First, Middle Wayne P Merkelson Mailing Address One Hea City | th Plaza State | Zip Code | Date of Receipt 1 2 2 0 2 0 7 Transaction ID: A2007-2654899 |
| East Hanover FEC ID number of contributin federal political committee. Name of Employer Novartis Finance Corporation Receipt For: Primary General | Occupat Executi Aggrega | | Amount of Each Receipt this Period 75.00 |
| Full Name (Last, First, Middle Kathryn C Metcalfe Mailing Address One Hea | | Zip Code | Date of Receipt 1 2 2 0 2 0 7 Transaction ID: A2007-2655206 |
| East Hanover FEC ID number of contributin federal political committee. | NJ | 07936 | Amount of Each Receipt this Period 200.00 |
| Name of Employer Novartis Pharmaceuticals | Occupat Executi | ive | |
| Receipt For: Primary General | | ate Year-to-Date ▼ 2400.00 | |
| SUBTOTAL of Receipts This P | age (optional) | | 325.00 |

| SCHEDULE A (FEC For ITEMIZED RECEIPTS | M 3X) Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 72 / 120 (check only one) X 11a |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Report for commercial purposes, other that NAME OF COMMITTEE (In Full) Novartis Corporation Politica | ports and Statements may not be sold or used by any per using the name and address of any political committee. Action Committee | person for the purpose of soliciting contributions see to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial George L Miller Mailing Address One Health F City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Finance Corporation Receipt For: Primary General Other (specify) | · | Date of Receipt 1 2 2 0 2 0 0 7 Transaction ID: A2007-2655783 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First, Middle Initial Anthony J Mixon Mailing Address One Health F City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | , | Date of Receipt 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654611 Amount of Each Receipt this Period 42.00 |
| Full Name (Last, First, Middle Initial Elizabeth H Moisant Mailing Address One Health F City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | , | Date of Receipt M M M D D D Y Y Y Y Y Y 1 2 0 7 Transaction ID: A2007-2655183 Amount of Each Receipt this Period 25.00 |
| SUBTOTAL of Receipts This Page (| optional) | 92.00 |

| A. Sta Ma City Fed Na No Re | commercial purposes, other than using the ME OF COMMITTEE (In Full) ovartis Corporation Political Action I Name (Last, First, Middle Initial) cey L Moore illing Address One Health Plaza | e name and address of any political committe | Date of Receipt Date of Receipt |
|-----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|-------------------------------------------------------------------|
| A. Sta Ma City Ea Na No Re | I Name (Last, First, Middle Initial) cey L Moore illing Address One Health Plaza / st Hanover C ID number of contributing eral political committee. me of Employer vartis Pharmaceuticals ceipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Transaction ID: A2007-2654462 Amount of Each Receipt this Period |
| A. Sta Ma City Ea FE fed Na No Re | cey L Moore filing Address One Health Plaza / st Hanover C ID number of contributing eral political committee. me of Employer vartis Pharmaceuticals ceipt For: Primary General | NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Transaction ID: A2007-2654462 Amount of Each Receipt this Period |
| City Ea FE fed Na No | st Hanover C ID number of contributing eral political committee. me of Employer vartis Pharmaceuticals ceipt For: Primary General | NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Transaction ID: A2007-2654462 Amount of Each Receipt this Period |
| Ea FE fed Na No | St Hanover C ID number of contributing eral political committee. me of Employer vartis Pharmaceuticals ceipt For: Primary General | NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Amount of Each Receipt this Period |
| Re | C ID number of contributing eral political committee. me of Employer vartis Pharmaceuticals ceipt For: Primary General | Occupation Executive Aggregate Year-to-Date | |
| Na No Re | me of Employer vartis Pharmaceuticals ceipt For: Primary General | Occupation Executive Aggregate Year-to-Date ▼ | 28.60 |
| Re | ceipt For: Primary General | Executive Aggregate Year-to-Date ▼ | - |
| | Primary General | | - |
| | · · · | 341.06 | ' |
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| B. Re | l Name (Last, First, Middle Initial) gina C Moran | Date of Receipt | |
| Ma | iling Address One Health Plaza | | 12 D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | | State Zip Code | Transaction ID: A2007-2655131 |
| | st Hanover | NJ 07936 | Amount of Each Receipt this Period |
| | C ID number of contributing eral political committee. | C | 61.43 |
| Na No | me of Employer vartis Pharmaceuticals | Occupation Executive | |
| Re | ceipt For: Primary General | Aggregate Year-to-Date ▼ | |
| | Other (specify) ▼ | 733.60 | |
| | l Name (Last, First, Middle Initial) nn H Morton | Date of Receipt | |
| Ма | iling Address One Health Plaza | 12 20 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y | |
| City | | State Zip Code | Transaction ID: A2007-2654756 |
| | st Hanover | NJ 07936 | Amount of Each Receipt this Period |
| | C ID number of contributing eral political committee. | C | 141.46 |
| | me of Employer vartis Pharmaceuticals | Occupation Executive | |
| Re | ceipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1678.74 | |
| SUBT | OTAL of Receipts This Page (optional) | | 231.49 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 74 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | Statements may not be sold or used by any person the name and address of any political committee to Committee | n for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Marion T Morton Mailing Address One Health Plaza City East Hanover | State Zip Code NJ 07936 | Date of Receipt M M D D Y Y Y Y Y Y Y Y |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | Occupation Executive Aggregate Year-to-Date 825.00 | 100.00 |
| Full Name (Last, First, Middle Initial) Kathleen P Murphy Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 1850.04 | Date of Receipt M M M / D D / Y Y Y Y Y 1 2 0 7 2 0 0 7 Transaction ID: A2007-2654885 Amount of Each Receipt this Period 154.17 |
| Full Name (Last, First, Middle Initial) Urs A Naegelin Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 1200.00 | Date of Receipt M M M / D D / Y Y Y Y Y 1 2 0 7 Transaction ID: A2007-2654640 Amount of Each Receipt this Period 100.00 |
| SUBTOTAL of Receipts This Page (optional) | | 354.17 |

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| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | λ) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 76/120 (check only one) |
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| Any information copied from such Reports an or for commercial purposes, other than using | nd Statements may the name and add | y not be sold or used by any persidress of any political committee to | on for the purpose of soliciting contributions |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | n Committee | | |
| Full Name (Last, First, Middle Initial) Peter Ng | | | Date of Receipt |
| Mailing Address One Health Plaza | 12 20 2007 | | |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654909 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07300 | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) John M Nitschke | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 20 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654621 Amount of Each Receipt this Period |
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| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
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| Full Name (Last, First, Middle Initial) Sharon L Nobles-Wood | | | Date of Receipt |
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| Application opied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee. NAME OF COMMITTEE (in Full) | | HEDULE A (FEC Form 3X) MIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 77 / 120 (check only one) X 11a |
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| Full Name (Last, First, Middle Initial) Hugh M O'Dowd Mailing Address One Health Plaza City Bast Hanover NJ 07938 FEC ID number of contributing rederal political committee. C City State Zip Code Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654734 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Each Receipt this Period Transaction ID: A2007-2654248 Amount of Eac | or for | commercial purposes, other than using the | tatements ma name and ad | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| A. Hugh M O'Dowd Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing lederal political committee. Name of Employer NJ 07936 Full Name (Last, First, Middle Initial) Date of Receipt Transaction ID: A2007-2654794 Amount of Each Receipt this Period Transaction ID: A2007-2654794 Address One Health Plaza Date of Receipt Date of Receipt Aggregate Year-to-Date ▼ 1 2 2 0 7 Transaction ID: A2007-2654794 Amount of Each Receipt this Period Date of Receipt Date of Receipt Transaction ID: A2007-2654794 Amount of Each Receipt this Period Date of Receipt Date of Receipt Transaction ID: A2007-2654794 Amount of Each Receipt this Period Date of Receipt this Period Date of Receipt Date of Receipt Transaction ID: A2007-2654948 Amount of Each Receipt this Period Date of Receipt Date of Receipt Transaction ID: A2007-2654248 Amount of Each Receipt this Period Date of Receipt Date of | N | ovartis Corporation Political Action C | Committee | | |
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| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novaritis Pharmaceuticals Feuli Name (Last, First, Middle Initial) Dennis J Otleary Jr. Mailling Address One Health Plaza City State Zip Code Transaction ID: A2007-2654734 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Novaritis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Anount of Each Receipt this Period Date of Receipt 1 2 0 7 2 0 0.7 Transaction ID: A2007-2654734 Amount of Each Receipt this Period Amount of Each Receipt this Period Date of Receipt 1 2 0 7 2 0 0.7 Transaction ID: A2007-2654734 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Date of Receipt 1 2 0 7 2 0 0.7 Transaction ID: A2007-2654248 Amount of Each Receipt this Period Date of Receipt 1 2 0 7 2 0 0.7 Transaction ID: A2007-2654248 Amount of Each Receipt this Period Date of Receipt 1 2 0 7 2 0 0.7 Transaction ID: A2007-2654248 Amount of Each Receipt this Period Executive Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ | | 0 001.00 | | 7: 0 1 | 12 20 2007 |
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| Dennis J O'Leary Jr. Mailing Address One Health Plaza City State Zip Code | Re | Primary General | Aggregate | | |
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| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Full Name (Last, First, Middle Initial) Jennifer R Orchard Mailing Address One Health Plaza Fet ID number of contributing federal political committee. Full Name (Last, First, Middle Initial) Jennifer R Orchard Mailing Address One Health Plaza City East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals C Aggregate Year-to-Date NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ | _ | | | | 12 20 2007 |
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| Date of Receipt Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt 12 2 0 7 2 0 0 7 Transaction ID: A2007-2654248 Amount of Each Receipt this Period 30.00 C 30.00 | Re | Primary General | Aggregate | | |
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| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 124.18 | | | | · | |
| Receipt For: Primary General Other (specify) Aggregate Year-to-Date 360.00 | FE | EC ID number of contributing | | 0/936 | |
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| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | f | Use separate schedule(s) or each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 78 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
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| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | | be sold or used by any persons of any political committee to | |
| Full Name (Last, First, Middle Initial) Jeffrey S Osmundson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State NJ C Occupation Executive | Zip Code 07936 | Date of Receipt M M M / D D / Y Y Y Y Y 1 2 0 7 Transaction ID: A2007-2654228 Amount of Each Receipt this Period 20.00 |
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| Full Name (Last, First, Middle Initial) Serafina Oxner Mailing Address One Health Plaza | Date of Receipt 1 2 2 0 2 0 7 | | |
| City | State | Zip Code | Transaction ID: A2007-2654931 |
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| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Yea | ar-to-Date ▼ 500.00 | |
| Full Name (Last, First, Middle Initial) Melissa A Parker | Date of Receipt | | |
| Mailing Address One Health Plaza | | | 12 20 7 |
| City | State | Zip Code | Transaction ID: A2007-2655011 |
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| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Yea | ar-to-Date ▼ 360.00 | |
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| SCHEDULE A (FEC Form ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 79 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
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| Full Name (Last, First, Middle Initial) Raymond Pawlicki Mailing Address One Health Pla: City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State Zip Code NJ 07936 C Occupation Executive | Date of Receipt 1 2 |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1200.00 | |
| Full Name (Last, First, Middle Initial) Angela K Peck Mailing Address One Health Pla: | Date of Receipt 1 2 2 0 2 0 7 | |
| City | State Zip Code | Transaction ID: A2007-2654167 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
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| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 360.00 | |
| Full Name (Last, First, Middle Initial) Joseph H Phillips | Date of Receipt | |
| Mailing Address One Health Plan | za | 12 20 7 2007 |
| City | State Zip Code | Transaction ID: A2007-2654686 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
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| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | ommittee | | |
| Full Name (Last, First, Middle Initial) Paul G Pochtar | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 YYYY 12 20 2007 |
| City | State Zip | Code | Transaction ID: A2007-2654798 |
| East Hanover | NJ 07 | 936 | Amount of Each Receipt this Period |
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| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to | -Date ▼ 2389.56 | |
| Full Name (Last, First, Middle Initial) Diana Potter | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
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| East Hanover | NJ 07 | 936 | Amount of Each Receipt this Period |
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| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to | -Date ▼ 600.00 | |
| Full Name (Last, First, Middle Initial) Kelly D Prather | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 YYYYY 12 20 2007 |
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| SUBTOTAL of Receipts This Page (optional) | | | 270.26 |

TOTAL This Period (last page this line number only)

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 81 / 120 (check only one) X 11a |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | | |
| Full Name (Last, First, Middle Initial) Marilyn Priestley Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2654797 Amount of Each Receipt this Period 40.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date ▼ 480.00 | |
| Full Name (Last, First, Middle Initial) Michelle R Prine Mailing Address One Health Plaza | Date of Receipt 1 2 2 0 7 2 0 0 7 | |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2655850 Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Brian C Prout Mailing Address One Health Plaza | Date of Receipt 1 2 2 0 7 2 0 0 7 | |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2655853 Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | Occupation Executive Aggregate Year-to-Date ▼ | |
| Other (specify) ▼ SUBTOTAL of Receipts This Page (optional) | 520.00 | 140.00 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 82 / 120 (check only one) X | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|--|
| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | nd Statements may not be sold or used by any person the name and address of any political committee to n Committee | on for the purpose of soliciting contributions o solicit contributions from such committee. | |
| Full Name (Last, First, Middle Initial) Louis Pugliano Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Date of Receipt 1 2 0 2 0 7 Transaction ID: A2007-2654714 Amount of Each Receipt this Period 25.00 | |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 250.00 | | |
| Full Name (Last, First, Middle Initial) Teofilo D Raad Mailing Address One Health Plaza City | State Zip Code | Date of Receipt M | |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 25.00 | |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 300.00 | | |
| Full Name (Last, First, Middle Initial) David J Rader Mailing Address One Health Plaza | David J Rader | | |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2654410 Amount of Each Receipt this Period | |
| FEC ID number of contributing federal political committee. | Occupation | 25.00 | |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Executive Aggregate Year-to-Date 300.00 | | |
| SUBTOTAL of Receipts This Page (optional | l) | 75.00 | |

| | CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 83 / 120 (check only one) X | | | |
|---------|-------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|--|--|--|
| C | ny information copied from such Reports and r for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements may be name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. | | | |
| | | Novartis Corporation Political Action Committee | | | | | |
| ۸. | Full Name (Last, First, Middle Initial) Thomas D Rader | Date of Receipt | | | | | |
| | Mailing Address One Health Plaza | Ctata | 7in Code | 12 20 2007 | | | |
| | City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654450 Amount of Each Receipt this Period | | | |
| | FEC ID number of contributing federal political committee. | C | 07300 | 25.00 | | | |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | | | | |
| 3. | Full Name (Last, First, Middle Initial) Jose A Ramirez | Date of Receipt | | | | | |
| | Mailing Address One Health Plaza | 12 20 7 2007 | | | | | |
| | City | State | Zip Code | Transaction ID: A2007-2654270 | | | |
| | East Hanover FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 75.00 | | | |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 900.00 | | | | |
| - :. | Full Name (Last, First, Middle Initial) Timothy A Rancourt | Date of Receipt | | | | | |
| | Mailing Address One Health Plaza | | | 12 20 7 2007 | | | |
| | City | State | Zip Code | Transaction ID: A2007-2654960 | | | |
| | East Hanover FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 25.00 | | | |
| | Name of Employer Novartis Pharmaceuticals | Occupation Executive | | | | | |
| | Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 250.00 | | | | |
| | SUBTOTAL of Receipts This Page (optional) . | | | 125.00 | | | |

| Γ | SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | 'tatamenta ma | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 84 / 120 (check only one) X |
|------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| | Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | e name and ad | y not be sold or used by any persidress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| ∠ A . | Full Name (Last, First, Middle Initial) Rebecca W Reid Mailing Address One Health Plaza City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2655010 Amount of Each Receipt this Period 43.42 |
| | Receipt For: Primary Other (specify) | Occupation Executive Aggregate | | 43.42 |
| В. | Full Name (Last, First, Middle Initial) David G Reynolds Mailing Address One Health Plaza City | State NJ | Zip Code | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2654240 |
| | East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | Occupation Executive | | Amount of Each Receipt this Period 20.00 |
| _ C. | Full Name (Last, First, Middle Initial) Sheila A Riddell Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Date of Receipt M M |
| | Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| | SUBTOTAL of Receipts This Page (optional) | |) | 83.42 |

| SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 85 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti | and Statements may not be sold or used by any perso g the name and address of any political committee to on Committee | n for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Keith C Riddiford Mailing Address One Health Plaza City East Hanover | State Zip Code NJ 07936 | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2654554 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 240.00 | 20.00 |
| Full Name (Last, First, Middle Initial) David P Riedel Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Kevin T Rigby Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Other (specify) ▼ | 2400.00 | 285.32 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 86 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | d Statements may not be sold or used by any pers the name and address of any political committee to a Committee | |
| Full Name (Last, First, Middle Initial) Pamela Roberts Mailing Address One Health Plaza City | State Zip Code | Date of Receipt M |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Brandi K Robinson Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover FEC ID number of contributing | State Zip Code NJ 07936 | Transaction ID: A2007-2654124 Amount of Each Receipt this Period 30.00 |
| federal political committee. Name of Employer Novartis Finance Corporation Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date ▼ 360.00 | |
| Full Name (Last, First, Middle Initial) Rodney M Roggow Mailing Address One Health Plaza | 1 | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2654229 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) | Aggregate Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (optional |) | 80.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 87 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and address of any political committee | erson for the purpose of soliciting contributions to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Patrick Ronan Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 2500.00 | Date of Receipt M M Z D D Z D Z D O Z Transaction ID: A2007-2655878 Amount of Each Receipt this Period 250.00 |
| Full Name (Last, First, Middle Initial) Gary E Rosenthal Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ 4992.00 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Full Name (Last, First, Middle Initial) Jason T Russell Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 611.51 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| SUBTOTAL of Receipts This Page (optional) . | | 717.42 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 88 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|------------------------------------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using | nd Statements may the name and add | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions osolicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | n Committee | | |
| Full Name (Last, First, Middle Initial) Tricia R Russo | | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y 1 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654038 |
| FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 75.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation | | |
| Receipt For: Primary General Other (specify) | | e Year-to-Date ▼ 900.00 | |
| Full Name (Last, First, Middle Initial) Roger F Samartino | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 7 |
| City East Hanover | State NJ | Zip Code | Transaction ID: A2007-2654872 |
| FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Gregory H Schofield | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654109 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07330 | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 | |
| SUBTOTAL of Receipts This Page (optional | J) | | 120.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 89 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|
| or for commercial purpo NAME OF COMMIT | ses, other than using the name and | address of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, Firs Jacqueline B Scott Mailing Address O) City East Hanover FEC ID number of confederal political common Name of Employer Novartis Pharmaceu Receipt For: Primary Other (specify) | State NJ Ontributing nittee. C C C Aggree General | 07936 | Date of Receipt M M 20 20 2007 Transaction ID: A2007-2654375 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First S. M Seeland Mailing Address Of City East Hanover FEC ID number of confederal political common Name of Employer Novartis Pharmaceur Receipt For: Primary Other (specify) | State NJ Ontributing nittee. C Occupation Execution Aggree General | 07936 | Date of Receipt M M M / D D / Y Y Y Y Y 1 2 0 0 7 Transaction ID: A2007-2655145 Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First Dawn M Shaffer Mailing Address Of City East Hanover FEC ID number of confederal political common Name of Employer Novartis Pharmaceu Receipt For: Primary Other (specify) | State NJ Ontributing nittee. C C C Aggree General | 07936 | Date of Receipt M M 20 20 2007 Transaction ID: A2007-2654492 Amount of Each Receipt this Period 20.00 |
| SUBTOTAL of Receip | ts This Page (optional) | | 70.00 |

| SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 90 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|
| Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | name and address of any political committee to | on for the purpose of soliciting contributions |
| Full Name (Last, First, Middle Initial) Kathleen K Shields Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharma Suffern Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M |
| Other (specify) ▼ Full Name (Last, First, Middle Initial) Joseph A Simmons Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State Zip Code NJ 07936 C Occupation | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2654667 Amount of Each Receipt this Period 19.00 |
| Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) David P Sipe Mailing Address One Health Plaza | Executive Aggregate Year-to-Date ▼ 228.00 | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2654654 Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 240.00 | |
| SUBTOTAL of Receipts This Page (optional) | _ | 64.00 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 91 / 120 (check only one) X |
|------------------------------------------------------------------------------------------|---------------------------------------|--------------------------------------------------------------------------|------------------------------------------------------|
| Any information copied from such Reports ar or for commercial purposes, other than using | nd Statements may the name and add | l y not be sold or used by any persordress of any political committee to | |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio | n Committee | | |
| Full Name (Last, First, Middle Initial) Ronald B Sira | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City | State | Zip Code | Transaction ID: A2007-2654952 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 40.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate | Year-to-Date V | |
| Primary General Other (specify) ▼ | | 480.00 | |
| Full Name (Last, First, Middle Initial) Harold T Smith | . | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 207 |
| City | State | Zip Code | Transaction ID: A2007-2654776 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 300.00 |] |
| Full Name (Last, First, Middle Initial) James L Smith | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City | State | Zip Code | Transaction ID: A2007-2655197 |
| East Hanover | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: | Aggregate | e Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 0 0 | 230.00 | |
| SUBTOTAL of Receipts This Page (optional | .1) | | 85.00 |

TOTAL This Period (last page this line number only)

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 92 / 120 (check only one) X |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | d Statements may not be sold or used by any personal statements and address of any political committee to a Committee | |
| Full Name (Last, First, Middle Initial) Eric M Snedecor Mailing Address One Health Plaza | i Committee | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City East Hanover FEC ID number of contributing | State Zip Code NJ 07936 | Transaction ID: A2007-2653987 Amount of Each Receipt this Period 50.00 |
| Receipt For: Primary Other (specify) | Occupation Executive Aggregate Year-to-Date ▼ | 1 |
| Full Name (Last, First, Middle Initial) Katherine E Solon Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2655826 Amount of Each Receipt this Period 155.25 |
| Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date ▼ 1852.50 | 1 |
| Full Name (Last, First, Middle Initial) Curtis Sosnowski Mailing Address One Health Plaza | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State Zip Code NJ 07936 | Transaction ID: A2007-2654452 Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | 20.00 |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional |) | 225.25 |

| SCHEDULE A (FEC Form 3. ITEMIZED RECEIPTS | X) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 93 / 120 (check only one) |
|-----------------------------------------------------------------------------------------|------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------|
| Any information copied from such Reports a or for commercial purposes, other than using | and Statements may g the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | <u>- </u> | | |
| Full Name (Last, First, Middle Initial) William S Spelta | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654103 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07930 | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Charles A Speranzo | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654966 |
| FEC ID number of contributing federal political committee. | C | 07930 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Carmen A St. John | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655670 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 0.000 | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupatio Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (option | al) | | 65.00 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 94 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 11 |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Any information copied from such Reports at or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | nd Statements may not be sold or used by any per the name and address of any political committee on Committee | son for the purpose of soliciting contributions to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Lisa A Steelman Mailing Address One Health Plaza City | State Zip Code | Date of Receipt 1 2 2 0 2 0 7 Transaction ID: A2007-2654024 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 115.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate Year-to-Date 1380.00 | |
| Full Name (Last, First, Middle Initial) Thomas E Steffie Mailing Address One Health Plaza | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2655122 Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: | Occupation Executive Aggregate Year-to-Date | |
| Primary General Other (specify) ▼ | 240.00 | |
| Full Name (Last, First, Middle Initial) Ashley D Stephens Mailing Address One Health Plaza | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover | State Zip Code NJ 07936 | Transaction ID: A2007-2655093 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional |)(Id | 155.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 95 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | he name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Donald P Stevens Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654263 Amount of Each Receipt this Period 75.00 |
| Receipt For: Primary Other (specify) General | Occupatio Executive | | 75.00 |
| Full Name (Last, First, Middle Initial) Lesley J Stickley Mailing Address One Health Plaza | 0 0 | | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654438 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| Full Name (Last, First, Middle Initial) Robert J Stippel Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 3 1 2 0 0 7 Transaction ID: A2007-2655910 Amount of Each Receipt this Period 20.00 |
| federal political committee. Name of Employer Novartis Pharmaceuticals | Occupatio EXECUT | | |
| Receipt For: Primary General Other (specify) ▼ | _ ' ' | e Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (optional) | · | | 120.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS |) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 96 / 120 (check only one) X |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|
| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | the name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions of solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Gloria C Stone Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654854 Amount of Each Receipt this Period 64.50 |
| Receipt For: Primary Other (specify) | Occupation Executive | |] |
| Full Name (Last, First, Middle Initial) John Suchorsky Mailing Address One Health Plaza | | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654848 Amount of Each Receipt this Period 50.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | |] |
| Full Name (Last, First, Middle Initial) Dennis Sullivan Mailing Address One Health Plaza | I | | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | 1 2 2 0 2 0 7 Transaction ID: A2007-2654520 Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | Э | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 360.00 | |
| SUBTOTAL of Receipts This Page (optional) |) | | 144.50 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 97 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
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| Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C | name and add | not be sold or used by any perso fress of any political committee to | on for the purpose of soliciting contributions |
| Full Name (Last, First, Middle Initial) Joseph E Talbott Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State NJ C Occupation Executive | | Date of Receipt 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2654581 Amount of Each Receipt this Period 81.51 |
| Full Name (Last, First, Middle Initial) Eileen T Tallent Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | State NJ C | Zip Code 07936 | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Kamran Tavangar | Executive | | Date of Receipt |
| Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654120 Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| SUBTOTAL of Receipts This Page (optional) | | | 131.51 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 98 / 120 (check only one) X |
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| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) | Statements may not be sold or used by any pers he name and address of any political committee to | on for the purpose of soliciting contributions |
| Novartis Corporation Political Action | Committee | |
| Full Name (Last, First, Middle Initial) Jeffrey W Thompson | | Date of Receipt |
| Mailing Address One Health Plaza City | State Zip Code | 1 2 2 0 2 0 0 7 Transaction ID: A2007-2654499 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Pamela H Tolar | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 / Y Y Y Y Y Y |
| City | State Zip Code | Transaction ID: A2007-2654637 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Barbara A Tombros | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 Y Y Y Y Y Y |
| City <u>East Hanover</u> | State Zip Code NJ 07936 | Transaction ID: A2007-2654783 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 67.84 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 1681.18 | |
| SUBTOTAL of Receipts This Page (optional) | | 112.84 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 99 / 120 (check only one) X |
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| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) | Statements may not be sold or used by any pole name and address of any political committee | |
| Novartis Corporation Political Action | Committee | |
| Full Name (Last, First, Middle Initial) Christina M Tremains | | Date of Receipt |
| Mailing Address One Health Plaza City | State Zip Code | 1 2 2 0 7 2 0 0 7 Transaction ID: A2007-2655065 |
| East Hanover | NJ 07936 | Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 56.78 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 676.26 | |
| Full Name (Last, First, Middle Initial) William H Trimble | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 7 2007 |
| City | State Zip Code | Transaction ID: A2007-2654705 |
| East Hanover FEC ID number of contributing federal political committee. | NJ 07936 | Amount of Each Receipt this Period 17.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: | Aggregate Year-to-Date ▼ | |
| Primary General Other (specify) ▼ | 204.00 | |
| Full Name (Last, First, Middle Initial) Randolph A Troxell III | | Date of Receipt |
| Mailing Address One Health Plaza | | 12 20 7 2007 |
| City <u>East Hanover</u> | State Zip Code NJ 07936 | Transaction ID: A2007-2654610 |
| FEC ID number of contributing federal political committee. | C 0/936 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | 0 |
| SUBTOTAL of Receipts This Page (optional) | | 93.78 |

| SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS | X) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 100 / 120 (check only one) |
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| Any information copied from such Reports a or for commercial purposes, other than usin | and Statements may | y not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti | <u>- </u> | | |
| Full Name (Last, First, Middle Initial) Thomas A Urban | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654025 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07000 | 28.31 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 337.12 | |
| Full Name (Last, First, Middle Initial) Lisa R Utt | | | Date of Receipt |
| Mailing Address One Health Plaza | | | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City | State | Zip Code | Transaction ID: A2007-2654213 |
| East Hanover FEC ID number of contributing federal political committee. | C | 07936 | Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 360.00 | |
| Full Name (Last, First, Middle Initial) Brenda B VanSant | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2655697 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 0.000 | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (option | nal) | | 78.31 |

| SCHEDULE A (FEC Form ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 101 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Any information copied from such Reports or for commercial purposes, other than us NAME OF COMMITTEE (In Full) Novartis Corporation Political Ad | s and Statements may not be sold or used by any pering the name and address of any political committee stion Committee | rson for the purpose of soliciting contributions to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Russell E Veitenheimer Mailing Address One Health Plaz City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼ | Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) James W Ventura Mailing Address One Health Plaz | 300.00 | Date of Receipt |
| City East Hanover FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2655240 Amount of Each Receipt this Period 30.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | Occupation Executive Aggregate Year-to-Date 360.00 | |
| Full Name (Last, First, Middle Initial) Peter C Viebrock Mailing Address One Health Plaz | a | Date of Receipt 1 2 2 0 7 2 0 0 7 |
| City <u>East Hanover</u> FEC ID number of contributing federal political committee. | State Zip Code NJ 07936 | Transaction ID: A2007-2654806 Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (opti | onal) | 75.00 |

| SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 102 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 17 |
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| Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | Statements may not be sold or used by any personal statements may not be sold or used by any personal he name and address of any political committee to Committee | n for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Kathleen Vineyard Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 288.36 | Date of Receipt M M M / 20 / 2007 Transaction ID: A2007-2654118 Amount of Each Receipt this Period 24.17 |
| Full Name (Last, First, Middle Initial) William W Voegtli Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | State Zip Code NJ 07936 C Occupation Executive | Date of Receipt M M M |
| Receipt For: Primary Other (specify) ▼ Full Name (Last, First, Middle Initial) Andrew J Volante Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. | Aggregate Year-to-Date ▼ 300.00 State Zip Code NJ 07936 C | Date of Receipt M M M |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional) | Occupation Executive Aggregate Year-to-Date 800.00 | 149.17 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | () | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 103 / 120 (check only one) X 11a 11b 11c 12 13 14 15 16 |
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| Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | | not be sold or used by any person ress of any political committee to | |
| Full Name (Last, First, Middle Initial) Ross D Volk Mailing Address One Health Plaza City | State | Zip Code | Date of Receipt M |
| East Hanover FEC ID number of contributing federal political committee. | NJ | 07936 | Amount of Each Receipt this Period 250.00 |
| Name of Employer Novartis Services Incorporated Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼ | Occupation Executive Aggregate | | |
| Full Name (Last, First, Middle Initial) Cynthia K Walker Mailing Address One Health Plaza | | | Date of Receipt 1 2 2 0 7 2 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654698 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals | Occupation Executive | | 30.00 |
| Receipt For: Primary General Other (specify) ▼ | | Year-to-Date ▼ 360.00 | |
| Full Name (Last, First, Middle Initial) Barbara E Washington Mailing Address One Health Plaza | | | Date of Receipt 1 2 2 0 7 2 0 7 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654702 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | | 182.35 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | Year-to-Date ▼ 2175.10 | |
| SUBTOTAL of Receipts This Page (optional | ال | | 462.35 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | Use separate sche for each category of Detailed Summary | of the Crieck only one) |
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| Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | e name and address of any political c | by any person for the purpose of soliciting contributions ommittee to solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Keith H Watson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | Date of Receipt M M |
| Full Name (Last, First, Middle Initial) Stephan M Webb Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | Date of Receipt M M M / D D / Y Y Y Y Y 1 2 0 7 2 0 0 7 Transaction ID: A2007-2655168 Amount of Each Receipt this Period 500.00 |
| Full Name (Last, First, Middle Initial) Michael D Webster Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) | State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date | Date of Receipt M M M / 20 / 2007 Transaction ID: A2007-2655021 Amount of Each Receipt this Period 38.65 |
| SUBTOTAL of Receipts This Page (optional) . | | 113.65 |

| SCHEDULI ITEMIZED | E A (FEC Form 3X) RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 105 / 120 (check only one) X |
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| or for commercial NAME OF CO | copied from such Reports and S I purposes, other than using the DMMITTEE (In Full) orporation Political Action C | name and add | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| | st, First, Middle Initial) | | | Date of Receipt |
| Mailing Addre | ss One Health Plaza | | | 12 20 20 2007 |
| City <u>East Hanov</u> | or | State NJ | Zip Code 07936 | Transaction ID: A2007-2654324 |
| ` <u> </u> | er of contributing | C | 0/930 | Amount of Each Receipt this Period 49.16 |
| Name of Emp Novartis Phar | loyer maceuticals | Occupatio Executive | | |
| Receipt For: Primary Other (s | General pecify) ▼ | Aggregate | Year-to-Date ▼ 587.52 | |
| Vernon E Welli | nst, First, Middle Initial) ington ss One Health Plaza | | | Date of Receipt |
| 0'' | | O | 7' 0 1 | 12 20 2007 |
| City East Hanov | or. | State NJ | Zip Code 07936 | Transaction ID: A2007-2654864 Amount of Each Receipt this Period |
| • | er of contributing | C | 07300 | 25.00 |
| Name of Emp Novartis Phar | loyer maceuticals | Occupatio Executive | | |
| Receipt For: Primary Other (s | General specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| Full Name (La Jill A Werry | st, First, Middle Initial) | | | Date of Receipt |
| Mailing Addre | ss One Health Plaza | | | 12 20 YYYY 12 20 2007 |
| City | | State | Zip Code | Transaction ID: A2007-2654826 |
| East Hanov | er | NJ | 07936 | Amount of Each Receipt this Period |
| FEC ID numb federal politica | er of contributing al committee. | C | | 25.00 |
| Name of Emp Novartis Phar | loyer maceuticals | Occupatio Executive | e | |
| Receipt For: Primary Other (s | General ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| OUDTOTAL (CI | Receipts This Page (optional) | • | | 99.16 |

| SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS | X) | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 106/120 (check only one) |
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| Any information copied from such Reports a or for commercial purposes, other than using | nd Statements may | y not be sold or used by any perso dress of any political committee to | on for the purpose of soliciting contributions o solicit contributions from such committee. |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action | on Committee | | |
| Full Name (Last, First, Middle Initial) Donna N Wilhelm | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 1 2 2 0 2 0 0 7 |
| City | State | Zip Code | Transaction ID: A2007-2654501 |
| East Hanover FEC ID number of contributing federal political committee. | NJ C | 07936 | Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | 7 |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 300.00 | |
| Full Name (Last, First, Middle Initial) Edward S Williams | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 20 7 200 7 |
| City East Hanover | State N J | Zip Code 07936 | Transaction ID: A2007-2654638 Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee. | C | 07000 | 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | | e Year-to-Date ▼ 240.00 | |
| Full Name (Last, First, Middle Initial) Brad C Willie | | | Date of Receipt |
| Mailing Address One Health Plaza | | | 12 20 7 2007 |
| City East Hanover | State NJ | Zip Code 07936 | Transaction ID: A2007-2654233 |
| FEC ID number of contributing federal political committee. | C | 0/930 | Amount of Each Receipt this Period 20.00 |
| Name of Employer Novartis Pharmaceuticals | Occupation Executive | | |
| Receipt For: Primary General Other (specify) ▼ | Aggregate | e Year-to-Date ▼ 240.00 | |
| SUBTOTAL of Receipts This Page (options | al) | | 65.00 |

| SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS | | Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: PAGE 107 / 120 (check only one) X |
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| Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (| e name and add | γ not be sold or used by any persodress of any political committee to | on for the purpose of soliciting contributions solicit contributions from such committee. |
| Full Name (Last, First, Middle Initial) Stephen A Woolford Mailing Address One Health Plaza | | | Date of Receipt |
| City East Hanover FEC ID number of contributing | State NJ | Zip Code 07936 | Transaction ID: A2007-2654924 Amount of Each Receipt this Period |
| Receipt For: Primary General | Occupation Executive Aggregate | | 50.00 |
| Other (specify) ▼ Full Name (Last, First, Middle Initial) Robert Yates Mailing Address One Health Plaza City | State | Zip Code | Date of Receipt M M 2 0 2 0 0 7 Transaction ID: A2007-2654101 |
| East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Clinical Operations Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | Amount of Each Receipt this Period 25.00 |
| Full Name (Last, First, Middle Initial) John B Zieger Mailing Address One Health Plaza | | | Date of Receipt 1 2 2 0 2 0 7 |
| City East Hanover FEC ID number of contributing federal political committee. | State NJ | Zip Code 07936 | Transaction ID: A2007-2655201 Amount of Each Receipt this Period 25.00 |
| Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ | Occupation Executive Aggregate | | |
| SUBTOTAL of Receipts This Page (optional) . | | ······ | 100.00 |
| TOTAL This Period (last page this line number | r only) | | 15668.55 |

| | CHEDULE B (FEC Form 3X) | | arate schedule(s) | | _ | R LINE | E NUMBI | ER: | | | | PAC | ЭE | 108 / | 120 |
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| | EMIZED DISBURSEMENTS | Detailed | category of the Summary Page | | È | 21b 27 | 22 28a | Х | 23 28 | b | | Зс | | 25 29 | |
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| 5 | NAME OF COMMITTEE (In Full) | ic and addre | 33 of arry political | COII | 1111111 | .00 10 3 | SHOIL COIT | liibui | LIOII | 3 110 | iii suc | ,,,, | ,,,,,,, | iittoo | |
| \rangle | Novartis Corporation Political Action Com | mittee | | | | | | | | | | | | | |
| | Full Name (Last, First, Middle Initial) Battle Born PAC | | | | | | | | | | B205 ment | 259 |) | | |
| | Mailing Address 514 G Street SE | | | | | | 1 ^M 2 | M | ′ | 0 | 5 / | Y | ž | 0 ŏ 7 | , Y |
| | City Washington | State DC | Zip Code 20003 | | | | Amo | unt c | of Ea | ach | Disbu | rsem | | this F | |
| | Purpose of Disbursement Contribution | | | | 01 | 1 | | | | | | | 15 | 00.00 | 0 |
| | Candidate Name | | | С | ateg Typ | - | | | | | | | | | |
| | Senate | ement For: Primary Other (spe | 2007 General ecify) ▼ | | | | | | | | | | | | |
| | | plicable | • • | | | | | | | | | | | | |
| | Full Name (Last, First, Middle Initial) Dave Camp for Congress | | | | | | | | | | B205 ment | 436 | 5 | | |
| | Mailing Address 2501 Wisconsin Ave. Su | uite 304 | | | | | 1 2 | M | ′ | 0 | 5 / | Y | ž | 0 ŏ 7 | , Y |
| | City Washington | State DC | Zip Code 20007 | | | | Amo | unt c | of Ea | ach | Disbu | rsem | nent | this F | Period |
| | Purpose of Disbursement Contribution | | | | 01 | _ | | | | | | | 15 | 00.00 | 0 |
| | Candidate Name Dave Camp | | | С | ateg Typ | ory/ e | | | | | | | | | |
| | Senate X President | ement For: Primary Other (spe | 2008 General ecify) ▼ | | | | | | | | | | | | |
| | State: MI District: 04 Full Name (Last, First, Middle Initial) | | | | | | Tran | cant | ion | ın. | B205 | 256 | | | |
| | Barbara Lee for Congress | | | | | | | of D | - | | ment | Y | | . Y _ | Υ |
| | Mailing Address 1736 Franklin St. Suite | | | | | | | | L | | | | | 0 ŏ 7 | |
| | City Oakland | State CA | Zip Code 94612 | | | | Amo | unt c | of Ea | ach | Disbu | rsem | | this F | - |
| | Purpose of Disbursement Contribution | | | Ĺ | 01 | | | | - | | | • | 15 | 00.00 | U |
| | Candidate Name Barbara Lee | | | С | ateg Typ | | | | | | | | | | |
| | Senate X President | ement For: Primary Other (spe | 2008 General | | | | | | | | | | | | |
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| <u>П</u> | EMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | | | 21b 27 | 22 28a | X | 23 28b | | 24 28c | В | 25 29 | 2 3 |
| | y Information copied from such Reports and Staten for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full) | | | | | | | | | | | | |
| | Novartis Corporation Political Action Com | mittee | | | | | | | | | | | |
| | Full Name (Last, First, Middle Initial) McConnell Senate Committee '08 | | | | | Trans Date | | isburs | | | | 0 ŏ 7 | , Y |
| | Mailing Address 400 North Capitol St. NV | | | | | | | | | | | | |
| | City Washington | State Zip Code DC 20001 | | | | Amou | int o | f Eac | h Dis | burse | - | t this F | - |
| | Purpose of Disbursement Contribution Candidate Name | | _ | 01 ateg | | | | • | | | 50 | 0.000 | 0 |
| | 9 🗎 | ement For: 2008 Primary General Other (specify) | | Тур | | | | | | | | | |
| | Full Name (Last, First, Middle Initial) People for Patty Murray | | | | | Trans Date | | isburs | | | | 0 ŏ 7 | , Y |
| | Mailing Address 1602 Belle View Blvd. #5 | | | | | 1 4 | | | 0.5 | | | 007 | |
| | City Alexandria Purpose of Disbursement Contribution | State Zip Code VA 22307 | | 01 | 1 | Amou | int o | f Eac | h Dis | burse | | t this F | |
| | Candidate Name Patty Murray | | 1 | ateg Typ | , | | | | | | | | |
| | 9 🗎 | ement For: 2010 Primary General Other (specify) | | | | | | | | | | | |
| | Full Name (Last, First, Middle Initial) Friends for Harry Reid | | | | | Trans Date | of D | isburs | seme | | | | v |
| | Mailing Address 426 C St. NE (Rear Build | ling) | | | | 1 ^M 2 | М | / D | 0 5 | | ž | 0 ŏ 7 | , ^Y |
| | City Washington | State Zip Code DC 20002 | | | | Amou | int o | f Eac | h Dis | burse | - | t this F | - |
| | Purpose of Disbursement Contribution | | _ | 01 | | L. | | - | • | | .10 | 0.000 | 0 |
| | Candidate Name Harry Reid | | | ateg Typ | ory/ e | | | | | | | | |
| | | ement For: 2010 Primary General Other (specify) | | | | | | | | | | | |
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| or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) | e and address of any political | com | III | iittee to s | SOIIC | t contr | ibuti | ons ire | om suci | 1 COI | nmittee | • | | | |
| Novartis Corporation Political Action Com | mittee | | | | | | | | | | | | | | |
| Full Name (Last, First, Middle Initial) Volunteers for Shimkus | | | | | | | | on ID: | B2054 ement | 138 | | | | | |
| Mailing Address 700 12th Street NW Suite 700 | | | | | | $ \begin{array}{c ccccccccccccccccccccccccccccccccccc$ | | | | | | | | | |
| City Washington | State Zip Code DC 20005 | | | | | Amou | nt of | f Each | Disbur | | | • • • | | | |
| Purpose of Disbursement Contribution | | | 0 | 11 | | L. | • | | | | 1000. | 00 | | | |
| Candidate Name John M Shimkus | | | | egory/ /pe | | | | | | | | | | | |
| 9 7 | ement For: 2008 Primary General Other (specify) | | | | | | | | | | | | | | |
| Full Name (Last, First, Middle Initial) | | | _ | | | Trane | acti | on ID: | B2052 | 261 | | | | | |
| Sires for Congress | | | | | | Date o | of Di | sburse | ement | | | | | | |
| Mailing Address P.O. Box 300 | Mailing Address P.O. Box 300 | | | | | | М | 0 | 5 | Y | ž 0 ŏ | 7 ^Y | | | |
| City West New York | State Zip Code NY 07093 | | | | | Amou | nt of | f Each | Disbur | | | | | | |
| Purpose of Disbursement Contribution | | | _ | 11 | | <u></u> | | | | | 1000. | 00 | | | |
| Candidate Name Albio Sires, MD | | | | egory/ ype | | | | | | | | | | | |
| Senate X President | ement For: 2008 Primary General Other (specify) | | | | | | | | | | | | | | |
| State: NJ District: 13 Full Name (Last, First, Middle Initial) | | | | | | | | | | | | | | | |
| Lee Terry for Congress | | | | | | Date of | | on ID: sburse | B2057 ement | | V * V | · v | | | |
| Mailing Address P.O. Box 540098 | | | | | | 1 [™] 2 | IVI . | | 1 / | T . | ž 0 ŏ | 7 1 | | | |
| City Omaha | State Zip Code NE 68154 | | | | | Amou | nt of | f Each | Disbur | | | | | | |
| Purpose of Disbursement Contribution | | | 0 | 11 | | <u></u> | • | | | | 1000. | 00 | | | |
| Candidate Name Lee R Terry | | | | egory/ /pe | | | | | | | | | | | |
| Senate X President | ement For: 2008 Primary General Other (specify) | | | | | | | | | | | | | | |
| State: NE District: 02 | | | | | | | | | | | | | | | |
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| Transaction ID: B206077 Amy Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciling contributions or for commercial purposes, other than using the name and address of any political committee is solicit committee in solicit committee. Full Name (Last, First, Middle Initial) Colleman for Senate '08 Mailing Address 680 A Transfer Rd. City State Zip Code MN 55114 Purpose of Disbursement Contribution Office Sought: House Disbursement For: 2008 X Primary General Transaction ID: B206074 Washington District: Transaction ID: B206374 Date of Disbursement this Period Transaction ID: B206375 Date of Disbursement this Period Transaction ID: B206375 Amount of Each Disbursement this Period Transaction ID: B206375 Date of Disbursement this Period Transa | SCHEDULE B (FEC Form 3X) | | Use separate schedule(s) | | | | | E NUMBER: PAGE 111 / 120 nly one) | | | | | | | |) |
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| NAME OF COMMITTEE (In Full) NAME OF COMMITTEE (In Full) Novarits Corporation Political Action Committee Full Name (Last, First, Middle Initial) Colores of Disbursement Contribution City State President State: ME District: Full Name (Last, First, Middle Initial) Collins for Senate Office Sought: Full Name (Last, First, Middle Initial) Collins for Senate Name (Last, First, Middle Initial) Contribution City State President State: ME District: Full Name (Last, First, Middle Initial) Contribution City State President State: ME District: Full Name (Last, First, Middle Initial) Collins for Senate Disbursement Contribution Contribution Condidate Name Susan M Collins Office Sought: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District: Full Name (Last, First, Middle Initial) Collins for Senate District Code Amount of Each Disbursement this Period Disbursement Contribution Contribution Contribution Contribution Contribution Contribution Contribution Contribution | TEMIZED DISBURSEM | IENTS | | | | F | 21b | | 22 | X | | — | | 1 | | 26 30b |
| NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Coleman for Senate '08 Mailing Address 680 A Transfer Rd. City St. Paul City St. Paul Purpose of Disbursement Contribution Candidate Name Norm Coleman Office Sought: House President Mailing Address 201 Massachusetts Ave. NE Suite C3 City Washington Purpose of Disbursement Contribution Candidate Name Susan M Collins Full Name (Last, First, Middle Initial) Collins for Senate Disbursement For: 2008 Washington Purpose of Disbursement Contribution Condidate Name Susan M Collins Senate President State: ME District: Full Name (Last, First, Middle Initial) Collins Sorgenate Disbursement For: 2008 Washington Purpose of Disbursement Contribution Condidate Name Susan M Collins Senate President State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City Alexandria Purpose of Disbursement Contribution Candidate Name Susan M Collins Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City Alexandria Purpose of Disbursement Contribution Candidate Name Fresident State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City Alexandria Purpose of Disbursement Contribution Candidate Name Fresident State: MI District: 06 Disbursement For: 2008 Amount of Each Disbursement this Period Amount of Each Disbursement 12 2 0 7 2 0 0 7 2 0 0 7 2 0 0 7 2 0 0 7 2 0 0 7 2 0 0 7 2 0 0 7 2 0 0 7 2 0 0 7 Amount of Each Disbursement this Period 1000.00 Amount of Each Disbursement this Period 10 | | | | | | | | | | | | | | | s | |
| Coleman for Senate '08 Mailing Address 680 A Transfer Rd. City St. Paul State Zip Code MN 55114 Purpose of Disbursement Contribution Candidate Name Norm Coleman Office Sought: House President State: MD District: Full Name (Last, First, Middle Initial) Collins for Senate Mailing Address 201 Massachusetts Ave. NE Suite C3 City State: MD District: City State Zip Code DC 20002 City State: MD District: Contribution Candidate Name Susan M Collins Office Sought: House Disbursement For: 2008 Washington DC 20002 City State: MD District: Full Name (Last, First, Middle Initial) Collins for Senate Mailing Address 201 Massachusetts Ave. NE Suite C3 City State: MD District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City State: MD District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City State: MD District: Cardidate Name Fresident State: MD District: 06 Disbursement To: 2008 Amount of Each Disbursement Mail Name (Last, First, Middle Initial) Amount of Each Disbursement Mail Name (Last, First, Middle Initial) District: 06 Amount of Each Disbursement Mail Name (Last, First, Middle Initial) District: 06 Amount of Each Disbursement Mail Name (Last, First, Middle Initial) District: 06 Transaction ID: 8206375 Date of Disbursement Mail Name (Last, First, Middle Initial) District: 07 Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y Y Z 0 Y | NAME OF COMMITTEE (In Full) | | | ss of any political | COIII | | iiiiee io s | SOIIC | it Corti | ibuti | ions in | JIII SUCII | | TIILLEE | | |
| St. Paul MN 55114 Purpose of Disbursement Contribution Candidate Name Norm Coleman Office Sought: | Coleman for Senate '08 | | | | | | | | Date o | of D | isburse | ement | | 2 o ŏ | 7 ^Y | |
| Purpose of Disbursement Contribution Candidate Name Norm Coleman Office Sought: House President State: MN District: Full Name (Last, First, Middle Initial) Collins for Senate Mailing Address 201 Massachusetts Ave. NE Suite C3 City Washington DC 20002 Purpose of Disbursement Contribution Candidate Name Susan M Collins Office Sought: House President State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City Malling Address 104 Hume Avenue City Malling Address 104 Hume Avenue City City Malling Address 104 Hume Avenue City City City City City City City Cit | | | | | | | | | Amou | nt o | f Each | Disburse | emer | nt this | Perio | od |
| Norm Coleman Office Sought: | Purpose of Disbursement Contribution | | MIN | 55114 | | Q | 11 | | | | | | 2 | 500.0 | 00 | |
| X Senate | | | | | | | | | | | | | | | | |
| Collins for Senate Mailing Address 201 Massachusetts Ave. NE Suite C3 City Washington DC 20002 Purpose of Disbursement Contribution Candidate Name Susan M Collins Office Sought: | X Senate President | | Primary | General | | | | | | | | | | | | |
| City State Zip Code DC 20002 Purpose of Disbursement Contribution Candidate Name Susan M Collins Office Sought: House President House President State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City Alexandria VA State Zip Code VA 22301 Purpose of Disbursement Contribution Candidate Name Frederick S Upton Office Sought: Name (Last, First, Middle Initial) Upton for All of Us State Zip Code VA 22301 Amount of Each Disbursement this Period Amount of Each Disbursement this Period Transaction ID: B206375 Date of Disbursement Date of Disbursement Total Office Sought: Name (Candidate Name Frederick S Upton) Office Sought: Name (Candidate Name Frederick S Upton) Office Sought: Name (Candidate Name Frederick S Upton) State: MI District: 06 | • | al) | | | | | | | | | | | 74 | | | |
| Washington DC 20002 Purpose of Disbursement Contribution Candidate Name Susan M Collins Office Sought: House President President President House President House President House President President President House | Mailing Address 201 Massa | Mailing Address 201 Massachusetts Ave. NE Suite C3 | | | | | | | ^M 2 | М | [/] 2 | 0 / | Ž | o ŏ | 7 ^Y | |
| Contribution Candidate Name Susan M Collins Office Sought: House President State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City State Zip Code Alexandria VA 22301 Purpose of Disbursement Contribution Candidate Name Frederick S Upton Candidate Name Frederick S Upton Office Sought: X House Senate President State: MI District: 06 Disbursement For: 2008 Amount of Each Disbursement this Period 1000.00 | | | | | | | | | Amou | nt o | f Each | Disburse | | | | od |
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| State: ME District: Full Name (Last, First, Middle Initial) Upton for All of Us Mailing Address 104 Hume Avenue City Alexandria Purpose of Disbursement Contribution Candidate Name Frederick S Upton Office Sought: X Primary Other (specify) Amount of Each Disbursement this Period Amount of Each Disbursement this Period Category/ Type Office Sought: X Primary Other (specify) Amount of Each Disbursement this Period Category/ Type Other (specify) State: MI District: 06 | | | | | | | | | | | | | | | | |
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| Mailing Address 104 Hume Avenue City State Zip Code Alexandria Purpose of Disbursement Contribution Candidate Name Frederick S Upton Office Sought: X House Senate President State: MI District: 06 State Zip Code VA 22301 Amount of Each Disbursement this Period Category/ Type Category/ Type Other (specify) ▼ Amount of Each Disbursement this Period Amount of Each Disbursement this Period Category/ Type Other (specify) ▼ Amount of Each Disbursement this Period Category/ Type | Full Name (Last, First, Middle Initi | ial) | | | | | | | Trans | acti | on ID: | B20637 | 75 | | | |
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| Alexandria VA 22301 Purpose of Disbursement Contribution Candidate Name Frederick S Upton Office Sought: X House Senate President President State: MI District: 06 VA 22301 1000.00 011 Category/ Type Other (specify) Type Other (specify) | Mailing Address 104 Hume | Avenue | | | | | | | 12 | | 2 | 0 | . 2 | 200 | / | |
| Contribution Candidate Name Frederick S Upton Office Sought: Senate President State: MI District: 06 O11 Category/ Type Other (specify) Other (specify) | | | | | | | | | Amou | nt o | f Each | Disburse | emer | nt this | Perio | od |
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| Senate President Other (specify) ▼ State: MI District: 06 X Primary General Other (specify) ▼ | Frederick S Upton | | | | | | 0 , | | | | | | | | | |
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| SCHEDULE B (FEC Form 3X) | Use separate schedule(s) | FOR LINE I | | PAGE 112 / 120 |
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| ITEMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | (check only 21b 27 | one) 22 23 28a 28l | 24 25 26 b 28c X 29 30b |
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| NAME OF COMMITTEE (In Full) | e and address of any political col | THITILLEE TO SOIL | Cit Corti ibutioris | TIOM Such committee |
| Novartis Corporation Political Action Comm | nittee | | | |
| Full Name (Last, First, Middle Initial) Tennessee Republican Caucus | | | Transaction Date of Disbu | |
| Mailing Address P.O. Box 190539 | | | 12 4 | 05 7 2007 |
| City Nashville | State Zip Code TN 37219 | | Amount of Ea | nch Disbursement this Period |
| Purpose of Disbursement O-2007 State Party Cmte TN | | 011 | | 500.00 |
| Candidate Name | | Category/ Type | | |
| Senate President X | ment For: 2007 Primary General Other (specify) | | | |
| State: District: Not App | plicable | | | |
| Full Name (Last, First, Middle Initial) The Speaker's Fund | | | Transaction Date of Disbu | irsement |
| Mailing Address P.O. Box 198255 | | | 12 / | $\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$ |
| City Nashville | State Zip Code TN 37219 | | Amount of Ea | ach Disbursement this Period |
| Purpose of Disbursement O-2007 State PAC TN | | 011 | | 500.00 |
| Candidate Name | | Category/ Type | | |
| Senate President X | ment For: 2007 Primary General Other (specify) | | | |
| State: District: Not App | plicable | | | |
| Full Name (Last, First, Middle Initial) Joe Armstrong Campaign Fund | | | Transaction Date of Disbu | irsement |
| Mailing Address P.O. Box 6597 | | | 1 2 M | $\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$ |
| Knoxville | State Zip Code TN 37914 | | Amount of Ea | ach Disbursement this Period |
| Purpose of Disbursement P-2008 State House 15 TN Candidate Name | | 011 | | 200.00 |
| Joe Armstrong | | Category/ Type | | |
| ° 🗎 – | ment For: 2008 Primary General Other (specify) | | | |
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| Full Name (Last, First, Middle Initial) Mae Beavers for State Senate Mailing Address 2020 Hunter's Pla | ice | | Transaction ID: B205401 Date of Disbursement |
| City Mount Juliet | State Zip Code TN 37122 | | Amount of Each Disbursement this Period |
| Purpose of Disbursement P-2010 State Senate 17 TN Candidate Name A. Mae Beavers | | 011 Category/ Type | 150.00 |
| Office Sought: House Senate President State: District: | Disbursement For: 2010 X Primary General Other (specify) ▼ | | |
| Full Name (Last, First, Middle Initial) Diane Black for State Senate Mailing Address 819 Plantation Bo | oulevard | | Transaction ID: B205388 Date of Disbursement M |
| City Gallatin Purpose of Disbursement P-2008 State Senate 18 TN Candidate Name Diane Black Office Sought: House Senate | State Zip Code TN 37066 Disbursement For: 2008 X Primary Genera | 011 Category/ Type | Amount of Each Disbursement this Period 200.00 |
| State: District: Full Name (Last, First, Middle Initial) | Other (specify) ▼ | | Transaction ID: B205425 |
| Senator Rusty Crowe Campaign Fu Mailing Address Fountain Place | ind | | Date of Disbursement M |
| City | State Zip Code TN 37604 | | Amount of Each Disbursement this Period |
| Johnson City Purpose of Dishursement | | | |
| Purpose of Disbursement P-2010 State Senate 03 TN Candidate Name Rusty(Dewey) E Crowe | | 011 Category/ Type | |
| Purpose of Disbursement P-2010 State Senate 03 TN Candidate Name Rusty(Dewey) E Crowe | Disbursement For: 2010 X Primary General Other (specify) | Category/ Type | |

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| | Full Name (Last, First, Middle Initial) Speaker Lois DeBerry Campaign Fund Mailing Address 2429 Verdun Street | | | | | | of D | isburs | | | | 0 ŏ 7 | , Y |
| | City Memphis | State Zip Code TN 38114 | | | | Amou | unt o | f Eacl | n Dis | burse | - | t this F | - |
| | Purpose of Disbursement P-2008 State House 91 TN Candidate Name Lois M DeBerry | | Ca | 01 ateg Typ | ory/ | | • | | • | | | 200.0 | |
| | | ement For: 2008 Primary General Other (specify) | • | | | | | | | | | | |
| | Full Name (Last, First, Middle Initial) Faulk for Senate Comittee Mailing Address P.O. Box 2080 | | | | | Trans Date | | isburs | | | | 0 ŏ 7 | , Y |
| | City Church Hill Purpose of Disbursement P-2008 State Senate 04 TN Candidate Name | State Zip Code TN 37642 | Ca | _ | ory/ | Amou | unt o | f Eacl | n Dis | burse | | t this F | |
| | • 🗎 – | ement For: 2008 Primary General Other (specify) | | Тур | e | | | | | | | | |
| | Full Name (Last, First, Middle Initial) Joanne Favors Campaign Fund | | | | | Trans Date | | isburs | eme | | | Y | Y |
| | Mailing Address 2441 Meade Circle | | | | | 12 | | | 0 5 | L | | 0 ŏ 7 | |
| | City Chattanooga Purpose of Disbursement | State Zip Code TN 37406 | | | | Amou | unt o | f Eacl | n Dis | burs | | t this F | - |
| | P-2008 State House 29 TN Candidate Name JoAnne Favors | | Ca | 01 ateg Typ | ory/ | | | | | | • | | • |
| | • 🗎 – | ement For: 2008 Primary General Other (specify) | 1 | | | | | | | | | | |
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| ITEMIZED DISBURSEMENTS | Detailed Summary Page | 21b 22 23 24 25 27 28a 28b 28c X 29 |
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| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Con | mittee | |
| Full Name (Last, First, Middle Initial) | | Transaction ID: B205399 |
| Joey Hensley Campaign Fund Mailing Address 507 West Main | | Date of Disbursement M M M / D D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |
| City Hohenwald | State Zip Code TN 38462 | Amount of Each Disbursement this Perio |
| Purpose of Disbursement | | 125.00 |
| P-2008 State House 70 TN Candidate Name Joey Hensley | Categ | ory/ |
| • 🗎 | ement For: 2008 Primary General Other (specify) | |
| Full Name (Last, First, Middle Initial) Jack Johnson for State Senate Campaigr | | Transaction ID: B205391 Date of Disbursement |
| Mailing Address 211 Commerce Street S | uite 300 | 12 M / D D / Y 2 0 0 7 |
| City Nashville | State Zip Code TN 37201 | Amount of Each Disbursement this Perio |
| Purpose of Disbursement P-2010 State Senate 23 TN | 01 | 150.00 |
| Candidate Name Jack Johnson | Categ Typ | |
| ÿ | ement For: 2010 Primary General Other (specify) ▼ | |
| Full Name (Last, First, Middle Initial) Debra Young Maggart Fund | | Transaction ID: B205387 Date of Disbursement |
| Mailing Address 112 La Bar Drive | | 1 2 M / D D / Y 2 0 0 7 |
| City Hendersonville | State Zip Code TN 37075 | Amount of Each Disbursement this Perio |
| Purpose of Disbursement P-2008 State House 45 TN | Q1 | 125.00 |
| Candidate Name Debra Maggart | Categ Typ | • • |
| Senate 2 | ement For: 2008 Primary General Other (specify) | |
| State: District: | | |
| SUBTOTAL of Disbursements This Page (optional | | 400.00 |

| SCHEDULE B (FEC FOIII 3X) | Use separate schedule(s |) FOR LINE (check onl | NUMBER: PAGE 116 / 120 |
|--------------------------------------------------------------------------------------------------------|------------------------------------------------------|-----------------------|-------------------------------------------------------|
| ITEMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | 21b 27 | 22 23 24 25 28a 28b 28c X 29 |
| Any Information copied from such Reports and State or for commercial purposes, other than using the na | | | |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Cor | nmittee | | |
| Full Name (Last, First, Middle Initial) Committee To Elect Gerald McCormick | | | Transaction ID: B205390 Date of Disbursement |
| Mailing Address 5311 Fairview Road | | | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$ |
| City Hixson | State Zip Code TN 37343 | | Amount of Each Disbursement this Perio |
| Purpose of Disbursement P-2008 State House 26 TN | | 011 | 125.00 |
| Candidate Name Gerald McCormick | 0000 | Category/ Type | |
| Senate President | sement For: 2008 X Primary General Other (specify) | | |
| State: District: Full Name (Last, First, Middle Initial) Randy McNally for State Senate Camp F | und | | Transaction ID: B205413 Date of Disbursement |
| Mailing Address 94 Royal Troon Circle | | | $\begin{array}{c ccccccccccccccccccccccccccccccccccc$ |
| City Oak Ridge | State Zip Code TN 37830 | | Amount of Each Disbursement this Perio |
| Purpose of Disbursement P-2010 State Senate 05 TN | | 011 | 150.00 |
| Candidate Name Randy McNally | | Category/ Type | |
| | sement For: 2010 X Primary General Other (specify) | | |
| Full Name (Last, First, Middle Initial) The Mumpower Fund | | | Transaction ID: B205393 Date of Disbursement |
| Mailing Address P.O. Box 2221 | | | 12 05 7 2007 |
| City Bristol | State Zip Code TN 37621 | | Amount of Each Disbursement this Period |
| Purpose of Disbursement P-2008 State House 03 TN | | 011 | 200.00 |
| Candidate Name Jason E Mumpower | | Category/ Type | |
| Senate President | sement For: 2008 X Primary General Other (specify) | | |
| State: District: | | | |
| | | | 475.00 |

| | Use separate schedule(s) | (check only | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|--------------------------|-----------------------------------------------------------------|
| ITEMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | 21b 27 | 22 23 24 25 28c X 29 28c X 29 |
| Any Information copied from such Reports and Sta or for commercial purposes, other than using the n NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Co | ame and address of any political | | |
| / | | | |
| Full Name (Last, First, Middle Initial) Gary Odom Campaign Fund Mailing Address 2727 Bransford Avenu | e | | Transaction ID: B205389 Date of Disbursement 1 2 0 5 7 2 0 7 7 |
| City Nashville | State Zip Code TN 37204 | | Amount of Each Disbursement this Perio |
| Purpose of Disbursement P-2008 State House 55 TN Candidate Name | | 011 Category/ | 150.00 |
| Gary Odom Office Sought: House Disbution Senate President State: District: | rsement For: 2008 X Primary General Other (specify) | Туре | |
| Full Name (Last, First, Middle Initial) Ron Ramsey for State Senate | | | Transaction ID: B205424 Date of Disbursement |
| Mailing Address 3311 Highway 126 | | | 12 05 2007 |
| City Blountville Purpose of Disbursement | State Zip Code TN 37617 | | Amount of Each Disbursement this Period 500.00 |
| P-2008 State Senate 02 TN Candidate Name Ron Ramsey | | 011 Category/ Type | |
| Office Sought: Senate President State: Disbut | rsement For: 2008 X Primary General Other (specify) | , | |
| Full Name (Last, First, Middle Initial) Committee to Elect Charles Sargent | | | Transaction ID: B205385 Date of Disbursement |
| Mailing Address P.O. Box 1515 | | | 12 05 7 2007 |
| Mailing Address F.O. Box 1313 | | | |
| City Franklin | State Zip Code TN 37065 | | |
| City Franklin Purpose of Disbursement P-2008 State House 61 TN | State Zip Code TN 37065 | 011 | Amount of Each Disbursement this Period 150.00 |
| City Franklin Purpose of Disbursement | State Zip Code TN 37065 | 011 Category/ Type | |
| City Franklin Purpose of Disbursement P-2008 State House 61 TN Candidate Name Charles M Sargent Office Sought: House Senate President | State Zip Code TN 37065 rsement For: 2008 X Primary General Other (specify) | Category/ | Amount of Each Disbursement this Period 150.00 |
| City Franklin Purpose of Disbursement P-2008 State House 61 TN Candidate Name Charles M Sargent Office Sought: House Senate | TN 37065 rsement For: 2008 X Primary General | Category/ | |

C.

| SCHEDULE B (FEC Form 3X) | Use separate schedule(s) | FOR LINE | · · |
|------------------------------------------------------------------------------------|---------------------------------------------------|-------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ITEMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | (check only | 7 one) 22 23 24 25 26 28a 28b 28c X 29 30b |
| Any Information copied from such Reports and Statem | | | |
| or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) | and address of any political d | committee to soi | icit contributions from such committee |
| Novartis Corporation Political Action Comm | nittee | | |
| Full Name (Last, First, Middle Initial) | | | Transaction ID: B205386 |
| David Shepard Campaign Fund | | | Date of Disbursement |
| Mailing Address 104 Highway 70 East | | | $\begin{bmatrix} 1 & 2 & M \\ 1 & 2 & M \end{bmatrix} / \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 5 \end{bmatrix} / \begin{bmatrix} 1 & 1 & 1 \\ 2 & 0 & 0 & 7 \end{bmatrix}$ |
| • | State Zip Code TN 37055 | | Amount of Each Disbursement this Period |
| Purpose of Disbursement P-2008 State House 69 TN | | 011 | 200.00 |
| Candidate Name David Shepard | | Category/ Type | |
| Senate X President | ment For: 2008 Primary General Other (specify) | | |
| State: District: | | | |
| Full Name (Last, First, Middle Initial) Steve Southerland for State Senate | | | Transaction ID: B205427 Date of Disbursement |
| Mailing Address 322 West Hillcrest Drive | | | $\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 & 2 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 0 & 5 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 7 \end{smallmatrix} \end{bmatrix}$ |
| • | State Zip Code TN 37813 | | Amount of Each Disbursement this Period |
| Purpose of Disbursement P-2010 State Senate 01 TN | | 011 | 200.00 |
| Candidate Name Steve Southerland | | Category/ Type | |
| Senate X President | ment For: 2010 Primary General Other (specify) | | |
| Full Name (Last, First, Middle Initial) | | | Transaction ID: B205417 |
| Reginald Tate for State Senate | | | Date of Disbursement |
| Mailing Address 5005 Hornsby Drive | | | 1 2 M / D D / Y Y Y O O 7 |
| | State Zip Code TN 38116 | | Amount of Each Disbursement this Period |
| Purpose of Disbursement P-2010 State Senate 33 TN | | 011 | 150.00 |
| Candidate Name Reginald Tate | | Category/ Type | |
| • 🗎 – | ment For: 2010 Primary General Other (specify) | | |
| SUBTOTAL of Disbursements This Page (optional) . | | | 550.00 |
| | | | |
| TOTAL This Period (last page this line number only) | | | |

A.

В.

President District:

Not Applicable

| ugo# =0000 :=000 : | | | | | |
|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------|-------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| SCHEDULE B (FEC Form 3X) | Use separate schedule(s) | FOR LINE N | | | |
| ITEMIZED DISBURSEMENTS | for each category of the Detailed Summary Page | 21b 27 | 22 | | |
| Any Information copied from such Reports and Statem or for commercial purposes, other than using the name | | | | | |
| NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Comm | nittee | | | | |
| Full Name (Last, First, Middle Initial) Tennessee Democratic Caucus Mailing Address P.O. Box 198861 | | | Transaction ID: B205791 Date of Disbursement | | |
| • | State Zip Code TN 37219 | | Amount of Each Disbursement this Period | | |
| Purpose of Disbursement O-2007 State Party Cmte TN Candidate Name | | 011 Category/ | 500.00 | | |
| | | Type | | | |
| Senate President X | ment For: 2007 Primary General Other (specify) | | | | |
| State: District: Not App Full Name (Last, First, Middle Initial) | licable | | | | |
| RAAMPAC | | | Transaction ID: B206098 Date of Disbursement | | |
| Mailing Address P.O. Box 158213 | | | $\begin{bmatrix} \begin{smallmatrix} M & M \\ 12 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 17 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y \\ 2007 \end{smallmatrix}]$ | | |
| | State Zip Code TN 37215 | | Amount of Each Disbursement this Period | | |
| Purpose of Disbursement O-2007 State PAC TN | | 011 | 500.00 | | |
| Candidate Name | C | Category/ Type | | | |
| Senate | ment For: 2007 Primary General Other (specify) | | | | |

| SUBTOTAL of Disbursements This Page (optional) | > | 1000.00 |
|-----------------------------------------------------|-------------|---------|
| | | |
| TOTAL This Period (last page this line number only) | • | 5500.00 |

State:

Image# 28930123805

Form/Schedule:**SA11AI**Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.