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Image# 201512169004252674

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	or Other Than An Author	ized Committee	Office	Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
Novartis Corporation P	olitical Action Committe	ee		
ADDRESS (number and street)	701 Pennsylvania Ave. NW Suit	e 725		
Check if different than previously reported. (ACC)	Washington		DC 200	04-2608
2. FEC IDENTIFICATION NU	MBER ▼ CITY ▲	\	STATE A	ZIP CODE 🛦
C C00033969	3. IS THE		AMENDEI (A))
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Quarterly Report (Non-election Year Only) (MY) Termination Report (TER)	2) PRE-Election Report for the: (d) 30-Day	(M3) Jun 20 (M6) (M4) Jul 20 (M7) Primary (12P) Convention (12C)	Aug 20 (M8 Sep 20 (M9 Oct 20 (M10 General (12G) Special (12S) Runoff (30R)	(Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only)
5. Covering Period 11	Election or 01 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	through 11		State of 015
I certify that I have examined thi	s Report and to the best of my	knowledge and belief it is tru	ue, correct and comp	lete.
Type or Print Name of Treasurer	Shawn O'Neail			
Signature of Treasurer Shawr	ı O'Neail	[Electronically Filed] [2015
NOTE: Submission of false, errone	ous, or incomplete information ma	ay subject the person signing t	his Report to the pena	Ities of 2 U.S.C. §437g.
Office Use			FE	C FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name **Novartis Corporation Political Action Committee** 2015 30 2015 Report Covering the Period: 11 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 193839.62 January 1, 2015 (b) Cash on Hand at 129042.79 Beginning of Reporting Period..... 231981.90 17822.00 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 146864.79 425821.52 6(a) and 6(c) for Column B)..... 7072.53 286029.26 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 139792.26 139792.26 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY

_

the Committee (Itemize all on

Schedule C and/or Schedule D)

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

0.00

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

ibutions (other than loans) From: ndividuals/Persons Other Than Political Committees i) Itemized (use Schedule A)	12104.74	
Than Political Committees	12104 74	
	12104 74	
i) Itemized (use Schedule A)		90521.48
	12104.74	30321.40
ii) Unitemized	5717.26	137210.42
iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	17822.00	227731.90
Political Party Committees	0.00	0.00
	0.00	0.00
1(a)(iii), (b), and (c)) (Carry		
otals to Line 33, page 5)	17822.00	227731.90
fers From Affiliated/Other		
Committees	0.00	0.00
pans Received	0.00	0.00
Denouments Dessived	0.00	0.00
1 1	0.00	0.00
·	0.00	0.00
	0.00	4250.00
Federal Receipts	7	
ends, Interest, etc.)	0.00	0.00
fers from Non-Federal and Levin Funds		
from Schedule H3)	0.00	0.00
evin Funds (from Schedule H5)	0.00	0.00
Taras (s sssadio 110)		
ital Transfers (add 18(a) and 18(b))	0.00	0.00
	iii) TOTAL (add	TOTAL (add Lines 11(a)(i) and (ii)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Ope	erating Expenditures: Allocated Federal/Non-Federal	Total Tillo I Gliou	Calendar Tear-10-Date
()	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
(b)	Other Federal Operating		
	Expenditures	72.53	629.26
(c)	Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	72.53	629.26
Trar	nsfers to Affiliated/Other Party		
	nmittees	0.00	0.00
Fed- and	tributions to eral Candidates/Committees Other Political Committees	7000.00	278500.00
	ependent Expenditures	0.00	0.00
Coo	e Schedule E) ordinated Party Expenditures	0.00	0.00
(2 L (use	J.S.C. §441a(d)) e Schedule F)	0.00	0.00
Loa	n Repayments Made	0.00	0.00
Loa	ns Madeuns Made	0.00	0.00
	Individuals/Persons Other Than Political Committees	0.00	0.00
(b)	Political Party Committees	0.00	0.00
(c)	Other Political Committees	0.00	7
	(such as PACs)	0.00	0.00
(d)	Total Contribution Refunds		
()	(add Lines 28(a), (b), and (c))▶	0.00	0.00
Othe	er Disbursements	0.00	6900.00
	151 4 (2.11.2.2.2.4.4(22))		
	leral Election Activity (2 U.S.C. §431(20)) Allocated Federal Election Activity		
	(from Schedule H6) (i) Federal Share	0.00	0.00
	(1) 11	0.00	0.00
(b)	(ii) "Levin" Share Federal Election Activity Paid Entirely	0.00	0.00
(~)	With Federal Funds	0.00	0.00
(c)	Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Toto	al Disbursements (add Lines 21(c), 22,		
	24, 25, 26, 27, 28(d), 29 and 30(c))	7072.53	286029.26
	al Federal Disbursements		
	otract Line 21(a)(ii) and Line 30(a)(ii)	7070.52	202020.26
trom	n Line 31)▶	7072.53	286029.26

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	17822.00	227731.90
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	17822.00	227731.90
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	72.53	629.26
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	72.53	629.26

SCHEDULE A (FEC Form 3X) IT

FOR LINE NUMBER: PAGE 6 OF 110

ny information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions	EMIZED RECEIPTS	for each category of the Detailed Summary Page	`	ck only 11a 13	, ′	Ib		11c 15	\vdash	12 16		17
	ny information copied from such Reports and Statements ma	ay not be sold or used by any pe	rson fo	or the	purpos	se of	f so	liciting	cor	ntributio	ons	

A or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 30 2015 City State Zip Code Transaction ID: A2015-2617950 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals US Country Head REFS Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Imran Ahmed Date of Receipt Mailing Address 6201 South Freeway 30 11 2015 City State Zip Code Transaction ID: A2015-2617649 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Research **Head Pharmaceutics** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name (Last, First, Middle Initial) c. Laman Alani Date of Receipt Mailing Address One Health Plaza 30 2015 Zip Code City State Transaction ID: A2015-2617623 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.76 federal political committee. Name of Employer Occupation VP Pharma Product & Tech Dev Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) 73.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Thomas Algozzine Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618390 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Heather R Anderson Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618338 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Renee H Anderson Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618039 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation VP & Global Head D&I Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 220.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAG	E 8 OF	110
Use separate schedule(s) for each category of the	(check only one)		
Detailed Summary Page	X 11a 11b 11c	12	
		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Gary J Appio Date of Receipt Mailing Address One Health Plaza 30 2015 City State Zip Code Transaction ID: A2015-2617946 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head US Safety Risk Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) B. Brad Arlett Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618327 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Director BIM Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew Arline Date of Receipt Mailing Address One Health Plaza 30 11 2015 Zip Code City State Transaction ID: A2015-2618136 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee.

SUBTOTAL of Receipts This Page (optional)	onal)		I	Ī	7	Ξ	Ī	7		7	8.48	
TOTAL This Period (last page this line number or	nly)		Ξ	_	7	_	_	7	_	Ξ	_	

332.40

Sr. Cardiovascular ABL Detroit MI area

Aggregate Year-to-Date ▼

Occupation

Name of Employer

Primary

Receipt For:

Novartis Pharmaceuticals

Other (specify)

General

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jeanne E Baker Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617830 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Michael Banko Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618004 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD IT Service Management Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Pierre R Barbier Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617634 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 С federal political committee. Name of Employer Occupation Head IOL Design Control Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 83.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Susana V Barkhausen Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617859 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Cardiovascular Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Dimitrios Barlos Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618391 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael C Barninger Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618141 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Strategy & Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 408.00 Other (specify) 94.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neilda A Baron Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618318 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618328 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1440.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael J Billings Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618143 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Dir Heart Failure Comms Lead/BU Liaiso Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 308.10 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 12 OF 110 Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Edward J Blair Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Associate Director Product Manager Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 30 2015 Transaction ID: A2015-2617942 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) Lisa M Blizzard Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Consumer Marketing Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 30 2015 Transaction ID : A2015-2618144 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) Mojtaba Bonakdar Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Hd R & D Analytical Chemistry Aggregate Year-to-Date ▼ 276.72	Date of Receipt 11 30 2015 Transaction ID: A2015-2617580 Amount of Each Receipt this Period 23.06
SUBTOTAL of Receipts This Page (optional)		69.22
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 13 OF 110 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Troy L Borill Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618100 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 39.68 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 472.47 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel S Bortfeld Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617836 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Staffing Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Denise Brashear Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618021 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 400.00 С federal political committee. Name of Employer Occupation VP Onco Patient Adv & Ext Aff Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 462.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 14 OF 110 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael D Brooks Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617862 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals ASM II/Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618150 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 70.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IT Expert 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew H Buckley Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617726 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Dir Acct Management Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 128.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF 110 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Mayumi K Buckoski Date of Receipt Mailing Address 475 Green Oaks Parkway 30 2015 City Zip Code State Transaction ID: A2015-2617713 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Associate Director Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) B. John S Burke Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618008 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Respiratory Account Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Burns Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617756 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 76.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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	FOR LINE NUMBER	ER: PAGI	E 17 OF	= 110
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	16	17

Any information copied from such Reports and or for commercial purposes, other than using	I Statements may not be sold or used by any pers the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Kimberley J Campbell Mailing Address One Health Plaza		Date of Receipt
		11 30 2015
City	State Zip Code	Transaction ID : A2015-2617711
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	50.00
Name of Employer	Occupation	-
Sandoz Inc.	MSL Director Oncology	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	375.00	
Full Name (Last, First, Middle Initial) Patricia A Cannon		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2015-2618381
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	70.00
Name of Employer Novartis Pharmaceuticals	Occupation Director State Health Policy	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	840.00	
Full Name (Last, First, Middle Initial) Charles C Carter	1	Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2618153
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Mgr Logistics Plng & Field Skills Trng	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	240.00	
SUBTOTAL of Receipts This Page (optional).		140.00
TOTAL This Period (last page this line numb	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617874 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 276.92 federal political committee. Name of Employer Occupation Head of Fed Government Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 3323.04 Other (specify) Full Name (Last, First, Middle Initial) B. John H Caywood Jr. Jr. Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617575 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head of Government Pricing Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name (Last, First, Middle Initial) c. Cynthia C Cetani Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618154 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation VP Chf Compliance Offcr/U.S. Hd Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 530.84 Other (specify) 319.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James E Chastain Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617592 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Head of Ocular PK/Disposition Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) B. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617774 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 59.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General 708.31 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew D Civiello Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618260 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation AD Regional Strategy Lead Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 97.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements m or for commercial purposes, other than using the name and		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Cor	mmittee	
Receipt For: Primary General Other (specify) ▼ Aggregate	Zip Code 07936 n Marketing Surgical e Year-to-Date ▼	Date of Receipt 11 30 2015 Transaction ID: A2015-2617539 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) Cathryn M Clary Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Address Address		Date of Receipt 11 30 2015 Transaction ID: A2015-2618382 Amount of Each Receipt this Period 200.00
Possint For:	Zip Code 07936 n Affr. & Public Policy e Year-to-Date ▼	Date of Receipt 11 30 2015 Transaction ID : A2015-2617677 Amount of Each Receipt this Period
SUBTOTAL of Receipts This Page (optional)		330.00

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OF 110 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stephen R Cofone Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618367 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Strategic Programs & Roadmaps Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 305.00 Other (specify) Full Name (Last, First, Middle Initial) B. Julie A Collins Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617540 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. **Director Digital Mktg** Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) c. Scott G Colpitts Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618385 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 44.00 С federal political committee. Name of Employer Occupation Head of Facilities & Utility Maint. (A Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 528.00 Other (specify) 166.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Matt Compton Date of Receipt Mailing Address One Health Plaza 30 2015 City State Zip Code Transaction ID: A2015-2617691 07936 East Hanover NJ Amount of Each Receipt this Period FEC ID number of contributing C 18.46 federal political committee. Name of Employer Occupation Oncology Portfolio Account Specialist Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. William W Conkling Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618156 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing

federal political committee.	O	10.40
Name of Employer Novartis Pharmaceuticals	Occupation ED Pricing Access & Control	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) C. Michael A Conley		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2617909
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.16
Name of Employer	Occupation	
Novartis Pharmaceuticals	Exe Dir Account Mgmt	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 553.92	
CURTOTAL of Provints This Prove (authors)		83.08

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Il Action Committee	
,		
Full Name (Last, First, Middle Initial) Joseph J Conoshenti		Date of Receipt
Mailing Address One Health Plaza		11 30 _ 2015 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2618393 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Managed Care Marketing - Director Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	720.00	
Full Name (Last, First, Middle Initial) Burt Cook Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	11 30 2015 Transaction ID : A2015-2618064
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer Novartis Pharmaceuticals	Occupation Sr Respiratory SalesSpecialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Seth Coombs		Date of Receipt
Mailing Address 350 Massachusetts Aven	ue	11 30 _ 2015 _
City Cambridge	State Zip Code MA 02139	Transaction ID : A2015-2617706 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Sandoz Inc. Receipt For:	Executive Director Oncology Injectable Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1107.60	
SUBTOTAL of Receipts This Page (optional	ıl) >	170.76
TOTAL This Period (last page this line num	nher only)	

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one)
Any information copied from such Reports and Sor for commercial purposes, other than using the		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Mary E Corcoran Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Regional Scientific Associate Director Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 30 2015 Transaction ID: A2015-2618157 Amount of Each Receipt this Period 18.46
Full Name (Last, First, Middle Initial) Eric C Couture Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head Regulatory C&G TU Aggregate Year-to-Date ▼ 480.00	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) David C Creedon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Exec Dir BIM Aggregate Year-to-Date ▼ 360.00	Date of Receipt 11 30 2015 Transaction ID : A2015-2618340 Amount of Each Receipt this Period 30.00
SUBTOTAL of Receipts This Page (optional)		88.46
TOTAL This Period (last page this line number	only)	

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Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any per- g the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) A. Rhonda N Crichlow Mailing Address One Health Plaza		Date of Receipt
		1.1 30 2015
City	State Zip Code	Transaction ID : A2015-2618239
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	1
Novartis Pharmaceuticals	US VP Diversity	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	276.96	
Full Name (Last, First, Middle Initial) David L Crippen		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2618394
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Senior Reimbursement Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	240.00	
Full Name (Last, First, Middle Initial) C. Thomas G Cullen		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2617704
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	1
Sandoz Inc.	Advisor Scientific]
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	276.96	
SUBTOTAL of Receipts This Page (options	al) >	66.16
TOTAL This Period (last page this line nur	nber only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Spencer E Davidson Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617821 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Jeanmarie Davis Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617627 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Mgr Global GPD Technical Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name (Last, First, Middle Initial) c. Timothy K De Valroger Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618160 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation **AD Product Manager** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 56.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 27 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Terry H Deason Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618373 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Acct Scientific Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) Full Name (Last, First, Middle Initial) B. R. R Deck Date of Receipt Mailing Address 350 Massachusetts Avenue 11 30 2015 City State Zip Code Transaction ID: A2015-2617714 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Senior Dir. Government Contracts Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Clinton D Degner Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617803 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 86.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page	×	11a		11b		11c		12	
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Joanne Del Rio Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	11 30 2015 Transaction ID : A2015-2617970
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 23.08
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupation Facilities Sr. Specialist Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Lynne M DeLisi Mailing Address One Health Plaza		Date of Receipt 11 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2618255 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Sr Pharmaceutical Counsel	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) C. Randal Dias		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2617960 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Pharmaceuticals	Occupation Glbl CoE Head for Design&Const Mgt NBS	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
SUBTOTAL of Receipts This Page (optional)		69.24
TOTAL This Period (last page this line number	r only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) Dwayne T Dixon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Customer Management Aggregate Year-to-Date ▼ 230.88	Date of Receipt 11 30 2015 Transaction ID: A2015-2617613 Amount of Each Receipt this Period 19.24
Full Name (Last, First, Middle Initial) Alastair M Douglas Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Head US Training Surgical Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 30 2015 Transaction ID: A2015-2617607 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) Kent Douglas Mailing Address 5984 Tripperary Drive City Plano FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code TX 75093 C Occupation Segment Leader Aggregate Year-to-Date ▼	Date of Receipt 11 30 2015 Transaction ID : A2015-2617716 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)		62.32
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Monica M Dunn Date of Receipt Mailing Address 475 Green Oaks Parkway 30 2015 City Zip Code State Transaction ID: A2015-2617715 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Head Global Bus. Ops - MS&T Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) B. David M Eberenz Jr. Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2617893 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify)

Full Name (Last, First, Middle Initial) c. Fred Ellis Date of Receipt Mailing Address One Health Plaza 30 11 2015 Zip Code City State Transaction ID: A2015-2617570 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation Head of Professional Affairs Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General

SUBTOTAL of Receipts This Page (optional)		7	I	7			38.46	3	
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369.12

Other (specify)

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	nd Statements may not be sold or used by any per g the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Michael R Emch Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncol Area Sales Manager Aggregate Year-to-Date ▼ 336.96	Date of Receipt 11 30 2015 Transaction ID: A2015-2617740 Amount of Each Receipt this Period 28.08
Full Name (Last, First, Middle Initial) David R Epstein Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head Pharma AG Aggregate Year-to-Date ▼ 1100.00	Date of Receipt 11 30 2015 Transaction ID : A2015-2617975 Amount of Each Receipt this Period 100.00
Full Name (Last, First, Middle Initial) Judith J Ewalt Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncol Area Sales Manager Aggregate Year-to-Date ▼ 276.96	Date of Receipt 11 30 2015 Transaction ID: A2015-2617883 Amount of Each Receipt this Period 23.08
SUBTOTAL of Receipts This Page (optional	I) >	151.16
TOTAL This Period (last page this line num	ber only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael E Fairchild Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617585 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. IRIS Area Bus. Deployment Head Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name (Last, First, Middle Initial) B. Leo A Farber Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618414 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Exec Dir Fed Govt Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618036 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 230.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 33 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James E Foley Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618028 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **GMA Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew T Footo Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618070 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew C Foster Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617877 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dermatology Sr. Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 64.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF 110 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Anna M Frable Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617968 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Comm NPC CountryCommhead Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Jon S Freeland Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618374 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Dir. Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert M Freeman Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617541 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Alcon Research Head Manufacturing Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 73.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 35 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neely T Frye Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617920 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 126.18 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1505.88 Other (specify) Full Name (Last, First, Middle Initial) B. Jill H Gaither Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618038 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Edward G Gajewski Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617829 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir National & Regional Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 189.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Carolyn A Geier Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618163 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Michael L Gentry Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617577 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 24.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. VP IT Division Head Receipt For: Aggregate Year-to-Date ▼ Primary General 288.00 Other (specify) Full Name (Last, First, Middle Initial) c. Shaun L Gentry Date of Receipt Mailing Address 6201 South Freeway 30 2015 City Zip Code State Transaction ID: A2015-2617560 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 19.22 С federal political committee. Name of Employer Occupation **Group Dir National Accounts** Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 63.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Deidre T George Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618417 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 357.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa M Goldman Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618354 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Exec Dir Regulatory Compliance** Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mindy H Goss Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618165 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Asc. Dir. Onc. Business Unit A Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 172.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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FOR LINE NUMBER: PAGE 39 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Harold R Grossmann Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617974 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Real Estate Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618332 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Onco Ex Dir Clinical Res Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 960.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618315 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Commercial Strategy** Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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FOR LINE NUMBER: PAGE 40 OF 110

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using to	I Statements may not be sold or used by any per the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Joseph Guido Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation IT Head of Operations NBS Aggregate Year-to-Date ▼ 240.00	Date of Receipt 11 30 2015 Transaction ID : A2015-2618169 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) David E Gulick Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Startegic Market Acc Aggregate Year-to-Date ▼ 720.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2617788 Amount of Each Receipt this Period 60.00
Full Name (Last, First, Middle Initial) Kurt Habel Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Asc Dir Incentive Modeling/Des Aggregate Year-to-Date ▼ 553.92	Date of Receipt 11 30 2015 Transaction ID: A2015-2617948 Amount of Each Receipt this Period 46.16
SUBTOTAL of Receipts This Page (optional).	•	126.16
TOTAL This Period (last page this line number	er only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Charles L Haberthur Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617810 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.60 Other (specify) Full Name (Last, First, Middle Initial) B. James P Hafner Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618355 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) c. Laura A Hagan Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618324 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Head Clinical Disclosure Office Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 75.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Paul R Hallen Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617571 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Dir Global Marketing Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name (Last, First, Middle Initial) B. Sarah E Haller Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617907 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1848.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Thomas E Harper Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617873 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Leader - Account Management Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 203.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kay Harris Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617645 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Dir Global Graphics Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name (Last, First, Middle Initial) B. Veronica Harris Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618319 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD Product Management Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Rachel S Hartman Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617600 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Mgr Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sherri J Hatch Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618172 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Scientific Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617924 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 70.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 815.00 Other (specify) Full Name (Last, First, Middle Initial) c. Sarah Hersey Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618406 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Global Program Diagnostic Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 108.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Robert J Hilkert Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618283 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Unit Head Critcl Care Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. William Hinshaw Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618300 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec VP Oncology US Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. William C Hokanson Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617880 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assc Dir Regl Bus Development Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 96.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Xin Hong Date of Receipt Mailing Address One Health Plaza 30 2015 City State Zip Code Transaction ID: A2015-2617604 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Head Optics COE Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name (Last, First, Middle Initial) B. Jeanne P Hood Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617614 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Assoc Dir IT - Software Dev Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) c. Otto C Horstmann II II Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618019 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Employee Benefits Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and Statements or for commercial purposes, other than using the name at		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C	Committee	
Pagaint For:	07936	Date of Receipt 11 30 2015 Transaction ID: A2015-2618311 Amount of Each Receipt this Period 40.00
Pagaint For:	02139	Date of Receipt 11 30 2015 Transaction ID : A2015-2617553 Amount of Each Receipt this Period 19.38
Possint For:	07936	Date of Receipt 11 30 2015 Transaction ID : A2015-2617967 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional)		77.84
TOTAL This Period (last page this line number only)		

	FOR LINE I		: [PAGE	48	OF	110
Use separate schedule(s) for each category of the	(check only	one)		_	_		
Detailed Summary Page	X 11a	11b		11c	12	2 _	_
, ,	13	14		15	16	3	17

	nd Statements may not be sold or used by any per g the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Donald Hughes Mailing Address One Health Plaza		Date of Receipt
		11 30 2015
City	State Zip Code	Transaction ID : A2015-2618425
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	60.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Insurance Exchange Ext Affairs	
Receipt For: Primary General Other (cnecify)	Aggregate Year-to-Date ▼	
Other (specify) ▼	270.00	
Full Name (Last, First, Middle Initial) 3. Gene M Hughes		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2618081
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	24.00
Name of Employer Novartis Pharmaceuticals	Occupation Sr. Regional Account Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 288.00	
Full Name (Last, First, Middle Initial) C. Melody Hughson		Date of Receipt
Mailing Address One Health Plaza		11 30 2015 _
City	State Zip Code	Transaction ID : A2015-2618356
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Ex Director Public Policy	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1200.00	
SUBTOTAL of Receipts This Page (optional	I)	184.00
	<u>, </u>	
TOTAL This Period (last page this line num	ber only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edgar L Jarvis Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617817 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 32.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Cardiovascular Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 387.60 Other (specify) Full Name (Last, First, Middle Initial) B. Mark J Jeffers Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617591 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Dir Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) c. Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618177 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jason Jimenez Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617542 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Dir Hospital and Corp Accts Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bruce S Johnson Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617744 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Heather L Johnson Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617533 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Head of US Training Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 56.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) X 11a 11b 11c

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sara Johnson-Davis Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618116 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Mark A Joines Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617864 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Inflammatory Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) **c.** Deborah Juterbock Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618087 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation **Director Of Global Operations** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617982 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IACH-Capability Bldg Academy Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dean B Kameros Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618304 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Mktg Res/Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael A Kapin Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617579 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 С federal political committee. Name of Employer Occupation Mgr Clinical Data Mgmt Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 89.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE 53	OF 110
Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11b	11c 1	2
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	Statements may not be sold or used by any person and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Erik L Karlsons Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other	Occupation MS Sr Area Business Leader Aggregate Year-to-Date ▼ 332.40	Amount of Each Receipt this Period 27.70
Full Name (Last, First, Middle Initial) Claudia M Kelly Mailing Address 350 Massachusetts Avenue City Cambridge	State Zip Code MA 02139	Date of Receipt 11 30 2015 Transaction ID: A2015-2617712 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For:	Occupation Head Market Access Aggregate Year-to-Date ▼	Amount of Each Receipt this Period 23.08
Primary General Other (specify) ▼	276.96	
Full Name (Last, First, Middle Initial) Sara Kelly Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 30 2015 Transaction ID: A2015-2617619 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary Other (specify)	Occupation Head PMO - Transformational Projects Aggregate Year-to-Date ▼ 221.52	18.46
SUBTOTAL of Receipts This Page (optional)		69.24
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Carey P Kelson Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617629 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.84 federal political committee. Name of Employer Occupation Global Product Director Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.08 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2617738 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VPGeneral CounselNPCCountry Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) c. Edward W Kettler III Date of Receipt Mailing Address 6201 South Freeway 30 2015 City Zip Code State Transaction ID: A2015-2617651 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 23.06 С federal political committee. Name of Employer Occupation Assoc Dir IT Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.72 Other (specify) 136.20 SUBTOTAL of Receipts This Page (optional).....

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TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	55 OF	110
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any per g the name and address of any political committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Michael C Kincaid Mailing Address One Health Plaza		Date of Receipt
		11 30 2015
City	State Zip Code NJ 07936	Transaction ID : A2015-2618183
East Hanover	140 01,920	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	1
Novartis Pharmaceuticals	ED Oncology Sales	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 336.96	
Full Name (Last, First, Middle Initial) Shannon T Klinger		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2617707
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.38
Name of Employer	Occupation	1
Sandoz Inc.	Global Head Lgl & Gen Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1269.18	
Full Name (Last, First, Middle Initial) C. Robert W Kowalski		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Fact Hangyer	State Zip Code NJ 07936	Transaction ID : A2015-2618333
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.15
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Expat_CH_Global Head DRA	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	876.85	
SUBTOTAL of Receipts This Page (options	al)	189.61
TOTAL This Period (last page this line nur	nber only)	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 56 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Sta or for commercial purposes, other than using the r		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	tion Committee	
Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Assoc. Dir. State and External Affairs Aggregate Year-to-Date ▼ 600.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2618398 Amount of Each Receipt this Period 50.00
Novertia Dharmacauticala	State Zip Code NJ 07936 C Occupation VP IT Division Head Aggregate Year-to-Date ▼ 253.88	Date of Receipt 11 30 2015 Transaction ID: A2015-2617939 Amount of Each Receipt this Period 23.08
' '	State Zip Code NJ 07936 C Occupation Sr Project Manager II Aggregate Year-to-Date ▼	Date of Receipt 11 30 2015 Transaction ID : A2015-2617573 Amount of Each Receipt this Period 19.22
SUBTOTAL of Receipts This Page (optional)		92.30
TOTAL This Period (last page this line number or	nly)	\

	FOR LINE NUMBER:	PAGE	57 OF	110
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c [12	
	13 14	15	16	717

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert D Larsen

Mailing Address One Health Plaza

City State Zip Code

Transaction ID : A2015-2617625

A. Robert D Larsen		Date of Receipt
Mailing Address One Health Plaza		1.1 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2015-2617625
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.24
Name of Employer	Occupation	
Alcon Research	Hd Process Dev & API	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 230.88	
Full Name (Last, First, Middle Initial) 3. Shawn Laverty		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code NJ 07936	Transaction ID : A2015-2617955
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer	Occupation	
Novartis Pharmaceuticals	Senior Cardiovascular Sales Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) C. LeighAnne A Leas		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2618241
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	154.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	VP Health Policy	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1523.00	
SUBTOTAL of Receipts This Page (optional)		191.70

TOTAL This Period (last page this line number only).....

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any per the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Richard E Lemire Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Call the Middle Initial)	State Zip Code NJ 07936 C Occupation Head of Engineering Aggregate Year-to-Date ▼ 1038.24	Date of Receipt 11 30 2015 Transaction ID: A2015-2617724 Amount of Each Receipt this Period 86.52
Full Name (Last, First, Middle Initial) Leslie E Lemke-Boutcher Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Sr Project Toxicologist Aggregate Year-to-Date ▼ 230.64	Date of Receipt 11 30 2015 Transaction ID : A2015-2617597 Amount of Each Receipt this Period 19.22
Full Name (Last, First, Middle Initial) Vinson G Leslie Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 30 2015 Transaction ID : A2015-2618184 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional).		124.20
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 59 OF 110 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard Lloyd Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618312 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head US Oncology Mgd Markets & Mkt Acc Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617683 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation **NIBRI** Head NIBR Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) c. Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618010 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) 166.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUME	PAGE	60 OF	110	
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or for commercial purposes, other than using the	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Donald R Losordo Mailing Address One Health Plaza City	Date of Receipt 11	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼ 221.52	Amount of Each Receipt this Period 18.46
Full Name (Last, First, Middle Initial) Frederic D Loveland Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 30 2015 Transaction ID : A2015-2617962
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	Occupation Executive Director R&D Ops	Amount of Each Receipt this Period 23.08
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.96	
Full Name (Last, First, Middle Initial) Paul O Lund Mailing Address One Health Plaza		Date of Receipt 11 30 2015
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Assoc Dir Glb Ops Excellence Aggregate Year-to-Date ▼ 250.08	Transaction ID : A2015-2617545 Amount of Each Receipt this Period 20.84
SUBTOTAL of Receipts This Page (optional)		62.38
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 61 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David T Mac Askill Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617868 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Hospital Executive Account Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly A MacKay Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618187 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Deputy Compliance Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. John Mandala Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617855 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Lead Specialty Channels Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 64.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the na		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee	
Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼	State Zip Code NJ 07936 C Decupation P North Amer Cross Div HR Svc Aggregate Year-to-Date ▼ 336.96	Date of Receipt M
Name of Employer Novartis Pharmaceuticals O	State Zip Code NJ 07936 C Occupation Incology Specialist Aggregate Year-to-Date ▼ 360.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2617886 Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals A	State Zip Code NJ 07936 C Decupation Use Dir Account Mgmt Aggregate Year-to-Date ▼	Date of Receipt 11 30 2015 Transaction ID: A2015-2617991 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional))	76.54
TOTAL This Period (last page this line number only	<i>(</i>)	

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for each category of the Detailed Summary Page	X 11a 1	11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sridhar V Mantha Date of Receipt Mailing Address One Health Plaza 30 2015 City State Zip Code Transaction ID: A2015-2617620 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Name of Employer Occupation Head of Qty SysStds and Aff QA Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Michael G Marks Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2617596 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head R and D QA Surgical Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name (Last, First, Middle Initial) c. Penny A Marks Date of Receipt Mailing Address One Health Plaza 30 11 2015 Zip Code City State Transaction ID: A2015-2617847 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing

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SUBTOTAL of Receipts This Page (optional)	·······		Į.	_	_	7	_	_	7	_	О	2.30	
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240.00

С

Occupation

Oncology Specialist

Aggregate Year-to-Date ▼

20.00

federal political committee.

Novartis Pharmaceuticals

Other (specify)

General

Name of Employer

Primary

Receipt For:

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kerry L Markwardt Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617568 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Project Head IV - Pharma Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) **B.** Charles J Marshall Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617586 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head Cataract Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) **c.** Julie Masow Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618313 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Global HeadOncology Public Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 65 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William R Matthews Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617914 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 44.82 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 533.02 Other (specify) Full Name (Last, First, Middle Initial) B. Anne W Mauzy Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618190 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brian M Mayhew Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618389 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Regulatory Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 94.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Aaron A Mays Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617603 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Global Product Director Monofocal IOLs Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) B. Stephen W Mc Laughlin Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617811 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Director Patient Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Arlene J Mc Leer Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618109 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Junior Global Regulatory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 67.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 67 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Catharine M McBride Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617858 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1173.05 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher R McBurney Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618191 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Edward D McGough Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617576 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation SVP Global Mfg & Tech Ops Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2769.12 Other (specify) 349.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward J McKenna Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618272 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew A McNally Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618302 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617675 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. Head Europe and Americas Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) 138.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Kathy E Meissner Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head of Health Safety and Envi Aggregate Year-to-Date ▼ 230.64	Date of Receipt 11 30 2015 Transaction ID: A2015-2617628 Amount of Each Receipt this Period 19.22
Full Name (Last, First, Middle Initial) Gary J Menichini Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP/GM U.S. Pharmaceuticals Aggregate Year-to-Date ▼ 600.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2617538 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Phillip H Milam Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Regional Dir Aggregate Year-to-Date ▼ 325.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2618409 Amount of Each Receipt this Period 325.00
SUBTOTAL of Receipts This Page (optional)	394.22
TOTAL This Period (last page this line num)	ber only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Susan J Millard Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617546 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Head HR Alcon R&D Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Donald J Miller Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618193 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Alejandro Minetto Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617606 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 С federal political committee. Name of Employer Occupation Finance Business Process Lead Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 92.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TEMIZED RECEII	PTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a
			erson for the purpose of soliciting contributions e to solicit contributions from such committee.
NAME OF COMMITTEE Novartis Corpor	(In Full) ation Political Action Cor	nmittee	
Other (specify)	ealth Plaza State NJ ributing ee. Occupation s Resp Integ Aggregate	Zip Code 07936 07936 nrated Account Spec Year-to-Date ▼ 437.62	Date of Receipt 11 30 2015 Transaction ID: A2015-2617843 Amount of Each Receipt this Period 36.60
Full Name (Last, First, Polly L Murphy Mailing Address One Ho City East Hanover FEC ID number of cont federal political committ Name of Employer Novartis Pharmaceutical Receipt For: Primary Other (specify)	State NJ ributing ee. Occupation s Executive S	Zip Code 07936 07936 10 Sales Specialist Year-to-Date ▼ 336.96	Date of Receipt 11 30 2015 Transaction ID : A2015-2618195 Amount of Each Receipt this Period 28.08
Full Name (Last, First, Donna H Myrie Mailing Address One H City East Hanover FEC ID number of cont federal political committ Name of Employer Novartis Pharmaceutica Receipt For: Primary Other (specify)	ealth Plaza State NJ ributing ee. Occupation Associate	Zip Code 07936 Director Contracting Year-to-Date ▼ 600.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2617936 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts 1	his Page (optional)	>	114.68
TOTAL This Period (last	page this line number only)	·····	

	FOR	LINE	NU	IMBER	:	PAGE	7	72 OF	1	110
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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Alissa J Nagler Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 11 30 2015 Transaction ID : A2015-2618079 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation ED PAP Aggregate Year-to-Date ▼ 240.00	20.00
Full Name (Last, First, Middle Initial) Jyun J Nakamura Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 11 30 2015 Transaction ID : A2015-2618275
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Strategic Account Mgr	Amount of Each Receipt this Period 18.46
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 221.52	
Full Name (Last, First, Middle Initial) Vasant K Narasimhan Mailing Address 350 Massachusetts Avenue		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	State Zip Code MA 02139 C Occupation IACH-Head Gbl Dev Aggregate Year-to-Date ▼ 507.65	Transaction ID : A2015-2618368 Amount of Each Receipt this Period 46.15
SUBTOTAL of Receipts This Page (optional)		84.61
TOTAL This Period (last page this line number	only)	

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zotanou cummun, rugo	13	14	15	16	

Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any per- the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Mary E Naughton		Date of Receipt
Mailing Address One Health Plaza		1.1 30 2015
City	State Zip Code	Transaction ID : A2015-2618197
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	20.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Regulatory Affairs - TA Dir	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	riggrogate roar to bate v	
Other (specify) ▼	240.00	
Full Name (Last, First, Middle Initial) 3. Thomas B Neumeyer		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
One ricaliti laza		11 30 _2015 _
City	State Zip Code	Transaction ID : A2015-2617709
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	24.00
Name of Employer	Occupation	-
Sandoz Inc.	Associate II IPQA	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	riggregate real to bate v	
Other (specify) ▼	288.00	
Full Name (Last, First, Middle Initial)		Date of Receipt
·		Date of Receipt
Mailing Address One Health Plaza		11 30 / 2015
City	State Zip Code	Transaction ID : A2015-2618246
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	-
Novartis Pharmaceuticals	AD Sr Application Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	276.96	
SUBTOTAL of Receipts This Page (optional).	····	67.08
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TOTAL This Period (last page this line numb	er only)	

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James R Niebanck Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617912 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Strategy & Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) B. Michael A Niesel Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618199 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Dermatology Executive Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Sharon L Nobles Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617832 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Dermatology Executive Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 69.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and Statemen or for commercial purposes, other than using the name a		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (Committee	
Pagaint For:	07936	Date of Receipt 11 30 2015 Transaction ID: A2015-2617647 Amount of Each Receipt this Period 19.24
Pagaint For:	07936	Date of Receipt 11 30 2015 Transaction ID: A2015-2617845 Amount of Each Receipt this Period 23.08
Descint For:	10020	Date of Receipt 11 30 2015 Transaction ID : A2015-2618347 Amount of Each Receipt this Period 112.00
SUBTOTAL of Receipts This Page (optional)		154.32
TOTAL This Period (last page this line number only)		

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and Statem or for commercial purposes, other than using the name		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Dir	cupation of Pharmaceutical Ops gregate Year-to-Date ▼ 276.72	Date of Receipt 11 30 2015 Transaction ID: A2015-2617583 Amount of Each Receipt this Period 23.06
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Page int Ferri	cupation GL.Hd Reg Policy & Intelli gregate Year-to-Date ▼ 553.92	Date of Receipt 11 30 2015 Transaction ID : A2015-2618360 Amount of Each Receipt this Period 46.16
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Possint For:	cupation ad Field Bus Relations Mgr gregate Year-to-Date ▼ 221.52	Date of Receipt 11 30 2015 Transaction ID: A2015-2617796 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional)		87.68
TOTAL This Period (last page this line number only).		

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(cneck only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using t	I Statements may not be sold or used by any pe the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Melissa A Parker Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Regional Dir Acct Management Aggregate Year-to-Date ▼ 332.40	Date of Receipt 11 30 2015 Transaction ID: A2015-2618040 Amount of Each Receipt this Period 27.70
Full Name (Last, First, Middle Initial) Bin Peng Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head Of OTM China Aggregate Year-to-Date ▼ 550.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2618334 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Bret D Peterson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation ExecRespiratorySalesSpecialist Aggregate Year-to-Date ▼ 221.52	Date of Receipt 11 30 2015 Transaction ID: A2015-2618105 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional).		96.16
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Efthimios Petroutsas Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618265 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Product Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Candice C Phipps Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618407 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Director Federal Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Bradley J Pierson Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618376 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 245.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements or for commercial purposes, other than using the name and		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Co	ommittee	
Possint For:	Zip Code 07936 dion di US Sales & Mktg Ops ate Year-to-Date ▼	Date of Receipt 11 30 2015 Transaction ID: A2015-2617572 Amount of Each Receipt this Period 30.76
Pagaint For:	Zip Code 07936 dion Area Sales Manager ate Year-to-Date ▼ 221.52	Date of Receipt 11 30 2015 Transaction ID: A2015-2618096 Amount of Each Receipt this Period 18.46
Possint For:	Zip Code 07936 iion ascular Sr. Area Business Leade ate Year-to-Date ▼	Date of Receipt 11 30 2015 Transaction ID: A2015-2618099 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional)	······••••••••••••••••••••••••••••••••	67.68

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) J. C Proctor Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618236 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Shumei Qiu Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617686 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.78 federal political committee. Name of Employer Occupation **NIBRI** Scientific Associate II Receipt For: Aggregate Year-to-Date ▼ Primary General 201.36 Other (specify) Full Name (Last, First, Middle Initial) c. Eileen M Quinn Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617844 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 53.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)	Action Committee	
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Susan R Rendon		Date of Receipt
Mailing Address One Health Plaza		11 30 _ 2015 _
City	State Zip Code	Transaction ID : A2015-2617611
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.24
Name of Employer	Occupation	-
Alcon Laboratories Inc.	Head of Total Global Rewards	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	221.26	
Full Name (Last, First, Middle Initial) 3. Elizabeth Renz		Date of Receipt
Mailing Address One Health Plaza		11 30 _ 2015 _
City	State Zip Code	Transaction ID : A2015-2617710
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	1
Sandoz Inc.	Director Communications for Biopharma	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	510.00	
Full Name (Last, First, Middle Initial) Margaret Riccobono		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2617702 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	+
Sandoz Inc.	Associate Director Talent Management	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	276.96	
SUBTOTAL of Receipts This Page (optional)		102.32
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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David J Robertson Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617594 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation GCM Wholesalers & Distribution Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) B. Renee C Rodgers Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618362 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) Full Name (Last, First, Middle Initial) c. Laurie J Roemisch Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618047 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 97.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Jason T Russell Mailing Address One Health Plaza		Date of Receipt 1.1 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2617769 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	64.62
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Business Relationship Mgr. Aggregate Year-to-Date ▼ 775.44	
Full Name (Last, First, Middle Initial) Alan D Ryan Mailing Address One Health Plaza		Date of Receipt 11 302015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2617696 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.00
Name of Employer Sandoz Inc. Receipt For:	Occupation Dir. US Advocacy Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	455.00	
Full Name (Last, First, Middle Initial) Joseph M Ryan		Date of Receipt
Mailing Address One Health Plaza City	State Zip Code	11 30 2015
East Hanover	NJ 07936	Transaction ID : A2015-2618378 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals Receipt For:	Therapeutic Area Head Aggregate Year-to-Date ▼	-
Primary	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	204.62
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Peter Rzewnicki Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 234F 30 2015 City Zip Code State Transaction ID: A2015-2617703 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Head of Pricing Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Ahmad M Saad Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617635 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Research Principal Engineer Test Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Harry J Sacks Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618384 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation VP Medl & Scientific Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 86.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Roger F Samartino Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617996 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Strategic Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. Adwoa A Sanderson Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618403 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) c. Cristina L Santos Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2618345 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Asc Dir Glbl Sales Excellence & Traini Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 86.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Nancy S Schwartz Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation Dir Managed Care Marketing Aggregate Year-to-Date ▼ 230.64	Date of Receipt 11 30 2015 Transaction ID: A2015-2617668 Amount of Each Receipt this Period 19.22
Full Name (Last, First, Middle Initial) Mark G Schweitzer Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation Gbl Head Analytical Science &Technolog Aggregate Year-to-Date ▼ 1200.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2618413 Amount of Each Receipt this Period 100.00
Full Name (Last, First, Middle Initial) Russell E Seay Jr. Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Regl Med Lead NS Aggregate Year-to-Date ▼ 360.00	Date of Receipt 11 30 2015 Transaction ID: A2015-2618207 Amount of Each Receipt this Period 30.00
SUBTOTAL of Receipts This Page (optional	NI)	149.22
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Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any per- the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Deborah B Shertzer Mailing Address, One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2618209
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer	Occupation	
Novartis Pharmaceuticals	Asc Dir Regulatory Compliance	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	221.52	
Full Name (Last, First, Middle Initial) Sherrie L Simms		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City	State Zip Code	Transaction ID : A2015-2618212
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Global HR Business Partner	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	276.96	
Full Name (Last, First, Middle Initial) C. Joseph M Simon		Date of Receipt
Mailing Address One Health Plaza		11 30 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2617866
-	142 01.930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	36.92
Name of Employer	Occupation	1
Novartis Pharmaceuticals	MS Exec Specialist	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	443.04	
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William E Simon Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617919 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) B. David P Sipe Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617897 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. John G Slick Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618063 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Hospital Executive Account Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 55.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James E Sluck Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617582 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 federal political committee. Name of Employer Occupation Dir US Marketing Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.72 Other (specify) Full Name (Last, First, Middle Initial) B. Gregory R Slyfield Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618213 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals ED State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 296.53 Other (specify) Full Name (Last, First, Middle Initial) c. William S Spelta Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617758 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) 81.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Donald E Stecher Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618050 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Philip G Stehle Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2617626 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name (Last, First, Middle Initial) c. Ashley D Stephens Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618067 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Senior Area Business Leader Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 60.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Donald P Stevens Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617806 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General 830.88 Other (specify) Full Name (Last, First, Middle Initial) B. Lesley J Stickley Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2617840 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew C Stillwell Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617812 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Leader - Institute Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 110.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 98 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

110

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Peter N Streit Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618005 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Dir Professional Strat & Bus Developme Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name (Last, First, Middle Initial) B. Edson J Stricker Date of Receipt Mailing Address 6201 South Freeway 11 30 2015 City State Zip Code Transaction ID: A2015-2617652 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 23.06 federal political committee. Name of Employer Occupation Alcon Research Sr Dir Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 276.72 Other (specify) Full Name (Last, First, Middle Initial) c. John Suchorsky Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617983 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Demand Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 96.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 99 OF 110 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Thomas A Suter Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618316 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 671.56 Other (specify) Full Name (Last, First, Middle Initial) **B.** Sonya R Taylor Date of Receipt Mailing Address One Health Plaza 30 11 2015 City State Zip Code Transaction ID: A2015-2618219 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Respiratory Sr. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) **c.** Gretchen S Trout Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618379 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head NA Policy & FDA Liaison Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 98.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 100 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Nancy N Tuffin Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617674 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 federal political committee. Name of Employer Occupation Head Health Policy/Govt Rel Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.77 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas A Urban Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617734 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 32.02 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ExecRespiratorySalesSpecialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 383.39 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa R Utt Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617789 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Patient Services Liaison II Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 82.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 101 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jason Van Pelt Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618415 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 federal political committee. Name of Employer Occupation Exec Dir Fed Govt Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1540.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edward S Vander Veen Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618223 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals North America Comm Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Erwin Vanhaecke Date of Receipt Mailing Address One Health Plaza 30 11 2015 City Zip Code State Transaction ID: A2015-2617605 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation IACH-Head NVS Group Qlty Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 207.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 102 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

ITEMIZED RECEIPTS 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William W Voegtli Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617785 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name (Last, First, Middle Initial) B. Christen L Volk Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618380 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. AD-National Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Denise A Vollert-Parrotto Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618224 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Business Planning & Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 78.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	: 1	03 OF	110
Use separate schedule(s)	(che	ck only	or or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c		12	
		13		14		15		16	17

Any information copied from such Reports and S or for commercial purposes, other than using the	tatements may not be sold or used by any person name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Matthew M von Wellsheim Mailing Address One Health Plaza		Date of Receipt
		11 30 2015
City Fact Hangyer	State Zip Code	Transaction ID : A2015-2617578
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	
Alcon Laboratories Inc.	VP IT & CIO	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 553.92	
Full Name (Last, First, Middle Initial)	350.32	
Robert K Warner		Date of Receipt
Mailing Address One Health Plaza		11 30 / Y Y Y Y Y
City	State Zip Code	Transaction ID : A2015-2617584
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	
Alcon Laboratories Inc.	Global Franchise Head Vision Care	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	553.92	
Full Name (Last, First, Middle Initial) C. Stephanie B Waugh		Date of Receipt
Mailing Address One Health Plaza		11 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Fact Hanguer	State Zip Code	Transaction ID : A2015-2617670
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Alcon Laboratories Inc.	Glb Mktg Dir Ext Eye Disease	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Primary ☐ General Other (specify) ▼	360.00	
SUBTOTAL of Receipts This Page (optional)		122.32
TOTAL This Period (last page this line number	<u>_</u> _	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 104 OF 110

TEMIZED RECEIPTS	•	Use separate schedule(s)	(che	(check only one)						
ILIVIIZED RECEIP13		for each category of the Detailed Summary Page		11a 13		11b	11c	12 16	17	
Any information copied from such Reports and or for commercial purposes, other than using				or the		oose of s	soliciting	contribu	tions	
NAME OF COMMITTEE (In Full)										
Novartis Corporation Political	Action Con	nmittee								
Full Name (Last, First, Middle Initial) A. Randy S Wilbur				Date of	Re	ceipt				
Mailing Address One Health Plaza				M = M	/	30	/ Y	2015	Y	
City	State	Zip Code		Trans	acti	on ID : A	2015-2	618031		
East Hanover	NJ	07936	/	4mount	of	Each Re	ceipt th	is Period		
FEC ID number of contributing federal political committee.	С				_		- 7	18	3.46	
Name of Employer	Occupation									
Novartis Pharmaceuticals	Manager P	rocess Excellence								
Receipt For:	Aggregate	Year-to-Date ▼								
Primary General			1							
Other (specify) ▼		221.52	4							
Full Name (Last, First, Middle Initial) 3. Edward S Williams				Date of	Re	ceipt				
Mailing Address One Health Plaza				M = M	/	30	/ Y	2015	Y	
City	State	Zip Code		Transa	acti	on ID : A	2015-2	617892		
East Hanover	NJ	07936	/	4mount	of	Each Re	ceipt th	is Period		
FEC ID number of contributing federal political committee.	С					,	7	18	.46	
Name of Employer	Occupation	1								
Novartis Pharmaceuticals	Sr Oncology	y Specialist								
Receipt For:	Aggregate	Year-to-Date ▼								
Primary General		204.50	1							
Other (specify) ▼		221.52	4							
Full Name (Last, First, Middle Initial) C. Kenneth K Williams				Date of	Re	ceipt				
Mailing Address One Health Plaza				M = M		30	/ Y	2015	Y	
City	State	Zip Code			acti	ion ID : A	2015-2			
East Hanover	NJ	07936	/					is Period		
FEC ID number of contributing federal political committee.	С					7	-7	19	9.22	
Name of Employer	Occupation	l								
Alcon Research	Sr Project	Γoxicologist								
Receipt For:	Aggregate	Year-to-Date ▼								
Primary General			1							
Other (specify) ▼		230.64								
SUBTOTAL of Receipts This Page (optional).								56	.14	
. 3 (12-27)			- 1	-			7		_	
TOTAL This Period (last page this line numb	er only)									

FOR LINE NUMBER: PAGE 105 OF 110 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Brad C Willie Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2617798 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Medicaid/GPO Account Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) B. Karen M Wilshire Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2617808 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name (Last, First, Middle Initial) c. Amy B Wilson Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618250 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Perf Mgmt. & Standards Lead Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 106 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

110

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 30 2015 City Zip Code State Transaction ID: A2015-2618013 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals** Ex Dir Business Plang & Analy Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) Full Name (Last, First, Middle Initial) B. Christine D Wyble Date of Receipt Mailing Address One Health Plaza 11 30 2015 City State Zip Code Transaction ID: A2015-2618336 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals** Glbl Hd Medical Info & Sci Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Fan Zhang Date of Receipt Mailing Address One Health Plaza 11 30 2015 City Zip Code State Transaction ID: A2015-2617612 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 С federal political committee. Name of Employer Occupation Head PCS Lab Services Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) 88.48 SUBTOTAL of Receipts This Page (optional)..... 12104.74 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)		EOD LINE	NUMBER: PAGE 107 OF
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE (check only	TYOMBETT:
I LIVIIZED DISDUNSLIVIEN IS	for each category of the Detailed Summary Page	X 21b	22 23 24 25
	Detailed Summary Page	27	28a 28b 28c 29
Any information copied from such Reports and Staten	nents may not be sold or used	by any perso	on for the purpose of soliciting contributions
or for commercial purposes, other than using the name	ne and address of any political	committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)		1	
A. Capital One Bank			Date of Disbursement
Capital Offe Daffik			M M / D D / Y Y Y Y
Mailing Address 701 Pennsylvania Ave. NW			11 20 2015
,	State Zip Code DC 20004		Transaction ID : B589851
Washington Purpose of Disbursement	DC 20004		
Bank Service Charge		001	Amount of Each Disbursement this Perio
Candidate Name		Category/	
		Type	72.53
	nent For: 2015		
Senate	Primary General		
State: President X	Other (specify) ▼ Not Applicable		
	Not Applicable		
Full Name (Last, First, Middle Initial) 3.			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address			
City	State Zip Code		
Purpose of Disbursement	Τ_		
			Amount of Each Disbursement this Perio
Candidate Name	I	Category/	
		Type	
Office Sought: House Disburser			
Senate	Primary General		
President State: District:	Other (specify) ▼		
Full Name (Last, First, Middle Initial)			
3.			Date of Disbursement
			M M / D D / Y Y Y
Mailing Address			
City	75- 0-1-		
City	State Zip Code		
Purpose of Disbursement	Τ,		
			Amount of Each Disbursement this Perio
Candidate Name		Category/	
0"		Type	
Office Sought: House Disburser			
Senate President	Primary General Other (specify) ▼		
State: District:	ouler (specify) ▼		
Z. Z			
SUBTOTAL of Disbursements This Page (optional)			72.53
TOTAL This Period (last page this line number only)			72.53

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SCHEDULE B (FEC Form 3X)	Lico conorato achadula/a\	FOR LINE I	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27	/ one) 22
Any information copied from such Reports and Stater or for commercial purposes, other than using the nar			
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee		
Full Name (Last, First, Middle Initial)			
A. Carper for Senate			Date of Disbursement
Mailing Address PO Box 2882			11 23 2015
,	State Zip Code		Transaction ID : B588328
Wilmington Purpose of Disbursement	DE 19805		
Contribution		011	Amount of Each Disbursement this Perio
Candidate Name		Category/	1000.00
Tom Carper		Type	1000.00
	nent For: 2018 Primary General Other (specify) ▼		
State: DE District:			
Full Name (Last, First, Middle Initial) B. Donnelly for Indiana			Date of Disbursement
Mailing Address 1433 R Street NW #2			11 23 2015
Washington	State Zip Code DC 20009		Transaction ID : B588332
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Perio
Candidate Name		Category/	1000.00
Joseph S Donnelly Office Sought: House Disburser	ment For: 2018	Туре	
	Primary General Other (specify)		
Full Name (Last, First, Middle Initial) C. Hoyer for Congress			Date of Disbursement
Mailing Address 499 S. Capitol Street Suite 406			11 23 2015
City Washington	State Zip Code DC 20003		Transaction ID : B588335
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Perio
Candidate Name		Category/	1000.00
Steny H Hoyer Office Sought: Senate President State: MD Disburser Senate President State: 05	ment For: 2016 Primary General Other (specify)	Туре	
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)			3000.00

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SCHEDULE B (FEC Form 3X)		FOR LINE	INOMBETT.	PAGE 109 OF 110	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	neck only one)		
	Detailed Summary Page	21b		4 25 26 8c 29 30b	
Any information copied from such Reports and State	ments may not be cold or us				
or for commercial purposes, other than using the na					
NAME OF COMMITTEE (In Full)					
$\Big \Big angle$ Novartis Corporation Political Action	on Committee				
Full Name (Last, First, Middle Initial)					
A. Peters for Michigan			Date of Disbursement		
Mailing Address D. D. 200			M M / D D /	2045	
Mailing Address P.O. Box 226			11 23	2015	
City	State Zip Code		Transcation ID - D500	220	
Bloomfield	MI 48303		Transaction ID : B588	533U	
Purpose of Disbursement Contribution		011	Amount of Each Disbur	sement this Period	
Candidate Name			, and an en Edon Bisbur	SSGIR GIIG I GIIGG	
Gary Peters		Category/ Type		1000.00	
	ment For: 2020				
X Senate	Primary General				
State: MI District:	Other (specify) ▼				
Full Name (Last, First, Middle Initial)					
B. New Millennium PAC			Date of Disbursement		
Mailing Address and the			M = M / D = D /	2045	
Mailing Address 300 New Jersey Ave. NW Suite			11 23	2015	
City	State Zip Code DC 20001		Transaction ID : B588	8334	
Washington Purpose of Disbursement	20001				
Contribution		011	Amount of Each Disbur	sement this Period	
Candidate Name		Category/		1000.00	
Office Cought	mant Fam. so:-	Type		1000.00	
Office Sought: House Disburse Senate	ment For: 2015 Primary General				
	Other (specify)				
State: District:	Not Applicab	le			
Full Name (Last, First, Middle Initial)					
C. Gene Green Congressional Camp	aign		Date of Disbursement		
Mailing Address P.O. Box 16128			M M / D D /	2015	
City	State Zip Code		Transaction ID : B588	B331	
Houston Purpose of Disbursement	TX 77222				
Contribution		011	Amount of Each Disbur	sement this Period	
Candidate Name		Category/	I III G. I. Edon Biobui		
Raymond Gene Green		Type		1000.00	
	ment For: 2016				
Senate President	Primary General Other (specify)				
State: TX District: 29	□ Silot (opoony) ▼				
SUBTOTAL of Disbursements This Page (optional).				3000.00	
TOTAL This Period (last page this line number only	′)	•••••••••••••••••••••••••••••••••••••••		, , , , , , , , , , , , , , , , , , , ,	

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE	110 OF 11
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	one) 22 🔀 23 🗌 24	25 26	
Annatura and the same to the s		27	28a 28b 28c	29 3
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam	nents may not be sold or us ne and address of any politic	ed by any perso al committee to	on for the purpose of soliciting consoliciting contributions from such contributions from such contributions.	ontributions committee.
NAME OF COMMITTEE (In Full)				
Novartis Corporation Political Action	n Committee			
Full Name (Last, First, Middle Initial)				
A. Country Roads PAC			Date of Disbursement	Y Y Y
Mailing Address 426 C Street NW			11 23 2	2015
,	State Zip Code		Transaction ID : B588337	
g	DC 20002			
Purpose of Disbursement Contribution		011	Amount of Each Disbursemen	nt this Period
Candidate Name		Category/ Type	7	1000.00
Senate	nent For: 2015 Primary General Other (specify)			
State: District:	Not Applicable	e		
Full Name (Last, First, Middle Initial) 3.			Date of Disbursement	
·				YYYY
Mailing Address				
City	State Zip Code			
Purpose of Disbursement			Amount of Each Disbursemen	nt this Period
Candidate Name		Category/ Type		
	nent For: Primary General Other (specify) ▼			
State: District:				
Full Name (Last, First, Middle Initial)			Date of Disbursement	
Mailing Address			M M / D D / Y	Y Y Y
City	State Zip Code			
Purpose of Disbursement				
Candidate Name		Category/ Type	Amount of Each Disbursemen	nt this Period
President	nent For: Primary General Other (specify) ▼	Турс		
State: District:				
SUBTOTAL of Disbursements This Page (optional)		·········· >		1000.00
TOTAL This Period (last page this line number only).				7000.00