Image# 14941745673 PAGE 1 / 10

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

(Choose One) Report Oue On:		For Other Than A	n Authorize	a Committe	e		Office Use Only	
ADDRESS (number and street) Check if different than previously reported, (ACC) Check if different than previously reported, (ACC) 2. FEC IDENTIFICATION NUMBER C C 20036 3. IS THIS REPORT (IN) OR AMENDED (A) 4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (O1) July 15 Quot 16 Quarterly Report (O2) Clocker 15 Quarterly Report (O2) Clocker 16 Quarterly Report (O2) April 15 Quarterly Report (O2) Clocker 16 Quarterly Report (O2) Clocker 16 Quarterly Report (O2) Clocker 16 Quarterly Report (O2) Termination Report (PROPE) April 17 Quarterly Report (O2) Cordon 18 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M4)) April 19 Quarterly Report (O2) Cordon 19 (Mary 20 (M5) April 19 Quarterly Report (O2) Cordon 19 Quarterly Report (O2) Cordon 19 Quarterly Report (O2) April 19 Quarterly Report (O3) April 19		TYPE OR PRINT ▼			ng, type	12FE4M5		
C C00440826 WASHINGTON PEPORT (Chook if different than previously reported: (ACC) 2. FEC IDENTIFICATION NUMBER V C C00440826 S. IS THIS REPORT (Choose One) (a) Quarterly Report (D) Monthly Report (O) (b) Monthly Report (No.) (c) 12-Day Primary (12P) General (12G) Runolff (12R) Washington Period (c) 12-Day Primary (12P) General (12G) Runolff (12R) Washington Report (No.) Washington Period (d) 30-Day POST-Election Report (TER) Termination Report (TER) Covering Period Od 4 Of 1 2014 through Mr. Neil A. Simon IElectronically Filed] Washington DC 20036 AMENDED AMENDED AMENDED AMENDED AMENDED AMENDED AMENDED (M) OR AMENDED (M) OR AMENDED AMENDED	INVESTMENT ADVIS	ER ASSOCIATION	ON POLITI	CAL ACT	ION COM	IMITTEE	(IAA-PAC)	
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Year-End Report (YE) July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER) Od O	Quarterly Report (Q3)		M = M /	D D /	Y		
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Termination Report (TER) Election on Election on Election on Election on Election on In the State of State of Covering Period O4 O4 O1 O4 O1 O4 O4 O1 O4 O4	Report (Non-election	POST-Ele		General (300	i)	Runoff (3	30R)	Special (30S)
5. Covering Period 04 01 2014 through 06 30 2014 I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Type or Print Name of Treasurer Mr. Neil A. Simon [Electronically Filed] Date 07 09 2014 NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g. Office Use FEC FORM 3X Rev. 12/2004				M = M /	D D /	Y = Y = Y = Y		of
Type or Print Name of Treasurer Mr. Neil A. Simon [Electronically Filed] Date Office Use Mr. Neil A. Simon [Electronically Filed] Date FEC FORM 3X Rev. 12/2004				through]
Type or Print Name of Treasurer Mr. Neil A. Simon [Electronically Filed] Date Office Use Mr. Neil A. Simon [Electronically Filed] Date FEC FORM 3X Rev. 12/2004	I certify that I have examined t	his Report and to the	best of my kno	wledge and b	pelief it is true	e. correct and	d complete.	
Signature of Treasurer Mr. Neil A. Simon [Electronically Filed] Date 07 09 2014 NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g. Office Use FEC FORM 3X Rev. 12/2004	•	•						
Office Use FEC FORM 3X Rev. 12/2004	Signature of Treasurer Mr.	Neil A. Simon		[Electronically	Filed] D			
Office Use FEC FORM 3X Rev. 12/2004	NOTE: Submission of false, error	neous, or incomplete inf	ormation may s	ubject the pers	son signing th	is Report to th	ne penalties of 2	U.S.C. §437g.
	Office Use			·		-	FEC FOR	RM 3X

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

01 2014 06 30 2014 Report Covering the Period: 04 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 48992.72 January 1, 2014 (b) Cash on Hand at 43348.68 Beginning of Reporting Period..... 10361.85 8005.89 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 51354.57 59354.57 6(a) and 6(c) for Column B)..... 3000.00 11000.00 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 48354.57 48354.57 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) This committee has qualified as a multicandidate committee. (see FEC FORM 1M) For further information contact:

> Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

I. Receipts I. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A)	Total This Period	Calendar Year-to-Date
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)		0050.00
	. 6600.00	8850.00
(ii) Unitemized	1400.00	1500.00
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	8000.00	10350.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees		
(such as PACs)	. 0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry	8000.00	10350.00
Totals to Line 33, page 5)	3000.100	
Party Committees	. 0.00	0.00
,		
3. All Loans Received	. 0.00	0.00
4. Loan Repayments Received	. 0.00	0.00
5. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)	0.00	0.00
(Carry Totals to Line 37, page 5)		0.00
to Federal Candidates and Other		
Political Committees	. 0.00	0.00
7. Other Federal Receipts		
(Dividends, Interest, etc.)		11.85
3. Transfers from Non-Federal and Levin Fu	nds	
(a) Non-Federal Account	0.00	0.00
(from Schedule H3)	. 0.00	0.00
(b) Lovin Funda (from Cohodula HE)	0.00	0.00
(b) Levin Funds (from Schedule H5)		0.00
(c) Total Transfers (add 18(a) and 18(b)).	. 0.00	0.00
9. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	8005.89	10361.85
D. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	8005.89	10361.85

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	Total This Period						
. Operating Expenditures: —— (a) Allocated Federal/Non-Federal		Calendar Year-to-Date					
Activity (from Schedule H4)							
(i) Federal Share	0.00	0.00					
(ii) New Federal Chara	0.00	0.00					
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00					
Expenditures	0.00	0.00					
(c) Total Operating Expenditures							
(add 21(a)(i), (a)(ii), and (b)) ▶	0.00	0.00					
Transfers to Affiliated/Other Party		0.00					
Committees Contributions to	0.00	0.00					
Federal Candidates/Committees and Other Political Committees	3000.00	11000.00					
Independent Expenditures	0.00	0.00					
(use Schedule E) Coordinated Party Expenditures	0.00	0.00					
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00					
Loan Repayments Made	0.00	0.00					
Loans Made	0.00	0.00					
Refunds of Contributions To:							
(a) Individuals/Persons Other Than Political Committees	0.00	0.00					
-							
(b) Political Party Committees	0.00	0.00					
(c) Other Political Committees	0.00	0.00					
(such as PACs)	0.00	0.00					
(d) Total Contribution Refunds							
(add Lines 28(a), (b), and (c))▶	0.00	0.00					
=							
Other Disbursements	0.00	0.00					
Federal Election Activity (2 U.S.C. §431(20))							
(a) Allocated Federal Election Activity							
(from Schedule H6)							
(i) Federal Share	0.00	0.00					
		0.00					
(ii) "Levin" Share	0.00	0.00					
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00					
(c) Total Federal Election Activity (add	0.00						
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00					
Total Disbursements (add Lines 21(c), 22,							
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	3000.00	11000.00					
Total Federal Disbursements							
(subtract Line 21(a)(ii) and Line 30(a)(ii)							
from Line 31)	3000.00	11000.00					

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	8000.00	10350.00
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	8000.00	10350.00
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

	FOR LINE NUMBER:					PAGE	:	6	OF	10	
(check only one)											
		X	11a		11b		11c		12		
			13		14		15		16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using	the name and address of any political committee to	o solicit contributions from such committee.				
NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASS	SOCIATION POLITICAL ACTION C	OMMITTEE (IAA-PAC)				
Full Name (Last, First, Middle Initial) Edward Beddow Mailing Address 250 Healdsburg Avenue		Date of Receipt				
Suite 202 City Healdsburg	State Zip Code CA 95448	04 15 2014 Transaction ID : SA11AI.4810 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	500.00				
Name of Employer Beddow Capital Management Inc. Receipt For: Primary General	Occupation President, Compliance Officer Aggregate Year-to-Date ▼	- Contribution				
Other (specify) ▼	500.00					
Full Name (Last, First, Middle Initial) Peter Courtney Mailing Address 67 Mason Street		Date of Receipt 05 27 2014				
City Greenwich	State Zip Code CT 06830-3104	Transaction ID : SA11AI.4824 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С	250.00 Contribution				
Name of Employer Greenwich Investment Mgmt. Receipt For:	Occupation Managing Director	Continuation				
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00					
Full Name (Last, First, Middle Initial) Daniel Dolan Mailing Address 120 N. La Salle Street		Date of Receipt				
Suite 1510 City Chicago	State Zip Code IL 60602-2457	05 14 2014 Transaction ID : SA11AI.4819 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С	1000.00				
Name of Employer Dolan McEniry Capital	Occupation Managing Member	Contribution				
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00					
SUBTOTAL of Receipts This Page (optional)	<u> </u>	1750.00				
TOTAL This Period (last page this line numb	er only)					

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	7	OF	10	
(check only one)									
>	<	11a		11b		11c	12	!	
		13		14		15	16	;	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

Full Name (Last, First, Middle Initial) Kristen Gamble		Date of Receipt
Mailing Address 610 5th Avenue Suite 511	Chala Zin Code	05 14 2014
City New York	State Zip Code NY 10020-2403	Transaction ID : SA11AI.4817
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 300.00
Name of Employer	Occupation	Contribution
Flood, Gamble Associates, Inc.	President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	
Full Name (Last, First, Middle Initial) Joan M. Giardina		Date of Receipt
Mailing Address 10 South LaSalle Street		M = M / D = D / Y = Y = Y
Suite 3250	State Zip Code	05 01 2014
City Chicago	State Zip Code IL 60603	Transaction ID : SA11AI.4812
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	500.00
Name of Employer	Occupation	
Chesley,Taft & Associates, LLC	Senior Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Mark Henneman		Date of Receipt
Mailing Address W. 1520 First National Ban 332 Minnesota Avenue		04 15 2014
St. Paul	State Zip Code MN 55101-1363	Transaction ID : SA11AI.4808 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer	Occupation	Contribution
Mairs & Power, Inc.	Vice President & Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE	NUMBE	R: PA	GE 8	OF 10			
(check only one)							
X 11a	11b	11c	12				
13	14	15	16	17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using the	e name and address of any political committee to	solicit contributions from such committee.					
NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASSO	OCIATION POLITICAL ACTION C	OMMITTEE (IAA-PAC)					
Full Name (Last, First, Middle Initial) Gordon Marchand Mailing Address 3 Stamford Plaza	on Marchand Address 3 Stamford Plaza						
301 Tresser Boulevard, suite City Stamford	1310 State Zip Code CT 06901	04 29 2014 Transaction ID : SA11AI.4811 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	500.00					
Name of Employer Sustainable Growth Advisers LP Receipt For:	Occupation Principal Aggregate Veer to Date T	Contribution					
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00						
Full Name (Last, First, Middle Initial) Meredith McDaniel Mailing Address 2630 Exposition Blvd Ste 300	State 7:n Code	Date of Receipt 04 11 2014					
City Austin FEC ID number of contributing federal political committee.	State Zip Code TX 78703-1763	Transaction ID : SA11AI.4806 Amount of Each Receipt this Period 250.00					
Name of Employer Terry McDaniel & Company Receipt For: Primary Other (specify) ▼	Occupation Associate Aggregate Year-to-Date ▼ 250.00	Contribution					
Full Name (Last, First, Middle Initial) Gregory O'Leary Mailing Address 135 S. LaSalle Street Suite 4150 City Chicago	State Zip Code IL 60603	Date of Receipt 05 15 2014 Transaction ID : SA11AI.4821 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee. Name of Employer Ghesley, Taft & Associates, LL Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Principal, Chief Compliance Officer Aggregate Year-to-Date ▼ 500.00	500.00 Contribution					
SUBTOTAL of Receipts This Page (optional)	>	1250.00					
TOTAL This Period (last page this line number	only)						

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:					PAGE	=	9	OF	10	
(check only one)										
	X	11a		11b		11c		12	2	
		13		14		15		16	6	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using t	he name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASS	OCIATION POLITICAL ACTION C	COMMITTEE (IAA-PAC)
Full Name (Last, First, Middle Initial) Michael Provine Mailing Address 129 Summit Ave Suite 300 City Summit FEC ID number of contributing federal political committee. Name of Employer Candor Wealth Advisors LLC Receipt For: Primary General Other (specify) Eull Name (Last, First, Middle Initial)	State Zip Code NJ 07901-2841 C Occupation CEO/CCO Aggregate Year-to-Date ▼ 300.00	Date of Receipt M
Full Name (Last, First, Middle Initial) Jon Theobald Mailing Address W. 1520 First National Bank 332 Minnesota Street City	Bldg State Zip Code	Date of Receipt O4 07 2014 Transaction ID: SA11AI.4805
ST Paul FEC ID number of contributing federal political committee.	MN 55101	Amount of Each Receipt this Period 1000.00 Contribution
Name of Employer Mairs & Power, Inc. Receipt For: Primary General Other (specify) ▼	Occupation President & COO Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) John Zaro Mailing Address 777 Post Road City Darien	State Zip Code CT 06820-4743	Date of Receipt M = M
FEC ID number of contributing federal political committee. Name of Employer Bourgeon Capital Management LL Receipt For: □ Primary □ General Other (specify) ▼	Occupation Managing Partner Aggregate Year-to-Date ▼ 500.00	500.00
SUBTOTAL of Receipts This Page (optional)	•	1800.00
TOTAL This Period (last page this line number	er only)	6600.00

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SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 10 OF 10
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only 21b 27	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASSOCIA	ATION POLITICAL	ACTION CO	OMMITTEE (IAA-PAC)
Full Name (Last, First, Middle Initial)			Data of Dishara and
A. FRIENDS OF MARK WARNER Mailing Address 2034 EISENHOWER AVENUE, SUITE 222			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
ALEXANDRIA	State Zip Code VA 22314		Transaction ID: SB23.4800
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name FRIENDS OF MARK WARNER		Category/ Type	2000.00
Senate President	nent For: 2014 Primary		
State: VA District: 00			
Full Name (Last, First, Middle Initial) B. SCOTT GARRETT FOR CONGRESS			Date of Disbursement
Mailing Address P.O. BOX 905			06 10 2014
NEWTON	State Zip Code NJ 07860		Transaction ID : SB23.4834
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name SCOTT GARRETT FOR CONGRESS Category/ Type			1000.00
Office Sought: House Disbursen Senate	nent For: 2014 Primary	.,,,,,	
Full Name (Last, First, Middle Initial) C.			Date of Disbursement
Mailing Address			M M / D D / Y Y Y Y
City	State Zip Code		
Purpose of Disbursement			
Candidate Name Category/ Type			Amount of Each Disbursement this Period
	nent For: Primary General Other (specify)		
SUBTOTAL of Disbursements This Page (optional)			3000.00
TOTAL This Period (last page this line number only)			3000.00