

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☒ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

12

01

2015

12

31

2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Scott Vock

Signature of Treasurer

Scott Vock

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

01

25

2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M M	/	D D	/	Y Y Y Y Y
12		01		2015

To:

M M	/	D D	/	Y Y Y Y Y
12		31		2015

	COLUMN A This Period	COLUMN B Calendar Year-to-Date															
6. (a) Cash on Hand January 1, <table><tr><td>Y</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr><tr><td colspan="5">2015</td></tr></table>	Y	Y	Y	Y	Y	2015						<table><tr><td colspan="5">287741.99</td></tr></table>	287741.99				
Y	Y	Y	Y	Y													
2015																	
287741.99																	
(b) Cash on Hand at Beginning of Reporting Period.....	<table><tr><td colspan="5">349669.39</td></tr></table>	349669.39															
349669.39																	
(c) Total Receipts (from Line 19) .....	<table><tr><td colspan="5">22227.83</td></tr></table>	22227.83					<table><tr><td colspan="5">270526.90</td></tr></table>	270526.90									
22227.83																	
270526.90																	
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<table><tr><td colspan="5">371897.22</td></tr></table>	371897.22					<table><tr><td colspan="5">558268.89</td></tr></table>	558268.89									
371897.22																	
558268.89																	
7. Total Disbursements (from Line 31).....	<table><tr><td colspan="5">70912.50</td></tr></table>	70912.50					<table><tr><td colspan="5">257284.17</td></tr></table>	257284.17									
70912.50																	
257284.17																	
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<table><tr><td colspan="5">300984.72</td></tr></table>	300984.72					<table><tr><td colspan="5">300984.72</td></tr></table>	300984.72									
300984.72																	
300984.72																	
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<table><tr><td colspan="5">0.00</td></tr></table>	0.00															
0.00																	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<table><tr><td colspan="5">0.00</td></tr></table>	0.00															
0.00																	



This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE

## of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
12			01			2015			

To:

M	M	/	D	D	/	Y	Y	Y	Y
12			31			2015			

**I. Receipts**
**COLUMN A**  
**Total This Period**
**COLUMN B**  
**Calendar Year-to-Date**

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

20278.88

211507.81

(ii) Unitemized .....

1948.95

59019.09

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

22227.83

270526.90

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) .....

22227.83

270526.90

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

22227.83

270526.90

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) .....

22227.83

270526.90

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	12.50	2073.75
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	12.50	2073.75
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	70000.00	253500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	900.00	1710.42
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	900.00	1710.42
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	70912.50	257284.17
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	70912.50	257284.17

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	22227.83	270526.90
34. Total Contribution Refunds (from Line 28(d)) .....	900.00	1710.42
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	21327.83	268816.48
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	12.50	2073.75
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	12.50	2073.75

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MARK CORTAZZO**

Mailing Address 1639 State Rt 10

FL 1

City

Parsippany

State

NJ

Zip Code

07054-4506

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			01			2015			

**Transaction ID : ABC3C358C3C5C4D869E0**

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**B. Joy Goble**

Mailing Address 4828 Parkway Plaza Blvd

City

Charlotte

State

NC

Zip Code

28217-1957

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

805.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			04			2015			

**Transaction ID : AF9B3409BCB0F47B78CC**

Amount of Each Receipt this Period

35.00

Payroll Deduction: \$35.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JOHN M ABOOD, CFP**

Mailing Address 20 Montchanin Rd

Ste 270

City

Wilmington

State

DE

Zip Code

19807-2174

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			15			2015			

**Transaction ID : A63421B5B7FC24DD7865**

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

305.83

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MITCHELL ARNOLD**Mailing Address 135 Middle St  
Ste 1001

City	State	Zip Code
Lake Mary	FL	32746-3595

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	15	/	2015

**Transaction ID : ACD6FEBBD4EC74EC594**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$100.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. EMMETT A JOHNSON**Mailing Address 13785 N Highway 183  
Ste 125

City	State	Zip Code
Austin	TX	78750-1826

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

457.65

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	15	/	2015

**Transaction ID : A460C3427E0EF49C68B1**

Amount of Each Receipt this Period

21.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. BARRY DONALD LESLIE**Mailing Address 221 N La Salle St  
Ste 2020

City	State	Zip Code
Chicago	IL	60601-1416

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Leslie Global Wealth

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	15	/	2015

**Transaction ID : AD7144DD311CD4847B01**

Amount of Each Receipt this Period

11.00

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

132.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DAVID JOHN MC MENAMIN, CFP**

Mailing Address 7490 N La Cholla Blvd

City State Zip Code  
Tucson AZ 85741-2306

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.63

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 15 / 2015

**Transaction ID : A86DF6A0674F545AD834**

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Bryon S Abel**

Mailing Address 9566 Numenore Dr

City State Zip Code  
Charlotte NC 28269-6992

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015

**Transaction ID : A7C62C98103D747CE84C**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Lee Alcorn**

Mailing Address 1066 Turquoise St

City State Zip Code  
San Diego CA 92109-1267

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015

**Transaction ID : A5641FCE3C35C4A428D0**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

145.83



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Kathy Bakke**

Mailing Address 13928 Rocky Gap Ln

City

Charlotte

State

NC

Zip Code

28278-8615

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Human Resources

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : AB377B267403F4982B23**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Garrett Beam**

Mailing Address 4707 Executive Dr

City

San Diego

State

CA

Zip Code

92121-3091

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Marketing

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : AEDC84946CDAA495C8E3**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Mimi Bock**

Mailing Address 6053 Seacrest View Rd

City

San Diego

State

CA

Zip Code

92121-4370

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Client Exprnce &amp; Training

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

2500.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : AA9FBE800926541DEA82**

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

250.02

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 10 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. David A. Brounley**

Mailing Address 4707 Executive Dr

City

San Diego

State

CA

Zip Code

92121-3091

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Retirement Worksite

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.32

Date of Receipt

12 / 18 / 2015

Transaction ID : AED272D07CDCE43DFBBI

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Jeffrey Buchheister**

Mailing Address 2367 Juan St

City

San Diego

State

CA

Zip Code

92103-1110

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 18 / 2015

Transaction ID : A0E6E9B7B8FDB4EDEAA2

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Kent A. Cameron**

Mailing Address 6648 Lavandula Ct

City

San Diego

State

CA

Zip Code

92130-4897

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Internal Audit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

12 / 18 / 2015

Transaction ID : ABF00B66B952F4EF4B2F

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

112.52

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Tim Carter**

Mailing Address 6115 Paseo Monona

City

Carlsbad

State

CA

Zip Code

92009-2217

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

12 / 18 / 2015

**Transaction ID : A2A7BC38E936442908F8**

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Crystal Clifford**

Mailing Address 1968 Sakina St

City

El Cajon

State

CA

Zip Code

92019-3804

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

12 / 18 / 2015

**Transaction ID : A480799D5567A4607B96**

Amount of Each Receipt this Period

62.50

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Robert J. Comfort**

Mailing Address 7924 Cook Rd

City

Plain City

State

OH

Zip Code

43064-9302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : A227212A8BDF640EFBA5**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

125.02

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Scott A Conroy**

Mailing Address 15715 Tanner Ridge Rd

City	State	Zip Code
San Diego	CA	92127-3658

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, RIA Solutions

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : ACEAB0F5CB02247F6853

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Bradford Cornell**

Mailing Address 5718 Providence Country Club Dr

City	State	Zip Code
Charlotte	NC	28277-2621

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : A20059BEA7798440683E

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Patrick E. Cox**

Mailing Address 2283 Caminito Preciosa Sur

City	State	Zip Code
La Jolla	CA	92037-7233

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Govn, Risk &amp; Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : AEEDB95D41E4641A5B5B

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

104.18

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. John R. Cronin**

Mailing Address 85 Hunting Ridge Ln

City  
Milton

State  
VT

Zip Code  
05468-4171

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

VP, Government Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : A4FEA253242FB41CAA96**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Marc Ehlers**

Mailing Address 1258 Oak Ave

City  
Carlsbad

State  
CA

Zip Code  
92008-1929

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

12 / 18 / 2015

**Transaction ID : A64D7454D4DBE486B927**

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Robert Engle**

Mailing Address 13995 W Deer Park Dr

City  
New Berlin

State  
WI

Zip Code  
53151-8315

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : A6BC466D3691E4FD9BDF**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

83.36

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Matthew Enyedi**

Mailing Address 15497 Tanner Ridge Rd

City

San Diego

State

CA

Zip Code

92127-3654

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, RIA & HNW Solutions

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

12 / 18 / 2015

**Transaction ID : AF576DCB42D4149079E5**

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Tommy Estridge**

Mailing Address 2301 Sonoma Ct

City

Matthews

State

NC

Zip Code

28105-2362

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : AB5EC5E5112034F58A9F**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Kenneth J. Fasone**

Mailing Address 1414 Smoketree Ct

City

Waxhaw

State

NC

Zip Code

28173-7481

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Business Risk Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.20

Date of Receipt

12 / 18 / 2015

**Transaction ID : A872D7B53E374434294B**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.34

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Victor P Fetter**

Mailing Address 1816 Funny Cide Dr

City

Waxhaw

State

NC

Zip Code

28173-8288

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Chief Information Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : ACBE86B03EAA64D49B46**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Logan Galli**

Mailing Address 2575 Ingleton Ave

City

Carlsbad

State

CA

Zip Code

92009-3060

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Regional Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : A82DA154F078C404F9A7**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Tara Gilchrist**

Mailing Address 10428 Duxbury Ln

City

San Diego

State

CA

Zip Code

92127-6880

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 18 / 2015

**Transaction ID : AEBC71443EC7E4AE4A77**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

125.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Sarah Gill**

Mailing Address 701 2nd St NE

Unit 1

City

Washington

State

DC

Zip Code

20002-5050

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Government Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015
**Transaction ID : AB81A55389C974E68934**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Jen Gloss**

Mailing Address 4143 Bachman Pl

City

San Diego

State

CA

Zip Code

92103-2027

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

343.86

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015
**Transaction ID : A800F0121103144329EB**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Michael Hannah**

Mailing Address 7348 Corte Hortensia

City

Carlsbad

State

CA

Zip Code

92009-8962

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Govn, Risk &amp; Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015
**Transaction ID : AF994CAEDBC8C425AAE4**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

62.50

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Brad Hearn**

Mailing Address 7293 Calle Conifera

City State Zip Code  
Carlsbad CA 92009-8699

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1008.00

Date of Receipt

12 / 18 / 2015

**Transaction ID : A5533A22CE54C480D8C0**

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. D'Arcy Henson**

Mailing Address 8503 Priory Ct

City State Zip Code  
Waxhaw NC 28173-6542

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : AC37CCF233ED84D1D9C2**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Peggy Ho**

Mailing Address 9 Donizetti St

City State Zip Code  
Wellesley MA 02482-4822

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Government Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.68

Date of Receipt

12 / 18 / 2015

**Transaction ID : A8C7C7308EC034765AA8**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

188.18

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Lisa Hughes**

Mailing Address 4817 St Simons Ter

City

Waxhaw

State

NC

Zip Code

28173-6823

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Research

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 18 / 2015

Transaction ID : A26478B45B2DC4872BD5

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Brad Jacobs**

Mailing Address 5 Beacham Pl

City

Hingham

State

MA

Zip Code

02043-3331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 18 / 2015

Transaction ID : A5D3A08BDEACE49FD93A

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**c. Andy Kalbaugh**

Mailing Address 6713 Seton House Ln

City

Charlotte

State

NC

Zip Code

28277-4519

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Divisional President IS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.08

Date of Receipt

12 / 18 / 2015

Transaction ID : AB5EDFEB634D84B3289E

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

300.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Craig Kamis**

Mailing Address 4746 Binfords Ridge Rd

City	State	Zip Code
Charlotte	NC	28226-4007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A226752DF6D6E46E9996**

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Steve LaChance Sr.**

Mailing Address 245 Trotter Run

City	State	Zip Code
Milton	GA	30004-3737

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Regional Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

252.56

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A3F05AC0EB35E46F38BF**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Stephen Lank**

Mailing Address 15936 Glen Miro Dr

City	State	Zip Code
Huntersville	NC	28078-2255

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A022BC23F7032478AB8C**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

140.84

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 20 OF 99  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Verne Marble**

Mailing Address 9103 W 157th Ter

City

Overland Park

State

KS

Zip Code

66221-9316

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Regional Consulting

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A373D965553549939B1**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Tim McGough**

Mailing Address 7014 E Thirsty Cactus Ln

City

Scottsdale

State

AZ

Zip Code

85266-7305

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Human Resources

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A426575DD2A9944379CB**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Paul Middlemiss**

Mailing Address 7201 Seton House Ln

City

Charlotte

State

NC

Zip Code

28277-4505

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, CCO Advisory

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A42555EC0746F44BC975**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶

62.52

**TOTAL** This Period (last page this line number only)..... ▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. John Milder**

Mailing Address 1031 N Marion St

City

Oak Park

State

IL

Zip Code

60302-1374

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Regional Consulting

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

Transaction ID : A15890EA6CEB74A88B7D

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Thomas Murphy**

Mailing Address 4475 Osprey St

City

San Diego

State

CA

Zip Code

92107-4030

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Product Management

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

Transaction ID : AA9AAC4A7283347B2AE9

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Paul Nalbandian**

Mailing Address 15475 Pinehurst Pl

City

San Diego

State

CA

Zip Code

92131-4331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Growth Consultant

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

Transaction ID : A96BEDE0C6FF7495082E

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

62.52

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 22 OF 99  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Michelle B Oroschakoff**

Mailing Address PO Box 9216

City	State	Zip Code
Rancho Santa Fe	CA	92067-4216

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

MD, Chief Risk Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : A9F3BAA319EFC49C4A58

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Arthur Osman**Mailing Address 9611 Hanover Ridge Ct  
# C

City	State	Zip Code
Charlotte	NC	28210-7738

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Clearing Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : A0F0C3730F95F4E9EB52

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Ryan C. Parker**

Mailing Address 7976 Paseo Esmerado

City	State	Zip Code
Carlsbad	CA	92009-8937

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Invstmnt &amp; Planning Sltns

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : AB1768B064F604B0B80E

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

83.34

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 23 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Nicole Petrosino**

Mailing Address 2328 Champlain St NW

City

Washington

State

DC

Zip Code

20009-8601

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

VP, Government Relations

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
1	2		1	8		2	0	1	5		

**Transaction ID : AC995C0B6EB9242B9A10**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Robert Pettman**

Mailing Address 11850 Blanton Ct

City

San Diego

State

CA

Zip Code

92128-5201

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Product Management

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
1	2		1	8		2	0	1	5		

**Transaction ID : A3E756F28F65B4E7FA02**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. David Reich**

Mailing Address 7995 Entrada De Luz W

City

San Diego

State

CA

Zip Code

92127-2512

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Head of Retirement Prtnrs

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

2500.08

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
1	2		1	8		2	0	1	5		

**Transaction ID : AC3A244C9169F4269B47**

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

291.66

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Robert J. Rittereiser**

Mailing Address 915 Cecil Rd

City  
Wilmington

State Zip Code  
DE 19807-2817

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

VP, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 18 / 2015

**Transaction ID : A8F8F2A4C5A9F4A75A12**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Philip Rosplock**

Mailing Address 6822 Williams Rd

City  
Painesville

State Zip Code  
OH 44077-9513

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Trust Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

12 / 18 / 2015

**Transaction ID : AA386F0BE14E44F899A2**

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Jim Shorris**

Mailing Address 1 Beacon St

City  
Boston

State Zip Code  
MA 02108-3107

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 18 / 2015

**Transaction ID : A2F868BFEB1024A2DB48**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

104.18

**TOTAL** This Period (last page this line number only)..... ►



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 25 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Melissa Socci**

Mailing Address 12836 Caminito De Las Olas

City	State	Zip Code
Del Mar	CA	92014-3739

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : ACEAA4B4838B8442BA63

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Joe Sovcik**

Mailing Address Default

City	State	Zip Code
Remote	WA	98501-3091

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Strategy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : AD860228F2CD3456084E

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Laurie Stack**

Mailing Address 12254 Middlebrook Sq

City	State	Zip Code
San Diego	CA	92128-3758

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Govn, Risk &amp; Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

Transaction ID : A37A8D8635A594BDDDBAC

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

104.18

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Kimberly Sweet**

Mailing Address 11153 Gatemoore Way

City	State	Zip Code
San Diego	CA	92131-3645

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A3C31438B47C8400AA26**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Cinda Todd**

Mailing Address 9320 Praful Ct

City	State	Zip Code
Santee	CA	92071-2237

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Business Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A881F11F070D14E4FA72**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Daniel Valente**

Mailing Address 2414 La Pluma Ln

City	State	Zip Code
Carlsbad	CA	92009-9129

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	18	/	2015

**Transaction ID : A50CAD0EFF5504B83A07**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

62.52

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 27 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Scott Vock**

Mailing Address 4943 Park Rd

City

Charlotte

State

NC

Zip Code

28209-3574

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015

**Transaction ID : AD385582D2F3B4B3E81E**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Jeffrey Warren**

Mailing Address 7137 Sherbourne Ln

City

San Diego

State

CA

Zip Code

92129-4655

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, IAS Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015

**Transaction ID : A123EE79FB4DE4DEEA09**

Amount of Each Receipt this Period

60.00

Payroll Deduction: \$30.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Brett Waterfield**

Mailing Address 2533 Stonehenge Dr

City

Aurora

State

IL

Zip Code

60502-4707

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 18 / 2015

**Transaction ID : A2BA957D91B2E4B7ABE6**

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

301.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 28 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Geoffrey White**

Mailing Address 7454 Sundial Pl

City

Carlsbad

State

CA

Zip Code

92011-4688

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Retirement Recruiting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

12 / 18 / 2015

Transaction ID : A8FF8C96F82C44A26B70

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Greg Woods**

Mailing Address 116 Pembroke St

City

Boston

State

MA

Zip Code

02118-1208

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.08

Date of Receipt

12 / 18 / 2015

Transaction ID : A07A0360EF9664FF89DD

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. David Bryan Wright**

Mailing Address 1603 Alydar Ct

City

Waxhaw

State

NC

Zip Code

28173-6649

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

937.53

Date of Receipt

12 / 18 / 2015

Transaction ID : A6E2BC0DDF96B41328DA

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

311.68

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 29 OF 99  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. NABIL S. ABDELHAMID**Mailing Address 16759 Main St  
Ste 215

City	State	Zip Code
Grover	MO	63040-1232

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Legends Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A70C4A79F46B840768BD**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DAVID R ALLEN**

Mailing Address 923 State Route 17C

City	State	Zip Code
Owego	NY	13827-4819

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A2DDBFAC374CA47A3AE8**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. MARK JOSEPH ANGELO, CFP**

Mailing Address 616 Washington St

City	State	Zip Code
Toms River	NJ	08753-6789

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.37

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : AE2B137F518F44F4CA2E**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

166.66

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 30 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ANTHONY JAMES APOSTOLIDIS**Mailing Address 10100 Trinity Pkwy  
Ste 430

City	State	Zip Code
Stockton	CA	95219-7241

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : A6129581C92B24E21856**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. FRANCIS XAVIER ASTORINO**Mailing Address 155 Passaic Ave  
Ste 400

City	State	Zip Code
Fairfield	NJ	07004-3566

FEC ID number of contributing  
federal political committee.

C

Name of Employer

The Astorino Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : A4C50FEB9CC3E40B1B0F**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT J. BACINO**

Mailing Address 745 RTE 202-206 STE 305

City	State	Zip Code
Flemington	NJ	08807

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : A9B652AE2AEFB4EDAB3E**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

104.14

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. HARVEY STUART BERK, CFP**

Mailing Address 244 N Main St

City

New City

State

NY

Zip Code

10956-5302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Berk Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 28 / 2015

**Transaction ID : A8B4119E11BD341AA903**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DOROTHY INEZ BICKLING**

Mailing Address 35 Bedford St  
Ste 15

City

Lexington

State

MA

Zip Code

02420-4439

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Bickling Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.37

Date of Receipt

12 / 28 / 2015

**Transaction ID : A713A637B1E8640FCB55**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ANDREW CLARK BLEASE**

Mailing Address 7358 N La Cholla Blvd  
Ste 100

City

Tucson

State

AZ

Zip Code

85741-2305

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Bleas Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

12 / 28 / 2015

**Transaction ID : AE3DEE8E27F454472B4E**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

333.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 32 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

<p>Full Name (Last, First, Middle Initial) <b>A. PAUL WILLIAM BOTTE, CFP</b></p> <p>Mailing Address 7777 Alvarado Rd Ste 320</p> <p>City La Mesa State CA Zip Code 91942-8247</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer LPL Occupation Financial Advisor</p> <p>Receipt For:  <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 499.92</p>		<p>Date of Receipt  M M / D D / Y Y Y Y Y  12 / 28 / 2015  <b>Transaction ID : A8A0A7D6B1BA64DC4880</b></p> <p>Amount of Each Receipt this Period 41.66</p> <p>Payroll Deduction: \$20.83/Bi-Monthly</p>
<p>Full Name (Last, First, Middle Initial) <b>B. MICHAEL S BRADY</b></p> <p>Mailing Address 780 Park Way</p> <p>City Broomall State PA Zip Code 19008-4212</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer LPL Financial Occupation Financial Consultant</p> <p>Receipt For:  <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 3000.00</p>		<p>Date of Receipt  M M / D D / Y Y Y Y Y  12 / 28 / 2015  <b>Transaction ID : A53E4B9B4A633473B81B</b></p> <p>Amount of Each Receipt this Period 250.00</p> <p>Payroll Deduction: \$125.00/Bi-Monthly</p>
<p>Full Name (Last, First, Middle Initial) <b>C. DAVID KEITH BROWN, CFP</b></p> <p>Mailing Address 1605 4th St</p> <p>City Santa Rosa State CA Zip Code 95404-4036</p> <p>FEC ID number of contributing federal political committee. <b>C</b></p> <p>Name of Employer Encore Wealth Management Occupation Registered Principle</p> <p>Receipt For:  <input type="checkbox"/> Primary <input type="checkbox"/> General  <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 264.00</p>		<p>Date of Receipt  M M / D D / Y Y Y Y Y  12 / 28 / 2015  <b>Transaction ID : A87A468164DB04F4187A</b></p> <p>Amount of Each Receipt this Period 22.00</p> <p>Payroll Deduction: \$11.00/Bi-Monthly</p>
<p><b>SUBTOTAL</b> of Receipts This Page (optional)..... ▶</p>		313.66
<p><b>TOTAL</b> This Period (last page this line number only)..... ▶</p>		



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. KERRICK WALTER BUBB**

Mailing Address 1782 Orange Tree Ln

City

Redlands

State

CA

Zip Code

92374-2856

FEC ID number of contributing  
federal political committee.

C

Name of Employer

KWB WEALTH MANAGERS GROUP

Occupation

Wealth Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

4992.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

Transaction ID : AAD0E7A41420F4D5CACC

Amount of Each Receipt this Period

416.00

Payroll Deduction: \$208.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. STEVEN ANDREW BUDIN**Mailing Address 3145 E Warm Springs Rd  
Ste 400

City

Las Vegas

State

NV

Zip Code

89120-3140

FEC ID number of contributing  
federal political committee.

C

Name of Employer

The Budin Group

Occupation

Financial Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

1944.73

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

Transaction ID : A33D83A28E0C84BA9A6E

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. R. NEIL BURNS**Mailing Address 30131 Town Center Dr  
Ste 270

City

Laguna Niguel

State

CA

Zip Code

92677-2082

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

874.86

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

Transaction ID : ADA55D9EC301C42138DB

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

665.98

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. R. CRAIG BYRD**Mailing Address 121 SW Morrison St  
Ste 810

City	State	Zip Code
Portland	OR	97204-3138

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A4895948B08724796869

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KELLY PETER CAMPBELL**Mailing Address 700 S Washington St  
Ste 220

City	State	Zip Code
Alexandria	VA	22314-4287

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Campbell Wealth Management

Occupation

CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4226.50

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A145745B7838743569C0

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. RICHARD LLOYD CARMAN**Mailing Address 6800 Poplar Ave  
Ste 105

City	State	Zip Code
Germantown	TN	38138-7404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Carman Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A659134DDCFA84F88BE7

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

916.64

TOTAL This Period (last page this line number only)..... ▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MICHAEL DALE JOSEPH CARPENTER**

Mailing Address 3027 E Warm Springs Rd  
Ste 100

City State Zip Code  
Las Vegas NV 89120-3765

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.07

Date of Receipt

12 / 28 / 2015

**Transaction ID : A6AAAE3FBB83243E8A42**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. ANNE CECILIA CATALANO**

Mailing Address 923 State Route 17C

City State Zip Code  
Owego NY 13827-4819

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : AA02D1F8F44544FCAB1A**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. SUZANNE H. CHRISTIAN**

Mailing Address 419 Yale Ave

City State Zip Code  
Claremont CA 91711-4340

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Branch Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : A849E66F5B8624976B52**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

187.48

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 36 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DON CLARK**Mailing Address 7007 College Blvd  
Ste 270

City	State	Zip Code
Leawood	KS	66211-2440

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

OSJ

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A33BC14CA3E4E405C884

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. HEIDI GRACE CLUTE**

Mailing Address 152 Tom Miller Rd

City	State	Zip Code
Plattsburgh	NY	12901-6425

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Clute Wealth Management

Occupation

Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A70A0CFAD44774281A7C

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT SMITH COLLINS**Mailing Address 751 Cool Springs Blvd  
Ste 100

City	State	Zip Code
Franklin	TN	37067-2740

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : AC6161AB40A6D4DBEAAE

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

333.30

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JEFFREY ALAN CONCEPCION**

Mailing Address 3750 Park East Dr  
Ste 200

City State Zip Code  
Beachwood OH 44122-4350

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Stratos Wealth Partners

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.98

Date of Receipt

12 / 28 / 2015

**Transaction ID : ABC6FF82D11D743C4B34**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JAMES A COX, III**

Mailing Address 9100 Arboretum Pkwy  
Ste 255

City State Zip Code  
North Chesterfield VA 23236-5408

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Harris Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.56

Date of Receipt

12 / 28 / 2015

**Transaction ID : A370125CD86984817A59**

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. GERALD GRANT CRAIG**

Mailing Address 323 Coddle Market Dr NW  
Ste 130

City State Zip Code  
Concord NC 28027-2415

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Carolina Planning Consultants, LLP

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : A2BBEEAA2C1744E9AA79**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

645.80

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 OF 99  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. KEVIN WAYNE CRUMLY, CFP**

Mailing Address 214 S Grand Ave W

City

Springfield

State

IL

Zip Code

62704-3839

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Troxell Financial Advisors

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.43

Date of Receipt

12 / 28 / 2015

Transaction ID : ADC470EB6A899405C861

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. WALTER CUMIFORD**

Mailing Address 311 W Bullard Ave  
Apt 101

City

Fresno

State

CA

Zip Code

93704-1767

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.43

Date of Receipt

12 / 28 / 2015

Transaction ID : A872EDC0E529E4867BB7

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. URSULA ROBINSON DALEY**

Mailing Address 21925 W Field Pkwy  
Ste 245

City

Deer Park

State

IL

Zip Code

60010-7208

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

12 / 28 / 2015

Transaction ID : A32FD1A53AB9B46B8B87

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

63.64

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 39 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DEBORAH L. DANIELSON, CFP**Mailing Address 3027 E Warm Springs Rd  
Ste 100

City	State	Zip Code
Las Vegas	NV	89120-3765

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Danielson Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A2BAF6C3CEF204109A70

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JOHN P. DAVIS**Mailing Address 2506 E Vistoso Commerce Loop  
Ste 180

City	State	Zip Code
Oro Valley	AZ	85755-9114

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.37

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : AE357FF4EF0D443F78BC

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ANGELA LOUISE DENHAM**Mailing Address 8048 One Calais Ave  
Ste F

City	State	Zip Code
Baton Rouge	LA	70809-3483

FEC ID number of contributing  
federal political committee.

C

Name of Employer

A.L. Denham Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A9832CCFB EF4D46F5AEE

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

187.48

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 40 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JOSEPH FREDRICK DENOYIOR**Mailing Address 1410 Spring Hill Rd  
Ste 425

City	State	Zip Code
Mc Lean	VA	22102-3032

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A750049BEEE894CE2A06

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KIMBERLY JOAN DEPROSPERO**Mailing Address 1525 N Park Dr  
Ste 104

City	State	Zip Code
Weston	FL	33326-3225

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Financial Strategies Group

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1664.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A3A5A52F122A64E5D9F3

Amount of Each Receipt this Period

208.00

Payroll Deduction: \$104.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. RICHARD DEAN DICKSON**Mailing Address 1700 West Loop S  
Ste 255

City	State	Zip Code
Houston	TX	77027-3029

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A207822A94F9646C9970

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

252.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. DAVID STAPLEY DIXON**

Mailing Address 7472 W Sahara Ave  
Ste 101

City State Zip Code  
Las Vegas NV 89117-2748

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Dixon Financial Group, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 28 / 2015

Transaction ID : AA756696D90974D8D889

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. RICHARD CALVERT DONAHUE, CFP**

Mailing Address 5060 Pacific Hwy  
Ste 101

City State Zip Code  
Ferndale WA 98248-7821

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Asset Advisors, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2208.87

Date of Receipt

12 / 28 / 2015

Transaction ID : A77CFE699EDD84A2CBDC

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. G.MICHAEL DORVILLIER**

Mailing Address 7777 Fay Ave  
Ste 315

City State Zip Code  
La Jolla CA 92037-4336

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Symbio Financial Partners

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.61

Date of Receipt

12 / 28 / 2015

Transaction ID : A17AA7103043A45789E6

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

312.46

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 OF 99

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. RICHARD GASPARE DRAGOTTA**

Mailing Address 461 From Rd  
Ste 385

City State Zip Code  
Paramus NJ 07652-3526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 28 2015

Transaction ID : A795E3C37C4634B9B911

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. HEATHER MARIE DREBENSTEDT**

Mailing Address 7912 Carnegie Blvd

City State Zip Code  
Fort Wayne IN 46804-5781

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M / D D / Y Y Y Y Y  
12 28 2015

Transaction ID : A4DA4EBB1863A4D1BA1C

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. TONY EDWARD DUBOSE**

Mailing Address 1250 S Pine Island Rd  
Ste 350

City State Zip Code  
Plantation FL 33324-4440

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Legacy Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.37

Date of Receipt

M M / D D / Y Y Y Y Y  
12 28 2015

Transaction ID : A5D6F569D0E444195988

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

126.16

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 43 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. STEVEN DUDASH**Mailing Address 123 N Wacker Dr  
Ste 2300

City	State	Zip Code
Chicago	IL	60606-1872

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

Transaction ID : A9CE6A88E289F42F2956

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. ROBERT PATRICK ENGEL**Mailing Address 9841 Irvine Center Dr  
Ste 130

City	State	Zip Code
Irvine	CA	92618-4314

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Wealth Planning Group

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

Transaction ID : AB04C48BD71F14785A06

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT L ERRICO, CFP AIF**Mailing Address 3991 MacArthur Blvd  
Ste 250

City	State	Zip Code
Newport Beach	CA	92660-3039

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

Transaction ID : AA2BF3ADCC5ED4987888

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

294.00

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

 FOR LINE NUMBER: PAGE 44 OF 99  
 (check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mr. RICHARD NORTHRUP FISHER JR.

Mailing Address 1205 S Main St

City	State	Zip Code
Burlington	NC	27215-5762

FEC ID number of contributing federal political committee.

C

Name of Employer

Fisher Wealth Management

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A60A724FDE3D34F25943

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOHN FORBES

Mailing Address 1160 Cedar Ct

City	State	Zip Code
Carbondale	IL	62901-5300

FEC ID number of contributing federal political committee.

C

Name of Employer

Forbes Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : AA066AD3BBDA7438DA36

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. PAUL ALAN FOUSEK

Mailing Address 22 W Calendar Ave  
FI 2

City	State	Zip Code
La Grange	IL	60525-2373

FEC ID number of contributing federal political committee.

C

Name of Employer

Horizon Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : AC19181F99F7A4A75994

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

127.32

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ROBERT IVANO FRAGASSO**

Mailing Address 610 Smithfield St  
Ste 400

City State Zip Code  
Pittsburgh PA 15222-2512

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Fragasso Financial Advisors

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1875.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A8CA4F19597FF4C479B9**

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. MARC STUART FREEDMAN, CFP**

Mailing Address 8 Essex Center Dr  
FI 3

City State Zip Code  
Peabody MA 01960-2911

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Freedman Financial

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3999.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : AF3B7AC1C4475455E911**

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. LOREEN MICHELLE GILBERT**

Mailing Address 9070 Irvine Center Dr  
Ste 230

City State Zip Code  
Irvine CA 92618-4687

FEC ID number of contributing  
federal political committee.

C

Name of Employer

WealthWise Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : AE1821B5BE48248D0A8B**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

666.64

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. BRUCE NEIL GOODMAN**Mailing Address 200 Broadway  
Ste 305

City	State	Zip Code
Lynnfield	MA	01940-2349

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : AAC8194E4CFFB4388B20**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JEFFREY M. GOYETTE, SR.**Mailing Address 2250 Millennium Way  
Ste 100

City	State	Zip Code
Enola	PA	17025-1488

FEC ID number of contributing  
federal political committee.

C

Name of Employer

JMG Wealth Management Group

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : ABF656914953A47BE849**

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. DAVID C GRAHAM**

Mailing Address 1615 HURFFVILLE RD

City	State	Zip Code
Woodbury	NJ	08096

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.01

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : A8A926B5758424EB4980**

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

125.34

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 47 OF 99  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. PAMELA FRANCES GROFT, CFP**

Mailing Address 621 Franklin St

City	State	Zip Code
Reading	PA	19611-1027

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A3B0757CF1DF24516951

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JEFFREY DANIEL HAMBLÉN**Mailing Address 1410 Spring Hill Rd  
Ste 425

City	State	Zip Code
Mc Lean	VA	22102-3032

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Washington Financial Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1008.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A86DB78C0835947FE85A

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WILLIAM E HAMM**Mailing Address 3030 N Rocky Point Dr W  
Ste 700

City	State	Zip Code
Tampa	FL	33607-5907

FEC ID number of contributing  
federal political committee.

C

Name of Employer

IFP

Occupation

CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4791.59

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A80946AACFFCE4052984

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

542.32

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 48 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. EDWARD JAMES HARRIS**Mailing Address 4160 Washington Rd  
Ste 1

City	State	Zip Code
Canonsburg	PA	15317-2533

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

958.18

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A91AC4060574148FA90D

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. REX ROY HARRIS**Mailing Address 940 Spokane Ave  
Ste 1

City	State	Zip Code
Whitefish	MT	59937-2931

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Harris Financial Services

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1049.66

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A9ADCC30E68344DDFB6F

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JOHN THOMAS HASSELL**Mailing Address 300 Lafayette St  
Ste 200

City	State	Zip Code
Houma	LA	70360-4368

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Hassell Wealth Management

Occupation

Owner/Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1008.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : AD332BBD9A03C4706B08

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

251.32

TOTAL This Period (last page this line number only)..... ►



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 49 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MICHAEL PATRICK HATCH**Mailing Address 225 S Lake Ave  
Ste 600

City	State	Zip Code
Pasadena	CA	91101-4860

FEC ID number of contributing  
federal political committee.

C

Name of Employer

The Sterling Group

Occupation

Financial Advisor &amp; Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1833.26

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A435B6504A22A4D3C99E

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DAVID GEORGE HERBST, CFP,MBA**

Mailing Address 15003 S Route 59

City	State	Zip Code
Naperville	IL	60564-9539

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

937.44

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A82B0A6DA7F7C4949A37

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JAMES WINDSOR HERRINGTON**Mailing Address 411 Borel Ave  
Ste 620

City	State	Zip Code
San Mateo	CA	94402-3521

FEC ID number of contributing  
federal political committee.

C

Name of Employer

The Financial Services Network

Occupation

Chairman

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

756.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A0BCBDE7EBB0F409BA88

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

458.98

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 OF 99

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. WAYNE ALLAN JACOBSON, CRPC**

Mailing Address 411 Clay St

City	State	Zip Code
Cedar Falls	IA	50613-2837

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Jacobson Financial Services, LLCOccupation  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A7F8715156C8F4B67B67

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DON ARTHUR JACOBSON**

Mailing Address 3112 W 4th St

City	State	Zip Code
Fort Worth	TX	76107-2113

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Dean, Jacobson Financial ServicesOccupation  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

924.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A28612304E5D94CF1AAA

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. MICHAEL WILLIAM JOWDY**Mailing Address 7777 Center Ave  
Ste 120

City	State	Zip Code
Huntington Beach	CA	92647-3017

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LPL FinancialOccupation  
CFP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1916.59

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A640B233850604D3D832

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

292.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 51 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. SUSAN CAROL KAPLAN**

Mailing Address 2227 WASHINGTON ST, STE 300  
 2 NEWTON EXECUTIVE PARK

City State Zip Code  
 Newton Lower Falls MA 02462-1417

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 / 28 / 2015

**Transaction ID : A2852EF235B2941EC845**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. ANDREW C KARLINSKI, CFP**

Mailing Address 6 Venture  
 Ste 390

City State Zip Code  
 Irvine CA 92618-7367

FEC ID number of contributing  
federal political committee.

C

Name of Employer

AK Financial Group

Occupation

President/Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 / 28 / 2015

**Transaction ID : ADC784F8441FE4E77835**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. G. WARD KEEVER, IV**

Mailing Address 15 Middleton Dr

City State Zip Code  
 Wilmington DE 19808-4320

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

378.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 / 28 / 2015

**Transaction ID : A6F0CBCB2696F4DDDA31**

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

541.98

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 52 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. BEDFORD 'TAB' FORREST KIRKLAND**

Mailing Address 1032 W Main St

City

Lebanon

State

TN

Zip Code

37087-3345

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A337C796CDADF437C808

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DIANE M KNIGHT, CFP**

Mailing Address 116 Henshaw Ave

City

Chico

State

CA

Zip Code

95973-7211

FEC ID number of contributing  
federal political committee.

C

Name of Employer

RedRock Financial

Occupation

Registered Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

357.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A67C0EB2FBB34451A913

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. NANCY LYNN KNOUS**

Mailing Address 2012 Exeter Rd

City

Germantown

State

TN

Zip Code

38138-3945

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Benchmark Wealth Management

Occupation

Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : AA2D50E6B4DD44839B36

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

147.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 53 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. SUSAN CHRISTINE KUHLENBECK**

Mailing Address N19W24200 Riverwood Dr  
Ste 150

City State Zip Code  
Waukesha WI 53188-1193

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

756.00

Date of Receipt

12 / 28 / 2015

Transaction ID : AFE04B682F096411E965

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. KRISTINA P KUPRINA**

Mailing Address 99 Almaden Blvd  
Ste 925

City State Zip Code  
San Jose CA 95113-1614

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sequoia Wealth Advisors & Investment M

Occupation

Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

12 / 28 / 2015

Transaction ID : A48405C840E9642B2A71

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. DAVID BLANDIN LA POINTE**

Mailing Address 28544 Old Town Front St  
Ste 201

City State Zip Code  
Temecula CA 92590-2756

FEC ID number of contributing  
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.28

Date of Receipt

12 / 28 / 2015

Transaction ID : A749A8812777546B5A0A

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

542.32

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 54 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ANTHONY E LAJEUNESSE**

Mailing Address 13433 Reeck Ct

City

Southgate

State

MI

Zip Code

48195-3195

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A82F30639B2E84E888F5**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. MARK EDWARD LEIBMAN**

Mailing Address 228 Main St

City

Louisville

State

NE

Zip Code

68037-6032

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A3A820B98EA85408EBE9**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. LAWRENCE NELSON LEITCH**Mailing Address 401 Washington Ave  
FI 7

City

Towson

State

MD

Zip Code

21204-4821

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Synergy Financial Group

Occupation

Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : AF062E17CA2DC4CFD88D**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

283.34

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 55 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Mr. DANIEL W LEVERONI, CFP**

Mailing Address 50 Braintree Hill Park  
Ste 205

City Braintree State MA Zip Code 02184-8735

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Leveroni Financial Management

Occupation  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

12 / 28 / 2015

**Transaction ID : A712648332B054CB0AF4**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. TIMOTHY W LEVERONI, CFP**

Mailing Address 50 Braintree Hill Park  
Ste 205

City Braintree State MA Zip Code 02184-8735

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
LPL Financial LLC

Occupation  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.75

Date of Receipt

12 / 28 / 2015

**Transaction ID : A2426BD11D97C463AAD5**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WILLIAM LEE LITTON**

Mailing Address 4225 EXECUTIVE SQUARE, #1030  
(BR 1)

City La Jolla State CA Zip Code 92037-1486

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Litton Financial

Occupation  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A689EF8AD70324879836**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

133.32

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

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 (check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. FREDRICK JOSEPH LIVINGSTON, CFP**
 Mailing Address 11720 Amberpark Dr  
 Ste 150

City	State	Zip Code
Alpharetta	GA	30009-2276

FEC ID number of contributing federal political committee.

C

Name of Employer

Planmark Financial Group, Inc.

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A3F09DCE30AA84CEEAEI

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. GREG DEATS LONG, CFP**
 Mailing Address 280 S Prospect Ave  
 Ste A

City	State	Zip Code
Tustin	CA	92780-1513

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL

Occupation

financial planner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A2D957DE9E0034D1CA2B

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT D LYMAN JR.**
 Mailing Address 2500 W Higgins Rd  
 Ste 360

City	State	Zip Code
Hoffman Estates	IL	60169-7207

FEC ID number of contributing federal political committee.

C

Name of Employer

SEQUOIA FINANCIAL NETWORK

Occupation

MANAGING PARTNER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.09

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A65716882E6C74A1D8CC

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

105.66

TOTAL This Period (last page this line number only).....▶



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JOSEPH A LYONS, CFP**

Mailing Address 1 Executive Ct  
Ste 5

City State Zip Code  
South Barrington IL 60010-9533

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Joe Lyons LLC

Occupation

Registered Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.00

Date of Receipt

12 / 28 / 2015

Transaction ID : AD089C9798FEA4663B13

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. LAILA SOBHI MARSHALL-PENCE**

Mailing Address 5000 Birch St  
Ste 8000

City State Zip Code  
Newport Beach CA 92660-2158

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Pence Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

12 / 28 / 2015

Transaction ID : A11AC9EF5E73A43F4B3A

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. THEODORE ROCCO MASSARO, CHFC**

Mailing Address 57 S Maple Ave

City State Zip Code  
Marlton NJ 08053-2031

FEC ID number of contributing  
federal political committee.

C

Name of Employer

M Financial Planning Services, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2875.00

Date of Receipt

12 / 28 / 2015

Transaction ID : A07CF5562CD12476EB34

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

688.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ROBERT ARTHUR MATSON**

Mailing Address 333 Orchard St

City

State

Zip Code

Millis

MA

02054-1025

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Robert A Matson CLU, ChFC

Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : A18DD2DCD4CC24D08B26**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. W. DAIL MEIKLE, CFP**

Mailing Address 22601 NORTH 19TH AVE, STE. 216  
(BR 2)

City

State

Zip Code

Phoenix

AZ

85027-1321

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Meikle Financial Group

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.09

Date of Receipt

12 / 28 / 2015

**Transaction ID : A299A9F51FA6E4598B2B**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT EDWARD MICONE**

Mailing Address 100 Pacifica  
Ste 400

City

State

Zip Code

Irvine

CA

92618-7449

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

AFP LPL

Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A23A786A35091468EA19**

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

374.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. EDWARD BRUCE MILLER**

Mailing Address 481 Munn Rd E  
Ste 225

City State Zip Code  
Fort Mill SC 29715-8462

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

12 / 28 / 2015

Transaction ID : AE6B50AA22C344FF4918

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. SHARI DIANE MILLER**

Mailing Address 7777 Fay Ave  
Ste 315

City State Zip Code  
La Jolla CA 92037-4336

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Symbio Financial Partners

Occupation

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

12 / 28 / 2015

Transaction ID : AEC7B4EEBEF2A4F909BD

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. STEVEN R MONROE**

Mailing Address 758 Fulton St W

City State Zip Code  
Grand Rapids MI 49504-6320

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

12 / 28 / 2015

Transaction ID : AE9E1F794CB264F9F822

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

541.64

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 60 OF 99  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. THOMAS W MORAN**

Mailing Address 137 Bank St

City

Waterbury

State

CT

Zip Code

06702-2205

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : ACFC33268D12249CB9A7**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. LESLIE ANN MYERS**Mailing Address 650 S Cherry St  
Ste 1250

City

Denver

State

CO

Zip Code

80246-1842

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : ABD89A8C67EC944C7808**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. BRADLEY EUGENE NEAL**

Mailing Address 303 S Kickapoo St

City

Lincoln

State

IL

Zip Code

62656-2864

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : ACA5CC561A2454C93B94**

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

105.32

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. HAROLD FRANCIS NEVILLE, JR.**Mailing Address ONE MERRILL DR. #15  
TOWLE OFFICE PARK

City	State	Zip Code
Hampton	NH	03842-1983

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Adviser

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A47DA684E4C9C44C6A7C

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. SALLY WOO NG**Mailing Address 1350 Treat Blvd  
Ste 390

City	State	Zip Code
Walnut Creek	CA	94597-7960

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

CFP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

483.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : A0E383E8ED53C4F89BCC

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. RICHARD DANIEL NOAH**Mailing Address 1200 W 18th St  
Ste 1

City	State	Zip Code
Spencer	IA	51301-2822

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Legacy Financial Services

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

Transaction ID : AB5587ABD485642DF8E8

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

104.48

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. SCOTT MICHAEL NORRIS, CFP**

Mailing Address 214 S Grand Ave W

City

Springfield

State

IL

Zip Code

62704-3839

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Troxell Financial Advisors

Occupation

Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.66

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : A8CB0735D5CAC459A91C**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. BRIAN OETTINGER, CFP**

Mailing Address 611 Rockville Pike

City

Rockville

State

MD

Zip Code

20852-1130

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Sandy Spring Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : AFA0E0562CDA047D9875**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. CARLO ANTHONY PANACCIONE, CFP**Mailing Address 3 Lagoon Dr  
Ste 110

City

Redwood City

State

CA

Zip Code

94065-1566

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Navigation Group

Occupation

Private Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2833.22

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : AD050350A6DC54D5DBC5**

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

395.82

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DONALD WARREN PATRICK**

Mailing Address 200 Ashford Ctr N  
Ste 400

City State Zip Code  
Atlanta GA 30338-2669

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Integrated Financial Group

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

12 / 28 / 2015

**Transaction ID : A520D554F421C4FEB91F**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. FRANK THOMAS PATZKE**

Mailing Address 35 W Slade St

City State Zip Code  
Palatine IL 60067-5036

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Guidant Wealth Advisors

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.61

Date of Receipt

12 / 28 / 2015

**Transaction ID : AC0F595F55E7B4451864**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT ALLEN PAUL**

Mailing Address 70 Bridge St  
Unit 9

City State Zip Code  
Pelham NH 03076-3419

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

LPL Financial LLC

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.08

Date of Receipt

12 / 28 / 2015

**Transaction ID : A76F770E1BD594945928**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

187.48

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. P. MARTIN PETERS**

Mailing Address 215 S Highway 101  
Ste 206

City State Zip Code  
Solana Beach CA 92075-1849

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 28 / 2015

**Transaction ID : A2211327093C14884AED**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. VICKIE LINN PETIX-REICHERT**

Mailing Address 7777 Alvarado Rd  
Ste 320

City State Zip Code  
La Mesa CA 91942-8247

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 28 / 2015

**Transaction ID : A435DF623C5404628BDC**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. GEORGE R PIERCE**

Mailing Address 1200 Westlake Ave N  
Ste 900

City State Zip Code  
Seattle WA 98109-3529

FEC ID number of contributing  
federal political committee.

C

Name of Employer

George R Pierce

Occupation

Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

714.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 28 / 2015

**Transaction ID : AC56B5215C4D1434D859**

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

208.98



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DANIEL WALTER PINKERTON**

Mailing Address 2141 N Merritt Creek Loop

City

Coeur D Alene

State

ID

Zip Code

83814-4949

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : A55771CBF251A4A64B08**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. GREGORY R. POWELL, CIMA**

Mailing Address 5825 Feldspar Way

City

Hoover

State

AL

Zip Code

35244-4503

FEC ID number of contributing  
federal political committee.

C

Name of Employer

fi-Plan Partners

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4583.26

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : A13E1B3998C26466C822**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. STEPHEN REICH**

Mailing Address 5 N 3rd Ave W

City

Duluth

State

MN

Zip Code

55802-1614

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Investment Planner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
12			28			2015			

**Transaction ID : AC8C7338B97924FE283F**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

520.80

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 66 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. BARRY JONATHAN REID**

Mailing Address 27604 Marine View Dr S

City

Des Moines

State

WA

Zip Code

98198-9347

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
12				28				2015					

**Transaction ID : ADD2678DDB03F427B97D**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. T. WAYNE RIGNEY**Mailing Address 3358 S 2nd St  
Ste E

City

Cabot

State

AR

Zip Code

72023-7876

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Rigney Financial Services

Occupation

Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
12				28				2015					

**Transaction ID : A9755BC752BE6464CAC5**

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. RICHARD ROBERTS ROBIE, CFP (R)**

Mailing Address 118 That Way St

City

Lake Jackson

State

TX

Zip Code

77566-5210

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
12				28				2015					

**Transaction ID : A8291019F6B8B4A829DB**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

83.64

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. CAROL L. ROGERS, MBA**

Mailing Address 3 Cityplace Dr  
Ste 550

City State Zip Code  
Saint Louis MO 63141-7061

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Rogers & Company, A Wealth Management

Occupation

President, CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y  
12 28 2015

**Transaction ID : A477006F44DD447E7916**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KARL HORACE ROMERO**

Mailing Address 1585 E 17th St

City State Zip Code  
Santa Ana CA 92705-8506

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

M M / D D / Y Y Y Y Y  
12 28 2015

**Transaction ID : AF6691F9A8E1D448CB85**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ERIC DONALD ROTH JR.**

Mailing Address 420 S Truman Blvd  
Ste

City State Zip Code  
Crystal City MO 63019-1726

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y  
12 28 2015

**Transaction ID : A35D6F2B0729B47E3B95**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

333.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. THOMAS MCDONALD RUSH**

Mailing Address 182 E 16th St  
Ste D

City State Zip Code  
Yuma AZ 85364-4738

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Yuma Investment Group Wealth Management

Occupation  
Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A0277F8CBE55C4C4DADE**

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. WILLIAM EDWARD RYAN, JR., CFP**

Mailing Address 490 Schooleys Mountain Rd  
Ste 9

City State Zip Code  
Hackettstown NJ 07840-4002

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Ryan Wealth Management Services

Occupation  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

12 / 28 / 2015

**Transaction ID : A814AF117071B40DFA96**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. MICHAEL AUBREY SACHS**

Mailing Address 1700 West Loop S  
Ste 255

City State Zip Code  
Houston TX 77027-3029

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Wallstreet Texas

Occupation  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : ACAEE01C68479402B86B**

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

85.66

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 69 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. NOEMI XOCHITL SALAS**

Mailing Address 1600 Ruben Torres Blvd

City

Brownsville

State

TX

Zip Code

78521-1104

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M	M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12				28			2015					

**Transaction ID : AF825DAF686B84A378C1**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. ARTHUR R. SALOMON**Mailing Address 3217 Summit Square Pl  
Ste 250

City

Lexington

State

KY

Zip Code

40509-2638

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Salomon &amp; Co.

Occupation

Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

M	M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12				28			2015					

**Transaction ID : A6FCBD401162D4404986**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JEFFREY JAMES SCHMELTEKOPF**

Mailing Address 3112 W 4th St

City

Fort Worth

State

TX

Zip Code

76107-2113

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Dean, Jacobson Financial Services, LLC

Occupation

Owner / Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M	M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12				28			2015					

**Transaction ID : AB200E80153564DA3A2B**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

183.32

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JERRY ALAN SEIBERT**Mailing Address 1124 Rickard Rd  
Ste A

City	State	Zip Code
Springfield	IL	62704-6365

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Troxell Financial Advisors

Occupation

Owner/Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.43

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : AC5F6321914CF49118CB**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. GARREN JOSEPH SEPEDE**

Mailing Address 1731 Village Center Cir

City	State	Zip Code
Las Vegas	NV	89134-0516

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Strategic Wealth Mgt, Inc.

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : AFE9FC1C037B84B16A9D**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. MARGARET CHRISTINE SHARD, CFP**Mailing Address 1537 N Leroy St  
Ste D

City	State	Zip Code
Fenton	MI	48430-2795

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Shard Financial Services, Inc.

Occupation

President &amp; Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.07

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A161962CF8642484FB76**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

62.48

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JOHN FRED SHREWSBURY**Mailing Address 612 W Commerce Dr  
Ste 2

City	State	Zip Code
Bryant	AR	72022-7517

FEC ID number of contributing  
federal political committee.

C

Name of Employer

GenWealth Financial Advisors

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A35B38BB56DAA4739AF8**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JOHN EMMANUEL SIMONE, AIF**Mailing Address 100 Witmer Rd  
Ste 170

City	State	Zip Code
Horsham	PA	19044-2648

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : AEEB112D2476745BCAA9**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. GREG ROBERT SOLIS**Mailing Address 78075 Main St  
Ste 204

City	State	Zip Code
La Quinta	CA	92253-8961

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A8C276C94BD6A43A893D**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

258.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. GARY M STANISLAWSKI**

Mailing Address 7134 S Yale Ave  
Ste 700

City State Zip Code  
Tulsa OK 74136-6354

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Regent Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 28 / 2015

**Transaction ID : A63AEDD39BA48460C858**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DANIEL EDWARD STEICHEN**

Mailing Address 1550 American Blvd E  
Ste 640

City State Zip Code  
Minneapolis MN 55425-3100

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Pakola, Steichen & Company

Occupation

Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 28 / 2015

**Transaction ID : AEC7CB834B09F4735869**

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. MARILYN S STEINMETZ**

Mailing Address 30 Tower Ln  
Ste 135

City State Zip Code  
Avon CT 06001-4231

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Mutual Service Associates

Occupation

Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 28 / 2015

**Transaction ID : A6C4535464BA84C57940**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

272.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JOHN P STEVENS**

Mailing Address 8194 Liberty Way

City

West Chester

State

OH

Zip Code

45069-2517

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Stevens Investment Group, Inc

Occupation

Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : A7CF2844B9FAD449A8EB**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KEVIN PETER SUPKA**

Mailing Address 100 Witmer Rd  
Ste 140

City

Horsham

State

PA

Zip Code

19044-2648

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3999.84

Date of Receipt

12 / 28 / 2015

**Transaction ID : A5C2F6D36EEFA492293B**

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. TREENA LAYNE TIPTON**

Mailing Address 1200 Harris Ave  
Ste 409

City

Bellingham

State

WA

Zip Code

98225-7148

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

357.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A24AE3F51A3284E96B2B**

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

458.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JUDITH ANN TOCCO, CFP**

Mailing Address 6236 E Pima St  
Ste 190

City Tucson State AZ Zip Code 85712-3163

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 28 / 2015

**Transaction ID : A979F6BAB9B1A4EB8A37**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. TOM MICHAEL TYE, CFP**

Mailing Address 141 N 3rd St

City Danville State KY Zip Code 40422-1655

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Tye Financial group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 28 / 2015

**Transaction ID : AA4E952876C3B47FD9FE**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JOAN MARIE VALENTI**

Mailing Address 3 Forest Park Dr

City Farmington State CT Zip Code 06032-1468

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Valenti Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A97F1F4B857D442C8A4A**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

183.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. STEVEN LEE VAN HOUTEN**

Mailing Address 2210 Encinitas Blvd  
Ste C

City State Zip Code  
Encinitas CA 92024-4359

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

12 / 28 / 2015

**Transaction ID : A1FFFEDE8B0C2407097C**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KENNETH WILLIAM VAN LEEUWEN**

Mailing Address 777 Alexander Rd  
Ste 203

City State Zip Code  
Princeton NJ 08540-6300

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Van Leeuwen & Company

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2486.52

Date of Receipt

12 / 28 / 2015

**Transaction ID : A1E6F502AC35249DC859**

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JUDY ANN VANARSDALE**

Mailing Address 21925 W Field Pkwy  
Ste 245

City State Zip Code  
Deer Park IL 60010-7208

FEC ID number of contributing  
federal political committee.

C

Name of Employer

enRich Private Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 28 / 2015

**Transaction ID : AD068C9C002184CF0BDA**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

708.32

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 76 OF 99  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MARK DAVID VANDERHAGEN**

Mailing Address 12726 Coldwater Rd

City	State	Zip Code
Fort Wayne	IN	46845-8628

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Wealth Advisors Group

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : A5B884CDB9B36443FB62**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JEFFREY P. VINCENT**

Mailing Address 308 E Main St

City	State	Zip Code
Riverton	WY	82501-4338

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Vincent Financial Services

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : AF818BA642A644F4BA56**

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WAYNE VON BORSTEL CFP**

Mailing Address 308 E 3rd St

City	State	Zip Code
The Dalles	OR	97058-2204

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

financial planner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4930.63

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	28	/	2015

**Transaction ID : AD219772817C1420A9AE**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

459.48

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 77 OF 99

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. WAYNE DAVID WAGNER, JR.**

Mailing Address 15 Middleton Dr

City

Wilmington

State

DE

Zip Code

19808-4320

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Vizionary Wealth Management

Occupation

President

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
12				28				2015					

**Transaction ID : A72CC140B9DEA4C29BFE**

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. MICHAEL JAMES WARD, CFP**Mailing Address 94 New Karner Rd  
Ward

City

Albany

State

NY

Zip Code

12203-7355

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
12				28				2015					

**Transaction ID : A397E639CDC484FC2AF2**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JASON ROBERT WAYBRIGHT**

Mailing Address 1314 Trinity St

City

Redding

State

CA

Zip Code

96001-0703

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
12				28				2015					

**Transaction ID : A13BA35FBE15443D4857**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

375.00

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. STEPHEN H WEDEL**Mailing Address 3 Cityplace Dr  
Ste

City	State	Zip Code
Saint Louis	MO	63141-7081

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12			28			2015					

**Transaction ID : A4B9EABCEC046449AB98**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JAMES TINSLEY WELDEN**

Mailing Address 75 Jackson St

City	State	Zip Code
Newnan	GA	30263-7513

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Welden Financial Services

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.66

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12			28			2015					

**Transaction ID : A023B5A972793402198C**

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROGER PATRICK WHITNEY**Mailing Address 512 Main St  
Ste 204

City	State	Zip Code
Fort Worth	TX	76102-3971

FEC ID number of contributing  
federal political committee.

C

Name of Employer

WWK Wealth Advisors

Occupation

Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.43

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
12			28			2015					

**Transaction ID : A67B8A4F524584AB1AA9**

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

83.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DAN WILBURN**

Mailing Address 554 Vale Park Rd

City

Valparaiso

State

IN

Zip Code

46385-2505

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL

Occupation

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

264.00

Date of Receipt

12 / 28 / 2015

Transaction ID : ADF1CAF968B0F41A49F0

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. MICHAEL C. F. WILLIAMS, CFP**

Mailing Address 224 Valley Creek Blvd  
Ste 330

City

Exton

State

PA

Zip Code

19341-2386

FEC ID number of contributing  
federal political committee.

C

Name of Employer

First Financial Networks. Inc

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3999.84

Date of Receipt

12 / 28 / 2015

Transaction ID : AFB0F3BE1921146E0A4C

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WARREN DAVID WISE**

Mailing Address 3515 W Market St  
Ste 100

City

Greensboro

State

NC

Zip Code

27403-4439

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Market St. Wealth Management Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.84

Date of Receipt

12 / 28 / 2015

Transaction ID : A4A3A1CB024C049BDAC0

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

563.64

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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☒ 11a    ☐ 11b    ☐ 11c    ☐ 12  
☐ 13    ☐ 14    ☐ 15    ☐ 16    ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. STEVEN SCOTT WITEK**

Mailing Address 613 1st St

# 1-B

City

La Salle

State

IL

Zip Code

61301-2433

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐  
☐

Primary

☐ General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 28 / 2015

Transaction ID : AF52D7A05889A44E3979

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. CARY ANN WONG CFP**

Mailing Address 16201 E Indiana Ave

Ste 5220

City

Spokane Valley

State

WA

Zip Code

99216-2842

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Wong Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐  
☐

Primary

☐ General

Other (specify) ▼

Aggregate Year-to-Date ▼

1000.08

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 28 / 2015

Transaction ID : AA40998147C514601811

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Mr. DAVID JOHN WORKMAN**

Mailing Address 1529 E Market St

City

Logansport

State

IN

Zip Code

46947-3227

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐  
☐

Primary

☐ General

Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 28 / 2015

Transaction ID : AB2E142103EA54DF187B

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

187.52

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 81 OF 99  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. SCOTT W YANKER**

Mailing Address 13611 Barrett Office Dr  
Ste 100

City State Zip Code  
Ballwin MO 63021-7833

FEC ID number of contributing  
federal political committee.

C

Name of Employer

The Yanker Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

504.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A421A26D9018E446CB65**

Amount of Each Receipt this Period

42.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. ROBERT ALAN YOUNG**

Mailing Address 431 Cleveland St

City State Zip Code  
Woodland CA 95695-3901

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Main Street Wealth Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

449.88

Date of Receipt

12 / 28 / 2015

**Transaction ID : A7464E822267142CCAF7**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. KEVIN ZIMMER**

Mailing Address 102 La Encinal Ct

City State Zip Code  
Clayton CA 94517-1750

FEC ID number of contributing  
federal political committee.

C

Name of Employer

FRG

Occupation

VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.00

Date of Receipt

12 / 28 / 2015

**Transaction ID : A120E10E2E3B54EE898B**

Amount of Each Receipt this Period

22.00

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

105.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 82 OF 99

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MARK G ZITZELSBERGER**

Mailing Address 118 W Michigan St

City

Mount Pleasant

State

MI

Zip Code

48858-2508

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Total Investment Planners, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 28 / 2015

**Transaction ID : A584925E7E928419F89C**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.32

20278.88

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 83 OF 99

☒ 21b    ☐ 22    ☐ 23    ☐ 24    ☐ 25    ☐ 26  
☐ 27    ☐ 28a    ☐ 28b    ☐ 28c    ☐ 29    ☐ 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Aristotle International**

Mailing Address 205 Pennsylvania Ave SE

City  
WashingtonState  
DCZip Code  
20003-1164Purpose of Disbursement  
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President  
State:              District:

Disbursement For:  
☐ Primary    ☐ General  
☐ Other (specify) ▼
Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y  
12    11    2015
**Transaction ID : BE7AC64F7E391418DAC5**

Amount of Each Disbursement this Period

12.50

**B.**

Full Name (Last, First, Middle Initial)

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President  
State:              District:

Disbursement For:  
☐ Primary    ☐ General  
☐ Other (specify) ▼
Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

**C.**

Full Name (Last, First, Middle Initial)

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ President  
State:              District:

Disbursement For:  
☐ Primary    ☐ General  
☐ Other (specify) ▼
Category/  
Type

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

12.50

12.50

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 84 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ANDY BARR FOR CONGRESS, INC.**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

Mailing Address PO BOX 2059

City	State	Zip Code
Lexington	KY	40588-2059

Purpose of Disbursement  
KY US House

Candidate Name

**Rep. Andy Barr IV**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: KY District: 06

Category/  
Type**Transaction ID : B83D8C00AFC434D4F818**

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

**B. ANDY BARR FOR CONGRESS, INC.**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

Mailing Address PO BOX 2059

City	State	Zip Code
Lexington	KY	40588-2059

Purpose of Disbursement  
KY US House

Candidate Name

**Rep. Andy Barr IV**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: KY District: 06

Category/  
Type**Transaction ID : BA63F7D158355420DB31**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. BENNET FOR COLORADO**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

Mailing Address PO BOX 3078

City	State	Zip Code
DENVER	CO	80201

Purpose of Disbursement  
CO US Senate

Candidate Name

**Sen. Michael F. Bennet**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CO District:

Category/  
Type**Transaction ID : B315BC53B5D9348C3B5C**

Amount of Each Disbursement this Period

1500.00

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 85 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. BLAINE FOR CONGRESS**

Mailing Address PO BOX 1025

City	State	Zip Code
JEFFERSON CITY	MO	65102

Purpose of Disbursement  
MO US House

Candidate Name

**Rep. Blaine Luetkemeyer**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MO District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : BACEC15D75F494162BBA**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**B. BLAINE FOR CONGRESS**

Mailing Address PO BOX 1025

City	State	Zip Code
JEFFERSON CITY	MO	65102

Purpose of Disbursement  
MO US House

Candidate Name

**Rep. Blaine Luetkemeyer**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MO District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : BEA7A8FF463F94AA0AD4**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. CARLOS CURBELO CONGRESS**

Mailing Address 8724 SW 72ND ST

City	State	Zip Code
Miami	FL	33173-3512

Purpose of Disbursement  
FL US House

Candidate Name

**Rep. Carlos L. Curbelo**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: FL District: 26

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B61D0282D00A3444A9B0**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 86 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. COMSTOCK FOR CONGRESS**

Mailing Address PO BOX 831

City	State	Zip Code
MC LEAN	VA	22101

Purpose of Disbursement  
VA US House

Candidate Name

**Rep. Barbara J. Comstock**

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
State: VA	District: 10	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B1D08938CAB944C01AA6**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. CRENSHAW FOR CONGRESS CAMPAIGN**Mailing Address 7235 BONNEVAL ROAD  
SUITE 219

City	State	Zip Code
Jacksonville	FL	32256-7506

Purpose of Disbursement  
FL US House

Candidate Name

**Rep. Ander M. Crenshaw**

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
State: FL	District: 04	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : BC6A5C2A2CE5F4E5F8D0**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. CRENSHAW FOR CONGRESS CAMPAIGN**Mailing Address 7235 BONNEVAL ROAD  
SUITE 219

City	State	Zip Code
Jacksonville	FL	32256-7506

Purpose of Disbursement  
FL US House

Candidate Name

**Rep. Ander M. Crenshaw**

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President	Disbursement For: 2016 <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼
State: FL	District: 04	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B1073EBF0A1354E71B5F**

Amount of Each Disbursement this Period

2500.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 87 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. CROWLEY FOR CONGRESS**

Mailing Address 50 E Street, SE - Suite 1

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement  
NY US House

Candidate Name

**Rep. Joseph Crowley**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NY District: 14

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : BC7C2CF64DD2E47F886B**

Amount of Each Disbursement this Period

1500.00
---------

Full Name (Last, First, Middle Initial)

**B. CROWLEY FOR CONGRESS**

Mailing Address 50 E Street, SE - Suite 1

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement  
NY US House

Candidate Name

**Rep. Joseph Crowley**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: NY District: 14

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : BC6F4BE6BD70C42968CC**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. DEVIN NUNES CAMPAIGN COMMITTEE**

Mailing Address PO BOX 6545

City	State	Zip Code
VISALIA	CA	93290

Purpose of Disbursement  
CA US House

Candidate Name

**Rep. Devin G. Nunes**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CA District: 22

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B78D5CF893F18452693F**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 88 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DONNELLY FOR INDIANA**

Mailing Address 1050 17TH ST NW STE 590

City  
WashingtonState  
DCZip Code  
20036-5592Purpose of Disbursement  
IN US Senate

Candidate Name

**Sen. Joe Donnelly**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IN District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B657E73DC55A9403FA1A**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**B. DONOVAN FOR CONGRESS**

Mailing Address 440 LEVERETT AVENUE

City  
STATEN ISLANDState  
NYZip Code  
10308Purpose of Disbursement  
NY US House

Candidate Name

**Rep. Daniel M. Donovan Jr.**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NY District: 11

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B8B6A58CF7B274B31A8D**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. Duncan D. Hunter for Congress**

Mailing Address P.O. BOox 1545

City  
El CajonState  
CAZip Code  
92022-1545Purpose of Disbursement  
CA US House

Candidate Name

**Rep. Duncan D. Hunter**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CA District: 50

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B370CEA4857C641FEA34**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 89 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. FEARLESS PAC**

Mailing Address PO BOX 37

City	State	Zip Code
Boulder	CO	80306-0037

Purpose of Disbursement  
Political Contribution

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For: 2015
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼
Other2015

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B4CB37E38C3C5465BB86**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. FRENCH HILL FOR ARKANSAS**

Mailing Address PO BOX 7841

City	State	Zip Code
LITTLE ROCK	AR	72217

Purpose of Disbursement  
AR US House

Candidate Name

**Rep. French Hill**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: AR District: 02

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B45334BB35CED4168972**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. FRIENDS OF DENNIS ROSS**

Mailing Address 133 SOUTH HARBOR DRIVE

City	State	Zip Code
Venice	FL	34285-2214

Purpose of Disbursement  
FL US House

Candidate Name

**Rep. Dennis A. Ross**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: FL District: 15

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B61E7AA38EF014BD3B59**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 90 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. FRIENDS OF JOE HECK**

Mailing Address PO BOX 750114

City	State	Zip Code
Las Vegas	NV	89136-0114

Purpose of Disbursement  
NV US House

Candidate Name

**Rep. Joe J. Heck Jr.**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NV District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : BF07CDD9ED759401DB8E**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. FRIENDS OF MIA LOVE**

Mailing Address PO BOX 255

City	State	Zip Code
RIVERTON	UT	84065

Purpose of Disbursement  
UT US House

Candidate Name

**Rep. Mia B. Love**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: UT District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B344B5EA7555747D48CD**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. HEIDI FOR SENATE**

Mailing Address PO BOX 1577

City	State	Zip Code
BISMARCK	ND	58502

Purpose of Disbursement  
ND US Senate

Candidate Name

**Sen. Heidi Heitkamp**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: ND District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B74B8095B7A2B48138A7**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 91 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. HUIZENGA FOR CONGRESS**

Mailing Address 441 WILLIAMS COURT

City Zeeland	State MI	Zip Code 49464-1509
-----------------	-------------	------------------------

Purpose of Disbursement  
MI US House

Candidate Name

**Rep. Bill P. Huizenga**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: MI District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : BDA78930F5C5E442EB0D**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**B. Jim Himes for Congress**

Mailing Address 857 Post Road, #312

City Fairfield	State CT	Zip Code 06824-6041
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Purpose of Disbursement  
CT US House

Candidate Name

**Rep. Jim A. Himes**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: CT District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B6CFE1245C3564455BE8**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. KIND FOR CONGRESS COMMITTEE**

Mailing Address 3061 EDGEWATER LN

City La Crosse	State WI	Zip Code 54603-1088
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Purpose of Disbursement  
WI US House

Candidate Name

**Rep. Ron J. Kind**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: WI District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B61557869208245F4BCA**

Amount of Each Disbursement this Period

1500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. KIND FOR CONGRESS COMMITTEE**

Mailing Address 3061 EDGEWATER LN

City La Crosse	State WI	Zip Code 54603-1088
-------------------	-------------	------------------------

Purpose of Disbursement  
WI US House

Candidate Name

**Rep. Ron J. Kind**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: WI District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B3E51C8AEA6D14A3FA2D**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. KIRK FOR SENATE**

Mailing Address PO BOX 2594

City Chicago	State IL	Zip Code 60690-2594
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Purpose of Disbursement  
IL US Senate

Candidate Name

**Sen. Mark S. Kirk**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IL District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B876A2689E01A42FF9E4**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. LARSON FOR CONGRESS**

Mailing Address PO BOX 261172

City Hartford	State CT	Zip Code 06126-1172
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Purpose of Disbursement  
CT US House

Candidate Name

**Rep. John B. Larson**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☐ General  
☒ Other (specify) ▼

State: CT District: 01

Conven Election2016

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B8A7B48525685411D80C**

Amount of Each Disbursement this Period

2000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 93 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. LUCAS FOR CONGRESS**

Mailing Address PO BOX 1726

City	State	Zip Code
OKLAHOMA CITY	OK	73101

Purpose of Disbursement  
OK US House

Candidate Name

**Rep. Frank D. Lucas**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: OK District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : BE2F4A221230F4CB581A**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. MANCHIN FOR WEST VIRGINIA**

Mailing Address PO BOX 5202

City	State	Zip Code
Charleston	WV	25361-0202

Purpose of Disbursement  
WV US Senate

Candidate Name

**Sen. Joe Manchin III**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: WV District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B70DA686138CC44BE8B4**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**C. MASSACHUSETTS REPUBLICAN PARTY - Federal Account**Mailing Address 85 MERRIMAC ST.  
SUITE 400

City	State	Zip Code
Boston	MA	02114-4715

Purpose of Disbursement  
Federal Account Only - Political Contribution

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ PresidentDisbursement For: 2015  
☐ Primary ☐ General  
☒ Other (specify) ▼

State: District: Other2015

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B22F0C7EA729A4024A59**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 94 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MIKE KELLY FOR CONGRESS**

Mailing Address PO BOX 476

City Lyndora	State PA	Zip Code 16045-0476
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Purpose of Disbursement  
PA US House

Candidate Name

**Rep. Mike Kelly Jr.**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: PA District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B3AB3E60E641B4D76B1E**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Montanans for Tester**Mailing Address 236 Massachusetts Ave, NE  
Suite 207

City Washington	State DC	Zip Code 20002-4980
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Purpose of Disbursement  
MT US Senate

Candidate Name

**Sen. Jon Tester**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2018  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: MT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B8B8E139031104531901**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**C. Montanans for Tester**Mailing Address 236 Massachusetts Ave, NE  
Suite 207

City Washington	State DC	Zip Code 20002-4980
--------------------	-------------	------------------------

Purpose of Disbursement  
MT US Senate

Candidate Name

**Sen. Jon Tester**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2018  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MT District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B15124DB41B73457BB53**

Amount of Each Disbursement this Period

500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 95 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MOORE FOR CONGRESS**

Mailing Address PO BOX 16646

City	State	Zip Code
Milwaukee	WI	53216-0646

Purpose of Disbursement  
WI US House

Candidate Name

**Rep. Gwen S. Moore**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: WI District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : BBF3D17F8CBFC4269A70**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**B. PAT MEEHAN FOR CONGRESS**

Mailing Address 50 S. PROVIDENCE ROAD

City	State	Zip Code
Media	PA	19063-3531

Purpose of Disbursement  
PA US House

Candidate Name

**Rep. Patrick L. Meehan Jr.**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: PA District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B6C7A85EA4BC14FBC87D**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. PETERS FOR MICHIGAN**

Mailing Address PO BOX 226

City	State	Zip Code
Bloomfield Hills	MI	48303-0226

Purpose of Disbursement  
MI US Senate

Candidate Name

**Sen. Gary C. Peters**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2020  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: MI District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B3BF499331EC64C32817**

Amount of Each Disbursement this Period

2000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 96 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Richard E Neal for Congress Committee**

Mailing Address 76 Magnolia Terrace

City	State	Zip Code
Springfield	MA	01108-2533

Purpose of Disbursement  
MA US House

Candidate Name

**Rep. Richard E. Neal**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MA District: 01

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B242878A8CFF841F199A**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. ROBERT HURT FOR CONGRESS**

Mailing Address PO BOX 8

City	State	Zip Code
CHATHAM	VA	24531

Purpose of Disbursement  
VA US House

Candidate Name

**Rep. Robert Hurt**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: VA District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B97C5DDA0AFAF4E068DB**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. SEAN PATRICK MALONEY FOR CONGRESS**

Mailing Address PO BOX 270

City	State	Zip Code
Newburgh	NY	12551-0270

Purpose of Disbursement  
NY US House

Candidate Name

**Rep. Sean P. Maloney**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NY District: 18

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B8CBAFB59157B422C9FF**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

6000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 97 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. TIM SCOTT FOR SENATE**

Mailing Address 1405 ASHLEY RIVER ROAD

City	State	Zip Code
Charleston	SC	29407-5305

Purpose of Disbursement  
SC US Senate

Candidate Name

**Sen. Tim E. Scott**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: SC District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B4699401B110F45AFB83**

Amount of Each Disbursement this Period

1500.00
---------

Full Name (Last, First, Middle Initial)

**B. TIM SCOTT FOR SENATE**

Mailing Address 1405 ASHLEY RIVER ROAD

City	State	Zip Code
Charleston	SC	29407-5305

Purpose of Disbursement  
SC US Senate

Candidate Name

**Sen. Tim E. Scott**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2016  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: SC District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : BC8EA828ABF7D4F26A24**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. YODER FOR CONGRESS, INC**

Mailing Address PO BOX 26742

City	State	Zip Code
Overland Park	KS	66225-6742

Purpose of Disbursement  
KS US House

Candidate Name

**Rep. Kevin W. Yoder**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: KS District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	08	/	2015

**Transaction ID : B2E212D57BBEA4E4D86E**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 98 OF 99

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. YODER FOR CONGRESS, INC**

Mailing Address PO BOX 26742

City	State	Zip Code
Overland Park	KS	66225-6742

Purpose of Disbursement  
KS US House

Candidate Name

**Rep. Kevin W. Yoder**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: KS District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		08		2015

**Transaction ID : B8DC678754FD94BFDB63**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. CATHY MCMORRIS RODGERS FOR CONGRESS**

Mailing Address P.O. BOX 137

City	State	Zip Code
Spokane	WA	99210-0137

Purpose of Disbursement  
Political Contribution

Candidate Name

**Rep. Cathy A. McMorris Rodgers**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: WA District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		15		2015

**Transaction ID : B67ABD3EE03034E928F8**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ PresidentDisbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2000.00
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70000.00
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	21b		22		23		24		25		26
	27	X	28a		28b		28c		29		30b

LPL Financial LLC Political Action Committee (LPL PAC)

### A. MITCHELL ARNOLD

Mailing Address 135 Middle St  
Ste 1001

City	State	Zip Code
Lake Mary	FL	32746-3595

Purpose of Disbursement	Refund of Contributions Made

Candidate Name

Category/  
Type

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For: ☐ Primary ☐ General  
☐ Other (specify) ▼

Transaction ID : BD77A197F8CF2411AAA5

Amount of Each Disbursement this Period

900.00

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

### Purpose of Disbursement

Candidate Name

Category/  
Type

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For: ☐ Primary ☐ General  
☐ Other (specify) ▼

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

### Purpose of Disbursement

Candidate Name

Category/  
Type

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

Amount of Each Disbursement this Period

**SUBTOTAL** of Disbursements This Page (optional).....

900.00

**TOTAL** This Period (last page this line number only).....

900.00