

**FEC FORM 3X**

**REPORT OF RECEIPTS AND DISBURSEMENTS**  
For Other Than An Authorized Committee

RECEIVED  
FEC MAIL ROOM  
2002 MAR -1 A D 23

Office Use Only

1. NAME OF COMMITTEE (in full) **Hy-Vee, Inc. Employees Political Action Committee**

USE FEC MAILING LABEL OR TYPE OR PRINT  Example: If typing, type over the lines. **12PE4MS**

ADDRESS (number and street) **4820 Westtown Parkway**

Check if different than previously reported. (ACC) **West, Des Moines IA 50266**

2. FEC IDENTIFICATION NUMBER **C 00243659**

CITY **IA** STATE **IA** ZIP CODE **50266**

3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

- Feb 20 (M2)
- Mar 20 (M3)
- Apr 20 (M4)
- May 20 (M5)
- Jun 20 (M6)
- Jul 20 (M7)
- Aug 20 (M8)
- Sep 20 (M9)
- Oct 20 (M10)
- Nov 20 (M11) (Non-Election Year Only)
- Dec 20 (M12) (Non-Election Year Only)
- Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:

- Primary (12P)
- General (12G)
- Runoff (12R)
- Convention (12C)
- Special (12S)

Election on  in the State of

(d) 30-Day POST-Election Report for the:

- General (30G)
- Runoff (30R)
- Special (30S)

Election on  in the State of

5. Covering Period **12/01/2001** through **12/31/2001**

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer **John Brummit**

Signature of Treasurer *John Brummit* Date **01/25/2002**

*Corrected copy sent 2-13-02*

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

**FEC FORM 3X**  
(Revised 1/01)

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/01)

Page 2

Write or Type Committee Name

**By-Vee, Inc. Employees' Political Action Committee**

Report Covering the Period:

From:

**12 01 2001**

To:

**12 31 2001**

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <b>2001</b>		<b>6435.34</b>
(b) Cash on Hand at Beginning of Reporting Period	<b>11238.64</b>	
(c) Total Receipts (from Line 19)	<b>786.64</b>	<b>27,439.94</b>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	<b>12025.28</b>	<b>33,875.28</b>
	<b>(750.00)</b>	<b>20,100.00</b>
	<b>(1,250.00)</b>	<b><del>20,600.00</del></b>
7. Total Disbursements (from Line 30)		
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	<b>12775.28</b>	<b>12,775.28</b>
	<b><del>13,275.28</del></b>	<b>13,275.28</b>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)		

**CORRECTED COPY**

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Revised 1/01)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H-4)		
(i) Federal Share		
(ii) Non-Federal Share		
(b) Other Federal Operating Expenditures		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))		
22. Transfers to Affiliated/Other Party Committees		
23. Contributions to Federal Candidates/Committees and Other Political Committees		7,900.00
24. Independent Expenditures (use Schedule E)		
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. §441a(d)) (use Schedule F)		
26. Loan Repayments Made		
27. Loans Made		
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees		
(b) Political Party Committees		
(c) Other Political Committees (such as PACs)		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))		
29. Other Disbursements	750.00	13,300.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)	750.00	20,100.00
31. Total Federal Disbursements (subtract Line 21(a)(i) from Line 30)	750.00	20,100.00

**CORRECTED COPY**

**III. Net Contributions/Operating Expenditures**

32. Total Contributions (other than loans) (from Line 11(d), page 3)	786.64	27,439.94
33. Total Contribution Refunds (from Line 28(d))		
34. Net Contributions (other than loans) (subtract Line 33 from Line 32)	786.64	27,439.94
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))		
36. Offsets to Operating Expenditures (from Line 15, page 3)		
37. Net Operating Expenditures (subtract Line 36 from Line 35)		

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

<input type="checkbox"/> 21a	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (in Full)  
 Hy-Vee, Inc. Employees' Political Action Committee

**A. Merlin Bartz**

Full Name (Last, First, Middle Initial): Merlin Bartz

Date of Disbursement: 12/1/2001

Mailing Address: 2081 410th St.

City: Crafton State: IA Zip Code: 50440

Purpose of Disbursement: Voided check # 1167

Candidate Name: \_\_\_\_\_

Category/Type: 010

Amount of Each Disbursement this Period: (2500)

Office Sought:  House  Senate  President

Disbursement For:  Primary  General  Other (specify) ▼

State: IA District: 10

**B. Dick Myers**

Full Name (Last, First, Middle Initial): Dick Myers

Date of Disbursement: 12/1/2001

Mailing Address: 9 Woodland Heights

City: Iowa City State: IA Zip Code: 52240

Purpose of Disbursement: Voided check # 1174

Candidate Name: \_\_\_\_\_

Category/Type: 010

Amount of Each Disbursement this Period: (5000)

Office Sought:  House  Senate  President

Disbursement For:  Primary  General  Other (specify) ▼

State: IA District: 49

**C. Mike Sertan**

Full Name (Last, First, Middle Initial): Mike Sertan

Date of Disbursement: 12/1/2001

Mailing Address: 2202 Ogden Ave

City: Rockwell City State: IA Zip Code: 50579

Purpose of Disbursement: Voided check # 1169

Candidate Name: \_\_\_\_\_

Category/Type: 010

Amount of Each Disbursement this Period: ~~(250.00)~~

Office Sought:  House  Senate  President

Disbursement For:  Primary  General  Other (specify) ▼

State: IA District: 7

**CORRECTED COPY**

SUBTOTAL of Disbursements This Page (optional) (750.00) ~~(4000.00)~~

TOTAL This Period (last page this line number only) \_\_\_\_\_

**SCHEDULE B (FEC Form 3X)**

**ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

PAGE 4 OF 4

<input type="checkbox"/> 21a	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 29

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Hy-Vee, Inc. Employees' Political Action Committee

Full Name (Last, First, Middle Initial)

Frank Rhoads

Mailing Address

417 Buchanan Ave

City

Day, Maine

State

IA

Zip Code

50315

Purpose of Disbursement

Voided Check # 1171

Candidate Name

010

Category/Type

Office Sought:

House

Senate

President

State:

IA

District:

67

Disbursement For:

Primary

General

Other (specify) ▼

Date of Disbursement

12/11/2001

Amount of Each Disbursement this Period

250.00

CORRECTED COPY

B.

Full Name (Last, First, Middle Initial)

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought:

House

Senate

President

State:

District:

Disbursement For:

Primary

General

Other (specify) ▼

Date of Disbursement

Amount of Each Disbursement this Period

C.

Full Name (Last, First, Middle Initial)

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Category/Type

Office Sought:

House

Senate

President

State:

District:

Disbursement For:

Primary

General

Other (specify) ▼

Date of Disbursement

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)

0 - 250.00

TOTAL This Period (last page this line number only)

750.00

250.00

DECEMBER, 2001

**OUTSTANDING CHECKS**

1169 250.00

1171 250.00

**BANK BALANCE**

**13,275.28**

**O/S CHECKS**

**(500.00)**

**O/S DEPOSITS**

**0.00**

**O/S DEPOSITS**

**0.00**

**TOTAL**

**12,775.28**

**CHECKING BALANCE**

**12,775.28**

**VARIANCE**

**0.00**

**TOTAL**

**500.00**

**CORRECTED COPY**

# DISCLOSURE SUMMARY PAGE

<b>FORM DR-2</b> (Rev. 02/98)	<b>DISCLOSURE REPORT</b>
<b>For Office Use Only</b>	
Comm. # _____	_____
Included _____	_____
Audited _____	_____
Computer _____	_____

**COMMITTEE NAME** (Must be same as on Statement of Organization)  
Hy-Vee, Inc. Employees' Political Action Committee

**IMPORTANT:** Indicate type of committee you are reporting for:  3

( 1 ) Statewide/Legislative Candidate ( 2 ) Statewide PAC ( 3 ) State Party ( 4 ) County/Local Candidate  
 ( 5 ) County PAC ( 6 ) Ballot Issue/Franchise Committee ( 7 ) County/City Central Committee  
 ( 8 ) Support State of Candidates

*John Brown* 515-267-2933 1/25/02  
 SIGNATURE OF TREASURER (or person filing this report) TELEPHONE DATE SIGNED

Penalties Due For Late Filed Reports Range from \$10 to \$400

SEE INSTRUCTIONS ON BACK AND COMPLETE THE FOLLOWING SENTENCE:

*Corrected copy sent 2/13/02*

I AM FILING A 10/16/01 to 12/31/01 REPORT FOR AN/A (1) ELECTION (2) NON-ELECTION YEAR.  
 (report date) Indicate one

CHECK IF AMENDMENT TO REPORT DATED \_\_\_\_\_

Local Committees, enter Date of Election \_\_\_\_\_  
 County & Local Committees, enter County in which Election is held \_\_\_\_\_

Check if this is final (termination) report and attach Notice of Dissolution Form DR-3.  
 (You must continue to file reports until a Notice of Dissolution is filed.)

## STATEMENT OF CASH ON HAND **CORRECTED COPY**

**CASH ON HAND** at the beginning of the reporting period. (This is the total of all monies held by the committee. This amount **MUST** be the same as the cash on hand at the end of the last reporting period, or must be zero if this is first report filed.) ..... \$ 26,577.00

**ADD TOTAL MONEY TAKEN IN THIS PERIOD**

Schedule A: Cash Contributions total (Attach Schedule A) ..... 2,698.28

Schedule C: Fund-raising Events total (Attach Schedule C)..... \_\_\_\_\_

Schedule F: Loans Received total (Attach Schedule F)..... \_\_\_\_\_

Schedule H: Total Sales of Campaign Property (Attach Schedule H) ..... \_\_\_\_\_

(Schedule H applies to Candidates' Committees Only)

SUB-TOTAL ..... \$ 29,275.28

**SUBTRACT TOTAL MONEY SPENT THIS PERIOD**

Schedule B: Expenditures total (Attach Schedule B) ..... 16,500.00

Schedule F: Loan Repayments total (Attach Schedule F) ..... \_\_\_\_\_

**CASH ON HAND** at the end of this reporting period (if final report, balance must be zero) (Attach DR-3) ..... \$ 12,775.28

---

UNPAID BILLS (From Schedule D - Attach Schedule D) ..... \$ \_\_\_\_\_

IN KIND CONTRIBUTIONS (From Schedule E - Attach Schedule E)..... \$ \_\_\_\_\_

OUTSTANDING LOANS (From Schedule F - Attach Schedule F)..... \$ \_\_\_\_\_

**CANDIDATE COMMITTEES ONLY:**

CONSULTANT BREAKDOWN (Schedule G Attached?) ..... YES \_\_\_\_\_ NO \_\_\_\_\_

VALUE OF CAMPAIGN PROPERTY (From Schedule H - Attach Schedule H) ..... \$ \_\_\_\_\_

*[Handwritten signature]*

# CORRECTED COPY

FOR INSTRUCTIONS, SEE BACK OF FORM

<b>SCHEDULE</b> <b>B</b> <small>(REV. 02/98)</small>	<b>MONETARY</b> <b>EXPENDITURES</b>
<input type="checkbox"/> CHECK THIS BOX IF AMENDING FORM	

## EXPENDITURES - MONEY SPENT FROM COMMITTEE ACCOUNT

STATE PAC COMMITTEES: NOTE: FOR CONTRIBUTIONS MADE TO STATEWIDE OR LEGISLATIVE CANDIDATES, LIST THE CANDIDATE IDENTIFICATION NUMBER IN THE DESIGNATED COLUMN AND THE PAC CHECK NUMBER FOR EACH EXPENDITURE. A LIST OF ID NUMBERS IS AVAILABLE FROM THE IOWA ETHICS & CAMPAIGN DISCLOSURE BOARD.

COMMITTEE NAME (Must be same as on Statement of Organization)  
 Hy-Vee, Inc. Employees' Political Action Committee

DATE EXPENDED (MM/DD/YY)	CANDIDATE ID NUMBER (if applicable) AND PAC CHECK NUMBER	NAME AND ADDRESS TO WHOM EXPENDITURE (Disbursement) WAS MADE	PURPOSE (CANDIDATES SEE BELOW & ENTER 1,2,3)	AMOUNT EXPENDED
10-12-01	ID# CK# 1164	Republican Party of Iowa 5216 Locust Ave Des Moines, IA 50266	Iowa Republican Party (1)	\$ 1,000.00
10-31-01	ID# CK# 1165	Food Pac 655 15th Street, N.W. Washington, D.C. 20005-5701	PAC TO PAC (1)	2500.00
11-24-01	ID# 5076 CK# 1166	Fowans for V. Isack/Palerson PO Box 958 Des Moines, IA 50304	Iowa Governor (1)	10,000.00
	ID# CK# 1167	Voided	( )	0
11-28-01	ID# 142 CK# 1168	Michael Grunstal 220 Bennett Ave Council Bluffs, IA 51503	Iowa Senate (1) Dist. 42	500.00
11-28-01	ID# 1083 CK# 1169	Mike Sexton 2202 Ogden Ave Rockwell City, IA 50579 Voided	Iowa Senate (1) Dist 7	250.00
11-28-01	ID# 806 CK# 1170	Bob Brunkhorst 419 3rd Ave SW Waverly, IA 50677	Iowa House (1) Dist. 23	250.00
SUB-TOTAL 14,500.00				\$ 14,250.00
TOTAL (# last page of this schedule)				\$

**THIS BOX APPLIES TO CANDIDATES' COMMITTEES ONLY:**

Campaign funds may be used only for:  
 (1) campaign purposes,  
 (2) constituency expenses, and  
 (3) educational and other expenses associated with duties of office.

Please insert the applicable number in the purpose column for each expenditure.

Purchases of certain campaign property costing \$500 or more must also be inventoried on Schedule H. (Refer to Schedule H instructions.)

Expenditures to persons/entities providing consulting, advertising, fund-raising, polling, managing, organizing services must also be detail itemized on Schedule G by the amount, purpose, and date of each type of expenditure made by the person/entity on behalf of the candidate's committee. (Refer to Schedule G instructions and Iowa Code 56.6(3)(i).)



**CORRECTED COPY**

**EXPENDITURES - MONEY SPENT FROM COMMITTEE ACCOUNT**

<b>SCHEDULE</b> <b>B</b> <small>(Rev. 02/96)</small>	<b>MONETARY</b> <b>EXPENDITURES</b>
<input type="checkbox"/> CHECK THIS BOX IF AMENDING FORM	

STATE PAC COMMITTEES: NOTE: FOR CONTRIBUTIONS MADE TO STATEWIDE OR LEGISLATIVE CANDIDATES, LIST THE CANDIDATE IDENTIFICATION NUMBER IN THE DESIGNATED COLUMN AND THE PAC CHECK NUMBER FOR EACH EXPENDITURE. A LIST OF ID NUMBERS IS AVAILABLE FROM THE IOWA ETHICS & CAMPAIGN DISCLOSURE BOARD.

COMMITTEE NAME (Must be same as on Statement of Organization)

By-Vee, Inc. Employees' Political Action Committee

DATE EXPENDED (MM/DD/YR)	CANDIDATE ID NUMBER (if applicable) AND PAC CHECK NUMBER	NAME AND ADDRESS TO WHOM EXPENDITURE (Disbursement) WAS MADE	PURPOSE (CANDIDATES SEE BELOW & ENTER 1,2,3)	AMOUNT EXPENDED
11-28-01	ID# 1013 CK# 1171	Frank Chiedo 417 Burnham Ave Voided Des Moines, IA 50315	Iowa House (1) Dist 67	250.00 \$
11-28-01	ID# 656 CK# 1172	James Hahn 805 West 4th St. Muscatine, IA 52761	Iowa House (1) Dist. 49	250.00
11-28-01	ID# 987 CK# 1173	Geri Huser 213 7th St. NW Altoona, IA 50009	Iowa House (1) Dist. 66	250.00
	ID# CK# 1174	Voided	( )	0
	ID# CK# 1175	Voided	( )	0
	ID# CK# 1176	Voided	( )	0
	ID# CK# 1177	Voided	( )	0
SUB-TOTAL 750.00				\$
TOTAL (if last page of this schedule)				\$

**THIS BOX APPLIES TO CANDIDATES' COMMITTEES ONLY:**

Campaign funds may be used only for:  
 (1) campaign purposes.  
 (2) constituency expenses, and  
 (3) educational and other expenses associated with duties of office.

Please insert the applicable number in the purpose column for each expenditure.

Purchases of certain campaign property costing \$500 or more must also be inventoried on Schedule H. (Refer to Schedule H instructions.)

Expenditures to persons/entities providing consulting, advertising, fund-raising, polling, managing, organizing services must also be detail itemized on Schedule G by the amount, purpose, and date of each type of expenditure made by the person/entity on behalf of the candidate's committee. (Refer to Schedule G instructions and Iowa Code 56.6(3)(1).)

FOR INSTRUCTIONS, SEE BACK OF FORM

**EXPENDITURES - MONEY SPENT FROM COMMITTEE ACCOUNT**

<b>SCHEDULE</b> <b>B</b> (Rev. 0296)	<b>MONETARY</b> <b>EXPENDITURES</b>
<input type="checkbox"/> CHECK THIS BOX IF AMENDING FORM	

STATE PAC COMMITTEES: NOTE FOR CONTRIBUTIONS MADE TO STATEWIDE OR LEGISLATIVE CANDIDATES, LIST THE CANDIDATE IDENTIFICATION NUMBER IN THE DESIGNATED COLUMN AND THE PAC CHECK NUMBER FOR EACH EXPENDITURE. A LIST OF ID NUMBERS IS AVAILABLE FROM THE IOWA ETHICS & CAMPAIGN DISCLOSURE BOARD.

COMMITTEE NAME (Must be same as on Statement of Organization)  
 Ry-Vee, Inc. Employees' Political Action Committee

DATE EXPENDED (MM/DD/YY)	CANDIDATE ID NUMBER (if applicable) AND PAC CHECK NUMBER	NAME AND ADDRESS TO WHOM EXPENDITURE (Disbursement) WAS MADE	PURPOSE (CANDIDATES SEE BELOW & ENTER 1,2,3)	AMOUNT EXPENDED
	ID# CK# 1178	Voided	( )	\$ 0
	ID# CK# 1179	Voided	( )	\$ 0
12-12-01	ID# 662 CK# 1180	Rants for State House 2740 South Glass St. Sioux City, IA 51106	Iowa House Dist. 3 (1)	500.00
12-11-01	ID# 5078 CK# 1181	Citizens for Segrist 204 Lori Lane Council Bluffs, IA 51503	Iowa House Dist 84 (1)	500.00
12-11-01	ID# 315 CK# 1182	Philip Wise 503 Grand Keokuk, IA 52633	Iowa House Dist. 98 (1)	250.00
	ID# CK#		( )	
	ID# CK#		( )	

SUB-TOTAL \$ 1250.00  
 TOTAL (if last page of this schedule) \$ 16,500.00

**CORRECTED COPY**

**THIS BOX APPLIES TO CANDIDATES' COMMITTEES ONLY:**

Campaign funds may be used only for:  
 (1) campaign purposes,  
 (2) constituency expenses, and  
 (3) educational and other expenses associated with duties of office.

Please insert the applicable number in the purpose column for each expenditure.

Purchases of certain campaign property costing \$500 or more must also be inventoried on Schedule H. (Refer to Schedule H instructions.)

Expenditures to persons/entities providing consulting, advertising, fund-raising, polling, managing, organizing services must also be detail itemized on Schedule G by the amount, purpose, and date of each type of expenditure made by the person/entity on behalf of the candidate's committee. (Refer to Schedule G instructions and Iowa Code 58.6(3)(b).)

**OUTSTANDING CHECKS**

1169 250.00

1171 250.00

**CORRECTED COPY**

BANK BALANCE

O/S CHECKS

O/S DEPOSITS

O/S DEPOSITS

TOTAL

CHECKING BALANCE

VARIANCE

DECEMBER, 2001

13,275.28

(500.00)

0.00

0.00

12,775.28

12,775.28

0.00

TOTAL

500.00

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

Hand Delivered

Date of Receipt

First Class Mail

POSTMARKED

Registered/Certified Mail

POSTMARKED (R/C)

2-13-02

No Postmark

Postmark Illegible

Received from the House office of Records and Registration

Date of Receipt

Received from the Senate Office of Public Records

Date of Receipt

Other ( Specify):

Postmarked

and/or Date of Receipt

Electronic Filing

*ser*  
PREPARER

3-1-02  
DATE PREPARED