

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5 INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

ADDRESS (number and street) 1401 H STREET NW SUITE 1200 WASHINGTON DC 20005 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER C C00105981 3. IS THIS REPORT NEW (N) OR AMENDED (A) [X] NEW (N) [ ] AMENDED (A)

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q1) July 15 Quarterly Report (Q2) October 15 Quarterly Report (Q3) January 31 Year-End Report (YE) July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER) (b) Monthly Report Due On: Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only) Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) (c) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R) Convention (12C) Special (12S) Election on M M M / D D D / Y Y Y Y Y Y in the State of (d) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S) Election on M M M / D D D / Y Y Y Y Y Y in the State of

5. Covering Period 11 / 01 / 2013 through 11 / 30 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Type or Print Name of Treasurer Mr Peter Gallary

Signature of Treasurer Mr Peter Gallary [Electronically Filed] Date 12 / 19 / 2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2013"/>	<input type="text" value="520994.86"/>	<input type="text" value="520994.86"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="181755.65"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="72949.02"/>	<input type="text" value="695723.47"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="254704.67"/>	<input type="text" value="1216718.33"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="36633.68"/>	<input type="text" value="998647.34"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="218070.99"/>	<input type="text" value="218070.99"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Report Covering the Period: From:  /  /  To:  /  /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	72699.02	640999.78
(ii) Unitemized .....	250.00	1221.60
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	72949.02	642221.38
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	45000.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	72949.02	687221.38
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	495.89
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	8000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	6.20
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	72949.02	695723.47
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	72949.02	695723.47

**DETAILED SUMMARY PAGE**

of Disbursements

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Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	633.68	2647.34
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	633.68	2647.34
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	36000.00	996000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	36633.68	998647.34
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	36633.68	998647.34

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	72949.02	687221.38
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	72949.02	687221.38
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	633.68	2647.34
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	495.89
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	633.68	2151.45

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Mr. Basil Fox**  
Full Name (Last, First, Middle Initial)

Mailing Address One Franklin Pkwy.

City San Mateo State CA Zip Code 94403-1906

FEC ID number of contributing federal political committee. **C**

Name of Employer Franklin Templeton Investor Services L Occupation President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 05 / 2013  
**Transaction ID : 11994383**

Amount of Each Receipt this Period  
 1000.00

**B. Mr. Julian F Sluyters**  
Full Name (Last, First, Middle Initial)

Mailing Address 4643 S. Ulster St. 6th Fl.

City Denver State CO Zip Code 80237-2853

FEC ID number of contributing federal political committee. **C**

Name of Employer Allianz Global Investors U.S. LLC Occupation President & COO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 2000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 11 / 2013  
**Transaction ID : 12031476**

Amount of Each Receipt this Period  
 2000.00

**C. Doug Forsyth**  
Full Name (Last, First, Middle Initial)

Mailing Address 600 West Broadway

City San Diego State CA Zip Code 92101-3311

FEC ID number of contributing federal political committee. **C**

Name of Employer Allianz Global Investors Occupation CIO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 11 / 2013  
**Transaction ID : 12031478**

Amount of Each Receipt this Period  
 1500.00

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	4500.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 7 OF 31
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial) <b>A. Mr. Kenneth A Lewis</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 12 / 2013 <b>Transaction ID : 12032942</b>
Mailing Address One Franklin Pkwy.		Amount of Each Receipt this Period 500.00
City San Mateo	State CA	Zip Code 94010-6140
FEC ID number of contributing federal political committee. C		
Name of Employer Franklin Resources, Inc.	Occupation EVP & CFO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) <b>B. Philip N Russo</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 12 / 2013 <b>Transaction ID : 12032943</b>
Mailing Address 2005 Market Street		Amount of Each Receipt this Period 600.00
City Philadelphia	State PA	Zip Code 19103-7042
FEC ID number of contributing federal political committee. C		
Name of Employer Delaware Investments	Occupation EVP	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 600.00	

Full Name (Last, First, Middle Initial) <b>C. Mr. Mark W Headley</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 13 / 2013 <b>Transaction ID : 12033133</b>
Mailing Address 4 Embarcadero Ctr. Ste. 550		Amount of Each Receipt this Period 4500.00
City San Francisco	State CA	Zip Code 94111-5912
FEC ID number of contributing federal political committee. C		
Name of Employer Matthews International Funds	Occupation Co-CEO, CIO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4500.00	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	5600.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 31
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Mr. G. Paul Matthews**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 301 Main Street  
 Unit 348  
 City San Francisco State CA Zip Code 94105-5032  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Matthews Int'l Capital Mgmt. Occupation Chairman  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 4500.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
 11 / 13 / 2013  
**Transaction ID : 12033134**  
 Amount of Each Receipt this Period  
 4500.00

**B. Mr. George Shevlin**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1401 H St NW  
 City Washington State DC Zip Code 20005-2202  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Investment Company Institute Occupation Political Affairs Officer  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
 11 / 13 / 2013  
**Transaction ID : 12033136**  
 Amount of Each Receipt this Period  
 500.00

**C. Mr. Hugh D McGuirk**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 100 E. Pratt Street  
 City Baltimore State MD Zip Code 21202-1008  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer T. Rowe Price Associates, Inc. Occupation Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt  
 M M / D D / Y Y Y Y Y  
 11 / 13 / 2013  
**Transaction ID : 12039378**  
 Amount of Each Receipt this Period  
 1000.00

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	6000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial) <b>A. Mr. Brian K Reid</b>		Date of Receipt
Mailing Address 1401 H Street NW Suite 1200		<input type="text" value="11"/> / <input type="text" value="14"/> / <input type="text" value="2013"/>
City	State	Zip Code
Washington	DC	20005-2202
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<b>Transaction ID : 12040056</b>
Name of Employer	Occupation	Amount of Each Receipt this Period
Investment Company Institute	Cheif Economist	<input type="text" value="5000.00"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="5000.00"/>	

Full Name (Last, First, Middle Initial) <b>B. Mr. George C. W. Gatch</b>		Date of Receipt
Mailing Address 245 Park Ave. 3rd Fl.		<input type="text" value="11"/> / <input type="text" value="14"/> / <input type="text" value="2013"/>
City	State	Zip Code
New York	NY	10167-0001
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<b>Transaction ID : 12045740</b>
Name of Employer	Occupation	Amount of Each Receipt this Period
J.P. Morgan Asset Management	President & CEO, J.P. Morgan Funds	<input type="text" value="5000.00"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="5000.00"/>	

Full Name (Last, First, Middle Initial) <b>C. Mr. Robert Deutsch</b>		Date of Receipt
Mailing Address 1049 Tilghman Rd		<input type="text" value="11"/> / <input type="text" value="14"/> / <input type="text" value="2013"/>
City	State	Zip Code
Chesterbrook	PA	19087-5879
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	<b>Transaction ID : 12045741</b>
Name of Employer	Occupation	Amount of Each Receipt this Period
J.P. Morgan Fleming Asset Mgmt	Managing Director	<input type="text" value="1000.00"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="1000.00"/>	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<input type="text" value="11000.00"/>
<b>TOTAL</b> This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 10 OF 31
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial) <b>A. Cynthia L. Sweeting</b>			Date of Receipt
Mailing Address 500 E. Broward Blvd			<input type="text" value="11"/> / <input type="text" value="18"/> / <input type="text" value="2013"/>
City State Zip Code Ft Lauderdale FL 33394-3000			<b>Transaction ID : 12053582</b>
FEC ID number of contributing federal political committee. <input type="text" value="C"/>			Amount of Each Receipt this Period
Name of Employer Occupation Templeton Investment Counsel, LLC Executive VP			<input type="text" value="500.00"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	
		<input type="text" value="500.00"/>	

Full Name (Last, First, Middle Initial) <b>B. Mr. Glenn R Richter</b>			Date of Receipt
Mailing Address 333 W. Wacker Dr			<input type="text" value="11"/> / <input type="text" value="18"/> / <input type="text" value="2013"/>
City State Zip Code Chicago IL 60606-1220			<b>Transaction ID : 12053583</b>
FEC ID number of contributing federal political committee. <input type="text" value="C"/>			Amount of Each Receipt this Period
Name of Employer Occupation Nuveen Investments, Inc. Chief Administrative Officer			<input type="text" value="5000.00"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	
		<input type="text" value="5000.00"/>	

Full Name (Last, First, Middle Initial) <b>C. Mr. Thomas S Schreier Jr.</b>			Date of Receipt
Mailing Address 601 Second Ave. South			<input type="text" value="11"/> / <input type="text" value="18"/> / <input type="text" value="2013"/>
City State Zip Code Minneapolis MN 55402-7014			<b>Transaction ID : 12053657</b>
FEC ID number of contributing federal political committee. <input type="text" value="C"/>			Amount of Each Receipt this Period
Name of Employer Occupation Nuveen Investments CEO			<input type="text" value="5000.00"/>
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	
		<input type="text" value="5000.00"/>	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<input type="text" value="10500.00"/>
<b>TOTAL</b> This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Mr. Gifford R Zimmerman**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 333 W. Wacker Dr  
 City Chicago State IL Zip Code 60606-1220  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Nuveen Occupation Managing Dir. & Assoc. General Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 18 / 2013  
**Transaction ID : 12053659**  
 Amount of Each Receipt this Period  
 1000.00

**B. Mr. John MacCarthy**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 333 W. Wacker Dr  
 City Chicago State IL Zip Code 60606-1220  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Nuveen Investments, LLC Occupation SVP and General Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 2500.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 18 / 2013  
**Transaction ID : 12053660**  
 Amount of Each Receipt this Period  
 2500.00

**C. Mr. Charles R Manzoni Jr.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 800 Nicollet Mall BC-MN-H04N  
 City Minneapolis State MN Zip Code 55402-2502  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer FAF Advisors, Inc. Occupation General Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 500.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 18 / 2013  
**Transaction ID : 12053661**  
 Amount of Each Receipt this Period  
 500.00

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	4000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Mr. Kevin J McCarthy**  
Full Name (Last, First, Middle Initial)

Mailing Address 333 W. Wacker Dr

City Chicago State IL Zip Code 60606-1220

FEC ID number of contributing federal political committee. **C**

Name of Employer Nuveen Investments, Inc. Occupation Managing Director

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt 11 / 18 / 2013  
**Transaction ID : 12053662**

Amount of Each Receipt this Period 750.00

**B. Mr. John Miller**  
Full Name (Last, First, Middle Initial)

Mailing Address 1096 Fisher Lane

City Winnetka State IL Zip Code 60093-1513

FEC ID number of contributing federal political committee. **C**

Name of Employer Nuveen Asset Management, LLC Occupation CIO

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt 11 / 18 / 2013  
**Transaction ID : 12053663**

Amount of Each Receipt this Period 1500.00

**C. Mr. Jeffrey Cusack**  
Full Name (Last, First, Middle Initial)

Mailing Address 333 W. Wacker Dr.

City Chicago State IL Zip Code 60606-1220

FEC ID number of contributing federal political committee. **C**

Name of Employer Nuveen Investments Occupation Managing Director, Head of Retail Dist

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt 11 / 18 / 2013  
**Transaction ID : 12053664**

Amount of Each Receipt this Period 500.00

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 2750.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Ms. Lorna C Ferguson**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 333 W. Wacker Dr  
 City Chicago State IL Zip Code 60606-1220  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Nuveen Investments, LLC Occupation Managing Director  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 400.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 18 / 2013  
**Transaction ID : 12053665**  
 Amount of Each Receipt this Period  
 400.00

**B. Mr. William Huffman**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 333 W. Wacker Dr  
 City Chicago State IL Zip Code 60606-1220  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Nuveen Asset Management Occupation Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1500.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 18 / 2013  
**Transaction ID : 12053666**  
 Amount of Each Receipt this Period  
 1500.00

**C. Mr. Carl Katerndahl**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 333 W. Wacker Dr.  
 City Chicago State IL Zip Code 60606-1220  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Nuveen Occupation Executive Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 18 / 2013  
**Transaction ID : 12053667**  
 Amount of Each Receipt this Period  
 1000.00

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	2900.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 31
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Mr. William Adams IV**  
Full Name (Last, First, Middle Initial)

Mailing Address 333 W. Wacker Dr

City Chicago      State IL      Zip Code 60606-1220

FEC ID number of contributing federal political committee. **C**

Name of Employer Nuveen Investments, Inc.      Occupation Executive Vice President

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
3000.00

Date of Receipt  
11 / 18 / 2013  
**Transaction ID : 12053668**

Amount of Each Receipt this Period  
3000.00

**B. Mr. John P Amboian**  
Full Name (Last, First, Middle Initial)

Mailing Address 333 W. Wacker Dr.

City Chicago      State IL      Zip Code 60606-1218

FEC ID number of contributing federal political committee. **C**

Name of Employer Nuveen Investments      Occupation CEO

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
11 / 18 / 2013  
**Transaction ID : 12053669**

Amount of Each Receipt this Period  
5000.00

**C. Wendy Otis Ban**  
Full Name (Last, First, Middle Initial)

Mailing Address 715 Windsor Rd

City Glenview      State IL      Zip Code 60025-4454

FEC ID number of contributing federal political committee. **C**

Name of Employer Nuveen      Occupation financial professional

Receipt For:  
 Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
500.00

Date of Receipt  
11 / 18 / 2013  
**Transaction ID : 12053670**

Amount of Each Receipt this Period  
500.00

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 8500.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Ms. Margo L Cook**  
Full Name (Last, First, Middle Initial)  
Mailing Address 333 W. Wacker Dr  
City Chicago State IL Zip Code 60606-1220  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Nuveen Occupation Sr. Man. Director & Head of Inst. Bus.  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 11 / 18 / 2013  
**Transaction ID : 12053671**  
Amount of Each Receipt this Period 5000.00

**B. Ms. Amy Lancellotta**  
Full Name (Last, First, Middle Initial)  
Mailing Address 1401 H Street NW Suite 1200  
City Washington State DC Zip Code 20005-2202  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Investment Company Institute Occupation Managing Director  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 1500.00

Date of Receipt 11 / 21 / 2013  
**Transaction ID : 12055191**  
Amount of Each Receipt this Period 1500.00

**C. Norman John Boersma**  
Full Name (Last, First, Middle Initial)  
Mailing Address PO Box N-7759  
City Nassau State Zip Code  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Templeton Global Advisors Limited Occupation President  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 500.00

Date of Receipt 11 / 21 / 2013  
**Transaction ID : 12055480**  
Amount of Each Receipt this Period 500.00

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 7000.00  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 16 OF 31
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Mr. Udo Frank**  
Full Name (Last, First, Middle Initial)

Mailing Address 4 Embarcadero Center  
28th Floor

City San Francisco State CA Zip Code 94111-5988

FEC ID number of contributing federal political committee. **C**

Name of Employer RCM Capital Management LLC Occupation Chief Executive Officer

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
11 / 21 / 2013

**Transaction ID : 12055485**

Amount of Each Receipt this Period  
1500.00

**B. Mr. Conrad Herrmann**  
Full Name (Last, First, Middle Initial)

Mailing Address One Franklin Pkwy.

City San Mateo State CA Zip Code 94403-1906

FEC ID number of contributing federal political committee. **C**

Name of Employer Franklin Resources, Inc. Occupation Portfolio Manager

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
11 / 22 / 2013

**Transaction ID : 12057311**

Amount of Each Receipt this Period  
500.00

**C. Chris Mclsaac**  
Full Name (Last, First, Middle Initial)

Mailing Address 28 Papermill Rd.

City Newtown Square State PA Zip Code 19073-1804

FEC ID number of contributing federal political committee. **C**

Name of Employer Vanguard Occupation Managing Director

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ 2000.00

Date of Receipt  
M M / D D / Y Y Y Y Y  
11 / 24 / 2013

**Transaction ID : 12057746**

Amount of Each Receipt this Period  
1000.00

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	3000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Ms. Martha G King**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address PO Box 2600  
 City State Zip Code  
 Valley Forge PA 19482-2600  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Vanguard Group, Inc. Principal  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 1000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 25 / 2013  
**Transaction ID : 12058177**  
 Amount of Each Receipt this Period  
 1000.00

**B. Mr. James E Ross**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address One Lincoln St.  
 30th Floor  
 City State Zip Code  
 Boston MA 02111-2901  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 State Street Global Advisors Senior Managing Director  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 5000.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 27 / 2013  
**Transaction ID : 12059156**  
 Amount of Each Receipt this Period  
 5000.00

**C. Mr. Mike McNamee**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1401 H Street NW  
 Suite 1200  
 City State Zip Code  
 Washington DC 20005-2202  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Investment Company Institute Senior Director, Public Communications  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 1200.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 30 / 2013  
**Transaction ID : PR12724557409**  
 Amount of Each Receipt this Period  
 150.00  
 P/R Deduction (\$50.00 Bi-Weekly)

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 6150.00  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 31
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

**A. Mr. Paul S Stevens**  
Full Name (Last, First, Middle Initial)

Mailing Address 630 South Fairfax St

City Alexandria State VA Zip Code 22314-3834

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 4545.60

Date of Receipt 11 / 30 / 2013  
**Transaction ID : PR5126117409**

Amount of Each Receipt this Period 568.20

P/R Deduction (\$189.40 Bi-Weekly)

**B. Ms. Kathleen C Joaquin**  
Full Name (Last, First, Middle Initial)

Mailing Address 1401 H St NW

City Washington State DC Zip Code 20005-2202

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation Chief Industry Operations Officer

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 923.28

Date of Receipt 11 / 30 / 2013  
**Transaction ID : PR5158027409**

Amount of Each Receipt this Period 115.41

P/R Deduction (\$38.47 Bi-Weekly)

**C. Ms. Karrie McMillan**  
Full Name (Last, First, Middle Initial)

Mailing Address 6411Furlong Rd

City McLean State VA Zip Code 22101-4133

FEC ID number of contributing federal political committee. **C**

Name of Employer Investment Company Institute Occupation General Counsel

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ 923.28

Date of Receipt 11 / 30 / 2013  
**Transaction ID : PR5226057409**

Amount of Each Receipt this Period 115.41

P/R Deduction (\$38.47 Bi-Weekly)

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	799.02
<b>TOTAL</b> This Period (last page this line number only).....▶	72699.02

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Wachovia**

Mailing Address PO Box 40028

City Roanoke State VA Zip Code 24022

Purpose of Disbursement  
Bank Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 12112541**

Amount of Each Disbursement this Period

Bank Fees

Full Name (Last, First, Middle Initial)

**B. PayPal**

Mailing Address 2211 North 1st Street

City San Jose State CA Zip Code 95131

Purpose of Disbursement  
PayPal Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 12112545**

Amount of Each Disbursement this Period

PayPal Fees

Full Name (Last, First, Middle Initial)

**C. PayPal**

Mailing Address 2211 North 1st Street

City San Jose State CA Zip Code 95131

Purpose of Disbursement  
PayPal Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 12112558**

Amount of Each Disbursement this Period

PayPal Fees

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. PayPal**

Mailing Address 2211 North 1st Street

City San Jose State CA Zip Code 95131

Purpose of Disbursement  
PayPal Fees

001

Candidate Name

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
11 / 14 / 2013

**Transaction ID : 12112559**

Amount of Each Disbursement this Period

107.80

PayPal Fees

Full Name (Last, First, Middle Initial)

**B. PayPal**

Mailing Address 2211 North 1st Street

City San Jose State CA Zip Code 95131

Purpose of Disbursement  
PayPal Fees

001

Candidate Name

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
11 / 18 / 2013

**Transaction ID : 12112560**

Amount of Each Disbursement this Period

170.30

PayPal Fees

Full Name (Last, First, Middle Initial)

**C. PayPal**

Mailing Address 2211 North 1st Street

City San Jose State CA Zip Code 95131

Purpose of Disbursement  
PayPal Fees

001

Candidate Name

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
11 / 22 / 2013

**Transaction ID : 12112561**

Amount of Each Disbursement this Period

37.80

PayPal Fees

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

315.90

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. PayPal**

Mailing Address 2211 North 1st Street

City San Jose State CA Zip Code 95131

Purpose of Disbursement  
PayPal Fee

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 12112562**

Amount of Each Disbursement this Period

PayPal Fee

Full Name (Last, First, Middle Initial)

**B. PayPal**

Mailing Address 2211 North 1st Street

City San Jose State CA Zip Code 95131

Purpose of Disbursement  
PayPal Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 12112563**

Amount of Each Disbursement this Period

PayPal Fees

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

Amount of Each Disbursement this Period

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Buck Mckeon For Congress**

Mailing Address 23942 Lyons Ave #105

City Santa Clarita State CA Zip Code 91321

Purpose of Disbursement

011

Candidate Name

**Rep. Howard P. McKeon**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: CA District: 25

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			06			2013			

**Transaction ID : 12020732**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Roskam For Congress Committee**

Mailing Address P. O. Box 713

City Wheaton State IL Zip Code 60187

Purpose of Disbursement

011

Candidate Name

**Rep. Peter Roskam**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: IL District: 06

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			06			2013			

**Transaction ID : 12020733**

Amount of Each Disbursement this Period

3000.00
---------

Full Name (Last, First, Middle Initial)

**C. Chris Gibson For Congress**

Mailing Address PO Box 234

City Saratoga Springs State NY Zip Code 12866

Purpose of Disbursement

011

Candidate Name

**Rep. Chris Gibson**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: NY District: 20

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			06			2013			

**Transaction ID : 12020739**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

5000.00
---------

**TOTAL** This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Bill Nelson For U S Senate**

Mailing Address 972 W Whitmire Drive

City Melbourne State FL Zip Code 32935

Purpose of Disbursement

011

Candidate Name

**Sen. Bill Nelson**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2018  
 Primary  General  
 Other (specify) ▼

State: FL District:

Date of Disbursement

MM / DD / YYYY  
11 / 06 / 2013

**Transaction ID : 12020741**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**B. Walberg For Congress**

Mailing Address PO Box 1362

City Jackson State MI Zip Code 49204

Purpose of Disbursement

011

Candidate Name

**Rep. Tim Walberg**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MI District: 07

Date of Disbursement

MM / DD / YYYY  
11 / 06 / 2013

**Transaction ID : 12020745**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Committee To Re-Elect Linda Sanchez**

Mailing Address 50 E St, Se  
Suite 1

City Washington State DC Zip Code 20003

Purpose of Disbursement

011

Candidate Name

**Rep. Linda T. Sanchez**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: CA District: 39

Date of Disbursement

MM / DD / YYYY  
11 / 06 / 2013

**Transaction ID : 12020748**

Amount of Each Disbursement this Period

1000.00

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

3000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Mike McIntyre For Congress**

Mailing Address P.O. Box 1

City Lumberton State NC Zip Code 28359

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Mike McIntyre**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: NC District: 07

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			06			2013			

**Transaction ID : 12020751**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Rothfus For Congress**

Mailing Address PO Box 435

City Sewickley State PA Zip Code 15143

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Keith Rothfus**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: PA District: 12

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			06			2013			

**Transaction ID : 12020752**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Pascrell For Congress**

Mailing Address P.O. Box 640

City Totowa State NJ Zip Code 07511

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. William J. Pascrell Jr.**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: NJ District: 08

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			06			2013			

**Transaction ID : 12020753**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

3000.00
---------

**TOTAL** This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Kline For Congress**

Mailing Address 101 W Burnsville Pkwy Suite 104

City Burnsville State MN Zip Code 55337

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. John P. Kline**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MN District: 02

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	6		2	0	1	3

**Transaction ID : 12020754**

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0

Full Name (Last, First, Middle Initial)

**B. Kind For Congress Committee**

Mailing Address 205 5th Avenue South

City La Crosse State WI Zip Code 54601

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Ron Kind**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: WI District: 03

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	6		2	0	1	3

**Transaction ID : 12020755**

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0

Full Name (Last, First, Middle Initial)

**C. OrrinPAC**

Mailing Address 175 S. West Temple  
Suite 650

City Salt Lake City State UT Zip Code 84101

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**OrrinPAC**

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	6		2	0	1	3

**Transaction ID : 12020756**

Amount of Each Disbursement this Period

5	0	0	0	0	0	0	0	0	0

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

7	0	0	0	0	0	0	0	0	0

7	0	0	0	0	0	0	0	0	0

# SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

### A. Road to Freedom Political Action Committee

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	6		2	0	1	3

Mailing Address 217 3rd Street, SE

**Transaction ID : 12020757**

City Washington State DC Zip Code 20003

Amount of Each Disbursement this Period

2	0	0	0	0	0	0	0	0	0

Purpose of Disbursement

011
Category/Type

Candidate Name

**Road to Freedom Political Action Committee**

Office Sought:  House  Senate  President

Disbursement For:  Primary  General  Other (specify) ▼

State: District:

Full Name (Last, First, Middle Initial)

### B. Denny Heck For Congress

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	6		2	0	1	3

Mailing Address PO Box 235

**Transaction ID : 12020758**

City Olympia State WA Zip Code 98507

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0

Purpose of Disbursement

011
Category/Type

Candidate Name

**Mr. Dennis Heck**

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: WA District: 10

Full Name (Last, First, Middle Initial)

### C. Cleaver For Congress

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
1	1		0	6		2	0	1	3

Mailing Address 4801 Main Street, Suite 1000

**Transaction ID : 12020759**

City Kansas City State MO Zip Code 64112

Amount of Each Disbursement this Period

1	0	0	0	0	0	0	0	0	0

Purpose of Disbursement

011
Category/Type

Candidate Name

**Rep. Emanuel Cleaver II**

Office Sought:  House  Senate  President

Disbursement For: 2014  Primary  General  Other (specify) ▼

State: MO District: 05

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

4	0	0	0	0	0	0	0	0	0

4	0	0	0	0	0	0	0	0	0

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Cleaver For Congress**

Mailing Address 4801 Main Street, Suite 1000

City Kansas City State MO Zip Code 64112

Purpose of Disbursement

011

Candidate Name

**Rep. Emanuel Cleaver II**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MO District: 05

Date of Disbursement

MM / DD / YYYY  
11 / 06 / 2013

**Transaction ID : 12020760**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**B. Rothfus For Congress**

Mailing Address PO Box 435

City Sewickley State PA Zip Code 15143

Purpose of Disbursement

011

Candidate Name

**Rep. Keith Rothfus**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: PA District: 12

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051250**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Synergy PAC**

Mailing Address 6849 OLD DOMINION DRIVE SUITE 222

City MCLEAN State VA Zip Code 22101

Purpose of Disbursement

011

Candidate Name

**Synergy PAC**

Category/  
Type

Office Sought:  House  
 Senate  
 President

Disbursement For:  
 Primary  General  
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051252**

Amount of Each Disbursement this Period

2000.00

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

4000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Friends Of Erik Paulsen**

Mailing Address P.O. Box 44369  
250 Prairie Center Drive

City Eden Prairie State MN Zip Code 55344

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Erik P. Paulsen**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MN District: 03

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051253**

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

**B. Friends Of Erik Paulsen**

Mailing Address P.O. Box 44369  
250 Prairie Center Drive

City Eden Prairie State MN Zip Code 55344

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Erik P. Paulsen**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MN District: 03

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051254**

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

**C. Mike Kelly For Congress**

Mailing Address PO Box 476

City Lyndora State PA Zip Code 16045

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Mike Kelly**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: PA District: 03

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051283**

Amount of Each Disbursement this Period

1000.00

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

3500.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Hoyer For Congress**

Mailing Address 700 13th Street, Nw  
Suite 600

City Washington State DC Zip Code 20005

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Steny H. Hoyer**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: MD District: 05

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051284**

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

**B. Jim Gerlach For Congress Committee**

Mailing Address PO Box 87

City Uwchland State PA Zip Code 19480

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. James W. Gerlach**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: PA District: 06

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051291**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Virginia Foxx For Congress**

Mailing Address P.O. Box 1100

City Clemmons State NC Zip Code 27012

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Virginia Foxx**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: NC District: 05

Date of Disbursement

MM / DD / YYYY  
11 / 15 / 2013

**Transaction ID : 12051292**

Amount of Each Disbursement this Period

1000.00

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

3500.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Martha Roby For Congress**

Mailing Address PO Box 195

City Montgomery State AL Zip Code 36101

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Martha Roby**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: AL District: 02

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			15			2013			

**Transaction ID : 12051293**

Amount of Each Disbursement this Period

500.00
--------

Full Name (Last, First, Middle Initial)

**B. Martha Roby For Congress**

Mailing Address PO Box 195

City Montgomery State AL Zip Code 36101

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Martha Roby**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

State: AL District: 02

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			15			2013			

**Transaction ID : 12051294**

Amount of Each Disbursement this Period

500.00
--------

Full Name (Last, First, Middle Initial)

**C. Courtney For Congress**

Mailing Address 38 Risley Road

City Vernon State CT Zip Code 06066

Purpose of Disbursement

011

Category/  
Type

Candidate Name

**Rep. Joseph D. Courtney**

Office Sought:  House  
 Senate  
 President

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼  
2014 Convention

State: CT District: 02

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
11			15			2013			

**Transaction ID : 12051295**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

2000.00
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**TOTAL** This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)**

Full Name (Last, First, Middle Initial)

**A. Guthrie For Congress**

Mailing Address PO Box 9639

City Bowling Green State KY Zip Code 42102

Purpose of Disbursement

011
Category/ Type

Candidate Name

**Rep. S. Brett Guthrie**

Office Sought:  House  
 Senate  
 President  
State: KY District: 02

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		15		2013

**Transaction ID : 12051297**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/ Type

Candidate Name

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Amount of Each Disbursement this Period

--

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/ Type

Candidate Name

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

1000.00
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36000.00
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