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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

101111111111111111111111111111111111111	" Other Than All Addi	onzed committee	Office Use Only					
NAME OF T COMMITTEE (in full)	YPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5					
Novartis Corporation Po	olitical Action Commit	t ee						
ADDRESS (number and street)	801 Pennsylvania Ave. NW S	uite 700						
▼								
Check if different than previously reported. (ACC)	Washington		DC 20004-2608 -					
2. FEC IDENTIFICATION NUM	MBER ▼ CITY	′▲	STATE ▲ ZIP CODE ▲					
C C00033969	3. IS	THIS NEW (N) OR	AMENDED (A)					
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5) Sun 20 (M6) May 20 (M6)	(Non-Election Year Only)					
(a) Quarterly Reports:			(Non-Election Year Only)					
April 15 Quarterly Report (Q1		20 (M4) Jul 20 (M7)	Oct 20 (M10) Jan 31 (YE)					
July 15 Quarterly Report (Q2	(C) 12-Day	Primary (12P)	General (12G) Runoff (12R)					
October 15 Quarterly Report (Q3	Report for the:	Convention (12C)	Special (12S)					
January 31 Year-End Report (YE) Election	on 11 06	in the State of DC					
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)					
Termination Report (TER)	Election	on M = M / D = D /	in the State of					
5. Covering Period 10	01 / 2018	through 10	17 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
I certify that I have examined this	Report and to the best of r	my knowledge and belief it is	true, correct and complete.					
Type or Print Name of Treasurer	O'Neail, Shawn, , ,							
Signature of Treasurer	l, Shawn, , ,	[Electronically Filed]	Date 10 / 23 / 2018					
NOTE: Submission of false, erroned	ous, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109					
Office Use			FEC FORM 3X Rev. 05/2016					

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 10 01 2018 To: 10 17 2018

		COLUMN A This Period	COLUMN B Calendar Year-to-Date		
6.	(a) Cash on Hand January 1, 2018		115984.18		
	(b) Cash on Hand at Beginning of Reporting Period	78006.44			
	(c) Total Receipts (from Line 19)	9734.68	205387.69		
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	87741.12	321371.87		
7.	Total Disbursements (from Line 31)	35500.00	269130.75		
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	52241.12	52241.12		
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	mittee (Itemize all on			
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00			

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

10 2018 10 2018 17 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 6953.93 86979.45 (i) Itemized (use Schedule A)..... 2780.75 113408.24 (ii) Unitemized (iii) TOTAL (add 200387.69 9734.68 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 200387.69 9734.68 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other 5000.00 0.00 Political Committees..... 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 9734.68 205387.69 20. Total Federal Receipts 9734.68 205387.69 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Operating Expenditures: (a) Allocated Federal/Non-Federal		Saistida Tour to Bute
Activity (from Schedule H4) (i) Federal Share	0.00	0.00
(i) I edelal State	4 4 4	1 1 1 1 1 1 1 1
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	130.75
(c) Total Operating Expenditures	0.00	130.75
(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	0.00	130.73
Committees	0.00	0.00
Federal Candidates/Committees and Other Political Committees	24000.00	232000.00
Independent Expenditures (use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))	0.00	0.00
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	4 4	
(such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
Other Disbursements (Including		
Non-Federal Donations)	11500.00	37000.00
Federal Election Activity (52 U.S.C. § 30101(20) (a) Allocated Federal Election Activity (from Schedule H6))	
(i) Federal Share	0.00	0.00
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00
Entirely With Federal Funds	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	35500.00	269130.75
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	35500.00	269130.75

DETAILED SUMMARY PAGE

of Disbursements

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III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	9734.68	200387.69
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	9734.68	200387.69
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	130.75
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	130.75

Use separate schedule(s)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ackerman Jr., Robert, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337496 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head REFS Alcon & Head REFS Ameri **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Algozzine, Thomas, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337654 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Althide, Julie, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337655 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - BELLEVILLE IL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ammon, Brian, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337497 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Deputy Head NPMR Alcon & SDZ **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Kurt, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337498 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 590.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337662 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Sales Director Pittsburgh Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 103.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Astley, Glenn, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337663 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager- P2P Marketing Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barbier, Pierre, R, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338096 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 201.81 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barkhausen, Susana, V., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337669 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Miami Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 39.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337501 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 991.35 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barninger, Michael, C, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337670 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Director Breast Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 357.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baron, Neilda, A, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337671 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 124.69 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337502 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Billings, Michael, J, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337682 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Director - Global Onc. Portfolio Comm. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bishop, Joni, D, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337686 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blair, Edward, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337688 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Indication Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Booth, Taylor, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337504 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Fed Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 865.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Borill, Troy, L, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337695 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 449.62 Other (specify) 129.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 12 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bortfeld, Daniel, S, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337696 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head HR Operational Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337706 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337718 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 81.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

100 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337505 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Director State Government Affair Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 771.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Caldwell, Julie, D,, Date of Receipt Mailing Address One Health Plaza 10 2018 Ste 725 City State Zip Code Transaction ID: A2018-2337721 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialis** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 661.49 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Callahan Jr, John, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID : A2018-2337722 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Rheumatology Executive Sales Specialis Receipt For: Aggregate Year-to-Date ▼ Primary General 208.00 Other (specify) 125.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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100 14 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Campbell, Kimberley, J, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337624 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Head Oncology Medical Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carl, Kevin, M, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID : A2018-2337728 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Sr. Global Program Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 242.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carpenter, Matthew, D., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337729 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 46.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337506 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Country Head Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 4038.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Catalano, David, J, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337734 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Prod Dir Brand Max & Est. Meds Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chastain, James, E, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337602 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 211.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337507 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1403.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Clark, Jeanne, K,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS Technical Operations Expert DR** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337741 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1617.00 Other (specify) 183.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cohen, Seth, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337511 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Business Insights - Cardiovascular Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 441.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Colpitts, Scott, G,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337745 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 22.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Head of Facilities & Utility Maint. (A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 396.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Conley, Michael, A, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337746 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Vice President Trade Ops & Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) 66.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Connors, Elenora, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337512 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Fed Gov't Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337748 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Director Strat. Market Access RD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Conry, Scott, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337749 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) National Executive Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 136.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337513 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1100.73 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 10 2018 City State Zip Code Transaction ID: A2018-2337626 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 969.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Coraggio, Ryan, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City State Zip Code Transaction ID : A2018-2337752 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General 515.00 Other (specify) 123.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Jonra, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337762 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Strategy & Communications (Ophtha Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cullen, Thomas, G,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID : A2018-2337627 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 242.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Daugherty, Mark, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337766 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 122.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Douglas, Alastair, M, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338054 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director US Surgical Sales Training** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Eberenz Jr., David, M,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337792 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR SPEC - GREENVILLE NC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Epstein, Cherri, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337796 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - LAS VEGAS N NV Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Esquea, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-2337628 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) DirectorSandoz Health Policy Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ewalt, Judith, J, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337797 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 242.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fairchild, Michael, E, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338055 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head IRIS Bus Process Transformation Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 322.98 Other (specify) 56.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fletcher, Tiffany, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337629 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec. Dir. Gov't. Affairs Biosimilars Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Foster, Matthew, C, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337805 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Derrm Sales Regional Director- Central Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 242.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Freeland, Jon, S, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 262.50 Other (specify) 120.19 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337519 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Svc Del & Ops Lead Connectivity **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 1617.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337825 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Legal Section Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1617.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gorcz, Damon, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337827 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Specialty Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 169.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337834 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP USOM Solid Tumors Franchise Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Guidi, Joseph, M,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337836 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Director Commercial Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337522 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 423.06 Other (specify) 117.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337526 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy&Reimburseme Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1455.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Heffernan, Brian, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337854 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Strategic Account Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hellberg, Mark, R, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337603 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director Chemical Technologi** Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kincaid, Michael, C,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337908 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Knewtson, Chad, , , Date of Receipt Mailing Address 4169 58th Street South 10 2018 City State Zip Code Transaction ID: A2018-2337914 ND Fargo 58104 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular Area Business L Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 315.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Knight, Pamela, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City State Zip Code Transaction ID: A2018-2337915 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - PLANO TX Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 39.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338077 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 2422.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337543 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1742.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McKenna, Edward, J., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337996 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 225.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Moorcones, Michael, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338010 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Virginia Beach VA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338012 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Resp Integrated Account Spec Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 492.91 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Morford-Lagalo, Maria, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338014 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - BAY CITY MI Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 43.68 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osten, Craig, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337637 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFO North America Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 484.68 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Oxner, Serafina, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338104 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir Healthcare Contract Adm Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 484.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Palsha, Thomas, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337638 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Reimbursement Policy Manager Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 56.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Riccobono, Margaret, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337641 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director Talent Management Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Richert, Jayson, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337642 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. AD Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.45 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Robertson, David, J, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337476 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Materials Head FWN Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 78.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robinson, Melissa, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338155 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338156 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rouyer, Marc, G, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337477 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 441.00 Other (specify) 66.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ryan, Alan, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337643 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rzewnicki, Peter, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 10 2018 350 MA # 234F City State Zip Code Transaction ID: A2018-2337644 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Executive Director Digital Franchise** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 242.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Saad, Ahmad, M., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337478 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Test Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) 43.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saffer, Elizabeth, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338169 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - TUCSON AZ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Santanastasio Krahling, Helene, M, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337557 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **AD Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 265.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Sawicz, Conrad, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337480 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 39.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schmidt, Corey, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338176 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GL Systems and Compliance Team Lea Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schoening, David, A,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338083 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head Global Quality Assurance Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 322.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schweitzer, Mark, G, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337558 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 75.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seeland, Stephen, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337645 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Oncology Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sharkey, Bonnie, J, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338180 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Comm Contract & Analysis Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Simon, Joseph, M., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338185 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS Exec Sales Specialist - Phoenix Eas Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simpson-Hunt, Stephen, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338188 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Development QA Senior GCP Auditor Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Brian, P, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337608 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Senior Director Biostatistics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smithson, Tamara, A, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338194 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - PALM COAST FL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 55.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Snapp, Jacob, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338195 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Sr. Director Commercial Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General 519.21 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sondhi, Manu, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338200 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Clinical Development Medical Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Soules, Shane, A, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338086 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Field Service Manager Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 92.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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100 79 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spelta, William, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338202 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Reg Dir Acct Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sperlik, Leslie, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337646 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **AD Regional Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spinale, Craig, A, Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338205 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC GREENSBORO NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 34.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stafford, Todd, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337647 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **AD Regional Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stamatis, Demetre, A, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338207 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Senior Global Program Regulatory Mar Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stehle, Philip, G., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338087 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir Glb Ops Excellence Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 29.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stickley, Lesley, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338214 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director Regional Accounts Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Streets, John, P,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338219 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR SPEC - TALLAHASSE FL Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stricker, Edson, J., Date of Receipt Mailing Address 6201 South Freeway 12 2018 City State Zip Code Transaction ID: A2018-2337484 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Materials Head HOU Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 242.13 Other (specify) 36.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-2337614 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Strategic Alliance Dev. Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1754.55 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sullivan, Jessica, L,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338222 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Account Manager-Academic Develo Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 315.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Summers, James, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2338224 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - MADISON WI Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 121.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337616 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1673.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Taormina, Yun Shao, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337648 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Strategy & Operations Digital** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taraszka, John, A, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337609 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Investigator III Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 116.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taylor, Troy, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2338231 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV1 EXEC SPEC - PINE HILL FL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Thomas, David, G,, Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338235 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Thomas, Thomas, M, , Date of Receipt Mailing Address One Health Plaza 12 2018 City State Zip Code Transaction ID: A2018-2338237 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - MORGANTOWN WV Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Trout, Gretchen, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337568 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head North America Policy & FDA Liais Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Urban, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337570 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 22.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Respiratory Executive Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 446.90 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utt, Lisa, R, , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337572 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Area Leader Patient Services Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 290.85 Other (specify) 46.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337619 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Quality Ext Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vanhaecke, Erwin, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2338088 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Executive Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 369.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337577 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Access Strategy & Commercializatio Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1617.00 Other (specify) 130.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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100 87 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Voegtli, William, W,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337578 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 294.84 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vollert-Parrotto, Denise, A, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337620 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** US Finance PLS Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walton, Vikki, D., Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337621 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir State Public Policy & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 441.00 Other (specify) 45.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Whitt, Dawn, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337587 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV2 SR SPEC - PADUCAH KY Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wilkinson, Erik, A, , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337588 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma NS Exec Sales Specialist - Tucson Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 262.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337622 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Federal Public Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1140.00 Other (specify) 82.50 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Kenneth, K,, Date of Receipt Mailing Address One Health Plaza 12 2018 State Zip Code Transaction ID: A2018-2337487 NJ 07936 East Hanover Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Sr. Principal Scientist Alcon Receipt For: Aggregate Year-to-Date ▼

	Primary General Other (specify) ▼	201.81	
3.	Full Name of Individual (Last, First, Middle Initi Wilson, John, , , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Pharma Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation (for Individual) CV1 SR SPEC - SHREVEPORT LA Aggregate Year-to-Date 210,00	Date of Receipt 10 12 2018 Transaction ID : A2018-2337594 Amount of Each Receipt this Period 10.00 Memo Item
C.	Full Name of Individual (Last, First, Middle Initi Wojtylak, Melissa, , , Mailing Address 608 Fifth Avenue City New York FEC ID number of contributing federal political committee. Name of Employer (for Individual) Sandoz Inc. Receipt For: Primary General Other (specify)	State Zip Code NY 10020 C Occupation (for Individual) Director Legal Ops. & Ass. Gen. Counse Aggregate Year-to-Date 1050.00	Date of Receipt 10 12 2018 Transaction ID: A2018-2337649 Amount of Each Receipt this Period 50.00 Memo Item
	UBTOTAL of Receipts This Page (optional)		69.61
			FEC Schedule A (Form 3X) Rev. 06/20

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wyble, Christine, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2337596 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Gbl Head Scientific Engagement & Com Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 242.34 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Zhang, Fan, , , Date of Receipt Mailing Address One Health Plaza 10 2018 City State Zip Code Transaction ID: A2018-2337489 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Fellow - PCD-Toxicology and Preclinica Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 202.02 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zimmermann, Erik, , , Date of Receipt Mailing Address One Health Plaza 12 2018 City Zip Code State Transaction ID: A2018-2337600 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - FLAGSTAFF AZ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 31.16 SUBTOTAL of Receipts This Page (optional).....

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SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zuluaga, Juan, C,, Date of Receipt Mailing Address One Health Plaza 2018 12 City Zip Code State Transaction ID: A2018-2337601 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular ABL Central NJ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 441.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 21.00 SUBTOTAL of Receipts This Page (optional).....

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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE I	
TEMELE BIODORGEMENTO	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	•		
Full Name (Last, First, Middle Initial) A. All For Our Country Leadership PA Mailing Address 611 Pennsylvania Ave. SE Suite 14			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code		FEC Identification Number
Washington Purpose of Disbursement Contribution	DC 20003	011	C C00629212 Transaction ID : B709178
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Senate	nent For: 2018 Primary General Other (specify) ▼		Memo Item
State: District: Full Name (Last, First, Middle Initial)	Not Applicable		
B. Billy Long for Congress Mailing Address 499 S. Capitol St. SW Suite 420			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
,	State Zip Code DC 20003		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00460063 Transaction ID : B709165
Candidate Name Long, Billy, , , Office Sought: House Disbursen	ment For: 2018	Category/ Type	Amount of Each Disbursement this Period 2000.00
Senate	Primary General Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. Blue Hen PAC			Date of Disbursement
Mailing Address P.O. Box 15293			10 17 2018
City Washington Purpose of Disbursement Contribution	State Zip Code DC 20003	011	FEC Identification Number C C00493700 Transaction ID: B709161
Candidate Name	"	Category/ Type	Amount of Each Disbursement this Period
Senate	nent For: 2018 Primary General Other (specify) ▼ Not Applicable		1000.00 Memo Item
SUBTOTAL of Disbursements This Page (optional)	•		4000.00
TOTAL This Period (last page this line number only)			

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NI (check only compared to 21b 28a	•
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial) A. Brady for Congress Mailing Address 104 Hume Avenue			Date of Disbursement 10 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Alexandria Purpose of Disbursement Contribution Candidate Name Brady, Kevin, P,, Office Sought: X House Disbursement	State Zip Code 22301 ment For: 2018 Primary General Other (specify)	O11 Category/ Type	FEC Identification Number C C00311043 Transaction ID: B709177 Amount of Each Disbursement this Period 1000.00 Memo Item
State: TX District: 08 Full Name (Last, First, Middle Initial) B. Connolly for Congress Mailing Address PO Box 563			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Merrifield Purpose of Disbursement Contribution Candidate Name Connolly, Gerry, , Office Sought:	State Zip Code VA 22116 nent For: 2018 Primary General Other (specify)	011 Category/ Type	FEC Identification Number C C00445452 Transaction ID: B709169 Amount of Each Disbursement this Period 1000.00 Memo Item
Full Name (Last, First, Middle Initial) C. Darren Soto for Congress Mailing Address 219 Pennsylvania Ave. SE 3rd Flor	0		Date of Disbursement 10 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Washington Purpose of Disbursement Contribution Candidate Name Soto, Darren, , , Office Sought: March March	State Zip Code 20003 ment For: 2018 Primary General Other (specify)	011 Category/ Type	FEC Identification Number C C00581074 Transaction ID: B709176 Amount of Each Disbursement this Period 1000.00 Memo Item
SUBTOTAL of Disbursements This Page (optional)		······································	3000.00
TOTAL This Period (last page this line number only).		······	

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Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial) Donald Norcross for Congress Mailing Address PO Box 160			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Collingswood Purpose of Disbursement Contribution Candidate Name Norcross, Donald, W, , Office Sought: House Disbursem	State Zip Code 08108	011 Category/ Type	FEC Identification Number C C00558320 Transaction ID : B709166 Amount of Each Disbursement this Period 1000.00
	Primary x General Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial) George Holding for Congress Mailing Address P.O. Box 15239			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Washington Purpose of Disbursement Contribution Candidate Name Holding, George, , , Office Sought:	State Zip Code 20003 ment For: 2018 Primary X General	011 Category/ Type	FEC Identification Number C C00499236 Transaction ID : B709172 Amount of Each Disbursement this Period 1000.00
State: NC District: 02 Full Name (Last, First, Middle Initial) C. Himes for Congress Mailing Address 415 New Jersey Ave. SE #1	Other (specify)		Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Washington Purpose of Disbursement Contribution Candidate Name Himes, Jim, , , Office Sought:	State Zip Code 20003 ment For: 2018 Primary General Other (specify)	011 Category/ Type	FEC Identification Number C C00434191 Transaction ID: B709167 Amount of Each Disbursement this Period 1000.00 Memo Item
SUBTOTAL of Disbursements This Page (optional)		>	3000.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	for each of	rate schedule(s) category of the Summary Page	FOR LINE N (check only	one)	PAGE 95 OF 100
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or for commercial purposes, other than using the nam					
Novartis Corporation Political Actio	n Comm	ittee			
Full Name (Last, First, Middle Initial) A. Hoyer for Congress Mailing Address 499 S. Capitol St. SW Suite 406				Date of Disk	oursement
,	State	Zip Code		FEC Identific	cation Number
Washington Purpose of Disbursement Contribution	DC	20003	011		140715 ction ID : B709175
Candidate Name Hoyer, Steny, H, , Office Sought: House Disbursen	ment For: 2	018	Category/ Type		Each Disbursement this Period
Senate	Primary Other (spec	x General		Memo It	tem
Full Name (Last, First, Middle Initial) B. Hudson for Congress Mailing Address 611 Pennsylvania Avenue SE #39	96			Date of Disk	pursement 17 2018
City Washington Purpose of Disbursement Contribution	State DC	Zip Code 20003	011		cation Number 504522
Candidate Name Hudson, Richard, , , Office Sought: House Disbursen	ment For: 2	2018	Category/ Type		etion ID: B709179 Each Disbursement this Period 2500.00
Senate	Primary Other (spec	✗ General		Memo It	tem
Full Name (Last, First, Middle Initial) C. Kurt Schrader for Congress Mailing Address 412 First Street SE Suite 100				Date of Disk	oursement 17 2018
Washington Purpose of Disbursement	State DC	Zip Code 20003			cation Number
Contribution Candidate Name Schrader, Kurt, , , Office Sought: House Disbursen			011 Category/ Type	Transac	ction ID : B709174 Each Disbursement this Period
	nent For: 2 Primary Other (spec	✗ General		Memo It	4-1-4-1
SUBTOTAL of Disbursements This Page (optional)					5000.00
TOTAL This Period (last page this line number only)					

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Novartis Corporation Political Actio	on Comm	nittee		
Full Name (Last, First, Middle Initial)				Data of Dishurament
Lance for Congress				Date of Disbursement
Mailing Address 1006 Pendleton Street				10 17 2018
City S Alexandria	State VA	Zip Code 22314		FEC Identification Number
Purpose of Disbursement		22314		C C00444224
Contribution			011	Transaction ID : B709170
Candidate Name			Category/	Amount of Each Disbursement this Period
Lance, Leonard, , ,			Type	2500.00
Office Sought: X House Disburser Senate	ment For: 2			2500.00
President	Primary Other (spec	∡ General cify) ▼		Memo Item
State: NJ District: 07				
Full Name (Last, First, Middle Initial) Lone Star Leadership PAC				Date of Disbursement
Lone Star Leadership PAC				M M / D D / Y Y Y
Mailing Address P.O. Box 15239				10 17 2018
,	State	Zip Code		FEC Identification Number
Washington Purpose of Disbursement	DC	15239		
Contribution			011	C C00415208
Candidate Name			Category/ Type	Transaction ID: B709164 Amount of Each Disbursement this Period
Office Sought: House Disburser	ment For: 2	2018	.,,,,	2500.00
	Primary	General		
State: President X	Other (spec	cify) Not Applicable	e	Memo Item
Full Name (Last, First, Middle Initial)				Data of Diaburaamast
Roskam for Congress Cmte				Date of Disbursement
Mailing Address 1006 Pendleton Street				10 17 2018
,	State	Zip Code		FEC Identification Number
Alexandria Purpose of Disbursement	VA	22314		
Contribution			011	C C00410969
Candidate Name				Transaction ID : B709171 Amount of Each Disbursement this Period
Roskam, Peter, J, ,			Category/ Type	Amount of Each Dispursement this relicu
	ment For: 2			1500.00
Office Sought: House Disburser	Б.	✗ General		,
Senate	Primary			
Senate President	Primary Other (spec			Memo Item
Senate	•			Memo Item
Senate President	Other (spec	cify) ▼	············ ▶	Memo Item 6500.00

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SCHEDU	LE B (FEC Form 3X)				FOR LINE NUMBER: PAGE 97 OF 100		
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I \	COMMITTEE (In Full)		•				
	is Corporation Political Action	on Comr	nittee 				
_	(Last, First, Middle Initial)	· - / T	- DAO\		Date of Disbursement		
A. Tenn F	Political Action Committee Ir	ic. (Tenr	1 PAC)		M M / D D / Y Y Y Y		
Mailing Ad	ddress 4515 Harding Pike Suite 110				10 17 2018		
City		State	Zip Code		FEC Identification Number		
Nashville Purpose o	of Disbursement	TN	37205		0 00000404		
Contribut				011	C C00388421		
Candidate	Name			Category/	Transaction ID : B709168 Amount of Each Disbursement this Period		
0///	1			Type	2500.00		
Office Sou	ught: House Disburse Senate	ment For: Primary	2018 General		2500.00		
	President x	Other (spe			Memo Item		
State:	District:] ,,	Not Applicable	e	Memo Item		
	(Last, First, Middle Initial)						
B.					Date of Disbursement		
Mailing Address					M M / D D / Y Y Y Y		
City		State	Zip Code		FEC Identification Number		
Purpose o	of Disbursement				C		
					<u> </u>		
Candidate Name Category/					Amount of Each Disbursement this Period		
Office Sought: House Disbursement For:							
Senate Disbursement For: Senate Primary General				7 7 7			
President Other (specify)					Memo Item		
State:	District:	-			World Roll		
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Mailing Address							
City		State	Zip Code		FEC Identification Number		
Purpose of	of Disbursement				C		
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Candidate	Name			Category/ Type	Amount of Each Disbursement this Period		
Office Sou	ught: House Disburse	ment For:					
	Senate	Primary	General				
State:	District:	Other (spe	ecity) 🔻		Memo Item		
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SUBTOTAL	of Disbursements This Page (optional).				2500.00		
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TOTAL This	Period (last page this line number only	·)			24000.00		

SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS	Use separate schedule(s)		FOR LINE NUMBER: PAGE 98 OF 100 (check only one)			
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NAME OF COMMITTEE (In Full)	no ana ada	less of any pointer		coloit contributions from cach committee.		
Novartis Corporation Political Actio	n Comn	nittee				
Full Name (Last, First, Middle Initial)						
A. Kim Reynolds for Iowa #5173	ds for Iowa #5173			Date of Disbursement		
Mailing Address P.O. Box 1775	10 01 2018					
Des Moines	State IA	Zip Code 50305		FEC Identification Number		
Purpose of Disbursement G-2018 Governor IA			011	C Transaction ID : B706728		
Candidate Name			Category/	Amount of Each Disbursement this Period		
Reynolds, Kim, , , Office Sought: House Disburser	ment Ecr. 1	nent For: 2018		1000.00		
	Primary	General		1000.00		
State: IA District:	Other (spec			Memo Item		
Full Name (Last, First, Middle Initial)						
3. Upmeyer for House		Date of Disbursement				
Mailing Address 1811 N. 8th Street		10 01 2018				
•	State	Zip Code		FEC Identification Number		
Clear Lake Purpose of Disbursement	IA	50428		С		
G-2018 State House 54 IA						
Candidate Name	Transaction ID: B706720 Amount of Each Disbursement this Period					
Upmeyer, Linda L., , ,			Category/ Type			
	ment For:			1000.00		
	Primary Other (spec	General				
State: IA District: 54	(opo	- 11		Memo Item		
Full Name (Last, First, Middle Initial)						
- Team West Committee	Date of Disbursement					
Mailing Address 625 12th Street	10 01 2018					
City	State	Zip Code		FEC Identification Number		
Canton Purpose of Dishuranment	ОН	44730				
Purpose of Disbursement G-2018 State House 49 OH 011				C		
Candidate Name	Transaction ID: B706717 Amount of Each Disbursement this Period					
West, Thomas, , ,						
	Disbursement For: 2018			500.00		
Senate President	Primary Other (spec	General				
State: OH District: 49	oniei (she	ony) ▼		Memo Item		
SUBTOTAL of Disbursements This Page (optional)			>	2500.00		

SCHEDULE B (FEC Form 3X)			FOR LINE I	NUMBER: PAGE 9	9 OF 100	
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only		17	
		Summary Page	21b 28a		27 30b	
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or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Actio	n Comm	nittee				
Full Name (Last, First, Middle Initial)						
A. Sarah Davis Campaign		Date of Disbursement				
4440 5 11 1 51 1		M M / D D / Y Y M				
Mailing Address 4148 Bellaire Blvd.				10 01 201	8	
City	State	Zip Code		FEC Identification Number		
Houston Rurpose of Dishursement	TX	77005			_	
Purpose of Disbursement G-2018 State House 134 TX 011				C		
Candidate Name			Category/	Transaction ID: B706746 Amount of Each Disbursement the	nis Period	
Davis, Sarah, , ,			Type			
	nent For: 2			1000.00		
	Primary					
State: TX District: 13	Other (spec	,iiy) ▼		Memo Item		
Full Name (Last, First, Middle Initial)						
Friends of Senator Jane Nelson				Date of Disbursement		
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Mailing Address P.O. Box 4438				10 01 201	8	
,	State	Zip Code		FEC Identification Number		
Grapevine Purpose of Disbursement	TX	76099			7	
P-2020 State Senate 12 TX			011	C		
Candidate Name			Category/	Transaction ID: B706737 Amount of Each Disbursement the	nis Period	
Nelson, Jane, , ,			Туре			
H_{\bullet} . I_{\bullet}	nent For: 2			25	00.00	
	Primary General Other (specify)					
State: TX District: 12				Memo Item		
Full Name (Last, First, Middle Initial)						
C. Four Price Campaign		Date of Disbursement				
Mailing Address P. O. Box 1749		10 01 201				
Mailing Address P. O. Box 1749				10 01 201	5	
City	State	Zip Code		FEC Identification Number		
Amarillo TX 79105 Purpose of Disbursement					7	
G-2018 State House 87 TX				C		
Candidate Name	Transaction ID: B706741 Amount of Each Disbursement the	nis Period				
Price, Four, , ,						
Office Sought: House Disbursen		10	00.00			
Senate Primary ★ General President Other (specify) ▼						
State: TX District: 87	Cirioi (Spec	/''y/ ▼		Memo Item		
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$\textbf{SUBTOTAL} \ \ \text{of Disbursements This Page (optional)}$				45	500.00	
TOTAL This Period (last page this line number only)						
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Detailed Summary Page	SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny oric)		
r for commercial purposes, other than using the name and address of any political committee. NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) - Richard Raymond Campaign Mailing Address P.O. Box 450349 City Laredo TX Transaction ID: 8706732 Amount of Each Disbursement Georgetown Full Name (Last, First, Middle Initial) - Texans for Charles Schwertner Mailing Address PO Box 2449 City City State: TX District: 42 First Name (Last, First, Middle Initial) - Texans for Charles Schwertner Mailing Address PO Box 2449 City City State: TX District: 42 City Transaction ID: 8706732 Amount of Each Disbursement Calegory' Type City Transaction ID: 8706734 Amount of Each Disbursement Calegory' Type Disbursement Date of Disbursement Type City Transaction ID: 8706734 Amount of Each Disbursement Type Disbursement Date of Disbursement Type Disbursement Type Disbursement Type Type Disbursement Type Type Disbursement Type Disbursement Type Type Disbursement Type Type Disbursement Type Typ		Detailed Summary Page			
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Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) - Richard Raymond Campaign Mailing Address P.O. Box 450349 City Caredo TX 78045 Purpose of Disbursement Georgistown President Full Name (Last, First, Middle Initial) - Texans for Charles Schwertner Mailing Address P.O. Box 450349 City Category/ Raymond, Richard, , Office Sought: X House President State: TX District: 42 City Category/ Transaction ID: B706732 Amount of Each Disbursement To 2018 State TX District: 42 City Category/ Type Disbursement For: 2018 Category/ TRASS for Charles Schwertner Date of Disbursement Category/ Type Category/ Type Disbursement this Perioc Transaction ID: B706734 Amount of Each Disbursement Category/ Type Disbursement Category/ Type Disbursement Date of Disbursement Category/ Type Disbursement Category/ Type Disbursement Date of Disbursement To 2018 FEC Identification Number Category/ Type Category/ Type Disbursement Date of Disbursement To 2018 FEC Identification Number Category/ Type Disbursement this Perioc Transaction ID: B706734 Amount of Each Disbursement To 2018 Date of Disbursement Category/ Type Disbursement Date of Disbursement To 2018 Disbursement this Perioc Transaction ID: B706734 Amount of Each Disbursement To 2018 FEC Identification Number Category/ Type Type Type Type Type 100 00 Transaction ID: B706738 Amount of Each Disbursement Type Type Type 100 00 Transaction ID: B706738 Amount of Each Disbursement this Perioc Transaction ID: B706738 Amount of Each Disbursement Type Type Type 100 00 Type Type Type 100 00 Type Ty) I		****	
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Mailing Address P.O. Box 450349 10 01 2018	- Kichara Kaymond Campaign				
Laredo Tx 78045 Purpose of Disbursement G-2018 State House 42 TX Candidate Name Raymond, Richard, , , Office Sought:	Mailing Address P.O. Box 450349				
G-2018 State House 42 TX Candidate Name Raymond, Richard, , , Office Sought:	Laredo				
Raymond, Richard, , , Office Sought:	G-2018 State House 42 TX	011			
Office Sought:				Amount of Each Disbursement this Period	
State: TX District: 42 Full Name (Last, First, Middle Initial) Texans for Charles Schwertner Mailing Address PO Box 2448 City Georgetown TX 78627 Purpose of Disbursement G-2018 State House 22 TX Candidate Name Schwertner, Charles, , ,	Office Sought: House Disbursen		туре	1000.00	
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Mailing Address PO Box 2448					
Georgetown Purpose of Disbursement G-2018 State House 22 TX Candidate Name Schwertner, Charles, , , Office Sought:	Mailing Address PO Box 2448				
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Candidate Name Zerwas, John, , Office Sought:	Purpose of Disbursement	rpose of Disbursement			
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