Image# 14941100589 PAGE 1 / 30

### **FEC** FORM 3X

### **REPORT OF RECEIPTS** AND DISBURSEMENTS For Other Than An Authorized Committee

	or Other Than	i An Authorized	Committee			Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT		mple: If typir r the lines.	g, type	12FE4M5	
Novartis Corporation P	olitical Action	Committee				
ADDRESS (number and street)	701 Pennsylvani	a Ave. NW Suite 725	5			
Check if different					<u> </u>	
than previously reported. (ACC)	Washington				DC	20004-2608
2. FEC IDENTIFICATION NU	MBER ▼	CITY 🛦		5	STATE A	ZIP CODE ▲
C C00033969		3. IS THIS REPORT	$\sim$	IEW N) <b>OR</b>	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)  Mar 20 (M3)		May 20 (M5)	H	20 (M8) Nov 20 (M11) (Non-Election Year Only) 20 (M9) Dec 20 (M12)
(a) Quarterly Reports:		H		, ,	-	(Non-Election Year Only)
April 15 Quarterly Report (Q	1) (c) 12-Da			ul 20 (M7)	. —	20 (M10) Jan 31 (YE)
July 15 Quarterly Report (Q	PRE-E	y Election t for the:	Primary (12P Convention (		General (	
October 15 Quarterly Report (Q				,		,
January 31 Year-End Report (YI	E)	Election on	M = M /	D D /	Y I Y I Y I Y	in the State of
July 31 Mid-Year Report (Non-electior Year Only) (MY)	POST	-Election	General (30G	i)	Runoff (3	OR) Special (30S)
Termination Report (TER)	пери	t for the:  Election on	M = M /	D D /	Y = Y = Y	in the State of
5. Covering Period 03		2014	through	M M M 03	/ 31 /	2014
I certify that I have examined thi  Type or Print Name of Treasurer	•	he best of my kno	wledge and b	elief it is tru	e, correct and	d complete.
	n O'Neail		[Electronically	Filed] D	ate 04	/ D D / Y Y Y Y Y 18 2014
NOTE: Submission of false, errone	ous, or incomplete	information may su	ubject the pers	on signing th	is Report to th	ne penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 03 01 2014 To: 03 31 2014

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2014		198729.54
	(b) Cash on Hand at Beginning of Reporting Period	114561.96	
	(c) Total Receipts (from Line 19)	23924.39	75253.58
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	138486.35	273983.12
7.	Total Disbursements (from Line 31)	43076.36	178573.13
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	95409.99	95409.99
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### Novartis Corporation Political Action Committee

	01 2014	To: 03 / 31 / 2014
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	5461.82	9560.12
(i) Itemized (use Schedule A)	0.001.02	3 3 3
(ii) Unitemized(iii) TOTAL (add	18462.57	63693.46
Lines 11(a)(i) and (ii)▶	23924.39	73253.58
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	23924.39	73253.58
Transfers From Affiliated/Other Party Committees	0.00	0.00
All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)	0.00	0.00
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made		
to Federal Candidates and Other	0.00	2000.00
Political Committees  Other Federal Receipts	0.00	2000.00
(Dividends, Interest, etc.)	0.00	0.00
Transfers from Non-Federal and Levin Funds  (a) Non-Federal Account	0.00	0.00
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
		7525

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements		Total This Period			
21. Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)			Calendar Year-to-Date		
	(i) Federal Share	0.00	0.00		
	(ii) Non-Federal Share	0.00	0.00		
	(b) Other Federal Operating				
	Expenditures	76.36	173.13		
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	76.36	173.13		
2.	Transfers to Affiliated/Other Party	, , , ,			
	Committees	0.00	0.00		
	Contributions to Federal Candidates/Committees and Other Political Committees	41000.00	172500.00		
	Independent Expenditures	0.00	0.00		
5.	(use Schedule E)Coordinated Party Expenditures	0.00	0.00		
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
6	Loan Repayments Made	0.00	0.00		
Ο.	Loan rispayments made		3.00		
7.	Loans MadeRefunds of Contributions To:	0.00	0.00		
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00		
	man i olitical committees	0.00			
	(b) Political Party Committees	0.00	0.00		
	(c) Other Political Committees (such as PACs)	0.00	0.00		
	(30011 03 1 7 03)	, , , ,			
	(d) Total Contribution Refunds	0.00	0.00		
	(add Lines 28(a), (b), and (c))▶	0.00	0.00		
9.	Other Disbursements	2000.00	5900.00		
	Federal Election Activity (2 U.S.C. §431(20))  (a) Allocated Federal Election Activity				
	(from Schedule H6)				
	(i) Federal Share	0.00	0.00		
	(ii) "Levin" Share	0.00	0.00		
	(b) Federal Election Activity Paid Entirely				
	With Federal Funds	0.00	0.00		
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
	Total Disbursements (add Lines 21(c), 22,	42070.20	470		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	43076.36	178573.13		
2.	Total Federal Disbursements				
	(subtract Line 21(a)(ii) and Line 30(a)(ii)	40070.00	470570 40		
	from Line 31)▶	43076.36	178573.13		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	23924.39	73253.58
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	23924.39	73253.58
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	76.36	173.13
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	76.36	173.13

FOR LINE NUMBER: **PAGE** Use separate schedule(s) (check only one) X 11a 11b 11c

6 OF 30 for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neilda A Baron Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-575178 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Affairs (MD) - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-575199 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Onco Government Affairs - Exec Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name (Last, First, Middle Initial) c. Patricia A Cannon Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-575381 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 70.00 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 262.30 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

### SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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ITEMIZED RECEIPTS 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James P Carey Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-574797 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 99.95 federal political committee. Name of Employer Occupation Government Affairs - Vice Pres Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 298.35 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-574505 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 276.92 federal political committee. Name of Employer Occupation Novartis Services Incorporated Fed and Legislative Affairs -Receipt For: Aggregate Year-to-Date ▼ Primary General 830.76 Other (specify) Full Name (Last, First, Middle Initial) c. Cathryn M Clary Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-575256 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 С federal political committee. Name of Employer Occupation DEVELOPMENT & MEDICAL - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 576.87 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)  Novartis Corporation Politica	the name and address of any political committee  I Action Committee	to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Julie A Collins  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt  03 31 2014  Transaction ID : A2014-569521  Amount of Each Receipt this Period  92.30
Name of Employer  Alcon Laboratories Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation Professional Svcs-Mktg - Dir  Aggregate Year-to-Date ▼  276.90	
Full Name (Last, First, Middle Initial)  Seth Coombs  Mailing Address 350 Massachusetts Avenu		Date of Receipt  03 31 2014
City Cambridge  FEC ID number of contributing federal political committee.	State Zip Code MA 02139	Transaction ID : A2014-575346  Amount of Each Receipt this Period  92.30
Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary General  Other (specify) ▼	Occupation Product Marketing - Executive  Aggregate Year-to-Date ▼  276.90	
Full Name (Last, First, Middle Initial)  William E Darnall  Mailing Address One Health Plaza Ste 725  City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt  03 31 2014  Transaction ID : A2014-575307  Amount of Each Receipt this Period
Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary General  Other (specify) ▼	Occupation  Med Science Liaison (Non-MD) -  Aggregate Year-to-Date ▼  300.00	
SUBTOTAL of Receipts This Page (optional	1)	284.60
TOTAL This Period (last page this line num	ther only)	

#### SCHEDULE A (FEC Form 3X) IT

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or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David P Drake Date of Receipt Mailing Address One Health Plaza 2014 31 City State Zip Code Transaction ID: A2014-574616 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Government Affairs - Executive Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Carter L Dutch Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-575249 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-Reg Act Mgmt - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. David R Epstein Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-574652 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 400.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

30

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-574740 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Med Science Liaison (Non-MD) -Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Neely T Frye Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-574577 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 122.05 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 363.45 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa M Goldman Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-575227 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Compliance - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 322.05 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

30

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Erwin A Gomez Valladares Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-569698 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Latin America Service Procurement - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name (Last, First, Middle Initial) B. Nancy J Grande Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-575078 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Drug Sfty Surv (MD) - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-575203 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 80.00 С federal political committee. Name of Employer Occupation Onco Ex Dir Clinical Res Phys Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 272.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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		1.0
	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Melody Hughson  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  03 31 2014  Transaction ID : A2014-575232
FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period
Name of Employer  Novartis Pharmaceuticals  Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Government Affairs - Executive  Aggregate Year-to-Date ▼  300.00	
Full Name (Last, First, Middle Initial)  Sarah G Kan  Mailing Address One Health Plaza		Date of Receipt  03 31 2014
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2014-575276  Amount of Each Receipt this Period  106.14
Name of Employer Novartis Pharmaceuticals  Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Government Affairs - Senior Sp  Aggregate Year-to-Date ▼  318.42	
Full Name (Last, First, Middle Initial)  Thomas N Kendris  Mailing Address One Health Plaza		Date of Receipt  03 31 2014
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼	State Zip Code NJ 07936  C  Occupation LEGAL & IP - CEG ROLE  Aggregate Year-to-Date ▼  276.90	Transaction ID : A2014-574295  Amount of Each Receipt this Period  92.30
SUBTOTAL of Receipts This Page (optional	)	298.44
TOTAL This Period (last page this line num)	per only)	

FOR LINE NUMBER: PAGE 13 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-570835 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Name of Employer Occupation Sandoz Inc. Global Head Lgl & Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 346.14 Other (specify) Full Name (Last, First, Middle Initial) B. Richard E Knapp Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-574460 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 692.28 Other (specify) Full Name (Last, First, Middle Initial) c. Robert W Kowalski Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-575204 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 438.44 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

30

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard E Lemire Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-574273 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 86.52 federal political committee. Name of Employer Occupation Engineering-Facilities - Direc Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 259.56 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Lloyd Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-569694 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher B McDonald Date of Receipt Mailing Address 475 Green Oaks Parkway 03 31 2014 City Zip Code State Transaction ID: A2014-575361 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Mftg Plant Mgmt - VP Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 278.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF 30 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Catharine M McGeehan Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-574480 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name (Last, First, Middle Initial) B. Edward D McGough Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-569562 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. PRODUCTION - CEG ROLE Receipt For: Aggregate Year-to-Date ▼ Primary General 692.28 Other (specify) Full Name (Last, First, Middle Initial) c. William D McLaury Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-574506 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Supply Chain Plan - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 415.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the	X 11a	· —	1				1.0	
Detailed Summary Page	<u>                                   </u>	۱	11b		11C		12	
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Any information copied from such Reports and S or for commercial purposes, other than using the	statements may not be sold or used by any persename and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  A. Brian J McNamara  Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	03 31 2014 Transaction ID : A2014 F60603
East Hanover	NJ 07936	Transaction ID : A2014-569693  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	92.30
Name of Employer  Novartis Consumer Health Inc.	Occupation COMMERCIAL & GEN MGMT - CEG RO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  276.90	
Full Name (Last, First, Middle Initial)  Nasant Narasimhan  Mailing Address 250 Massachusette Avenue		Date of Receipt
Mailing Address 350 Massachusetts Avenue		03 31 _ 2014 _
City	State Zip Code	Transaction ID : A2014-575364
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	92.30
Name of Employer Novartis Vaccines & Diagnostics	Occupation COMMERCIAL & GEN MGMT - CEG RO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  276.90	
Full Name (Last, First, Middle Initial)  Shawn O'Neail		Date of Receipt
Mailing Address 608 Fifth Avenue		03 31 2014
City New York	State Zip Code NY 10020	Transaction ID : A2014-575218
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 92.30
Name of Employer	Occupation	
Novartis Services Incorporated	Government Affairs - Executive	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 276.90	
SUBTOTAL of Receipts This Page (optional)  TOTAL This Period (last page this line number	<u> </u>	276.90

FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael S Oehrlein Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-574980 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Oncology Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) B. John M Pakulski Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-570833 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Sandoz Inc. Reg Aff Approval - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name (Last, First, Middle Initial) c. Candice C Phipps Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-570838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 С federal political committee. Name of Employer Occupation Sandoz Inc. Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 442.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

Kevin T Rigby

Date of Receipt

Full Name (Last, First, Middle Initial)  A. Kevin T Rigby  Mailing Address One Health Plaza		Date of Receipt  03 31 2014
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2014-574664  Amount of Each Receipt this Period  230.76
Name of Employer  Novartis Pharmaceuticals  Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation CORPORATE AFFAIRS - CEG ROLE  Aggregate Year-to-Date ▼  692.28	
Full Name (Last, First, Middle Initial)  B. Joseph M Ryan  Mailing Address One Health Plaza		Date of Receipt  03 31 2014
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2014-575254  Amount of Each Receipt this Period
federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Clinical Research - Vice Presi Aggregate Year-to-Date ▼ 300.00	
Full Name (Last, First, Middle Initial)  C. Katherine E Solon  Mailing Address One Health Plaza		Date of Receipt  03 31 2014
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2014-575056  Amount of Each Receipt this Period  230.76
Name of Employer  Novartis Services Incorporated  Receipt For:  Primary General  Other (specify) ▼	Occupation Government Affairs - Executive  Aggregate Year-to-Date ▼  692.28	
	al)	561.52

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 2014 31 City Zip Code State Transaction ID: A2014-575258 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Mgmt-Onco Mgd Mkts - VP Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 03 31 2014 City State Zip Code Transaction ID: A2014-574290 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 106.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 318.48 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 03 31 2014 City Zip Code State Transaction ID: A2014-574393 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 207.72 Other (specify) 375.40 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOR LINE N	NUMBER:	PAGE	E 20 O	F 3
Use separate schedule(s)	(check only	one)			
for each category of the Detailed Summary Page	X 11a	11b	11c	12	
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Any information copied from such Reports and or for commercial purposes, other than using th	Statements may not be sold or used by any pers e name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.			
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee				
Full Name (Last, First, Middle Initial)  Barbara A Tombros  Mailing Address One Health Plaza	Barbara A Tombros				
		03 31 2014			
City Fact Hangyer	State Zip Code	Transaction ID : A2014-574615			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	С	71.92			
Name of Employer	Occupation	1			
Novartis Pharmaceuticals	Strategic Planning - Director				
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 214.04				
Full Name (Last, First, Middle Initial)  Andrew J Volante  Mailing Address One Health Plaza		Date of Receipt			
		03 31 2014			
City	State Zip Code	Transaction ID : A2014-574556			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	С	92.30			
Name of Employer Novartis Pharmaceuticals	Occupation COMMERCIAL & GEN MGMT - CEG RO				
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  276.90				
Full Name (Last, First, Middle Initial)  2. James E Williams	1	Date of Receipt			
Mailing Address One Health Plaza		03 31 2014			
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-575166			
-		Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	92.30			
Name of Employer	Occupation	1			
Novartis Pharmaceuticals	Clinical Research(MD) Principa				
Receipt For:  Primary  General	Aggregate Year-to-Date ▼				
Other (specify) ▼	276.90				
SUBTOTAL of Receipts This Page (optional)		256.52			
TOTAL This Period (last page this line number	control	5461.82			

SCHEDULE B (FEC Form 3X)			FOR LINE	OR LINE NUMBER: PAGE 21 OF 30			
IT	EMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)			
		Detailed Summary Page	21b		26		
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Ar or	ly information copied from such Reports and Statem for commercial purposes, other than using the nam	nents may not be sold or use ne and address of any politi	sed by any perso cal committee to	on for the purpose of soliciting contributions solicit contributions from such committee.			
$\setminus$	NAME OF COMMITTEE (In Full)						
	Novartis Corporation Political Action	n Committee					
$\overline{}$	Full Name (Last, First, Middle Initial)						
Α.	Mark Pryor for US Senate			Date of Disbursement			
	Mailing Address 420 C Street NE			03 26 2014			
	,	State Zip Code		Transaction ID : B490110			
		DC 20002		Transaction ib . b430110			
	Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	d		
	Candidate Name		Category/	2000.00	П		
	Mark Pryor		Туре	2000.00	_		
	X Senate	nent For: 2014  Primary General  Other (specify) ▼					
	State: AR District:	Other (speeliy)					
	Full Name (Last, First, Middle Initial)						
В.	Bera for Congress			Date of Disbursement			
	Mailing Address P.O. Box 582496			03 26 2014			
	Elk Grove	State Zip Code CA 95758		Transaction ID : B492783			
	Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	d		
	Candidate Name		Category/	2000.00	П		
	Amerish Bera		Туре	2000.00	_		
	Senate	nent For: 2014  Primary General  Other (specify)					
_	Full Name (Last, First, Middle Initial)						
C.	Anna Eshoo for Congress			Date of Disbursement			
	Mailing Address P.O. Box 636			03 26 7 2014			
	,	State Zip Code VA 22003		Transaction ID : B490109			
	Purpose of Disbursement Contribution		011	A (5   B)			
	Candidate Name			Amount of Each Disbursement this Period	J		
	Anna Eshoo		Category/ Type	1000.00			
	Senate	nent For: 2014 Primary General Other (specify)	71				
	State: CA District: 18						
H	UBTOTAL of Disbursements This Page (optional)  OTAL This Period (last page this line number only).			5000.00	]		

		PAGE 22 OF 30		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)	4 🗆 25 — 55
	Detailed Summary Page	21b	22 X 23 2 28a 28b 2	4 25 26 8c 29 30b
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Any information copied from such Reports and State or for commercial purposes, other than using the na	ments may not be sold or us me and address of any politi	cal committee to	solicit contributions from	such committee.
NAME OF COMMITTEE (In Full)				
Novartis Corporation Political Activities	on Committee			
Full Name (Last, First, Middle Initial)				
A. Himes for Congress			Date of Disbursement	YYYY
Mailing Address 410 1st Street SE Suite 310			03 28	2014
City	State Zip Code		Transaction ID : B492	2004
Washington	DC 20003		Transaction ID . 6492	2004
Purpose of Disbursement Contribution		011	Amount of Each Disbu	rsement this Period
Candidate Name		Category/		1000.00
Jim Himes	_	Туре		1000.00
	ement For: 2014			
Senate President	Primary General Other (specify) ▼			
State: CT District: 04	Convention			
Full Name (Last, First, Middle Initial)				
B. Chris Coons for Delaware			Date of Disbursement	
Mailing Address 1602 Belle View Boulevard #510			03 26	2014
City	State Zip Code		Transaction ID : B49	2700
Alexandria	VA 22307		Transaction ID . 649.	2760
Purpose of Disbursement Contribution		011	Amount of Each Disbu	rsement this Period
Candidate Name		Category/		2000.00
Christopher A Coons		Type		2000.00
	ment For: 2014			
	Primary General			
State: DE District:	Other (specify)			
Full Name (Last, First, Middle Initial)				
C. 21st Century Majority Fund			Date of Disbursement	
Mailing Address 900 19th St. NW 8th Floor			03 26	2014
City	State Zip Code			
Washington	DC 20006		Transaction ID : B49	2781
Purpose of Disbursement Contribution		011		
Candidate Name			Amount of Each Disbu	rsement this Period
		Category/ Type		1000.00
Office Sought: House Disburse	ement For: 2014			
Senate	Primary General			
President	Other (specify) ▼			
State: District:	Not Applicabl	e		
SUBTOTAL of Disbursements This Page (optional).				4000.00
335 (55.00.00)				
TOTAL This Period (last page this line number only	r)			

SCHEDULE B (FEC Form 3X)				FOR LINE NUMBER: PAGE 23 OF 3				
IT	EMIZED DISBURSEMENTS		parate schedule(s) a category of the	(check only	one)			
			Summary Page	21b	22	X 23	24 25 26	
				27	28a	28b	28c 29 30b	
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	NAME OF COMMITTEE (In Full)							
	Novartis Corporation Political Action	n Com	mittee					
_	Full Name (Last, First, Middle Initial)				Б.	( D: 1		
Α.	Friends of John Barrow				Date o	f Disburse		
	Mailing Address P.O. Box 1001				03	28		
	,	State	Zip Code		Trans	action ID	: B492802	
	, lagacia	GA	30903		ITalis	action ib	. Б432002	
	Purpose of Disbursement Contribution			011	Amoun	t of Each	Disbursement this Period	
	Candidate Name			Category/			1500.00	
	John Barrow			Туре		-	1300.00	
		nent For: Primary Other (sp	General					
	State: GA District: 12							
	Full Name (Last, First, Middle Initial)							
В.	Schneider for Congress				Date of	f Disburse		
	Mailing Address 3701 Porter Street NW				03	2		
		State DC	Zip Code 20016		Trans	saction ID	: B490116	
	Purpose of Disbursement Contribution			011	Amoun	t of Each	Disbursement this Period	
	Candidate Name			Category/				
	Brad Schneider			Type		-	1000.00	
		nent For: Primary Other (spe	General					
_	Full Name (Last, First, Middle Initial)							
C.	Bluegrass Committee					f Disburse		
	Mailing Address 220 1/2 E St. NE				03	28		
	,	State DC	Zip Code 20002		Trans	saction ID	: B492814	
	Purpose of Disbursement Contribution		20002					
	Candidate Name			O11 Category/ Type	Amoun	t of Each	Disbursement this Period 2500.00	
	Office Sought: House Disbursem	nent For:	2014	71		,	7	
		Primary	General					
		Other (sp	•					
	State: District:		Not Applicable					
H	SUBTOTAL of Disbursements This Page (optional)				Ë	-	5000.00	
1	OTAL This Period (last page this line number only).					,		

		PAGE 24 OF 30		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)	04 🗆 05 — 55
	Detailed Summary Page	21b	22 X 23 28b	24 25 26 30b
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NAME OF COMMITTEE (In Full)				
Novartis Corporation Political Acti	on Committee			
Full Name (Last, First, Middle Initial)			Date of Did	
A. AMERIPAC			Date of Disbursement	
Mailing Address 499 S. Capitol St. SE Suite 414			03 12	2014
City	State Zip Code		Transaction ID : B4	99020
Washington	DC 20003		Transaction iD . B4	00929
Purpose of Disbursement Contribution		011	Amount of Each Disb	ursement this Period
Candidate Name		Category/		5000.00
Office Courbb		Type		5000.00
Office Sought: House Disbursi	ement For: 2014 Primary General			
President	Other (specify)			
State: District:	Not Applicabl	e		
Full Name (Last, First, Middle Initial)				
B. Mikulski for Senate Committee			Date of Disbursement	t /
Mailing Address 10 G Street NE Suite 470			03 26	2014
City Washington	State Zip Code DC 20002		Transaction ID : B4	90108
Purpose of Disbursement Contribution		011	Amount of Each Disb	ursement this Period
Candidate Name		Category/		2500.00
Barbara A Mikulski		Туре		2500.00
	ement For: 2016			
Senate President	Primary General Other (specify) ▼			
State: MD District:				
Full Name (Last, First, Middle Initial)				
C. Hoyer's Majority Fund			Date of Disbursement	
Mailing Address 499 S. Capitol St. Suite 406			03 / 28	2014
City	State Zip Code			
Washington	DC 20003		Transaction ID : B4	94282
Purpose of Disbursement Contribution		044		
Candidate Name		011	Amount of Each Disb	ursement this Period
		Category/ Type		1000.00
Office Sought: House Disburse	ement For: 2014		7	7
Senate	Primary General			
President	Other (specify)			
State: District:	Not Applicabl	e		
SUBTOTAL of Disbursements This Page (optional)				8500.00
222 2 2. 2. 2. 2. 2. 2. 2. 2. 2. 2.				7
TOTAL This Period (last page this line number onl	y)			7

SCHEDULE B (FEC Form 3X)  FOR LINE NUMBER:		PAGE 25 OF 30						
IT	EMIZED DISBURSEMENTS		ate schedule(s) ategory of the	(check only	one)			
			ummary Page	21b	22	X 23	24 25 26 28c 29 30b	
·				27	28a	28b		
	y information copied from such Reports and Statem for commercial purposes, other than using the name							
	NAME OF COMMITTEE (In Full)	_						
	Novartis Corporation Political Action	n Commi	ittee					
_	Full Name (Last, First, Middle Initial)				_			
Α.					Date of	f Disburser	D / Y Y Y Y Y	
	Mailing Address 228 S. Washington St Suite 330				03	26	2014	
	,	state VA	Zip Code		Trans	action ID :	: B490107	
	Alexandria Purpose of Disbursement	VA	22314					
	Contribution			011	Amoun	t of Each [	Disbursement this Period	
	Candidate Name			Category/			1500.00	
	Thad Cochran  Office Sought: House Disbursem	nent For: 20		Туре		-		
	X Senate	Primary Other (speci	X General					
	State: MS District:							
	Full Name (Last, First, Middle Initial)							
В.	Longleaf Pine PAC				Date of	f Disburser		
	Mailing Address 700 13th Street NW #600				03 26 2014			
	Washington	tate DC	Zip Code 20005		Trans	saction ID	: B492777	
	Purpose of Disbursement Contribution			011	Amoun	t of Each [	Disbursement this Period	
	Candidate Name			Category/ Type		-,-	1000.00	
	Senate	nent For: 20 Primary Other (speci	General	1				
_	Full Name (Last, First, Middle Initial)			,				
C.	Renee Ellmers for Congress				Date of	f Disburser		
	Mailing Address 499 S. Capital St. SW Suite 420				03	26		
	,	state DC	Zip Code 20003		Trans	saction ID :	: B490114	
	Purpose of Disbursement Contribution			011	A === 0.11=	t of Foob [	Disbursement this Period	
	Candidate Name			Amoun	l oi Eacii L	Disbursement this Period		
	Renee Ellmers			Category/ Type			2500.00	
	Senate	nent For: 20 Primary Other (speci	X General	,,		,		
	State: NC District: 02							
H	UBTOTAL of Disbursements This Page (optional)				F	- 7 -	5000.00	
Ι'	OTAL This Period (last page this line number only).					7		

SCHEDULE B (FEC Form 3X)  FOR LINE NUMBER:			PAGE 26 OF 30						
IT	EMIZED DISBURSEMENTS		ate schedule(s) ategory of the	(check only	one)			٦.55	
			ummary Page	21b	22	23	24	25 29	26
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	ny information copied from such Reports and Statem for commercial purposes, other than using the name								
	NAME OF COMMITTEE (In Full)								
	Novartis Corporation Political Action	n Commi	ttee						
_	Full Name (Last, First, Middle Initial)								
Α.	KELLY PAC				Date of	f Disburser		/ Y	V
	Mailing Address 499 S. Capitol St. SW Suite 420				03	26		014	
	,		Zip Code		Trans	action ID	: B490112		
	r acimigren	DC	20003		IIalis	action ib	. 6430112		
	Purpose of Disbursement Contribution			011	Amoun	t of Each I	Disbursemen	t this P	eriod
	Candidate Name			Category/				1000.	.00
	Office Sought: House Disbursem	nent For: 20	114	Туре		,	,		
		Primary	General						
	President	Other (specif	y) <b>▼</b>						
	State: District:		Not Applicable	•					
	Full Name (Last, First, Middle Initial)								
В.	New Millennium PAC				Date of	f Disburser		/ Y	Y
	Mailing Address 300 New Jersey Ave NW Suite 90	0			03 26 2014				
	•	state DC	Zip Code 20001		Trans	saction ID	: B490117		
	Purpose of Disbursement Contribution			011	Amoun	t of Each I	Disbursemen	t this P	eriod
	Candidate Name			Category/	-			-	-
				Type		,		2500	.00
	Office Sought: House Disbursem	ent For: 20	)14						
		Primary	General						
	President State: District:	Other (specif							
_	Full Name (Last, First, Middle Initial)		Not Applicable	;					
C.	Sires for Congress				Date of	f Disburser	ment		
					M = M	/ D		Υ	Υ
	Mailing Address 625 3rd Street NE Suite #2				03	26	5 2	014	
			Zip Code		Trans	action ID	: B492801		
	Washington Purpose of Disbursement	DC	20002						
	Contribution 011			011	Amount	t of Each I	Disbursemen	t this P	eriod
	Candidate Name			Category/	, anoun	. 0. 20011	0.5 0.00111011	-	
	Albio Sires MD			Type				1000.	00
	Senate	nent For: 20 Primary Other (specif	General			,	,		
_	State: NJ District: 08								
s	SUBTOTAL of Disbursements This Page (optional)			······································		, ,	-,	4500.	00
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		PAGE 27 OF 30				
IT	EMIZED DISBURSEMENTS	Use separate sche for each category		(check only	one)	
		Detailed Summary		21b 27	22 X 23 28b	24 25 26 28c 29 30b
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Ar or	ly information copied from such Reports and Statem for commercial purposes, other than using the name	nents may not be so e and address of ar	old or used ny political	by any perso committee to	n tor the purpose solicit contribution	e of soliciting contributions ns from such committee.
	NAME OF COMMITTEE (In Full)					
$ \rangle$	Novartis Corporation Political Actio	n Committee				
_	Full Name (Last, First, Middle Initial)					
Α.	Tiberi for Congress				Date of Disburs	sement
	Mailing Address 217 Third Street SE				03	28 2014
	,	State Zip Cod	de		Transaction I	D · B402903
		DC 20003			Hansaction	D . D492003
	Purpose of Disbursement Contribution		- 11	011	Amount of Eac	h Disbursement this Period
	Candidate Name			Category/		1000.00
	Pat Tiberi			Туре	7	1000.00
	Senate	nent For: 2014  Primary Ge  Other (specify)	eneral			
	State: OH District: 12					
	Full Name (Last, First, Middle Initial)					
B.	Walden for Congress				Date of Disburs	sement
	Mailing Address 213 Ashby Street				03	26 2014
	Alexandria	State Zip Coo VA 22305	de		Transaction	ID : B490115
	Purpose of Disbursement Contribution			011	Amount of Eac	h Disbursement this Period
	Candidate Name			Category/		1500.00
	Gregory Paul Walden			Туре		1300.00
		nent For: 2014	anoral			
		Primary Ge Other (specify) ▼	eneral			
	State: OR District: 02	Cirici (opcony)				
_	Full Name (Last, First, Middle Initial)				Date of Disbur	
C.	Wyden for Senate					
	Mailing Address 3612 Newark St. NW				03	26 2014
	,	State Zip Coo	de		Transaction	ID : B490111
	Purpose of Disbursement	DC 20016				
	Contribution			011	Amount of Eac	h Disbursement this Period
	Candidate Name			Category/		1000.00
	Ron Wyden			Туре		1000.00
	X Senate	nent For: 2016  Primary Ge Other (specify)	eneral			
	State: OR District:					
s	UBTOTAL of Disbursements This Page (optional)			······		3500.00
1	OTAL This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X)		FOR LINE N	NUMBER: PAGE 28 OF 30				
TEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only					
	for each category of the Detailed Summary Page	21b	22 🔀 23 🗌 24 📗 25 📄 26				
		27	28a 28b 28c 29 30b				
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam							
NAME OF COMMITTEE (In Full)							
Novartis Corporation Political Action	n Committee						
Full Name (Last, First, Middle Initial)							
A. Citizens for Prosperity in America T	oday PAC		Date of Disbursement				
Mailing Address 499 S. Capitol St. SW Suite 420			03 26 2014				
,	tate Zip Code		Transaction ID : B492775				
	DC 20003		Transaction ib . D432773				
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/	1000.00				
Office Cought. House Dishuran	ant Fam. 2011	Туре	100.00				
	nent For: 2014 Primary General						
	Other (specify) ▼						
State: District:	Not Applicable						
Full Name (Last, First, Middle Initial)							
B. Tim Murphy for Congress			Date of Disbursement				
Mailing Address 499 S. Capitol St. SW Suite 420			03 26 2014				
Washington	tate Zip Code DC 20003		Transaction ID : B490113				
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/					
Tim Murphy		Type	1000.00				
	ent For: 2014						
	Primary General						
President State: PA District: 18	Other (specify) ▼						
Full Name (Last, First, Middle Initial)							
C. Friends of Jim Clyburn			Date of Disbursement				
			M M / D D / Y Y Y Y				
Mailing Address 499 S. Capitol St. SW Suite 422			03 26 2014				
	tate Zip Code		Transaction ID : B492778				
Purpose of Disbursement	DC 20003						
Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/	1500.00				
James E Clyburn		Type	1500.00				
Senate President	nent For: 2014 Primary General Other (specify)						
State: SC District: 06							
SUBTOTAL of Disbursements This Page (optional)		·····	3500.00				
TOTAL This Period (last page this line number only)							

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 29 OF 30
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	NOMBER:
	for each category of the Detailed Summary Page	21b	22 🗙 23 🔲 24 📗 25 📗 26
	_ cance canning i ago	27	28a 28b 28c 29 30b
Any information copied from such Reports and Staten			
or for commercial purposes, other than using the nam	ie and address of any politic	al committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	<b>0</b>		
│ Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			
A. Texans for Senator John Cornyn Ir	С		Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 1020 N. Fairfax St. Suite 201			03 26 2014
City	State Zip Code		
Alexandria	VA 22314		Transaction ID : B490120
Purpose of Disbursement			
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
John Cornyn Office Sought: House Disbursen	nent For: 2014	Туре	
	Primary   X General		
	Other (specify)		
State: TX District:			
Full Name (Last, First, Middle Initial)			
B. ORRINPAC			Date of Disbursement
Matter Address Barrier			M M / D D / Y Y Y Y
Mailing Address PO BOX 3986			03 28 2014
City	State Zip Code		Transaction ID - D40004C
Washington	DC 20027		Transaction ID : B492816
Purpose of Disbursement Contribution		044	Amount of East District and this David
Candidate Name		011	Amount of Each Disbursement this Period
Canadate Hamo		Category/ Type	1000.00
Office Sought: House Disbursen	nent For: 2014	.,,,,,	
	Primary General		
	Other (specify) ▼		
State: District:	Not Applicable	9	
Full Name (Last, First, Middle Initial)  C.			Date of Disbursement
<b>o</b> .			
Mailing Address			M M / D D / Y Y Y Y
City	State Zip Code		
Purpose of Disbursement			
. Siposo of Biobaroomork			Amount of Each Disbursement this Period
Candidate Name		Category/	Amount of Lacif Disbursement this Fellou
		Type	
Office Sought: House Disbursen			, , , , , , , , , , , , , , , , , , , ,
	Primary General		
	Other (specify) ▼		
State: District:			
SUBTOTAL of Disbursements This Page (optional)			2000.00
CODICIAL OF PRODUCTIONS THIS Fage (optional)		·····	7
TOTAL This Period (last page this line number only)			41000.00

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 30 OF 30
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)
	Detailed Summary Page	21b 27	22 23 24 25 26 28a 28b 28c <b>x</b> 29 30b
Assistance in the second of th			
Any information copied from such Reports and Stater or for commercial purposes, other than using the nar			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			
A. Justin Burr for NC House			Date of Disbursement
Mailing Address P.O. Box 1966			03 28 2014
City	State Zip Code		
City Albemarle	NC 28802		Transaction ID : B492818
Purpose of Disbursement P-2014 State House 67 NC		011	Avenuet of Fook Diskumpersont this Device
Candidate Name		O11	Amount of Each Disbursement this Period
Justin Burr		Category/ Type	500.00
	nent For: 2014		
Senate President	Primary General Other (specify) ▼		
State: NC District: 67	· · · · · · · · · · · · · · · · · · ·		
Full Name (Last, First, Middle Initial)			
B. Cmte to Elect Fletcher Hartsell			Date of Disbursement
Mailing Address 129 Overbrook Drive NE			03 28 2014
City Concord	State Zip Code NC 28025		Transaction ID : B492820
Purpose of Disbursement			
P-2014 State Senate 36 NC  Candidate Name		011	Amount of Each Disbursement this Period
Fletcher Hartsell		Category/ Type	1000.00
Office Sought: House Disburser	nent For: 2014		
	Primary General		
President State: NC District: 36	Other (specify) ▼		
Full Name (Last, First, Middle Initial)			
C. Ralph Hise for NC Senate			Date of Disbursement
Mailing Address DO Day 00			03 28 2014
Mailing Address PO Box 86			03 28 2014
,	State Zip Code		Transaction ID : B492819
Spruce Pine Purpose of Disbursement	NC 28777		
P-2014 State Senate 47 NC		011	Amount of Each Disbursement this Period
Candidate Name Ralph E Hise Jr.		Category/ Type	500.00
	nent For: 2014	туре	
X Senate	Primary General		
State: NC District: 47	Other (specify) ▼		
State: NC District: 47			
SUBTOTAL of Disbursements This Page (optional)			2000.00
TOTAL This Period (last page this line number only)			2000.00