

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5 Novartis Corporation Political Action Committee

ADDRESS (number and street) 701 Pennsylvania Ave. NW Suite 725 Washington DC 20004-2608

2. FEC IDENTIFICATION NUMBER C C00033969 3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15, July 15, October 15, January 31, July 31 Mid-Year, Termination Report. (b) Monthly Report Due On: Feb 20, Mar 20, Apr 20, May 20, Jun 20, Jul 20, Oct 20, Nov 20, Dec 20, Jan 31. (c) 12-Day PRE-Election Report for the: Primary, General, Runoff, Convention, Special. (d) 30-Day POST-Election Report for the: General, Runoff, Special.

5. Covering Period 09 / 01 / 2014 through 09 / 30 / 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Shawn O'Neil

Signature of Treasurer Shawn O'Neil [Electronically Filed] Date 10 / 17 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2014"/>		198729.54
(b) Cash on Hand at Beginning of Reporting Period.....	144499.22	
(c) Total Receipts (from Line 19)	26448.40	236485.31
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	170947.62	435214.85
7. Total Disbursements (from Line 31).....	33655.40	297922.63
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	137292.22	137292.22
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	14409.23	74738.31
(ii) Unitemized	12039.17	155497.00
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	26448.40	230235.31
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	26448.40	230235.31
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	6250.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	26448.40	236485.31
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	26448.40	236485.31

DETAILED SUMMARY PAGE

of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	170.04	787.27
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	170.04	787.27
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	21500.00	273500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	1385.36	1385.36
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	1385.36	1385.36
29. Other Disbursements	10600.00	22250.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	33655.40	297922.63
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	33655.40	297922.63

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	26448.40	230235.31
34. Total Contribution Refunds (from Line 28(d))	1385.36	1385.36
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	25063.04	228849.95
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	170.04	787.27
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)▶	170.04	787.27

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Robert E Ackerman Jr.
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Top Shared Svcs Exec-MGT GJFA

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158216

Amount of Each Receipt this Period
23.08

B. Robin D Adelstein
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Legal General CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **276.18**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157828

Amount of Each Receipt this Period
80.00

C. Lisa A Aghabi
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation QA In-Proc & Release Ctrl-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1600.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158760

Amount of Each Receipt this Period
800.00

SUBTOTAL of Receipts This Page (optional).....▶	903.08
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 7 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Laman Alani
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Formulation-MGT GJFA 1

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157742

Amount of Each Receipt this Period
30.76

B. Gary J Appio
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product Sfty Risk Mgmt-MGT GJF

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158211

Amount of Each Receipt this Period
27.70

C. Brad Arlett
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation RELATIONSHIP MANAGER-MGT GJFA

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158709

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **81.54**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Andrew Arline
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Account Manager-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014
Transaction ID : A2014-2158454

Amount of Each Receipt this Period
27.70

B. Michael Banko
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation DATABASE ANALYST LEAD-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014
Transaction ID : A2014-2158283

Amount of Each Receipt this Period
46.16

C. DIMITRIOS BARLOS
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Manager - A-GJFA

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014
Transaction ID : A2014-2158781

Amount of Each Receipt this Period
30.00

SUBTOTAL of Receipts This Page (optional).....▶	103.86
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Michael C Barninger
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Director Sales Operations-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **221.99**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158461

Amount of Each Receipt this Period
34.00

B. Neilda A Baron
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Medical Affairs (M.D.)-MGT GJF

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158694

Amount of Each Receipt this Period
100.00

C. Tracy L Baroni Allmon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **959.95**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158710

Amount of Each Receipt this Period
120.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **254.00**

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Ted R Benson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Sales - Pharma - Spec-GJFA 5

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157826

Amount of Each Receipt this Period
30.00

B. Sheila Bhattacharya
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation National Account Mgr/Dir - B-G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158317

Amount of Each Receipt this Period
23.08

C. Edward J Blair
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Director-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158208

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **76.16**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 11 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Eduardo Blas		Date of Receipt 09 / 30 / 2014 Transaction ID : A2014-2157695
Mailing Address One Health Plaza		Amount of Each Receipt this Period 30.76
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 30.76
Name of Employer Alcon Laboratories Inc.	Occupation Info Sys - Gen/Multi (Tech)-MG	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 292.22	

Full Name (Last, First, Middle Initial) B. Lisa M Blizzard		Date of Receipt 09 / 30 / 2014 Transaction ID : A2014-2158464
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 23.08
Name of Employer Novartis Pharmaceuticals	Occupation Managed Care Mktg/Access Dir-M	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) C. Mojtaba Bonakdar		Date of Receipt 09 / 30 / 2014 Transaction ID : A2014-2157687
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.06
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 23.06
Name of Employer Alcon Research	Occupation Chemistry - Analytical-MGT GJF	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.07	

SUBTOTAL of Receipts This Page (optional).....▶	76.90
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 12 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Troy L Borill		Date of Receipt 09 / 30 / 2014 Transaction ID : A2014-2158404
Mailing Address One Health Plaza		Amount of Each Receipt this Period 38.04
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Spec SIs Rep-4 - Onco-GJFA 6	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 360.12	

Full Name (Last, First, Middle Initial) B. Daniel S Bortfeld		Date of Receipt 09 / 30 / 2014 Transaction ID : A2014-2158071
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Staffing-MGT GJFA 3	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) C. Michael D Brooks		Date of Receipt 09 / 30 / 2014 Transaction ID : A2014-2158106
Mailing Address One Health Plaza		Amount of Each Receipt this Period 40.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Spec Frst Lvl Super- A - Onco-	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 340.00	

SUBTOTAL of Receipts This Page (optional).....▶	101.12
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Lucinda A Bruere
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation First Level Supervisor - A-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158041

Amount of Each Receipt this Period
23.08

B. Thomas R Brunner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation SYSTEMS PLNNG & ARCH HD-MGT GJ

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **486.20**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158470

Amount of Each Receipt this Period
70.00

C. Mayumi K Buckoski
Full Name (Last, First, Middle Initial)

Mailing Address 475 Green Oaks Parkway

City Holly Springs State NC Zip Code 27540

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Logistics Management-MGT GJFA

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157901

Amount of Each Receipt this Period
30.00

SUBTOTAL of Receipts This Page (optional)..... ► **123.08**

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Richard Burns
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales Management-MGT GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157969

Amount of Each Receipt this Period
27.70

B. Timothy S Byler
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158155

Amount of Each Receipt this Period
23.08

C. Patricia A Cannon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-MGT GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **700.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158772

Amount of Each Receipt this Period
70.00

SUBTOTAL of Receipts This Page (optional)..... **120.78**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 98
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Daniel P Casserly
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Services Incorporated Occupation Top Fed & Legislat Aff Exec-MG
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **2630.74**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158119
 Amount of Each Receipt this Period
276.92

B. Cynthia C Cetani
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Top Compliance Exec-MGT GJFA 1
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **438.52**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158474
 Amount of Each Receipt this Period
46.16

C. Atindra N Chaturvedi
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Info Sys- Ex Dir
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **415.44**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158215
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **346.16**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 16 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Barbara Christensen-Boner		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157989
Mailing Address One Health Plaza		Amount of Each Receipt this Period 57.84
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Government Affairs-GJFA 3	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 527.91	

Full Name (Last, First, Middle Initial) B. Sean T Clark		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157639
Mailing Address One Health Plaza		Amount of Each Receipt this Period 60.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Alcon Laboratories Inc.	Occupation Product/Brand Management-MGT G	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 570.00	

Full Name (Last, First, Middle Initial) C. Cathryn M Clary		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158773
Mailing Address One Health Plaza		Amount of Each Receipt this Period 200.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation R&D General CEG 0	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1900.00	

SUBTOTAL of Receipts This Page (optional).....▶	317.84
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 17 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Noelle L Cloud Dugan
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Consumer Health Inc. Occupation Government Affairs-MGT GJFA 2
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **650.00**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2157813
 Amount of Each Receipt this Period **100.00**

B. Julie A Collins
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Prof Svcs - Mktg-MGT GJFA 2
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **876.85**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2157640
 Amount of Each Receipt this Period **92.30**

C. Michael A Conley
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Dir Fld Sls Mngd Hlth Care-A-M
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **438.52**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2158166
 Amount of Each Receipt this Period **46.16**

SUBTOTAL of Receipts This Page (optional)..... **238.46**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Seth Coombs
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Product/Brand Management-MGT G

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2157839

Amount of Each Receipt this Period **92.30**

B. Eric C Couture
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Reg Aff Exec-Domestic-MGT GJFA

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **380.00**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158667

Amount of Each Receipt this Period **40.00**

C. David C Creedon
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation RELATIONSHIP MANAGEMENT HD-MGT

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158722

Amount of Each Receipt this Period **30.00**

SUBTOTAL of Receipts This Page (optional)..... **162.30**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 19 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Rhonda N Crichlow		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158594
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Top Diversity Executive-MGT GJ	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) B. Thomas G Cullen		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157838
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Sandoz Inc.	Occupation Sci Acquist & Lic Invest-GJFA	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) C. Sally R Daniels		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158695
Mailing Address One Health Plaza		Amount of Each Receipt this Period 50.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Sales Management-MGT GJFA 3	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 475.00	

SUBTOTAL of Receipts This Page (optional).....▶	96.16
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. William E Darnall
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 Ste 725
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Med Science LiaisonNonMD-MGT G
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157857
 Amount of Each Receipt this Period
100.00

B. Terry H Deason
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Med Science LiaisonNonMD-GJFA
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **380.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158763
 Amount of Each Receipt this Period
40.00

C. R. R Deck
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Project Management-MGT GJFA 3
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157904
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **163.08**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 21 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Clinton D Degner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Account Manager-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158025

Amount of Each Receipt this Period
23.08

B. Joanne Del Rio
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Facilities Management-GJFA 5

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158241

Amount of Each Receipt this Period
23.08

C. Lynne M DeLisi
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Law - General-GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158616

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... ▶ **69.24**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 22 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Randal Dias
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Project Management-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158227

Amount of Each Receipt this Period
230.08

B. Candace B Dibblee
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs-MGT GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1080.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158321

Amount of Each Receipt this Period
230.00

C. Anthony M Diorio
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Business Intel Anlys-MGT GJFA

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157914

Amount of Each Receipt this Period
30.00

SUBTOTAL of Receipts This Page (optional).....▶	283.08
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Christos Dogas
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Auditing-MGT GJFA 3
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 09 / 30 / 2014
Transaction ID : A2014-2157850
 Amount of Each Receipt this Period
23.08

B. Dawn M Doggett-Soldatke
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation National Account Mgr/Dir - B-G
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **500.00**

Date of Receipt
 09 / 30 / 2014
Transaction ID : A2014-2158806
 Amount of Each Receipt this Period
500.00

C. Alastair M Douglas
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Training - Sales-MGT GJFA 3
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 09 / 30 / 2014
Transaction ID : A2014-2157720
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **546.16**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Monica M Dunn
Full Name (Last, First, Middle Initial)

Mailing Address 475 Green Oaks Parkway

City Holly Springs State NC Zip Code 27540

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Project Management-MGT GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 285.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157908

Amount of Each Receipt this Period
 30.00

B. Carter L Dutch
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Dir Fld Sls Mngd Hlth Care-A-M

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 950.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158764

Amount of Each Receipt this Period
 100.00

C. Carol D DuVall
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Auditing-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 292.22

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157682

Amount of Each Receipt this Period
 30.76

SUBTOTAL of Receipts This Page (optional)..... ▶ 160.76

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 25 OF 98
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. David M Eberenz Jr.
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Medical Sales Representative -
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 263.15

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158146
 Amount of Each Receipt this Period
 27.70

B. Fred Ellis
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Med Science LiaisonNonMD-MGT G
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 292.22

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157674
 Amount of Each Receipt this Period
 30.76

C. Michael R Emch
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Spec Frst Lvl Super- A - Onco-
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 266.76

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157950
 Amount of Each Receipt this Period
 28.08

SUBTOTAL of Receipts This Page (optional)..... ▶ 86.54
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 26 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Nancy E Emerson			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code	Transaction ID : A2014-2157734
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="40.00"/>
Name of Employer	Occupation		
Alcon Laboratories Inc.	Tech/Sci Sales Svc-GJFA 3		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="224.30"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. David R Epstein			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code	Transaction ID : A2014-2158247
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="100.00"/>
Name of Employer	Occupation		
Novartis Services Incorporated	General Management CEG 0		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="900.00"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Judith J Ewalt			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code	Transaction ID : A2014-2158129
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="23.08"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Spec Frst Lvl Super- A - Onco-		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="219.26"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="163.08"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 27 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Michael E Fairchild
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation DEVELOPMENTAL-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157692

Amount of Each Receipt this Period
30.76

B. Thomas S Fellers
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med Science LiaisonNonMD-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158325

Amount of Each Receipt this Period
100.00

C. Christine Ferguson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Labeling Specifications-GJFA 5

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **260.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158774

Amount of Each Receipt this Period
260.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **390.76**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 28 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James E Foley
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Clinical Research (Non M.D.)-M

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158310

Amount of Each Receipt this Period **23.08**

B. Matthew C Foster
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Spec Frst Lvl Super- A-MGT GJF

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158122

Amount of Each Receipt this Period **23.08**

c. Jon S Freeland
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Asoc Mgr Reg Sls Operations-M

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **237.50**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158765

Amount of Each Receipt this Period **25.00**

SUBTOTAL of Receipts This Page (optional)..... **71.16**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 29 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Robert M Freeman			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code	Transaction ID : A2014-2157641
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="30.00"/>
Name of Employer	Occupation		
Alcon Research	Mfg Plant Mgmt-MGT GJFA 3		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="285.00"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Debra E Freire			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code	Transaction ID : A2014-2158281
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="46.16"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Communications - External-MGT		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="438.52"/>		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Neely T Frye			Date of Receipt
Mailing Address One Health Plaza			<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code	Transaction ID : A2014-2158180
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>		<input type="text" value="122.50"/>
Name of Employer	Occupation		
Novartis Pharmaceuticals	Government Affairs-GJFA 4		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	<input type="text" value="1159.70"/>		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="198.66"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 30 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Steven J Gabor
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Product/Brand Head Marketing-

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **332.50**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2157899

Amount of Each Receipt this Period **35.00**

B. Jill H Gaither
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Spec Sls Rep-4 - Onco-GJFA 6

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158329

Amount of Each Receipt this Period **23.08**

C. Edward G Gajewski
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Dir Fld Sls Mngd Hlth Care-A-M

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **220.00**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158064

Amount of Each Receipt this Period **40.00**

SUBTOTAL of Receipts This Page (optional)..... **98.08**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 31 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Martin J Gargiule
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Financial Analysis-MGT GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157831

Amount of Each Receipt this Period
23.08

B. Michael L Gentry
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation DIVISION HEAD-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **228.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157681

Amount of Each Receipt this Period
24.00

C. Lisa M Goldman
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Regulatory Compliance-MGT GJFA

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158738

Amount of Each Receipt this Period
100.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **147.08**

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 32 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Erwin A Gomez Valladares		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2157815
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="92.30"/>
Name of Employer Novartis Latin America Service	Occupation Procurement-MGT GJFA 3	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="876.85"/>	

Full Name (Last, First, Middle Initial) B. Mindy H Goss		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2158489
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="30.00"/>
Name of Employer Novartis Pharmaceuticals	Occupation Managed Care Mktg/Access Dir-M	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="285.00"/>	

Full Name (Last, First, Middle Initial) C. Christopher K Grady		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2157662
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="23.06"/>
Name of Employer Alcon Laboratories Inc.	Occupation Sales Management-MGT GJFA 2	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="219.07"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="145.36"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 33 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Nancy J Grande
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Drug Sfty Surv (M.D.)-MGT GJFA
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158613
 Amount of Each Receipt this Period
100.00

B. Kris Grzegorzewski
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Clinical Research (M.D.)-MGT G
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **760.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158714
 Amount of Each Receipt this Period
80.00

C. Joseph M Guidi
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Head Marketing-
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **380.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158691
 Amount of Each Receipt this Period
40.00

SUBTOTAL of Receipts This Page (optional)..... **220.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 34 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Joseph Guido
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Info Sys - Gen/Multi (Tech)-MG

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **355.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158493

Amount of Each Receipt this Period
20.00

B. David E Gulick
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Managed Care Mktg/Access Dir-M

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **480.04**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158006

Amount of Each Receipt this Period
60.00

C. Kurt Habel
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Operations Research-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158213

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... ▶ **126.16**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 35 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James P Hafner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Spec Frst Lvl Super- B - MS-MG

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158740

Amount of Each Receipt this Period
27.70

B. Laura A Hagan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med Science LiaisonNonMD-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158705

Amount of Each Receipt this Period
23.08

C. Thomas Halbur
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Product/Brand Head Marketing-

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158702

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **73.86**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 36 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Philip D Hall
Full Name (Last, First, Middle Initial)

Mailing Address 6201 South Freeway

City Fort Worth State TX Zip Code 76134-2001

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales - Medical Devices-MGT GJ

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 438.52

Date of Receipt
09 / 30 / 2014
Transaction ID : A2014-2157658

Amount of Each Receipt this Period
46.16

B. Paul R Hallen
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Product/Brand Management-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 292.22

Date of Receipt
09 / 30 / 2014
Transaction ID : A2014-2157675

Amount of Each Receipt this Period
30.76

C. Sarah E Haller
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs-MGT GJFA 1

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 762.04

Date of Receipt
09 / 30 / 2014
Transaction ID : A2014-2158164

Amount of Each Receipt this Period
154.00

SUBTOTAL of Receipts This Page (optional).....▶ 230.92

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 37 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Veronica Harris		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158696
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Product/Brand Manager - A-GJFA	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) B. Kathy-Jo B Hayden		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158184
Mailing Address One Health Plaza		Amount of Each Receipt this Period 60.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Government Affairs-MGT GJFA 3	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 366.94	

Full Name (Last, First, Middle Initial) C. David N Hering		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157854
Mailing Address 350 Massachusetts Avenue 350 MA # 234D		Amount of Each Receipt this Period 23.08
City Cambridge	State MA	Zip Code 02139
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Vaccines & Diagnostics	Occupation Product/Brand Management-MGT G	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

SUBTOTAL of Receipts This Page (optional).....▶	106.16
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 38 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Robert J Hilkert
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Medical Affairs (M.D.)-MGT GJF
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **438.52**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158656
 Amount of Each Receipt this Period
46.16

B. John A Hohneker
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation COMMERCIAL & GEN MGMT - CEG RO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **400.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158235
 Amount of Each Receipt this Period
50.00

C. William C Hokanson
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Asoc Mgr Reg Sls Operations-M
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **285.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158125
 Amount of Each Receipt this Period
30.00

SUBTOTAL of Receipts This Page (optional).....	126.16
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 39 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Otto C Horstmann II II		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158302
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Employee Benefits-MGT GJFA 3	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) B. Cyrus M Hoseyni		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158724
Mailing Address One Health Plaza		Amount of Each Receipt this Period 200.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation R&D General CEG 0	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 750.02	

Full Name (Last, First, Middle Initial) C. Gene M Hughes		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158383
Mailing Address One Health Plaza		Amount of Each Receipt this Period 24.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Area Account Manager-GJFA 4	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 528.00	

SUBTOTAL of Receipts This Page (optional).....▶	247.08
TOTAL This Period (last page this line number only).....▶	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 40 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Melody Hughson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158743

Amount of Each Receipt this Period
100.00

B. Edgar L Jarvis
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation First Level Supervisor - A-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **306.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158047

Amount of Each Receipt this Period
32.30

C. James P Jogerst
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Acquist Allianc & Licens-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157730

Amount of Each Receipt this Period
30.76

SUBTOTAL of Receipts This Page (optional).....▶ **163.06**

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 41 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Robert W Jones
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation General Management CEG 0

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2157804

Amount of Each Receipt this Period **23.08**

B. Deborah Juterbock
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Project Management-MGT GJFA 3

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158389

Amount of Each Receipt this Period **23.08**

C. Tawfik Kamal
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Marketing - Vice President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **450.00**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158254

Amount of Each Receipt this Period **50.00**

SUBTOTAL of Receipts This Page (optional)..... **96.16**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 42 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Sarah G Kan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1008.33**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158789

Amount of Each Receipt this Period
106.14

B. Mutlu Karakelle
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Eng - Med Devic Product Devel-

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157684

Amount of Each Receipt this Period
30.76

C. Bella B Karbachinskaya
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Operations Research-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158382

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional).....▶	159.98
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 43 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Erik L Karlsons
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Spec Frst Lvl Super- A - MS-MG
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 263.15

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158509
 Amount of Each Receipt this Period
 27.70

B. Claudia M Kelly
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Product Marketing-MGT GJFA 3
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157890
 Amount of Each Receipt this Period
 23.08

C. Thomas N Kendris
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Legal General CEG 0
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 876.85

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157948
 Amount of Each Receipt this Period
 92.30

SUBTOTAL of Receipts This Page (optional).....▶	143.08
TOTAL This Period (last page this line number only).....▶	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 44 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edward W Kettler III III
 Full Name (Last, First, Middle Initial)
 Mailing Address 6201 South Freeway
 City Fort Worth State TX Zip Code 76134-2001
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Programmer/Analyst-MGT GJFA 3
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.07

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157775
 Amount of Each Receipt this Period
 23.06

B. Michael C Kincaid
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Sales Director I-MGT GJFA 2
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 266.76

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158511
 Amount of Each Receipt this Period
 28.08

C. Shannon T Klinger
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Sandoz Inc. Occupation Global Head Lgl & Gen Counsel-
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1038.42

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157840
 Amount of Each Receipt this Period
 115.38

SUBTOTAL of Receipts This Page (optional).....▶	166.52
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 45 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Richard E Knapp

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2192.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158078

Amount of Each Receipt this Period
230.76

Full Name (Last, First, Middle Initial)
B. Paul M Kotch

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales Management-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **371.54**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157716

Amount of Each Receipt this Period
40.00

Full Name (Last, First, Middle Initial)
C. Robert W Kowalski

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation General Management CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158715

Amount of Each Receipt this Period
92.30

SUBTOTAL of Receipts This Page (optional)..... ▶ **363.06**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 46 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Keith A LaDue
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation DIVISION HEAD-MGT GJFA 1
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **207.72**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158205
 Amount of Each Receipt this Period
23.08

B. Leigh A Leas
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-MGT GJFA 2
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **410.60**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158596
 Amount of Each Receipt this Period
100.00

C. Christian A Lease
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 350 MA # 212E
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Cont Process Improv Anlys-MGT
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157851
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **146.16**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 47 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Richard E Lemire		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2157929
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="86.52"/>
Name of Employer Novartis Pharmaceuticals	Occupation Engineering - Facilities-MGT G	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="821.94"/>	

Full Name (Last, First, Middle Initial) B. Lee C Lensky		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2158745
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="60.00"/>
Name of Employer Novartis Pharmaceuticals	Occupation GROUP HEAD E-COMMERCE-MGT GJF	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="293.10"/>	

Full Name (Last, First, Middle Initial) C. Antonio F Lima		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2157743
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="250.00"/>
Name of Employer Alcon Research	Occupation Clinical Research (M.D.)-GJFA	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="250.00"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="396.52"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 48 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Manuel B Litchman

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation R&D General CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158315

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
B. Richard Lloyd

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Consumer Health Inc. Occupation General Management CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157810

Amount of Each Receipt this Period
92.30

Full Name (Last, First, Middle Initial)
C. Jeffrey W Lockwood

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer NIBRI Occupation Communications - External-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157817

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... ▶ **161.54**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 49 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Konstantine G Lolos		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2158290
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="28.08"/>
Name of Employer Novartis Pharmaceuticals	Occupation Spec Frst Lvl Super- A - Onco-	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="266.76"/>	

Full Name (Last, First, Middle Initial) B. Frederic D Loveland		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2158229
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="23.08"/>
Name of Employer Novartis Pharmaceuticals	Occupation Hd of R&D Plan & Prog Coord-MG	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="219.26"/>	

Full Name (Last, First, Middle Initial) C. Brenda Luckritz		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2158012
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="50.00"/>
Name of Employer Novartis Services Incorporated	Occupation Government Affairs-MGT GJFA 2	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="1475.00"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="101.16"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 50 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. David T Mac Askill
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Dir Fld Sls Mngd Hlth Care-B-M
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158112
 Amount of Each Receipt this Period
23.08

B. Joanne K Machalaba
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Head of Account Management-MGT
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158237
 Amount of Each Receipt this Period
23.08

C. Kimberly A MacKay
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Regulatory Compliance-MGT GJFA
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158515
 Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **69.24**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 51 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Frank Maness
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation HR Shared Svcs Exec-MGT GJFA 1

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **266.76**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158671

Amount of Each Receipt this Period
28.08

B. Frank Manolios
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Spec Sls Rep-3 - Onco-GJFA 6

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158136

Amount of Each Receipt this Period
30.00

C. Sridhar V Mantha
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation QA - Compliance-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157739

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **81.16**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 52 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Kerry L Markwardt
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Research Occupation Project Management - Life Cycl
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157670
 Amount of Each Receipt this Period
 23.08

B. Charles J Marshall
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Product/Brand Head Marketing-
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157693
 Amount of Each Receipt this Period
 23.08

C. Julie Masow
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Communications - External-MGT
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158689
 Amount of Each Receipt this Period
 23.08

SUBTOTAL of Receipts This Page (optional).....▶ 69.24
TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 53 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. William R Matthews
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Spec Frst Lvl Super- A - Onco-
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 404.06

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158172
 Amount of Each Receipt this Period
 42.68

B. Anne W Mauzy
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Med Science LiaisonNonMD-MGT G
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 285.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158518
 Amount of Each Receipt this Period
 30.00

C. Arlene J Mc Leer
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Regulatory Affairs Liaison-GJF
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 285.00

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158416
 Amount of Each Receipt this Period
 30.00

SUBTOTAL of Receipts This Page (optional).....▶	102.68
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 54 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Catharine M McBride

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158098

Amount of Each Receipt this Period
92.30

Full Name (Last, First, Middle Initial)
B. Robert R McBride

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Eng - Med Devic Product Devel-

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157759

Amount of Each Receipt this Period
250.00

Full Name (Last, First, Middle Initial)
C. Vivian H McCain

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Procurement-MGT GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **207.72**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157932

Amount of Each Receipt this Period
11.54

SUBTOTAL of Receipts This Page (optional)..... **353.84**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 55 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. J'ai B McClellan
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Alcon Laboratories Inc. Occupation Government Affairs-MGT GJFA 1
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 292.22

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157673
 Amount of Each Receipt this Period
 30.76

B. Christopher B McDonald
 Full Name (Last, First, Middle Initial)
 Mailing Address 475 Green Oaks Parkway
 City Holly Springs State NC Zip Code 27540
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Mfg Plant Mgmt-MGT GJFA 1
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 950.00

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157902
 Amount of Each Receipt this Period
 100.00

C. Paul J McGinley
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Therapeutic Head Marketing-MG
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 266.76

Date of Receipt
 M M / D D / Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158520
 Amount of Each Receipt this Period
 28.08

SUBTOTAL of Receipts This Page (optional)..... ▶ 158.84
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 56 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Edward D McGough		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2157680
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="230.76"/>
Name of Employer Alcon Laboratories Inc.	Occupation Manufacturing General CEG 0	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="2192.22"/>	

Full Name (Last, First, Middle Initial) B. Patricia A McGovern		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2158188
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="250.00"/>
Name of Employer Novartis Pharmaceuticals	Occupation Regulatory Affairs Liaison-MGT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="250.00"/>	

Full Name (Last, First, Middle Initial) C. Edward J McKenna		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City State Zip Code East Hanover NJ 07936		Transaction ID : A2014-2158642
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="27.70"/>
Name of Employer Novartis Pharmaceuticals	Occupation Area Account Manager-GJFA 4	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="263.15"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="508.46"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 57 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Brian J McNamara
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Consumer Health Inc. Occupation General Management CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **876.85**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157809

Amount of Each Receipt this Period
92.30

B. Gary J Menichini
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation General Management CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **475.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157638

Amount of Each Receipt this Period
50.00

C. Susan J Millard
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation HR General CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **475.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157645

Amount of Each Receipt this Period
50.00

SUBTOTAL of Receipts This Page (optional).....▶	192.30
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 58 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Donald J Miller
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Managed Care Mktg/Access Dir-M

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158523

Amount of Each Receipt this Period
23.08

B. Laurie C Mills
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Clinical Operations Occupation Clinical Research Monitoring-G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157816

Amount of Each Receipt this Period
27.70

C. Regina M Mitchell
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Spec SIs Rep - 3 - Vaccine XP-

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **570.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157921

Amount of Each Receipt this Period
60.00

SUBTOTAL of Receipts This Page (optional)..... **110.78**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 59 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Michael Moore

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Animal Health US Inc. Occupation Tech/Sci Sales Svc-GJFA 5

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2157805

Amount of Each Receipt this Period **23.08**

Full Name (Last, First, Middle Initial)
B. Stacey L Moore

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Account Manager-GJFA 5

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **339.74**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158081

Amount of Each Receipt this Period **35.90**

Full Name (Last, First, Middle Initial)
C. Jacqueline M Mullens

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation First Level Supervisor - B-MGT

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **250.00**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158803

Amount of Each Receipt this Period **50.00**

SUBTOTAL of Receipts This Page (optional)..... **108.98**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 60 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. James M Murphy
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Sales CEG 0

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2157698

Amount of Each Receipt this Period **30.76**

B. Polly L Murphy
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Spec Sls Rep-4-Other/Combinati

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **266.76**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158527

Amount of Each Receipt this Period **28.08**

C. Vasant K Narasimhan
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation General Management CEG 0

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **646.10**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158755

Amount of Each Receipt this Period **46.15**

SUBTOTAL of Receipts This Page (optional)..... **104.99**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 61 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Clifford R Neukrug
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Spec Frst Lvl Super- A - Onco-
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158636
 Amount of Each Receipt this Period
 23.08

B. An V Nguyen
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation SYST ANLY/PRGMG ASSOC DIR-GJFA
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158604
 Amount of Each Receipt this Period
 23.08

C. James R Niebanck
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Operations Research-MGT GJFA 3
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 266.76

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158169
 Amount of Each Receipt this Period
 28.08

SUBTOTAL of Receipts This Page (optional)..... ▶ 74.24
TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 62 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Sharon L Nobles
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Account Manager-GJFA 5

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158066

Amount of Each Receipt this Period
23.08

B. Hugh M O'Dowd
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation General Management CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158083

Amount of Each Receipt this Period
46.16

C. Shawn O'Neail
Full Name (Last, First, Middle Initial)

Mailing Address 608 Fifth Avenue

City New York State NY Zip Code 10020

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Services Incorporated Occupation Government Affairs-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **912.25**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158730

Amount of Each Receipt this Period
110.00

SUBTOTAL of Receipts This Page (optional)..... **179.24**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 63 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Sharon N Olmstead
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Top Fed & Legislat Aff Exec-MG

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158748

Amount of Each Receipt this Period
46.16

B. Craig S Osten
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Controller - Corporate-MGT GJF

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158747

Amount of Each Receipt this Period
46.16

C. Serafina Oxner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Strategic Planning-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158298

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional).....▶	138.48
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 64 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. John M Pakulski		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157837
Mailing Address One Health Plaza		Amount of Each Receipt this Period 92.30
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Sandoz Inc.	Occupation Regulatory Affairs Liaison-MGT	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 876.85	

Full Name (Last, First, Middle Initial) B. Joseph S Palumbo		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158264
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Organization Development-MGT G	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) C. Melissa A Parker		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158331
Mailing Address One Health Plaza		Amount of Each Receipt this Period 27.70
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Dir Fld Sls Mngd Hlth Care-B-M	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 263.15	

SUBTOTAL of Receipts This Page (optional).....▶	143.08
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 65 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Bin Peng
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Clinical Research (M.D.)-MGT G
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **450.00**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2158716
Amount of Each Receipt this Period **50.00**

B. Efthimios Petroutsas
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Management-MGT G
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **237.50**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2158629
Amount of Each Receipt this Period **25.00**

C. Michael E Pflieger
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Alcon Research Occupation Regulatory Affairs Liaison-MGT
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **500.00**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2157686
Amount of Each Receipt this Period **500.00**

SUBTOTAL of Receipts This Page (optional)..... **575.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 66 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Candice C Phipps
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Sandoz Inc. Occupation Government Affairs-MGT GJFA 3
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1900.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157844
 Amount of Each Receipt this Period
200.00

B. Elvira Ponce
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Vaccines & Diagnostics Occupation Medical Affairs - Director
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157898
 Amount of Each Receipt this Period
23.08

C. Elizabeth Power
 Full Name (Last, First, Middle Initial)
 Mailing Address 350 Massachusetts Avenue
 City Cambridge State MA Zip Code 02139
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Services Incorporated Occupation Communications - Internal-MGT
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **570.00**

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158757
 Amount of Each Receipt this Period
60.00

SUBTOTAL of Receipts This Page (optional)..... **283.08**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 67 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Marc B Reuss

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Novartis Vaccines & Diagnostics HR General CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
450.00

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014
Transaction ID : A2014-2157906

Amount of Each Receipt this Period
96.14

Full Name (Last, First, Middle Initial)
B. Margaret Riccobono

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Sandoz Inc. Training-MGT GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
219.26

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014
Transaction ID : A2014-2157834

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
C. Cadmus C Rich

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Alcon Research DEVELOPMENTAL-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
219.07

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014
Transaction ID : A2014-2157749

Amount of Each Receipt this Period
23.06

SUBTOTAL of Receipts This Page (optional)..... ▶ 96.14

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 68 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edward C Richards
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Mfg Plant Mgmt-MGT GJFA 1

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2157757

Amount of Each Receipt this Period **30.76**

B. Kevin T Rigby
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Communication CEG 0

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **2192.22**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158259

Amount of Each Receipt this Period **230.76**

C. Renee C Rodgers
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Internet Mktg/E-Business-MGT G

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **570.00**

Date of Receipt **09 / 30 / 2014**

Transaction ID : A2014-2158750

Amount of Each Receipt this Period **60.00**

SUBTOTAL of Receipts This Page (optional)..... **321.52**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 69 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Diane M Rogers		Date of Receipt
Mailing Address 608 Fifth Avenue		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code
New York	NY	10020
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2014-2157903
Name of Employer	Occupation	Amount of Each Receipt this Period
Novartis Vaccines & Diagnostics	Sales Management-MGT GJFA 1	<input type="text" value="27.70"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="263.15"/>	

Full Name (Last, First, Middle Initial) B. Thomas M Ruggia Jr.		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2014-2157710
Name of Employer	Occupation	Amount of Each Receipt this Period
Alcon Laboratories Inc.	Business Development-MGT GJFA	<input type="text" value="25.00"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="225.00"/>	

Full Name (Last, First, Middle Initial) C. Jason T Russell		Date of Receipt
Mailing Address One Health Plaza		<input type="text" value="09"/> / <input type="text" value="30"/> / <input type="text" value="2014"/>
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.	<input type="text" value="C"/>	Transaction ID : A2014-2157983
Name of Employer	Occupation	Amount of Each Receipt this Period
Novartis Pharmaceuticals	Reimbursement Specialist-GJFA	<input type="text" value="60.42"/>
Receipt For:	Aggregate Year-to-Date ▼	
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="569.63"/>	

SUBTOTAL of Receipts This Page (optional).....▶	<input type="text" value="113.12"/>
TOTAL This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 70 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Alan D Ryan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation National Account Mgr/Dir - B-G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **225.50**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158638

Amount of Each Receipt this Period
30.00

B. Joseph M Ryan
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Clinical Research Executive-MG

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **950.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158769

Amount of Each Receipt this Period
100.00

C. Peter Rzewnicki
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue
350 MA # 234F

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Price Strat and Policy Anlys-M

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157855

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **153.08**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 71 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Ahmad M Saad
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Engineering - Test-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157756

Amount of Each Receipt this Period
23.08

B. Adwoa A Sanderson
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Communications - External-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **475.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158797

Amount of Each Receipt this Period
50.00

C. David A Schoening
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation QA Mgmt - Plant-MGT GJFA 1

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **292.22**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157752

Amount of Each Receipt this Period
30.76

SUBTOTAL of Receipts This Page (optional)..... **103.84**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 72 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Russell E Seay Jr. Jr.
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Med Science LiaisonNonMD-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158548

Amount of Each Receipt this Period
30.00

B. S. M Seeland
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Sales Management-MGT GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158386

Amount of Each Receipt this Period
23.08

C. Christopher Sellin
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation SYSTEMS ANALYSIS MANAGER-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158670

Amount of Each Receipt this Period
27.70

SUBTOTAL of Receipts This Page (optional).....▶	80.78
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 73 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Christi L Shaw
Full Name (Last, First, Middle Initial)
Mailing Address 44 Guinea Hollow Rd
City Lebanon State NJ Zip Code 08833
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation General Management CEG 0
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **586.20**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2158731
Amount of Each Receipt this Period **120.00**

B. Joseph M Simon
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Novartis Pharmaceuticals Occupation Spec Sls Rep-4 - MS-GJFA 6
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **350.74**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2158110
Amount of Each Receipt this Period **36.92**

C. James E Sluck
Full Name (Last, First, Middle Initial)
Mailing Address One Health Plaza
City East Hanover State NJ Zip Code 07936
FEC ID number of contributing federal political committee. **C**
Name of Employer Alcon Laboratories Inc. Occupation Product/Brand Head Marketing-
Receipt For: Primary General Other (specify) Aggregate Year-to-Date **219.07**

Date of Receipt **09 / 30 / 2014**
Transaction ID : A2014-2157689
Amount of Each Receipt this Period **23.06**

SUBTOTAL of Receipts This Page (optional).....▶	179.98
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 74 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Michael F Smith		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158729
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Top Clin Dev Ops Exec-MGT GJFA	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	

Full Name (Last, First, Middle Initial) B. Stephen H Speares		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157704
Mailing Address One Health Plaza		Amount of Each Receipt this Period 30.78
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Alcon Laboratories Inc.	Occupation General Management CEG 0	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 292.41	

Full Name (Last, First, Middle Initial) C. William S Spelta		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157971
Mailing Address One Health Plaza		Amount of Each Receipt this Period 28.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Spec Frst Lvl Super- A - Onco-	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 266.76	

SUBTOTAL of Receipts This Page (optional).....▶	81.94
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 75 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Robert A Spurr
 Full Name (Last, First, Middle Initial)
 Mailing Address 608 Fifth Avenue
 City New York State NY Zip Code 10020
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Top Managed Health Care Exec-M
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 1900.00

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158776
 Amount of Each Receipt this Period
 200.00

B. Donald E Stecher
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-GJFA 4
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 219.26

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158345
 Amount of Each Receipt this Period
 23.08

C. Donald P Stevens
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-MGT GJFA 3
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 657.78

Date of Receipt
 M M / D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158028
 Amount of Each Receipt this Period
 69.24

SUBTOTAL of Receipts This Page (optional).....▶	292.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 76 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Lesley J Stickley

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Account Manager-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158076

Amount of Each Receipt this Period
23.08

Full Name (Last, First, Middle Initial)
B. Eugene L Straub

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Product Marketing-MGT GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157648

Amount of Each Receipt this Period
150.00

Full Name (Last, First, Middle Initial)
C. Peter N Streit

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Product/Brand Management-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **263.15**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158284

Amount of Each Receipt this Period
27.70

SUBTOTAL of Receipts This Page (optional).....▶	200.78
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 77 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Edson J Stricker
Full Name (Last, First, Middle Initial)

Mailing Address 6201 South Freeway

City Fort Worth State TX Zip Code 76134-2001

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Research Occupation Engineering - Manufacturing-MG

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.07**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157776

Amount of Each Receipt this Period
23.06

B. Mary R Strickland
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation Government Affairs-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157836

Amount of Each Receipt this Period
46.16

C. Nirupama Subramanian
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue
350 MA # 232A

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Clinical Research (Non M.D.)-M

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2157852

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... ▶ **92.30**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 78 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. John Suchorsky
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation RELATIONSHIP MANAGEMENT HD-MGT

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158255

Amount of Each Receipt this Period
46.16

B. Xiaowei Sun
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Sandoz Inc. Occupation QC Anlys and Test Svcs-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157842

Amount of Each Receipt this Period
23.08

C. Thomas A Suter
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Government Affairs-MGT GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158692

Amount of Each Receipt this Period
46.16

SUBTOTAL of Receipts This Page (optional)..... **115.40**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 79 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Richard Tessier
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Engineering - Facilities-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158311

Amount of Each Receipt this Period
46.16

B. Christina M Tremains
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Area Account Manager-GJFA 4

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **600.68**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158351

Amount of Each Receipt this Period
63.48

C. Harshini D Turner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Clinical Research (M.D.)-GJFA

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158717

Amount of Each Receipt this Period
30.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **139.64**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 80 OF 98
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Thomas A Urban		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157944
Mailing Address One Health Plaza		Amount of Each Receipt this Period 31.64
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Spec Sls Rep-4-Other/Combinati	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 298.74	

Full Name (Last, First, Middle Initial) B. Lisa R Utt		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158007
Mailing Address One Health Plaza		Amount of Each Receipt this Period 27.70
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Spec Sls Rep-4 - Psychiatric/C	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 263.15	

Full Name (Last, First, Middle Initial) C. Edward S Vander Veen		Date of Receipt M M M / D D D / Y Y Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158568
Mailing Address One Health Plaza		Amount of Each Receipt this Period 30.00
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		
Name of Employer Novartis Pharmaceuticals	Occupation Sales and Mktg Exec 2nd lvl-MG	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 285.00	

SUBTOTAL of Receipts This Page (optional).....▶	89.34
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 81 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial) A. Erwin Vanhaecke		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2157718
Mailing Address One Health Plaza		Amount of Each Receipt this Period 23.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Alcon Laboratories Inc.	Occupation PRODUCTION - CEG ROLE
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 207.72	

Full Name (Last, First, Middle Initial) B. William W Voegtli		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158003
Mailing Address One Health Plaza		Amount of Each Receipt this Period 28.08
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	Occupation Reimbursement Specialist-GJFA
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 266.76	

Full Name (Last, First, Middle Initial) C. Andrew J Volante		Date of Receipt M M / D D / Y Y Y Y 09 / 30 / 2014 Transaction ID : A2014-2158160
Mailing Address One Health Plaza		Amount of Each Receipt this Period 92.30
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C	Name of Employer Novartis Pharmaceuticals	Occupation Mktg and Sales Exec-TP Area-MG
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 876.85	

SUBTOTAL of Receipts This Page (optional).....▶	143.46
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 82 OF 98
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Christen L Volk

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation National Account Mgr/Dir - B-G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158771

Amount of Each Receipt this Period
30.00

Full Name (Last, First, Middle Initial)
B. Matthew M von Wellsheim

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation IT Management CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157683

Amount of Each Receipt this Period
46.16

Full Name (Last, First, Middle Initial)
C. Anthony J Wallace

Mailing Address One Health Plaza
 Ste 725

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Sales Operations-MGT GJFA 2

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157861

Amount of Each Receipt this Period
30.00

SUBTOTAL of Receipts This Page (optional)..... ▶ **106.16**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 83 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. John F Ward
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Law - General-GJFA 3

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157905

Amount of Each Receipt this Period
23.08

B. Robert K Warner
Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation General Management CEG 0

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157691

Amount of Each Receipt this Period
46.16

C. James T Wassil
Full Name (Last, First, Middle Initial)

Mailing Address 350 Massachusetts Avenue

City Cambridge State MA Zip Code 02139

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Vaccines & Diagnostics Occupation Clinical Research (M.D.)-MGT G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157907

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... ▶ **92.32**

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 84 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)
A. Stephanie B Waugh

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Alcon Laboratories Inc. Occupation Product/Brand Head Marketing-

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2157792

Amount of Each Receipt this Period
30.00

Full Name (Last, First, Middle Initial)
B. Jane E Welborn

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation Spec Sls Rep-4 - Onco-GJFA 6

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **466.45**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158045

Amount of Each Receipt this Period
49.10

Full Name (Last, First, Middle Initial)
C. Brad C Willie

Mailing Address One Health Plaza

City East Hanover State NJ Zip Code 07936

FEC ID number of contributing federal political committee. **C**

Name of Employer Novartis Pharmaceuticals Occupation National Account Mgr/Dir - B-G

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
09 / 30 / 2014

Transaction ID : A2014-2158019

Amount of Each Receipt this Period
23.08

SUBTOTAL of Receipts This Page (optional)..... **102.18**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 85 OF 98
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Novartis Corporation Political Action Committee

A. Stephen A Woolford
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Financial Analysis-MGT GJFA 2
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **438.52**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158295
 Amount of Each Receipt this Period
46.16

B. Christine D Wyble
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Medical Information Services-M
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **219.26**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158719
 Amount of Each Receipt this Period
23.08

C. Nuray Yurt
 Full Name (Last, First, Middle Initial)
 Mailing Address One Health Plaza
 City East Hanover State NJ Zip Code 07936
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Novartis Pharmaceuticals Occupation Operations Research-MGT GJFA 3
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **285.00**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 09 / 30 / 2014
Transaction ID : A2014-2158703
 Amount of Each Receipt this Period
30.00

SUBTOTAL of Receipts This Page (optional).....	99.24
TOTAL This Period (last page this line number only).....	14409.23

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Capital One Bank

Mailing Address 701 Pennsylvania Ave. NW

City Washington State DC Zip Code 20004

Purpose of Disbursement
Bank Service Charge

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

/ /

Transaction ID : B536460

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

/ /

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

/ /

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Bera for Congress

Mailing Address P.O. Box 582496

City Elk Grove State CA Zip Code 95758

Purpose of Disbursement
Contribution

011

Candidate Name

Amerish Bera

Category/
Type

Office Sought: House
 Senate
 President
State: CA District: 07

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511588

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

B. Dr. Raul Ruiz for Congress Cmte

Mailing Address 1229 Morse Street NE

City Washington State DC Zip Code 20002

Purpose of Disbursement
Contribution

011

Candidate Name

Raul Ruiz MD

Category/
Type

Office Sought: House
 Senate
 President
State: CA District: 36

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511585

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Scott Peters for Congress

Mailing Address 412 First St. SE Suite 100

City Washington State DC Zip Code 20003

Purpose of Disbursement
Contribution

011

Candidate Name

Scott Peters

Category/
Type

Office Sought: House
 Senate
 President
State: CA District: 52

Disbursement For: 2014
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
09 / 22 / 2014

Transaction ID : B532206

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

2500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Braley for Iowa

Mailing Address PO Box 856

City Des Moines State IA Zip Code 50304

Purpose of Disbursement
Contribution

011

Candidate Name

Bruce Braley

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: IA District:

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511587

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Schock for Congress

Mailing Address 209 Pennsylvania Ave.SE

City Washington State DC Zip Code 20003

Purpose of Disbursement
Contribution

011

Candidate Name

Aaron Schock

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: IL District: 18

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511583

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Roberts for Senate

Mailing Address 325 7th St. NW Suite 400

City Washington State DC Zip Code 20004

Purpose of Disbursement
Contribution

011

Candidate Name

Pat Roberts

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: KS District:

Date of Disbursement

MM / DD / YYYY
09 / 22 / 2014

Transaction ID : B532208

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joe Kennedy for Congress

Mailing Address 185 Devonshire St. Suite 601

City Boston State MA Zip Code 02110

Purpose of Disbursement Contribution

011

Candidate Name

Joseph P Kennedy III

Category/Type

Office Sought: House Senate President

Disbursement For: 2014
 Primary General Other (specify) ▼

State: MA District: 04

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511581

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. More Conservatives PAC

Mailing Address 228 S. Washington St. Ste 115

City Alexandria State VA Zip Code 22314

Purpose of Disbursement Contribution

011

Candidate Name

Office Sought: House Senate President

Disbursement For: 2014
 Primary General Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 22 / 2014

Transaction ID : B532209

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Deb Fischer for US Senate Inc.

Mailing Address 228 S. Washington St. Suite 330

City Alexandria State VA Zip Code 22314

Purpose of Disbursement Contribution

011

Candidate Name

Deb Fischer

Category/Type

Office Sought: House Senate President

Disbursement For: 2018
 Primary General Other (specify) ▼

State: NE District:

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511589

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lee Terry for Congress

Mailing Address 1707 Prince Street #5

City Alexandria State VA Zip Code 22314

Purpose of Disbursement Contribution

011

Candidate Name
Lee R Terry

Category/Type

Office Sought: House Senate President
State: NE District: 02

Disbursement For: 2014
 Primary General Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511584

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Cory Booker for Senate

Mailing Address PO Box 32237

City Newark State NJ Zip Code 07102

Purpose of Disbursement Contribution

011

Candidate Name
Cory Booker

Category/Type

Office Sought: House Senate President
State: NJ District:

Disbursement For: 2014
 Primary General Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
09 / 22 / 2014

Transaction ID : B532205

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Lance for Congress

Mailing Address 1006 Pendleton Street

City Alexandria State VA Zip Code 22314

Purpose of Disbursement Contribution

011

Candidate Name
Leonard Lance

Category/Type

Office Sought: House Senate President
State: NJ District: 07

Disbursement For: 2014
 Primary General Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
09 / 22 / 2014

Transaction ID : B532210

Amount of Each Disbursement this Period

2500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Friends of Schumer

Mailing Address 220 I Street NE Suite 250

City Washington State DC Zip Code 20002

Purpose of Disbursement Contribution

011

Candidate Name

Charles E Schumer

Category/Type

Office Sought: House Senate President

Disbursement For: 2016 Primary General Other (specify) ▼

State: NY District:

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B511580

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Wyden for Senate

Mailing Address 3612 Newark St. NW

City Washington State DC Zip Code 20016

Purpose of Disbursement Contribution

011

Candidate Name

Ron Wyden

Category/Type

Office Sought: House Senate President

Disbursement For: 2016 Primary General Other (specify) ▼

State: OR District:

Date of Disbursement

MM / DD / YYYY
09 / 23 / 2014

Transaction ID : B532570

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Friends of Pat Toomey

Mailing Address 499 S. Capitol St. SW Suite 420

City Washington State DC Zip Code 20003

Purpose of Disbursement Contribution

011

Candidate Name

Pat Toomey

Category/Type

Office Sought: House Senate President

Disbursement For: 2016 Primary General Other (specify) ▼

State: PA District:

Date of Disbursement

MM / DD / YYYY
09 / 16 / 2014

Transaction ID : B531576

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Making America Prosperous PAC

Mailing Address PO Box 2485

City Springfield State VA Zip Code 22152

Purpose of Disbursement
Contribution

011

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: District:

Not Applicable

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		2	3		2	0	1	4

Transaction ID : B532599

Amount of Each Disbursement this Period

1	5	0	0	.	0	0
---	---	---	---	---	---	---

Full Name (Last, First, Middle Initial)

B. Friends of Mark Warner

Mailing Address 10 G Street NE Suite 470

City Washington State DC Zip Code 20002

Purpose of Disbursement
Contribution

011

Candidate Name

Mark Warner

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: VA District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		1	6		2	0	1	4

Transaction ID : B511582

Amount of Each Disbursement this Period

1	0	0	0	.	0	0
---	---	---	---	---	---	---

Full Name (Last, First, Middle Initial)

C. Keep Nick Rahall In Congress Cmte

Mailing Address P.O. Box 75214

City Washington State DC Zip Code 20013

Purpose of Disbursement
Contribution

011

Candidate Name

Nick J Rahall II

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: WV District: 03

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
0	9		2	2		2	0	1	4

Transaction ID : B532204

Amount of Each Disbursement this Period

1	0	0	0	.	0	0
---	---	---	---	---	---	---

SUBTOTAL of Disbursements This Page (optional)..... ▶

3	5	0	0	.	0	0
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TOTAL This Period (last page this line number only)..... ▶

2	1	5	0	.	0	0
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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lisa Aghabi

Mailing Address 49 Camelot Drive

City Budd Lake State NJ Zip Code 07828

Purpose of Disbursement
Refund of payroll overpayment

010

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B533016

Amount of Each Disbursement this Period

800.00

Full Name (Last, First, Middle Initial)

B. Eugene Straub

Mailing Address 2407 Mona Vale Road

City Trophy Club State TX Zip Code 76262

Purpose of Disbursement
Employee Refund

010

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B533011

Amount of Each Disbursement this Period

150.00

Full Name (Last, First, Middle Initial)

C. Jeffrey Hunter

Mailing Address 2322 Everton Dr.

City Arlington State TX Zip Code 76001

Purpose of Disbursement
Refund of payroll overpayment

010

Candidate Name

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼
Not Applicable

State: District:

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B533017

Amount of Each Disbursement this Period

100.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

1050.00

TOTAL This Period (last page this line number only)..... ▶

1050.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. People for Beall

Mailing Address 1928 N. 22nd Street

City State Zip Code
Fort Dodge IA 50501

Purpose of Disbursement
G-2014 State Senate 5 IA

011

Category/
Type

Candidate Name

Daryl Beall

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: IA District: 05

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		24		2014

Transaction ID : B532980

Amount of Each Disbursement this Period

300.00

Full Name (Last, First, Middle Initial)

B. Amanda Ragan for Iowa Senate

Mailing Address 361 S. Penn 1D

City State Zip Code
Mason City IA 50401

Purpose of Disbursement
G-2014 State Senate 27 IA

011

Category/
Type

Candidate Name

Amanda Ragan

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: IA District: 27

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		24		2014

Transaction ID : B532978

Amount of Each Disbursement this Period

300.00

Full Name (Last, First, Middle Initial)

C. Apodaca for NC Senate Cmte

Mailing Address 1504 Fifth Ave. West

City State Zip Code
Hendersonville NC 28739

Purpose of Disbursement
G-2014 State Senate 48 NC

011

Category/
Type

Candidate Name

Tom Apodaca

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 48

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		24		2014

Transaction ID : B532953

Amount of Each Disbursement this Period

500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

1100.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Marilyn Avila for State House

Mailing Address 11312 Derby Lane

City Raleigh State NC Zip Code 27613

Purpose of Disbursement
G-2014 State House 40 NC

011

Category/
Type

Candidate Name

Marilyn Avila

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 40

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B532946

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Citizens for Tamara Barringer

Mailing Address P.O. Box 6365

City Cary State NC Zip Code 27512

Purpose of Disbursement
G-2014 State Senate 17 NC

011

Category/
Type

Candidate Name

Tamara Barringer

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 17

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B532951

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Phil Berger Campaign

Mailing Address P.O. Box 1309

City Eden State NC Zip Code 27289

Purpose of Disbursement
G-2014 State Senate 26 NC

011

Category/
Type

Candidate Name

Phillip Berger

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 26

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B532956

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William Brisson for the NC House

Mailing Address PO Box 531

City State Zip Code
Dublin NC 28332

Purpose of Disbursement
G-2014 State House 22 NC

011

Category/
Type

Candidate Name

William Brisson

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 22

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	24	/	2014

Transaction ID : B532965

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

B. Dollar for House

Mailing Address P.O. Box 1352

City State Zip Code
Cary NC 27512

Purpose of Disbursement
G-2014 State House 36 NC

011

Category/
Type

Candidate Name

Nelson Dollar

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 36

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	24	/	2014

Transaction ID : B532960

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Hager for State House

Mailing Address 342 Walking Horse Trail

City State Zip Code
Rutherford NC 28139

Purpose of Disbursement
G-2014 State House 112 NC

011

Category/
Type

Candidate Name

Michael Hager

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 11

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09	/	24	/	2014

Transaction ID : B532966

Amount of Each Disbursement this Period

500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

2000.00

TOTAL This Period (last page this line number only)..... ▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Darren Jackson for NC House

Mailing Address 1525 Crickett

City Raleigh State NC Zip Code 27610

Purpose of Disbursement
G-2014 State House 39 NC

011

Candidate Name

Darren Jackson

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 39

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B532955

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

B. Committee to Elect David Lewis

Mailing Address P.O. Box 1826

City Dunn State NC Zip Code 28335

Purpose of Disbursement
G-2014 State House 53 NC

011

Candidate Name

David L Lewis

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 53

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B532958

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

C. Citizens for Susan Martin

Mailing Address PO Box 8157

City Wison State NC Zip Code 27893

Purpose of Disbursement
G-2014 State House 8 NC

011

Candidate Name

Susan Martin

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2014
 Primary General
 Other (specify) ▼

State: NC District: 08

Date of Disbursement

MM / DD / YYYY
09 / 24 / 2014

Transaction ID : B532961

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

2000.00

TOTAL This Period (last page this line number only)..... ▶

