PAGE 1 / 98

Image# 14978456585

FEC FORM 3Y

REPORT OF RECEIPTS AND DISBURSEMENTS

TORIVI 3X	For Other Than An Auth	orized Committe	e	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing over the lines.	g, type 12FI	E4M5
Novartis Corporation F	Political Action Commi	ttee		
ADDRESS (number and street)	701 Pennsylvania Ave. NW S	Suite 725		
Check if different				
than previously reported. (ACC)	Washington		DC	20004-2608
2. FEC IDENTIFICATION NU	JMBER ▼ CITY	(▲	STATE	▲ ZIP CODE ▲
C C00033969	3. IS	THIS X NI	or	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:		ay 20 (M5)	Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Mar 2	20 (M3) Ju	ın 20 (M6)	Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (C		20 (M4) Ju	II 20 (M7) ★	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (C	(C) 12-Day	Primary (12P)	G	eneral (12G) Runoff (12R)
October 15 Quarterly Report (C	Report for the:	Convention (1	2C) S _I	pecial (12S)
January 31 Year-End Report (Y	Floation	on/	D D / Y Y	in the State of
July 31 Mid-Year Report (Non-electio Year Only) (MY)	n (d) 30-Day POST-Election	General (30G)	R	unoff (30R) Special (30S)
Termination Report (TER)	Report for the:	n on	D D / Y Y	in the State of
5. Covering Period 09	01 2014	through	09 30	2014
I certify that I have examined th	is Report and to the best of i	my knowledge and be	elief it is true, corr	ect and complete.
Type or Print Name of Treasure	Shawn O'Neail			
Signature of Treasurer Shaw	n O'Neail	[Electronically	Filed] Date	10 / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
NOTE: Submission of false, erron	eous, or incomplete information	may subject the person	on signing this Repo	ort to the penalties of 2 U.S.C. §437g.
Office Use Only				FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 09 01 2014 To: 09 30 2014

		COLUMN A This Period			
6.	(a) Cash on Hand January 1, 2014		198729.54		
	(b) Cash on Hand at Beginning of Reporting Period	144499.22			
	(c) Total Receipts (from Line 19)	26448.40	236485.31		
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	170947.62	435214.85		
7.	Total Disbursements (from Line 31)	33655.40	297922.63		
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	137292.22	137292.22		
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00			
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00			

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

I. Receipts Ins (other than loans) From: Insuals/Persons Other Political Committees Insuals/Persons Other Political Committees Insuals/Persons Other Political Committees Insuals/Persons Insuals/Persons	COLUMN A Total This Period 14409.23 12039.17 26448.40 0.00	74738.31 155497.00
uals/Persons Other Political Committees mized (use Schedule A) itemized TAL (add es 11(a)(i) and (ii) Political Committees	12039.17 26448.40	155497.00
Political Committees mized (use Schedule A) itemized TAL (add es 11(a)(i) and (ii) al Party Committees Political Committees	12039.17 26448.40	155497.00
itemized (use Schedule A) itemized ITAL (add es 11(a)(i) and (ii) al Party Committees Political Committees	12039.17 26448.40	155497.00
itemized TAL (add es 11(a)(i) and (ii) al Party Committees Political Committees	12039.17 26448.40	155497.00
TAL (add es 11(a)(i) and (ii) al Party Committees Political Committees	26448.40	
es 11(a)(i) and (ii) al Party Committees Political Committees		230235.31
Political Committees	0.00	
		0.00
as PACs)	0.00	0.00
	26448.40	230235.31
	0.00	0.00
Received	0.00	0.00
	7	
yments Received	0.00	0.00
Operating Expenditures		
Rebates, etc.)		
ls to Line 37, page 5)	0.00	0.00
Contributions Made		, , , , , , , , , , , , , , , , , , , ,
Candidates and Other		
mmittees	0.00	6250.00
ral Receipts		
· · · · · · · · · · · · · · · · · · ·	0.00	0.00
Schedule H3)	0.00	0.00
	0.00	
unds (from Schedule H5)	0.00	0.00
ansfers (add 18(a) and 18(b))	0.00	0.00
	Contributions (add Lines ii), (b), and (c)) (Carry to Line 33, page 5)	ii), (b), and (c)) (Carry to Line 33, page 5)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	170.04	787.27
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	170.04	787.27
Transfers to Affiliated/Other Party Committees	0.00	0.00
Contributions to Federal Candidates/Committees	21500.00	
and Other Political Committees Independent Expenditures		273500.00
(use Schedule E)	0.00	0.00
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	1385.36	1385.36
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	1385.36	1385.36
Other Disbursements	10600.00	22250.00
Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity		
(from Schedule H6) (i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	33655.40	297922.63
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	33655.40	297922.63

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page **5**

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	26448.40	230235.31
4. Total Contribution Refunds (from Line 28(d))	1385.36	1385.36
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	25063.04	228849.95
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	170.04	787.27
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	170.04	787.27

Use separate schedule(s) for each category of the Detailed Summary Page

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	and Statements may not be sold or used by any pel g the name and address of any political committee	
NAME OF COMMITTEE (In Full)	14.00	
Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) A. Robert E Ackerman Jr.		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158216
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Top Shared Svcs Exec-MGT GJFA	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	riggiogate Teal to Date ₹	
Other (specify) ▼	219.26	
Full Name (Last, First, Middle Initial) Robin D Adelstein		Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y Y
City	State Zip Code	09 30 2014 Transaction ID : A2014 2457929
East Hanover	NJ 07936	Transaction ID : A2014-2157828
	07000	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	80.00
Name of Employer	Occupation	
Sandoz Inc.	Legal General CEG 0	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	276.18	
Full Name (Last, First, Middle Initial) Lisa A Aghabi	I	Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158760
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	800.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	QA In-Proc & Release Ctrl-MGT	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	1600.00	
SURTOTAL of Receipts This Page (antions	al)	903.08
ODITION OF Necespies This Page (options	<u> </u>	
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Use separate schedule(s) for each category of the Detailed Summary Page

FOF	R LINE	NU	MBER	:	PAGE	7	OF	98
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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Laman Alani Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 09 30 2014 Transaction ID : A2014-2157742 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	Occupation Formulation-MGT GJFA 1 Aggregate Year-to-Date ▼ 292.22	30.76
Full Name (Last, First, Middle Initial) Gary J Appio Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 09 30 2014 Transaction ID : A2014-2158211
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Product Sfty Risk Mgmt-MGT GJF	Amount of Each Receipt this Period 27.70
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 263.15	
Full Name (Last, First, Middle Initial) Brad Arlett Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt 09 30 2014 Transaction ID : A2014-2158709 Amount of Each Receipt this Period 23.08
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation RELATIONSHIP MANAGER-MGT GJFA Aggregate Year-to-Date ▼ 219.26	
SUBTOTAL of Receipts This Page (optional)		81.54
TOTAL This Period (last page this line number	only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Name of Employer Novartis Pharmaceuticals Receipt For:	or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political	the name and address of any political committee Action Committee	to solicit contributions from such committee.
Milling Address One Health Plaza City East Hanover NJ 07936 FEC ID number of contributing federal political committee. Full Name (Last, First, Middle Initial) City East Hanover DATABASE ANALYST LEAD-GJFA 4 Receipt For: Primary Other (specify) ▼ State State Zip Code NJ 07936 Aggregate Year-to-Date ▼ Date of Receipt Transaction ID : A2014-2159283 Amount of Each Receipt this Period 46.16 Date of Receipt Transaction ID : A2014-2159283 Amount of Each Receipt this Period 46.16 Date of Receipt Transaction ID : A2014-2159283 Amount of Each Receipt this Period 46.16 Date of Receipt Transaction ID : A2014-2159281 Amount of Each Receipt this Period Date of Receipt Transaction ID : A2014-2159281 Amount of Each Receipt this Period Date of Receipt 46.16	Full Name (Last, First, Middle Initial) Andrew Arline Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Area Account Manager-GJFA 4 Aggregate Year-to-Date ▼ 263.15	09 30 2014 Transaction ID : A2014-2158454
Date of Receipt Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼ Date of Receipt MMM / D3 / 30 / 2014 Transaction ID: A2014-2158781 Amount of Each Receipt this Period 30.00 30.00	Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	NJ 07936 C Occupation DATABASE ANALYST LEAD-GJFA 4 Aggregate Year-to-Date ▼	09 30 2014 Transaction ID : A2014-2158283
SUBTOTAL of Receipts This Page (optional)	City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	NJ 07936 C Occupation Product/Brand Manager - A-GJFA Aggregate Year-to-Date ▼	09 30 2014 Transaction ID : A2014-2158781
	SUBTOTAL of Receipts This Page (optional)	>	103.86

Use separate schedule(s) for each category of the Detailed Summary Page

FC	R LINE	PAGE	9	OF	98		
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	X 11a	11b		11c	12		
	13	14		15	16		17

	the name and address of any political committee	
NAME OF COMMITTEE (In Full)	A -41 O 111	
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Michael C Barninger		Date of Receipt
Mailing Address One Health Plaza		09 30 / Y Y Y Y Y
City	State Zip Code	Transaction ID : A2014-2158461
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	34.00
Name of Employer	Occupation	†
Novartis Pharmaceuticals	Director Sales Operations-MGT	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General	30 0	
Other (specify) ▼	221.99	<u></u>
Full Name (Last, First, Middle Initial) 3. Neilda A Baron		Date of Receipt
Mailing Address One Health Plaza		09 30 _2014 _
City	State Zip Code	09 30 2014 Transaction ID : A2014-2158694
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		1
federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Medical Affairs (M.D.)-MGT GJF	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	950.00	
Full Name (Last, First, Middle Initial) C. Tracy L Baroni Allmon		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158710
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	120.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Government Affairs-MGT GJFA 2	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	959.95	
Other (specify) ▼	959.95	
SURTOTAL of Possints This Poss (artists to		254.00
TOTAL OF RECEIPTS THIS Page (optional).	<u> </u>	20.000
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98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Ted R Benson Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157826 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Sales - Pharma - Spec-GJFA 5 Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sheila Bhattacharya Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158317 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Account Mgr/Dir - B-G Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) **c.** Edward J Blair Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158208 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Product/Brand Director-GJFA 4 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) 76.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Leduardo Blas		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157695
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.76
Name of Employer	Occupation	
Alcon Laboratories Inc.	Info Sys - Gen/Multi (Tech)-MG	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	222.22	
Other (specify)	292.22	
Full Name (Last, First, Middle Initial) Lisa M Blizzard		Date of Receipt
Mailing Address One Health Plaza		09 30 2014 _
City	State Zip Code	Transaction ID : A2014-2158464
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Managed Care Mktg/Access Dir-M	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	
Full Name (Last, First, Middle Initial) C. Mojtaba Bonakdar		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	09 30 2014 Transaction ID : A2014-2157687
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	23.06
Name of Employer	Occupation	
Alcon Research	Chemistry - Analytical-MGT GJF	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	219.07	
SUBTOTAL of Receipts This Page (optional)		76.90
TOTAL This Period (last page this line number	only)	

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98

Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any persibe name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Troy L Borill Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	09 30 2014 Transaction ID : A2014-2158404 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	38.04
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Spec SIs Rep-4 - Onco-GJFA 6 Aggregate Year-to-Date ▼ 360.12	
Full Name (Last, First, Middle Initial) 3. Daniel S Bortfeld Mailing Address One Health Plaza		Date of Receipt 09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158071 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	23.08
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Staffing-MGT GJFA 3	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	
Full Name (Last, First, Middle Initial) C. Michael D Brooks		Date of Receipt
Mailing Address One Health Plaza	Stato Zin Code	09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158106 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	40.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals Receipt For:	Spec Frst Lvl Super- A - Onco-	-
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 340.00	
SUBTOTAL of Receipts This Page (optional).	•	101.12
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page		11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lucinda A Bruere Date of Receipt Mailing Address One Health Plaza 30 2014 City State Zip Code Transaction ID: A2014-2158041 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation First Level Supervisor - A-MGT Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) В.

Full Name (Last, First, Middle Initial) Thomas R Brunner		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158470
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	70.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	SYSTEMS PLNNG & ARCH HD-MGT GJ	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	486.20	
Full Name (Last, First, Middle Initial) Mayumi K Buckoski		Date of Receipt

Mailing Address 475 Green Oaks Parkway 30 2014 City State Zip Code Transaction ID: A2014-2157901 NC Holly Springs 27540 Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Logistics Management-MGT GJFA Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify)

SUBTOTAL of Receipts This Page (optional)	Ξ	Ξ	7		I	7		12	3.08	
TOTAL This Period (last page this line number only)	_	_	7	Ξ	Ξ	7	Ξ	_	_	

30.00

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98

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard Burns Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157969 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Sales Management-MGT GJFA 3 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy S Byler Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158155 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Patricia A Cannon Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158772 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 70.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 700.00 Other (specify) 120.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158119 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 276.92 federal political committee. Name of Employer Occupation Top Fed & Legislat Aff Exec-MG Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 2630.74 Other (specify) Full Name (Last, First, Middle Initial) B. Cynthia C Cetani Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158474 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Top Compliance Exec-MGT GJFA 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) c. Atindra N Chaturvedi Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158215 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Sys- Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 415.44 Other (specify) 346.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) X 11a 11b 11c

16 OF 98 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157989 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.84 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 527.91 Other (specify) Full Name (Last, First, Middle Initial) B. Sean T Clark Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157639 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Product/Brand Management-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) Full Name (Last, First, Middle Initial) c. Cathryn M Clary Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158773 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 С federal political committee. Name of Employer Occupation R&D General CEG 0 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1900.00 Other (specify) 317.84 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Noelle L Cloud Dugan Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157813 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Government Affairs-MGT GJFA 2 Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name (Last, First, Middle Initial) B. Julie A Collins Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157640 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Prof Svcs - Mktg-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name (Last, First, Middle Initial) c. Michael A Conley Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158166 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Dir Fld Sls Mngd Hlth Care-A-M Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) 238.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 18 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2014 City Zip Code State Transaction ID: A2014-2157839 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Sandoz Inc. Product/Brand Management-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name (Last, First, Middle Initial) B. Eric C Couture Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158667 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reg Aff Exec-Domestic-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) Full Name (Last, First, Middle Initial) c. David C Creedon Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158722 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation RELATIONSHIP MANAGEMENT HD-MGT Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) 162.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

98

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Rhonda N Crichlow Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158594 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Top Diversity Executive-MGT GJ Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas G Cullen Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. Sci Acquist & Lic Invest-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Sally R Daniels Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158695 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Management-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) 96.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

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FOR LINE NUMBER: PAGE 21 98 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

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FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

98

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Randal Dias Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158227 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Project Management-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Candace B Dibblee Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158321 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs-MGT GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1080.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Anthony M Diorio Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157914 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Business Intel Anlys-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) 283.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Christos Dogas Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157850 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Auditing-MGT GJFA 3 Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Dawn M Doggett-Soldatke Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Account Mgr/Dir - B-G Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) c. Alastair M Douglas Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157720 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Training - Sales-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) 546.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF 98 Use separate sch for each category Detailed Summa

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NAME OF COMMITTEE (In Full)	dian Carrent	
Novartis Corporation Political Ac	CION COMMITTEE	
Full Name (Last, First, Middle Initial) Monica M Dunn Mailing Address 475 Green Oaks Parkway		Date of Receipt
		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157908
Holly Springs	NC 27540	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Project Management-MGT GJFA 4	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) General	285.00	
Full Name (Last, First, Middle Initial) Carter L Dutch		Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	09 30 2014 Transaction ID : A2014-2158764
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Fld Sls Mngd Hlth Care-A-M	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 950.00	
Full Name (Last, First, Middle Initial) Carol D DuVall		Date of Receipt
Mailing Address One Health Plaza		09 30 / Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2014-2157682
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.76
Name of Employer	Occupation	
Alcon Laboratories Inc.	Auditing-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	292.22	
SUBTOTAL of Receipts This Page (optional)		160.76
TOTAL This Period (last page this line number of	only)	

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for each category of the Detailed Summary Page	X 11a	11b	11c	12	
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98

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) David M Eberenz Jr.		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158146
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 27.70
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Medical Sales Representative - Aggregate Year-to-Date ▼ 263.15	
Full Name (Last, First, Middle Initial) Fred Ellis Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2157674 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.76
Name of Employer Alcon Laboratories Inc.	Occupation Med Science LiaisonNonMD-MGT G	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 292,22	
Full Name (Last, First, Middle Initial) C. Michael R Emch		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2157950 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	
Novartis Pharmaceuticals Receipt For:	Spec Frst Lvl Super- A - Onco-	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 266.76	
SUBTOTAL of Receipts This Page (optional)		86.54
TOTAL This Period (last page this line numb		

FOR LINE NUMBER: PAGE 26 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

98

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FOR LINE NUMBER: PAGE 27 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael E Fairchild Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157692 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation **DEVELOPMENTAL-MGT GJFA 2** Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 292.22 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158325 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science LiaisonNonMD-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Christine Ferguson Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158774 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 260.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Labeling Specifications-GJFA 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 390.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) 17

FOR LINE NUMBER: PAGE 28 OF 98

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Con	nmittee							

Novartis Corporation Politica	Action Committee					
Full Name (Last, First, Middle Initial) James E Foley	Date of Receipt					
Mailing Address One Health Plaza	09 30 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158310 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	23.08				
Name of Employer	Occupation					
Novartis Pharmaceuticals	Clinical Research (Non M.D.)-M					
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26					
Full Name (Last, First, Middle Initial) 3. Matthew C Foster		Date of Receipt				
Mailing Address One Health Plaza		09 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y				
City	State Zip Code	Transaction ID : A2014-2158122				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	23.08				
Name of Employer Novartis Pharmaceuticals	Occupation Spec Frst Lvl Super- A-MGT GJF					
Receipt For: Primary General	Aggregate Year-to-Date ▼					
Other (specify) ▼	219.26					
Full Name (Last, First, Middle Initial) C. Jon S Freeland		Date of Receipt				
Mailing Address One Health Plaza		09 30 2014				
City	State Zip Code	Transaction ID : A2014-2158765				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	25.00				
Name of Employer	Occupation	-				
Novartis Pharmaceuticals	Asoc Mgr Reg SIs Operations-M					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General Other (specify) ▼	237.50					
SUBTOTAL of Receipts This Page (optional)	71.16				
TOTAL This Period (last page this line num	ber only)					

FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert M Freeman Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157641 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Mftg Plant Mgmt-MGT GJFA 3 Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name (Last, First, Middle Initial) B. Debra E Freire Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158281 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Communications - External-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) c. Neely T Frye Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158180 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 122.50 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1159.70 Other (specify) 198.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 30 OF 98 Use separate sched for each category of Detailed Summary F

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NAME OF COMMITTEE (In Full)					
Novartis Corporation Politica	al Action Committee				
Full Name (Last, First, Middle Initial) A. Steven J Gabor		Date of Receipt			
Mailing Address One Health Plaza		09 30 2014			
City	State Zip Code	Transaction ID : A2014-2157899			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	35.00			
Name of Employer	Occupation	1			
Novartis Vaccines & Diagnostics	Product/Brand Head Marketing-				
Receipt For:	Aggregate Year-to-Date ▼				
Primary General					
Other (specify) ▼	332.50				
Full Name (Last, First, Middle Initial) Jill H Gaither		Date of Receipt			
Mailing Address One Health Plaza	Mailing Address One Health Plaza				
City	State Zip Code	09 30 2014 Transaction ID : A2014-2158329			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing					
federal political committee.	C	23.08			
Name of Employer	Occupation				
Novartis Pharmaceuticals	Spec SIs Rep-4 - Onco-GJFA 6				
Receipt For:	Aggregate Year-to-Date ▼				
Primary General					
Other (specify) ▼	219.26				
Full Name (Last, First, Middle Initial) C. Edward G Gajewski		Date of Receipt			
Mailing Address One Health Plaza		09 30 2014			
City	State Zip Code	09 30 2014 Transaction ID : A2014-2158064			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	40.00			
Name of Employer	Occupation	_			
Novartis Pharmaceuticals	Dir Fld Sls Mngd Hlth Care-A-M	_			
Receipt For:	Aggregate Year-to-Date ▼				
Primary General	222.22				
Other (specify) ▼	220.00				
SUBTOTAL of Receipts This Page (optional	al)	98.08			
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TOTAL This Period (last page this line nun	nber only)				

FOR LINE NUMBER: PAGE 31 Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Martin J Gargiule Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157831 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. Financial Analysis-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Michael L Gentry Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157681 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 24.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. **DIVISION HEAD-MGT GJFA 2** Receipt For: Aggregate Year-to-Date ▼ Primary General 228.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa M Goldman Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158738 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Compliance-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify) 147.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 32 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Erwin A Gomez Valladares Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157815 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Procurement-MGT GJFA 3 Novartis Latin America Service Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name (Last, First, Middle Initial) B. Mindy H Goss Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158489 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Mktg/Access Dir-M Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher K Grady Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157662 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales Management-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.07 Other (specify) 145.36 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF 98 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Nancy J Grande Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158613 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Drug Sfty Surv (M.D.)-MGT GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify) Full Name (Last, First, Middle Initial) B. Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158714 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Clinical Research (M.D.)-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 760.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158691 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Product/Brand Head Marketing-Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) 220.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any person ename and address of any political committee to						
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee						
Full Name (Last, First, Middle Initial) Joseph Guido Mailing Address Con Health Plans	Date of Receipt						
Mailing Address One Health Plaza		09 30 <u>Y = Y = Y = Y</u>					
City	City State Zip Code						
East Hanover	NJ 07936	Transaction ID : A2014-2158493 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	20.00					
Name of Employer	Occupation						
Novartis Pharmaceuticals	Info Sys - Gen/Multi (Tech)-MG						
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 355.00						
Full Name (Last, First, Middle Initial) 3. David E Gulick		Date of Receipt					
Mailing Address One Health Plaza		M M / D D / Y Y Y Y Y					
City	State Zip Code	09 30 2014 Transaction ID : A2014-2159006					
East Hanover	NJ 07936	Transaction ID : A2014-2158006 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	60.00					
Name of Employer	Occupation						
Novartis Pharmaceuticals	Managed Care Mktg/Access Dir-M						
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 480.04						
Full Name (Last, First, Middle Initial) C. Kurt Habel		Date of Receipt					
Mailing Address One Health Plaza		09 30 2014					
City	State Zip Code	Transaction ID : A2014-2158213					
East Hanover	NJ 07936	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	46.16					
Name of Employer	Name of Employer Occupation						
Novartis Pharmaceuticals	Operations Research-GJFA 4						
Receipt For:	Aggregate Year-to-Date ▼						
Primary General Other (specify) ▼	438.52						
SUBTOTAL of Receipts This Page (optional)	•	126.16					
TOTAL This Period (last page this line number	only)						

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	Statements may not be sold or used by any person e name and address of any political committee to					
NAME OF COMMITTEE (In Full)	ation Committee					
Novartis Corporation Political A	ction Committee					
Full Name (Last, First, Middle Initial) James P Hafner	Full Name (Last, First, Middle Initial) James P Hafner					
Mailing Address One Health Plaza	Mailing Address One Health Plaza					
City	State Zip Code	Transaction ID : A2014-2158740				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	27.70				
Name of Employer	Occupation					
Novartis Pharmaceuticals	Spec Frst Lvl Super- B - MS-MG					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General						
Other (specify) ▼	263.15					
Full Name (Last, First, Middle Initial) B. Laura A Hagan		Date of Receipt				
Mailing Address One Health Plaza						
City	State Zip Code	Transaction ID : A2014-2158705				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	23.08				
Name of Employer	Occupation					
Novartis Pharmaceuticals	Med Science LiaisonNonMD-MGT G					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General						
Other (specify) ▼	219.26					
Full Name (Last, First, Middle Initial) C. Thomas Halbur		Date of Receipt				
Mailing Address One Health Plaza		09 30 2014				
City	State Zip Code	Transaction ID : A2014-2157802				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	23.08				
Name of Employer	Occupation					
Novartis Animal Health US Inc.	Product/Brand Head Marketing-					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General	riggiogato roal to Dato y					
Other (specify) ▼	219.26					
SUBTOTAL of Receipts This Page (optional)	•	73.86				
TOTAL This Period (last page this line number	only)					

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36 OF

98

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Philip D Hall Date of Receipt Mailing Address 6201 South Freeway 30 2014 City State Zip Code Transaction ID: A2014-2157658 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Sales - Medical Devices-MGT GJ Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) B. Paul R Hallen Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157675 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Product/Brand Management-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 292.22 Other (specify) Full Name (Last, First, Middle Initial) c. Sarah E Haller Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158164 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs-MGT GJFA 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 762.04 Other (specify) 230.92 SUBTOTAL of Receipts This Page (optional).....

- 9

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 37 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Veronica Harris Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158696 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Product/Brand Manager - A-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158184 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 366.94 Other (specify) Full Name (Last, First, Middle Initial) c. David N Hering Date of Receipt Mailing Address 350 Massachusetts Avenue 30 09 2014 350 MA # 234D City Zip Code State Transaction ID: A2014-2157854 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Product/Brand Management-MGT G Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) 106.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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ΟI	Tor commercial purposes, other than using the	name and address of any political committee to	Solicit Communions from Such Committee.
\rangle	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee	
١.	Full Name (Last, First, Middle Initial) Robert J Hilkert Mailing Address One Health Plaza		Date of Receipt
	City East Hanover	State Zip Code NJ 07936	7 Transaction ID : A2014-2158656 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	46.16
	Name of Employer Novartis Pharmaceuticals	Occupation Medical Affairs (M.D.)-MGT GJF	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	
3.	Full Name (Last, First, Middle Initial) John A Hohneker Mailing Address One Health Plaza		Date of Receipt
	City East Hanover	State Zip Code NJ 07936	09 30 2014 Transaction ID : A2014-2158235 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	50.00
	Name of Employer Novartis Pharmaceuticals	Occupation COMMERCIAL & GEN MGMT - CEG RO	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00	
).	Full Name (Last, First, Middle Initial) William C Hokanson		Date of Receipt
	Mailing Address One Health Plaza		09 30 2014
	City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158125 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	30.00
	Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Asoc Mgr Reg SIs Operations-M	
	Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 285.00	
s	UBTOTAL of Receipts This Page (optional)	>	126.16
T	OTAL This Period (last page this line number o	nly)	

FOR LINE NUMBER: PAGE 39 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

98

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Otto C Horstmann II II Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158302 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Employee Benefits-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Cyrus M Hoseyni Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158724 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals R&D General CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 750.02 Other (specify) Full Name (Last, First, Middle Initial) c. Gene M Hughes Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158383 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 24.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 528.00 Other (specify) 247.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 40 OF 98 Use separate sch for each category Detailed Summai

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Melody Hughson Mailing Address One Health Plaza		Date of Receipt
		09 30 2014
City	State Zip Code NJ 07936	Transaction ID : A2014-2158743
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs-MGT GJFA 2	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 950.00	
Full Name (Last, First, Middle Initial) Edgar L Jarvis Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	09 30 2014 Transport of 10 Accounts
East Hanover	NJ 07936	Transaction ID : A2014-2158047 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	32.30
Name of Employer Novartis Pharmaceuticals	Occupation First Level Supervisor - A-MGT	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 306.85	
Full Name (Last, First, Middle Initial) 2. James P Jogerst		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2157730 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.76
Name of Employer	Occupation	-
Alcon Laboratories Inc.	Acquist Allianc & Licens-MGT	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	292.22	
SUBTOTAL of Receipts This Page (optional).		163.06
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 41 Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Robert W Jones Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157804 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation General Management CEG 0 Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) **B.** Deborah Juterbock Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158389 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Project Management-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) **c.** Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158254 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Marketing - Vice President Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 96.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 42 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sarah G Kan Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158789 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 106.14 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 1008.33 Other (specify) Full Name (Last, First, Middle Initial) B. Mutlu Karakelle Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157684 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Alcon Research Eng - Med Devic Product Devel-Receipt For: Aggregate Year-to-Date ▼ Primary General 292.22 Other (specify) Full Name (Last, First, Middle Initial) c. Bella B Karbachinskaya Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158382 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation

159.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

219.26

Operations Research-GJFA 4

Aggregate Year-to-Date ▼

Novartis Pharmaceuticals

Other (specify)

General

Receipt For:

Primary

FOR LINE NUMBER: PAGE 43 OF Use separate schedule(s) (check only one) X 11a 11b 11c

98

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158509 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - MS-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) Full Name (Last, First, Middle Initial) B. Claudia M Kelly Date of Receipt Mailing Address 350 Massachusetts Avenue 09 30 2014 City State Zip Code Transaction ID: A2014-2157890 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Product Marketing-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157948 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Legal General CEG 0 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) 143.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Politica	Al Action Committee	
Full Name (Last, First, Middle Initial) Ledward W Kettler III III		Date of Receipt
Mailing Address 6201 South Freeway		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157775
Fort Worth	TX 76134-2001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.06
Name of Employer	Occupation	
Alcon Laboratories Inc.	Programmer/Analyst-MGT GJFA 3	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	219.07	
Full Name (Last, First, Middle Initial) Michael C Kincaid	'	Date of Receipt
Mailing Address One Health Plaza		09 30 _2014 _
City	State Zip Code	Transaction ID : A2014-2158511
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Sales Director I-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	_
Primary General Other (specify) ▼	266.76	
Full Name (Last, First, Middle Initial) C. Shannon T Klinger		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	09 30 2014 Transaction ID : A2014-2157840
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	115.38
Name of Employer	Occupation	-
Sandoz Inc.	Global Head Lgl & Gen Counsel-	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1038.42	
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46 OF 98 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Keith A LaDue Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158205 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **DIVISION HEAD-MGT GJFA 1** Receipt For: Aggregate Year-to-Date ▼ Primary General 207.72 Other (specify) Full Name (Last, First, Middle Initial) B. Leigh A Leas Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158596 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 410.60 Other (specify) Full Name (Last, First, Middle Initial) c. Christian A Lease Date of Receipt Mailing Address 350 Massachusetts Avenue 30 09 2014 350 MA # 212E City Zip Code State Transaction ID: A2014-2157851 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Cont Process Improv Anlys-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify)

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard E Lemire Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157929 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 86.52 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Engineering - Facilities-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 821.94 Other (specify) Full Name (Last, First, Middle Initial) B. Lee C Lensky Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158745 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals GROUP HEAD E-COMMERCE-MGT GJF Receipt For: Aggregate Year-to-Date ▼ Primary General 293.10 Other (specify) Full Name (Last, First, Middle Initial) c. Antonio F Lima Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157743 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 С federal political committee. Name of Employer Occupation Clinical Research (M.D.)-GJFA Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 396.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Manuel B Litchman Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158315 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals R&D General CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Lloyd Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157810 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. General Management CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name (Last, First, Middle Initial) c. Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157817 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Communications - External-MGT NIBRI Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) 161.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	I Action Committee	
Full Name (Last, First, Middle Initial) Konstantine G Lolos		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158290
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	7
Novartis Pharmaceuticals	Spec Frst Lvl Super- A - Onco-	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General	00 0	
Other (specify) ▼	266.76	
Full Name (Last, First, Middle Initial) Frederic D Loveland		Date of Receipt
Mailing Address One Health Plaza		09 30 _2014 _
City	State Zip Code	Transaction ID : A2014-2158229
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Hd of R&D Plan & Prog Coord-MG	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	219.26	
Full Name (Last, First, Middle Initial) C. Brenda Luckritz		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158012
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	_
Novartis Services Incorporated	Government Affairs-MGT GJFA 2	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	1475.00	
SUBTOTAL of Receipts This Page (optional	l)	101.16
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) A. Frank Maness		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158671 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	28.08
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation HR Shared Svcs Exec-MGT GJFA 1 Aggregate Year-to-Date ▼ 266.76	
Full Name (Last, First, Middle Initial) Frank Manolios Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158136 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.00
Name of Employer Novartis Pharmaceuticals	Occupation Spec SIs Rep-3 - Onco-GJFA 6	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 285.00	
Full Name (Last, First, Middle Initial) S. Sridhar V Mantha		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2157739 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	QA - Compliance-MGT GJFA 2 Aggregate Year-to-Date ▼ 219.26	
SUBTOTAL of Receipts This Page (optional)	\	81.16
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Kerry L Markwardt Mailing Address One Health Plaza		Date of Receipt
		09 30 2014
City	State Zip Code NJ 07936	Transaction ID : A2014-2157670
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Alcon Research	Project Management - Life Cycl	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	
Full Name (Last, First, Middle Initial) Charles J Marshall Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	09 30 2014
East Hanover	NJ 07936	Transaction ID : A2014-2157693 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Alcon Laboratories Inc.	Occupation Product/Brand Head Marketing-	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	
Full Name (Last, First, Middle Initial) 2. Julie Masow		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158689 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Communications - External-MGT	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	219.26	
SUBTOTAL of Receipts This Page (optional)		69.24
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Catharine M McBride Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M = M / D = D / Y = Y = Y = Y
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 92.30
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Government Affairs-GJFA 4 Aggregate Year-to-Date ▼ 876.85	
Full Name (Last, First, Middle Initial) 3. Robert R McBride Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 09 30 2014
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Transaction ID : A2014-2157759 Amount of Each Receipt this Period 250.00
Name of Employer Alcon Research Receipt For: □ Primary □ General Other (specify) ▼	Occupation Eng - Med Devic Product Devel- Aggregate Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial) Vivian H McCain Mailing Address One Health Plaza		Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Procurement-MGT GJFA 3 Aggregate Year-to-Date ▼ 207.72	Transaction ID : A2014-2157932 Amount of Each Receipt this Period 11.54
SUBTOTAL of Receipts This Page (optional)	>	353.84
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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Il Action Committee	
Full Name (Last, First, Middle Initial) J'ai B McClellan Mailing Address One Health Plaza		Date of Receipt
walling Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157673
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.76
Name of Employer	Occupation	+
Alcon Laboratories Inc.	Government Affairs-MGT GJFA 1	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	292.22	
Full Name (Last, First, Middle Initial) Christopher B McDonald		Date of Receipt
Mailing Address 475 Green Oaks Parkway		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157902
Holly Springs	NC 27540	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	+
Novartis Vaccines & Diagnostics	Mftg Plant Mgmt-MGT GJFA 1	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	950.00	
Full Name (Last, First, Middle Initial) Paul J McGinley	l	Date of Receipt
Mailing Address One Health Plaza		Date of Receipt M = M
City	State Zip Code	Transaction ID : A2014-2158520
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Therapeutic Head Marketing-MG	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	266.76	
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Edward D McGough Mailing Address One Health Plaza		Date of Receipt
Maining Address Office Health Flaza		09 30 _ 2014 _
City	State Zip Code	Transaction ID : A2014-2157680
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer	Occupation	1
Alcon Laboratories Inc.	Manufacturing General CEG 0	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2192.22	
Full Name (Last, First, Middle Initial) Patricia A McGovern		Date of Receipt
Mailing Address One Health Plaza	0t. 70 . l	09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158188
FEC ID number of contributing federal political committee.	C 0/936	Amount of Each Receipt this Period 250.00
Name of Employer Novartis Pharmaceuticals	Occupation Regulatory Affairs Liaison-MGT	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial) C. Edward J McKenna		Date of Receipt
Mailing Address One Health Plaza		09 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158642 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Area Account Manager-GJFA 4	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	263.15	
SUBTOTAL of Receipts This Page (optional)) >	508.46
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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Brian J McNamara Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157809 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 92.30 federal political committee. Name of Employer Occupation General Management CEG 0 Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name (Last, First, Middle Initial) B. Gary J Menichini Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157638 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. General Management CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) Full Name (Last, First, Middle Initial) c. Susan J Millard Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157645 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation HR General CEG 0 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) 192.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Donald J Miller Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158523 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Mktg/Access Dir-M Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Laurie C Mills Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157816 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation **Novartis Clinical Operations** Clinical Research Monitoring-G Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) Full Name (Last, First, Middle Initial) c. Regina M Mitchell Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157921 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Spec SIs Rep - 3 - Vaccine XP-Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) 110.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Name of Employer Novartis Animal Health US Inc. Receipt For:	Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any pers g the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
Malling Address One Health Plaza City East Hanover Number of contributing federal political committee. Nowardis Animal Health US Inc. Receipt For: Primary General City East Hanover Malling Address One Health Plaza City East Hanover Number of contributing federal political committee. City Primary General City East Hanover Primary General City East Hanover Primary General City East Hanover Number of contributing federal political committee. Aggregate Year-to-Date ▼ Date of Receipt Transaction ID: A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158081 Transaction ID: A2014-2158081 Transaction ID: A2014-2158081 Transaction ID: A2014-2158081 Date of Receipt Transaction ID: A2014-2158081 Transaction	,	al Action Committee	
City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novarial Animal Health US Inc. Receipt For: Primary General	Michael Moore		†
East Hanover No	City	Chata 7: Cada	
TEC ID number of contributing federal political committee. Name of Employer Novarits Animal Health US Inc. Receipt For: Primary General Ofther (specify) ▼ 219.26 Pull Name (Last, First, Middle Initial) Statecy L Moore Mailing Address One Health Plaza City State Zip Code NU 07936 FEC ID number of contributing federal political committee. Name of Employer Novarits Pharmaceuticals Receipt For: Primary General Other (specify) ▼ 219.26 Date of Receipt Date of Receipt Transaction ID: A2014-2158081 Amount of Each Receipt this Period Transaction ID: A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158083 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158083 Amount of Each Receipt this Period Transaction ID: A2014-2158083 Amount of Each Receipt this Period Date of Receipt Transaction ID: A2014-2158083 Amount of Each Receipt this Period Transaction ID: A2014-2158083 Amount of Each Receipt Transaction ID: A2014-2158081 Amount of Each Receipt Transaction ID: A2014-2158081 Transaction ID: A2014-2158081 Amount of Each Receipt Transaction ID: A2014-2158081 Amount of Each Receipt Tor. Transaction ID: A2014-2158081 Amount of Each Receipt Tor. Transaction ID: A2014-2158083 Amount of Each Receipt Tor. Transaction ID: A2014-2158083 Amount of Each Receipt Tor. Transaction	•	•	
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Primary General Other (specify) ▼ 219.26 Full Name (Last, First, Middle Initial) 3. Stacey L Moore Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Fell Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Jacqueline M Mullens Mailing Address One Health Plaza City State Zip Code NJ 07936 Full Name (Last, First, Middle Initial) Jacqueline M Mullens Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. C Date of Receipt Transaction ID : A2014-2158081 Amount of Each Receipt Initial Period Date of Receipt Transaction ID : A2014-2158081 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction ID : A2014-2158803 Amount of Each Receipt Initial Period Transaction	Novartis Animal Health US Inc.	· '	
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City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ State Zip Code NJ 07936 Area Account Manager-GJFA 5 Aggregate Year-to-Date ▼ Other (specify) ▼ Aggregate Year-to-Date ▼ Parimary General Other (specify) ▼ State Zip Code NJ 07936 Date of Receipt Transaction ID : A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID : A2014-2158081 Amount of Each Receipt this Period Date of Receipt Date of Receipt Transaction ID : A2014-2158081 Amount of Each Receipt this Period Date of Receipt Transaction ID : A2014-2158081 Amount of Each Receipt this Period Transaction ID : A2014-2158081 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Cocupation Aggregate Year-to-Date ▼ 250.00	Stacey L Moore		M = M / D = D / Y = Y = Y
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Jacqueline M Mullens Mailing Address One Health Plaza City State Zip Code NJ 07936 East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ State Zip Code NJ 07936 Transaction ID : A2014-2158803 Amount of Each Receipt this Period First Level Supervisor - B-MGT Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Transaction ID : A2014-2158803 Amount of Each Receipt this Period 50.00	•	•	Transaction ID : A2014-2158081
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Jacqueline M Mullens Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Date of Receipt Date of Receipt Transaction ID : A2014-2158803 Amount of Each Receipt this Period 50.00 Aggregate Year-to-Date ▼ Date of Receipt Transaction ID : A2014-2158803 Amount of Each Receipt this Period 50.00	FEC ID number of contributing		
Primary General Other (specify) ▼ 339.74 Full Name (Last, First, Middle Initial) Jacqueline M Mullens Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 100 000 000 000 000 000 000 000 000 0	Novartis Pharmaceuticals	· '	
Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼ Date of Receipt Mailing Address One Health Plaza Date of Receipt Mailing Address One Health Plaza Date of Receipt Transaction ID : A2014-2158803 Amount of Each Receipt this Period 50.00	Primary General	00 0	
City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Page 250.00 State Zip Code Transaction ID: A2014-2158803 Amount of Each Receipt this Period 50.00 Aggregate Year-to-Date ▼ 250.00			Date of Receipt
East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Amount of Each Receipt this Period Amount of Each Receipt this Period 50.00 Amount of Each Receipt this Period 50.00 50.00	Mailing Address One Health Plaza		
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼ Annount of Each Receipt this Period 50.00 C Sociopation First Level Supervisor - B-MGT Aggregate Year-to-Date ▼ 250.00			
Novartis Pharmaceuticals Receipt For: Primary Other (specify) ▼ Aggregate Year-to-Date ▼ 250.00	FEC ID number of contributing		Amount of Each Receipt this Period 50.00
Receipt For: Primary Other (specify) ▼ Aggregate Year-to-Date ▼ 250.00	Name of Employer	Occupation	1
Primary General Other (specify) ▼ 250.00		First Level Supervisor - B-MGT	
SUBTOTAL of Receipts This Page (optional)	Primary General		
- Control of the fage (optional)	SUBTOTAL of Receipts This Page (options	al)	108.98
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Any information copied from such Reports and solver for commercial purposes, other than using the	Statements may not be sold or used by any perse name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) James M Murphy Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	09 30 2014
East Hanover	NJ 07936	Transaction ID : A2014-2157698 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.76
Name of Employer Alcon Laboratories Inc.	Occupation Sales CEG 0	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 292.22	
Full Name (Last, First, Middle Initial) Polly L Murphy Mailing Address One Health Plaza		Date of Receipt
		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158527
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer Novartis Pharmaceuticals	Occupation Spec Sls Rep-4-Other/Combinati	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 266.76	
Full Name (Last, First, Middle Initial) C. Vasant K Narasimhan		Date of Receipt
Mailing Address 350 Massachusetts Avenue		09 30 2014
City Cambridge	State Zip Code MA 02139	Transaction ID : A2014-2158755
	1417 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.15
Name of Employer	Occupation	1
Novartis Pharmaceuticals	General Management CEG 0	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	646.10	
SUBTOTAL of Receipts This Page (optional)		104.99
TOTAL This Period (last page this line number	only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Clifford R Neukrug Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158636 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Spec Frst Lvl Super- A - Onco-Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. An V Nguyen Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158604 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals SYST ANLY/PRGMG ASSOC DIR-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. James R Niebanck Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158169 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Operations Research-MGT GJFA 3 Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 266.76 Other (specify) 74.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sharon L Nobles Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158066 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 5 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Hugh M O'Dowd Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158083 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals General Management CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) c. Shawn O'Neail Date of Receipt Mailing Address 608 Fifth Avenue 30 09 2014 City Zip Code State Transaction ID: A2014-2158730 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 110.00 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 912.25 Other (specify) 179.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) Sharon N Olmstead Mailing Address One Health Plaza	Chate To Oath	Date of Receipt 09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158748 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Top Fed & Legislat Aff Exec-MG Aggregate Year-to-Date ▼ 438.52	
Other (specify) ▼ Full Name (Last, First, Middle Initial) Craig S Osten Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Transaction ID: A2014-2157847 Amount of Each Receipt this Period 46.16
Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify) ▼	Occupation Controller - Corporate-MGT GJF Aggregate Year-to-Date ▼ 438.52	
Full Name (Last, First, Middle Initial) Serafina Oxner Mailing Address One Health Plaza		Date of Receipt 09 30 2014
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID : A2014-2158298 Amount of Each Receipt this Period 46.16
Receipt For: Primary Other (specify) General	Occupation Strategic Planning-MGT GJFA 2 Aggregate Year-to-Date ▼ 438.52	
SUBTOTAL of Receipts This Page (optional)	>	138.48
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John M Pakulski Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157837 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Sandoz Inc. Regulatory Affairs Liaison-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph S Palumbo Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158264 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Organization Development-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Melissa A Parker Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158331 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Dir Fld Sls Mngd Hlth Care-B-M Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) 143.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Bin Peng Mailing Address One Health Plaza		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158716 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Clinical Research (M.D.)-MGT G Aggregate Year-to-Date ▼ 450.00	
Full Name (Last, First, Middle Initial) Efthimios Petroutsas Mailing Address One Health Plaza		Date of Receipt 09 30 _2014 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158629 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Novartis Pharmaceuticals	Occupation Product/Brand Management-MGT G	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 237.50	
Full Name (Last, First, Middle Initial) C. Michael E Pfleger		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2157686 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer	Occupation	
Alcon Research Receipt For:	Regulatory Affairs Liaison-MGT	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
SUBTOTAL of Receipts This Page (optional)		575.00
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Candice C Phipps Mailing Address One Health Plaza		Date of Receipt
		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157844
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	200.00
Name of Employer	Occupation	1
Sandoz Inc.	Government Affairs-MGT GJFA 3	
Receipt For: Primary General Other (specify) ■	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial) 3. Elvira Ponce		Date of Receipt
Mailing Address 350 Massachusetts Avenue	e	09 30 2014
City	State Zip Code	Transaction ID : A2014-2157898
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Vaccines & Diagnostics	Occupation Medical Affairs - Director	-
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 219.26	
Full Name (Last, First, Middle Initial) C. Elizabeth Power		Date of Receipt
Mailing Address 350 Massachusetts Avenu		09 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Cambridge	State Zip Code MA 02139	Transaction ID : A2014-2158757 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	1
Novartis Services Incorporated	Communications - Internal-MGT	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	570.00	
SUBTOTAL of Receipts This Page (optional)		283.08
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NAME OF COMMITTEE (In Full)	_	
Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) A. Marc B Reuss		Date of Receipt
Mailing Address 350 Massachusetts Avenue		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157906
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	50.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	HR General CEG 0	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	450.00	
Full Name (Last, First, Middle Initial) Margaret Riccobono		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	09 30 2014
East Hanover	NJ 07936	Transaction ID : A2014-2157834 Amount of Each Receipt this Period
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FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Sandoz Inc.	Training-MGT GJFA 4	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	219.26	
Full Name (Last, First, Middle Initial) Cadmus C Rich		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157749
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.06
Name of Employer	Occupation	
Alcon Research	DEVELOPMENTAL-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	219.07	
SUBTOTAL of Receipts This Page (optional)		96.14
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FOR LINE NUMBER: PAGE 68 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward C Richards Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157757 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.76 federal political committee. Name of Employer Occupation Mftg Plant Mgmt-MGT GJFA 1 Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 292.22 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158259 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Communication CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 2192.22 Other (specify) Full Name (Last, First, Middle Initial) c. Renee C Rodgers Date of Receipt Mailing Address One Health Plaza 30 09 2014 Zip Code City State Transaction ID: A2014-2158750 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation

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570.00

Internet Mktg/E-Business-MGT G

Aggregate Year-to-Date ▼

Novartis Pharmaceuticals

Other (specify)

General

Receipt For:

Primary

FOR LINE NUMBER: PAGE 69 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Diane M Rogers Date of Receipt Mailing Address 608 Fifth Avenue 30 2014 City Zip Code State Transaction ID: A2014-2157903 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Sales Management-MGT GJFA 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas M Ruggia Jr. Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157710 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. **Business Development-MGT GJFA** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) c. Jason T Russell Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157983 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.42 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reimbursement Specialist-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 569.63 Other (specify) 113.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAG	E 70 OF	98
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for each category of the Detailed Summary Page	X 11a 11b 11c	12	
	13 14 15	16	117

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Alan D Ryan Date of Receipt Mailing Address One Health Plaza 30 2014 City State Zip Code Transaction ID: A2014-2158638 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation National Account Mgr/Dir - B-G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 225.50 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph M Ryan Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158769 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Clinical Research Executive-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify)

Full Name (Last, First, Middle Initial) c. Peter Rzewnicki Date of Receipt Mailing Address 350 Massachusetts Avenue 30 09 2014 350 MA # 234F City Zip Code State Transaction ID: A2014-2157855 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Price Strat and Policy Anlys-M Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify)

SUBTOTAL of Receipts This Page (optional)		7	Ξ		7	Ξ	15	3.08	3	
TOTAL This Period (last page this line number only)	Ξ	7		_	7		_		_	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Ahmad M Saad Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157756 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Research Engineering - Test-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Adwoa A Sanderson Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158797 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Communications - External-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) Full Name (Last, First, Middle Initial) c. David A Schoening Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2157752 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation QA Mgmt - Plant-MGT GJFA 1 Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 292.22 Other (specify) 103.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 72 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Russell E Seay Jr. Jr. Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158548 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Med Science LiaisonNonMD-MGT G Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name (Last, First, Middle Initial) B. S. M Seeland Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158386 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Management-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Christopher Sellin Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158670 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation SYSTEMS ANALYSIS MANAGER-MGT G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) 80.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 73 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 74 OF 98

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		13		14		15	16	17

	and Statements may not be sold or used by any pelig the name and address of any political committee	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) A. Michael F Smith		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158729
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	\dashv
Novartis Pharmaceuticals	Top Clin Dev Ops Exec-MGT GJFA	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	219.26	
Full Name (Last, First, Middle Initial) 3. Stephen H Speares		Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	09 30 2014 Transaction ID : A2014-2157704
East Hanover	NJ 07936	Transaction ID : A2014-2157704 Amount of Each Receipt this Period
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.78
Name of Employer	Occupation	
Alcon Laboratories Inc.	General Management CEG 0	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	292.41	
Full Name (Last, First, Middle Initial) . William S Spelta		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157971
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	_
Novartis Pharmaceuticals	Spec Frst Lvl Super- A - Onco-	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	266.76	
SUBTOTAL of Receipts This Page (optional	al)	81.94
	·	
TOTAL This Period (last page this line num	nber only)	

FOR LINE NUMBER: PAGE 75 OF 98 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 30 2014 City Zip Code State Transaction ID: A2014-2158776 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Top Managed Health Care Exec-M Receipt For: Aggregate Year-to-Date ▼ Primary General 1900.00 Other (specify) Full Name (Last, First, Middle Initial) B. Donald E Stecher Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158345 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158028 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 657.78 Other (specify) 292.32 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Lesley J Stickley Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158076 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Account Manager-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Eugene L Straub Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157648 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Product Marketing-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Peter N Streit Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158284 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Product/Brand Management-MGT G Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) 200.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 77 OF 98 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edson J Stricker Date of Receipt Mailing Address 6201 South Freeway 30 2014 City State Zip Code Transaction ID: A2014-2157776 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 23.06 federal political committee. Name of Employer Occupation Alcon Research Engineering - Manufacturing-MG Receipt For: Aggregate Year-to-Date ▼ Primary General 219.07 Other (specify) Full Name (Last, First, Middle Initial) B. Mary R Strickland Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157836 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Sandoz Inc. Government Affairs-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) c. Nirupama Subramanian Date of Receipt Mailing Address 350 Massachusetts Avenue 30 350 MA # 232A 09 2014 City Zip Code State Transaction ID: A2014-2157852 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Clinical Research (Non M.D.)-M Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) 92.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) John Suchorsky Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158255 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals RELATIONSHIP MANAGEMENT HD-MGT Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) B. Xiaowei Sun Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157842 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. QC Anlys and Test Svcs-GJFA 4 Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas A Suter Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158692 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) 115.40 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Richard Tessier Mailing Address One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		09 30 _ 2014 _
City	State Zip Code	Transaction ID : A2014-2158311
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Engineering - Facilities-MGT G	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	
Full Name (Last, First, Middle Initial) Christina M Tremains Mailing Address One Health Plaza		Date of Receipt
o ono moduli maza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158351
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	63.48
Name of Employer Novartis Pharmaceuticals	Occupation Area Account Manager-GJFA 4	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 600.68	
Full Name (Last, First, Middle Initial) C. Harshini D Turner		Date of Receipt
Mailing Address One Health Plaza		09 30 / Y = Y = Y = Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158717 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Clinical Research (M.D.)-GJFA	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	285.00	
SUBTOTAL of Receipts This Page (optional)) >	139.64
TOTAL This Period (last page this line numb	per only)	

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FOR LINE NUMBER: PAGE 80 OF

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NAME OF COMMITTEE (In Full)									

Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) 1. Thomas A Urban		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157944
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	31.64
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec SIs Rep-4-Other/Combinati	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 298.74	
Full Name (Last, First, Middle Initial) 3. Lisa R Utt		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158007
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.70
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec SIs Rep-4 - Psychiatric/C	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	263.15	
Full Name (Last, First, Middle Initial) Edward S Vander Veen		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158568
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales and Mktg Exec 2nd Ivl-MG	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	285.00	
SUBTOTAL of Receipts This Page (optional)	<u> </u>	89.34
TOTAL This Period (last page this line numb	er only)	

FOR LINE NUMBER: PAGE 81 Use separate schedule(s) (check only one) X 11a 11b 11c

98

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erwin Vanhaecke Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2157718 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation PRODUCTION - CEG ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 207.72 Other (specify) Full Name (Last, First, Middle Initial) B. William W Voegtli Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158003 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reimbursement Specialist-GJFA Receipt For: Aggregate Year-to-Date ▼ Primary General 266.76 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew J Volante Date of Receipt Mailing Address One Health Plaza 30 09 2014 City Zip Code State Transaction ID: A2014-2158160 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Mktg and Sales Exec-TP Area-MG Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) 143.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR	PAGE	: 8	32	OF		98				
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Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any pers he name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Christen L Volk Mailing Address One Health Plaza		Date of Receipt
		09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2158771
	110 07930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	National Account Mgr/Dir - B-G	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 285.00	
Full Name (Last, First, Middle Initial) 3. Matthew M von Wellsheim		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157683
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer Alcon Laboratories Inc.	Occupation IT Management CEG 0	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 438.52	
Full Name (Last, First, Middle Initial) C. Anthony J Wallace		Data of Pagaint
Mailing Address One Health Plaza Ste 725		Date of Receipt 09 30 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-2157861
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 30.00
Name of Employer	Occupation	-
Novartis Vaccines & Diagnostics	Sales Operations-MGT GJFA 2	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	285.00	
SUBTOTAL of Receipts This Page (optional).		106.16
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 83 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John F Ward Date of Receipt Mailing Address 350 Massachusetts Avenue 30 2014 City Zip Code State Transaction ID: A2014-2157905 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Law - General-GJFA 3 Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) B. Robert K Warner Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2157691 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. General Management CEG 0 Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) c. James T Wassil Date of Receipt Mailing Address 350 Massachusetts Avenue 30 09 2014 City Zip Code State Transaction ID: A2014-2157907 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Clinical Research (M.D.)-MGT G Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) 92.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LIN	:	PAGE	: 8	34	OF	98		
(check or	nly one	9)						
X 11a		11b		11c		12		
13		14		15		16		17

Any information copied from such Reports and St or for commercial purposes, other than using the	ratements may not be sold or used by any personame and address of any political committee to	
NAME OF COMMITTEE (In Full)		
igr > Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial) A. Stephanie B Waugh		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2157792
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Alcon Laboratories Inc.	Product/Brand Head Marketing-	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	285.00	
Full Name (Last, First, Middle Initial) 3. Jane E Welborn		Date of Receipt
Mailing Address One Health Plaza		09 30 2014 _
City	State Zip Code	Transaction ID : A2014-2158045
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	49.10
Name of Employer	Occupation	
Novartis Pharmaceuticals	Spec SIs Rep-4 - Onco-GJFA 6	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	466.45	
Full Name (Last, First, Middle Initial) C. Brad C Willie		Date of Receipt
Mailing Address One Health Plaza		09 30 2014
City	State Zip Code	Transaction ID : A2014-2158019
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	23.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	National Account Mgr/Dir - B-G	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	219.26	
SUBTOTAL of Receipts This Page (optional)		102.18
TOTAL This Period (last page this line number of	only)	

FOR LINE NUMBER: PAGE 85 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

98

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 30 2014 City Zip Code State Transaction ID: A2014-2158295 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Financial Analysis-MGT GJFA 2 Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name (Last, First, Middle Initial) B. Christine D Wyble Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158719 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals** Medical Information Services-M Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name (Last, First, Middle Initial) c. Nuray Yurt Date of Receipt Mailing Address One Health Plaza 09 30 2014 City State Zip Code Transaction ID: A2014-2158703 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Operations Research-MGT GJFA 3 Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) 99.24 SUBTOTAL of Receipts This Page (optional)..... 14409.23 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER:	PAGE 86 OF 98			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)				
	Detailed Summary Page	X 21b 27	22 23 28b	24 25 26 28c 29 30b			
Any information copied from such Reports and Statem	ante may not be cold or us						
or for commercial purposes, other than using the nam							
NAME OF COMMITTEE (In Full)							
Novartis Corporation Political Actio	n Committee						
Full Name (Last, First, Middle Initial)							
A. Capital One Bank			Date of Disburseme				
Mailing Address 701 Pennsylvania Ave. NW			09 23 2014				
City	State Zip Code		Transaction ID : E	3536460			
Washington	DC 20004		Transaction ib . L	3330400			
Purpose of Disbursement Bank Service Charge		001	Amount of Each Dis	sbursement this Period			
Candidate Name		Category/		170.04			
Office Sought: House Disbursen	nent For: 2014	Туре	7	7			
	Primary General						
President	Other (specify)						
State: District:	Not Applicable)					
Full Name (Last, First, Middle Initial)			Data of Diahumana				
В.			Date of Disburseme				
Mailing Address			M M / D D	/			
City							
Purpose of Disbursement			Amount of Each Dis	sbursement this Period			
Candidate Name		Category/					
		Type					
Office Sought: House Disbursen							
	Primary General Other (specify)						
State: District:	Culor (opcolly)						
Full Name (Last, First, Middle Initial)							
C.			Date of Disburseme	ent			
Mailing Address			M M / D D	/ Y Y Y Y			
Mailing Addiess							
City	State Zip Code						
Purpose of Disbursement							
Candidate Name		Category/ Type	Amount of Each Dis	sbursement this Period			
Office Sought: House Disbursen	nent For:	.,,,,					
Senate	Primary General						
	Other (specify) ▼						
State: District:							
OUDTOTAL ACTIVITY OF A STATE OF A				170.04			
SUBTOTAL of Disbursements This Page (optional)		·····		170.04			
TOTAL This Period (last page this line number only).				170.04			

S	CHEDULE B (FEC Form 3X)			FOR LINE I	NUMBER:	PAGE 87 OF 98			
IT	EMIZED DISBURSEMENTS	Use separate s for each categ		(check only	nly one)				
		Detailed Sumn		21b	22 X 23	24 25 26			
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Ar or	ly information copied from such Reports and Statem for commercial purposes, other than using the name	ents may not be e and address o	sold or used f any political	I by any perso committee to	n for the purpose of s solicit contributions fro	soliciting contributions om such committee.			
	NAME OF COMMITTEE (In Full)								
	Novartis Corporation Political Action	n Committe	e						
_	Full Name (Last, First, Middle Initial)				5 . (5)				
A.	Bera for Congress				Date of Disburseme				
	Mailing Address P.O. Box 582496				09 16 2014				
	•		Code		Transaction ID : B	2511588			
		CA 957	58		Transaction iD . E	3311300			
	Purpose of Disbursement Contribution		lΓ	011	Amount of Each Dis	sbursement this Period			
	Candidate Name			Category/		500.00			
	Amerish Bera			Туре		500.00			
		nent For: 2014	Conoral						
		Primary X Other (specify)	General -						
	State: CA District: 07	Other (specify)	•						
_	Full Name (Last, First, Middle Initial)								
В.	,				Date of Disburseme				
	Mailing Address 1229 Morse Street NE				09 16	2014			
	•	State Zip Code DC 20002			Transaction ID : E	3511585			
	Purpose of Disbursement Contribution		Г	011	Amount of Each Dis	sbursement this Period			
	Candidate Name			Category/					
	Raul Ruiz MD			Type		1000.00			
	Office Sought: House Disbursem	ent For: 2014	ı						
			General						
	President State: CA District: 36	Other (specify)	▼						
_	Full Name (Last, First, Middle Initial)								
C.	Scott Peters for Congress				Date of Disburseme	ent			
	Mailing Address 412 First St. SE Suite 100				09 / 22	2014			
	City	State Zip	Code						
	Washington	DC 200			Transaction ID : E	3532206			
	Purpose of Disbursement Contribution		- 1	011	Assessment of Foods Dis	de la companya de la			
	Candidate Name				Amount of Each Dis	sbursement this Period			
	Scott Peters			Category/ Type	1	1000.00			
	Office Sought: House Disbursem	nent For: 2014 Primary Other (specify)	General	71		, , , , , ,			
_	State: CA District: 52								
H	UBTOTAL of Disbursements This Page (optional) OTAL This Period (last page this line number only).				1 1 9	2500.00			

S	CHEDULE B (FEC Form 3X)			FOR LINE NUMBER: PAGE 88 OF 98			
IT	EMIZED DISBURSEMENTS		arate schedule(s) category of the	(check only	one)		
			Summary Page	21b	22 🗶 23	24 25 26 28c 29 30b	
<u> </u>				27	28a 28l		
Ar or	ly information copied from such Reports and Statem for commercial purposes, other than using the nam	ents may e and add	not be sold or use ress of any politic	ed by any perso al committee to	on for the purpose solicit contributio	e of soliciting contributions ns from such committee.	
	NAME OF COMMITTEE (In Full)						
	Novartis Corporation Political Action	n Comn	nittee				
_	Full Name (Last, First, Middle Initial)						
Α.	Braley for Iowa					sement	
	Mailing Address PO Box 856			09	16 2014		
	City	state	Zip Code		Turnaration	ID - DE44507	
	Des Moines	IA	50304		Transaction	. מו : מו : טו	
	Purpose of Disbursement Contribution			011	Amount of Eac	ch Disbursement this Period	
	Candidate Name			Category/		4000.00	
	Bruce Braley			Type		1000.00	
	X Senate	nent For: ; Primary Other (spe	X General				
	State: IA District:	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	3 / ▼				
	Full Name (Last, First, Middle Initial)						
В.	Schock for Congress				Date of Disbur	sement	
	Mailing Address 209 Pennsylvania Ave.SE			09 16 2014			
	Washington	tate DC	Zip Code 20003		Transaction	ID : B511583	
	Purpose of Disbursement Contribution			011	Amount of Eac	ch Disbursement this Period	
	Candidate Name			Category/		1000.00	
	Aaron Schock			Type		1000.00	
	Senate	nent For: Primary Other (spe	X General				
	Full Name (Last, First, Middle Initial) Roberts for Senate				Date of Disbur	sement	
Ο.	Roberts for Senate					D / Y Y Y Y	
	Mailing Address 325 7th St. NW Suite 400				09	22 2014	
	,	state DC	Zip Code 20004		Transaction	ID : B532208	
	Purpose of Disbursement Contribution			044			
	Candidate Name				Amount of Eac	ch Disbursement this Period	
	Pat Roberts			Category/ Type		1000.00	
	Office Sought: House Disbursem	nent For: ; Primary Other (spe	X General	.,,,,			
	State: KS District:						
H	UBTOTAL of Disbursements This Page (optional) OTAL This Period (last page this line number only).				7	3000.00	

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 89 OF 98			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny			
	Detailed Summary Page	21b	22 X 23 28b	24 25 26 28c 29 30b	
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Any information copied from such Reports and State or for commercial purposes, other than using the na					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Acti	on Committee				
Full Name (Last, First, Middle Initial)			Date of Disbursemen		
A. Joe Kennedy for Congress					
Mailing Address 185 Devonshire St. Suite 601			09 16	2014	
City	State Zip Code		Transaction ID : B5	11581	
Boston Purpose of Disbursement	MA 02110				
Contribution		011	Amount of Each Disb	ursement this Period	
Candidate Name		Category/		1000.00	
Joseph P Kennedy III		Туре		1000.00	
Office Sought: House Disburse Senate President	ement For: 2014 Primary				
State: MA District: 04	*				
Full Name (Last, First, Middle Initial)					
· More Conservatives PAC			Date of Disbursemen	/	
Mailing Address 228 S. Washington St. Ste 115	Mailing Address 228 S. Washington St. Ste 115			2014	
City Alexandria	State Zip Code VA 22314		Transaction ID : B5	32209	
Purpose of Disbursement Contribution		011	Amount of Each Disb	ursement this Period	
Candidate Name		Category/ Type		1000.00	
Senate	ement For: 2014 Primary General Other (specify) ▼ Not Applicable	e			
Full Name (Last, First, Middle Initial)					
C. Deb Fischer for US Senate Inc.			Date of Disbursemen		
Mailing Address 228 S. Washington St. Suite 330			09 16	2014	
City Alexandria	State Zip Code VA 22314		Transaction ID : B5	11589	
Purpose of Disbursement Contribution		011			
Candidate Name	Candidate Name			ursement this Period	
Deb Fischer		Category/ Type	1	2000.00	
	ement For: 2018 Primary General Other (specify) ▼	71:-			
State: NE District:					
SUBTOTAL of Disbursements This Page (optional)				4000.00	
TOTAL This Period (last page this line number only	/)				

SCHEDULE B (FEC Form 3X)		FOR LINE N	IUMBER: PAGE 90 OF 98			
TEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only				
	for each category of the Detailed Summary Page	21b	22 🗙 23 24 25 26			
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Any information copied from such Reports and Statem or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Actio	n Committee					
Full Name (Last, First, Middle Initial)						
A. Lee Terry for Congress			Date of Disbursement			
Mailing Address 1707 Prince Street #5			09 16 2014			
City	State Zip Code		Transaction ID : B511584			
Alexandria	VA 22314		Transaction ib . Bot 1904			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/	1000.00			
Lee R Terry	. =	Туре	1000.00			
Senate	nent For: 2014 Primary					
State: NE District: 02						
Full Name (Last, First, Middle Initial)						
B. Cory Booker for Senate			Date of Disbursement			
Mailing Address PO Box 32237			09 22 2014			
Newark	State Zip Code NJ 07102		Transaction ID : B532205			
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period			
Candidate Name		Category/	1000.00			
Cory Booker		Type	1000.00			
X Senate	nent For: 2014 Primary					
Full Name (Last, First, Middle Initial)						
C. Lance for Congress			Date of Disbursement			
Mailing Address 1006 Pendleton Street			09 22 2014			
,	State Zip Code VA 22314		Transaction ID : B532210			
Purpose of Disbursement Contribution		011	Assessed of Early Dishark was and this David			
Candidate Name			Amount of Each Disbursement this Period			
Leonard Lance		Category/ Type	2500.00			
Senate President	nent For: 2014 Primary	,				
State: NJ District: 07						
SUBTOTAL of Disbursements This Page (optional)		·····•	4500.00			
TOTAL This Period (last page this line number only)						

SCHEDULE B (FEC Form 3X)				FOR LINE NUMBER: PAGE 91 OF 98				
IT	EMIZED DISBURSEMENTS		parate schedule(s) category of the	· _ ·	heck only one)			
			Summary Page	21b	22	X 23	24 25 29 29	26
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	NAME OF COMMITTEE (In Full)	_						
	Novartis Corporation Political Actio	n Comi	mittee					
_	Full Name (Last, First, Middle Initial)						_	
Α.	Friends of Schumer			Date o	f Disbursem		Υ	
	Mailing Address 220 I Street NE Suite 250				09	16	2014	
	•	State	Zip Code		Trans	saction ID :	B511580	
	Washington Purpose of Disbursement	DC	20002				2011000	
	Contribution			011	Amoun	t of Each D	isbursement this I	Period
	Candidate Name			Category/			1000	0.00
	Charles E Schumer Office Sought: House Disbursen	ont For:	2016	Туре		7	,	
	X Senate	Primary Other (spe	General					
	State: NY District:							
	Full Name (Last, First, Middle Initial)							
B.	- Wyden for Senate Mailing Address 3612 Newark St. NW				Date of Disbursement			Y
				09 23 2014				
	Washington	State DC	Zip Code 20016		Trans	saction ID :	B532570	
	Purpose of Disbursement Contribution			011	Amoun	t of Each D	isbursement this I	Period
	Candidate Name Ron Wyden			Category/ Type			1000	0.00
	Office Sought: House Disbursen	nent For:	2016	.,,,,			,	
	X Senate	Primary	X General					
	State: OR District:	Other (spe	ecity) 🔻					
C.	Full Name (Last, First, Middle Initial) Friends of Pat Toomey				Date o	f Disbursem	nent	
	- Hondo of Fac Foomby				M M	/ D D	/ Y Y Y Y	Υ
	Mailing Address 499 S. Capitol St. SW Suite 420			09	16	2014		
	•	State DC	Zip Code 20003		Trans	saction ID:	B531576	
	Purpose of Disbursement Contribution			011				
	Candidate Name				Amoun	t of Each D	isbursement this I	Period
	Pat Toomey			Category/ Type			2000	0.00
	Office Sought: House Disbursen Senate	nent For: Primary Other (spe	General	.,,,,				
_	State: PA District:							
s	UBTOTAL of Disbursements This Page (optional)				Ë	, ,	4000	.00
т	OTAL This Period (last page this line number only)			·····				

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 92 OF 98			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)		
	Detailed Summary Page	21b	22 X 23	24 25 26 30b	
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Any information copied from such Reports and Staten or for commercial purposes, other than using the name	nents may not be sold or use and address of any politi	sed by any perso cal committee to	on for the purpose of so solicit contributions fror	oliciting contributions n such committee.	
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Actio	n Committee				
Full Name (Last, First, Middle Initial)					
A. Making America Prosperous PAC	Making America Prosperous PAC				
Mailing Address PO Box 2485			09 23	2014	
•	State Zip Code		Transaction ID : B5	32500	
Springfield	VA 22152		Transaction ib . Bo	32333	
Purpose of Disbursement Contribution		011	Amount of Each Disk	oursement this Period	
Candidate Name		Category/		1500.00	
Office County House		Туре		1300.00	
Office Sought: House Disburser Senate	nent For: 2014 Primary General				
	Other (specify)				
State: District:	Not Applicab	le			
Full Name (Last, First, Middle Initial)					
B. Friends of Mark Warner			Date of Disbursemen	t	
Mailing Address 10 G Street NE Suite 470	Mailing Address 10 G Street NE Suite 470			2014	
Washington	State Zip Code DC 20002		Transaction ID : B5	511582	
Purpose of Disbursement Contribution		011	Amount of Each Disb	oursement this Period	
Candidate Name		Category/		1000.00	
Mark Warner		Type		1000.00	
X Senate	nent For: 2014 Primary				
Full Name (Last, First, Middle Initial)					
c. Keep Nick Rahall In Congress Cmt	е		Date of Disbursemen		
Mailing Address P.O. Box 75214	Mailing Address P.O. Box 75214			2014	
•	State Zip Code DC 20013		Transaction ID : B5	532204	
Washington Purpose of Disbursement	DC 20013				
Contribution				oursement this Period	
Candidate Name	Candidate Name Category/				
Nick J Rahall II		Type		1000.00	
Senate President	nent For: 2014 Primary				
State: WV District: 03					
SUBTOTAL of Disbursements This Page (optional)		·····		3500.00	
TOTAL This Period (last page this line number only)				21500.00	

SCI	HEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 93 OF 98			
ITE	MIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	y one)		
		Detailed Summary Page	21b	22 23	24 25 26 28c 29 30b	
			27	X 28a 28b		
	information copied from such Reports and Statem r commercial purposes, other than using the name					
I \	AME OF COMMITTEE (In Full)					
/ N	Novartis Corporation Political Action	n Committee				
	ull Name (Last, First, Middle Initial)					
A . L	isa Aghabi	Date of Disburseme	nt			
M	Mailing Address 49 Camelot Drive			09 24	2014	
C	ity	State Zip Code		Transaction ID : B	533016	
	udd Lake	NJ 07828		Transaction ib . b	333010	
F	urpose of Disbursement Refund of payroll overpayment		010	Amount of Each Dis	bursement this Period	
C	andidate Name		Category/		800.00	
_	ffice Sought: House Disbursem	cont For: 0044	Туре		000.00	
U		nent For: 2014 Primary General				
		Other (specify) ▼				
St	tate: District:	Not Applicable	е			
F	ull Name (Last, First, Middle Initial)					
В. Е	Eugene Straub			Date of Disburseme	nt	
M	Mailing Address 2407 Mona Vale Road			09 24	2014	
	•	State Zip Code TX 76262		Transaction ID : B	533011	
P	urpose of Disbursement Employee Refund		010	Amount of Fach Dis	sbursement this Period	
C	andidate Name		Category/	7 mileant of Each Die		
			Type		150.00	
Ō	ffice Sought: House Disbursem	nent For: 2014				
		Primary General				
0		Other (specify) ▼				
	tate: District:	Not Applicab	le			
	ull Name (Last, First, Middle Initial) leffrey Hunter			Date of Disburseme	nt	
. J	emey numer			M M / D D	/ Y Y Y Y Y	
M	Mailing Address 2322 Everton Dr.			09 24	2014	
C	ity S	State Zip Code		T	VF00047	
Α	rlington	TX 76001		Transaction ID : B	5533017	
	Purpose of Disbursement Potund of povroll overpoyment					
	Refund of payroll overpayment 010 Candidate Name			Amount of Each Dis	bursement this Period	
C	andidate Name		Category/ Type		100.00	
ō	ffice Sought: House Disbursem	nent For: 2014	-75-7			
	Senate	Primary General				
	President	Other (specify) ▼				
St	tate: District:	Not Applicabl	е			
SUF	BTOTAL of Disbursements This Page (optional)				1050.00	
<u> </u>					7	
тот	TAL This Period (last page this line number only).				1050.00	

SCHEDULE B (FEC Form 3X)				FOR LINE NUMBER: PAGE 94 OF 98			
IT	EMIZED DISBURSEMENTS		parate schedule(s) category of the	(check only	check only one)		
			Summary Page	21b		23 24 25 26	
_				27		28c X 29 30b	
	ly information copied from such Reports and Statem for commercial purposes, other than using the nam						
	NAME OF COMMITTEE (In Full)						
	Novartis Corporation Political Action	n Comi	mittee				
$\overline{}$	Full Name (Last, First, Middle Initial)						
Α.	People for Beall				Date of Disb		
	Mailing Address 1928 N. 22nd Street			09	24 2014		
	City	state	Zip Code		T	- ID - D520000	
	Fort Dodge	IA	50501		Transactio	n ID : B532980	
	Purpose of Disbursement G-2014 State Senate 5 IA			011	Amount of E	ach Disbursement this Period	
	Candidate Name			Category/		300.00	
	Daryl Beall			Type		300.00	
		nent For: Primary Other (spe	X General				
	State: IA District: 05						
	Full Name (Last, First, Middle Initial)						
В.	- Amanda Ragan for Iowa Senate				Date of Disb	ursement	
	Mailing Address 361 S. Penn 1D				09	24 2014	
	Mason City	itate IA	Zip Code 50401		Transactio	n ID : B532978	
	Purpose of Disbursement G-2014 State Senate 27 IA			011	Amount of E	ach Disbursement this Period	
	Candidate Name			Category/		300.00	
	Amanda Ragan			Туре		300.00	
		nent For: Primary Other (spe	X General				
_	Full Name (Last, First, Middle Initial)						
C.	Apodaca for NC Senate Cmte				Date of Disb		
	Mailing Address 1504 Fifth Ave. West				09	24 2014	
	,	state NC	Zip Code 28739		Transactio	n ID : B532953	
	Purpose of Disbursement		20139				
	G-2014 State Senate 48 NC			011	Amount of E	ach Disbursement this Period	
	Candidate Name			Category/		500.00	
	Tom Apodaca Office Sought: House Disbursem	ant Fari	2011	Туре		00000	
	X Senate	Primary Other (spe	General				
_	State: NC District: 48						
H	UBTOTAL of Disbursements This Page (optional) OTAL This Period (last page this line number only).					1100.00	

SCHEDULE B (FEC Form 3X)		11	FOR LINE NUMBER: PAGE 95 OF 98					
IT	EMIZED DISBURSEMENTS		parate schedule(s) category of the	(check or				
			Summary Page	21		23 28b	24 25 26 28c X 29 30b	
Δι	ny information copied from such Reports and Statem	l nents mav	not be sold or us					
	for commercial purposes, other than using the nam							
\setminus	NAME OF COMMITTEE (In Full)							
$ \rangle$	Novartis Corporation Political Actio	n Com	mittee					
_	Full Name (Last, First, Middle Initial)				_			
Α.	Marilyn Avila for State House					Date of Disbursement		
	Mailing Address 11312 Derby Lane			09	24			
	City	State	Zip Code		Tron	saction ID :	DE22046	
	. ta.o.g	NC	27613			saction iD .	D332940	
	Purpose of Disbursement G-2014 State House 40 NC			011	Amour	t of Each D	Disbursement this Period	
	Candidate Name			Category/			1000.00	
	Marilyn Avila Office Sought: House Disbursen	nent For	2014	Type	-	-		
		Primary	General					
		Other (spe	ecify) 🔻					
_	State: NC District: 40							
В.	Full Name (Last, First, Middle Initial) Citizens for Tamara Barringer				Date o	of Disbursen	nent	
٠.	Citizens for Tamara Barringer				M M / D D / Y Y Y Y			
	Mailing Address P.O. Box 6365				09	24		
	•	State NC	Zip Code 27512		Tran	saction ID :	B532951	
	Purpose of Disbursement G-2014 State Senate 17 NC			011	Amour	it of Each F	Disbursement this Period	
	Candidate Name				Amour	it of Lacif L	Nobal Schieffe tills 1 GHOU	
	Tamara Barringer			Category/ Type			1000.00	
	Office Sought: House Disbursen							
		Primary	General					
		Other (spe	ecity) 🔻					
_	State: NC District: 17 Full Name (Last, First, Middle Initial)							
C.	Phil Berger Campaign				Date of	f Disbursen	nent	
					M II N	/ D [) / Y Y Y Y	
	Mailing Address P.O. Box 1309				09	24	2014	
	,	State	Zip Code		Tran	saction ID :	R532956	
		NC	27289		_ IIali		2002000	
	Purpose of Disbursement G-2014 State Senate 26 NC			011			Nichamana and Male D. C. C.	
	Candidate Name			Amour	ıı ot Each D	Disbursement this Period		
	Phillip Berger			Category/ Type			1000.00	
	Office Sought: House Disbursen	nent For:	2014			7		
		Primary	Meneral General					
		Other (spe	ecify) 🔻					
	State: NC District: 26							
8	SUBTOTAL of Disbursements This Page (optional)			·····		-,-	3000.00	
\[-	OTAL This Povind (lost none this line number and)							
1 '	'OTAL This Period (last page this line number only).			······		-		

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 96 OF 98			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	check only one)		
	Detailed Summary Page	21b 27	22 23 24 25 26 28a 28b 28c X 29 30b		
Any information copied from such Reports and State	ments may not be sold or u				
or for commercial purposes, other than using the nar					
NAME OF COMMITTEE (In Full)					
$ \; angle$ Novartis Corporation Political Actio	on Committee				
Full Name (Last, First, Middle Initial)					
A. William Brisson for the NC House			Date of Disbursement		
Mailing Address DOD 504					
Mailing Address PO Box 531			09 24 2014		
City	State Zip Code		Transaction ID : B532965		
Dublin Purpose of Dishuraement	NC 28332		11a113aCtion ID . B332303		
Purpose of Disbursement G-2014 State House 22 NC		011	Amount of Each Disbursement this Period		
Candidate Name		Category/			
William Brisson		Type	500.00		
	ment For: 2014				
Senate President	Primary				
State: NC District: 22	- · · · · · · · · · · · · · · · · · · ·				
Full Name (Last, First, Middle Initial)					
B. Dollar for House			Date of Disbursement		
Mailing Address P.O. Box 1352			09 24 2014		
			2017		
,	State Zip Code		Transaction ID : B532960		
Cary Purpose of Disbursement	NC 27512				
G-2014 State House 36 NC		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	1000.00		
Nelson Dollar	mont For on:	Туре	1000.00		
Office Sought: House Disburser Senate	ment For: 2014 Primary				
President	Other (specify)				
State: NC District: 36	· 				
Full Name (Last, First, Middle Initial)			Data of Bisham		
C. Hager for State House			Date of Disbursement		
Mailing Address 342 Walking Horse Trail	Mailing Address 342 Walking Horse Trail				
City Rutherford	State Zip Code NC 28139		Transaction ID : B532966		
Purpose of Disbursement	20100				
G-2014 State House 112 NC		011	Amount of Each Disbursement this Period		
Candidate Name Michael Hager		Category/	500.00		
Michael Hager Office Sought: House Disburse	ment For: 2014	Туре			
Senate Stagnti	Primary Seneral				
President	Other (specify)				
State: NC District: 11					
OUDTOTAL of Disharana is Till Dominion			2000.00		
SUBTOTAL of Disbursements This Page (optional)		·····•	255.55		
TOTAL This Period (last page this line number only)				

SCHEDULE B (FEC Form 3X)			FOR LINE NUMBER: PAGE 97 OF 98			
	EMIZED DISBURSEMENTS	Use separate schedule(s) FOR LINE	- NOMBEN.		
		for each category of the Detailed Summary Page	211			
_		Dotailed Guillinary Fage	27	28a 28b 28c X 29 30b		
	y information copied from such Reports and Staten					
or	for commercial purposes, other than using the name	ne and address of any polit	ical committee	to solicit contributions from such committee.		
$ \setminus $	NAME OF COMMITTEE (In Full)	0 '''				
/	Novartis Corporation Political Actio	n Committee				
_	Full Name (Last, First, Middle Initial)					
A.	Darren Jackson for NC House			Date of Disbursement		
				M M / D D / Y Y Y Y		
	Mailing Address 1525 Crickett			09 24 2014		
	City	State Zip Code				
	Raleigh	NC 27610		Transaction ID : B532955		
	Purpose of Disbursement G-2014 State House 39 NC					
	Candidate Name		011	Amount of Each Disbursement this Period		
	Darren Jackson		Category/ Type	500.00		
		nent For: 2014	Турс			
	Senate	Primary General				
	President	Other (specify) ▼				
	State: NC District: 39					
D	Full Name (Last, First, Middle Initial)			Data of Diaburgament		
В.	Committee to Elect David Lewis			Date of Disbursement		
	Mailing Address P.O. Box 1826			09 24 2014		
	,	State Zip Code		Transaction ID : B532958		
	Dunn Purpose of Disbursement	NC 28335				
	G-2014 State House 53 NC		011	Amount of Each Disbursement this Period		
	Candidate Name		Category/			
	David L Lewis		Type	500.00		
		nent For: 2014				
	Senate President	Primary General				
	State: NC District: 53	Other (specify) ▼				
_	Full Name (Last, First, Middle Initial)					
C.	Citizens for Susan Martin			Date of Disbursement		
				M M / D D / Y Y Y Y		
	Mailing Address PO Box 8157		09 24 2014			
	City	State Zip Code				
		NC 27893		Transaction ID : B532961		
	Purpose of Disbursement					
	G-2014 State House 8 NC	011	Amount of Each Disbursement this Period			
	Candidate Name Susan Martin		Category/	1000.00		
		nent For: 2014	Туре			
		Primary Seneral				
	President	Other (specify) ▼				
	State: NC District: 08	·				
Г				2222.25		
S	UBTOTAL of Disbursements This Page (optional)		·········	2000.00		
Ţ	OTAL This Period (last page this line number only)					
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SCHEDULE B (FEC Form 3X)	Has assessed to the control of the c	FOR LINE NUMBER: PAGE 98 OF 98			
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(orleast orliny			
	Detailed Summary Page	21b	22 23 24 25 26 28a 28b 28c X 29 30b		
Any information copied from such Reports and Stat	ements may not be sold or u				
or for commercial purposes, other than using the na	ame and address of any polit	ical committee to	solicit contributions from such committee.		
NAME OF COMMITTEE (In Full)					
$ \; angle$ Novartis Corporation Political Acti	on Committee				
Full Name (Last, First, Middle Initial)					
A. NC Healthy Leadership Cmte			Date of Disbursement		
Mailing Address P.O. Box 1054			09 24 2014		
Mailing Address 1.0. Box 1004			24 2014		
City	State Zip Code		Transaction ID : B532964		
Morrisville Purpose of Disbursement	NC 27560		11d115d5t1611112 : 2502504		
G-2014 State House 41 NC		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	1000.00		
Thomas O Murry		Type	1000.00		
	ement For: 2014				
Senate President	Primary				
State: NC District: 41	Carlot (opcomy)				
Full Name (Last, First, Middle Initial)					
B. Citizens for Buck Newton			Date of Disbursement		
Mailing Address DO Day 2047			M M / D D / Y Y Y Y		
Mailing Address PO Box 2047			09 24 2014		
City	State Zip Code		Transaction ID : B532962		
Wilson Purpose of Disbursement	NC 27894				
G-2014 State Senate 11 NC		011	Amount of Each Disbursement this Period		
Candidate Name		Category/	500.00		
E.S. Buck Newton III		Type	500.00		
Office Sought: House Disburs Senate	ement For: 2014 Primary General				
President	Primary				
State: NC District: 11					
Full Name (Last, First, Middle Initial)					
C. Tom Wolf for Governor			Date of Disbursement		
Mailing Address P.O. Box 22454	Moiling Address D.O. D. 20454				
Mailing Address P.O. Box 22454	Mailing Address P.O. Box 22454				
City	State Zip Code		Transaction ID : B532975		
Philadelphia	PA 19110		Transaction ID : 5002070		
G-2014 Governor PA	Purpose of Disbursement G-2014 Governor PA 011				
Candidate Name		Category/	Amount of Each Disbursement this Period		
Tom Wolf		Type	1000.00		
	ement For: 2014				
Senate President	Primary				
State: PA District:	Other (specify)				
SUBTOTAL of Disbursements This Page (optional)			2500.00		
		<u>·</u> _	10600.00		
TOTAL This Period (last page this line number on	y)		10600.00		