

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street)

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☒ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the
State of

MA

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer John E. Danton Jr.

Signature of Treasurer

John E. Danton Jr.

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
10 18 2012 To: M M / D D / Y Y Y Y Y Y
11 26 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2012		99717.25
(b) Cash on Hand at Beginning of Reporting Period.....	87533.42	
(c) Total Receipts (from Line 19)	15752.81	147020.29
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	103286.23	246737.54
7. Total Disbursements (from Line 31)	15118.40	158569.71
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	88167.83	88167.83
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

10

18

2012

To:

11

26

2012

I. Receipts
COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

14505.08

131147.18

(ii) Unitemized

1247.73

15103.11

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ►

15752.81

146250.29

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ►

15752.81

146250.29

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

770.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ►

15752.81

147020.29

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ►

15752.81

147020.29

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	118.40	1486.35
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	118.40	1486.35
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	15000.00	157000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	83.36
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	83.36
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	15118.40	158569.71
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	15118.40	158569.71

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	15752.81	146250.29
34. Total Contribution Refunds (from Line 28(d))	0.00	83.36
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	15752.81	146166.93
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	118.40	1486.35
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	770.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	118.40	716.35

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 38

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. NABIL S. ABDELHAMID

Mailing Address 510 INVERRARY CT.

City State Zip Code
Eureka MO 63025-2076

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 15 / 2012

Transaction ID : AC7E0AEA535C2464CA4C

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOHN M ABOOD

Mailing Address 3334 COACHMAN RD

City State Zip Code
Wilmington DE 19803-1942

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 15 / 2012

Transaction ID : A49676E9F1F824B62B72

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID R ALLEN

Mailing Address 113 FRONT STREET

City State Zip Code
Owego NY 13827-1518

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 15 / 2012

Transaction ID : AE1B96A1E3ECD4082881

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

124.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Jerry Allora

Mailing Address 4828 Parkway Plaza

City

Charlotte

State

NC

Zip Code

28217-1957

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Vice President - Service Center

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

10 / 24 / 2012

Transaction ID : AF4AA6AF9127C4652AE5

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

B. Diane Anderson

Mailing Address 1913 Clark Elliot St

City

Charlotte

State

NC

Zip Code

28217

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Trader, Fixed Income

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

11 / 09 / 2012

Transaction ID : A1B5C14068B434C7085C

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Steven Ayala

Mailing Address 5014 Maynard St

City

San Diego

State

CA

Zip Code

92122-3934

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Account Systems

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

11 / 23 / 2012

Transaction ID : AAD85F7DA5F2D47AA9C4

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

450.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. JAMES EDWARD BASHAW

Mailing Address 5908 RIVERVIEW WAY

City

Houston

State

TX

Zip Code

77057-1434

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3124.95

Date of Receipt

11 / 15 / 2012

Transaction ID : AE888CB2DCB964B2F8E6

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. HARVEY STUART BERK

Mailing Address 9 CONRAD LANE

City

New City

State

NY

Zip Code

10956-6851

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1749.93

Date of Receipt

11 / 15 / 2012

Transaction ID : A1D1507AD62224A5E996

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ANDREW CLARK BLEASE

Mailing Address 1964 W. MUIRHEAD LOOP

City

Tucson

State

AZ

Zip Code

85737-7040

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

252.00

Date of Receipt

10 / 30 / 2012

Transaction ID : A6A037DACBBD443DB8F6

Amount of Each Receipt this Period

84.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

667.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. PAUL WILLIAM BOTTE

Mailing Address 1691 BURRIS DRIVE

City State Zip Code
 El Cajon CA 92019-3596

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

11 / 15 / 2012

Transaction ID : A0B565B8813A942C2A4B

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Derek Bruton

Mailing Address PO Box 3070

City State Zip Code
 San Diego CA 92163-1070

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, National Sales IAS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

11 / 23 / 2012

Transaction ID : A1B0262D67D6345B5BEB

Amount of Each Receipt this Period

125.01

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. KERRICK WALTER BUBB

Mailing Address 650 SOUTH LA SALLE STREET

City State Zip Code
 Redlands CA 92374-6443

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.63

Date of Receipt

11 / 15 / 2012

Transaction ID : A5EBB0BDC718246AC90C

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

583.33

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Jeffrey Buchheister

Mailing Address 2367 Juan St

City

San Diego

State

CA

Zip Code

92103-1110

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Reg Cntrls & Commissions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

11 / 23 / 2012

Transaction ID : ACCC37C4DB6144CD9B02

Amount of Each Receipt this Period

75.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. STEVEN ANDREW BUDIN

Mailing Address 25 MORNINGLOW PASS

City

Henderson

State

NV

Zip Code

89052-6683

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1749.93

Date of Receipt

11 / 15 / 2012

Transaction ID : A355EED69D46F4886BBE

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. R. NEIL BURNS

Mailing Address 24151 CASCADES

City

Laguna Niguel

State

CA

Zip Code

92677-2418

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

781.72

Date of Receipt

11 / 15 / 2012

Transaction ID : ACF2A4A5990374784B5C

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

324.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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PAGE 11 OF 38

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. R. CRAIG BYRD

Mailing Address 1723 SE 270TH PLACE

City State Zip Code
 Camas WA 98607-8585

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4374.93

Date of Receipt

11 / 15 / 2012

Transaction ID : A2E5C263C997D4103AF9

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KELLY PETER CAMPBELL

Mailing Address 11727 RIVER DRIVE

City State Zip Code
 Lorton VA 22079-4104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4374.93

Date of Receipt

11 / 15 / 2012

Transaction ID : ACB0203901A314AC79ED

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ANNE CECILIA CATALANO

Mailing Address 808 OLD VESTAL LANE

City State Zip Code
 Owego NY 13827

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.61

Date of Receipt

11 / 15 / 2012

Transaction ID : A4D286B6DDE3344E3B16

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

854.14

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. William R. Chetney

Mailing Address 30671 Steeple Chase

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Retirement Partners

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A0A3F97C82A914E9C8E2

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$100.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Crystal Clifford

Mailing Address 19562 Hidden Glen Road

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

593.75

Date of Receipt

11 / 23 / 2012

Transaction ID : A552D65B71423474D888

Amount of Each Receipt this Period

93.75

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. George Daly

Mailing Address 71 Longwood Circle

City State Zip Code
 Boston MA 02108

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Lead Relationship Mgr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

11 / 23 / 2012

Transaction ID : A47C1DCF1360E4EBD83F

Amount of Each Receipt this Period

31.26

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

425.01

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Joseph Devierno

Mailing Address 13129 Wildflower Lane

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Govn, Risk, & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 23 / 2012

Transaction ID : AABC4D0F3C92B47FC8F9

Amount of Each Receipt this Period

30.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. DAVID STAPLEY DIXON

Mailing Address 1419 DRAKEWOOD

City State Zip Code
 North Las Vegas NV 89031-1694

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

10 / 30 / 2012

Transaction ID : A43733AAA6BF64B63BEC

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$83.32/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID STAPLEY DIXON

Mailing Address 1419 DRAKEWOOD

City State Zip Code
 North Las Vegas NV 89031-1694

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

11 / 15 / 2012

Transaction ID : A461A27BFD21D4AB5A2D

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

154.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. RICHARD CALVERT DONAHUE

Mailing Address 2407 Princeton Ct #11

City State Zip Code
 Bellingham WA 98229-8840

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 11 15 2012

Transaction ID : A25947362063F454EBD3

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. William Dwyer III

Mailing Address 75 State Street
24th Floor

City State Zip Code
 Boston MA 02109-1827

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

MD, President Natl Sales Mktg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2916.76

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 11 23 2012

Transaction ID : AD1D93CFF45B546B7AEF

Amount of Each Receipt this Period

625.02

Payroll Deduction: \$208.34/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Matthew Enyedi

Mailing Address 16058 Wayne Hill

City State Zip Code
 San Diego CA 92127-4182

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, RIA Business Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.76

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 11 23 2012

Transaction ID : A851A34AC48134D3D9A6

Amount of Each Receipt this Period

62.52

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

895.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ROBERT L ERRICO

Mailing Address 10 VILLENEUVE

City State Zip Code
 Newport Coast CA 92657-1023

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1875.00

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 15 / 2012

Transaction ID : AA67D60E902554BCC9A2

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SHARON JOY FALL

Mailing Address 25 E QUEEN ELIZABETH CT

City State Zip Code
 Chester MD 21619

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 15 / 2012

Transaction ID : AE8836B0100374BCB8F2

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. RICHARD NORTHRUP FISHER JR.

Mailing Address 7003 WINDSOR WAY

City State Zip Code
 Elon NC 27244-9404

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 15 / 2012

Transaction ID : AC4021A00369940B5806

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

416.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Fay Fisher

Mailing Address 867 Homestake Court

City State Zip Code
 San Diego CA 92121-1968

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Technology Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1650.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A54FD59754C684A14830

Amount of Each Receipt this Period

225.00

Payroll Deduction: \$75.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. WILLIAM ROBERT FLYNN

Mailing Address 6027 SKYLINE DRIVE

City State Zip Code
 East Lansing MI 48823-1603

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.61

Date of Receipt

11 / 15 / 2012

Transaction ID : AFC2AAD774E0A46D898E

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT IVANO FRAGASSO

Mailing Address 611 KEITH ROAD

City State Zip Code
 Bethel Park PA 15102-3741

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.00

Date of Receipt

11 / 15 / 2012

Transaction ID : AB5A850B97F00435D974

Amount of Each Receipt this Period

125.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

370.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

<p>Full Name (Last, First, Middle Initial) A. MARC STUART FREEDMAN</p> <p>Mailing Address 119 KARA DRIVE</p> <p>City State Zip Code North Andover MA 01845-4834</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Lpl Financial Llc Financial Advisor</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 3333.20</p>		<p>Date of Receipt M M / D D / Y Y Y Y Y Y 11 / 15 / 2012 Transaction ID : A173EB6D1AF2C4BDCBB</p> <p>Amount of Each Receipt this Period 166.66</p> <p>Payroll Deduction: \$166.66/Bi-Monthly</p>
<p>Full Name (Last, First, Middle Initial) B. Joy Goble</p> <p>Mailing Address 3217 Selwyn Farms Ln</p> <p>City State Zip Code Charlotte NC 28209-4009</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation Lpl Financial Llc SVP, Banking Svcs</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 770.00</p>		<p>Date of Receipt M M / D D / Y Y Y Y Y Y 11 / 23 / 2012 Transaction ID : AFC4BDA7F12A2471D9D1</p> <p>Amount of Each Receipt this Period 105.00</p> <p>Payroll Deduction: \$35.00/Bi-Monthly</p>
<p>Full Name (Last, First, Middle Initial) C. WILLIAM E HAMM</p> <p>Mailing Address 657 SAXONY BLVD</p> <p>City State Zip Code Saint Petersburg FL 33716-1297</p> <p>FEC ID number of contributing federal political committee. C</p> <p>Name of Employer Occupation LPL Financial LLC CEO IFP</p> <p>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼</p> <p>Aggregate Year-to-Date ▼ 1041.65</p>		<p>Date of Receipt M M / D D / Y Y Y Y Y Y 11 / 15 / 2012 Transaction ID : AC6AC3A3778874F8C839</p> <p>Amount of Each Receipt this Period 416.66</p> <p>Payroll Deduction: \$208.33/Bi-Monthly</p>
<p>SUBTOTAL of Receipts This Page (optional)..... ▶</p>		688.32
<p>TOTAL This Period (last page this line number only)..... ▶</p>		

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Melanie Hardin

Mailing Address 800 Auburn Ave

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Strategic Transactions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A6BACD16DE7274E29A59

Amount of Each Receipt this Period

30.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. EDWARD JAMES HARRIS

Mailing Address 60 PARK TERRACE

City State Zip Code
 Washington PA 15301-3055

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

11 / 15 / 2012

Transaction ID : AE7FF23D539814061A8C

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. MICHAEL PATRICK HATCH

Mailing Address 3618 SAN PASQUAL ST.

City State Zip Code
 Pasadena CA 91107-5419

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1083.29

Date of Receipt

11 / 15 / 2012

Transaction ID : AEA78A3258C5E4EEBA43

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

279.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Bradford Hearn

Mailing Address 7293 Calle Conifera

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

924.00

Date of Receipt

11 / 23 / 2012

Transaction ID : AFD6D9A34A5E443D87D

Amount of Each Receipt this Period

126.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Mark Helliker

Mailing Address 688 Caudor St.

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A86ABC126909F45A1A1E

Amount of Each Receipt this Period

450.00

Payroll Deduction: \$150.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID GEORGE HERBST

Mailing Address 925 BURGESS HILL RD

City State Zip Code
 Naperville IL 60565-6106

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3499.86

Date of Receipt

11 / 15 / 2012

Transaction ID : A8116D6A9112849879D6

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

909.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Brad Jacobs

Mailing Address 5 Beacham Place

City

Hingham

State

MA

Zip Code

02108

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 23 / 2012

Transaction ID : A94C31848F9DF48C49F9

Amount of Each Receipt this Period

75.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. John Kalbaugh

Mailing Address 6713 Seton House Ln

City

Charlotte

State

NC

Zip Code

28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, IS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

937.53

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 23 / 2012

Transaction ID : A9E5CB1EBBF8D413CAF1

Amount of Each Receipt this Period

312.51

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID BLANDIN LA POINTE

Mailing Address 37919 LUNA DRIVE

City

Temecula

State

CA

Zip Code

92592-9053

FEC ID number of contributing
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4374.93

Date of Receipt

M M / D D / Y Y Y Y Y
11 / 15 / 2012

Transaction ID : AEEEF8E308B2347B0A65

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

804.17

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Stephen Lank

Mailing Address 15936 Glen Miro Drive

City State Zip Code
 Charlotte NC 28217

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A00C9E063FE234A8B9B1

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. WILLIAM LEE LITTON

Mailing Address 10309 AVENIDA MAGNIFICA

City State Zip Code
 San Diego CA 92131-1402

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

11 / 15 / 2012

Transaction ID : AA753925E1A68478EB37

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Thomas Lux

Mailing Address 1309 Caminito Batea

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1250.04

Date of Receipt

11 / 23 / 2012

Transaction ID : A0B62E4E1788C483E803

Amount of Each Receipt this Period

312.51

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

512.51

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. LAILA SOBHI MARSHALL-PENCE

Mailing Address 10572 VILLA DEL CERRO

City State Zip Code
 Santa Ana CA 92705-1486

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Wealth Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4374.93

Date of Receipt

11 / 15 / 2012

Transaction ID : A577773CF637C488EBD1

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. THEODORE ROCCO MASSARO

Mailing Address 36 HARVEST LANE

City State Zip Code
 Medford NJ 08055-8450

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2625.00

Date of Receipt

11 / 15 / 2012

Transaction ID : A67D639BDAFD64A02AC8

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT ARTHUR MATSON

Mailing Address 333 ORCHARD STREET

City State Zip Code
 Millis MA 02054-1025

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

11 / 15 / 2012

Transaction ID : AE675F237091C4B539ED

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

749.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. DAVID JOHN MC MENAMIN

Mailing Address 5100 W. RHYOLITE LOOP

City State Zip Code
Tucson AZ 85745-8967

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1416.61

Date of Receipt

11 / 15 / 2012

Transaction ID : A48DA3FED33E0493B82E

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SHARI DIANE MILLER

Mailing Address 4250 SHOREPOINTE WAY

City State Zip Code
San Diego CA 92130-8634

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.20

Date of Receipt

11 / 15 / 2012

Transaction ID : AD37020F7FD494437A2D

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Robert Moore

Mailing Address PO Box 2764

City State Zip Code
San Diego CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3124.95

Date of Receipt

11 / 23 / 2012

Transaction ID : A27AADA56181D482085C

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

791.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CARLO ANTHONY PANACCIONE

Mailing Address 12760 DIANNE DRIVE

City State Zip Code
 Los Altos Hills CA 94022-4606

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3166.54

Date of Receipt

11 / 15 / 2012

Transaction ID : A9F11D20A1E984501A5F

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. VICKIE LINN PETIX

Mailing Address 2529 CASTELLON TERRACE

City State Zip Code
 El Cajon CA 92019-3859

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

11 / 15 / 2012

Transaction ID : AB28F19DB64A2455DAB3

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID ANTHONY PICKLER

Mailing Address 2268 BIRCHTON DRIVE

City State Zip Code
 Germantown TN 38139-5251

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.64

Date of Receipt

11 / 15 / 2012

Transaction ID : AB0F75FE0F32D4BAD97A

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

708.30

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David Reich

Mailing Address 14397 Caminito Lanza

City State Zip Code
 San Diego CA 92127-3635

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Retirement Platform

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.38

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 23 / 2012

Transaction ID : AA6DF4A7540264956832

Amount of Each Receipt this Period

312.51

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. James Riela

Mailing Address 1005 Brightwood Dr

City State Zip Code
 San Diego CA 92121

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Conference Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 26 / 2012

Transaction ID : A501205E69EEE43ECAA9

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. RICHARD ROBERTS ROBIE

Mailing Address 106 MIST FLOWER

City State Zip Code
 Lake Jackson TX 77566-6031

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y
 11 / 15 / 2012

Transaction ID : AA50D1778AEBE4DBC9CF

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

383.33

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CAROL L. ROGERS

Mailing Address 1306 CONWAY OAKS DR.

City State Zip Code
 Chesterfield MO 63017-1958

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

11 / 15 / 2012

Transaction ID : ADC8A8BD5ED4148B1BE1

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KARL HORACE ROMERO

Mailing Address 6 De Soto

City State Zip Code
 Trabuco Canyon CA 92679-3600

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

11 / 15 / 2012

Transaction ID : AED7F01EBB84743B7935

Amount of Each Receipt this Period

83.33

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ARTHUR R. SALOMON

Mailing Address 459 WOODLAKE WAY

City State Zip Code
 Lexington KY 40502-2535

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

11 / 15 / 2012

Transaction ID : A6968C3B6FB3A414B96F

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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266.65

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mary Schott

Mailing Address 9785 Towne Centre Dr

City State Zip Code
San Diego CA 92121-1968

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.97

Date of Receipt

11 / 23 / 2012

Transaction ID : AFA93D30F8B5E40079B2

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Daniel Schuck

Mailing Address 12745 Westly Ln

City State Zip Code
San Diego CA 92131-4207

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A8974319FF2694ADFA2F

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$100.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Gerald Soderstrom

Mailing Address 5518 Bellevue Ave

City State Zip Code
La Jolla CA 92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Chief Audit Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A3028395985AC4643871

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

699.99

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Adam Sokolic

Mailing Address 2752 29th St

City State Zip Code
 San Diego CA 92104-4909

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Retirement Partners

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.70

Date of Receipt

11 / 23 / 2012

Transaction ID : A6D85F35A416941E3810

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. GREG ROBERT SOLIS

Mailing Address 49335 AVENIDA FERNANDO

City State Zip Code
 La Quinta CA 92253-2744

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.95

Date of Receipt

11 / 15 / 2012

Transaction ID : A64ED9B0CC4B34150AD8

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. GARY M STANISLAWSKI

Mailing Address 2992 E 78TH ST

City State Zip Code
 Tulsa OK 74136-8732

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1749.93

Date of Receipt

11 / 15 / 2012

Transaction ID : A88A8501401F64365881

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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395.81

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Cynthia Swank

Mailing Address 5138 Bevington Place

City

Charlotte

State

NC

Zip Code

28277-5505

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Service Value Commitment

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

941.68

Date of Receipt

11 / 23 / 2012

Transaction ID : A472116B63AD94E07A58

Amount of Each Receipt this Period

31.26

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOAN MARIE VALENTI

Mailing Address 6 MORGAN PLACE

City

Unionville

State

CT

Zip Code

06085-1178

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3124.95

Date of Receipt

11 / 15 / 2012

Transaction ID : A71B9923735424854B77

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. KENNETH WILLIAM VAN LEEUWEN

Mailing Address 1010 Barclay Blvd

City

Princeton

State

NJ

Zip Code

08540-5883

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2625.00

Date of Receipt

11 / 15 / 2012

Transaction ID : AB4BA1D2693ED471DABB

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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697.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Scott Vock

Mailing Address 2503 Roswell Ave Suite 207
Unit 207

City State Zip Code
Charlotte NC 28209-1682

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, BTS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.45

Date of Receipt

11 / 23 / 2012

Transaction ID : A3AB8B32AC9DF49F59E6

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. WAYNE DAVID WAGNER, JR.

Mailing Address 8 LEAP DRIVE

City State Zip Code
Pennsville NJ 08070-1117

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2625.00

Date of Receipt

11 / 15 / 2012

Transaction ID : A3F7D4FB815514B8083F

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. MICHAEL JAMES WARD

Mailing Address 1491 BERNE-ALTAMONT ROAD

City State Zip Code
Albany NY 12209

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

11 / 15 / 2012

Transaction ID : AA831126F38B449358F2

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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354.15

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Jeffrey Warren

Mailing Address 7137 Sherbourne Ln

City

San Diego

State

CA

Zip Code

92129-4655

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Service Center

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

660.00

Date of Receipt

11 / 23 / 2012

Transaction ID : ABC9C4AEE36344B8F913

Amount of Each Receipt this Period

90.00

Payroll Deduction: \$30.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KELLY RAE WELKER

Mailing Address 22332 Fossil Ridge

City

San Antonio

State

TX

Zip Code

78261-3015

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

291.62

Date of Receipt

11 / 15 / 2012

Transaction ID : AB37DE2C12B054C1C8B1

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Geoffrey White

Mailing Address 7454 Sundial Place

City

San Diego

State

CA

Zip Code

92121

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Retirement Partners

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 23 / 2012

Transaction ID : A245AE4FD3CBC47029BA

Amount of Each Receipt this Period

30.00

Payroll Deduction: \$10.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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203.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MICHAEL C. F. WILLIAMS

Mailing Address 15 IVY LANE

City

Chester Springs

State

PA

Zip Code

19425-3316

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

11 / 15 / 2012

Transaction ID : ABB18D0B1969046F4834

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. WARREN DAVID WISE

Mailing Address 437 WEDGEWOOD CT

City

Eden

State

NC

Zip Code

27288-2841

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

11 / 15 / 2012

Transaction ID : A93770DCF33444A90B3B

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Gregory Woods

Mailing Address 116 Pembroke Street

City

Boston

State

MA

Zip Code

02118-1208

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Marketing Practice Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

11 / 23 / 2012

Transaction ID : AD5A216263870429389B

Amount of Each Receipt this Period

125.01

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

666.65

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ROBERT ALAN YOUNG

Mailing Address 14504 COUNTY ROAD 96B

City

Woodland

State

CA

Zip Code

95695-9221

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

11 / 15 / 2012

Transaction ID : A526DFC79DABA4F4DAE

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MARK G ZITZELBERGER

Mailing Address 1048 CLUBHOUSE DRIVE

City

Weidman

State

MI

Zip Code

48893-9339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

874.86

Date of Receipt

11 / 15 / 2012

Transaction ID : AB46989397B6A4183B44

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

/ /

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

14505.08

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

LPL Financial LLC Political Action Committee (LPL PAC)

A. Aristotle International

Date of Disbursement

Three 10-pin D-sub connectors are shown, each with a different pin number: 10, 18, and 2012. The connectors are arranged horizontally, separated by slashes. Each connector has a label above it indicating the pin number: 'M M' for 10, 'D D' for 18, and 'Y Y Y Y' for 2012.

Transaction ID : BFBDF4510146B4973A22

Category/
Type

Disbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

Amount of Each Disbursement this Period

25.00

Full Name (Last, First, Middle Initial)

B. Aristotle International

Date of Disbursement

Transaction ID : B285BDEBCDC95458E9AC

Category/
Type

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

Amount of Each Disbursement this Period

26.70

Full Name (Last, First, Middle Initial)

C. Aristotle International

Date of Disbursement

Transaction ID : B41F976BD5BD94EF2910

Category/
Type

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

Amount of Each Disbursement this Period

101.70

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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☒ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave, SE

City Washington State DC Zip Code 20003

Purpose of Disbursement
Credit Card Processing Fee

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
11 / 05 / 2012
Transaction ID : BA12AC199FC8C4B25A66

Amount of Each Disbursement this Period

12.50

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave, SE

City Washington State DC Zip Code 20003

Purpose of Disbursement
Credit Card Processing Fee

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y
11 / 13 / 2012
Transaction ID : BC3DD7210089347E4A2C

Amount of Each Disbursement this Period

4.20

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

16.70

118.40

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 36 OF 38

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. BOB CORKER FOR SENATE 2012

Mailing Address 1910 21ST AVENUE SOUTH

City	State	Zip Code
NASHVILLE	TN	37212

Purpose of Disbursement
TN US Senate

Candidate Name

Sen. Bob CorkerOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2012
☐ Primary ☒ General
☐ Other (specify) ▼

State: TN District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		18		2012

Transaction ID : B383ADE6D8BAF405DA71

Amount of Each Disbursement this Period

5000.00

Full Name (Last, First, Middle Initial)

B. CANSECO FOR CONGRESS

Mailing Address 10004 WURZBACH ROAD #366

City	State	Zip Code
SAN ANTONIO	TX	78230

Purpose of Disbursement
TX US House

Candidate Name

Rep. Francisco Quico CansecoOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2012
☐ Primary ☒ General
☐ Other (specify) ▼

State: TX District: 23

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		18		2012

Transaction ID : BF0B71F551F114442A5C

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. FRIENDS OF PAT TOOMEY

Mailing Address 228 S. WASHINGTON ST., SUITE 115

City	State	Zip Code
ALEXANDRIA	VA	22314

Purpose of Disbursement
PA US Senate

Candidate Name

Sen. Patrick J. ToomeyOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: PA District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		18		2012

Transaction ID : B4E7C24CDA9C1450D968

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7000.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 37 OF 38

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. HATCH ELECTION COMMITTEE INC

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		18		2012

Mailing Address 175 SOUTH WEST TEMPLE SUITE 650

City	State	Zip Code
SALT LAKE CITY	UT	84101

Purpose of Disbursement
UT US Senate

Candidate Name

Sen. Orrin G. HatchCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: UT District:

Transaction ID : BDF7D45CC4B07456389C

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

B. JIM RENACCI FOR CONGRESS

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		18		2012

Mailing Address 150 SMOKERISE DRIVE

City	State	Zip Code
WADSWORTH	OH	44281

Purpose of Disbursement
OH US House

Candidate Name

Rep. James B. RenacciCategory/
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2012
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: OH District: 16

Transaction ID : BA767A572264447A5A69

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. MIKE CRAPO FOR US SENATE

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		18		2012

Mailing Address P.O. BOX 1948

City	State	Zip Code
BOISE	ID	83701

Purpose of Disbursement
ID US Senate

Candidate Name

Sen. Mike CrapoCategory/
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2016
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: ID District:

Transaction ID : BB0C4AA6569EE4530A0C

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00

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	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

LPL Financial LLC Political Action Committee (LPL PAC)

A. TOM REED FOR CONGRESS

Date of Disbursement

Transaction ID : B5A0AB58AE59C4E96924

Amount of Each Disbursement this Period

Category/
Type

Rep. Tom Reed

Disbursement For: 2012

☐ Primary ☒ General

☐ Other (specify) ▼

State: NY District: 29

Full Name (Last, First, Middle Initial)

B. WICKER FOR SENATE

Mailing Address PO BOX 64

Date of Disbursement

City	State	Zip Code
JACKSON	MS	39205

Transaction ID : BC157653A761D4BA6A46

Purpose of Disbursement	MS US Senate
-------------------------	--------------

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

Sen. Roger F. Wicker

Office Sought:	<input type="checkbox"/>	House
	<input checked="" type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For: 2012

☐ Primary ☒ General

☐ Other (specify) ▼

State: MS District:

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement	
1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
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33	34
35	36
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43	44
45	46
47	48
49	50
51	52
53	54
55	56
57	58
59	60
61	62
63	64
65	66
67	68
69	70
71	72
73	74
75	76
77	78
79	80
81	82
83	84
85	86
87	88
89	90
91	92
93	94
95	96
97	98
99	100

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

State: District:

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

3000.00

15000.00