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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example: If typing, type COMMITTEE (in full) OR TYPE OR PRINT over the lines Novartis Corporation Political Action Committee 701 Pennsylvania Ave. NW Suite 725 ADDRESS (number and street) Check if different than previously DC 20004 Washington 2608 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** CITY A ZIPCODE A IS THIS NEW **AMENDED** C00033969 Χ REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) 12-Day Х (c) Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the 11 04 2008 Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Post -Election General (30G) Runoff (30R) Special (30S) Report for the: Termination Report (TER) in the Election on State of 10 0 1 2008 10 2008 15 Covering Period through I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Dan P. Casserly Type or Print Name of Treasurer Electronically Filed by Dan P. Casserly 10 22 2008 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use (Rev. 12/2004) Only

FE6AN026

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2 Write or Type Committee Name Novartis Corporation Political Action Committee [®] D ^b D 1.0 0 1 2008 1.0 15 2008 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 2008 168691.33 January 1 (b) Cash on Hand at 104347.60 Begining of Reporting Period 36.92 253263.19 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 104384.52 421954.52 6(a) and 6(c) for Column B) 1500.00 319070.00 7. Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 102884.52 102884.52 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

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Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

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2008

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^Y 2008

	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	Contributions (other than loans) From: a) Individuals/Persons Other		
·	Than Political Committees (i) Itemized (use Schedule A)	36.92	73353.99
	(ii) Unitemized	0.00	179909.20
	(iii) TOTAL (add Lines 11(a)(i) and (ii)	36.92	253263.19
(b) Political Party Committees	0.00	0.00
,	c) Other Political Committees (such as PACs)	0.00	0.00
	11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	36.92	253263.19
	Fransfers From Affiliated/Other Party Committees	0.00	0.00
3. <i>F</i>	All Loans Received	0.00	0.00
	oan Repayments Received Offsets To Operating Expenditures	0.00	0.00
Ì	Refunds, Rebates, etc.) Carry Totals to Line 37, page 5) Refunds of Contributions Made	0.00	0.00
	o Federal candidates and Other Political Committees	0.00	0.00
	Other Federal Receipts Dividends, Interest, etc.)	0.00	0.00
-	Transfers from Non-Federal and Levin Funds		
	(a) Non-Federal Account (from Schedule H3)	0.00	0.00
	(b) Levin Funds (from Schedule H5)	0.00	0.00
((c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
	Fotal Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	36.92	253263.19
	Total Federal Receipts subtract Line 18(c) from Line 19)	36.92	253263.19

DETAILED SUMMARY PAGE

of Disbursements

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	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	Operating Expenditures: (a) Shared Federal/Non-Federal		
	Activity (from Schedule H4) (i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating Expenditures	0.00	1865.00
	(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))	0.00	1865.00
2.	Transfers to Affiliated/Other Party		
.	Committees Contributions to	0.00	0.00
	Federal Candidates/Committeesand Other Political Committees Independent Expenditure	1500.00	305050.00
	(use Schedule E)	0.00	0.00
	Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
	Loan Repayments Made	0.00	0.00
	Loans Made	0.00	0.00
		0.00	105.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	105.00
).	Other Disbursements	0.00	12050.00
1	Endoral Floation Activity (211 S.C. 431(20))		
<i>,</i> .	Federal Election Activity (2 U.S.C 431(20)) (a) Shared Federal Election Activity		
	(from Schedule H6)	2.22	
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
	Total Disbursements (add Lines 21(c), 22,	4500.00	040070 00
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	1500.00	319070.00
	Total Federal Disbursements		
2.	(subtract Line 21(a)(ii) and Line 30(a)(ii)		

DETAILED SUMMARY PAGE

of Disbursements

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Page 5

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	36.92	253263.19
34.	Total Contribution Refunds (from Line 28(d))	0.00	105.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	36.92	253158.19
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	1865.00
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	1865.00

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SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 7 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using the			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Action	Committee		
Full Name (Last, First, Middle Initial) Bruce Ruscio			Date of Receipt
Mailing Address 608 Fifth Avenue			10 15 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: A2008-2023549
New York	NY	10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		36.92
Name of Employer Novartis Corporation	Occupation Manager		
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 855.32	

SUBTOTAL of Receipts This Page (optional)	•	36.92
TOTAL This Period (last page this line number only)	<u> </u>	36.92

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page (check onl 21b 27)	22 X 23 24 25 26 28a 28b 28c 29 30b
Any Information copied from such Reports and Statemer or for commercial purposes, other than using the name	, , , ,	· ·
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Comm	nittee	
Full Name (Last, First, Middle Initial) Butterfield for Congress Mailing Address P.O. Box 2571		Transaction ID: B237007 Date of Disbursement 1 0 0 0 0 7 2 0 0 8
	State Zip Code NC 27894	Amount of Each Disbursement this Period 1500.00
Candidate Name G. K Butterfield	Category/ Type	
Office Sought: X House Senate President State: NC District: 01	ment For: 2008 Primary X General Other (specify) ▼	

SUBTOTAL of Disbursements This Page (optional)	•	1500.00
TOTAL This Period (last page this line number only)	•	1500.00