03/30/2007 17:30

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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example: If typing, type COMMITTEE (in full) OR TYPE OR PRINT over the lines Novartis Corporation Political Action Committee 701 Pennsylvania Ave. NW Suite 725 ADDRESS (number and street) Check if different than previously DC 20004 Washington 2608 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** CITY A ZIPCODE A IS THIS **AMENDED** NEW C00033969 Χ REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Χ Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) (c) 12-Day Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Post -Election General (30G) Runoff (30R) Special (30S) Report for the: **Termination Report** (TER) in the Election on State of 02 0 1 2007 02 28 2007 Covering Period through I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Dan P. Casserly Type or Print Name of Treasurer Electronically Filed by Dan P. Casserly 03 30 2007 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use (Rev. 02/2003) Only

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

F	eport Covering the Period: From:	01 2007	To: 0 2 2 8 2 0 0 7
	_	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1		92392.10
	(b) Cash on Hand at Begining of Reporting Period	81572.60	
	(c) Total Receipts (from Line 19)	28317.33	55997.83
	(d) Subtotal (add lines 6(b) and		
	6(c) for Column A and Lines 6(a) and 6(c) for Column B)	109889.93	148389.93
7.	Total Disbursements (from Line 31)	21800.00	60300.00
3.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	88089.93	88089.93
).	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
0.	Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 02/2003) Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

01

2007

o. 0 2

D 2 B

2007

I. Receipts		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date	
11.	Contributions (other than loans) From: (a) Individuals/Persons Other			
	Than Political Committees (i) Itemized (use Schedule A)	6478.77	9242.28	
	(ii) Unitemized	21838.56	46755.55	
	(iii) TOTAL (add Lines 11(a)(i) and (ii)	28317.33	55997.83	
	(b) Political Party Committees	0.00	0.00	
	(c) Other Political Committees (such as PACs)	0.00	0.00	
	11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	28317.33	55997.83	
2.	Transfers From Affiliated/Other Party Committees	0.00	0.00	
3.	All Loans Received	0.00	0.00	
	Loan Repayments Received Offsets To Operating Expenditures	0.00	0.00	
	(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00	
υ.	to Federal candidates and Other Political Committees	0.00	0.00	
7.	Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00	
8.				
	(a) Non-Federal Account (from Schedule H3)	0.00	0.00	
	(b) Levin Funds (from Schedule H5)	0.00	0.00	
	(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00	
19.	Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	28317.33	55997.83	
20.	Total Federal Receipts (subtract Line 18(c) from Line 19)	28317.33	55997.83	

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) Page 4

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	Operating Expenditures: a) Shared Federal/Non-Federal		
(Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))	0.00	0.00
	Fransfers to Affiliated/Other Party		
3. (Committees	0.00	0.00
á	ederal Candidates/Committeesand Other Political Committeesnd	18500.00	55500.00
(use Schedule E) Coordinated Expenditures Made by Party	0.00	0.00
(Committees (2 U.S.C. 441a(d)) use Schedule F)	0.00	0.00
:6. l	oan Repayments Made	0.00	0.00
	oans Made	0.00	0.00
	Refunds of Contributions To: a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
,	c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds		
	(add Lines 28(a), (b), and (c))	0.00	0.00
29. (Other Disbursements	3300.00	4800.00
0.	Federal Election Activity (2 U.S.C 431(20)) (a) Shared Federal Election Activity		
	(from Schedule H6) (i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
	Total Disbursements (add Lines 21(c), 22,	21800.00	60300.00
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	21000.00	00000.00
2.	Total Federal Disbursements		
	(subtract Line 21(a)(ii) from Line 30(a)(ii)		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	28317.33	55997.83
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	28317.33	55997.83
Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

SCHEDULE A (FEC Form 3X)			Use separate schedule(s)	FOR LINE NUMBER: PAGE 6/20		
	EMIZED RECEIPTS		or each category of the	(check only one)		
"	EMIZED RECEIP 13		Detailed Summary Page	X 11a		
				13 14 15 16 17		
Ar or	ny information copied from such Reports and State for commercial purposes, other than using the na	ements may ame and add	not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.		
\setminus	NAME OF COMMITTEE (In Full)					
\rangle	Novartis Corporation Political Action Cor	nmittee				
Α.	Full Name (Last, First, Middle Initial) Joseph J Affuso			Date of Receipt		
	Mailing Address One Health Plaza			02 20 20 2007		
	City	State	Zip Code	Transaction ID: A2007-209672		
	East Hanover	NJ	07936	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	C		130.21		
	Name of Employer Novartis Pharmaceuticals	Occupation Executive	n e Director Environment			
	Receipt For:	Aggregate	e Year-to-Date ▼			
	Primary General		200 10	1		
	Other (specify) ▼		260.42			
В.	Full Name (Last, First, Middle Initial) Joan Antokol			Date of Receipt		
	Mailing Address One Health Plaza			02 20 7 207		
	City	State	Zip Code	Transaction ID: A2007-209751		
	East Hanover	NJ	07936	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	C		180.27		
	Name of Employer Novartis Pharmaceuticals	Occupation Global R	n egulatory Advisor			
	Receipt For:	Aggregate	e Year-to-Date ▼			
	Primary General		200 54	1		
	Other (specify) ▼		360.54			
C .	Full Name (Last, First, Middle Initial) Brenda Blanchard			Date of Receipt		
	Mailing Address One Health Plaza			02 20 7 2007		
	City	State	Zip Code	Transaction ID: A2007-208783		
	East Hanover	NJ	07936	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	C		416.00		
	Name of Employer Novartis Services Incorpo- rated	Occupation VP Allian	n ice Development			
	Receipt For:		Year-to-Date ▼	7		
	Primary General		222.22	1		
	Other (specify) ▼	0 0	832.00			
s	UBTOTAL of Receipts This Page (optional)			726.48		
$\overline{}$			<u> </u>			

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)			Use separate schedule(s)	FOR LINE NUMBER: PAGE 7 / 20	
ITEMIZED RECEIPTS			or each category of the	(check only one)	
••			Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 1	7
Ar	ny information copied from such Reports and Sta	atements may	not be sold or used by any person	on for the purpose of soliciting contributions	
or	for commercial purposes, other than using the n	solicit contributions from such committee.	_		
$ \setminus $	NAME OF COMMITTEE (In Full)				
	Novartis Corporation Political Action Co	mmillee			
Α.	Full Name (Last, First, Middle Initial) Rainer Boehm			Date of Receipt	
Α.	Mailing Address One Health Plaza			M M / D D / Y Y Y Y	
				02 20 2007	
	City	State	Zip Code	Transaction ID: A2007-209396	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	C		150.00	
	Name of Employer Novartis Pharmaceuticals	Occupation VP Globa	n al Marketing Oncology		
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General	' '	300.00	1	
	Other (specify) ▼	0 0		1	
В.	Full Name (Last, First, Middle Initial) Catherine T Burton			Date of Receipt	
	Mailing Address One Health Plaza			02 20 7 2007	
	City	State	Zip Code	Transaction ID: A2007-209632	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	С		117.45	
	Name of Employer Novartis Pharmaceuticals	Occupation Exec Dir	n Statistics		
	Receipt For:		Year-to-Date V		
	Primary General	1 99 19411		1	
	Other (specify) ▼		234.90		
C.	Full Name (Last, First, Middle Initial) Ronald M Califre			Date of Receipt	
•	Mailing Address One Health Plaza			M M / D D / Y Y Y Y	
				02 20 2007	
	City	State	Zip Code	Transaction ID: A2007-209402	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	1
	FEC ID number of contributing federal political committee.	C		416.00	
	Name of Employer Novartis Pharmaceuticals	Occupation Sr VP R8			
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General Other (specify)		832.00		
	Other (Specify) \	0 0	0 0 0 0 0 0 0	1	
s	UBTOTAL of Receipts This Page (optional)			683.45	
	· · · · · · · · · · · · · · · · · · ·			-	1
T	OTAL This Period (last page this line number or	nly)			4

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 20 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Ar or	y information copied from such Reports and Sta for commercial purposes, other than using the r	atements may	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
$\overline{\ \ }$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Co	mmittee		
A. .	Full Name (Last, First, Middle Initial) Daniel P Casserly Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial) Paulo F Costa		Zip Code 07936 In ernment Relations e Year-to-Date ▼	Date of Receipt M M
	Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)		Zip Code 07936 n t & CEO Pharma e Year-to-Date ▼	Transaction ID: A2007-208559 Amount of Each Receipt this Period 416.00
)	Full Name (Last, First, Middle Initial) Joseph J Donner Mailing Address 608 Fifth Avenue City New York FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)		Zip Code 10020 n nal Communications e Year-to-Date 243.84	Date of Receipt M M M
s	UBTOTAL of Receipts This Page (optional))	737.92
T	OTAL This Period (last page this line number o	nlv)		

S	CHEDULE A (FEC Form 3X)		Llee concrete cobodulo(o)	FOR LINE NUMBER: PAGE 9/20	
	EMIZED RECEIPTS		Use separate schedule(s) or each category of the	(check only one)	
•	EWIZED RECEIPTS		Detailed Summary Page	X 11a 11b 11c 12	_
_				13 14 15 16	17
An or	y information copied from such Reports and Sta for commercial purposes, other than using the na	tements may ame and add	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.	
$\overline{}$	NAME OF COMMITTEE (In Full)				
\rangle	Novartis Corporation Political Action Co	mmittee			
۹.	Full Name (Last, First, Middle Initial) David P Drake			Date of Receipt	
	Mailing Address One Health Plaza			02 20 7 20 7 2007	
	City	State	Zip Code	Transaction ID: A2007-209322	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	C		149.91	
	Name of Employer Novartis Services Incorpo- rated	Occupation Exec Dir	n Fed Gov't Relations		
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General		299.82		
	Other (specify) ▼		233.02		
3.	Full Name (Last, First, Middle Initial) James R Elkin			Date of Receipt	
	Mailing Address One Health Plaza			02 / 20 / 2007	
	City	State	Zip Code	Transaction ID: A2007-208788	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	С		416.00	
	Name of Employer Novartis Services Incorpo- rated	Occupation VP Feder	n ral Gov't Relations		
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General		832.00		
	Other (specify)		002.00		
Э.	Full Name (Last, First, Middle Initial) Steven A Engelhardt			Date of Receipt	
	Mailing Address One Health Plaza			02 20 7	
	City	State	Zip Code	Transaction ID: A2007-209377	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	C		178.95	5
	Name of Employer Novartis Pharmaceuticals	Occupation VP Sales			
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General	-	357.90		
	Other (specify)		337.30		
s	UBTOTAL of Receipts This Page (optional)			744.86	
_	OTAL Title Desired floor	.1. \			
- 10	OTAL This Period (last page this line number or	1IY)			

SCHEDULE A (FEC Form 3X)

S	CHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 10 / 20
	EMIZED RECEIPTS		or each category of the	(check only one)
••			Detailed Summary Page	X 11a 11b 11c 12 15 16 17
Δn	y information copied from such Reports and Sta	atements may	y not be sold or used by any nerso	
or	for commercial purposes, other than using the r	name and add	dress of any political committee to	solicit contributions from such committee.
\setminus	NAME OF COMMITTEE (In Full)			
	Novartis Corporation Political Action Co	ommittee		
Α.	Full Name (Last, First, Middle Initial) Neely T Frye			Date of Receipt
	Mailing Address One Health Plaza			02 20 20 7
	City	State	Zip Code	Transaction ID: A2007-209270
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		102.64
	Name of Employer Novartis Pharmaceuticals	Occupation EXECUT		
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General		205.28	1
	Other (specify) ▼	0 0		
В.	Full Name (Last, First, Middle Initial) Thomas E Giles			Date of Receipt
	Mailing Address One Health Plaza			02 20 7
	City	State	Zip Code	Transaction ID: A2007-209430
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		175.00
	Name of Employer Novartis Services Incorpo- rated	Occupation ExDirGov	n /AffrsFedHlthcrPolicy	
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General	' '	350.00]
	Other (specify) ▼	0 0		
C.	Full Name (Last, First, Middle Initial) Alex Gorsky			Date of Receipt
	Mailing Address One Health Plaza			02 / 20 / 2007
	City	State	Zip Code	Transaction ID: A2007-210059
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		200.00
	Name of Employer Novartis Pharmaceuticals	Occupation CEO	1	
	Receipt For:	Aggregate	Year-to-Date ▼	
	Primary General		400.00	1
	Other (specify) ▼		100.00	1
SI	UBTOTAL of Receipts This Page (optional)			477.64
\vdash	or in the or in the order of th			
T	OTAL This Period (last page this line number of	nly)		

SCHEDULE A (FEC Form 3X)				FOR LINE NUMBER: PAGE 11 / 20		
			Use separate schedule(s)	(check only one)		
IT	EMIZED RECEIPTS		or each category of the Detailed Summary Page	X 11a 11b 11c 12		
			Detailed Guillinary Lage	13 14 15 16 17		
Ar	y information copied from such Reports and Sta	atements may	not be sold or used by any pers	on for the purpose of soliciting contributions		
or	for commercial purposes, other than using the r	name and add	dress of any political committee t	o solicit contributions from such committee.		
	NAME OF COMMITTEE (In Full)					
$ \rangle$	Novartis Corporation Political Action Co	mmittee				
\angle						
	Full Name (Last, First, Middle Initial)					
Α.	Mark D Grebenau			Date of Receipt		
	Mailing Address One Health Plaza			02 20 2007		
	City	State	Zip Code			
	City		•	Transaction ID: A2007-209675		
	East Hanover	NJ	07936	Amount of Each Receipt this Period		
	FEC ID number of contributing	C		110.12		
	federal political committee.	9				
	Name of Employer Novartis Pharmaceuticals	Occupation	า			
	Novartis Pharmaceuticals	Dir Medic	cal Services			
	Receipt For:	Aggregate	e Year-to-Date ▼			
	Primary General		010.07	7		
	Other (specify)		219.87			
	Full Name (Last, First, Middle Initial)					
В.	·			Date of Receipt		
	Mailing Address One Health Plaza			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$		
	Cit.	Ctata	Zin Codo			
	City	State	Zip Code	Transaction ID: A2007-209398		
	East Hanover	NJ	07936	Amount of Each Receipt this Period		
	FEC ID number of contributing	C		125.00		
	federal political committee.					
	Name of Employer Novartis Pharmaceuticals	Occupation	1			
	Novartis Pharmaceuticals	Ex Dir Sc	cientific Operations			
	Receipt For:	Aggregate	e Year-to-Date ▼			
	Primary General		050.00			
	Other (specify) ▼		250.00			
_	Full Name (Last, First, Middle Initial)			D. (D.).		
C.	Richard E Knapp			Date of Receipt		
	Mailing Address One Health Plaza			02 20 2007		
	City	State	Zip Code	Transaction ID: A2007-209014		
	East Hanover	NJ	07936			
		110	07930	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	C		200.00		
	rederal political committee.					
	Name of Employer Novartis Pharmaceuticals	Occupation	n			
	Novartis Pharmaceuticais	Exec Dir	State Govt Af			
	Receipt For:	Aggregate	e Year-to-Date ▼			
	Primary General		400.00	1		
	Other (specify) ▼		400.00			
_						
				435.12		
S	UBTOTAL of Receipts This Page (optional)	<u></u>		435.12		
T	OTAL This Period (last page this line number o	nly)				

SCHEDULE A (FEC Form 3X)			FOR LINE NUMBER: PAGE 12/20		
	•		Use separate schedule(s) or each category of the	(check only one)	
Ш	EMIZED RECEIPTS		Detailed Summary Page	X 11a 11b 11c 12	
			, ,	13 14 15 16 17	
Ar	y information copied from such Reports and St	atements may	not be sold or used by any person	on for the purpose of soliciting contributions	
or	for commercial purposes, other than using the	name and add	aress of any political committee to	solicit contributions from such committee.	
	NAME OF COMMITTEE (In Full)				
	Novartis Corporation Political Action Co	ommittee			
Α.	Full Name (Last, First, Middle Initial) Lon D Lowrey			Date of Receipt	
	Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
	City	State	Zip Code		
	East Hanover	NJ	07936	Transaction ID: A2007-208755	
		INU	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing federal political committee.	C		125.00	
	Name of Employer Novartis Pharmaceuticals	Occupation	n State Gov't Affairs		
	Receipt For:		Year-to-Date ▼		
	Primary General			1	
	Other (specify) ▼		250.00		
В.	Full Name (Last, First, Middle Initial) Kathryn C Metcalfe			Date of Receipt	
	Mailing Address One Health Plaza			M M / D D / Y Y Y Y	
				02 20 2007	
	City	State	Zip Code	Transaction ID: A2007-209734	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing	С		200.00	
	federal political committee.				
	Name of Employer	Occupation	1		
	Novartis Pharmaceuticals	EXECUT	IVE		
	Receipt For:	Aggregate	Year-to-Date ▼		
	Primary General		400.00	1	
	Other (specify)	0 0	400.00		
<u> </u>	Full Name (Last, First, Middle Initial) George L Miller			Date of Receipt	
٥.	Mailing Address 608 Fifth Avenue			M M / D D / Y Y Y Y	
				02 20 2007	
	City	State	Zip Code	Transaction ID: A2007-210286	
	New York	NY	10020	Amount of Each Receipt this Period	
	FEC ID number of contributing			225.00	
	federal political committee.	C		223.00	
	Name of Employer Novartis Finance Corporat-	Occupation	1		
	ion	Manager	· · · · ·	_	
	Receipt For:	Aggregate	Year-to-Date ▼		
	Primary General	1	450.00		
	Other (specify)	0 0		1	
_	IIDTOTAL of December This December 1			550.00	
L	UBTOTAL of Receipts This Page (optional)			-	
1					

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)			Use separate schedule(s)	FOR LINE NUMBER	R: PAGE 13/20
ITEMIZED RECEIPTS			or each category of the	(check only one)	
••	EMIZED RECEIL 10		Detailed Summary Page	X 11a 11b	11c 12 15 16 17
Δ.	ny information copied from such Reports and Si	totomonto mo	, not be cold or used by any perce		
or	for commercial purposes, other than using the	name and add	dress of any political committee to	solicit contributions fro	m such committee.
\setminus	NAME OF COMMITTEE (In Full)				
\rangle	Novartis Corporation Political Action C	ommittee			
Α.	Full Name (Last, First, Middle Initial) Glenn H Morton			Date of Receipt	
	Mailing Address One Health Plaza	01-1-	7'- O-d-	02 2	0 2007
	City	State	Zip Code		A2007-209295
	East Hanover	NJ	07936	Amount of Each	Receipt this Period
	FEC ID number of contributing federal political committee.	C			132.07
	Name of Employer Novartis Pharmaceuticals	Occupation Director	n Info Security Disas		
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General	' '	264.14	1	
	Other (specify) ▼	0 0			
R	Full Name (Last, First, Middle Initial) Kathleen P Murphy			Date of Receipt	
٥.	Mailing Address One Health Plaza			M M / D	0 / Y Y Y Y Y Y 2 0 0 7
	City	State	Zip Code	Transaction ID:	A2007-209421
	East Hanover	NJ	07936		Receipt this Period
	FEC ID number of contributing federal political committee.	C			154.17
	Name of Employer Novartis Pharmaceuticals	Occupation Regl Scient			
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General Other (specify) ▼	0 0	308.34		
<u> </u>	Full Name (Last, First, Middle Initial) Paul G Pochtar			Date of Receipt	
	Mailing Address One Health Plaza			M M / D	0 / Y Y Y Y Y Y Z 0 0 7
	City	State	Zip Code	Transaction ID:	A2007-209336
	East Hanover	NJ	07936		Receipt this Period
	FEC ID number of contributing federal political committee.	C			193.48
	Name of Employer Novartis Pharmaceuticals	Occupation Executive	n e Director Business R		
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General	1	386.96	1	
	Other (specify) ▼		300.90		
s	UBTOTAL of Receipts This Page (optional)				479.72
\vdash	<u> </u>		•	-	• • • • • •
т	OTAL This Period (last page this line number	only)			

SCHEDULE A (FEC Form 3X) Use separate schedule(s)				FOR LINE NUMBER: PAGE 14 / 20	
ITEMIZED RECEIPTS			or each category of the	(check only one)	
			Detailed Summary Page	X 11a 11b 11c 12	1
Any information copied from such Reports and Statements ma			reat he cold or used by any never	13 14 15 16 16 19 for the number of collecting contributions	17
or	for commercial purposes, other than using the	name and add	dress of any political committee to	solicit contributions from such committee.	
	NAME OF COMMITTEE (In Full)				
$ \rangle$	Novartis Corporation Political Action C	ommittee			
<u>/</u>	Full Name (Last, First, Middle Initial)				
A.	Kevin T Rigby			Date of Receipt	
	Mailing Address One Health Plaza			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	
	City	State	Zip Code	Transaction ID: A2007-209388	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing		0.000		\neg
	federal political committee.	C		200.00	
	Name of Employer Novartis Pharmaceuticals	Occupation VP Corpo	n orate Affairs		
	Receipt For:		e Year-to-Date ▼		
	Primary General		400.00	1	
	Other (specify) ▼	0 0	400.00		
В.	Full Name (Last, First, Middle Initial) Gary E Rosenthal			Date of Receipt	
	Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y O D O O O O O O O O O O O O O	
	City	State	Zip Code	Transaction ID: A2007-209479	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing				\neg
	federal political committee.	C		416.00	
	Name of Employer Novartis Pharmaceuticals	Occupation	n		
	Novartis Pharmaceuticais	SVP & C	FO Pharma		
	Receipt For:	Aggregate	e Year-to-Date ▼		
	Primary General	1	832.00		
	Other (specify)	0 0			
C.	Full Name (Last, First, Middle Initial) Bette L Schultz			Date of Receipt	
	Mailing Address One Health Plaza			02 20 7 20 2007	
	City	State	Zip Code	Transaction ID: A2007-208691	
	East Hanover	NJ	07936	Amount of Each Receipt this Period	
	FEC ID number of contributing			208.51	
	federal political committee.	C		200.51	_
	Name of Employer Novartis Pharmaceuticals	Occupation VP Busin	n less Development		
	Receipt For:		Year-to-Date ▼		
	Primary General			1	
	Other (specify) ▼		417.02		
		824.51	$\overline{}$		
S	UBTOTAL of Receipts This Page (optional)		······	024.31	=
T	OTAL This Period (last page this line number of	only)			

SCHEDULE A (FEC Form 3X) Use separate schedule(s			Lica congrata cabadula(s)		PAGE 15/20
ITEMIZED RECEIPTS		or each category of the	(check only one)		
••	LIMIZED HEOLII 13		Detailed Summary Page	X 11a 11b 11 13 14 15	1c 12 5 16 17
Ar	ny information copied from such Reports and St	atements may	not be sold or used by any person	on for the purpose of soliciting	contributions
or	for commercial purposes, other than using the	name and add	dress of any political committee to	solicit contributions from such	ı committee.
$ \setminus $	NAME OF COMMITTEE (In Full)	: tt			
	Novartis Corporation Political Action C	ommuee			
Α.	Full Name (Last, First, Middle Initial) Katherine E Solon			Date of Receipt	
۸.	Mailing Address 608 Fifth Avenue			M M / D D /	YYYY
				02 20	2007
	City	State	Zip Code	Transaction ID: A2007	
	New York	NY	10020	Amount of Each Receip	t this Period
	FEC ID number of contributing federal political committee.	C			150.00
	Name of Employer Novartis Services Incorpo- rated	Occupation Executive			
	Receipt For:		e Year-to-Date ▼		
	Primary General	-	300.00	1	
	Other (specify) ▼	0 0	300.00]	
В.	Full Name (Last, First, Middle Initial) Lisa A Steelman			Date of Receipt	
	Mailing Address One Health Plaza			0 2 2 0	2007
	City	State	Zip Code	Transaction ID: A2007	7-208594
	East Hanover	NJ	07936	Amount of Each Receip	t this Period
	FEC ID number of contributing federal political committee.	C			115.00
	Name of Employer Novartis Pharmaceuticals	Occupation Assoc Di	n rector Gov't Relations		
	Receipt For:		e Year-to-Date ▼		
	Primary General		230.00	1	
	Other (specify) ▼	0 0	250.00]	
C.	Full Name (Last, First, Middle Initial) Barbara A Tombros			Date of Receipt	
	Mailing Address One Health Plaza			M M / D D /	Y Y Y Y
	City	State	Zip Code	0 2 2 0 Transaction ID: A2007	2007
	East Hanover	NJ	07936	Amount of Each Receip	
	FEC ID number of contributing				1
	federal political committee.	C			128.27
	Name of Employer Novartis Pharmaceuticals	Occupation Dir Strate	n egic Alliance		
	Receipt For:		e Year-to-Date ▼		
	Primary General		256.54	1	
	Other (specify) ▼	0 0	200.04	1	
	SUBTOTAL of Receipts This Page (optional)				393.27
\vdash	The contraction of the contracti				
т	OTAL This Period (last page this line number of	only)			

Ross D Volk

East Hanover

City

rated Receipt For:

City

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

NAME OF COMMITTEE (In Full)

Full Name (Last, First, Middle Initial)

FEC ID number of contributing

federal political committee.

Name of Employer Novartis Services Incorpo-

Other (specify)

FEC ID number of contributing

federal political committee.

Name of Employer Novartis Pharmaceuticals

Other (specify)

Full Name (Last, First, Middle Initial)

Mailing Address One Health Plaza

Primary

B. Barbara E Washington

East Hanover

Receipt For:

Primary

Mailing Address One Health Plaza

General

General

State

State

Occupation VP Health Policy

Aggregate Year-to-Date ▼

351.60

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C

PAGE 16/20 FOR LINE NUMBER: Use separate schedule(s) (check only one) or each category of the 11a 11b 11c **Detailed Summary Page** 13 14 17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. Novartis Corporation Political Action Committee Date of Receipt 02 20 2007 Zip Code **Transaction ID:** A2007-209249 07936 Amount of Each Receipt this Period 250.00 Occupation Exec Dir Corp Secur In Aggregate Year-to-Date ▼ 500.00 Date of Receipt 0 2 20 2007 Transaction ID: A2007-209244 Zip Code 07936 Amount of Each Receipt this Period 175.80

CURTOTAL of Descipts This Page (antique)		425.80
SUBTOTAL of Receipts This Page (optional)		
TOTAL This Period (last page this line number only)	•	6478.77

SCHEDULE B (FEC Form 3X)

SCILEBOLL B (I LCI OIIII 3X)	Use seperate schedule(s)	(check onl	: NUMBER: v one)		PAGE	1//20)
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 28a	23 28b	24 28c	25 29	26 30b
Any Information copied from such Reports and Statem or for commercial purposes, other than using the name							
or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full)	and address of any political col	minilitiee to st	DIICIL CONTRIBU	uons from s	such comi	riittee	
Novartis Corporation Political Action Comm	nittee						
Full Name (Last, First, Middle Initial)			Transac	tion ID: B1	63497		
Committee to Re-elect Artur Davis to Cong	ress			Disburseme			7
Mailing Address 499 S. Capitol St. SW Si	uite 404		0 2	^D 28	/ 2	0 0 7	
•	State Zip Code DC 20003		Amount	of Each Dis	bursemen	t this Pe	eriod
Purpose of Disbursement	20003					000.00)
P-2008 U.S. House 07 AL		011					
Candidate Name Artur Davis		Category/ Type					
X	ment For: 2008 Primary General Other (specify)						
State: AL District: 07	canon (opening)						
Full Name (Last, First, Middle Initial)			Transac	tion ID: B1	63499		
Blue Dog PAC				Disburseme			
Mailing Address 236 Mass Ave. NE Suite	508		0 2	^D 2 8	/ v ž	0 0 7	
•	State Zip Code DC 20002		Amount	of Each Dis	bursemen	t this Pe	eriod
Purpose of Disbursement O-2007 Federal PAC US		011			2	2500.00)
Candidate Name		Category/ Type					
	Primary General Other (specify) ▼						
State: US District: Not App Full Name (Last, First, Middle Initial)	licable		_				
National Republican Congressional Commi	ttee		Date of D	tion ID: B1 Disburseme	nt		7
Mailing Address 320 First Street SE			0 2	28	/ 2	0 0 7	
•	State Zip Code DC 20003		Amount	of Each Dis	bursemen	t this Pe	eriod
Purpose of Disbursement O-2007 National Party Cmte-Fed Acct US		011	L		15	5000.00)
Candidate Name		Category/ Type					
	Primary General Other (specify) ▼						
State: US District: Not App	IICADIE						
SUBTOTAL of Disbursements This Page (optional) .		······ <u>}</u>			18	500.00)
TOTAL This Period (last page this line number only)					18	500.00)

SCHEDULE B (FEC Form 3X)

	riod
Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Keystone Leader's PAC Mailing Address P.O. Box 506 City State Zip Code Harrisburg PA 17108 Purpose of Disbursement O-2007 State PAC PA Candidate Name Office Sought: House Disbursement For: 2007 Transaction ID: B163488 Date of Disbursement O 2 M / D 2 B / Y 2 0 0 7 Amount of Each Disbursement this Pace of Disbursement this Pace of Disbursement of Disbursement this Pace of Disbursement of Disbursement For: 2007	riod
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Keystone Leader's PAC Mailing Address P.O. Box 506 City State Zip Code Harrisburg PA 17108 Purpose of Disbursement O-2007 State PAC PA Candidate Name Office Sought: House Disbursement For: 2007 Transaction ID: B163488 Date of Disbursement Amount of Each Disbursement this Performance of the part of the	riod
Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Keystone Leader's PAC Mailing Address P.O. Box 506 City State Zip Code Harrisburg PA 17108 Purpose of Disbursement O-2007 State PAC PA Candidate Name Office Sought: House Disbursement For: 2007 Transaction ID: B163488 Date of Disbursement O 2 M / D 2 B / Y 2 0 0 7 Amount of Each Disbursement this Pace of Disbu	riod
Keystone Leader's PAC Mailing Address P.O. Box 506 City State Zip Code Harrisburg PA 17108 Purpose of Disbursement O-2007 State PAC PA Candidate Name Disbursement For: 2007 Thailsaction ID. D103-408 Date of Disbursement 0 2 8 / Y 2 0 0 7 Amount of Each Disbursement this Performance of Disbursement (Category) Type Office Sought: House Disbursement For: 2007	riod
Mailing Address P.O. Box 506 City State Zip Code Harrisburg PA 17108 Purpose of Disbursement O-2007 State PAC PA Candidate Name Disbursement For: 2007 Amount of Each Disbursement this Perpose of Disbursement this Perpose of Disbursement this Perpose of Disbursement this Perpose of Disbursement For: 2007	riod
City State Zip Code Harrisburg PA 17108 Purpose of Disbursement O-2007 State PAC PA Candidate Name Office Sought: House Disbursement For: 2007 State Zip Code PA 17108 1000.00 100	riod
Harrisburg PA 17108 Purpose of Disbursement O-2007 State PAC PA Candidate Name Office Sought: House Disbursement For: 2007	-
O-2007 State PAC PA Candidate Name Office Sought: House Disbursement For: 2007	
Candidate Name Category/ Type Office Sought: House Disbursement For: 2007	
Office Sought: Disbursement For: 2007	
President X Other (specify) ▼ State: PA District: Not Applicable	
Full Name / Lock First Middle Leitie)	
3. Citizens to Elect Payne Date of Disbursement	1
Mailing Address P.O. Box 651	
City State Zip Code Amount of Each Disbursement this Per Hershey PA 17033	-
Purpose of Disbursement P-2008 State House 106 PA 1000.00	
Candidate Name John Payne Category/ Type	
Office Sought: X House Senate President Disbursement For: 2008 X Primary General Other (specify) ▼	
State: PA District: 10	
Full Name (Last, First, Middle Initial) David Mayer for Assembly Transaction ID: B163494 Date of Disbursement	
Mailing Address P.O. Box 4028	
City State Zip Code Amount of Each Disbursement this Pe Lindenwold NJ 08021	
Purpose of Disbursement P-2007 State House 04 NJ 011	
Candidate Name David Mayer Category/ Type	
Office Sought: X House Disbursement For: 2007 Senate X Primary General President Other (specify) ▼ State: NJ District: 04	
SUBTOTAL of Disbursements This Page (optional)	
TOTAL This Period (last page this line number only)	

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	CHEDULE B (FEC Form 3)	Use seperate so		FOR LINE (check only	NUMBER:	PAGE 19/	20
IT	EMIZED DISBURSEMENT			21b	7 22	24 🗍 25	□ 26
		Detailed Summ	ary Page	27	28a 28b	28c X 29	30b
	y Information copied from such Reports ar						ıs
or	for commercial purposes, other than using	the name and address of a	ny political	committee to so	licit contributions from	such committee	
Λ	NAME OF COMMITTEE (In Full)						
17	Novartis Corporation Political Action	on Committee					
\mathbb{L}							
Α.	Full Name (Last, First, Middle Initial)				Transaction ID: B1		
Λ.	Election Fund of Neil M. Cohen				Date of Disburseme		_
	Mailing Address 161 Virginia Stree	et			02	y žoó	7 ^Y
	City	State Zip (Code		Amount of Each Dis	bursement this	Period
	Hillside	NJ 072	05				
	Purpose of Disbursement P-2007 State House 20 NJ			011		500.	00
	Candidate Name			Category/			
	Neil M. Cohen			Type			
	Office Sought: X House	Disbursement For:	L 2007				
	Senate	X Primary	General				
	President	Other (specify)	7				
	State: NJ District: 20						
_	Full Name (Last, First, Middle Initial)				Transaction ID: B1	63496	
В.	Election Fund of John S. Wisniews	ki			Date of Disburseme	ent	
	NACTOR Address Co. C. C. D. C. C.				02 28	['] 2007	7 Y
	Mailing Address 3145 Bordentown	1 Ave. Suite C1			02 20	200	
	City	State Zip C			Amount of Each Dis	bursement this	Period
	Parlin	NJ 088	59				
	Purpose of Disbursement					500.	00
	P-2007 State House 19 NJ			011			
	Candidate Name John Wisniewski			Category/			
		Disbursement For:	<u> </u> 2007	Туре			
	Office Sought: X House Senate	X Primary	2007 General				
	President	Other (specify)					
	State: NJ District: 19	Calci (specify)	7				

SUBTOTAL of Disbursements This Page (optional)	•	1000.00
TOTAL This Period (last page this line number only)	•	3300.00

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Form/Schedule:**SA11A1**Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.