

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) ▼

100 N.E. Adams Street

☐ Check if different than previously reported. (ACC)

Peoria

IL

61629-1430

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00148031

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☒ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Michael C. Morrison

Signature of Treasurer

Michael C. Morrison

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
09 / 01 / 2013 To: M M / D D / Y Y Y Y Y Y  
09 / 30 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2013</span>		<span style="border: 1px solid black; padding: 2px;">140972.23</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">373641.37</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">52133.04</span>	<span style="border: 1px solid black; padding: 2px;">480150.22</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">425774.41</span>	<span style="border: 1px solid black; padding: 2px;">621122.45</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">83511.25</span>	<span style="border: 1px solid black; padding: 2px;">278859.29</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">342263.16</span>	<span style="border: 1px solid black; padding: 2px;">342263.16</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y  
09 01 2013

To:

M M / D D / Y Y Y Y Y  
09 30 2013
**I. Receipts**
**COLUMN A**  
**Total This Period**
**COLUMN B**  
**Calendar Year-to-Date**

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

45400.12

301648.94

(ii) Unitemized .....

6729.62

175464.74

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

52129.74

477113.68

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) .....

52129.74

477113.68

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

3000.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

3.30

36.54

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3) .....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

52133.04

480150.22

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) .....

52133.04

480150.22

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	11.25	259.29
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	11.25	259.29
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	71500.00	236000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	12000.00	42600.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	83511.25	278859.29
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	83511.25	278859.29

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	52129.74	477113.68
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	52129.74	477113.68
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	11.25	259.29
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	11.25	259.29

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. James Aardema

Mailing Address 9401 85Th Avenue North

City State Zip Code  
 Brooklyn Park MN 55445

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994168

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Brian Abbott

Mailing Address Karl-Rapp-Strasse 1

City State Zip Code  
 Wackersdorf ZZ 92442

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

832.89

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3993928

Amount of Each Receipt this Period

94.37

Full Name (Last, First, Middle Initial)

c. Marylean Abney

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994605

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

144.37

TOTAL This Period (last page this line number only)..... ►

: 97 `A-G79 @G B9CI G`H9LH`F9 @H98 `HC`5 `F9DCFHŽG7 <98I @`CF`+H9A-N5HCB  
.

Form/Schedule: SA11AI

Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule:

Transaction ID:

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kent Adams

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3601.82

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994257

Amount of Each Receipt this Period

466.08

Full Name (Last, First, Middle Initial)

B. Ian Aguirre

Mailing Address Building H Dock 13

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Proj Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

943.98

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994024

Amount of Each Receipt this Period

84.11

Full Name (Last, First, Middle Initial)

c. Gbadebo Akinlua

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Process Control Engineer B

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995012

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

575.19

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jay Alexander

Mailing Address 369 Ann Street

City State Zip Code  
 Brisbane ZZ 00000

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Commercial Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994232

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Jesse Alford III

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Employee Communications Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994144

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Matthew Alger

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994022

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

150.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Allen**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Manager 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

565.05

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994153**

Amount of Each Receipt this Period

64.79

Full Name (Last, First, Middle Initial)

**B. Robert Allen**

Mailing Address 2120 West End Avenue

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Residual Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994213**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Mathew Anderson**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995002**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

164.79

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Anderson**

Mailing Address 4716 86th Avenue NW

City

Gig Harbor

State

WA

Zip Code

98335-6129

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Signal Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 05 / 2013

**Transaction ID : A2013-3858262**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Michael Anderson**

Mailing Address 4716 86th Avenue NW

City

Gig Harbor

State

WA

Zip Code

98335-6129

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Signal Engineering

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995109**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Joseph Andris**

Mailing Address 701 Waterford Way

City

Miami

State

FL

Zip Code

33126

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Finance Mgr-Secretary/Treasur

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994737**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard Armbrust

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994357

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Janet Arnold

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Marketing Talent Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1480.50

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994709

Amount of Each Receipt this Period

164.50

Full Name (Last, First, Middle Initial)

C. Chris Arvin

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Org. Development Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994188

Amount of Each Receipt this Period

35.00

SUBTOTAL of Receipts This Page (optional)..... ►

224.50

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. David Augustine**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994632

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **B. Carlos A Aylwin**

Mailing Address 9250 Sky Park Court

City State Zip Code  
 San Diego CA 92123-4302

FEC ID number of contributing federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995362

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **C. Douglas Bailey**

Mailing Address 100 N.E. Adams St

City State Zip Code  
 Peoria IL 61629-0001

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

257.25

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994235

Amount of Each Receipt this Period

28.90

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

118.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 14 OF 242  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Bainbridge**

Mailing Address Route De Frontenex 76

City State Zip Code  
 Geneva 6 ZZ 01211

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President CFSC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994819**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

## **B. William Baird**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
 Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Project Engr 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993934**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Lee Baldwin**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Tech Steward

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994923**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Mike Balkom**

Mailing Address 8565 Old Marsh Way

City

Montgomery

State

AL

Zip Code

36117-5176

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager, Sales

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

822.42

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 05 / 2013

Transaction ID : A2013-3858246

Amount of Each Receipt this Period

45.69

Full Name (Last, First, Middle Initial)

**B. Mike Balkom**

Mailing Address 8565 Old Marsh Way

City

Montgomery

State

AL

Zip Code

36117-5176

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager, Sales

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

868.11

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 19 / 2013

Transaction ID : A2013-3995093

Amount of Each Receipt this Period

45.69

Full Name (Last, First, Middle Initial)

**C. William Ball**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir Corp Off of Bus Practices

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

410.31

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994536

Amount of Each Receipt this Period

45.59

SUBTOTAL of Receipts This Page (optional)..... ►

136.97

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mari Lou BalmerMillar**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Research Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994223

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Chester Bankes Jr.**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Plant Engrg Superintendent

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994513

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

**c. Christopher Barber**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.54

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3993982

Amount of Each Receipt this Period

55.93

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

115.93



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Alice Barbour**

Mailing Address 501 SW Jefferson

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Assistant Dean

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

540.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994501**

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

**B. James Bartelme**

Mailing Address 14009 Old Galena Rd.

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

956.18

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994162**

Amount of Each Receipt this Period

114.11

Full Name (Last, First, Middle Initial)

**C. Gregory Bates**

Mailing Address 7 Tractor Road

City

Singapore

State

ZZ

Zip Code

62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994946**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

199.11

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. George Beasley**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994007

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Kenneth Beaupre**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994786

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Chaille Becker**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Dir. Busn. Transformations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3993955

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

125.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mary Bell**

Mailing Address Regency Forest II

City State Zip Code  
 Cary NC 27511

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3575.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994713**

Amount of Each Receipt this Period

475.00

Full Name (Last, First, Middle Initial)

**B. Robert Beneke**

Mailing Address SBS Tower 14F

City State Zip Code  
 Setagaya-ku ZZ 15800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Regional Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994841**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. David Bennington**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Category Purchasing Manager B

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994129**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

550.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Craig Berger**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Product Service Engineer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994437**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **B. Dean Beutel**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPS Americas and GFM Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994267**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. SueAnn Billimack**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995033**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

125.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Biltmier**

Mailing Address 100 N.E. Adams St

City State Zip Code  
 Peoria IL 61629-0001

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994206

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Ronald Bjerke**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994694

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Joseph Blandino**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994042

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

80.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. James Blass**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

TSD Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1098.81

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994488

Amount of Each Receipt this Period

124.06

Full Name (Last, First, Middle Initial)

**B. Mary Blichmann**

Mailing Address 3701 State Road 26 East

City State Zip Code  
Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994304

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Gary Blood**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

673.02

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994659

Amount of Each Receipt this Period

74.78

SUBTOTAL of Receipts This Page (optional)..... ►

248.84

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Michele Blubaugh**

Mailing Address 100 NE Adams

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Tech Team Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.65

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994564**

Amount of Each Receipt this Period

52.85

Full Name (Last, First, Middle Initial)

## **B. Mary Blum**

Mailing Address 100 NE Adams

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Exec Assist to Chairman & CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

697.40

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994985**

Amount of Each Receipt this Period

79.94

Full Name (Last, First, Middle Initial)

## **C. J. Blumenshine**

Mailing Address 2120 West End Avenue

City  
Nashville

State  
TN

Zip Code  
37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1236.21

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994683**

Amount of Each Receipt this Period

140.21

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

273.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas Bluth**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994803**

Amount of Each Receipt this Period

416.66

Full Name (Last, First, Middle Initial)

**B. Mark Bohl**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Distribution Logistics Analyst

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

735.63

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994975**

Amount of Each Receipt this Period

82.37

Full Name (Last, First, Middle Initial)

**C. John Bolden Jr**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994482**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

524.03

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. James Boles**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Site Purchasing Manager B

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3993951**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Joel Bonnette**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPS Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994094**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Jeffrey Bowman**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

eChannel Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995038**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

100.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Bozeman**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995061

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Craig R Brabec**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Analytics Division Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994854

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Walter Bradbury**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

670.68

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994473

Amount of Each Receipt this Period

74.52

SUBTOTAL of Receipts This Page (optional)..... ►

174.52

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Eric Braun**

Mailing Address Frank Perkins Parkway

City State Zip Code  
 Peterborough ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994928**

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

**B. Nicholas Brazis**

Mailing Address 600 W Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

516.15

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994997**

Amount of Each Receipt this Period

58.05

Full Name (Last, First, Middle Initial)

**C. Otto Breitschwerdt**

Mailing Address Rua Ema Taner de Andrade792

City State Zip Code  
 San Juan ZZ 36063

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1609.83

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994813**

Amount of Each Receipt this Period

182.86

**SUBTOTAL** of Receipts This Page (optional)..... ►

275.91

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kevin Brennan**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

838.83

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994743**

Amount of Each Receipt this Period

73.79

Full Name (Last, First, Middle Initial)

**B. Anthony Brewster**

Mailing Address 8826 2 Route 24

City State Zip Code  
Mapleton IL 61547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Labor Relations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995007**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Donald Bridenbaugh**

Mailing Address 7 Tractor Road

City State Zip Code  
Singapore ZZ 62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

GM Transportation Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994132**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

123.79

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Mark Brown**

Mailing Address P.O. Box 80108

City State Zip Code  
 Athens GA 30608

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mach 1 Deployment Mgr 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994955

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Ricki Brown**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994816

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Kevin Bruch**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994626

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

105.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard Bryant

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master 6 Sigma Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994862

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. James Buda

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Exec. VP Law &amp; Public Policy

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994487

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Paul Budzinski

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Process Analyst III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

278.34

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994984

Amount of Each Receipt this Period

31.28

SUBTOTAL of Receipts This Page (optional)..... ►

156.28

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Matthew Bulfer**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IT Analyst

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

466.01

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994943**

Amount of Each Receipt this Period

71.92

Full Name (Last, First, Middle Initial)

## **B. Steven Bullard**

Mailing Address 2000 Hoff Road

City State Zip Code  
 Dyersburg TN 38024

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

513.87

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993978**

Amount of Each Receipt this Period

57.75

Full Name (Last, First, Middle Initial)

## **C. Nathaniel Buncher**

Mailing Address 3408 Wild Prairie Lane

City State Zip Code  
 Geneva IL 60134-3963

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Global Aftermarket Parts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

778.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 09 / 05 / 2013

**Transaction ID : A2013-3858264**

Amount of Each Receipt this Period

43.26

**SUBTOTAL** of Receipts This Page (optional)..... ►

172.93

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Nathaniel Buncher

Mailing Address 3408 Wild Prairie Lane

City State Zip Code  
 Geneva IL 60134-3963

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Progress Rail Services VP, Global Aftermarket Parts

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

821.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 19 2013

Transaction ID : A2013-3995111

Amount of Each Receipt this Period

43.26

Full Name (Last, First, Middle Initial)

B. Laurie A Burgett

Mailing Address 9250 Sky Park Court

City State Zip Code  
 San Diego CA 92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Solar Turbines Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995389

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Richard Burritt

Mailing Address 500 N Morton Ave

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer Occupation  
 Caterpillar Inc. Technology Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1770.84

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994435

Amount of Each Receipt this Period

196.76

SUBTOTAL of Receipts This Page (optional)..... ►

340.02

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Butikofer**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IS Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994041**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. James Bynum**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Cat Insurance Marketing Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1308.27

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994883**

Amount of Each Receipt this Period

146.32

Full Name (Last, First, Middle Initial)

## **c. Amy Campbell**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994780**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

221.32

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)****ITEMIZED RECEIPTS**Use separate schedule(s)  
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(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michelle Campbell-Castaline**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

**Transaction ID : A2013-3994891**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Marci Carballido**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

**Transaction ID : A2013-3994307**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Sheila Cardines**

Mailing Address 501 SW Jefferson

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Warranty Process Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

**Transaction ID : A2013-3994368**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

100.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Carlberg**

Mailing Address Building H Dock 13

City  
Mossville

State Zip Code  
IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1498.98

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994524**

Amount of Each Receipt this Period

171.02

Full Name (Last, First, Middle Initial)

**B. Robert Carney III**

Mailing Address 100 NE Adams

City  
Peoria

State Zip Code  
IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Governmental Affairs Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995016**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Timothy J Caron**

Mailing Address 100 N.E. Adams Street

City  
Peoria

State Zip Code  
IL 61629-0001

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995408**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

246.02

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 OF 242

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. John Carpenter

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Solutions &amp; Technology Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994472

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. Michael Carr

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2088.48

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994729

Amount of Each Receipt this Period

233.58

Full Name (Last, First, Middle Initial)

C. Robert Castle

Mailing Address 14009 Old Galena Rd.

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Tech Team Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.68

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994628

Amount of Each Receipt this Period

65.28

SUBTOTAL of Receipts This Page (optional)..... ►

338.86

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 37 OF 242

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christopher Chadwick

Mailing Address 300 Hamilton Blvd.

City  
Peoria

State  
IL

Zip Code  
61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

OEM Business Unit Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3993939

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Xiao Chen

Mailing Address No.5. Xin Qing South Road

City  
Wuxi

State  
ZZ

Zip Code  
21402

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994140

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Virgil Cihla

Mailing Address 300 Hamilton Blvd.

City  
Peoria

State  
IL

Zip Code  
61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Project Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994548

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

125.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Paul Clark

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Governmental Industry Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994471

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Michael Cobo

Mailing Address Attn: Administrative Assistant

City State Zip Code  
 Aurora IL 60507

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994316

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Wayne Cochran

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Engineer 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995009

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

100.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Marcia Colburn**

Mailing Address 2120 West End Avenue

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Communications Mgr

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994128**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Martin Collier**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global RE & Facilities Dev Mgr

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994428**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Patrick Conley**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Strategy Champion

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994755**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

100.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Coon**

Mailing Address The Phoenix Building

City State Zip Code  
Solihull ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPF Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.41

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994830**

Amount of Each Receipt this Period

86.05

Full Name (Last, First, Middle Initial)

**B. Jananne Copeland**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Chief Accounting Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994614**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Benjamin Cordani**

Mailing Address 6744 S Howell Ave

City State Zip Code  
Oak Creek WI 53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994027**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

186.05

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kristen Covey**

Mailing Address 7 Tractor Road

City State Zip Code  
 Singapore ZZ 62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Regional Treasurer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994066**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Dale Cox**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994642**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. John Cox**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994561**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

125.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 42 OF 242

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Paul Creech**

Mailing Address 111 Alewine Drive

City

Boaz

State

AL

Zip Code

35957-5037

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Railcar Sales & Leasing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 05 / 2013

**Transaction ID : A2013-3858249**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B. Paul Creech**

Mailing Address 111 Alewine Drive

City

Boaz

State

AL

Zip Code

35957-5037

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Railcar Sales & Leasing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995096**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**c. Joseph Creed**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994394**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

65.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lisa Creger**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

340.00

Date of Receipt

09 / 13 / 2013

**Transaction ID : A2013-3994734**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B. Lisa Creger**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 27 / 2013

**Transaction ID : A2013-3994733**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Robert Creviston**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Service Engineer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994933**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

65.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher Curfman**

Mailing Address 300 Hamilton Blvd.

City

Peoria

State

IL

Zip Code

61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2700.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994833**

Amount of Each Receipt this Period

400.00

Full Name (Last, First, Middle Initial)

**B. Teresa Cushing**

Mailing Address 14009 Old Galena Rd.

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994367**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. David Damerell**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Service Suppt Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994553**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

500.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Patricia Damm**

Mailing Address 6744 S Howell Ave

City State Zip Code  
Oak Creek WI 53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994198**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Jerry Datzman**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing & Contracts Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994546**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Tracy Daugherty**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994340**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

100.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Janet Davidson**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Import/Export Services Supv

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.58

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995035**

Amount of Each Receipt this Period

36.70

Full Name (Last, First, Middle Initial)

**B. Ryan Davis**

Mailing Address 715 Shasta Drive

City State Zip Code  
 Albertville AL 35950-5803

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Opers Wheel Axle Bearings

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 05 / 2013

**Transaction ID : A2013-3858242**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Ryan Davis**

Mailing Address 715 Shasta Drive

City State Zip Code  
 Albertville AL 35950-5803

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Opers Wheel Axle Bearings

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 19 / 2013

**Transaction ID : A2013-3995090**

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

76.70

**SCHEDULE A (FEC Form 3X)****ITEMIZED RECEIPTS**Use separate schedule(s)  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert DeCamp**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Risk Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

**Transaction ID : A2013-3994818**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. David DeFreitas**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

**Transaction ID : A2013-3993948**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C. Anthony Deluca**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

**Transaction ID : A2013-3994382**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Dennis

Mailing Address 600 W Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Labor Relations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

614.10

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994686

Amount of Each Receipt this Period

68.68

Full Name (Last, First, Middle Initial)

B. Joseph Derk

Mailing Address 27Th &amp; Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Proj Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994872

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

c. Shankar Desai

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Strategy &amp; Architecture Dir.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

756.18

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994802

Amount of Each Receipt this Period

89.19

SUBTOTAL of Receipts This Page (optional)..... ►

182.87

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Deselem**

Mailing Address 100 N.E. Adams St

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994163**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Bertali C Devine**

Mailing Address 100 N.E. Adams Street

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995404**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Brian Devine**

Mailing Address 500 N. Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

778.71

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994311**

Amount of Each Receipt this Period

87.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

137.92

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Roger DeVore**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994373

Amount of Each Receipt this Period

-280.00

Full Name (Last, First, Middle Initial)

**B. Michael DeWalt**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3993947

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Michelle Dhaemers-Needham**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994005

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

-205.00

TOTAL This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Amory Diccion**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Engineer-Design

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994908

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Douglas Dickerson**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

322.59

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994894

Amount of Each Receipt this Period

43.08

Full Name (Last, First, Middle Initial)

## **C. Kyle Diekhoff**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994907

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

93.08

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. John Disharoon

Mailing Address 6744 S Howell Ave

City State Zip Code  
 Oak Creek WI 53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Industry Relations Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3993929

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Dana Ditman

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994384

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Kevin Doglio

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994703

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

125.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Suzette Doligale**

Mailing Address 16106 Kensington Ave

City

Tinley Park

State

IL

Zip Code

60477-6720

FEC ID number of contributing  
federal political committee.

C

Name of Employer

ElectroMotive Diesel

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

09 / 05 / 2013

**Transaction ID : A2013-3858273**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B. Suzette Doligale**

Mailing Address 16106 Kensington Ave

City

Tinley Park

State

IL

Zip Code

60477-6720

FEC ID number of contributing  
federal political committee.

C

Name of Employer

ElectroMotive Diesel

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995113**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Thomas Doolittle**

Mailing Address 2120 West End Avenue

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994381**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

90.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gene Doubleday**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Project Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994046**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Rebecca Doubleday**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993997**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Nola Douglas**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

N. A. Financial Accounting Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

651.09

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994208**

Amount of Each Receipt this Period

73.51

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

123.51

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Robert Downey**

Mailing Address 2120 West End Avenue

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994143

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Michael Dubois**

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994237

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Michael Duda**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994506

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

75.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. James Duensing**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Executive VP / CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

910.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994523**

Amount of Each Receipt this Period

130.00

Full Name (Last, First, Middle Initial)

## **B. Kevin Duffy**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994247**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. James Dugan**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Media Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994934**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

180.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Jerome Duggan**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1294.39

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994827

Amount of Each Receipt this Period

148.38

Full Name (Last, First, Middle Initial)

**B. Harold Dulaney**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994770

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Michael Duncan**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
 Aurora IL 60507

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994649

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

298.38

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Dunlevy**

Mailing Address 13105 Northwest Freeway

City	State	Zip Code
Houston	TX	77040

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994860

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Shannon Duvendack**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Procurement Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.05

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994091

Amount of Each Receipt this Period

86.36

Full Name (Last, First, Middle Initial)

**C. Debra Duzinskas**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Reman BU Mgr - Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

405.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994785

Amount of Each Receipt this Period

45.00

SUBTOTAL of Receipts This Page (optional)..... ►

156.36

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Timothy Earle**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994455**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Patricia Eastwood**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994018**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. James Eddy**

Mailing Address 1720 Kingsbury

City State Zip Code  
 Seguin TX 78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Engrg Mgr 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

506.25

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994905**

Amount of Each Receipt this Period

57.07

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

132.07

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anthony Edmonds

Mailing Address 7 Tractor Road

City State Zip Code  
 Singapore ZZ 62796

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994967

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Lori Edwards

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994887

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Michael Evans

Mailing Address 1100 Milwaukee Ave

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.16

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994271

Amount of Each Receipt this Period

47.24

SUBTOTAL of Receipts This Page (optional)..... ►

147.24

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Andrew Ferguson**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

C. I. & Dir. Lrng. Dept. Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

717.66

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994300**

Amount of Each Receipt this Period

79.74

Full Name (Last, First, Middle Initial)

## **B. Gregory Ferguson**

Mailing Address P O Box 504

City State Zip Code  
 Joliet IL 60436

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1042.69

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994170**

Amount of Each Receipt this Period

114.90

Full Name (Last, First, Middle Initial)

## **C. Bonnie Fetch**

Mailing Address Building H Dock 13

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Unit Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1784.01

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994757**

Amount of Each Receipt this Period

203.54

**SUBTOTAL** of Receipts This Page (optional)..... ►

398.18

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joel Feucht**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

802.89

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994661**

Amount of Each Receipt this Period

89.21

Full Name (Last, First, Middle Initial)

**B. John Fields**

Mailing Address 2600 Camino Entrada

City State Zip Code  
 Santa Fe NM 87507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994148**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Bart Finn**

Mailing Address 1106 4 Shimizu

City State Zip Code  
 Akashi ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.32

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994279**

Amount of Each Receipt this Period

24.48

**SUBTOTAL** of Receipts This Page (optional)..... ►

138.69

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Steven Fisher

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4511.91

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994514

Amount of Each Receipt this Period

512.50

Full Name (Last, First, Middle Initial)

B. Mark Flannery

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

579.43

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994892

Amount of Each Receipt this Period

51.14

Full Name (Last, First, Middle Initial)

C. Michael Flinn

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994627

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

588.64

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gregory Folley**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994420**

Amount of Each Receipt this Period

416.66

Full Name (Last, First, Middle Initial)

## **B. David Frederick**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IS Strategist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994530**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

## **C. Alan Frese**

Mailing Address 6744 S Howell Ave

City State Zip Code  
 Oak Creek WI 53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Solutions & Technology Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1988.31

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994477**

Amount of Each Receipt this Period

234.72

**SUBTOTAL** of Receipts This Page (optional)..... ►

681.38

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Frohberg**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994668

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Richard Frow**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Technical Team Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994450

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C. David Fuelberth**

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

990.48

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994433

Amount of Each Receipt this Period

99.23

SUBTOTAL of Receipts This Page (optional)..... ►

189.23

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Billy Funk

Mailing Address 1100 Milwaukee Ave

City

South Milwaukee

State

WI

Zip Code

53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Checking Engineer

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3995036

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Robert Gaddis

Mailing Address 2120 West End Avenue

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Risk Management Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

525.60

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994603

Amount of Each Receipt this Period

58.40

Full Name (Last, First, Middle Initial)

C. Paul Gaeto

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir. Perform &amp; Group/Reg HR

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994260

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

183.40

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Philip Gardner**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

821.57

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994574

Amount of Each Receipt this Period

93.13

Full Name (Last, First, Middle Initial)

**B. Scott Garman**

Mailing Address 110 S Marion St # 605

City State Zip Code  
 Oak Park IL 60302-2877

FEC ID number of contributing federal political committee.

C

Name of Employer

ElectroMotive Diesel

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 05 / 2013

Transaction ID : A2013-3858270

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Scott Garman**

Mailing Address 110 S Marion St # 605

City State Zip Code  
 Oak Park IL 60302-2877

FEC ID number of contributing federal political committee.

C

Name of Employer

ElectroMotive Diesel

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 19 / 2013

Transaction ID : A2013-3995112

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

143.13

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Donna Gatz**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

372.77

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3993993

Amount of Each Receipt this Period

44.05

Full Name (Last, First, Middle Initial)

**B. Gregory Gauger**

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Engineering Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1715.13

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994486

Amount of Each Receipt this Period

190.57

Full Name (Last, First, Middle Initial)

**C. Orville Geick**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Performance Mgr4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994597

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

284.62

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Justin Gibbons**

Mailing Address Suite 750

City  
Washington

State Zip Code  
DC 20006

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Washington Operations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

473.22

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994016**

Amount of Each Receipt this Period

52.58

Full Name (Last, First, Middle Initial)

**B. Daniel Gilbert**

Mailing Address 12301 Old Galena Road

City  
Mossville

State Zip Code  
IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994051**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Jonathan Ginzl**

Mailing Address 12301 Old Galena Road

City  
Mossville

State Zip Code  
IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Developmental Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

873.54

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994405**

Amount of Each Receipt this Period

98.64

**SUBTOTAL** of Receipts This Page (optional)..... ►

176.22

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas Giunta

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994706

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Regg Glawe

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

497.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994735

Amount of Each Receipt this Period

55.28

Full Name (Last, First, Middle Initial)

c. Christopher Glynn

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Project Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2700.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994258

Amount of Each Receipt this Period

300.00

SUBTOTAL of Receipts This Page (optional)..... ►

380.28

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. John Goddard**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Technical Steward

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994332

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Pankaj Goel**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Dir. Busn. Transformations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994058

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Terry Goff**

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir Emissions Regs &amp; Conform

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

712.17

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994829

Amount of Each Receipt this Period

79.13

SUBTOTAL of Receipts This Page (optional)..... ►

154.13

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Darin Goode**

Mailing Address 600 W Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Category Purchasing Manager A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994080**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Brian Goodell**

Mailing Address 9250 Sky Park Court

City State Zip Code  
 San Diego CA 92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995402**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Edward Goodrich**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President CFSC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1416.42

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994662**

Amount of Each Receipt this Period

157.38

**SUBTOTAL** of Receipts This Page (optional)..... ►

232.38

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gerald Gorman**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Chief Information Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1890.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994573**

Amount of Each Receipt this Period

210.00

Full Name (Last, First, Middle Initial)

## **B. Stephen Gosselin**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3732.17

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994499**

Amount of Each Receipt this Period

422.63

Full Name (Last, First, Middle Initial)

## **C. Daniel Gove**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Process Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

532.44

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994583**

Amount of Each Receipt this Period

59.16

**SUBTOTAL** of Receipts This Page (optional)..... ►

691.79

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kenneth Gray**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

WW Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1362.54

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994485**

Amount of Each Receipt this Period

196.35

Full Name (Last, First, Middle Initial)

**B. Richard Grgurich**

Mailing Address 812 W. Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Process Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

626.56

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995028**

Amount of Each Receipt this Period

74.03

Full Name (Last, First, Middle Initial)

**C. Oren Griffin**

Mailing Address 3701 State Road 26 East

City

Lafayette

State

IN

Zip Code

47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Technology Project Team Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.81

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3993976**

Amount of Each Receipt this Period

61.85

**SUBTOTAL** of Receipts This Page (optional)..... ►

332.23

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Steven Griffith**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Process Analyst II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

226.61

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994942**

Amount of Each Receipt this Period

27.28

Full Name (Last, First, Middle Initial)

## **B. Jon Gruebele**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3993937**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Timothy Gryn**

Mailing Address 500 N Morton Ave

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Caterpillar Service Parts Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

778.14

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994320**

Amount of Each Receipt this Period

87.31

**SUBTOTAL** of Receipts This Page (optional)..... ►

139.59

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jerome Guilford Jr**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Genl Mgr Lifecycle Prods/Servs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994321**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Steven Guse**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2179.88

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994464**

Amount of Each Receipt this Period

194.38

Full Name (Last, First, Middle Initial)

**C. Margaret Gutgesell**

Mailing Address 812 W. Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IS Strategist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.42

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994576**

Amount of Each Receipt this Period

69.38

**SUBTOTAL** of Receipts This Page (optional)..... ►

288.76

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Rhonda Haffner

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994674

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. John Hall

Mailing Address 2-8 Hopkinson Street

City State Zip Code  
 Burnie ZZ 07320

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.58

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994014

Amount of Each Receipt this Period

48.62

Full Name (Last, First, Middle Initial)

C. James Halloran

Mailing Address 980 Ninth Street

City State Zip Code  
 Sacramento CA 95814

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

California Reg Affairs Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994748

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

123.62

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Bradley Halverson**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group President & CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3261.78

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994647**

Amount of Each Receipt this Period

579.42

Full Name (Last, First, Middle Initial)

## **B. Douglas Hampton**

Mailing Address Route 7

City State Zip Code  
Toccoa GA 30577

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Developmental Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994122**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Danny Hanback**

Mailing Address 6600 River Road

City State Zip Code  
LaGrange IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr.VP & Chief Ops. Officer-EMD

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2506.74

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994665**

Amount of Each Receipt this Period

280.36

**SUBTOTAL** of Receipts This Page (optional)..... ►

884.78

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Hanback**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director of CPS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1634.40

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994567**

Amount of Each Receipt this Period

181.60

Full Name (Last, First, Middle Initial)

## **B. Jon Harayda**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Revenue Mgmt Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994539**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Steven Harding**

Mailing Address 100 Caterpillar Way

City State Zip Code  
 Thomasville GA 31792

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994374**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

231.60

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Keith Hargis**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Facilities Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995077

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. David Harn**

Mailing Address 9401 85Th Avenue North

City State Zip Code  
 Brooklyn Park MN 55445

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994932

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Andrea Hart**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

283.59

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994075

Amount of Each Receipt this Period

31.51

SUBTOTAL of Receipts This Page (optional)..... ►

81.51

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew Hart

Mailing Address 1557 Eagle Drive

City State Zip Code  
 Ashland KY 41102-8988

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Sales &amp; Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

453.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 05 2013

Transaction ID : A2013-3858256

Amount of Each Receipt this Period

25.22

Full Name (Last, First, Middle Initial)

B. Matthew Hart

Mailing Address 1557 Eagle Drive

City State Zip Code  
 Ashland KY 41102-8988

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Sales &amp; Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.18

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 19 2013

Transaction ID : A2013-3995103

Amount of Each Receipt this Period

25.22

Full Name (Last, First, Middle Initial)

C. Lawrence Hartnett

Mailing Address 500 N. Morton Ave.

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994032

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

75.44

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Sylvia Hasinger**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group/Regional HR Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994029

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. John Hassiepen**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994636

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Kimberly S Hauer**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.02

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994804

Amount of Each Receipt this Period

833.34

SUBTOTAL of Receipts This Page (optional)..... ►

908.34

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Dennis Hausman**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994458**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

## **B. Martin Haycraft**

Mailing Address 208 Woodmere Dr.

City State Zip Code  
 Albertville AL 35950-2690

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP/CMO, LRS Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 05 / 2013

**Transaction ID : A2013-3858241**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

## **c. Martin Haycraft**

Mailing Address 208 Woodmere Dr.

City State Zip Code  
 Albertville AL 35950-2690

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP/CMO, LRS Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995089**

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

70.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Katherine Hays**

Mailing Address Suite 750

City State Zip Code  
 Washington DC 20006

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Governmental Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995074

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Brent Heider**

Mailing Address 8201 N. University St.

City State Zip Code  
 Peoria IL 61615

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Service Operations Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

530.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995051

Amount of Each Receipt this Period

59.81

Full Name (Last, First, Middle Initial)

**C. Paul Heidfeld**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994630

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

189.81

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Heitzman**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994566**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Christopher Hekel**

Mailing Address 1100 Milwaukee Ave

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1309.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994345**

Amount of Each Receipt this Period

159.73

Full Name (Last, First, Middle Initial)

**C. Gary Hendrickson**

Mailing Address 500/76 Moo 3 Tambol Tasit

City State Zip Code  
 Rayong ZZ 21140

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Center Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1104.06

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994040**

Amount of Each Receipt this Period

124.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

334.19

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Gwenne Henricks**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3750.03

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994551

Amount of Each Receipt this Period

416.67

Full Name (Last, First, Middle Initial)

## **B. David Herbert**

Mailing Address 300 Hamilton Blvd.

City State Zip Code  
 Peoria IL 61629-3800

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3993941

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. Jason Herman**

Mailing Address 27Th &amp; Pershing Rd

City State Zip Code  
 Decatur IL 62525

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

752.76

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994972

Amount of Each Receipt this Period

87.53

SUBTOTAL of Receipts This Page (optional)..... ►

554.20

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

A. **Gerald Herrman**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Consulting Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3993991

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. **Roy Heussner**

Mailing Address 9401 85Th Avenue North

City State Zip Code  
Brooklyn Park MN 55445

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Operations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

626.49

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994655

Amount of Each Receipt this Period

69.61

Full Name (Last, First, Middle Initial)

C. **Mark Hexum**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Industry Prod Application Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994102

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

124.61

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas A Hickerson

Mailing Address 9250 Sky Park Court

City

San Diego

State

CA

Zip Code

92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3995350

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. Shelley Hickman

Mailing Address 1100 Milwaukee Ave

City

South Milwaukee

State

WI

Zip Code

53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPS BU Deployment Champion

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3995076

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Lance High

Mailing Address 7 Tractor Road

City

Singapore

State

ZZ

Zip Code

62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994808

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

190.00

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Mark Hill**

Mailing Address 4-H Park Road

City State Zip Code  
 Pontiac IL 61764

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Section Mgr 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994070

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Robert Hill**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3993959

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Michael Hinrichsen**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Division Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

623.88

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994408

Amount of Each Receipt this Period

69.32

SUBTOTAL of Receipts This Page (optional)..... ►

119.32

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Rex Hockaday**

Mailing Address 6744 S Howell Ave

City State Zip Code  
Oak Creek WI 53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994222**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

## **B. Barbara Hodel**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir. Cat Parts Distribution

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

792.54

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994270**

Amount of Each Receipt this Period

88.06

Full Name (Last, First, Middle Initial)

## **C. Susan Hodges**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Communications Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994192**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

238.06

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Robert Hoenes**

Mailing Address 17th Floor China Life Tower

City State Zip Code  
Beijing ZZ 10002

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994183**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Douglas Hoerr**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Rgnl/Group Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994072**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. William Hohulin**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994556**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

175.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Sally Holland**

Mailing Address 2120 West End Avenue

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Portfolio Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

393.21

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	9		3	0		2	0	1	3		

Transaction ID : A2013-3994288

Amount of Each Receipt this Period

43.69

Full Name (Last, First, Middle Initial)

**B. James Hollowell**

Mailing Address 21 Barrington Circle

City	State	Zip Code
Paducah	KY	42003-8895

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Project

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

540.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	9		0	5		2	0	1	3		

Transaction ID : A2013-3858263

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C. James Hollowell**

Mailing Address 21 Barrington Circle

City	State	Zip Code
Paducah	KY	42003-8895

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Project

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

570.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	9		1	9		2	0	1	3		

Transaction ID : A2013-3995110

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

103.69

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Horton**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

675.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994700**

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

**B. William Howard**

Mailing Address Building H Dock 13

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995048**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Jerry Hubbard**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Project Engineer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994087**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

125.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Dennis Huber**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994410**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Randall Huber**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.29

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994503**

Amount of Each Receipt this Period

59.81

Full Name (Last, First, Middle Initial)

**C. Delma Hume**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994515**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

184.81

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)****ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Beverly Hungate**

Mailing Address 812 W. Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-III

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	9				3	0		2	0	1	3		

**Transaction ID : A2013-3994445**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Robert Hunter**

Mailing Address 600 Balderson Blvd

City

Wamego

State

KS

Zip Code

66547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Operations Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	9				3	0		2	0	1	3		

**Transaction ID : A2013-3994866**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Russell Hunter**

Mailing Address 1720 Kingsbury

City

Seguin

State

TX

Zip Code

78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	9				3	0		2	0	1	3		

**Transaction ID : A2013-3995055**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

100.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Walter Hupe

Mailing Address 27Th &amp; Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

675.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994504

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

B. Ronald Ingram

Mailing Address 27Th &amp; Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994050

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Steven Janda

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Development Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994276

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

150.00

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

A. John Janes

Mailing Address 12740 W. Gran Bay Parkway

City State Zip Code  
 Jacksonville FL 32258

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995000

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Gregory Janssen

Mailing Address 7 Tractor Road

City State Zip Code  
 Singapore ZZ 62796

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Logistics Netwk Ops Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994724

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. John Jasinski Jr.

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994272

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

75.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brian Jensen**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Tech Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994618**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Bradley Johnson**

Mailing Address KM 8.5 Presa De La Amistad

City State Zip Code  
 Acuna ZZ 26220

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3993970**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Denise Johnson**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3500.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995073**

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

575.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Scott Johnson

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

WW Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3993931

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. William Johnson

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Division Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

705.51

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994462

Amount of Each Receipt this Period

79.16

Full Name (Last, First, Middle Initial)

C. Don Jones

Mailing Address 500 N Morton Ave

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

GM Global Parts Supply

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994669

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

179.16

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Eric Jordan**

Mailing Address 2120 West End Avenue

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

District Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3993949

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Pamela Just**

Mailing Address 1710 Walnut Street

City

Albertville

State

AL

Zip Code

35950-2853

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Controller, Business Unit

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

445.50

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 05 / 2013

Transaction ID : A2013-3858243

Amount of Each Receipt this Period

24.75

Full Name (Last, First, Middle Initial)

**C. Pamela Just**

Mailing Address 1710 Walnut Street

City

Albertville

State

AL

Zip Code

35950-2853

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Controller, Business Unit

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

470.25

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 19 / 2013

Transaction ID : A2013-3995091

Amount of Each Receipt this Period

24.75

SUBTOTAL of Receipts This Page (optional)..... ►

74.50

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Kacynski**

Mailing Address 2120 West End Avenue

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Treasurer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

675.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994565

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

**B. John Kaiser**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Regional Site Purchasing Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994177

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**C. Kathryn Karol**

Mailing Address Suite 750

City	State	Zip Code
Washington	DC	20006

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.36

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3995083

Amount of Each Receipt this Period

555.56

SUBTOTAL of Receipts This Page (optional)..... ►

730.56

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Zachary Kauk**

Mailing Address 7 Tractor Road

City  
Singapore

State Zip Code  
ZZ 62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

WW Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1622.19

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994309**

Amount of Each Receipt this Period

182.30

Full Name (Last, First, Middle Initial)

## **B. Matthew Keeley**

Mailing Address Attn: Administrative Assistant

City  
Aurora

State Zip Code  
IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

601.65

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994354**

Amount of Each Receipt this Period

66.85

Full Name (Last, First, Middle Initial)

## **C. Matthew Kees**

Mailing Address 100 NE Adams

City  
Peoria

State Zip Code  
IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994749**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

274.15

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Terry Keith**

Mailing Address 812 W. Washington St

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Business Process Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994249**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Kevin Kempher**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Health Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994615**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Colleen Keough**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IT Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994338**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mohammed Khan**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

675.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994773**

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

**B. Farzin Khorasanizadeh**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Customer & Industry Suppt Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

657.30

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994684**

Amount of Each Receipt this Period

41.67

Full Name (Last, First, Middle Initial)

**C. Steven Kibby**

Mailing Address 17th Floor China Life Tower

City State Zip Code  
Beijing ZZ 10002

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

904.98

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994431**

Amount of Each Receipt this Period

102.64

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

219.31



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Charlie Kim

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Project Team Lead

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994969

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Russell Kirkpatrick

Mailing Address Route 29 &amp; Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Service Analyst

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.81

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995026

Amount of Each Receipt this Period

21.18

Full Name (Last, First, Middle Initial)

C. Michael Klabunde

Mailing Address 1029 Baldwin Lane

City State Zip Code  
 Oak Park IL 60302-4445

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Locomotive Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 05 2013

Transaction ID : A2013-3858260

Amount of Each Receipt this Period

12.50

SUBTOTAL of Receipts This Page (optional)..... ►

58.68

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Klabunde**

Mailing Address 1029 Baldwin Lane

City

Oak Park

State

IL

Zip Code

60302-4445

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Locomotive Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

237.50

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995107**

Amount of Each Receipt this Period

12.50

Full Name (Last, First, Middle Initial)

**B. Wayne Klopfenstein**

Mailing Address Building H Dock 13

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

365.16

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994444**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Beth Knepp**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Talent Development Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994245**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

62.50

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Wesley Knepp**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Labor Relations Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1116.65

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994025

Amount of Each Receipt this Period

133.74

Full Name (Last, First, Middle Initial)

**B. Paul Knollmaier**

Mailing Address 701 Waterford Way

City State Zip Code  
 Miami FL 33126

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Prod Support &amp; Sales Ops Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

961.65

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994116

Amount of Each Receipt this Period

106.85

Full Name (Last, First, Middle Initial)

**C. Travis Knox**

Mailing Address 2950 Temple School Rd

City State Zip Code  
 Winston-Salem NC 27107

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Project Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3993987

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

265.59

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David Koch**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994697**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Christopher Kopinski**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Inventory Mgmt Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994250**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Mary Kreuser**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Org. Development Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994853**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Craig Kroeger**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Large High Spd Engineering Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994496

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **B. Randy Krotowski**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995079

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

## **C. Todd Krueckeberg**

Mailing Address 13105 Northwest Freeway

City State Zip Code  
 Houston TX 77040

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994398

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

565.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Kumpf**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

GM Parts Supply Chain Strateg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

537.93

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994210**

Amount of Each Receipt this Period

59.77

Full Name (Last, First, Middle Initial)

## **B. Julie Lagacy**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3706.48

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994638**

Amount of Each Receipt this Period

454.55

Full Name (Last, First, Middle Initial)

## **C. Christopher Lammert**

Mailing Address 300 Hamilton Blvd.

City State Zip Code  
Peoria IL 61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Project Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994055**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

539.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William Lane**

Mailing Address Suite 750

City  
Washington

State Zip Code  
DC 20006

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Governmental Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

944.82

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994542**

Amount of Each Receipt this Period

83.35

Full Name (Last, First, Middle Initial)

**B. Mark Lang**

Mailing Address 2-8 Hopkinson Street

City  
Burnie

State Zip Code  
ZZ 07320

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1224.06

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994397**

Amount of Each Receipt this Period

139.98

Full Name (Last, First, Middle Initial)

**C. Stephen Larson**

Mailing Address 500 N Morton Ave

City  
Morton

State Zip Code  
IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994500**

Amount of Each Receipt this Period

416.66

**SUBTOTAL** of Receipts This Page (optional)..... ►

639.99

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Brett Lashlee**

Mailing Address 2120 West End Avenue

City

Nashville

State

TN

Zip Code

37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Process Coordinator

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994987**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Scott Lautzenheiser**

Mailing Address 124 SW Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Chief Engineer

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994242**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Bryan Lavezzi**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

576.69

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994719**

Amount of Each Receipt this Period

64.50

**SUBTOTAL** of Receipts This Page (optional)..... ►

114.50

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael LeCrone**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Brand Protect. & Invest. Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995023**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Christopher Lee**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Procurement Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994772**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Betty Lehman**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994893**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sean Leuba**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir Corp. Business Developmnt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994423**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Matthew Leustek**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Specialist-Design

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994909**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Gary LeVar**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Industry Region Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994385**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

155.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stuart Levenick**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994845**

Amount of Each Receipt this Period

416.66

Full Name (Last, First, Middle Initial)

**B. Teresa Lewis**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994812**

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

**C. Chih Liang**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Strategy Champion

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994034**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

476.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Monique Liard**

Mailing Address Carl-Benz-Strasse 1

City State Zip Code  
Mannheim ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995018**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **B. Kenneth Lindblom**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994654**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. Terrah Linden**

Mailing Address Olympian Generators Sets

City State Zip Code  
Newberry SC 29108

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Communication Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994871**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

125.00

**TOTAL** This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Timothy Lindner**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994323**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Ulf Lindqwister**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994888**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Gregory Loftness**

Mailing Address 9401 85Th Avenue North

City State Zip Code  
Brooklyn Park MN 55445

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994952**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Suzette Long**

Mailing Address 6744 S Howell Ave

City State Zip Code  
 Oak Creek WI 53154

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Associate General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994929

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Lloyd Longer**

Mailing Address 13105 Northwest Freeway

City State Zip Code  
 Houston TX 77040

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994991

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Charles Looney**

Mailing Address 100 Caterpillar Way

City State Zip Code  
 Thomasville GA 31792

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

329.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994696

Amount of Each Receipt this Period

65.80

SUBTOTAL of Receipts This Page (optional)..... ►

115.80

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Patricia Ludewig**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Tax Planning Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994761**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Bradley Luttrell**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Buyer A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

353.52

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3993983**

Amount of Each Receipt this Period

39.28

Full Name (Last, First, Middle Initial)

## **C. Jason Lynn**

Mailing Address Suite 750

City State Zip Code  
Washington DC 20006

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Governmental Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995011**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

89.28

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William MacLeod**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

763.66

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994961**

Amount of Each Receipt this Period

67.74

Full Name (Last, First, Middle Initial)

**B. Scott Magner**

Mailing Address 40

City State Zip Code  
Grenoble Cedex ZZ 38041

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Supervisor-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3993958**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Donald Mahannah**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994534**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

142.74



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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mark Manning**

Mailing Address 7 Tractor Road

City State Zip Code  
 Singapore ZZ 62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President CFSC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994594**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **B. Paul Manning**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Comp Administration Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994912**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Josh Maquet**

Mailing Address 4-H Park Road

City State Zip Code  
 Pontiac IL 61764

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994366**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

175.00

**TOTAL** This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Rodolfo Martinez Jr

Mailing Address 6744 S Howell Ave

City State Zip Code  
 Oak Creek WI 53154

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994228

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Deborah Massengill

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

537.30

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994133

Amount of Each Receipt this Period

60.94

Full Name (Last, First, Middle Initial)

C. Paul Mathes

Mailing Address 111 Southchase Blvd

City State Zip Code  
 Fountain Inn SC 29644

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.65

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994701

Amount of Each Receipt this Period

66.30

SUBTOTAL of Receipts This Page (optional)..... ►

152.24

TOTAL This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Sean Matheson**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Procurement Manager 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995008**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Dennis Matousek**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995058**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Glenn Matson**

Mailing Address 812 W. Washington St

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994454**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Matteo**

Mailing Address 1106 4 Shimizu

City State Zip Code  
Akashi ZZ 67486

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

961.44

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994837**

Amount of Each Receipt this Period

107.53

Full Name (Last, First, Middle Initial)

**B. Jason Mattox**

Mailing Address 560 Rehoboth Road

City State Zip Code  
Griffin GA 30224

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Designer/Modeler

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

237.04

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994004**

Amount of Each Receipt this Period

28.04

Full Name (Last, First, Middle Initial)

**C. Phillip Maxheimer**

Mailing Address No.18 Zhusong Road

City State Zip Code  
Nantong ZZ 22631

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994365**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

160.57

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Douglas McCallen**

Mailing Address Regency Forest II

City State Zip Code  
 Cary NC 27511

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Rgnl/Group Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2234.37

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993935**

Amount of Each Receipt this Period

252.27

Full Name (Last, First, Middle Initial)

**B. Patrick McCartan**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Funding Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994779**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Sandra McCartney**

Mailing Address Route De Frontenex 76

City State Zip Code  
 Geneva 6 ZZ 01211

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resources Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994375**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

377.27

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas McCauley**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

MM / DD / YYYY  
09 / 30 / 2013

**Transaction ID : A2013-3994639**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. John McClain**

Mailing Address 11915 Briarleaf Way

City

San Diego

State

CA

Zip Code

92128-5254

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Caterpillar Solar Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

MM / DD / YYYY  
09 / 30 / 2013

**Transaction ID : A2013-3995385**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Matthew McDonnough**

Mailing Address 100 N.E. Adams St

City

Peoria

State

IL

Zip Code

61629-0001

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

MM / DD / YYYY  
09 / 30 / 2013

**Transaction ID : A2013-3994861**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Scott McDougall**

Mailing Address 100 S. L. White Blvd.

City	State	Zip Code
La Grange	GA	30241

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1036.88

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9	/	3	0	/	2	0	1	3

Transaction ID : A2013-3994155

Amount of Each Receipt this Period

118.86

Full Name (Last, First, Middle Initial)

**B. Paul McKnight**

Mailing Address Attn: Administrative Assistant

City	State	Zip Code
Aurora	IL	60507

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Performance Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9	/	3	0	/	2	0	1	3

Transaction ID : A2013-3994590

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Karen McMullen**

Mailing Address 600 W Washington St

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

610.23

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9	/	3	0	/	2	0	1	3

Transaction ID : A2013-3994266

Amount of Each Receipt this Period

68.47

SUBTOTAL of Receipts This Page (optional)..... ►

237.33

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David Mehmen**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Project Engr 1

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

624.04

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994911**

Amount of Each Receipt this Period

74.03

Full Name (Last, First, Middle Initial)

**B. Charles Menke**

Mailing Address State Rt. 116 E.

City

East Peoria

State

IL

Zip Code

61611

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Machine Development Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

404.76

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994348**

Amount of Each Receipt this Period

45.70

Full Name (Last, First, Middle Initial)

**C. Chris Mettler**

Mailing Address 6744 S Howell Ave

City

Oak Creek

State

WI

Zip Code

53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994789**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

169.73



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Donald Meyers**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

756.00

Date of Receipt

MM / DD / YYYY  
 09 / 30 / 2013

**Transaction ID : A2013-3994795**

Amount of Each Receipt this Period

84.00

Full Name (Last, First, Middle Initial)

## **B. Matthew Miceli**

Mailing Address P.O. Box 80108

City State Zip Code  
 Athens GA 30608

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

MM / DD / YYYY  
 09 / 30 / 2013

**Transaction ID : A2013-3994057**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Sonya Miles**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resources Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

MM / DD / YYYY  
 09 / 30 / 2013

**Transaction ID : A2013-3994218**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

159.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Miller**

Mailing Address 600 W Washington St

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Proj Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 30 2013

Transaction ID : A2013-3994994

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Larry Miller**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 30 2013

Transaction ID : A2013-3994519

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Mary Miller**

Mailing Address 14 Tractor Road

City State Zip Code  
Singapore ZZ 62797

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Asia-Pacific Tax Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 30 2013

Transaction ID : A2013-3994791

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

100.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Miller**

Mailing Address 501 SW Jefferson

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product & Tech Training Supv

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994793**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Wayne Miller**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Performance Mgr 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994569**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Eric Minder**

Mailing Address 100 Tractor Drive

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

EHS Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

914.82

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994913**

Amount of Each Receipt this Period

83.36

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

158.36

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Marsha Mitts**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Tax Planning Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994265**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Kathleen Mock**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Account Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994341**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

## **C. Patrick Mohrman**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Customer Services Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

590.96

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993936**

Amount of Each Receipt this Period

70.39

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

195.39

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Arnold Monteleone**

Mailing Address 1000 Moringside Cir

City State Zip Code  
Denison TX 75020-4154

FEC ID number of contributing federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
VP, Trackwork Division

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 05 / 2013

Transaction ID : A2013-3858245

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Arnold Monteleone**

Mailing Address 1000 Moringside Cir

City State Zip Code  
Denison TX 75020-4154

FEC ID number of contributing federal political committee.

C

Name of Employer  
Progress Rail Services

Occupation  
VP, Trackwork Division

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 19 / 2013

Transaction ID : A2013-3995092

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Djuana Montgomery**

Mailing Address Avenue des Etats Unis

City State Zip Code  
Gosselies ZZ 06041

FEC ID number of contributing federal political committee.

C

Name of Employer  
Caterpillar Inc.

Occupation  
Senior IT Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1126.59

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994278

Amount of Each Receipt this Period

127.64

SUBTOTAL of Receipts This Page (optional)..... ►

177.64

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Erec Montgomery**

Mailing Address Avenue des Etats Unis

City State Zip Code  
 Gosselies ZZ 00000

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1288.95

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994197

Amount of Each Receipt this Period

144.16

Full Name (Last, First, Middle Initial)

**B. Kimberly Montgomery**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Process Owner II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994137

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Randall Mooberry**

Mailing Address State Rt. 116 E.

City State Zip Code  
 East Peoria IL 61611

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

655.83

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994585

Amount of Each Receipt this Period

73.35

SUBTOTAL of Receipts This Page (optional)..... ►

242.51

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Martin Mooney**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facilities Engineering Mgr 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994096**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

## **B. Jeffrey Moore**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

725.08

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994296**

Amount of Each Receipt this Period

73.45

Full Name (Last, First, Middle Initial)

## **C. Phillip Moore**

Mailing Address Precision Sealing Products

City State Zip Code  
 Franklin NC 28734

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

957.85

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994125**

Amount of Each Receipt this Period

113.32

**SUBTOTAL** of Receipts This Page (optional)..... ►

286.77

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Moore**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Investor Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1674.50

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994604**

Amount of Each Receipt this Period

200.79

Full Name (Last, First, Middle Initial)

**B. Barbra Moran**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

873.35

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994161**

Amount of Each Receipt this Period

77.20

Full Name (Last, First, Middle Initial)

**C. Eric Morr**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

962.48

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994164**

Amount of Each Receipt this Period

89.56

**SUBTOTAL** of Receipts This Page (optional)..... ►

367.55

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Alec Morris**

Mailing Address 500 N. Morton Ave.

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

378.33

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994236**

Amount of Each Receipt this Period

42.65

Full Name (Last, First, Middle Initial)

**B. Michael Morrison**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director U.S. Domestic Tax

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995043**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Michael Mosley**

Mailing Address 5350 Hunter Road

City State Zip Code  
Atlanta GA 30336-2321

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Technical Steward

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

584.13

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994438**

Amount of Each Receipt this Period

65.54

**SUBTOTAL** of Receipts This Page (optional)..... ►

158.19

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jarrod Moss**

Mailing Address 501 Cardinal Drive

City State Zip Code  
 Corinth MS 38834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994048**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Sherri Mowbray**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

689.79

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994077**

Amount of Each Receipt this Period

77.76

Full Name (Last, First, Middle Initial)

**C. Thomas Muir**

Mailing Address 1720 Kingsbury

City State Zip Code  
 Seguin TX 78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPS Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3993940**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

127.76

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Casey Murphy**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Communications Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995031

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Christopher Myers**

Mailing Address Suite 750

City State Zip Code  
 Washington DC 20006

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mgr. Federal Gov. Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1471.21

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994982

Amount of Each Receipt this Period

170.48

Full Name (Last, First, Middle Initial)

## **C. Jaime Myers**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995037

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

220.48

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Timothy Myers**

Mailing Address 100 Tractor Drive

City  
East Peoria

State Zip Code  
IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mfg Engrg Superintendent

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994106**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Bhaskar Nagaranthal**

Mailing Address P O Box 504

City  
Joliet

State Zip Code  
IL 60436

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994383**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. Randy Nash**

Mailing Address 100 Tractor Drive

City  
East Peoria

State Zip Code  
IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Technical Steward

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

636.27

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994635**

Amount of Each Receipt this Period

71.84

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

146.84

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph Needham**

Mailing Address 100 Tractor Drive

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Manager 5

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3993988

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Bruce Nelson**

Mailing Address 9401 85Th Avenue North

City

Brooklyn Park

State

MN

Zip Code

55445

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Buyer C

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

693.98

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994951

Amount of Each Receipt this Period

79.85

Full Name (Last, First, Middle Initial)

**C. Walter Neubauer**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Competitive Analysis Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994814

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

144.85

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Chau Nguyen**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

785.78

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994656**

Amount of Each Receipt this Period

90.45

Full Name (Last, First, Middle Initial)

**B. David Nicoll**

Mailing Address Frank Perkins Parkway

City State Zip Code  
Peterborough ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Bus Proc Improvement

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994127**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Robert Niemeier**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

713.28

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3993930**

Amount of Each Receipt this Period

62.96

**SUBTOTAL** of Receipts This Page (optional)..... ►

178.41

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew Noe**

Mailing Address Global Bank Building

City State Zip Code  
Panama ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

HR Shared Services Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994203**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Dale Noel**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director of Purchasing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994664**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Kenneth K Nolen**

Mailing Address 9250 Sky Park Court

City State Zip Code  
San Diego CA 92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995343**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

150.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John Norlin**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994191**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Kurt Norris**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

725.67

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994533**

Amount of Each Receipt this Period

86.86

Full Name (Last, First, Middle Initial)

**c. Deborah O'Fallon**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Category Purchasing Manager B

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995019**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

161.86



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Douglas Oberhelman**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CEO Chairman of the Board

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994416**

Amount of Each Receipt this Period

0.00

Full Name (Last, First, Middle Initial)

**B. Wayne Ocker**

Mailing Address 444 Birch Street

City State Zip Code  
 Prentice WI 54556

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Service Technical Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994976**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Patricia OConnell**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

WW Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994600**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

75.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Bradley Olson**

Mailing Address 13105 Northwest Freeway

City State Zip Code  
Houston TX 77040

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

787.95

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994555**

Amount of Each Receipt this Period

88.12

Full Name (Last, First, Middle Initial)

**B. Edwin ONeil Jr**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mfg. Logistics & Transp. Mgr.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1017.08

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994452**

Amount of Each Receipt this Period

114.58

Full Name (Last, First, Middle Initial)

**C. Dennis ORourke**

Mailing Address 1106 4 Shimizu

City State Zip Code  
Akashi ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994039**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

227.70

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kelly Orr**

Mailing Address 3701 State Road 26 East

City

Lafayette

State

IN

Zip Code

47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager of Operations

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994121**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Roberto Ortega**

Mailing Address 501 Cardinal Drive

City

Corinth

State

MS

Zip Code

38834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995071**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Denver M Osborn**

Mailing Address 100 N.E. Adams Street

City

Peoria

State

IL

Zip Code

61629-0001

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995369**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

75.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Edward Ostrowski**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Engineer 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995066**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Timothy Ouart**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

552.81

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994806**

Amount of Each Receipt this Period

62.32

Full Name (Last, First, Middle Initial)

**C. Sue Papenhouse**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Demand Mgr & Mktg Svcs Supv

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994752**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

187.32

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Stanley Parker**

Mailing Address 4-H Park Road

City State Zip Code  
 Pontiac IL 61764

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6Sigma Black Belt 2-Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994084**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Robert Paternoga**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Reman BU Mgr - Engines

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994849**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Leslie Paulson**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Tech Info Solutions Dept Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994261**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.00

**TOTAL** This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
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(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Randy Pedigo**

Mailing Address 751 International Dr

City	State	Zip Code
Franklin	IN	46131

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

**Transaction ID : A2013-3995024**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Thomas Pellette**

Mailing Address 2200 Pacific Hwy

City	State	Zip Code
San Diego	CA	92101

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3125.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

**Transaction ID : A2013-3994865**

Amount of Each Receipt this Period

625.00

Full Name (Last, First, Middle Initial)

**C. Matthew Pendergrass**

Mailing Address 300 Hamilton Blvd.

City	State	Zip Code
Peoria	IL	61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Talent Development Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

**Transaction ID : A2013-3994002**

Amount of Each Receipt this Period

30.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

705.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Audie Penn**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995045**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Douglas Petterson**

Mailing Address P O Box 999

City State Zip Code  
Clayton NC 27528

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994380**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Brian Pfautsch**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Reman BU Mgr - Engine Systems

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994596**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

125.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Todd Philbee**

Mailing Address 2120 West End Avenue

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mktg Center of Excellence Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

796.50

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994850**

Amount of Each Receipt this Period

89.93

Full Name (Last, First, Middle Initial)

**B. David Phipps**

Mailing Address 82 Sadovnicheskaya Street

City State Zip Code  
Moscow ZZ 11503

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Industry Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994575**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Robert Pierce**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Performance Mgr 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

627.67

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994315**

Amount of Each Receipt this Period

72.22

**SUBTOTAL** of Receipts This Page (optional)..... ►

187.15

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Melissa Pladers**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Analyst II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994230**

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

## **B. Joseph Poole**

Mailing Address 3535 Factoria Blvd. SE

City State Zip Code  
 Bellevue WA 98006

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Area Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1237.68

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994175**

Amount of Each Receipt this Period

139.95

Full Name (Last, First, Middle Initial)

## **C. Crystal Potthoff**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994190**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

199.95

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Powell**

Mailing Address 10830 Hwy 174

City State Zip Code  
 Odenville AL 35120

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Progress Rail Services

Occupation  
 VP and Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 05 / 2013

**Transaction ID : A2013-3858258**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B. Robert Powell**

Mailing Address 10830 Hwy 174

City State Zip Code  
 Odenville AL 35120

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Progress Rail Services

Occupation  
 VP and Controller

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 19 / 2013

**Transaction ID : A2013-3995105**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Michael Powers**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Caterpillar Inc.

Occupation  
 Platform Purchasing Manager A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994582**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

65.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard Prendergast**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3993967**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Jonathan Pressler**

Mailing Address Building H Dock 13

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994396**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Jeffrey Pridgen**

Mailing Address 2120 West End Avenue

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Underwriting Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994030**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Marc Proud

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Service Engineer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

681.93

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994797

Amount of Each Receipt this Period

76.62

Full Name (Last, First, Middle Initial)

B. William Purnell

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3995060

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. James Quigg Jr

Mailing Address Route 29 &amp; Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

930.21

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994299

Amount of Each Receipt this Period

104.37

SUBTOTAL of Receipts This Page (optional)..... ►

205.99

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Jamero Rainey**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995044**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Timothy Rainey**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
 Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994728**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Ennodio Ramos**

Mailing Address Frank Perkins Parkway

City State Zip Code  
 Peterborough ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director IPS Mktg & Sales

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1610.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995409**

Amount of Each Receipt this Period

10.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

60.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Edward Rapp**

Mailing Address 7 Tractor Road

City State Zip Code  
 Singapore ZZ 62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993932**

Amount of Each Receipt this Period

416.66

Full Name (Last, First, Middle Initial)

## **B. Anthony Rassi**

Mailing Address 7 Tractor Road

City State Zip Code  
 Singapore ZZ 62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Purchasing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994895**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. Carol Rathman**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Proj Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994775**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

491.66

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Keith Reber**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994409

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Jeffrey Redmon**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994525

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Davis Reese**

Mailing Address Route De Frontenex 76

City State Zip Code  
 Geneva 6 ZZ 00000

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994063

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

80.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

## **A. Eric Reiners**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994328

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **B. James Reinhart**

Mailing Address 27Th &amp; Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994707

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **C. Michael Reinsma**

Mailing Address Western Canada District

City State Zip Code  
 Edmonton ZZ 00000

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mining Regional Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994835

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

140.00

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Christopher Reitz**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995069**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Warren Reneau**

Mailing Address 1100 Milwaukee Ave

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Mfg Strategy Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.09

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994652**

Amount of Each Receipt this Period

94.04

Full Name (Last, First, Middle Initial)

## **C. Mark Richards**

Mailing Address 701 Waterford Way

City State Zip Code  
 Miami FL 33126

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Commercial Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994045**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

144.04

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kenneth J Ridler

Mailing Address 9250 Sky Park Court

City

San Diego

State

CA

Zip Code

92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Caterpillar Solar Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3995383

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Steven Rieker

Mailing Address 300 Hamilton Blvd.

City

Peoria

State

IL

Zip Code

61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Support Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994289

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Gary Ringenberg

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Development Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

816.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994498

Amount of Each Receipt this Period

91.59

SUBTOTAL of Receipts This Page (optional)..... ►

141.59

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. James Rink

Mailing Address P O Box 504

City State Zip Code  
 Joliet IL 60436

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994643

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Keith Roberson

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994389

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. William Roberts

Mailing Address 7 Tractor Road

City State Zip Code  
 Singapore ZZ 62796

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Industry Region Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994387

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

125.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Robertson**

Mailing Address 600 W Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Process Control Engineer A

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995041**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Adam J Robinson**

Mailing Address 9250 Sky Park Court

City

San Diego

State

CA

Zip Code

92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995395**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C. Matthew Rocke**

Mailing Address 500 N Morton Ave

City

Morton

State

IL

Zip Code

61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Engrg & Planning Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994103**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

90.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. David Roeder**

Mailing Address 371 Whiteoak Road

City  
Albertville

State  
AL

Zip Code  
35950-9331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

SVP Eng & Track Serv Bus Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 05 / 2013

**Transaction ID : A2013-3858250**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B. David Roeder**

Mailing Address 371 Whiteoak Road

City  
Albertville

State  
AL

Zip Code  
35950-9331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

SVP Eng & Track Serv Bus Unit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995097**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Heather Roeschley**

Mailing Address 812 W. Washington St

City  
East Peoria

State  
IL

Zip Code  
61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Org. Development Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994977**

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

60.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mary Roethler

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

TIS Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

723.84

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994308

Amount of Each Receipt this Period

81.21

Full Name (Last, First, Middle Initial)

B. William Rohner

Mailing Address 300 Hamilton Blvd.

City State Zip Code  
 Peoria IL 61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4604.73

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994844

Amount of Each Receipt this Period

519.89

Full Name (Last, First, Middle Initial)

C. Jeffery Rohr

Mailing Address 107 South Chase Blvd

City State Zip Code  
 Fountain Inn SC 29644

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994113

Amount of Each Receipt this Period

35.00

SUBTOTAL of Receipts This Page (optional)..... ►

636.10

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. James Rooney**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994141**

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

**B. Glenn Ross**

Mailing Address 812 W. Washington St

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

448.38

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994475**

Amount of Each Receipt this Period

49.82

Full Name (Last, First, Middle Initial)

**C. Jeffrey Roszell**

Mailing Address Attn: Administrative Assistant

City State Zip Code  
 Aurora IL 60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994610**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

274.82

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. James Rous**

Mailing Address 500 N. Morton Ave

City	State	Zip Code
Morton	IL	61550

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Inventory &amp; Logistics Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

574.74

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

Transaction ID : A2013-3994537

Amount of Each Receipt this Period

63.86

Full Name (Last, First, Middle Initial)

**B. Thomas Ruffner**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Technology Project Team Leader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

453.21

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

Transaction ID : A2013-3994227

Amount of Each Receipt this Period

50.93

Full Name (Last, First, Middle Initial)

**C. Lavona Russell**

Mailing Address 2120 West End Avenue

City	State	Zip Code
Nashville	TN	37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Excellence Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

Transaction ID : A2013-3994926

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

139.79

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas Russell**

Mailing Address 6627 Butler Oaks Ct.

City  
Spring

State  
TX

Zip Code  
77389-2921

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 05 / 2013

**Transaction ID : A2013-3858255**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Thomas Russell**

Mailing Address 6627 Butler Oaks Ct.

City  
Spring

State  
TX

Zip Code  
77389-2921

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Director, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995102**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Brent Ruth**

Mailing Address 100 Tractor Drive

City  
East Peoria

State  
IL

Zip Code  
61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Process Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995014**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

125.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Steven Ryan**

Mailing Address 100 N.E. Adams St

City  
PeoriaState  
ILZip Code  
61629-0001FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Industry Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3995070

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Brian Sage**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

873.87

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3995056

Amount of Each Receipt this Period

99.96

Full Name (Last, First, Middle Initial)

**C. Jason Sage**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resources Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994212

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

174.96

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Matthew Sager**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resource Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994369

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**B. Genda Saletzki**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1280.28

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994601

Amount of Each Receipt this Period

143.65

Full Name (Last, First, Middle Initial)

**C. Marcos Sallowicz**

Mailing Address 701 Waterford Way

City State Zip Code  
 Miami FL 33126

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Distribution Svcs Reg Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994815

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

208.65

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffrey Sampson

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Remarketing Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994097

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Evelyn Savage

Mailing Address 2471 S. Broad Street

City State Zip Code  
 Albertville AL 35950-8809

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP/COO Loco &amp; Railcar Service

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 05 / 2013

Transaction ID : A2013-3858254

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. Evelyn Savage

Mailing Address 2471 S. Broad Street

City State Zip Code  
 Albertville AL 35950-8809

FEC ID number of contributing federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP/COO Loco &amp; Railcar Service

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 19 / 2013

Transaction ID : A2013-3995101

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional)..... ►

65.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Corey Schaer**

Mailing Address 330 Sw Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995064**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Jack Schebo**

Mailing Address 1325 Wellington Way

City State Zip Code  
 Liberty MO 64068-3221

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Project

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 05 / 2013

**Transaction ID : A2013-3858247**

Amount of Each Receipt this Period

30.59

Full Name (Last, First, Middle Initial)

**C. Jack Schebo**

Mailing Address 1325 Wellington Way

City State Zip Code  
 Liberty MO 64068-3221

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Manager I, Project

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

581.21

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 19 / 2013

**Transaction ID : A2013-3995094**

Amount of Each Receipt this Period

30.59

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

86.18

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew Schneider**

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global EHS Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994104**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Kyle Scholl**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994497**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Scott Schryver**

Mailing Address 5801 N. Smith Road

City

Edwards

State

IL

Zip Code

61528

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Account Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994092**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Gary Schwartzkopf**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994589**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

## **B. Edward Scott**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Treasurer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2437.41

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994602**

Amount of Each Receipt this Period

274.77

Full Name (Last, First, Middle Initial)

## **C. Cecilia K Scott-Stanfel**

Mailing Address 9250 Sky Park Court

City State Zip Code  
 San Diego CA 92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Chief Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995400**

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

354.77

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mary Seely**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Lead Human Resources Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993938**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

## **B. Brent Selburg**

Mailing Address 100 Ne Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Parts Operations Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994199**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Scott Shaffer**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994644**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

150.00

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Phillip Shankwitz**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62526

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 4

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1354.92

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994460**

Amount of Each Receipt this Period

156.70

Full Name (Last, First, Middle Initial)

**B. David Shannon**

Mailing Address 111 Southchase Blvd

City

Fountain Inn

State

SC

Zip Code

29644

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3993986**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. Brad Shapland**

Mailing Address 812 W. Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

IT Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

589.04

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994301**

Amount of Each Receipt this Period

53.07

**SUBTOTAL** of Receipts This Page (optional)..... ►

259.77

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Robert Sharp**

Mailing Address 501 Cardinal Drive

City State Zip Code  
 Corinth MS 38834

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Project Engr 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

652.53

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994781

Amount of Each Receipt this Period

73.33

Full Name (Last, First, Middle Initial)

**B. Ernest Shaw**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Parts Information Project Ldr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994033

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Scott Shepherd**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director of Purchasing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

675.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995080

Amount of Each Receipt this Period

75.00

SUBTOTAL of Receipts This Page (optional)..... ►

173.33

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Barry Shook**

Mailing Address 812 W. Washington St

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Solution Lead I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

Transaction ID : A2013-3994135

Amount of Each Receipt this Period

41.66

Full Name (Last, First, Middle Initial)

**B. Paula Shook**

Mailing Address 812 W. Washington St

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1379.66

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

Transaction ID : A2013-3994413

Amount of Each Receipt this Period

129.44

Full Name (Last, First, Middle Initial)

**C. Rodney Shurman**

Mailing Address 560 Rehoboth Road

City	State	Zip Code
Griffin	GA	30224

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1663.50

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	3

Transaction ID : A2013-3994306

Amount of Each Receipt this Period

193.74

SUBTOTAL of Receipts This Page (optional)..... ►

364.84

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 180 OF 242

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. James Sibley**

Mailing Address Route 29 &amp; Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1736.53

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994541

Amount of Each Receipt this Period

152.53

Full Name (Last, First, Middle Initial)

**B. Chad Siebenaler**

Mailing Address 600 W Washington St

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994120

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

**C. Thomas Simmons**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994246

Amount of Each Receipt this Period

40.00

SUBTOTAL of Receipts This Page (optional)..... ►

227.53

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 181 OF 242

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Leslie Simms**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994611

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Chidambaram Singanallur**

Mailing Address 100 Tractor Drive

City	State	Zip Code
East Peoria	IL	61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IT Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994003

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Dennis Skarvan**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2394.17

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994105

Amount of Each Receipt this Period

276.99

SUBTOTAL of Receipts This Page (optional)..... ►

326.99

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Maureen Sluga**

Mailing Address 560 Rehoboth Road

City State Zip Code  
 Griffin GA 30224

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Marketing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

545.58

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994404**

Amount of Each Receipt this Period

64.75

Full Name (Last, First, Middle Initial)

## **B. Richard Smathers**

Mailing Address 100 S. L. White Blvd.

City State Zip Code  
 La Grange GA 30241

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994343**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Adam Smith**

Mailing Address 6600 River Road

City State Zip Code  
 LaGrange IL 60525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Corporate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994403**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

139.75

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. H. Smith

Mailing Address 424 W. 6th Avenue

City

Huntington

State

WV

Zip Code

25701-1722

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Recycling Operations

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

685.08

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 05 / 2013

Transaction ID : A2013-3858257

Amount of Each Receipt this Period

38.06

Full Name (Last, First, Middle Initial)

B. H. Smith

Mailing Address 424 W. 6th Avenue

City

Huntington

State

WV

Zip Code

25701-1722

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Recycling Operations

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

723.14

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 19 / 2013

Transaction ID : A2013-3995104

Amount of Each Receipt this Period

38.06

Full Name (Last, First, Middle Initial)

C. Ronald Smith

Mailing Address 3701 State Road 26 East

City

Lafayette

State

IN

Zip Code

47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary☐ General☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994351

Amount of Each Receipt this Period

40.00

SUBTOTAL of Receipts This Page (optional)..... ►

116.12

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mark Solberg**

Mailing Address 27Th & Pershing Rd

City

Decatur

State

IL

Zip Code

62525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Engineer 3

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994251**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Jose Soriano**

Mailing Address 701 Lonesome Trail

City

Haslet

State

TX

Zip Code

76052-5130

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Intermodal Operation

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 05 / 2013

**Transaction ID : A2013-3858259**

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C. Jose Soriano**

Mailing Address 701 Lonesome Trail

City

Haslet

State

TX

Zip Code

76052-5130

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

VP, Intermodal Operation

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

380.00

Date of Receipt

09 / 19 / 2013

**Transaction ID : A2013-3995106**

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

65.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 185 OF 242

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Kristine Spencer**

Mailing Address Rodovia Luiz De Queiroz Km 157

City	State	Zip Code
Piracicaba	ZZ	13420

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

764.91

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994528

Amount of Each Receipt this Period

85.96

Full Name (Last, First, Middle Initial)

**B. Michael Spielman**

Mailing Address Attn: Administrative Assistant

City	State	Zip Code
Aurora	IL	60507

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Proj Team Leadr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994020

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Matthew Spitznagle**

Mailing Address 560 Rehoboth Road

City	State	Zip Code
Griffin	GA	30224

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sales Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

283.97

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994744

Amount of Each Receipt this Period

33.64

SUBTOTAL of Receipts This Page (optional)..... ►

144.60

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Sposato

Mailing Address 100 Ne Adams

City  
PeoriaState  
ILZip Code  
61629FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

457.08

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994090

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Laine Spruiell

Mailing Address 313 Copeland Dr.

City  
BoazState  
ALZip Code  
35956-5449FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Controller, International

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 05 / 2013

Transaction ID : A2013-3858251

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

c. Laine Spruiell

Mailing Address 313 Copeland Dr.

City  
BoazState  
ALZip Code  
35956-5449FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Controller, International

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

380.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 19 / 2013

Transaction ID : A2013-3995098

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional)..... ►

65.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Mary Stanbary**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Employee Relations Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

492.97

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994372**

Amount of Each Receipt this Period

59.04

Full Name (Last, First, Middle Initial)

## **B. James Stanley**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Supervisor 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

762.87

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994973**

Amount of Each Receipt this Period

86.00

Full Name (Last, First, Middle Initial)

## **C. Frank Starke**

Mailing Address 3701 State Road 26 East

City State Zip Code  
 Lafayette IN 47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

831.60

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994864**

Amount of Each Receipt this Period

73.04

**SUBTOTAL** of Receipts This Page (optional)..... ►

218.08

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. James Starr**

Mailing Address 300 Hamilton Blvd.

City State Zip Code  
 Peoria IL 61629-3800

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994151

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Jeffrey Steele**

Mailing Address 3501 South FM Hwy 1417

City State Zip Code  
 Denison TX 75020

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Material Req Png Supv 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

598.46

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3995081

Amount of Each Receipt this Period

52.36

Full Name (Last, First, Middle Initial)

**C. Theodore Steidinger**

Mailing Address 4-H Park Road

City State Zip Code  
 Pontiac IL 61764

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Black Belt-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994112

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

127.36

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 189 OF 242

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Scott Sterton**

Mailing Address 3535 Factoria Blvd. SE

City	State	Zip Code
Bellevue	WA	98006

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior Regional Insurance Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994158

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Brad Stevenson**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

EHS Manager I

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

996.21

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994421

Amount of Each Receipt this Period

87.92

Full Name (Last, First, Middle Initial)

**C. Sally Stiles**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir. of Tax &amp; Trade Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1748.53

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994745

Amount of Each Receipt this Period

244.31

SUBTOTAL of Receipts This Page (optional)..... ►

357.23

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Jennifer Stokes**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Planning Engr 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994910

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Kevin Stoller**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Corporate Cash Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994255

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Brian Stone**

Mailing Address 1100 Milwaukee Ave

City State Zip Code  
 South Milwaukee WI 53172-0500

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

816.46

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994899

Amount of Each Receipt this Period

96.58

SUBTOTAL of Receipts This Page (optional)..... ►

146.58

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Casey Stone**

Mailing Address 1100 Milwaukee Ave

City State Zip Code  
South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Quality Supervisor 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995032**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Janet Storms**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Change Management Coach

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

635.65

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994624**

Amount of Each Receipt this Period

74.90

Full Name (Last, First, Middle Initial)

## **C. Mark Stratton**

Mailing Address Avenida Transformacion #545

City State Zip Code  
Nuevo Laredo ZZ 88275

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager Reman

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994670**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

149.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robert Strong**

Mailing Address Rodovia Luiz De Queiroz Km 157

City State Zip Code  
Piracicaba ZZ 13420

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1698.60

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994386**

Amount of Each Receipt this Period

192.23

Full Name (Last, First, Middle Initial)

**B. Robert Stuart**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Benefit Vndr Mgr w/ Plan Desig

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995004**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Xiaobo Sun**

Mailing Address No.5. Xin Qing South Road

City State Zip Code  
Wuxi ZZ 21402

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

T&SD Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995039**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

267.23

**TOTAL** This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Daniel Sundell**

Mailing Address Route 29 & Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Power Systems Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

961.44

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994648**

Amount of Each Receipt this Period

107.53

Full Name (Last, First, Middle Initial)

**B. Robert E Sutherland**

Mailing Address 100 N.E. Adams Street

City State Zip Code  
 Peoria IL 61629-0001

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995370**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Lynn Sutton**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1107.07

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994612**

Amount of Each Receipt this Period

102.37

**SUBTOTAL** of Receipts This Page (optional)..... ►

234.90

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Swanson**

Mailing Address 501 SW Jefferson

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IT Supervisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

481.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994359**

Amount of Each Receipt this Period

43.60

Full Name (Last, First, Middle Initial)

**B. Rodney Swenson**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994538**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Johnny Tackett**

Mailing Address 1720 Kingsbury

City State Zip Code  
 Seguin TX 78155

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Logistics Manager 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994768**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

93.60

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Matthew Taphorn**

Mailing Address 100 NE Adams

City	State	Zip Code
Peoria	IL	61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

867.57

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994941

Amount of Each Receipt this Period

98.26

Full Name (Last, First, Middle Initial)

**B. Larry Tate**

Mailing Address 27Th &amp; Pershing Rd

City	State	Zip Code
Decatur	IL	62526

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3500.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994558

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

**C. Stephen Taylor**

Mailing Address 12301 Old Galena Road

City	State	Zip Code
Mossville	IL	61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Specialist-Design

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3995065

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

623.26

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Kenneth terHorst**

Mailing Address 100 N.E. Adams Street

City State Zip Code  
Peoria IL 61629-0001

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3995392**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **B. Daniel Terpstra**

Mailing Address 500 N Morton Ave

City State Zip Code  
Morton IL 61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994324**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

## **C. Kristn Theisen**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Performance Mgr 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994193**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

100.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Kevin Thieneman**

Mailing Address 100 S. L. White Blvd.

City State Zip Code  
 La Grange GA 30241

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994023**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

## **B. Heather Thomas**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Communications Rep - 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993974**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Mark Thompson**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Business Resource Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994297**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

175.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Robert Thompson**

Mailing Address 2120 West End Avenue

City State Zip Code  
 Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

President Insurance Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2925.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994832**

Amount of Each Receipt this Period

325.00

Full Name (Last, First, Middle Initial)

## **B. Steven Tillotson**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

CPGS Projects Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1233.89

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994339**

Amount of Each Receipt this Period

150.11

Full Name (Last, First, Middle Initial)

## **C. Bradley Tilly**

Mailing Address 300 Hamilton Blvd.

City State Zip Code  
 Peoria IL 61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Commercial Mining

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

575.72

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994009**

Amount of Each Receipt this Period

55.72

**SUBTOTAL** of Receipts This Page (optional)..... ►

530.83

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Cindy Tinsley**

Mailing Address 2120 West End Avenue

City State Zip Code  
Nashville TN 37203

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Accounts Spt Mgr II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994377**

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Trenton Tippey**

Mailing Address 1100 Milwaukee Ave

City State Zip Code  
South Milwaukee WI 53172-0500

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994086**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Kurt Tisdale**

Mailing Address 501 SW Jefferson

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Market Development Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994540**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

80.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Charles Tobias**

Mailing Address Golden Lough Villa 130 Ji Chang Lu

City State Zip Code  
 Suzhou ZZ 21502

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

NPI Program Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

679.56

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994352

Amount of Each Receipt this Period

76.25

Full Name (Last, First, Middle Initial)

**B. Susan Toher**

Mailing Address North West Industrial Estate

City State Zip Code  
 Peterlee ZZ 00000

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994081

Amount of Each Receipt this Period

150.00

Full Name (Last, First, Middle Initial)

**C. Gregory Towles**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Market Professional

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994424

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

251.25

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Andrew Trent**

Mailing Address 17th Floor China Life Tower

City State Zip Code  
Beijing ZZ 10002

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 30 2013

Transaction ID : A2013-3994074

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B. Jeffrey Tull**

Mailing Address 3701 State Road 26 East

City State Zip Code  
Lafayette IN 47905

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group Manager 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

438.49

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 30 2013

Transaction ID : A2013-3994714

Amount of Each Receipt this Period

41.93

Full Name (Last, First, Middle Initial)

**C. Trent Turner**

Mailing Address 444 Birch Street

City State Zip Code  
Prentice WI 54556

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Facility Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 30 2013

Transaction ID : A2013-3995046

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

96.93

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Charles Turpen**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Engrg Mgr 1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1124.59

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994769**

Amount of Each Receipt this Period

101.17

Full Name (Last, First, Middle Initial)

## **B. Beth Tyre**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Special Assignment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994777**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Robert Tyson**

Mailing Address 13105 Northwest Freeway

City State Zip Code  
 Houston TX 77040

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Technical Support Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

644.07

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994220**

Amount of Each Receipt this Period

72.03

**SUBTOTAL** of Receipts This Page (optional)..... ►

198.20

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Mary Umholtz**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Paralegal II

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.86

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995047**

Amount of Each Receipt this Period

33.06

Full Name (Last, First, Middle Initial)

**B. Donald Umpleby III**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3125.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994108**

Amount of Each Receipt this Period

625.00

Full Name (Last, First, Middle Initial)

**C. Jonathon Underwood**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Engineer 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994986**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

683.06

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Unes**

Mailing Address 300 Hamilton Blvd.

City  
Peoria

State  
IL

Zip Code  
61629-3800

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Mining Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994520**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Tana Utley**

Mailing Address Frank Perkins Parkway

City

Peterborough

State

ZZ

Zip Code

00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4053.40

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994549**

Amount of Each Receipt this Period

454.55

Full Name (Last, First, Middle Initial)

**C. Darren Vandermolen**

Mailing Address Prestige Solitaire

City

Bangalore

State

ZZ

Zip Code

56002

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Info Technology Manager-III

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994173**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

554.55

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Timothy VandeSchraaf**

Mailing Address 100 NE Adams

City  
Peoria

State  
IL

Zip Code  
61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Senior IT Analyst

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994253**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Thomas VanKley**

Mailing Address 500 N Morton Ave

City  
Morton

State  
IL

Zip Code  
61550

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Commercial Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3993992**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Mark Vannette**

Mailing Address 100 Tractor Drive

City  
East Peoria

State  
IL

Zip Code  
61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994847**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

75.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph VanWassenhove**

Mailing Address 501 SW Jefferson

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Parts Pricing Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

772.05

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994411**

Amount of Each Receipt this Period

87.17

Full Name (Last, First, Middle Initial)

**B. Gina Vassallo**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Process Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994089**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Dennis Veigelt**

Mailing Address 202 Cardinal Dr

City

Corinth

State

MS

Zip Code

38834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 1

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994231**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

137.17

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Sheryl Velasquez**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Human Resources Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994805

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Brandon Velde**

Mailing Address 500 N. Morton Ave.

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Technology Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.79

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994123

Amount of Each Receipt this Period

26.02

Full Name (Last, First, Middle Initial)

**C. Michael Verheyen**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994457

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

76.02

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Iris K Villela

Mailing Address 9250 Sky Park Court

City

San Diego

State

CA

Zip Code

92123-4302

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Caterpillar Solar Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

540.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3995365

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

B. James Voller

Mailing Address 600 Balderson Blvd

City

Wamego

State

KS

Zip Code

66547

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Resource Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994390

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Carl Volz

Mailing Address 100 NE Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr. Governmental Affairs Rep

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

516.79

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Transaction ID : A2013-3994751

Amount of Each Receipt this Period

59.60

SUBTOTAL of Receipts This Page (optional)..... ►

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144.60



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Douglas Wagener**

Mailing Address 4060 Mound Road

City State Zip Code  
 Joliet IL 60436

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manufacturing Proj Engr 3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994165**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Reid Waitt**

Mailing Address 5000 Wormack Rd

City State Zip Code  
 Sanford NC 27330

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

GM Compact Construction Equip

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2039.16

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994673**

Amount of Each Receipt this Period

232.65

Full Name (Last, First, Middle Initial)

**C. John Walenta**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Factory Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

742.37

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994507**

Amount of Each Receipt this Period

88.59

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

346.24

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Thomas Walters**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Manager Governmental Affairs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1260.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994149**

Amount of Each Receipt this Period

140.00

Full Name (Last, First, Middle Initial)

## **B. David Walton**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President CFSC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1865.28

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994287**

Amount of Each Receipt this Period

211.56

Full Name (Last, First, Middle Initial)

## **C. Timothy Ward**

Mailing Address 12301 Old Galena Road

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Supply Chain Planning

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995049**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

451.56

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Paul Warner**

Mailing Address 100 Tractor Drive

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

WW Supply Stream Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994675**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Michael Watson**

Mailing Address 501 SW Jefferson

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994559**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Karl Weiss**

Mailing Address Attn: Administrative Assistant

City

Aurora

State

IL

Zip Code

60507

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3993927**

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

90.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Douglas Weston**

Mailing Address 12301 Old Galena Road

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Global Segment Manager A

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994606**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Christopher White**

Mailing Address 3701 State Road 26 East

City

Lafayette

State

IN

Zip Code

47905

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Purchasing Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994145**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. John Wiebmer**

Mailing Address 6744 S Howell Ave

City

Oak Creek

State

WI

Zip Code

53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Business Development Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 30 / 2013

**Transaction ID : A2013-3994362**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kimberly Wienzierl**

Mailing Address 812 W. Washington St

City

East Peoria

State

IL

Zip Code

61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

587.39

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994623**

Amount of Each Receipt this Period

69.37

Full Name (Last, First, Middle Initial)

**B. Valerie Wiest**

Mailing Address 14009 Old Galena Rd.

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-3

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

647.46

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994762**

Amount of Each Receipt this Period

72.99

Full Name (Last, First, Middle Initial)

**C. Laurence Wilken**

Mailing Address 100 Ne Adams

City

Peoria

State

IL

Zip Code

61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Associate General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994863**

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

242.36

**TOTAL** This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Martin Willi**

Mailing Address 14009 Old Galena Rd.

City State Zip Code  
Mossville IL 61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-1

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

651.45

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994581**

Amount of Each Receipt this Period

72.86

Full Name (Last, First, Middle Initial)

**B. Timothy Williams**

Mailing Address 100 NE Adams

City State Zip Code  
Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director Global Security Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

885.87

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3995017**

Amount of Each Receipt this Period

102.00

Full Name (Last, First, Middle Initial)

**C. Timothy Williams**

Mailing Address 100 Tractor Drive

City State Zip Code  
East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Manager 5

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

**Transaction ID : A2013-3994721**

Amount of Each Receipt this Period

35.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

209.86

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Charles Wills**

Mailing Address 1600 Progress Drive

City State Zip Code  
 Albertville AL 35950

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

VP Int'l Mkt Dev - Rail Div

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994415

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Christopher Winkel**

Mailing Address 500 N Morton Ave

City State Zip Code  
 Morton IL 61550

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

6 Sigma Strategy Champion

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

471.69

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994544

Amount of Each Receipt this Period

52.41

Full Name (Last, First, Middle Initial)

**C. Jessica Wisher**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Account Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994930

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

102.41

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. David Wisley**

Mailing Address 12301 Old Galena Road

City State Zip Code  
 Mossville IL 61552

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Sr Engineering Specialist-Des

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

879.03

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995005

Amount of Each Receipt this Period

104.82

Full Name (Last, First, Middle Initial)

**B. Mark Woith**

Mailing Address 6744 S Howell Ave

City State Zip Code  
 Oak Creek WI 53154

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Purchasing Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994430

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Kelly Wojda**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Director of Talent Attraction

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994269

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

159.82

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

**A. Timothy Wolfe**

Mailing Address 14009 Old Galena Rd.

City

Mossville

State

IL

Zip Code

61552

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Prod Dev Proc &amp; Deployment Dir

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994111

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. Michael Wright**

Mailing Address 6744 S Howell Ave

City

Oak Creek

State

WI

Zip Code

53154

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Treasury Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994442

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Patricia Wright**

Mailing Address P O Box 504

City

Joliet

State

IL

Zip Code

60436

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Master Black Belt

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

596.75

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994171

Amount of Each Receipt this Period

65.82

SUBTOTAL of Receipts This Page (optional)..... ►

115.82

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Paul Wroblewski

Mailing Address Frank Perkins Parkway

City State Zip Code  
 Peterborough ZZ 00000

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

General Manager IPSD

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

405.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994511

Amount of Each Receipt this Period

45.00

Full Name (Last, First, Middle Initial)

B. Steven Wunning

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Group President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994418

Amount of Each Receipt this Period

416.66

Full Name (Last, First, Middle Initial)

C. Gary Yerby

Mailing Address 124 SW Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Engineering Manager-2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

Transaction ID : A2013-3994839

Amount of Each Receipt this Period

25.00

SUBTOTAL of Receipts This Page (optional)..... ►

486.66

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Christine Yordy**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Communications Rep - 2

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.01

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995001**

Amount of Each Receipt this Period

34.46

Full Name (Last, First, Middle Initial)

## **B. Derrick York**

Mailing Address 17th Floor China Life Tower

City State Zip Code  
 Beijing ZZ 10002

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir Corp. Business Developmnt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3995067**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

## **C. Donald Young**

Mailing Address 100 Tractor Drive

City State Zip Code  
 East Peoria IL 61630

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Product Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994947**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

84.46

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael Young**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Dir. Strategic Suppt/6 Sigma

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994980**

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

**B. Timothy Young**

Mailing Address 27Th & Pershing Rd

City State Zip Code  
 Decatur IL 62525

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Supply Chain Performance Mgr4

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3994937**

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C. Michael Zachman**

Mailing Address 100 NE Adams

City State Zip Code  
 Peoria IL 61629

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Gnl Security Prg & Consult Dir

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 / 30 / 2013

**Transaction ID : A2013-3993933**

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 221 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

The Caterpillar Inc. Employee Political Action Committee

Full Name (Last, First, Middle Initial)

A. Alexander Zaydel

Mailing Address 1449 Marilyn

City

Ludington

State

MI

Zip Code

49431-9652

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Managing Dir International Bus

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 05 / 2013

Transaction ID : A2013-3858261

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Alexander Zaydel

Mailing Address 1449 Marilyn

City

Ludington

State

MI

Zip Code

49431-9652

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Progress Rail Services

Occupation

Managing Dir International Bus

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

380.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 19 / 2013

Transaction ID : A2013-3995108

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. David Zempel

Mailing Address 3535 Factoria Blvd SE

City

Bellevue

State

WA

Zip Code

98006

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

District Manager

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
09 / 30 / 2013

Transaction ID : A2013-3994584

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

90.00

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 222 OF 242

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Erik G Zentmyer**

Mailing Address 100 N.E. Adams Street

City State Zip Code  
 Peoria IL 61629-0001

FEC ID number of contributing federal political committee.

C

Name of Employer

Solar Turbines

Occupation

Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3995403

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Jurg Zundel**

Mailing Address 701 Waterford Way

City State Zip Code  
 Miami FL 33126

FEC ID number of contributing federal political committee.

C

Name of Employer

Caterpillar Inc.

Occupation

Assistant General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 09 30 2013

Transaction ID : A2013-3994378

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

75.00

45400.12

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Martha Roby for Congress**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

Mailing Address PO Box 195

City	State	Zip Code
Montgomery	AL	36101

Purpose of Disbursement  
Contribution

011

**Transaction ID : B472839**

Amount of Each Disbursement this Period

1000.00
---------

Candidate Name

**Martha Roby**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: AL District: 02

Full Name (Last, First, Middle Initial)

**B. Martha Roby for Congress**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

Mailing Address PO Box 195

City	State	Zip Code
Montgomery	AL	36101

Purpose of Disbursement  
Contribution

011

**Transaction ID : B474308**

Amount of Each Disbursement this Period

2500.00
---------

Candidate Name

**Martha Roby**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: AL District: 02

Full Name (Last, First, Middle Initial)

**C. Devin Nunes Campaign Committee**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

Mailing Address PO Box 6545

City	State	Zip Code
Visalia	CA	93290

Purpose of Disbursement  
Contribution

011

**Transaction ID : B474307**

Amount of Each Disbursement this Period

2500.00
---------

Candidate Name

**Devin Nunes**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: CA District: 22

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6000.00

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Valadao for Congress**

Mailing Address 504 Van Ness Avenue

City	State	Zip Code
Fresno	CA	93721

Purpose of Disbursement  
Contribution

011

Candidate Name

**David Valadao**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CA District: 21

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

**Transaction ID : B474312**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**B. Trey Radel for US Congress**

Mailing Address P.O. Box 1329

City	State	Zip Code
Fort Meyers	FL	33902

Purpose of Disbursement  
Contribution

011

Candidate Name

**Trey Radel**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: FL District: 19

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

**Transaction ID : B474306**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. Rodney Davis for Congress**

Mailing Address PO Box 344

City	State	Zip Code
Taylorville	IL	62568

Purpose of Disbursement  
Contribution

011

Candidate Name

**Rodney Davis**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: IL District: 13

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472891**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6000.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kinzinger for Congress**

Mailing Address PO Box 2365

City Ottawa	State IL	Zip Code 61350
----------------	-------------	-------------------

Purpose of Disbursement  
Contribution

011

Candidate Name

**Adam Kinzinger**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IL District: 16

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

**Transaction ID : B472880**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Dan Lipinski for Congress**

Mailing Address P.O. Box 520

City Western Springs	State IL	Zip Code 60558
-------------------------	-------------	-------------------

Purpose of Disbursement  
Contribution

011

Candidate Name

**Daniel Lipinski**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IL District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

**Transaction ID : B472879**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Volunteers for Shimkus**

Mailing Address PO Box 661

City Collinsville	State IL	Zip Code 62234
----------------------	-------------	-------------------

Purpose of Disbursement  
Contribution

011

Candidate Name

**John M Shimkus**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: IL District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

**Transaction ID : B472892**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3000.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Dan Lipinski for Congress**

Mailing Address P.O. Box 520

City	State	Zip Code
Western Springs	IL	60558

Purpose of Disbursement  
Contribution

Candidate Name

**Daniel Lipinski**

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: IL	District: 03

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

011

Category/  
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		20		2013

**Transaction ID : B473950**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**B. Bucshon for Congress**

Mailing Address PO Box 250

City	State	Zip Code
Newburgh	IN	47629

Purpose of Disbursement  
Contribution

Candidate Name

**Larry D Bucshon**

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: IN	District: 08

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

011

Category/  
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		10		2013

**Transaction ID : B472181**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Friends of Todd Young**

Mailing Address PO Box 1053

City	State	Zip Code
Bloomington	IN	47402

Purpose of Disbursement  
Contribution

Candidate Name

**Todd Young**

Office Sought:	<input checked="" type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State: IN	District: 09

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼

011

Category/  
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		10		2013

**Transaction ID : B472178**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4000.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Susan Brooks**

Mailing Address 9425 N Meridian Street #237

City	State	Zip Code
Indianapolis	IN	46260

Purpose of Disbursement  
Contribution

011

Candidate Name

**Susan Brooks**Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

State: IN District: 05

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472818**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Luke Messer for Congress**

Mailing Address P.o. Box 917

City	State	Zip Code
Shelbyville	IN	46176

Purpose of Disbursement  
Contribution

011

Candidate Name

**Luke Messer**Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

State: IN District: 06

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472813**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Walorski for Congress**

Mailing Address PO Box 954

City	State	Zip Code
Mishawaka	IN	46546

Purpose of Disbursement  
Contribution

011

Candidate Name

**Jackie Walorski**Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

State: IN District: 02

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472819**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3000.00
---------

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Todd Young**

Mailing Address PO Box 1053

City	State	Zip Code
Bloomington	IN	47402

Purpose of Disbursement  
Contribution

011

Candidate Name

**Todd Young**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: IN District: 09

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		25		2013

**Transaction ID : B474298**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**B. Pompeo for Congress Inc.**

Mailing Address PO Box 780146

City	State	Zip Code
Wichita	KS	67212

Purpose of Disbursement  
Contribution

011

Candidate Name

**Michael R Pompeo**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: KS District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		17		2013

**Transaction ID : B472882**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Guthrie for Congress**

Mailing Address PO Box 9639

City	State	Zip Code
Bowling Green	KY	42102

Purpose of Disbursement  
Contribution

011

Candidate Name

**Brett Guthrie**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: KY District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		17		2013

**Transaction ID : B472824**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. McConnell Senate Committee '14**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

Mailing Address PO Box 1496

City	State	Zip Code
Louisville	KY	40201

Purpose of Disbursement  
Contribution

011

Candidate Name

**Mitch McConnell**Category/  
Type

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: KY District:

**Transaction ID : B472881**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**B. Whitfield for Congress Committee**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

Mailing Address P.O. Box 391

City	State	Zip Code
Hopkinsville	KY	42241

Purpose of Disbursement  
Contribution

011

Candidate Name

**Edward Whitfield**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: KY District: 01

**Transaction ID : B472878**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Andy Barr for Congress Inc.**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

Mailing Address PO Box 2059

City	State	Zip Code
Lexington	KY	40588

Purpose of Disbursement  
Contribution

011

Candidate Name

**Andy Barr**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: KY District: 06

**Transaction ID : B474305**

Amount of Each Disbursement this Period

2500.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

6000.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 230 OF 242

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Charles Boustany Jr Md for Congress Inc**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	25	/	2013

Mailing Address PO Box 80126

City	State	Zip Code
Lafayette	LA	70598

**Transaction ID : B474297**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

**Charles W Boustany Jr.**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: LA District: 03

2500.00

Full Name (Last, First, Middle Initial)

**B. Re-elect McGovern Committee**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	30	/	2013

Mailing Address PO Box 60405

City	State	Zip Code
Worcester	MA	01606

**Transaction ID : B474744**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

**James P McGovern**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input type="checkbox"/> Primary <input checked="" type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: MA District: 02

500.00

Full Name (Last, First, Middle Initial)

**C. Walberg for Congress**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

Mailing Address PO Box 1362

City	State	Zip Code
Jackson	MI	49204

**Transaction ID : B472821**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

**Timothy Walberg**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: MI District: 07

2500.00

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5500.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kline for Congress**

Mailing Address 350 W Burnsville Pkwy Ste. 625

City	State	Zip Code
Burnsville	MN	55337

Purpose of Disbursement  
Contribution

011

Candidate Name

**John Kline**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MN District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		17		2013

**Transaction ID : B472840**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Friends of Erik Paulsen**

Mailing Address P.O. Box 44369 250 Prairie Center

City	State	Zip Code
Eden Prairie	MN	55344

Purpose of Disbursement  
Contribution

011

Candidate Name

**Erik Paulsen**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MN District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		25		2013

**Transaction ID : B474294**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**C. Ann Wagner for Congress**

Mailing Address P.O. Box 50

City	State	Zip Code
Ballwin	MO	63022

Purpose of Disbursement  
Contribution

011

Candidate Name

**Ann Wagner**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MO District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		17		2013

**Transaction ID : B472816**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4000.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Renee Ellmers for Congress**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		26		2013

Mailing Address PO Box 99567

City	State	Zip Code
Raleigh	NC	27624

**Transaction ID : B474464**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

**Renee Ellmers**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: NC District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

**B. Jon Runyan For Congress Inc.**

Mailing Address PO Box 225

City	State	Zip Code
Colonia	NJ	07067

**Transaction ID : B474310**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

**Jon Runyan**Category/  
Type

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

State: NJ District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**C. Promoting Our Republican Team PAC**

Mailing Address 8331 Little Harbor Drive

City	State	Zip Code
Cincinnati	OH	45244

**Transaction ID : B472828**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼
Not Applicable

State: OH District:

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6000.00

2000.00

2500.00

1500.00



**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Citizens for Prosp. in America Today PAC**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		10		2013

Mailing Address 228 S Washington St Ste 115

City	State	Zip Code
Alexandria	VA	22314

**Transaction ID : B472180**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/  
Type

1500.00

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: PA

District:

Full Name (Last, First, Middle Initial)

**B. Friends of Glenn Thompson**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

Mailing Address PO Box 1112

City	State	Zip Code
State College	PA	16804

**Transaction ID : B472927**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/  
Type

1000.00

**Glenn Thompson**

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: PA

District: 05

Full Name (Last, First, Middle Initial)

**C. Tim Scott For US Senate**

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

Mailing Address 1405 Ashley River Road

City	State	Zip Code
Charleston	SC	29407

**Transaction ID : B472820**Purpose of Disbursement  
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/  
Type

2000.00

**Tim Scott**

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Special Primary

State: SC

District:

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4500.00

**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 234 OF 242

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kristi for Congress**

Mailing Address PO Box 852

City	State	Zip Code
Sioux Falls	SD	57101

Purpose of Disbursement  
Contribution

011

Candidate Name

**Kristi Lynn Noem**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: SD District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

**Transaction ID : B472826**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Marsha Blackburn for Congress Inc.**

Mailing Address PO Box 3750

City	State	Zip Code
Brentwood	TN	37024

Purpose of Disbursement  
Contribution

011

Candidate Name

**Marsha Blackburn**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: TN District: 07

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	26	/	2013

**Transaction ID : B474462**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Elect Blake Farenthold Committee**

Mailing Address P.O. Box 3369

City	State	Zip Code
Corpus Christi	TX	78463

Purpose of Disbursement  
Contribution

011

Candidate Name

**Blake Farenthold**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: TX District: 27

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	10	/	2013

**Transaction ID : B472177**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

3000.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Castro for Congress**

Mailing Address PO Box 544

City	State	Zip Code
San Antonio	TX	78292

Purpose of Disbursement  
Contribution

011

Candidate Name

**Joaquin Castro**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: TX District: 20

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

**Transaction ID : B472823**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Texans for Senator John Cornyn Inc**

Mailing Address PO Box 13026

City	State	Zip Code
Austin	TX	78711

Purpose of Disbursement  
Contribution

011

Candidate Name

**John Cornyn**Category/  
TypeOffice Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: TX District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

**Transaction ID : B472822**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**C. Brady for Congress**

Mailing Address P.O. Box 8277

City	State	Zip Code
The Woodlands	TX	77387

Purpose of Disbursement  
Contribution

011

Candidate Name

**Kevin P Brady**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: TX District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	25	/	2013

**Transaction ID : B474293**

Amount of Each Disbursement this Period

2500.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5500.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kenny Marchant for Congress**

Mailing Address PO Box 110187

City	State	Zip Code
Carrollton	TX	75011

Purpose of Disbursement  
Contribution

011

Candidate Name

**Kenny Marchant**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: TX District: 24

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

**Transaction ID : B474296**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**B. Matheson for Congress**

Mailing Address P O Box 521048

City	State	Zip Code
Salt Lake City	UT	84152

Purpose of Disbursement  
Contribution

011

Candidate Name

**Jim Matheson**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: UT District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

**Transaction ID : B474292**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**C. Friends of Mark Warner**

Mailing Address 201 North Union Street Suite 300

City	State	Zip Code
Alexandria	VA	22314

Purpose of Disbursement  
Contribution

011

Candidate Name

**Mark Warner**Category/  
TypeOffice Sought: ☐ House  
☒ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: VA District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

**Transaction ID : B474304**

Amount of Each Disbursement this Period

2000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6500.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Ryan For Congress**

Mailing Address P.O. Box 1488

City	State	Zip Code
Janesville	WI	53547

Purpose of Disbursement  
Contribution

011

Candidate Name

**Paul D Ryan**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: WI District: 01

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

**Transaction ID : B474295**

Amount of Each Disbursement this Period

2500.00
---------

Full Name (Last, First, Middle Initial)

**B. Enzi for US Senate**

Mailing Address PO Box 2775

City	State	Zip Code
Cody	WY	82414

Purpose of Disbursement  
Contribution

011

Candidate Name

**Michael B Enzi**Category/  
TypeOffice Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2014  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: WY District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472812**

Amount of Each Disbursement this Period

2000.00
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Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ PresidentDisbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4500.00
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71500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Citizens for Hammond**

Mailing Address P.O. Box 694

City Macomb	State IL	Zip Code 61455
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Purpose of Disbursement  
P-2014 State House 93 IL

011

Candidate Name

**Norine Hammond**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		16		2013

**Transaction ID : B472784**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Cmte for Frank J. Mautino**

Mailing Address 108 W. Saint Paul St. Ste. B

City Spring Valley	State IL	Zip Code 61362
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Purpose of Disbursement  
P-2014 State House 76 IL

011

Candidate Name

**Frank J Mautino**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		16		2013

**Transaction ID : B472785**

Amount of Each Disbursement this Period

2000.00
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Full Name (Last, First, Middle Initial)

**C. Friends of Jason Barickman**

Mailing Address 207 W Jefferson St. Ste 602

City Bloomington	State IL	Zip Code 61701
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Purpose of Disbursement  
P-2016 State Senate 53 IL

011

Candidate Name

**Jason Barickman**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2016

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
09		17		2013

**Transaction ID : B472792**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

4000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Cmte to Elect Jennifer Bertino-Tarrant**

Mailing Address 900 Plainfield Rd

City Joliet	State IL	Zip Code 60435
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Purpose of Disbursement  
P-2016 State Senate 49 IL

011

Candidate Name

**Jennifer Bertino-Tarrant**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2016

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472799**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Citizens for Connelly**

Mailing Address 2641 Brunswick Ct.

City Lisle	State IL	Zip Code 60532
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Purpose of Disbursement  
P-2014 State Senate 21 IL

011

Candidate Name

**Michael G Connelly**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472791**

Amount of Each Disbursement this Period

1000.00
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Full Name (Last, First, Middle Initial)

**C. Friends of Don Harmon**

Mailing Address 612 S. East Avenue

City Oak Park	State IL	Zip Code 60304
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Purpose of Disbursement  
P-2014 State Senate 39 IL

011

Candidate Name

**Don Harmon**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472790**

Amount of Each Disbursement this Period

500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Andy Manar**

Mailing Address PO Box W

City	State	Zip Code
Bunker Hill	IL	62014

Purpose of Disbursement  
P-2014 State Senate 48 IL

011

Candidate Name

**Andy Manar**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472794**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. McAuliffe for State House**

Mailing Address 6650 N. Northwest Highway

City	State	Zip Code
Chicago	IL	60654

Purpose of Disbursement  
P-2014 State House 20 IL

011

Candidate Name

**Michael McAuliffe**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472787**

Amount of Each Disbursement this Period

500.00
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Full Name (Last, First, Middle Initial)

**C. Sam McCann for Senate**

Mailing Address 268 N Broad St

City	State	Zip Code
Carlinville	IL	62626

Purpose of Disbursement  
P-2016 State Senate 50 IL

011

Candidate Name

**Sam McCann**Category/  
TypeOffice Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: 2016

☒ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472798**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Citizens for Kyle McCarter**

Mailing Address 40 Commerce Dr.

City Lebanon	State IL	Zip Code 62254
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Purpose of Disbursement  
P-2014 State Senate 54 IL

011

Candidate Name

**Kyle McCarter**Category/  
Type

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472795**

Amount of Each Disbursement this Period

1000.00
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Full Name (Last, First, Middle Initial)

**B. Citizens for Nekritz**

Mailing Address 24 South Des Plaines River Road

City Des Plaines	State IL	Zip Code 60016
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Purpose of Disbursement  
P-2014 State House 57 IL

011

Candidate Name

**Elaine Nekritz**Category/  
Type

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472789**

Amount of Each Disbursement this Period

1000.00
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Full Name (Last, First, Middle Initial)

**C. Citizens for Pritchard**

Mailing Address P.O. Box 303

City Sycamore	State IL	Zip Code 60178
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Purpose of Disbursement  
P-2014 State House 70 IL

011

Candidate Name

**Robert Pritchard**Category/  
Type

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		17		2013

**Transaction ID : B472786**

Amount of Each Disbursement this Period

500.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

2500.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**The Caterpillar Inc. Employee Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Friends of Sue Scherer**

Mailing Address 4123 N. Sunderland Drive

City	State	Zip Code
Decatur	IL	62526

Purpose of Disbursement  
P-2014 State House 96 IL

Candidate Name

**Sue Scherer**

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For: 2014

☒ Primary ☐ General  
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	17	/	2013

**Transaction ID : B472788**

Amount of Each Disbursement this Period

500.00
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**B.**

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**C.**

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

500.00
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12000.00
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