



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

July 13, 2010

Anne Rosenfeld, Treasurer  
Pete King for Congress Committee  
Post Office Box 1428  
Seaford, NY 11783

Identification Number: C00272211

**Response Due Date:**  
**August 17, 2010**

Reference: April Quarterly Report (1/1/10-3/31/10)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 2 items:

1. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached). You should examine all of your contributions to check for additional excessive contributions. The Committee's procedures for processing contributions should also be reviewed.

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution to a candidate for federal office in excess of \$2,400 per election. An authorized committee may not make a contribution to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. § 441a(a) and (f); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

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Excessive contributions may be retained if, within sixty (60) days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for each option are provided below:

For reattributions, excessive contributions from individuals can be retained, if within sixty (60) days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor, or (2) the committee reattributes, by presumption, the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if, within sixty (60) days of receipt, the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election, or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

Please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/ or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund, redesignate, and/or reattribute of the excessive amount will be taken into consideration.

2. Schedule A supporting Line 15 of your report discloses receipts identified as "Petty Cash" totaling \$3,110. Please be advised that contributions exceeding \$100 must be made by check (or other written instrument). 11 CFR §110.4(c) Please return any amount over \$100 to each contributor and disclose the refunds on the appropriate report(s).

However, if these apparent cash contributions did not exceed \$100 per contributor, you are advised that all contributions from individuals/persons that aggregate greater than \$200 in the election cycle must be itemized on Schedule A supporting Line 11(a)(i). Contributions from individuals/persons that aggregate \$200 or less need only be disclosed on Line 11(a)(ii) of the Detailed Summary Page. 11 CFR §104.3(a)(3) Please amend your report to clarify these apparent discrepancies.

**Please note, you will not receive an additional notice from the Commission on this matter.** Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any

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questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1395.

Sincerely,

A handwritten signature in black ink, appearing to read "B. J. Holly", with a stylized flourish at the end.

Benjamin J. Holly  
Campaign Finance Analyst  
Reports Analysis Division

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Excessive and/or Prohibited Contributions  
Pete King for Congress Committee (C00272211)  
April Quarterly Report (1/1/10-3/31/10)

P = Primary Election  
G = General Election

CONTRIBUTOR NAME		DATE	AMOUNT	ELECTION
Boyle	James Mr.	2/18/2009	\$1,000.00	P2010
Boyle	James Mr.	6/16/2009	\$1,000.00	P2010
Boyle	James Mr.	2/25/2010	\$1,000.00	P2010
Halpern	Sandra Mrs.	8/19/2009	\$1,000.00	P2010
Halpern	Sandra Mrs.	9/30/2009	\$1,000.00	P2010
Halpern	Sandra Mrs.	12/8/2009	\$400.00	P2010
Halpern	Sandra Ms.	2/25/2010	\$800.00	P2010
Horowitz	Howard Mr.	2/27/2009	\$1,000.00	P2010
Horowitz	Howard Mr.	3/13/2009	\$1,000.00	P2010
Horowitz	Howard Mr.	6/13/2009	\$250.00	P2010
Horowitz	Howard Mr.	11/6/2009	\$150.00	P2010
Horowitz	Howard Mr.	2/3/2010	\$1,000.00	P2010
Knott	David Mr. Jr.	12/16/2009	\$2,400.00	P2010
Knott	David Mr. Jr.	2/25/2010	\$1,400.00	P2010
Slavin	Gary Mr.	4/29/2009	\$1,000.00	P2010
Slavin	Gary Mr.	9/24/2009	\$1,000.00	P2010
Slavin	Gary Mr.	3/8/2010	\$1,000.00	P2010

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