



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

April 19, 2023

LAURA BALDI, TREASURER
LPL FINANCIAL LLC POLITICAL ACTION
COMMITTEE (LPL PAC)
75 STATE STREET 22ND FLOOR
BOSTON, MA 02109-1827

Response Due Date
05/24/2023

IDENTIFICATION NUMBER: C00486217

REFERENCE: YEAR-END REPORT (11/29/2022 - 12/31/2022)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- Schedule B supporting Line 29 of your Detailed Summary Page discloses a disbursement for "Balance adjustment" for \$3,219.89. Please provide any clarifying information as to the details of this disbursement and why this activity was not disclosed on the original report covering the period during which it took place.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for Additional Information (RFAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should

LPL FINANCIAL LLC POLITICAL ACTION COMMITTEE (LPL PAC)

Page 2 of 2

you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number(202) 694-1148.

Sincerely,

A handwritten signature in black ink, appearing to read 'SB' followed by a long horizontal line.

Scott Bennett

Senior Campaign Finance Analyst