Image# 12972202526 PAGE 1 / 35

### **FEC** FORM 3Y

### **REPORT OF RECEIPTS AND DISBURSEMENTS**

| TORIW 3X   | For Other Than An Aut                                  | horized Committee                        | Office Use Only                                    |
|--|--|--|--|
| NAME OF<br>COMMITTEE (in full)                             | TYPE OR PRINT ▼  | Example: If typing, type over the lines. | 12FE4M5  |
| LPL Financial LLC F  | Political Action Committe                              | ee (LPL PAC)                             |  |
|  |  |  |  |
| ADDRESS (number and street)  ▼ Check if different          | 75 State Street  24th Floor                            |  |  |
| than previously reported. (ACC)                            | Boston   |  | MA 02109   |
| 2. FEC IDENTIFICATION                                      | NUMBER ▼ CIT   | <b>Y A</b>                               | STATE ▲ ZIP CODE ▲                                 |
| C C00486217  |  | S THIS X NEW (N) C                       | AMENDED (A)  |
| 4. TYPE OF REPORT (Choose One)  (a) Quarterly Reports:     | Report Due On:   | 20 (M2) May 20 (<br>20 (M3) Jun 20 (I    | (Non-Election<br>Year Only)                        |
| April 15<br>Quarterly Repor                                |  | 20 (M4) Jul 20 (M                        |  |
| July 15<br>Quarterly Repor                                 | t (Q2) PRE-Election Report for the:                    | Convention (12C)                         | Special (12S)                                      |
| Quarterly Repor  January 31  Year-End Repor                | Flore  | on on                                    | in the State of                                    |
| July 31 Mid-Yea<br>Report (Non-ele<br>Year Only) (MY)      | ction (d) 30-Day                                       | General (30G)                            | Runoff (30R) Special (30S)                         |
| Termination Rep<br>(TER)                                   | eort   | on on                                    | in the State of                                    |
| 5. Covering Period   | 08 01 2012   | through 08                               | 3 31 2012  |
| I certify that I have examined Type or Print Name of Treas | this Report and to the best of urer John E. Danton Jr. | my knowledge and belief it i             | s true, correct and complete.                      |
| Signature of Treasurer $\frac{J_0}{J_0}$                   | ohn E. Danton Jr.                                      | [Electronically Filed]                   | Date 09 18 2012                                    |
| NOTE: Submission of false, er                              | roneous, or incomplete information                     | n may subject the person signi           | ng this Report to the penalties of 2 U.S.C. §437g. |
| Office<br>Use<br>Only                                      |  |  | FEC FORM 3X Rev. 12/2004                           |

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

### LPL Financial LLC Political Action Committee (LPL PAC)

80 01 08 2012 Report Covering the Period: 2012 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 99717.25 January 1, 2012 (b) Cash on Hand at 119034.68 Beginning of Reporting Period..... 108883.64 18498.71 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 137533.39 208600.89 6(a) and 6(c) for Column B)..... 24070.00 95137.50 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 113463.39 113463.39 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

×

 Debts and Obligations Owed BY the Committee (Itemize all on

Schedule C and/or Schedule D) .....

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

0.00

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### LPL Financial LLC Political Action Committee (LPL PAC)

| Report Covering the Period: From: 08  | 01 Y Y Y Y Y Y T                       | o: 08 / 31 / 2012 |  |  |
|---|--|-------------------|--|--|
| I. Receipts   | I. Receipts COLUMN A Total This Period |                   |  |  |
| 11. Contributions (other than loans) From: (a) Individuals/Persons Other                          |  |                   |  |  |
| Than Political Committees  (i) Itemized (use Schedule A)  | 17238.48                               | 96504.90          |  |  |
| (ii) Unitemized(iii) TOTAL (add   | 1260.23                                | 11608.74          |  |  |
| Lines 11(a)(i) and (ii)   | 18498.71                               | 108113.64         |  |  |
| (b) Political Party Committees  | 0.00                                   | 0.00              |  |  |
| (such as PACs)(d) Total Contributions (add Lines  | 0.00                                   | 0.00              |  |  |
| 11(a)(iii), (b), and (c)) (Carry  Totals to Line 33, page 5)  12. Transfers From Affiliated/Other | 18498.71                               | 108113.64         |  |  |
| Party Committees  | 0.00                                   | 0.00              |  |  |
| 13. All Loans Received  | 0.00                                   | 0.00              |  |  |
| 14. Loan Repayments Received  | 0.00                                   | 0.00              |  |  |
| (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)  | 0.00                                   | 770.00            |  |  |
| to Federal Candidates and Other Political Committees  | 0.00                                   | 0.00              |  |  |
| (Dividends, Interest, etc.)   | 0.00                                   | 0.00              |  |  |
| (from Schedule H3)  | 0.00                                   | 0.00              |  |  |
| (b) Levin Funds (from Schedule H5)  | 0.00                                   | 0.00              |  |  |
| (c) Total Transfers (add 18(a) and 18(b))   | 0.00                                   | 0.00              |  |  |
|   |  |                   |  |  |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶                          | 18498.71                               | 108883.64         |  |  |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19)▶                                    | 18498.71                               | 108883.64         |  |  |

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

|            | II. Disbursements  | Total This Period |                       |  |
|------------|--|-------------------|-----------------------|--|
| 21.        | Operating Expenditures:  (a) Allocated Federal/Non-Federal Activity (from Schedule H4) |                   | Calendar Year-to-Date |  |
|            | (i) Federal Share  | 0.00              | 0.00                  |  |
|            | (ii) Non-Federal Share   | 0.00              | 0.00                  |  |
|            | (b) Other Federal Operating  |                   |                       |  |
|            | Expenditures   | 70.00             | 1137.50               |  |
|            | (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶                     | 70.00             | 1137.50               |  |
| 22.        | Transfers to Affiliated/Other Party  | , , , ,           | 7                     |  |
| 2          | Contributions to   | 0.00              | 0.00                  |  |
|            | Contributions to Federal Candidates/Committees and Other Political Committees          | 24000.00          | 94000.00              |  |
| 24.        | Independent Expenditures   | 0.00              | 0.00                  |  |
| 25.        | (use Schedule E)   |                   |                       |  |
|            | (2 U.S.C. §441a(d))<br>(use Schedule F)  | 0.00              | 0.00                  |  |
| 26.        | Loan Repayments Made   | 0.00              | 0.00                  |  |
|            | , ,  |                   |                       |  |
| 27.<br>28. | Loans Made<br>Refunds of Contributions To:   | 0.00              | 0.00                  |  |
|            | (a) Individuals/Persons Other Than Political Committees                                | 0.00              | 0.00                  |  |
|            |  | 7 7 7             |                       |  |
|            | (b) Political Party Committees   | 0.00              | 0.00                  |  |
|            | (c) Other Political Committees (such as PACs)  | 0.00              | 0.00                  |  |
|            | (***   |                   |                       |  |
|            | (d) Total Contribution Refunds   | 0.00              | 0.00                  |  |
|            | (add Lines 28(a), (b), and (c))▶   | 3.00              | 0.00                  |  |
| 29.        | Other Disbursements  | 0.00              | 0.00                  |  |
| 20         | Federal Election Activity (2 U.S.C. §431(20))  |                   |                       |  |
| υ.         | (a) Allocated Federal Election Activity  |                   |                       |  |
|            | (from Schedule H6)   |                   |                       |  |
|            | (i) Federal Share  | 0.00              | 0.00                  |  |
|            | (ii) "Levin" Share   | 0.00              | 0.00                  |  |
|            | (b) Federal Election Activity Paid Entirely  |                   |                       |  |
|            | With Federal Funds   | 0.00              | 0.00                  |  |
|            | (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶        | 0.00              | 0.00                  |  |
| 31.        | Total Disbursements (add Lines 21(c), 22,  |                   |                       |  |
|            | 23, 24, 25, 26, 27, 28(d), 29 and 30(c))   | 24070.00          | 95137.50              |  |
| 32.        | Total Federal Disbursements  |                   |                       |  |
|            | (subtract Line 21(a)(ii) and Line 30(a)(ii)  | 0.4070.00         | 05407.50              |  |
|            | from Line 31)  | 24070.00          | 95137.50              |  |

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures                              | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 3. Total Contributions (other than loans) (from Line 11(d), page 3)        | 18498.71                      | 108113.64                         |
| 4. Total Contribution Refunds (from Line 28(d))                            | 0.00                          | 0.00                              |
| 5. Net Contributions (other than loans) (subtract Line 34 from Line 33)    | 18498.71                      | 108113.64                         |
| 6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 70.00                         | 1137.50                           |
| 7. Offsets to Operating Expenditures (from Line 15, page 3)                | 0.00                          | 770.00                            |
| 8. Net Operating Expenditures (subtract Line 37 from Line 36)              | 70.00                         | 367.50                            |

|  | FOR LINE N    | JMBER: | PAGE | - 6 |
|--|---------------|--------|------|-----|
| Use separate schedule(s)                       | (check only o | ne)    |      |     |
| for each category of the Detailed Summary Page | X 11a         | 11b    | 11c  | 12  |
|  | 12            | 1/     | 15   | 14  |

OF

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| or for commercial purposes, other than using                    | ng the name and address of any political committee |                                       |
|---|--|---------------------------------------|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political A      | Action Committee (LPL PAC)                         |                                       |
| Full Name (Last, First, Middle Initial)  A. NABIL S. ABDELHAMID |  | Date of Receipt                       |
| Mailing Address 510 INVERRARY CT.                               |  | 08 28 2012                            |
| City  | State Zip Code                                     | Transaction ID : AD1FFED93556D470FA8  |
| Eureka  | MO 63025-2076                                      | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.      | C  | 41.66                                 |
| Name of Employer  | Occupation   | Payroll Deduction: \$20.83/Bi-Weekly  |
| Lpl Financial Llc   | Financial Advisor                                  |                                       |
| Receipt For:  | Aggregate Year-to-Date ▼                           |                                       |
| Primary General  Other (specify) ▼                              | 333.28   |                                       |
| Full Name (Last, First, Middle Initial)  3. JOHN M ABOOD        | <u>'</u>   | Date of Receipt                       |
| Mailing Address 3334 COACHMAN RD                                |  | 08 28 _2012 _                         |
| City  | State Zip Code                                     | Transaction ID : A16D76E1360A14159B5D |
| Wilmington  | DE 19803-1942                                      | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.      | C  | 41.66                                 |
| Name of Employer  | Occupation   | Payroll Deduction: \$20.83/Bi-Weekly  |
| Lpl Financial Llc   | Financial Advisor                                  |                                       |
| Receipt For:  Primary  General                                  | Aggregate Year-to-Date ▼                           |                                       |
| Other (specify) ▼   | 333.28   |                                       |
| Full Name (Last, First, Middle Initial)                         |  | Date of Receipt                       |
| Mailing Address 113 FRONT STREET                                |  | 08 28 2012                            |
| City  | State Zip Code                                     | Transaction ID : A09D83C9C3D9E4063A7  |
| Owego   | NY 13827-1518                                      | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.      | C  | 41.66                                 |
| Name of Employer  | Occupation   | Payroll Deduction: \$20.83/Bi-Weekly  |
| Lpl Financial Llc   | Financial Advisor                                  |                                       |
| Receipt For:  | Aggregate Year-to-Date ▼                           |                                       |
| Primary General Other (specify) ▼                               | 333.28   |                                       |
| SUBTOTAL of Receipts This Page (option                          | al)  | 124.98                                |
|   | · · · · · · · · · · · · · · · · · · ·              |                                       |
| TOTAL This Period (last page this line nur                      | mper only)   |                                       |

FOR LINE NUMBER: **PAGE** 7 Use separate schedule(s) (check only one) X 11a 11b 11c

OF

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) Diane Anderson Date of Receipt Mailing Address 1913 Clark Elliot St 2012 City State Zip Code Transaction ID: A2E8B8B22BC5441AAB02 NC Charlotte 28217 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Payroll Deduction: \$25.00/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Trader, Fixed Income Receipt For: Aggregate Year-to-Date ▼ Primary General 275.00 Other (specify) Full Name (Last, First, Middle Initial) B. Steven Ayala Date of Receipt Mailing Address 5014 Maynard St 08 17 2012 City State Zip Code Transaction ID: AB565C0F1365B4A42B96 CA San Diego 92122-3934 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Payroll Deduction: \$50.00/Bi-Weekly Name of Employer Occupation Lpl Financial Llc SVP, Account Systems Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) Full Name (Last, First, Middle Initial) c. JAMES EDWARD BASHAW Date of Receipt Mailing Address 5908 RIVERVIEW WAY 80 28 2012 City Zip Code State Transaction ID: A9F6DF5FD94B04F5B875 TX Houston 77057-1434 Amount of Each Receipt this Period FEC ID number of contributing 416.66 С federal political committee. Payroll Deduction: \$208.33/Bi-Weekly Name of Employer Occupation Financial Advisor Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 2083.30 Other (specify) 566.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

Use separate schedule(s) for each category of the Detailed Summary Page

| FOR LINE NUMBER: |     |  |     | PAGE |     | 8 | OF | 35 |    |
|------------------|-----|--|-----|------|-----|---|----|----|----|
| (check only one) |     |  |     |      |     |   |    |    |    |
| ×                | 11a |  | 11b |      | 11c |   | 12 |    |    |
|                  | 13  |  | 14  |      | 15  |   | 16 |    | 17 |

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| or for commercial purposes, other than using t  | he name and address of any political committee to   | o solicit contributions from such committee.  |
|---|---|---|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Ac   | etion Committee (LPL PAC)   |   |
| Full Name (Last, First, Middle Initial)  HARVEY STUART BERK  Mailing Address 9 CONRAD LANE  |   | Date of Receipt   |
| City<br>New City  | State Zip Code<br>NY 10956-6851   | 08 28 2012<br>Transaction ID : AA427FF52EE904ECDB38   |
| FEC ID number of contributing federal political committee.  | C 10956-6651  | Amount of Each Receipt this Period 166.66   |
| Name of Employer  Lpl Financial Llc  Receipt For:  Primary General  Other (specify) ▼   | Occupation manager  Aggregate Year-to-Date ▼  | - Payroll Deduction: \$83.33/Bi-Weekly  |
| Full Name (Last, First, Middle Initial)  PAUL WILLIAM BOTTE  Mailing Address 1691 BURRIS DRIVE  City El Cajon  FEC ID number of contributing federal political committee.  Name of Employer Lpl Financial Llc  Receipt For: Primary General Other (specify)     | State Zip Code CA 92019-3596  C  Occupation Financial Advisor  Aggregate Year-to-Date ▼  333.28 | Date of Receipt  08 28 2012  Transaction ID : A154DD38633B8416C9A5  Amount of Each Receipt this Period  41.66  Payroll Deduction: \$20.83/Bi-Weekly |
| Full Name (Last, First, Middle Initial)  Bethany Bryant  Mailing Address 10172 Bissell Dr  City Twinsburg  FEC ID number of contributing federal political committee.  Name of Employer The Private Trust Company  Receipt For: Primary General Other (specify) | State Zip Code OH 44087-2906  C  Occupation President  Aggregate Year-to-Date ▼  700.00         | Date of Receipt  08  03  2012  Transaction ID : A1CE8ED0B2C8E4533860  Amount of Each Receipt this Period  400.00                                    |
| SUBTOTAL of Receipts This Page (optional).  | <b></b>   | 608.32  |
| TOTAL This Period (last page this line number   | er only)  |   |

Use separate schedule(s) for each category of the Detailed Summary Page

| ı | FOR LINE NUMBER: |   |     | PAGE |     | 9 | OF | 35 |    |
|---|------------------|---|-----|------|-----|---|----|----|----|
| ı | (check only one) |   |     |      |     |   |    |    |    |
|   | <b>X</b> 11a     | 1 | l1b |      | 11c |   | 12 |    |    |
|   | 13               | 1 | 4   |      | 15  |   | 16 |    | 17 |

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| or for commercial purposes, other than using  | the name and address of any political committee t   | o solicit contributions from such committee.  |
|---|---|---|
| NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac  | ction Committee (LPL PAC)   |   |
| Full Name (Last, First, Middle Initial)  KERRICK WALTER BUBB  Mailing Address 650 SOUTH LA SALLE ST  City  Redlands  FEC ID number of contributing federal political committee.  Name of Employer  LPL Financial LLC  Receipt For:  Primary  General  Other (specify) | REET  State Zip Code CA 92374-6443  C  Occupation Financial Advisor  Aggregate Year-to-Date ▼  1249.98      | Date of Receipt    M  |
| Full Name (Last, First, Middle Initial)  Jeffrey Buchheister  Mailing Address 2367 Juan St  City San Diego  FEC ID number of contributing federal political committee.  Name of Employer LPL Financial LLC  Receipt For:  Primary General Other (specify)             | State Zip Code CA 92103-1110  C  Occupation SVP, Reg Cntrls & Commissions  Aggregate Year-to-Date ▼  275.00 | Date of Receipt  08 17 2012  Transaction ID : AE696B0D7F6824E04B5E  Amount of Each Receipt this Period  50.00  Payroll Deduction: \$25.00/Bi-Weekly |
| Full Name (Last, First, Middle Initial)  STEVEN ANDREW BUDIN  Mailing Address 25 MORNINGLOW PASS  City Henderson  FEC ID number of contributing federal political committee.  Name of Employer Lpl Financial Llc  Receipt For:  Primary General Other (specify)       | State Zip Code NV 89052-6683  C  Occupation Financial Advisor  Aggregate Year-to-Date ▼  1333.28            | Date of Receipt    M  |
| SUBTOTAL of Receipts This Page (optional)   | <u> </u>  | 633.32  |
| TOTAL This Period (last page this line numb   | er only)  |   |

|  | FOF  | R LINE  | NU | IMBER | : | PAGE | • | 10 O | F | 35 |
|--|------|---------|----|-------|---|------|---|------|---|----|
| Use separate schedule(s)                       | (che | ck only | or | ne)   |   |      |   |      |   |    |
| for each category of the Detailed Summary Page | X    | 11a     |    | 11b   |   | 11c  |   | 12   |   |    |
|  |      | 13      |    | 14    |   | 15   |   | 16   |   | ٦1 |

| Any information copied from such Reports and S<br>or for commercial purposes, other than using the | statements may not be sold or used by any personal parties to the same and address of any political committee to |                                       |
|--|--|---------------------------------------|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Action                                    | on Committee (LPL PAC)   |                                       |
| Full Name (Last, First, Middle Initial)  A. R. NEIL BURNS  |  | Date of Receipt                       |
| Mailing Address 24151 CASCADES   |  | 08 28 2012                            |
| City   | State Zip Code   | Transaction ID : A625F7E1DA9D842CE98B |
| Laguna Niguel  | CA 92677-2418  | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.   | C  | 83.32                                 |
| Name of Employer   | Occupation   | Payroll Deduction: \$41.66/Bi-Weekly  |
| Lpl Financial Llc  | Financial Advisor  |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼   |                                       |
| Primary General  | 00 0   |                                       |
| Other (specify) ▼  | 573.42   |                                       |
| Full Name (Last, First, Middle Initial)  R. CRAIG BYRD   |  | Date of Receipt                       |
| Mailing Address 1723 SE 270TH PLACE  |  | 08 28 2012                            |
| City   | State Zip Code   | Transaction ID : ACB18C4C17A3748F9AE7 |
| Camas  | WA 98607-8585  | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.   | С  | 416.66                                |
| Name of Employer   | Occupation   | Payroll Deduction: \$208.33/Bi-Weekly |
| Lpl Financial Llc  | ·  | •                                     |
| Receipt For:   | Financial Advisor  |                                       |
| Primary General  Other (specify) ▼   | Aggregate Year-to-Date ▼  3333.28  |                                       |
|  | 3330,20  |                                       |
| Full Name (Last, First, Middle Initial)  KELLY PETER CAMPBELL                                      |  | Date of Receipt                       |
| Mailing Address 11727 RIVER DRIVE  |  | 08 28 2012                            |
| City   | State Zip Code   | Transaction ID : A91E0800D49524590AEC |
| Lorton   | VA 22079-4104  | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.   | C  | 416.66                                |
| Name of Employer   | Occupation   | Payroll Deduction: \$208.33/Bi-Weekly |
| Lpl Financial Llc  | Principal  |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼   |                                       |
| Primary General  |  |                                       |
| Other (specify) ▼  | 3333.28  |                                       |
| SUBTOTAL of Receipts This Page (optional)  |  | 916.64                                |
| TOTAL This Period (last page this line number  | only)  |                                       |

|   | l .  |   |                |    | MBER       | : | PAGE | _ ′ | 11 | OF | ; | 35 |
|---|------|---|----------------|----|------------|---|------|-----|----|----|---|----|
| Use separate schedule(s) for each category of the Detailed Summary Page | l `. | 1 | ck only<br>11a | or | ne)<br>11b |   | 11c  |     | 12 |    |   |    |
|   |      |   | 13             |    | 14         |   | 15   |     | 16 | :  |   | 17 |

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| Full Name (Last, First, Middle Initial) William R. Chetney  Mailing Address 30671 Steeple Chase |                                       | Date of Receipt                                  |
|---|---------------------------------------|--|
|   |                                       | 08 17 2012                                       |
| City<br>San Diego   | State Zip Code<br>CA 92121            | Transaction ID : AEEEC3BE4E81C4330B7             |
| San Diego   | 92121                                 | Amount of Each Receipt this Period               |
| FEC ID number of contributing federal political committee.                                      | C                                     | 200.00   |
| Name of Employer  | Occupation                            | Payroll Deduction: \$100.00/Bi-Weekly            |
| Lpl Financial Llc   | EVP, Retirement Partners              | _  |
| Receipt For:  | Aggregate Year-to-Date ▼              |  |
| Primary General  Other (specify) ▼  | 1600.00                               |  |
| Full Name (Last, First, Middle Initial)  Crystal Clifford                                       | · · · · · · · · · · · · · · · · · · · | Date of Receipt                                  |
| Mailing Address 19562 Hidden Glen Roa   | ad                                    | 08 17 _ 2012 _                                   |
| City  | State Zip Code                        | Transaction ID : AD34FEBD3F6CD4783BF             |
| San Diego   | CA 92121                              | Amount of Each Receipt this Period               |
| FEC ID number of contributing federal political committee.                                      | C                                     | 62.50  |
| Name of Employer  | Occupation                            | Payroll Deduction: \$31.25/Bi-Weekly             |
| Lpl Financial Llc   | SVP, Broker Dealer Supt Svcs          |  |
| Receipt For:  | Aggregate Year-to-Date ▼              |  |
| Primary General Other (specify) ▼   | 406.25                                |  |
| Full Name (Last, First, Middle Initial)  DAVID STAPLEY DIXON                                    | <u> </u>                              | Date of Receipt                                  |
| Mailing Address 1419 DRAKEWOOD  |                                       | 08 28 2012                                       |
| City  | State Zip Code                        | U8 28 2012 Transaction ID : AE0EF58902C684E24810 |
| North Las Vegas   | NV 89031-1694                         | Amount of Each Receipt this Period               |
| FEC ID number of contributing federal political committee.                                      | C                                     | 83.32  |
| Name of Employer  | Occupation                            | Payroll Deduction: \$41.66/Bi-Weekly             |
| Lpl Financial Llc   | Financial Advisor                     |  |
| Receipt For:  | Aggregate Year-to-Date ▼              | 1  |
| Primary General   | 00 0                                  |  |
| Other (specify) ▼   | 666.56                                |  |

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| for each category of the Detailed Summary Page | X     | 11a     |    | 11b  |   | 11c  |     | 12   |
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

| or for commercial purposes, other than using  | the name and address of any political committee t                           | o solicit contributions from such committee.                       |
|---|---|--|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political A  | action Committee (LPL PAC)  |  |
| Full Name (Last, First, Middle Initial)  RICHARD CALVERT DONAHUE  Mailing Address 2407 Princeton Ct #11               |   | Date of Receipt  |
| City<br>Bellingham  | State Zip Code<br>WA 98229-8840   | 08 28 2012<br>Transaction ID : A2D88478C5A684C1DBB0                |
| FEC ID number of contributing federal political committee.  | C   | Amount of Each Receipt this Period  208.32                         |
| Name of Employer  Lpl Financial Llc  Receipt For:  Primary  General   | Occupation manager  Aggregate Year-to-Date ▼                                | Payroll Deduction: \$104.16/Bi-Weekly                              |
| Other (specify) ▼  Full Name (Last, First, Middle Initial)  3. Christine Dwyer  | 1666.56   | Date of Receipt  |
| Mailing Address 9 Gina Way  City  | State Zip Code  | Date of Receipt  08 27 2012  Transaction ID : AFFFC79427B6B4CCE845 |
| FEC ID number of contributing federal political committee.  | MA 01921-2732   | Amount of Each Receipt this Period  2000.00                        |
| Name of Employer  None  Receipt For:  Primary General  Other (specify) ▼  | Occupation Spouse  Aggregate Year-to-Date ▼  2000.00                        |  |
| Full Name (Last, First, Middle Initial)  William Dwyer III  Mailing Address 75 State Street  24th Floor  City  Boston | State Zip Code MA 02109-1827  | Date of Receipt    M   |
| FEC ID number of contributing federal political committee.  | C   | Amount of Each Receipt this Period  384.62                         |
| Name of Employer  Lpl Financial Llc  Receipt For:  Primary General  Other (specify) ▼                                 | Occupation MD, President Natl Sales Mktg  Aggregate Year-to-Date ▼  1538.48 | Payroll Deduction: \$192.31/Bi-Weekly                              |
| SUBTOTAL of Receipts This Page (optional  | l)  | 2592.94  |
| TOTAL This Period (last page this line num  | ber only)   |  |

FOR LINE NUMBER: PAGE 13 OF 35 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) William Dwyer III Date of Receipt Mailing Address 75 State Street 24th Floor 2012 31 City Zip Code State Transaction ID: AA47DBE7DD252447AAA7 **Boston** MA 02109-1827 Amount of Each Receipt this Period FEC ID number of contributing 128.24 federal political committee. Payroll Deduction: \$128.24/Bi-Weekly Name of Employer Occupation MD, President Natl Sales Mktg Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.72 Other (specify) Full Name (Last, First, Middle Initial) **B.** ROBERT L ERRICO Date of Receipt Mailing Address 10 VILLENEUVE 08 28 2012 City State Zip Code Transaction ID: A45AA1012F96A4C9A931 CA **Newport Coast** 92657-1023 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Payroll Deduction: \$125.00/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name (Last, First, Middle Initial) c. RICHARD NORTHRUP FISHER JR. Date of Receipt Mailing Address 7003 WINDSOR WAY 80 28 2012 City State Zip Code Transaction ID: AAE582B9537F6457D8B8 NC Elon 27244-9404 Amount of Each Receipt this Period FEC ID number of contributing 83.32 С federal political committee. Payroll Deduction: \$41.66/Bi-Weekly Name of Employer Occupation Financial Advisor Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 666.56 Other (specify) 461.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| Any information copied from such Reports and S<br>or for commercial purposes, other than using the |  |   |
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| NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action                                     | on Committee (LPL PAC)   |   |
| Full Name (Last, First, Middle Initial)  Fay Fisher  Mailing Address 867 Homestake Court           |  | Date of Receipt   |
| City   | State Zip Code   | 08 17 2012 Transaction ID : A24976201CDFF4950943                          |
| San Diego  | CA 92121-1968  | Amount of Each Receipt this Period  |
| FEC ID number of contributing federal political committee.   | C  | 150.00  |
| Name of Employer  Lpl Financial Llc  Receipt For:  | Occupation EVP, Technology Risk Mgmt  Aggregate Year-to-Date ▼ | Payroll Deduction: \$75.00/Bi-Weekly                                      |
| Primary General Other (specify) ▼  | 1200.00  |   |
| Full Name (Last, First, Middle Initial)  3. ROBERT IVANO FRAGASSO                                  |  | Date of Receipt   |
| Mailing Address 611 KEITH ROAD   |  | 08 28 2012  |
| City Bethel Park   | Transaction ID : A04185A10440E4AD5A75                          |   |
| FEC ID number of contributing federal political committee.   | PA 15102-3741  | Amount of Each Receipt this Period  250.00                                |
| Name of Employer<br>LPL Financial LLC  | Occupation Financial Advisor                                   | Payroll Deduction: \$125.00/Bi-Weekly                                     |
| Receipt For:  Primary General  Other (specify) ▼   | Aggregate Year-to-Date ▼ 750.00                                |   |
| Full Name (Last, First, Middle Initial)  MARC STUART FREEDMAN                                      |  | Date of Receipt   |
| Mailing Address 119 KARA DRIVE   |  | 08 28 2012  |
| City<br>North Andover  | State Zip Code<br>MA 01845-4834                                | Transaction ID : A6452E2D354BA4323993  Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee.   | C  | 333.32  |
| Name of Employer   | Occupation   | Payroll Deduction: \$166.66/Bi-Weekly                                     |
| Lpl Financial Llc Receipt For:   | Financial Advisor  |   |
| Primary General Other (specify) ▼  | Aggregate Year-to-Date ▼  2666.56                              |   |
| SUBTOTAL of Receipts This Page (optional)  |  | 733.32  |
| TOTAL This Period (last page this line number  | only)  |   |

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| for each category of the<br>Detailed Summary Page | X 11a       | 11b     | 11c  | 12      |     |
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| or for commercial purposes, other than using the   |  |   |
|--|--|---|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Action                          | on Committee (LPL PAC)                                 |   |
| Full Name (Last, First, Middle Initial)  Joy Goble  Mailing Address 3217 Selwyn Farms Ln | Date of Receipt  |   |
| City<br>Charlotte  | State Zip Code<br>NC 28209-4009                        | 08 17 2012  Transaction ID : AC5EEEB9228B547F8877  Amount of Each Receipt this Period |
| FEC ID number of contributing federal political committee.                               | C  | 70.00   |
| Name of Employer  Lpl Financial Llc  | Occupation SVP, Banking Svcs                           | Payroll Deduction: \$35.00/Bi-Weekly  |
| Receipt For:  Primary General  Other (specify) ▼   | Aggregate Year-to-Date ▼  560.00                       |   |
| Full Name (Last, First, Middle Initial)  B. EDWARD JAMES HARRIS                          |  | Date of Receipt   |
| Mailing Address 60 PARK TERRACE  City  | State Zip Code   | 08 28 2012<br>Transaction ID : A380121BDF0F64EE5994                                   |
| Washington FEC ID number of contributing federal political committee.                    | PA 15301-3055  | Amount of Each Receipt this Period  83.32   |
| Name of Employer Lpl Financial Llc   | Occupation Financial Advisor                           | Payroll Deduction: \$41.66/Bi-Weekly  |
| Receipt For:  Primary General  Other (specify) ▼   | Aggregate Year-to-Date ▼ 666.56                        |   |
| Full Name (Last, First, Middle Initial)  MICHAEL PATRICK HATCH                           |  | Date of Receipt   |
| Mailing Address 3618 SAN PASQUAL ST.   |  | 08 10 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y   |
| City<br>Pasadena   | State         Zip Code           CA         91107-5419 | Transaction ID : A472C4345D80340B7856  Amount of Each Receipt this Period             |
| FEC ID number of contributing federal political committee.                               | C  | 83.33   |
| Name of Employer<br>Lpl Financial Llc  | Occupation Financial Advisor                           | Payroll Deduction: \$83.33/Bi-Weekly  |
| Receipt For:  Primary General  Other (specify) ▼   | Aggregate Year-to-Date ▼ 666.64                        |   |
| SUBTOTAL of Receipts This Page (optional)  | <b>&gt;</b>  | 236.65  |
| TOTAL This Period (last page this line number of   | only)  |   |

FOR LINE NUMBER: PAGE 16 OF 35 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) **Bradford Hearn** Date of Receipt Mailing Address 7293 Calle Conifera 2012 City State Zip Code Transaction ID: AC30F34BA61B04F16844 CA San Diego 92121 Amount of Each Receipt this Period FEC ID number of contributing 84.00 federal political committee. Payroll Deduction: \$42.00/Bi-Weekly Name of Employer Occupation EVP, Broker Dealer Supt Svcs Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 672.00 Other (specify) Full Name (Last, First, Middle Initial) **B. RICHARD HEARN** Date of Receipt Mailing Address 130 Newport Center Dr. 08 24 2012 City State Zip Code Transaction ID: AD7B9DD656FFE49C5AC9 CA 92660-6922 Newport Beach Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Name of Employer Occupation LPL Financial LLC Broker Receipt For: Aggregate Year-to-Date ▼ Primary General 3000.00 Other (specify) Full Name (Last, First, Middle Initial) c. DAVID GEORGE HERBST Date of Receipt Mailing Address 925 BURGESS HILL RD 2012 28 City State Zip Code Transaction ID: A0A435F7FA51446C3A40 IL Naperville 60565-6106 Amount of Each Receipt this Period FEC ID number of contributing 333.32 С federal political committee. Payroll Deduction: \$166.66/Bi-Weekly Name of Employer Occupation Financial Advisor Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 2666.56 Other (specify) 1417.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 35 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) Brad Jacobs Date of Receipt Mailing Address 5 Beacham Place 2012 City Zip Code State Transaction ID: AAD85C1E89C304DC8A24 Hingham MA 02108 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Payroll Deduction: \$25.00/Bi-Weekly Name of Employer Occupation SVP, Associate Counsel Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Kalbaugh Date of Receipt Mailing Address 6713 Seton House Ln 08 17 2012 City State Zip Code Transaction ID: AD665C74E340C495E8CA NC Charlotte 28277-4519 Amount of Each Receipt this Period FEC ID number of contributing 192.32 federal political committee. Payroll Deduction: \$96.16/Bi-Weekly Name of Employer Occupation LPL Financial LLC MD, IS Receipt For: Aggregate Year-to-Date ▼ Primary General 288.48 Other (specify) Full Name (Last, First, Middle Initial) c. John Kalbaugh Date of Receipt Mailing Address 6713 Seton House Ln 80 31 2012 City State Zip Code Transaction ID: A8C25224458EE4F6B82A NC Charlotte 28277-4519 Amount of Each Receipt this Period FEC ID number of contributing 24.03 С federal political committee. Payroll Deduction: \$24.03/Bi-Weekly Name of Employer Occupation MD, IS LPL Financial LLC Receipt For: Aggregate Year-to-Date ▼ Primary General 312.51 Other (specify) 266.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| for each category of the Detailed Summary Page | X    | 11a     |       | 11b  |   | 11c  |     | 12   |   |
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| or for commercial purposes, other than using  | the name and address of any political committee t   | o solicit contributions from such committee.   |
|---|---|--|
| NAME OF COMMITTEE (In Full) LPL Financial LLC Political A   | ction Committee (LPL PAC)   |  |
| Full Name (Last, First, Middle Initial)  A. DAVID BLANDIN LA POINTE  Mailing Address 37919 LUNA DRIVE  City Temecula  FEC ID number of contributing   | State Zip Code<br>CA 92592-9053   | Date of Receipt  08 28 2012  Transaction ID : A4CAD0CD23F794BF684A  Amount of Each Receipt this Period   |
| federal political committee.  Name of Employer  Lpl Financial Llc  Receipt For:  Primary General  Other (specify)   | Occupation Financial Advisor  Aggregate Year-to-Date ▼  3333.28   | 416.66 Payroll Deduction: \$208.33/Bi-Weekly   |
| Full Name (Last, First, Middle Initial)  Stephen Lank  Mailing Address 15936 Glen Miro Drive  City Charlotte  FEC ID number of contributing federal political committee.  Name of Employer Lpl Financial Llc  Receipt For:  Primary General Other (specify) | State Zip Code NC 28217  C  Occupation SVP, Operations  Aggregate Year-to-Date ▼                              | Date of Receipt  08 17 2012  Transaction ID : A80D28D9619514A7F825  Amount of Each Receipt this Period  100.00  Payroll Deduction: \$50.00/Bi-Weekly |
| Full Name (Last, First, Middle Initial)  Sallie Larsen  Mailing Address 7300 Hooking Road  City Mc Lean  FEC ID number of contributing federal political committee.  Name of Employer  LPL Financial  Receipt For: Primary General Other (specify)          | State Zip Code VA 22101-2718  C  Occupation MD, Chief Human Capital Officer  Aggregate Year-to-Date ▼  500.00 | Date of Receipt  08 15 2012  Transaction ID : ADEC6AF83C3754638AEF  Amount of Each Receipt this Period  500.00                                       |
| SUBTOTAL of Receipts This Page (optional  | )   | 1016.66  |
| TOTAL This Period (last page this line num  | ber only)   |  |

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) WILLIAM LEE LITTON Date of Receipt Mailing Address 10309 AVENIDA MAGNIFICA 2012 City Zip Code State Transaction ID: AAEF431A25D184994817 CA San Diego 92131-1402 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Payroll Deduction: \$25.00/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Tom Lux Date of Receipt Mailing Address 1309 Caminito Batea 08 17 2012 City State Zip Code Transaction ID: A261CD6B3F2724F87BE6 CA San Diego 92121 Amount of Each Receipt this Period FEC ID number of contributing 192.32 federal political committee. Payroll Deduction: \$96.16/Bi-Weekly Name of Employer Occupation LPL Financial LLC EVP, Chief Accounting Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 576.96 Other (specify) Full Name (Last, First, Middle Initial) **c.** Tom Lux Date of Receipt Mailing Address 1309 Caminito Batea 80 31 2012 City State Zip Code Transaction ID: A1776A700FAE449C690E CA San Diego 92121 Amount of Each Receipt this Period FEC ID number of contributing 48.06 С federal political committee. Payroll Deduction: \$48.06/Bi-Weekly Name of Employer Occupation LPL Financial LLC EVP, Chief Accounting Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 625.02 Other (specify) 290.38 SUBTOTAL of Receipts This Page (optional).....

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TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) LAILA SOBHI MARSHALL-PENCE Date of Receipt Mailing Address 10572 VILLA DEL CERRO 2012 City Zip Code State Transaction ID: AFBBA0939F6634324814 CA Santa Ana 92705-1486 Amount of Each Receipt this Period FEC ID number of contributing 416.66 federal political committee. Payroll Deduction: \$208.33/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Wealth Management Receipt For: Aggregate Year-to-Date ▼ Primary General 3333.28 Other (specify) Full Name (Last, First, Middle Initial) **B.** THEODORE ROCCO MASSARO Date of Receipt Mailing Address 36 HARVEST LANE 08 28 2012 City State Zip Code Transaction ID: A2B2833C4E2194FCD94F Medford NJ 08055-8450 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Payroll Deduction: \$125.00/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 2000.00 Other (specify) Full Name (Last, First, Middle Initial) c. ROBERT ARTHUR MATSON Date of Receipt Mailing Address 333 ORCHARD STREET 80 28 2012 City Zip Code State Transaction ID: A0287E31770FB4030A3C MA Millis 02054-1025 Amount of Each Receipt this Period FEC ID number of contributing 83.32 С federal political committee. Payroll Deduction: \$41.66/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 666.56 Other (specify) 749.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER: PAGE 21 OF 35 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) DAVID JOHN MC MENAMIN Date of Receipt Mailing Address 5100 W. RHYOLITE LOOP 10 2012 City Zip Code State Transaction ID: A53A053811FEE40B2A9B Tucson ΑZ 85745-8967 Amount of Each Receipt this Period FEC ID number of contributing 83.33 federal political committee. Payroll Deduction: \$83.33/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1083.29 Other (specify) Full Name (Last, First, Middle Initial) B. SHARI DIANE MILLER Date of Receipt Mailing Address 4250 SHOREPOINTE WAY 08 28 2012 City State Zip Code Transaction ID: A2688BFE9D1CF46D8987 CA San Diego 92130-8634 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Payroll Deduction: \$41.66/Bi-Weekly Name of Employer Occupation Lpl Financial Llc manager Receipt For: Aggregate Year-to-Date ▼ Primary General 624.90 Other (specify) Full Name (Last, First, Middle Initial) c. Robert Moore Date of Receipt Mailing Address PO Box 2764 80 17 2012 City Zip Code State Transaction ID: A999CBDD1E5A84EA5BAA CA San Diego 92121 Amount of Each Receipt this Period FEC ID number of contributing 384.62 С federal political committee. Payroll Deduction: \$192.31/Bi-Weekly Name of Employer Occupation Chief Financial Officer Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 1730.79 Other (specify) 551.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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| Any information copied from such Reports and or for commercial purposes, other than using | d Statements may not be sold or used by any pers<br>the name and address of any political committee t | son for the purpose of soliciting contributions o solicit contributions from such committee. |
|---|---|--|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Ac                               | ction Committee (LPL PAC)   |  |
| Full Name (Last, First, Middle Initial)  A. Robert Moore  Mailing Address PO Box 2764     |   | Date of Receipt  |
|   |   | 08 31 2012   |
| City  | State Zip Code  | Transaction ID : ABB600BB857694345AD2  |
| San Diego   | CA 92121  | Amount of Each Receipt this Period   |
| FEC ID number of contributing federal political committee.                                | C   | 144.18   |
| Name of Employer  | Occupation  | Payroll Deduction: \$144.18/Bi-Weekly  |
| Lpl Financial Llc   | Chief Financial Officer   |  |
| Receipt For: Primary General  | Aggregate Year-to-Date ▼  |  |
| Other (specify) ▼   | 1874.97   |  |
| Full Name (Last, First, Middle Initial)  CARLO ANTHONY PANACCION                          | <u> </u>  | Date of Receipt  |
| Mailing Address 12760 DIANNE DRIVE  |   | 08 28 2012   |
| City  | State Zip Code  | Transaction ID : A65B1D968561343B0A4A  |
| Los Altos Hills   | CA 94022-4606   | Amount of Each Receipt this Period   |
| FEC ID number of contributing federal political committee.                                | C   | 333.32   |
| Name of Employer  | Occupation  | Payroll Deduction: \$166.66/Bi-Weekly  |
| Lpl Financial Llc   | Financial Advisor   |  |
| Receipt For:  | Aggregate Year-to-Date ▼  |  |
| Primary General  Other (specify) ▼  | 2333.24   |  |
| Full Name (Last, First, Middle Initial)  Barbara Peck                                     |   | Date of Receipt  |
| Mailing Address One Wellington St #2  |   | 08 14 2012   |
| City  | State Zip Code  | Transaction ID : A5B11B4136ADD4604A4I  |
| Boston  | MA 02118-3005   | Amount of Each Receipt this Period   |
| FEC ID number of contributing federal political committee.                                | C   | 350.00   |
| Name of Employer  | Occupation  | 1  |
| LPL Financial LLC   | VP, Business Dev Officer  |  |
| Receipt For:  | Aggregate Year-to-Date ▼  |  |
| Primary General  Other (specify) ▼  | 350.00  |  |
| SUBTOTAL of Receipts This Page (optional).  | <b>•</b>  | 827.50   |
| TOTAL This Period (last page this line number   | er only)  |  |

FOR LINE NUMBER: PAGE 23 OF 35 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) VICKIE LINN PETIX Date of Receipt Mailing Address 2529 CASTELLON TERRACE 2012 City State Zip Code Transaction ID: A67E1671A48324B42BF8 CA El Cajon 92019-3859 Amount of Each Receipt this Period FEC ID number of contributing 41.66 federal political committee. Payroll Deduction: \$20.83/Bi-Weekly Name of Employer Occupation Financial Advisor Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 333.28 Other (specify) Full Name (Last, First, Middle Initial) B. David Reich Date of Receipt Mailing Address 14397 Caminito Lazanja 08 17 2012 City State Zip Code Transaction ID: A981179064CF74A0A876 CA San Diego 92127-3635 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Payroll Deduction: \$96.15/Bi-Weekly Name of Employer Occupation Lpl Financial Llc EVP, Retirement Platform Receipt For: Aggregate Year-to-Date ▼ Primary General 769.20 Other (specify) Full Name (Last, First, Middle Initial) c. David Reich Date of Receipt Mailing Address 14397 Caminito Lazanja 80 31 2012 City State Zip Code Transaction ID: A3A73875E6F0846E88BF CA San Diego 92127-3635 Amount of Each Receipt this Period FEC ID number of contributing 64.16 С federal political committee. Payroll Deduction: \$64.16/Bi-Weekly Name of Employer Occupation EVP, Retirement Platform Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 833.36 Other (specify) 298.12 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

| lles serents selectivis(s)                        | TOTAL MONIBERS |         |    |     |   |     |  |     |  |  | 35 |
|---|----------------|---------|----|-----|---|-----|--|-----|--|--|----|
| Use separate schedule(s) for each category of the | (che           | ck only | or | ne) | _ |     |  | ii. |  |  |    |
| Detailed Summary Page                             | <u> </u> ×     | 11a     |    | 11b |   | 11c |  | 12  |  |  |    |
|   |                | 13      |    | 14  |   | 15  |  | 16  |  |  | 17 |

|          | for commercial purposes, other than using the                 | Statements may not be sold or used by any perse name and address of any political committee to |   |
|----------|---|--|---|
|          | NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Acti | on Committee (LPL PAC)   |   |
| Α.       | Full Name (Last, First, Middle Initial)  James Riela          |  | Date of Receipt   |
|          | Mailing Address 1005 Brightwood Dr                            |  | 08 17 2012  |
|          | City  | State Zip Code   | Transaction ID : AC3D3C7208C104EA48E9                                     |
|          | San Diego   | CA 92121   | Amount of Each Receipt this Period  |
|          | FEC ID number of contributing federal political committee.    | C  | 100.00  |
|          | Name of Employer  | Occupation   | Payroll Deduction: \$50.00/Bi-Weekly                                      |
|          | Lpl Financial Llc   | SVP, Conference Services   |   |
|          | Receipt For:  Primary General  Other (specify)                | Aggregate Year-to-Date ▼  800.00   |   |
| В.       | Full Name (Last, First, Middle Initial) CAROL L. ROGERS       |  | Date of Receipt   |
|          | Mailing Address 1306 CONWAY OAKS DR.                          |  | 08 28 _2012 _   |
|          | City  | State Zip Code   | Transaction ID : AD238D1716BC44A74834                                     |
|          | Chesterfield  | MO 63017-1958  | Amount of Each Receipt this Period  |
|          | FEC ID number of contributing federal political committee.    | С  | 83.32   |
|          | Name of Employer<br>Lpl Financial Llc                         | Occupation Financial Advisor   | Payroll Deduction: \$41.66/Bi-Weekly                                      |
|          | Receipt For:  Primary General  Other (specify) ▼              | Aggregate Year-to-Date ▼ 666.56  |   |
| <u> </u> | Full Name (Last, First, Middle Initial) KARL HORACE ROMERO    |  | Date of Receipt   |
|          | Mailing Address 6 De Soto                                     |  | 08 28 _2012 _   |
|          | City<br>Trabuco Canyon  | State Zip Code<br>CA 92679-3600  | Transaction ID : A78B1B49F842A424D881  Amount of Each Receipt this Period |
|          | FEC ID number of contributing federal political committee.    | C  | 166.66  |
|          | Name of Employer  | Occupation   | Payroll Deduction: \$83.33/Bi-Weekly                                      |
|          | Lpl Financial Llc   | Principal  |   |
|          | Receipt For:  | Aggregate Year-to-Date ▼   |   |
|          | Primary General Other (specify) ▼                             | 1333.28  |   |
| s        | UBTOTAL of Receipts This Page (optional)                      | <b>•</b>   | 349.98  |
| т        | OTAL This Period (last page this line number                  | only)  |   |

|  | FOF  | R LINE  | NU | IMBER | : | PAGE | 2 | 25 OI | F | 35  |
|--|------|---------|----|-------|---|------|---|-------|---|-----|
| Use separate schedule(s)                       | (che | ck only | or | ne)   |   |      |   |       |   |     |
| for each category of the Detailed Summary Page | X    | 11a     |    | 11b   |   | 11c  |   | 12    |   |     |
|  |      | 13      |    | 14    |   | 15   |   | 16    |   | 117 |

| or for commercial purposes, other than using               | g the name and address of any political committee |                                       |
|--|---|---------------------------------------|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political A | Action Committee (LPL PAC)                        |                                       |
| Full Name (Last, First, Middle Initial) ARTHUR R. SALOMON  |   | Date of Receipt                       |
| Mailing Address 459 WOODLAKE WAY                           |   | 08 28 2012                            |
| City   | State Zip Code                                    | Transaction ID : AFF71A6D9D6E1466F946 |
| Lexington  | KY 40502-2535                                     | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee. | C   | 100.00                                |
| Name of Employer   | Occupation  | Payroll Deduction: \$50.00/Bi-Weekly  |
| Lpl Financial Llc  | Financial Advisor                                 |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼                          |                                       |
| Primary General  |   |                                       |
| Other (specify) ▼  | 750.00  |                                       |
| Full Name (Last, First, Middle Initial)  3. Mary Schott    | ·   | Date of Receipt                       |
| Mailing Address 9785 Towne Centre Dr                       |   | 08 17 _ 2012 _                        |
| City   | State Zip Code                                    | Transaction ID : A938EE660B51A4625AE6 |
| San Diego  | CA 92121-1968                                     | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee. | С   | 153.86                                |
| Name of Employer   | Occupation  | Payroll Deduction: \$76.93/Bi-Weekly  |
| LPL Financial LLC  | EVP, Business Consulting                          |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼                          |                                       |
| Primary General  Other (specify) ▼                         | 230.79  |                                       |
| Full Name (Last, First, Middle Initial)                    | 1   | Date of Receipt                       |
| Mailing Address 9785 Towne Centre Dr                       |   | 08 31 _ 2012 _                        |
| City   | State Zip Code                                    | Transaction ID : A3AC9D08F711242AA95  |
| San Diego  | CA 92121-1968                                     | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee. | C   | 19.20                                 |
| Name of Employer   | Occupation  | Payroll Deduction: \$19.20/Bi-Weekly  |
| LPL Financial LLC  | EVP, Business Consulting                          |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼                          |                                       |
| Primary General  |   |                                       |
| Other (specify) ▼  | 249.99  |                                       |
| SUBTOTAL of Receipts This Page (option                     | al)   | 273.06                                |
| TOTAL This Period (last page this line nur                 | nber only)  |                                       |

|  | FOF  | R LINE  | NU | MBER | : | PAGE | 2 | 26 O | F | 35  |
|--|------|---------|----|------|---|------|---|------|---|-----|
| Use separate schedule(s)                       | (che | ck only | or | ne)  |   |      |   |      |   |     |
| for each category of the Detailed Summary Page | X    | 11a     |    | 11b  |   | 11c  |   | 12   |   |     |
|  |      | 13      |    | 14   |   | 15   |   | 16   |   | 717 |

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| Full Name (Last, First, Middle Initial)                    |                            |   |
|--|----------------------------|---|
| Daniel Schuck  |                            | Date of Receipt                                     |
| Mailing Address 12745 Westly Ln                            |                            | 08 17 2012 _  |
| City   | State Zip Code             | Transaction ID : A81EFE60F27AE45ABA8E               |
| San Diego  | CA 92131-4207              | Amount of Each Receipt this Period                  |
| FEC ID number of contributing federal political committee. | C                          | 200.00  |
| Name of Employer   | Occupation                 | Payroll Deduction: \$100.00/Bi-Weekly               |
| Lpl Financial Llc  | EVP, BTS                   |   |
| Receipt For:   | Aggregate Year-to-Date ▼   | 1   |
| Primary General Other (specify) ▼                          | 1600.00                    |   |
| Full Name (Last, First, Middle Initial)  Keith Shores      |                            | Date of Receipt                                     |
| Mailing Address 9785 Towne Centre D                        | rive                       | M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y         |
| City   | State Zip Code             | 08 21 2012<br>Transaction ID : A65098C3CE9634D32AAE |
| San Diego  | CA 92121-1968              | Amount of Each Receipt this Period                  |
| FEC ID number of contributing federal political committee. | С                          | 1500.00   |
| Name of Employer   | Occupation                 | 1   |
| LPL  | Finance                    |   |
| Receipt For:   | Aggregate Year-to-Date ▼   |   |
| Primary General Other (specify) ▼                          | 1500.00                    |   |
| Full Name (Last, First, Middle Initial)                    | <u> </u>                   | Date of Breedy                                      |
| Gerald Soderstrom  |                            | Date of Receipt                                     |
| Mailing Address 5518 Bellevue Ave                          |                            | 08 17 2012  |
| City   | State Zip Code             | Transaction ID : A9B3F28F0B1C546BFBDB               |
| La Jolla   | CA 92121                   | Amount of Each Receipt this Period                  |
| FEC ID number of contributing federal political committee. | C                          | 100.00  |
| Name of Employer   | Occupation                 | Payroll Deduction: \$50.00/Bi-Weekly                |
| Lpl Financial Llc  | SVP, Chief Audit Executive |   |
| Receipt For:   | Aggregate Year-to-Date ▼   |   |
| Primary General Other (specify) ▼                          | 800.00                     |   |

FOR LINE NUMBER: PAGE 27 OF 35 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) **GREG ROBERT SOLIS** Date of Receipt Mailing Address 49335 AVENIDA FERNANDO 2012 City Zip Code State Transaction ID: AF5A57D62DB6B4CF6A0C CA La Quinta 92253-2744 Amount of Each Receipt this Period FEC ID number of contributing 166.66 federal political committee. Payroll Deduction: \$83.33/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 833.30 Other (specify) Full Name (Last, First, Middle Initial) **B.** GARY M STANISLAWSKI Date of Receipt Mailing Address 2992 E 78TH ST 08 28 2012 City State Zip Code Transaction ID: ABBC22C83DEA64407BE1 OK Tulsa 74136-8732 Amount of Each Receipt this Period FEC ID number of contributing 166.66 federal political committee. Payroll Deduction: \$83.33/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1333.28 Other (specify) Full Name (Last, First, Middle Initial) c. Cynthia Swank Date of Receipt Mailing Address 5138 Bevington Place 80 17 2012 City Zip Code State Transaction ID: A2966ED29A822429BA57 NC Charlotte 28277-5505 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Payroll Deduction: \$50.00/Bi-Weekly Name of Employer Occupation EVP, Service Value Commitment Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 800.00 Other (specify) 433.32 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

|  | FO   | R LINE   | NU | IMBER | : | PAGE | 2 | 28 O | F | 35  |
|--|------|----------|----|-------|---|------|---|------|---|-----|
| Use separate schedule(s)                       | (che | eck only | or | ne)   |   |      |   |      |   |     |
| for each category of the Detailed Summary Page | X    | 11a      |    | 11b   |   | 11c  |   | 12   |   |     |
|  |      | 13       |    | 14    |   | 15   |   | 16   |   | 717 |

| Any information copied from such Reports and S or for commercial purposes, other than using the |   |  |  |  |  |  |
|---|---|--|--|--|--|--|
| NAME OF COMMITTEE (In Full)  LPL Financial LLC Political Action                                 | on Committee (LPL PAC)  |  |  |  |  |  |
| Full Name (Last, First, Middle Initial) Todd Tharp  Mailing Address 210 N. Church St. #2804     | harp  |  |  |  |  |  |
|   |   | 08 17 2012   |  |  |  |  |
| City<br>Charlotte   | State         Zip Code           NC         28202-2387              | Transaction ID : AD6CE3DB90CEA43E09A Amount of Each Receipt this Period                    |  |  |  |  |
| FEC ID number of contributing federal political committee.                                      | C   | 19.22 Payroll Deduction: \$9.61/Bi-Weekly  |  |  |  |  |
| Name of Employer  Lpl Financial Llc  Receipt For:  Primary  General  Other (specify) ▼          | Occupation SVP, Head of Insurance Aggregate Year-to-Date ▼  326.88  | . ayron Deduction, 95.0 I/DI-VVEEKIY   |  |  |  |  |
| Full Name (Last, First, Middle Initial)  Todd Tharp  Mailing Address 210 N. Church St. #2804    |   | Date of Receipt  |  |  |  |  |
| City Charlotte  FEC ID number of contributing federal political committee.                      | State Zip Code<br>NC 28202-2387                                     | 08 31 2012  Transaction ID: A7912DB6D019F45A28C5  Amount of Each Receipt this Period  6.48 |  |  |  |  |
| Name of Employer  Lpl Financial Llc  Receipt For:  Primary General  Other (specify) ▼           | Occupation SVP, Head of Insurance  Aggregate Year-to-Date ▼  333.36 | Payroll Deduction: \$6.48/Bi-Weekly  |  |  |  |  |
| Full Name (Last, First, Middle Initial)  JOAN MARIE VALENTI  Mailing Address 6 MORGAN PLACE     |   | Date of Receipt  |  |  |  |  |
| City Unionville   | State Zip Code<br>CT 06085-1178                                     | 08 28 2012  Transaction ID : AA9A1B038192A4DC0B8  Amount of Each Receipt this Period       |  |  |  |  |
| FEC ID number of contributing federal political committee.                                      | C   | 416.66   |  |  |  |  |
| Name of Employer  Lpl Financial Llc   | Occupation Financial Advisor  | Payroll Deduction: \$208.33/Bi-Weekly  |  |  |  |  |
| Receipt For:  Primary General  Other (specify) ▼  | Aggregate Year-to-Date ▼  2083.30                                   |  |  |  |  |  |
| SUBTOTAL of Receipts This Page (optional)   | ·····   | 442.36   |  |  |  |  |
| TOTAL This Period (last page this line number of  | only)   |  |  |  |  |  |

|  | FOR LINE I  | NUMBER: | :   PAGI | E 29 OF | - 3         |
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| Use separate schedule(s)                       | (check only | one)    |          |         |             |
| for each category of the Detailed Summary Page | X 11a       | 11b     | 11c      | 12      |             |
| zotanou oumnary r ago                          | 13          | 14      | 15       | 16      | $\square_1$ |

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| Full Name (Last, First, Middle Initial)  A. KENNETH WILLIAM VAN LEEUWE | N                            | Date of Receipt                       |
|--|------------------------------|---------------------------------------|
| Mailing Address 1010 Barclay Blvd                                      |                              | 08 28 2012                            |
| City   | State Zip Code               | Transaction ID : A6963FBC12EE346278F5 |
| Princeton  | NJ 08540-5883                | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.             | C                            | 250.00                                |
| Name of Employer   | Occupation                   | Payroll Deduction: \$125.00/Bi-Weekly |
| Lpl Financial Llc  | Financial Advisor            |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼     | 1                                     |
| Primary General  Other (specify) ▼                                     | 2000.00                      |                                       |
| Full Name (Last, First, Middle Initial)  3. WAYNE DAVID WAGNER, JR.    |                              | Date of Receipt                       |
| Mailing Address 8 LEAP DRIVE   |                              | M = M / D = D / Y = Y = Y             |
| City   | State Zin Code               | 08 28 2012                            |
| City<br>Pennsville   | State Zip Code NJ 08070-1117 | Transaction ID : A544CD412BB734A62BD  |
|  |                              | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.             | C                            | 250.00                                |
| Name of Employer   | Occupation                   | Payroll Deduction: \$125.00/Bi-Weekly |
| Lpl Financial Llc  | Financial Advisor            |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼     |                                       |
| Primary General  Other (specify) ▼                                     | 2000.00                      |                                       |
| Full Name (Last, First, Middle Initial)  2. Jeffrey Warren             |                              | Date of Receipt                       |
| Mailing Address 7137 Sherbourne Ln                                     |                              | 08 17 2012                            |
| City   | State Zip Code               | Transaction ID : A6169B0DFDB464D57A0  |
| San Diego  | CA 92129-4655                | Amount of Each Receipt this Period    |
| FEC ID number of contributing federal political committee.             | C                            | 60.00                                 |
| Name of Employer   | Occupation                   | Payroll Deduction: \$30.00/Bi-Weekly  |
| LPL Financial LLC  | SVP, Service Center          |                                       |
| Receipt For:   | Aggregate Year-to-Date ▼     | 1                                     |
| Primary General Other (specify) ▼                                      | 480.00                       |                                       |
| SUBTOTAL of Receipts This Page (optional)                              |                              | 560.00                                |

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF (check only one)

X 11a 11b 11c 12

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12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) MICHAEL C. F. WILLIAMS Date of Receipt Mailing Address 15 IVY LANE 2012 City Zip Code State Transaction ID: AC9897D115C184BC491C PΑ Chester Springs 19425-3316 Amount of Each Receipt this Period FEC ID number of contributing 333.32 federal political committee. Payroll Deduction: \$166.66/Bi-Weekly Name of Employer Occupation LPL Financial LLC President Receipt For: Aggregate Year-to-Date ▼ Primary General 333.32 Other (specify) Full Name (Last, First, Middle Initial) B. WARREN DAVID WISE Date of Receipt Mailing Address 437 WEDGEWOOD CT 08 28 2012 City State Zip Code Transaction ID: AB17B0E08185E4503928 NC Eden 27288-2841 Amount of Each Receipt this Period FEC ID number of contributing 208.32 federal political committee. Payroll Deduction: \$104.16/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1666.56 Other (specify) Full Name (Last, First, Middle Initial) c. Greg Woods Date of Receipt Mailing Address 116 Pembroke Street 80 17 2012 City Zip Code State Transaction ID: AA8B4FFCA1E2149D4A51 MA **Boston** 02118-1208 Amount of Each Receipt this Period FEC ID number of contributing 76.92 С federal political committee. Payroll Deduction: \$38.46/Bi-Weekly Name of Employer Occupation LPL Financial LLC Marketing Practice Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 230.76 Other (specify) 618.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 9 

FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) Greg Woods Date of Receipt Mailing Address 116 Pembroke Street 2012 31 City Zip Code State Transaction ID: A310537DC8DBD499C8A2 **Boston** MA 02118-1208 Amount of Each Receipt this Period FEC ID number of contributing C 19.26 federal political committee. Payroll Deduction: \$19.26/Bi-Weekly Name of Employer Occupation LPL Financial LLC Marketing Practice Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.02 Other (specify) Full Name (Last, First, Middle Initial) B. ROBERT ALAN YOUNG Date of Receipt Mailing Address 14504 COUNTY ROAD 96B 80 10 2012 City State Zip Code Transaction ID: A5DA460614F304E0FAEA Woodland CA 95695-9221 Amount of Each Receipt this Period FEC ID number of contributing 20.83 federal political committee. Payroll Deduction: \$20.83/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 312.45 Other (specify) Full Name (Last, First, Middle Initial) c. MARK G ZITZELSBERGER Date of Receipt Mailing Address 1048 CLUBHOUSE DRIVE 80 28 2012 City Zip Code State Transaction ID: A0EF2E352846E42379C8 MI Weidman 48893-9339 Amount of Each Receipt this Period FEC ID number of contributing 83.32 С federal political committee. Payroll Deduction: \$41.66/Bi-Weekly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 666.56 Other (specify) 123.41 SUBTOTAL of Receipts This Page (optional)..... 17238.48 TOTAL This Period (last page this line number only).....

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| SCHEDULE B (FEC Form 3X)                              |   |                   |                   |              | PAGE 32 OF 35           |
|---|---|-------------------|-------------------|--------------|-------------------------|
| ITEMIZED DISBURSEMENTS                                | Use separate schedule(s) for each category of the | (check or         | nly one)          |              | ¬ ¬                     |
|   | Detailed Summary Page                             | 21                | 22<br>28a         | 23<br>28b    | 24 25 26<br>28c 29 30b  |
| Any information copied from such Reports and Staten   | nente may not be cold or                          |                   |                   |              |                         |
| or for commercial purposes, other than using the name |   |                   |                   |              |                         |
| NAME OF COMMITTEE (In Full)                           |   |                   |                   |              |                         |
| $ \; angle$ LPL Financial LLC Political Action (      | Committee (LPL PA                                 | AC)               |                   |              |                         |
| Full Name (Last, First, Middle Initial)               |   |                   |                   |              |                         |
| A. Aristotle International                            |   |                   | Date o            | f Disbursem  | nent                    |
| Mailing Address 205 December 25 Acc 25                |   |                   | M M               | / D D        |                         |
| Mailing Address 205 Pennsylvania Ave, SE              |   |                   | 08                | 13           | 2012                    |
| •   | State Zip Code                                    |                   | Trans             | saction ID : | B03187032A204424B8DC    |
| Washington Purpose of Disbursement                    | DC 20003  |                   |                   | dollon ib .  | 500101002A2044245050    |
| Credit Card Processing Fees                           |   |                   | Amoun             | t of Each D  | isbursement this Period |
| Candidate Name  |   | Category/         |                   |              | 25.00                   |
| Office Sought:  | nant Fari   | Type              |                   | 7            | 25.00                   |
| Office Sought: House Disburser Senate                 | nent For:  Primary General                        |                   |                   |              |                         |
| President   | Other (specify) ▼                                 |                   |                   |              |                         |
| State: District:                                      |   |                   |                   |              |                         |
| Full Name (Last, First, Middle Initial)               |   |                   | Data              | f Diaburaam  | ant.                    |
| B. Aristotle International                            |   |                   | Date o            | f Disbursem  |                         |
| Mailing Address 205 Pennsylvania Ave, SE              |   |                   | 08                | 27           | 2012                    |
| 0.1   | 7. 0. 1   |                   | + =               |              |                         |
| City S<br>Washington                                  | State Zip Code DC 20003                           |                   | Trans             | saction ID:  | B3B25CC1822964337B19    |
| Purpose of Disbursement                               |   |                   |                   |              |                         |
| Credit Card Processing Fees  Candidate Name           |   |                   | Amoun             | t of Each D  | isbursement this Period |
| Candidate Name  |   | Category/<br>Type |                   |              | 45.00                   |
| Office Sought: House Disburser                        | nent For:   | .,,,,,            | $+$ $\overline{}$ | ,            | ,                       |
| Senate  | Primary General                                   |                   |                   |              |                         |
| President State: District:                            | Other (specify) ▼                                 |                   |                   |              |                         |
| Full Name (Last, First, Middle Initial)               |   |                   |                   |              |                         |
| C.  |   |                   | Date o            | f Disbursem  | nent                    |
| Marillana Andreas                                     |   |                   | M = M             | / D D        | /                       |
| Mailing Address                                       |   |                   |                   | -            |                         |
| City  | State Zip Code                                    |                   |                   |              |                         |
| Purpose of Disbursement                               |   |                   |                   |              |                         |
|   |   |                   | Amoun             | t of Each D  | isbursement this Period |
| Candidate Name  |   | Category/         |                   |              |                         |
| Office Sought: House Disburser                        | nont For:   | Type              |                   | 7            |                         |
| Senate Disburser                                      | Primary General                                   |                   |                   |              |                         |
| President   | Other (specify) ▼                                 |                   |                   |              |                         |
| State: District:                                      |   |                   |                   |              |                         |
| CURTOTAL of Dishurasments This Dame (antique)         |   |                   |                   |              | 70.00                   |
| SUBTOTAL of Disbursements This Page (optional)        |   | ······            | -                 | 7            |                         |
| TOTAL This Period (last page this line number only)   |   |                   |                   |              | 70.00                   |

| SCHEDULE B (FEC Form 3X)   | Llea concrete cohedula/a   | FOR LINE           | PAGE 33 OF 35            |   |
|--|--|--------------------|--------------------------|---|
| ITEMIZED DISBURSEMENTS   | Use separate schedule(s<br>for each category of the<br>Detailed Summary Page | 21b                | 22 🗙 23                  | 24 25 26  |
|  | , ,  | 27                 | 28a 28b                  | 28c 29 30b  |
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| NAME OF COMMITTEE (In Full)  | The and address of any poin  | ilear committee te | Solicit contributions in | on such committee.  |
| LPL Financial LLC Political Action   | Committee (I DI D  | AC)                |                          |   |
| LEET IIIanciai LEC Fontical Action   | Committee (LFL F   | AC)                |                          |   |
| Full Name (Last, First, Middle Initial)  |  |                    |                          |   |
| A. ANDREWS FOR CONGRESS  |  |                    | Date of Disburseme       | ent   |
| Moiling Address Off FOURTH AVENUE  |  |                    | M M / D D                | /   |
| Mailing Address 215 FOURTH AVENUE  |  |                    | 08 15                    | 2012  |
| City   | State Zip Code   |                    |                          |   |
| HADDON HEIGHTS   | NJ 07076   |                    | Transaction ID : E       | BB3CD84627BA943A6A08  |
| Purpose of Disbursement NJ US House  |  |                    |                          |   |
| Candidate Name   |  |                    | Amount of Each Dis       | sbursement this Period  |
| Rep. Robert E. Andrews   |  | Category/<br>Type  | 1                        | 5000.00   |
|  | ment For: 2012   | Туре               | 1                        | 7   |
| Senate   | Primary Seneral  |                    |                          |   |
| President  | Other (specify) ▼  |                    |                          |   |
| State: NJ District: 01   | 1  |                    |                          |   |
| Full Name (Last, First, Middle Initial)  |  |                    |                          |   |
| B. CARPER FOR SENATE   |  |                    | Date of Disburseme       | ent   |
| Moiling Address 200 M  |  |                    | M M / D D                | / Y Y Y Y Y   |
| Mailing Address 303 Massachusetts Ave., NW   |  |                    | 08 20                    | 2012  |
| City   | State Zip Code   |                    | Towns and an ID   E      | A 0 5 7 7 0 5 7 0 6 7 0 |
| Washington   | DC 20002   |                    | Transaction ID : E       | BA9E77959576C4D5FA9B  |
| Purpose of Disbursement DE US Senate   |  |                    |                          |   |
| Candidate Name   |  |                    | Amount of Each Dis       | sbursement this Period  |
| Sen. Thomas R Carper   |  | Category/<br>Type  | 1                        | 2000.00   |
|  | ment For: 2012   | Туре               |                          | ,   |
|  | Primary General  |                    |                          |   |
| President  | Other (specify) ▼  |                    |                          |   |
| State: DE District:  |  |                    |                          |   |
| Full Name (Last, First, Middle Initial)  |  |                    |                          |   |
| C. FRIENDS OF CAROLYN MCCAR  | THY  |                    | Date of Disburseme       | ent   |
| Mailing Address 151 LINDEN ROAD  |  |                    | 08 15                    | 2012  |
| Mailing Address 151 LINDEN ROAD  |  |                    | 00 13                    | 2012  |
| City   | State Zip Code   |                    | Transaction ID : E       | 3BB5DC8943E804D809A0  |
| MINEOLA  | NY 11501   |                    | Transaction ib . E       | 3BB3DC0343E004D003A0  |
| Purpose of Disbursement NY US House  |  |                    |                          |   |
| Candidate Name   |  |                    | Amount of Each Dis       | sbursement this Period  |
| Rep. Carolyn Mccarthy  |  | Category/<br>Type  |                          | 4000.00   |
|  | ment For: 2012   | .,,,,              |                          |   |
| Senate   | Primary Seneral  |                    |                          |   |
| President  | Other (specify) ▼  |                    |                          |   |
| State: NY District: 04   |  |                    |                          |   |
|  |  |                    |                          | 11000.00  |
| SUBTOTAL of Disbursements This Page (optional).  |  | ······             |                          | 11000.00  |
| TOTAL This Period (last page this line number only   | ·)   |                    |                          |   |
|  | ,····  |                    |                          |   |

| SCHEDULE B (FEC Form 3X)                             |   | FOR LINE         | NUMBER:   | PAGE 34 OF 35           |  |
|--|---|------------------|---|-------------------------|--|
| ITEMIZED DISBURSEMENTS                               | Use separate schedule(s) for each category of the | (check only      | one)  | 24 25 26                |  |
|  | Detailed Summary Page                             | 270              |   | 28c 29 30b              |  |
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| or for commercial purposes, other than using the nar | ne and address of any politic                     | cal committee to | solicit contributions from  | such committee.         |  |
| NAME OF COMMITTEE (In Full)                          | O ''' (I DI DA                                    | 0)               |   |                         |  |
| LPL Financial LLC Political Action                   | Committee (LPL PA                                 | (C)              |   |                         |  |
| Full Name (Last, First, Middle Initial)              |   |                  |   |                         |  |
| A. JOHN CARNEY FOR CONGRESS                          |   |                  | Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y |                         |  |
| Mailing Address PO BOX 2162                          |   |                  |   |                         |  |
|  |   |                  |   | .20,2                   |  |
| •  | State Zip Code                                    |                  | Transaction ID : B1I  | D579B6CA66D4B93A40      |  |
| WILMINGTON Purpose of Disbursement                   | DE 19899  |                  |   |                         |  |
| DE US House  |   |                  | Amount of Each Disbu  | ursement this Period    |  |
| Candidate Name                                       |   | Category/        |   | 2000.00                 |  |
| Rep. John C. Carney Jr.                              |   | Туре             |   | 2000.00                 |  |
| Office Sought: House Disburse Senate                 | ment For: 2012 Primary General                    |                  |   |                         |  |
| President  | Other (specify)                                   |                  |   |                         |  |
| State: DE District: 01                               | ( 1   |                  |   |                         |  |
| Full Name (Last, First, Middle Initial)              |   |                  |   |                         |  |
| B. KIND FOR CONGRESS COMMIT                          | TEE   |                  | Date of Disbursement  |                         |  |
| Mailine Address on The Avenue October                |   |                  | 08 15   | 2012                    |  |
| Mailing Address 205 5TH AVENUE SOUTH                 |   |                  | 06 15   | 2012                    |  |
|  | State Zip Code                                    |                  | Transaction ID : BF   | 898CD48E6FD4E7FAB       |  |
| LA CROSSE Purpose of Disbursement                    | WI 54601  |                  |   |                         |  |
| WI US House  |   |                  | Amount of Each Disbu  | ursement this Period    |  |
| Candidate Name                                       |   | Category/        |   | 1000.00                 |  |
| Rep. Ron Kind  |   | Type             |   | 1000.00                 |  |
|  | ment For: 2012                                    |                  |   |                         |  |
| Senate President                                     | Primary   |                  |   |                         |  |
| State: WI District: 03                               | Carlot (opeony)                                   |                  |   |                         |  |
| Full Name (Last, First, Middle Initial)              |   |                  |   |                         |  |
| C. MONTANANS FOR TESTER                              | Date of Disbursement                              |                  |   |                         |  |
| Mailing Address 303 Massachusetts Avenue, NE         |   |                  | 08 29   | 2012                    |  |
| Mailing Address 303 Massachusetts Avenue, NE         |   |                  | 00 29   | 2012                    |  |
| City   | State Zip Code                                    |                  | Transaction ID · BF   | BA086ACE7604948BB       |  |
| Washington Purpose of Disbursement                   | DC 20002  |                  | Transastion is 1 St   | 27.0007.027.00.10.1022. |  |
| MT US Senate   |   |                  | Amount of Each Disbu  | recoment this Period    |  |
| Candidate Name                                       |   | Category/        | Amount of Each Disbu  | drsement this Period    |  |
| Sen. Jon Tester                                      |   | Type             |   | 5000.00                 |  |
|  | ment For: 2012                                    |                  | ,   | ,                       |  |
| Senate   | Primary General                                   |                  |   |                         |  |
| State: MT District:                                  | Other (specify) ▼                                 |                  |   |                         |  |
| State. Wil Biotriot.                                 |   |                  |   |                         |  |
| SUBTOTAL of Disbursements This Page (optional)       |   |                  |   | 8000.00                 |  |
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| SCHEDULE B (FEC Form 3X)  | Hoo concrete estratula ( )                        | FOR LINE NUMBER: PAGE 35 OF 35 |   |                              |  |
|---|---|--------------------------------|---|------------------------------|--|
| ITEMIZED DISBURSEMENTS  | Use separate schedule(s) for each category of the | (check only                    |   | 23 24 25 26                  |  |
|   | Detailed Summary Page                             | 27                             |   | 28b 28c 29 30k               |  |
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| LPL Financial LLC Political Action (  | Committee (LPL PA                                 | C)                             |   |                              |  |
| Full Name (Last, First, Middle Initial)   |   |                                | Data of Dil                             | ureamont                     |  |
| A. SCOTT BROWN FOR US SENATE COMMITTEE  |   |                                | Date of Disbursement  08 10 2012        |                              |  |
| Mailing Address 337 Summer Street Suite 100                                       |   |                                |   |                              |  |
| ,   | State Zip Code<br>MA 02210                        |                                | Transaction                             | n ID : BDDF03C5440224F10804  |  |
| Purpose of Disbursement   | 02210   |                                |   |                              |  |
| MÅ US Senate  |   |                                | Amount of Ea                            | ach Disbursement this Period |  |
| Candidate Name Sen. Scott P. Brown  |   | Category/<br>Type              |   | 5000.00                      |  |
|   | nent For: 2012                                    | ιyμe                           |   |                              |  |
|   | Primary General                                   |                                |   |                              |  |
| President State: MA District:   | Other (specify) ▼                                 |                                |   |                              |  |
| Full Name (Last, First, Middle Initial)   |   |                                |   |                              |  |
| B.  |   |                                | Date of Disb                            | ursement                     |  |
| Malling Address   |   |                                | M = M /                                 | D D / Y T Y T Y              |  |
| Mailing Address   |   |                                |   |                              |  |
| City  | State Zip Code                                    |                                |   |                              |  |
| Purpose of Disbursement   |   |                                |   |                              |  |
|   |   |                                | Amount of Each Disbursement this Period |                              |  |
| Candidate Name  |   | Category/<br>Type              |   |                              |  |
| Office Sought: House Disbursem  | nent For:   | ιyμe                           |   | 7                            |  |
| Senate  | Primary General                                   |                                |   |                              |  |
| President State: District:  | Other (specify) ▼                                 |                                |   |                              |  |
| Full Name (Last, First, Middle Initial)   |   |                                |   |                              |  |
| C.  |   |                                | Date of Disb                            | ursement                     |  |
| Mailing Address   |   |                                | M = M /                                 | D   D / Y   Y   Y   Y        |  |
| Mailing Address   |   |                                | السا                                    |                              |  |
| City  | State Zip Code                                    |                                | <u></u>                                 |                              |  |
| Purpose of Disbursement   |   |                                |   |                              |  |
|   |   |                                | Amount of Ea                            | ach Disbursement this Period |  |
| Candidate Name  |   | Category/                      |   |                              |  |
| Office Sought: House Disbursem  | nent For:   | Туре                           |   |                              |  |
| Senate  | Primary General                                   |                                |   |                              |  |
|   | Other (specify) ▼                                 |                                |   |                              |  |
| State: District:  |   |                                |   |                              |  |
| SUBTOTAL of Disbursements This Page (optional)                                    |   |                                |   | 5000.00                      |  |
|   |   |                                |   | 0,000,00                     |  |
| TOTAL This Period (last page this line number only).                              |   |                                | 1                                       | 24000.00                     |  |