



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

August 30, 2021

CHRIS MARSTON, TREASURER
SMALL BUSINESS INVESTOR ALLIANCE
PAC
PO BOX 14358
WASHINGTON, DC 20044

Response Due Date
10/04/2021

IDENTIFICATION NUMBER: C00109991

REFERENCE: MID-YEAR REPORT (01/01/2021 - 06/30/2021)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 2 item(s):

1. Schedule A of your report discloses aggregate year to date totals for contributions received from individuals which appear to be incorrect (see attached). Please be advised that federal regulations require aggregate year to date totals to include only those contributions which are received during the calendar year. In the event that the aggregate year to date total is correct, please note that federal regulations also require the disclosure of all contributions received from individuals who have contributed over \$200. 11 CFR §104.3(a)(4) Please amend your report to provide the correct aggregate year to date totals.
2. Schedule A of your report discloses receipts from "Davis, Rodger," "Hollis, Mark," "Jones, Christopher," "Morris, David," and "Snow, Scott," with negative aggregate year-to-date totals which appear to reflect voided contributions made in a prior year. Please be advised that the aggregate year-to-date total for a contributor should reflect only those contributions received within that calendar year. Please amend your report to provide the correct aggregate year-to-date total.

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee

SMALL BUSINESS INVESTOR ALLIANCE PAC

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will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for Additional Information (RFAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number(202) 694-1175.

Sincerely,

A handwritten signature in cursive script that reads "Sarah Vivian".

Sarah Vivian

Sr. Campaign Finance & Reviewing Analyst

Aggregate Year-to-Date Total(s) Calculated Incorrectly
SMALL BUSINESS INVESTOR ALLIANCE PAC (C00109991)

Contributor Name	Date	Amount	Reported YTD Total	YTD Total Calculated by FEC
Davis, Rodger	6/19/21	\$5,000.00	\$1,000.00	\$5,000.00
Hollis, Mark	6/19/21	\$2,500.00	\$1,000.00	\$2,500.00
Morris, David	6/22/21	\$1,500.00	\$500.00	\$1,500.00